



**Gina M. Raimondo,  
Governor**

**State of Rhode Island and  
Providence Plantations  
Fiscal Year 2018  
Budget**

**Technical Appendix**

# Department Of Business Regulation

Agency Number: 071

| Fund/<br>Agency | RIFANS/<br>ACCOUNT |   | 2015<br>Audited    | 2016<br>Audited    | 2017<br>Enacted    | 2017<br>Revised    | 2018<br>Recommend  |
|-----------------|--------------------|---|--------------------|--------------------|--------------------|--------------------|--------------------|
| 10.071          | 1600101.01         | Director of Business Regulations              | 1,234,445          | 1,386,270          | 1,325,909          | 1,395,571          | 1,396,420          |
|                 |                    | <b>Total General Revenue</b>                  | <b>\$1,234,445</b> | <b>\$1,386,270</b> | <b>\$1,325,909</b> | <b>\$1,395,571</b> | <b>\$1,396,420</b> |
|                 |                    | <b>Total - Central Management</b>             | <b>\$1,234,445</b> | <b>\$1,386,270</b> | <b>\$1,325,909</b> | <b>\$1,395,571</b> | <b>\$1,396,420</b> |
| 10.071          | 1605101.01         | Banking and Securities - Banking              | 1,539,146          | 1,457,843          | 1,818,673          | 1,761,804          | 1,843,062          |
|                 |                    | <b>Total General Revenue</b>                  | <b>\$1,539,146</b> | <b>\$1,457,843</b> | <b>\$1,818,673</b> | <b>\$1,761,804</b> | <b>\$1,843,062</b> |
| 10.071          | 1607101.03         | Banking Reimbursement Account                 | (33)               | -                  | -                  | -                  | -                  |
| 10.071          | 1608101.03         | Banking Reimbursement Account                 | 45,652             | 29,919             | 50,000             | 50,000             | 50,000             |
|                 |                    | <b>Total Restricted Receipts</b>              | <b>\$45,619</b>    | <b>\$29,919</b>    | <b>\$50,000</b>    | <b>\$50,000</b>    | <b>\$50,000</b>    |
|                 |                    | <b>Total - Banking Regulation</b>             | <b>\$1,584,765</b> | <b>\$1,487,762</b> | <b>\$1,868,673</b> | <b>\$1,811,804</b> | <b>\$1,893,062</b> |
| 10.071          | 1610101.01         | Securities Regulation - Securities            | 897,502            | 854,883            | 1,079,028          | 974,896            | 974,364            |
|                 |                    | <b>Total General Revenue</b>                  | <b>\$897,502</b>   | <b>\$854,883</b>   | <b>\$1,079,028</b> | <b>\$974,896</b>   | <b>\$974,364</b>   |
| 10.071          | 1611101.03         | Securities Reimbursement Account              | 1,017              | 1,193              | 15,000             | 15,000             | 15,000             |
|                 |                    | <b>Total Restricted Receipts</b>              | <b>\$1,017</b>     | <b>\$1,193</b>     | <b>\$15,000</b>    | <b>\$15,000</b>    | <b>\$15,000</b>    |
|                 |                    | <b>Total - Securities Regulation</b>          | <b>\$898,519</b>   | <b>\$856,076</b>   | <b>\$1,094,028</b> | <b>\$989,896</b>   | <b>\$989,364</b>   |
| 10.071          | 1635101.01         | Insurance Regulation                          | 3,582,033          | 3,064,604          | 3,993,494          | 3,807,033          | 4,025,436          |
|                 |                    | <b>Total General Revenue</b>                  | <b>\$3,582,033</b> | <b>\$3,064,604</b> | <b>\$3,993,494</b> | <b>\$3,807,033</b> | <b>\$4,025,436</b> |
| 10.071          | 1645101.03         | Assessment for Costs of Rate Filings          | 238,013            | 255,077            | 362,166            | 390,537            | 396,095            |
| 10.071          | 1645102.03         | Insurance Cos. Assessment for Actuary Costs   | 1,039,125          | 987,293            | 1,315,400          | 1,294,400          | 1,315,400          |
| 10.071          | 1645103.03         | Insurance Reimbursement Account               | 102,283            | 107,223            | 115,000            | 115,000            | 115,000            |
| 10.071          | 1645104.03         | Office of Health Insurance Comm. Reimb. Acct. | (35)               | -                  | -                  | -                  | -                  |
|                 |                    | <b>Total Restricted Receipts</b>              | <b>\$1,379,386</b> | <b>\$1,349,593</b> | <b>\$1,792,566</b> | <b>\$1,799,937</b> | <b>\$1,826,495</b> |
|                 |                    | <b>Total - Insurance Regulation</b>           | <b>\$4,961,419</b> | <b>\$4,414,197</b> | <b>\$5,786,060</b> | <b>\$5,606,970</b> | <b>\$5,851,931</b> |
| 10.071          | 1655101.01         | Board of Accountancy                          | 4,487              | 5,782              | 6,000              | 6,000              | 6,000              |
|                 |                    | <b>Total General Revenue</b>                  | <b>\$4,487</b>     | <b>\$5,782</b>     | <b>\$6,000</b>     | <b>\$6,000</b>     | <b>\$6,000</b>     |
|                 |                    | <b>Total - Board of Accountancy</b>           | <b>\$4,487</b>     | <b>\$5,782</b>     | <b>\$6,000</b>     | <b>\$6,000</b>     | <b>\$6,000</b>     |
| 10.071          | 1625101.01         | Commercial Licensing, Racing and Athletics    | 555,302            | 594,637            | 570,516            | 792,146            | 810,872            |

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|-----------------|--------------------|--|--------------------|--------------------|--------------------|--------------------|--------------------|
| 10.071          | 1625102.01         | Real Estate Appraisers Board - CLRA                                    | 64,837             | 75,522             | 67,691             | 79,956             | 82,166             |
|                 |                    | <b>Total General Revenue</b>   | <b>\$620,139</b>   | <b>\$670,159</b>   | <b>\$638,207</b>   | <b>\$872,102</b>   | <b>\$893,038</b>   |
| 10.071          | 1627101.03         | Real Estate Appraisers - Registration - CLRA                           | 16,760             | 18,120             | 28,000             | 28,000             | 28,000             |
| 10.071          | 1627102.03         | Real Estate Recovery - CLRA  | -                  | -                  | 80,000             | 80,000             | 80,000             |
| 10.071          | 1627103.03         | Commercial Lic. Rac Athletics Reimbursement Acct.                      | 456,526            | 549,191            | 695,267            | 754,889            | 779,957            |
| 10.071          | 1627104.03         | Medical Marijuana Licensing  | -                  | -                  | 1,503,394          | 1,503,394          | 890,657            |
|                 |                    | <b>Total Restricted Receipts</b>                                       | <b>\$473,286</b>   | <b>\$567,311</b>   | <b>\$2,306,661</b> | <b>\$2,366,283</b> | <b>\$1,778,614</b> |
|                 |                    | <b>Total - Commercial Licensing and Gaming and Athletics Licensing</b> | <b>\$1,093,425</b> | <b>\$1,237,470</b> | <b>\$2,944,868</b> | <b>\$3,238,385</b> | <b>\$2,671,652</b> |
| 10.071          | 1660101.01         | Boards for Design Professionals  | 258,827            | 265,343            | 273,080            | 356,246            | 362,455            |
|                 |                    | <b>Total General Revenue</b>   | <b>\$258,827</b>   | <b>\$265,343</b>   | <b>\$273,080</b>   | <b>\$356,246</b>   | <b>\$362,455</b>   |
|                 |                    | <b>Total - Boards for Design Professionals</b>                         | <b>\$258,827</b>   | <b>\$265,343</b>   | <b>\$273,080</b>   | <b>\$356,246</b>   | <b>\$362,455</b>   |
| 10.071          | 1670102.01         | Office of Health Insurance Commissioner                                | 419,595            | 470,036            | 1,449,061          | 1,447,576          | 1,614,318          |
|                 |                    | <b>Total General Revenue</b>   | <b>\$419,595</b>   | <b>\$470,036</b>   | <b>\$1,449,061</b> | <b>\$1,447,576</b> | <b>\$1,614,318</b> |
| 10.071          | 1675107.02         | Rate Review Grant II   | 1,052,801          | 536,211            | 238,220            | 644                | -                  |
| 10.071          | 1675110.02         | Rate Review Grant III  | 1,163,956          | 1,290,286          | 256,150            | 97,100             | 22,977             |
| 10.071          | 1675111.02         | Rate Review Cycle IV   | -                  | 677,552            | 439,581            | 491,401            | 10,047             |
|                 |                    | <b>Subtotal CFDA No. 93511</b>   | <b>2,216,757</b>   | <b>2,504,049</b>   | <b>933,951</b>     | <b>589,145</b>     | <b>33,024</b>      |
| 10.071          | 1675113.02         | Health Insurance Enforcement And Consumer Protecti                     | -                  | -                  | -                  | 331,045            | 547,065            |
|                 |                    | <b>Subtotal CFDA No. 93525</b>   | <b>-</b>           | <b>-</b>           | <b>-</b>           | <b>331,045</b>     | <b>547,065</b>     |
| 10.071          | 1675112.02         | State Innovation Models Initiative                                     | -                  | 568,975            | 166,759            | 1,091,206          | 312,124            |
|                 |                    | <b>Subtotal CFDA No. 93624</b>   | <b>-</b>           | <b>568,975</b>     | <b>166,759</b>     | <b>1,091,206</b>   | <b>312,124</b>     |
|                 |                    | <b>Total Federal Funds</b>   | <b>\$2,216,757</b> | <b>\$3,073,024</b> | <b>\$1,100,710</b> | <b>\$2,011,396</b> | <b>\$892,213</b>   |
| 10.071          | 1680101.03         | OHIC Reimbursement Account   | 3,813              | 8,917              | 11,500             | 11,500             | 11,500             |
| 10.071          | 1680103.03         | Health Quality And Utilization Review                                  | -                  | -                  | -                  | -                  | 217,268            |
|                 |                    | <b>Total Restricted Receipts</b>                                       | <b>\$3,813</b>     | <b>\$8,917</b>     | <b>\$11,500</b>    | <b>\$11,500</b>    | <b>\$228,768</b>   |
|                 |                    | <b>Total - Office of Health Insurance Commissioner</b>                 | <b>\$2,640,165</b> | <b>\$3,551,977</b> | <b>\$2,561,271</b> | <b>\$3,470,472</b> | <b>\$2,735,299</b> |

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| Fund/<br>Agency    | RIFANS/<br>ACCOUNT                       | 2015<br>Audited     | 2016<br>Audited     | 2017<br>Enacted     | 2017<br>Revised     | 2018<br>Recommend   |
|--------------------|--|---------------------|---------------------|---------------------|---------------------|---------------------|
|                    | <i>Total General Revenue</i>             | \$8,556,174         | \$8,174,920         | \$10,583,452        | \$10,621,228        | \$11,115,093        |
|                    | <i>Total Federal Funds</i>               | \$2,216,757         | \$3,073,024         | \$1,100,710         | \$2,011,396         | \$892,213           |
|                    | <i>Total Restricted Receipts</i>         | \$1,903,121         | \$1,956,933         | \$4,175,727         | \$4,242,720         | \$3,898,877         |
| <b>Grand Total</b> | <b>Department Of Business Regulation</b> | <b>\$12,676,052</b> | <b>\$13,204,877</b> | <b>\$15,859,889</b> | <b>\$16,875,344</b> | <b>\$15,906,183</b> |