

State of Rhode Island and Providence Plantations

Budget



Fiscal Year 2017

Executive Summary

Gina M. Raimondo, Governor

Appendix A

Schedules

FY 2017 General Revenue Budget Surplus

	FY 2014 Audited ⁽¹⁾	FY 2015 Audited ⁽²⁾	FY 2016 Enacted ⁽³⁾	FY 2016 Revised ⁽⁴⁾	FY 2017 Recommend ⁽⁵⁾
Surplus					
Opening Surplus	\$104,119,715	\$67,806,737	\$118,565,419	\$168,038,072	\$81,679,082
Adjustment to Opening Surplus	-	13,794,502	-	-	-
Reappropriated Surplus	7,052,524	7,378,665	-	6,890,273	-
Subtotal	\$111,172,239	\$88,979,904	\$118,565,419	\$174,928,345	\$81,679,082
General Taxes	2,674,140,830	\$2,882,561,175	2,843,383,194	2,843,383,194	2,937,000,000
Revenue estimators' revision	-	-	-	28,316,806	15,989,908
Changes to the Adopted Estimates	-	-	-	-	-
Subtotal	\$2,674,140,830	\$2,882,561,175	\$2,843,383,194	\$2,871,700,000	\$2,952,989,908
Departmental Revenues	360,678,655	\$354,121,814	357,236,271	357,236,271	191,400,000
Revenue estimators' revision	-	-	-	(536,271)	-
Changes to Adopted	-	-	-	5,000	178,100,902
Subtotal	\$360,678,655	\$354,121,814	\$357,236,271	\$356,705,000	\$369,500,902
Other Sources					
Other Miscellaneous	6,391,686	8,778,364	1,397,000	1,397,000	1,116,000
Revenue estimators' revision	-	-	-	(614,000)	22,225,000
Changes to Adopted	-	-	-	-	-
Lottery	376,327,121	381,935,510	331,740,000	331,740,000	354,700,000
Revenue estimators' revision	-	-	-	25,160,000	-
Changes to Adopted	-	-	-	-	-
Unclaimed Property	12,724,124	13,711,780	10,000,000	10,000,000	8,800,000
Revenue estimators' revision	-	-	-	100,000	-
Subtotal	\$395,442,931	\$404,425,654	\$343,137,000	\$367,783,000	\$386,841,000
Total Revenues	\$3,430,262,416	\$3,641,108,643	\$3,543,756,465	\$3,596,188,000	\$3,709,331,810
Transfer to Budget Reserve	(106,031,464)	(111,267,461)	(109,869,657)	(112,926,782)	(113,730,327)
Total Available	\$3,435,403,191	\$3,618,821,086	\$3,552,452,228	\$3,658,189,563	\$3,677,280,565
Actual/Enacted Expenditures	\$3,336,423,288	\$3,453,892,741	\$3,551,988,738	\$3,551,988,738	3,676,761,000
Reappropriations	-	-	-	6,890,273	-
Caseload Conference Changes	-	-	-	14,884,287	-
Other Changes in Expenditures	-	-	-	2,747,183	-
Total Expenditures	\$3,336,423,288	\$3,453,892,741	\$3,551,988,738	\$3,576,510,481	\$3,676,761,000
Total Ending Balances	\$98,979,903	\$164,928,345	\$463,490	\$81,679,082	\$519,565
Transfer to/from Other Funds ⁽⁶⁾	(\$23,794,501)	\$10,000,000	\$0	\$0	\$0
Reappropriations	(7,378,665)	(6,890,273)	-	-	-
Free Surplus	\$67,806,737	\$168,038,072	\$463,490	\$81,679,082	\$519,565
Budget Reserve and Cash					
Stabilization Account	\$176,719,107	\$185,445,769	\$183,116,094	\$188,211,304	\$189,440,023

⁽¹⁾ Derived from the State Controller's final closing report for FY 2014, dated December 29, 2014, reflecting a closing surplus of \$67,806,737.

⁽²⁾ Derived from the State Controller's final closing report for FY 2015, dated January 5, 2016 reflecting a closing surplus of \$168,038,072.

⁽³⁾ Reflects the FY 2016 budget enacted by the General Assembly and signed into law by the Governor on June 30, 2015.

⁽⁴⁾ Reflects the enacted revenues and expenditures adjusted for revenue and caseload estimates adopted at the November 2015 Revenue and Caseload estimating conferences and adjustments to revenues and expenditures as recommended by the Governor.

⁽⁵⁾ Reflects the Governor's recommended FY 2017 budget, including results of the November 2015 Revenue and Caseload Estimating Conference and any proposed legislative changes to modify adopted estimates.

⁽⁶⁾ Reflects restricted General Fund balances transferred from the Accelerated Depreciation Fund.

Expenditures from All Funds

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
General Government					
Administration	\$412,495,073	\$415,448,655	\$368,031,640	\$396,014,187	\$397,694,673
Business Regulation	12,249,226	12,676,052	14,620,512	14,393,095	17,005,533
Executive Office Of Commerce (1)	-	203,145	74,924,345	76,378,826	83,622,348
Labor and Training	511,348,590	429,213,620	443,730,008	449,441,053	422,079,133
Revenue	422,287,255	449,017,632	423,207,249	478,228,453	502,599,539
Legislature	35,681,698	36,190,422	41,154,944	44,105,835	43,049,302
Lieutenant Governor	2,673,290	971,873	1,192,621	1,043,068	1,109,576
Secretary of State	6,668,513	7,691,503	7,986,884	7,764,433	10,749,205
General Treasurer	38,039,736	41,532,194	39,094,693	39,892,444	36,771,155
Board of Elections	1,573,262	4,656,136	1,818,305	1,797,868	1,982,707
Rhode Island Ethics Commission	1,541,741	1,579,038	1,644,876	1,611,119	1,653,383
Governor's Office	3,975,744	4,401,893	4,903,467	5,139,589	5,091,069
Commission for Human Rights	1,489,579	1,556,738	1,548,010	1,554,766	1,581,423
Public Utilities Commission	6,823,882	6,762,365	8,684,685	8,672,349	8,926,973
Subtotal - General Government	\$1,456,847,589	\$1,411,901,266	\$1,432,542,239	\$1,526,037,085	\$1,533,916,019
Health and Human Services					
Executive Office of Health & Human Services	1,945,613,900	2,334,650,460	2,387,903,953	2,463,562,045	2,409,316,489
Children, Youth, and Families	219,685,921	221,570,379	216,592,410	222,764,039	216,110,079
Health	108,576,130	111,422,584	121,401,905	131,108,001	163,332,529
Human Services	613,340,811	621,643,199	622,403,505	654,079,623	597,518,025
Behavioral Healthcare, Developmental Disabilities and Hospitals	445,714,460	375,214,014	370,945,694	379,842,987	375,005,876
Governor's Commission on Disabilities	871,546	1,215,102	428,524	435,961	440,570
Commission On Deaf and Hard of Hearing	399,505	430,792	491,883	539,040	587,746
Office of the Child Advocate	583,702	660,641	717,273	714,417	695,582
Office of the Mental Health Advocate	485,005	504,149	508,251	549,419	542,009
Subtotal - Human Services	\$3,335,270,980	\$3,667,311,320	\$3,721,393,398	\$3,853,595,532	\$3,763,548,905
Education					
Elementary and Secondary	1,197,362,280	1,232,188,487	1,308,490,695	1,317,358,312	1,350,379,573
Higher Education	1,061,770,203	1,047,360,269	1,090,159,436	1,123,009,185	1,163,417,439
RI Council on the Arts	2,280,949	2,387,241	4,036,698	3,539,374	2,618,274
RI Atomic Energy Commission	1,133,765	1,187,852	1,337,169	1,604,090	1,350,935
Higher Education Assistance Authority (3)	22,873,672	15,190,541	0	0	-
Historical Preservation and Heritage Comm.	2,000,284	5,446,730	3,956,703	4,064,257	2,974,999
Public Telecommunications Authority	0	-	-	-	-
Subtotal - Education	\$2,287,421,153	\$2,303,761,120	\$2,407,980,701	\$2,449,575,218	\$2,520,741,220

Expenditures from All Funds

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
Public Safety					
Attorney General	31,009,997	30,936,848	34,035,317	35,724,802	34,882,783
Corrections	195,805,164	201,390,140	211,025,689	217,726,101	226,119,650
Judicial	107,052,063	109,785,453	116,299,126	115,972,127	116,664,962
Military Staff	34,101,891	15,544,752	19,408,098	19,623,374	24,677,316
Rhode Island Emergency Mng Agency (2)	0	14,535,853	18,537,918	28,530,116	23,994,138
Public Safety	111,609,833	117,204,896	123,725,416	123,876,829	121,809,215
Office Of Public Defender	10,917,680	10,902,222	11,700,347	11,616,528	11,897,202
Subtotal - Public Safety	\$490,496,628	\$500,300,164	\$534,731,911	\$553,069,877	\$560,045,266
Natural Resources					
Environmental Management	79,469,714	88,968,734	99,304,621	102,794,367	99,851,715
Coastal Resources Management Council	4,457,741	4,669,180	5,669,383	7,215,410	7,211,407
Subtotal - Natural Resources	\$83,927,455	\$93,637,914	\$104,974,004	\$110,009,777	\$107,063,122
Transportation					
Transportation	401,479,015	415,640,470	463,816,478	482,858,909	479,457,845
Subtotal - Transportation	\$401,479,015	\$415,640,470	\$463,816,478	\$482,858,909	\$479,457,845
Total	\$8,055,442,820	\$8,392,552,254	\$8,665,438,731	\$8,975,146,398	\$8,964,772,377

(1) New Agency effective February 1, 2015.

(2) In FY 2015, the Rhode Island Emergency Management Agency was separated from the Military Staff.

(3) In FY 2016, the RIHEAA was moved under the Public Higher Education Budget.

Expenditures from General Revenues

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Recommend	FY 2017 Recommend
General Government					
Administration	\$259,268,901	\$244,775,881	\$197,494,291	\$216,525,887	\$243,008,631
Business Regulation	8,526,389	8,556,174	9,236,495	9,135,640	12,750,654
Executive Office Of Commerce (1)	-	203,145	60,840,542	61,014,948	63,281,421
Labor and Training	8,027,721	8,553,272	8,324,769	8,472,717	10,322,779
Revenue	99,768,361	106,969,845	113,198,446	110,571,771	111,231,248
Legislature	34,498,448	34,865,422	39,474,071	42,490,012	41,352,730
Lieutenant Governor	957,446	959,864	1,127,621	1,043,068	1,109,576
Secretary of State	6,196,251	7,164,977	6,951,530	6,747,368	10,092,686
General Treasurer	2,384,819	2,400,368	2,420,250	2,407,642	2,856,231
Board of Elections	1,573,262	4,656,136	1,818,305	1,797,868	1,982,707
Rhode Island Ethics Commission	1,541,741	1,579,038	1,644,876	1,611,119	1,653,383
Governor's Office	3,975,744	4,401,947	4,903,467	5,139,589	5,091,069
Commission for Human Rights	1,138,859	1,225,335	1,252,174	1,243,892	1,258,128
Public Utilities Commission	-	-	-	-	-
Subtotal - General Government	\$427,857,942	\$426,311,404	\$448,686,837	\$468,201,521	\$505,991,243
Health and Human Services					
Executive Office of Health & Human Services	839,589,446	915,652,909	909,934,065	926,021,780	914,720,115
Children, Youth, and Families	154,719,224	158,285,858	152,587,731	154,782,322	151,984,020
Health	23,469,936	22,821,939	25,835,956	25,719,200	26,501,994
Human Services	92,107,740	94,560,297	98,271,683	97,728,070	103,282,109
Behavioral Healthcare, Developmental Disabilities and Hospitals	200,160,407	173,264,827	172,488,711	174,461,291	168,143,778
Governor's Commission on Disabilities	339,529	355,439	383,056	381,890	386,147
Commission On Deaf and Hard of Hearing	375,549	387,625	411,883	409,040	477,746
Office of the Child Advocate	544,231	613,552	672,273	669,417	650,582
Office of the Mental Health Advocate	485,005	504,149	508,251	549,419	542,009
Subtotal - Human Services	\$1,311,791,067	\$1,366,446,595	\$1,361,093,609	\$1,380,722,429	\$1,366,688,500
Education					
Elementary and Secondary	959,927,061	1,002,464,660	1,067,719,085	1,067,544,042	1,109,259,026
Higher Education	179,174,880	188,223,129	196,304,956	180,983,077	199,498,689
RI Council on the Arts	1,310,474	1,490,966	1,863,052	1,859,778	1,539,620
RI Atomic Energy Commission	859,903	872,139	957,170	936,450	981,100
Higher Education Assistance Authority (3)	4,333,323	147,000	0	0	-
Historical Preservation and Heritage Comm.	1,282,682	1,138,182	1,380,972	1,430,963	1,373,860
Public Telecommunications Authority	0	-	-	-	-
Subtotal - Education	\$1,146,888,323	\$1,194,336,076	\$1,268,225,235	\$1,252,754,310	\$1,312,652,295

Expenditures from General Revenues

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Recommend	FY 2017 Recommend
Public Safety					
Attorney General	23,235,530	23,949,930	25,193,210	25,122,410	25,595,982
Corrections	188,152,167	196,162,110	200,225,250	204,394,923	212,679,501
Judicial	91,551,391	94,371,203	96,031,046	95,581,117	96,341,410
Military Staff	3,461,473	2,144,129	2,065,434	2,363,408	2,659,719
Rhode Island Emergency Mng Agency (2)	-	1,796,019	1,766,002	1,762,453	1,848,876
Public Safety	96,048,576	99,121,734	97,060,493	93,257,274	99,825,776
Office Of Public Defender	10,742,068	10,829,860	11,621,977	11,503,708	11,784,382
Subtotal - Public Safety	\$413,191,205	\$428,374,985	\$433,963,412	\$433,985,293	\$450,735,646
Natural Resources					
Environmental Management	34,534,163	36,110,396	37,586,385	38,425,074	38,240,878
Coastal Resources Management Council	2,160,560	2,313,282	2,433,260	2,421,855	2,452,438
Subtotal - Natural Resources	\$36,694,723	\$38,423,678	\$40,019,645	\$40,846,929	\$40,693,316
Transportation					
Transportation	-	-	-	-	-
Subtotal - Transportation	-	-	-	-	-
Total	\$3,336,423,260	\$3,453,892,738	\$3,551,988,738	\$3,576,510,482	\$3,676,761,000

(1) New Agency effective February 1, 2015.

(2) In FY 2015, the Rhode Island Emergency Management Agency was separated from the Military Staff.

(3) In FY 2016, the RIHEAA was moved under the Public Higher Education Budget.

Expenditures from Federal Funds

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Recommend	FY 2017 Recommend
General Government					
Administration	\$72,702,362	\$77,782,063	\$43,302,629	\$43,989,894	\$14,896,706
Business Regulation	2,038,842	2,216,757	2,795,240	2,768,904	1,100,710
Executive Office Of Commerce (1)	-	-	10,983,803	11,063,878	15,290,927
Labor and Training	72,019,823	35,913,567	38,164,061	56,691,604	38,514,938
Revenue	1,655,815	4,314,983	1,315,154	5,288,759	2,145,367
Legislature	-	-	-	-	-
Lieutenant Governor	1,583,196	9	65,000	0	0
Secretary of State	16,040	11,264	-	22,859	-
General Treasurer	852,195	649,120	891,955	1,019,884	952,881
Board of Elections	0	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	(53)	-	-	-
Commission for Human Rights	350,720	331,403	295,836	310,874	323,295
Public Utilities Commission	207,575	81,792	90,000	90,000	104,669
Subtotal - General Government	\$151,426,568	\$121,300,905	\$97,903,678	\$121,246,656	\$73,329,493
Health and Human Services					
Executive Office of Health & Human Services	1,093,456,235	1,402,816,157	1,462,232,758	1,522,294,853	1,476,096,972
Children, Youth, and Families	61,602,917	59,824,300	58,927,126	61,708,997	60,409,483
Health	55,777,861	57,741,431	65,752,434	70,070,913	100,365,021
Human Services	513,984,570	520,276,560	517,462,308	549,854,594	487,860,220
Behavioral Healthcare, Developmental Disabilities and Hospitals	228,621,075	187,771,526	182,605,186	189,408,411	187,490,274
Governor's Commission on Disabilities	50,799	263,931	35,459	21,181	10,297
Commission On Deaf and Hard of Hearing	-	-	-	-	-
Office of the Child Advocate	39,471	47,089	45,000	45,000	45,000
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$1,953,532,928	\$2,228,740,994	\$2,287,060,271	\$2,393,403,949	\$2,312,277,267

Expenditures from Federal Funds

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Recommend	FY 2017 Recommend
Education					
Elementary and Secondary	203,805,167	197,213,822	203,962,314	211,023,436	206,229,553
Higher Education	5,204,666	4,544,682	15,092,544	15,434,426	14,308,847
RI Council on the Arts	686,230	713,200	775,353	774,296	775,454
RI Atomic Energy Commission	12,301	89	54,699	325,555	50,308
Higher Education Assistance Authority (3)	10,244,455	7,375,001	0	0	-
Historical Preservation and Heritage Comm.	522,900	1,855,585	2,075,393	2,005,752	1,093,966
Public Telecommunications Authority	-	-	-	-	-
Subtotal - Education	\$220,475,719	\$211,702,379	\$221,960,303	\$229,563,465	222,458,128
Public Safety					
Attorney General	1,648,980	1,903,112	1,291,777	3,749,312	1,692,545
Corrections	1,834,575	1,534,164	1,337,381	1,885,937	1,130,008
Judicial	2,986,862	3,142,537	3,431,136	3,392,087	3,116,365
Military Staff	28,705,774	12,353,422	15,361,864	14,636,581	17,497,797
Rhode Island Emergency Mng Agency (2)	0	12,622,540	16,551,541	26,233,728	20,094,466
Public Safety	6,597,933	5,986,537	6,764,072	10,093,127	9,292,391
Office Of Public Defender	175,612	72,362	78,370	112,820	112,820
Subtotal - Public Safety	\$41,949,736	\$37,614,674	\$44,816,141	\$60,103,592	\$52,936,392
Natural Resources					
Environmental Management	22,231,819	26,411,282	29,307,477	31,376,799	29,728,792
Coastal Resources Management Council	2,127,181	1,876,791	2,614,348	4,220,154	4,098,312
Subtotal - Natural Resources	\$24,359,000	\$28,288,073	\$31,921,825	\$35,596,953	\$33,827,104
Transportation					
Transportation	284,457,818	267,656,266	263,615,422	274,256,147	272,409,980
Subtotal - Transportation	\$284,457,818	\$267,656,266	\$263,615,422	\$274,256,147	\$272,409,980
Total	\$2,676,201,769	\$2,895,303,291	\$2,947,277,640	\$3,114,170,762	\$2,967,238,364

(1) New Agency effective February 1, 2015.

(2) In FY 2015, the Rhode Island Emergency Management Agency was separated from the Military Staff.

(3) In FY 2016, the RIHEAA was moved under the Public Higher Education Budget.

Expenditures from Restricted Receipts

	FY 2014	FY 2015	FY 2016	FY 2016	FY 2017
	Actual	Actual	Enacted	Recommend	Recommend
General Government					
Administration (1)	\$15,899,348	\$20,553,583	\$28,853,407	\$45,364,953	\$35,983,267
Business Regulation	1,683,995	1,903,121	2,588,777	2,488,551	3,154,169
Executive Office Of Commerce (1)	-	-	2,800,000	4,000,000	4,750,000
Labor and Training	36,675,422	35,172,518	21,495,150	39,298,684	23,878,696
Revenue	1,696,101	1,718,666	3,894,326	4,935,486	5,947,043
Legislature	1,183,250	1,325,000	1,680,873	1,615,823	1,696,572
Lieutenant Governor	132,648	12,000	-	-	-
Secretary of State	456,222	478,183	599,108	531,285	556,519
General Treasurer	34,602,288	38,279,369	35,263,670	35,875,484	32,286,796
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	(1)	-	-	-
Commission for Human Rights	-	-	-	-	-
Public Utilities Commission	6,616,307	6,680,573	8,594,685	8,582,349	8,822,304
Subtotal - General Government	\$98,945,581	\$106,123,012	\$105,769,996	\$142,692,615	\$117,075,366
Health and Human Services					
Executive Office of Health & Human Services	12,568,219	16,181,394	15,737,130	15,245,412	18,499,402
Children, Youth, and Families	2,762,996	3,043,630	2,838,967	3,700,363	3,466,576
Health	29,272,149	30,856,883	29,813,515	35,317,888	36,465,514
Human Services	2,355,044	2,396,897	2,076,036	1,368,481	1,282,218
Behavioral Healthcare, Developmental Disabilities and Hospitals	8,459,502	9,873,915	9,180,797	8,365,757	8,435,824
Governor's Commission on Disabilities	6,898	6,633	10,009	32,890	44,126
Commission On Deaf and Hard of Hearing	23,956	43,167	80,000	130,000	110,000
Office of the Child Advocate	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$55,448,764	\$62,402,519	\$59,736,454	\$64,160,791	\$68,303,660
Education					
Elementary and Secondary	25,529,786	28,575,427	28,948,926	30,571,770	30,186,994
Higher Education	630,618	632,650	653,200	653,200	660,795
RI Council on the Arts	-	-	-	-	-
RI Atomic Energy Commission	-	-	-	-	-
Higher Education Assistance Authority (3)	-	-	-	-	-
Historical Preservation and Heritage Comm.	45,108	377,082	428,630	427,175	427,175
Public Telecommunications Authority	-	-	-	-	-
Subtotal - Education	\$26,205,512	\$29,585,159	\$30,030,756	\$31,652,145	\$31,274,964

Expenditures from Restricted Receipts

	FY 2014	FY 2015	FY 2016	FY 2016	FY 2017
	Actual	Actual	Enacted	Recommend	Recommend
Public Safety					
Attorney General	5,900,916	5,080,406	7,250,330	6,553,080	7,294,256
Corrections	53,383	169,484	47,058	269,614	60,141
Judicial	10,426,434	10,923,799	11,336,944	11,412,688	11,682,187
Military Staff	301,196	211,281	323,300	387,300	337,300
Rhode Island Emergency Mng Agency (2)	-	117,294	220,375	301,860	861,046
Public Safety	4,060,157	6,601,587	11,176,346	11,493,242	5,452,070
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$20,742,086	\$23,103,851	\$30,354,353	\$30,417,784	\$25,687,000
Natural Resources					
Environmental Management	13,377,916	12,186,477	18,354,537	19,462,047	19,081,956
Coastal Resources Management Council	170,000	180,733	250,000	250,000	250,000
Subtotal - Natural Resources	\$13,547,916	\$12,367,210	\$18,604,537	\$19,712,047	\$19,331,956
Transportation					
Transportation	7,202,773	2,411,276	1,000,000	159,506	180,219
Subtotal - Transportation	\$7,202,773	\$2,411,276	\$1,000,000	\$159,506	\$180,219
Total	\$222,092,632	\$235,993,027	\$245,496,096	\$288,794,888	\$261,853,165

(1) New Agency effective February 1, 2015.

(2) In FY 2015, the Rhode Island Emergency Management Agency was separated from the Military Staff.

(3) In FY 2016, the RIHEEA was moved under the Public Higher Education Budget.

Expenditures from Other Funds

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Recommend	FY 2017 Recommend
General Government					
Administration	\$64,624,462	\$72,337,128	\$98,381,313	\$90,133,453	\$103,806,069
Business Regulation	-	-	-	-	-
Executive Office Of Commerce (1)	-	-	300,000	300,000	300,000
Labor and Training	394,625,624	349,574,263	375,746,028	344,978,048	349,362,720
Revenue	319,166,978	336,014,138	304,799,323	357,432,437	383,275,881
Legislature	-	-	-	-	-
Lieutenant Governor	-	-	-	-	-
Secretary of State	-	37,079	436,246	462,921	100,000
General Treasurer	200,434	203,337	518,818	589,434	675,247
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	-	-	-	-
Commission for Human Rights	-	-	-	-	-
Public Utilities Commission	-	-	-	-	-
Subtotal - General Government	\$778,617,498	\$758,165,945	\$780,181,728	\$793,896,293	\$837,519,917
Health and Human Services					
Executive Office of Health & Human Services	-	-	-	-	-
Children, Youth, and Families	600,784	416,591	2,238,586	2,572,357	250,000
Health	56,184	2,331	0	0	-
Human Services	4,893,457	4,409,445	4,593,478	5,128,478	5,093,478
Behavioral Healthcare, Developmental Disabilities and Hospitals	8,473,476	4,303,746	6,671,000	7,607,528	10,936,000
Governor's Commission on Disabilities	474,320	589,099	0	0	-
Commission On Deaf and Hard of Hearing	-	-	-	-	-
Office of the Child Advocate	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$14,498,221	\$9,721,212	\$13,503,064	\$15,308,363	\$16,279,478
Education					
Elementary and Secondary	8,100,266	3,934,578	7,860,370	8,219,064	4,704,000
Higher Education	876,760,039	853,959,808	878,108,736	925,938,482	948,949,108
RI Council on the Arts	284,245	183,075	1,398,293	905,300	303,200
RI Atomic Energy Commission	261,561	315,624	325,300	342,085	319,527
Higher Education Assistance Authority (2)	8,295,894	7,668,540	0	0	-
Historical Preservation and Heritage Comm.	149,594	2,075,881	71,708	200,367	79,998
Public Telecommunications Authority	0	0	-	-	-
Subtotal - Education	\$893,851,599	\$868,137,506	\$887,764,407	\$935,605,298	\$954,355,833

Expenditures from Other Funds

	FY 2014 Actual	FY 2015 Actual	FY 2016 Enacted	FY 2016 Recommend	FY 2017 Recommend
Public Safety					
Attorney General	224,571	3,400	300,000	300,000	300,000
Corrections	5,765,039	3,524,382	9,416,000	11,175,627	12,250,000
Judicial	2,087,376	1,347,914	5,500,000	5,586,235	5,525,000
Military Staff	1,633,448	835,920	1,657,500	2,236,085	4,182,500
Rhode Island Emergency Mng Agency (3)	0	0	0	232,075	1,189,750
Public Safety (4)	4,903,167	5,495,038	8,724,505	9,033,186	7,238,978
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$14,613,601	\$11,206,654	\$25,598,005	\$28,563,208	\$30,686,228
Natural Resources					
Environmental Management	9,325,816	14,260,579	14,056,222	13,530,447	12,800,089
Coastal Resources Management Council	0	298,374	371,775	323,401	410,657
Subtotal - Natural Resources	\$9,325,816	\$14,558,953	\$14,427,997	\$13,853,848	\$13,210,746
Transportation					
Transportation	109,818,424	145,572,928	199,201,056	208,443,256	206,867,646
Subtotal - Transportation	\$109,818,424	\$145,572,928	\$199,201,056	\$208,443,256	\$206,867,646
Total	\$1,820,725,159	\$1,807,363,198	\$1,920,676,257	\$1,995,670,266	\$2,058,919,848

(1) New Agency effective February 1, 2015.

(2) In FY 2015, the Rhode Island Emergency Management Agency was separated from the Military Staff.

(3) In FY 2016, the RIHEAA was moved under the Public Higher Education Budget.

Full-Time Equivalent Positions

	FY 2014	FY 2015	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
General Government					
Administration	720.7	710.7	711.7	723.7	743.7
Business Regulation	94.0	98.0	98.0	98.0	104.0
Executive Office of Commerce	-	5.0	16.0	16.0	16.0
Labor & Training	410.0	410.0	410.0	416.5	416.5
Revenue	492.0	505.0	514.5	514.5	523.5
Legislature	298.5	298.5	298.5	298.5	298.5
Office of the Lieutenant Governor	8.0	8.0	8.0	8.0	8.0
Secretary of State	57.0	57.0	57.0	57.0	59.0
General Treasurer	83.0	83.0	84.0	87.0	88.0
Board Of Elections	11.0	11.0	11.0	11.0	12.0
Rhode Island Ethics Commission	12.0	12.0	12.0	12.0	12.0
Office of the Governor	45.0	45.0	45.0	45.0	45.0
Commission for Human Rights	14.5	14.5	14.5	14.5	14.5
Public Utilities Commission	49.0	50.0	50.0	50.0	51.0
Subtotal - General Government	2,294.7	2,307.7	2,330.2	2,351.7	2,391.7
Human Services					
Office of Health and Human Services	184.0	184.0	187.0	187.0	187.0
Children, Youth, and Families	670.5	672.5	672.5	672.5	672.5
Health	491.1	491.3	490.6	490.6	503.6
Human Services	959.1	959.1	959.1	959.1	955.1
Behavioral Healthcare, Developmental Disabilities, and Hospitals (1)	1,422.4	1,420.4	1,421.4	1,419.4	1,417.4
Office of the Child Advocate	6.0	6.0	6.0	6.0	6.0
Commission On the Deaf & Hard of Hearing	3.0	3.0	3.0	3.0	4.0
Governor's Commission on Disabilities	4.0	4.0	4.0	4.0	4.0
Office of the Mental Health Advocate	3.7	3.7	4.0	4.0	4.0
Subtotal - Human Services	3,743.8	3,744.0	3,747.6	3,745.6	3,753.6
Education					
Elementary and Secondary Education	171.4	158.4	151.4	153.4	153.4
Davies	126.0	126.0	126.0	126.0	126.0
School for the Deaf	60.0	60.0	60.0	60.0	60.0
Elementary Secondary Education - Total	357.4	344.4	337.4	339.4	339.4
Office of Postsecondary Commissioner Standard	15.8	14.0	24.0	24.0	23.0
URI Standard	1,996.6	1,882.7	1,882.7	1,882.7	1,915.7
RIC Standard	837.6	841.6	841.6	841.6	844.2
CCRI Standard	754.1	760.1	765.1	765.1	765.1
Higher Education - Total Non-Sponsored	3,604.1	3,498.4	3,513.4	3,513.4	3,548.0
RI Council On The Arts	6.0	6.0	8.6	8.6	6.0
RI Atomic Energy Commission	8.6	8.6	8.6	8.6	8.6
Higher Education Assistance Authority (2)	23.0	22.0	-	-	-
Historical Preservation and Heritage Commission	16.6	16.6	16.6	16.6	16.6
Subtotal - Education	4,015.7	3,896.0	3,884.6	3,886.6	3,918.6

Full-Time Equivalent Positions

	FY 2014	FY 2015	FY 2016 Enacted	FY 2016 Revised	FY 2017 Recommend
Public Safety					
Attorney General	233.1	236.1	236.1	236.1	236.1
Corrections	1,419.0	1,419.0	1,419.0	1,419.0	1,432.0
Judicial	726.3	723.3	724.3	724.3	724.3
Military Staff	117.0	85.0	92.0	92.0	96.0
Emergency Management	-	32.0	32.0	32.0	32.0
Public Safety	634.2	633.2	633.2	633.2	633.2
Office of the Public Defender	93.0	93.0	93.0	93.0	93.0
Subtotal - Public Safety	3,222.6	3,221.6	3,229.6	3,229.6	3,246.6
Natural Resources					
Environmental Management	399.0	399.0	399.0	399.0	401.0
Coastal Resources Management Council	29.0	29.0	29.0	29.0	29.0
Subtotal - Natural Resources	428.0	428.0	428.0	428.0	430.0
Transportation					
Transportation	752.6	752.6	752.6	752.0	741.0
Subtotal - Transportation	752.6	752.6	752.6	752.0	741.0
Total Standard	14,457.4	14,349.9	14,372.6	14,393.5	14,481.5
Higher Education Third Party*					
Office	1.0	1.0	1.0	1.0	1.0
CCRI	58.7	94.0	89.0	89.0	89.0
RIC	82.0	82.0	82.0	82.0	82.0
URI	501.2	573.8	573.8	573.8	573.8
Subtotal Third Party	642.9	750.8	745.8	745.8	745.8
Total Higher Education	4,247.0	4,249.2	4,259.2	4,259.2	4,293.8
Total Personnel Authorizations	15,100.3	15,100.7	15,118.4	15,139.3	15,227.3

*A total of 745.8 FTE positions in Higher Education in FY 2016 and FY 2017 represent FTE's supported by third party funds. Commencing in FY2005, these positions were included in the overall FTE Cap. In addition, there are separate caps for each program and for sponsored/non-sponsored research FTE's.

(1) formerly Mental Health, Retardation and Hospitals

(2) merged with Higher Education

General Revenues as Recommended

	FY 2014 Audited	FY 2015 Audited	FY 2016 Revised	FY 2017 Recommended
Personal Income Tax	\$ 1,115,512,527	\$ 1,227,581,960	\$ 1,214,900,000	\$ 1,263,499,140
General Business Taxes				
Business Corporations	114,215,409	147,979,089	153,500,000	163,651,005
Public Utilities Gross Earnings	101,382,220	103,950,349	104,000,000	104,500,000
Financial Institutions	16,610,639	22,743,284	17,700,000	19,500,000
Insurance Companies	102,356,841	120,264,561	122,400,000	127,674,000
Bank Deposits	2,471,553	2,259,880	2,300,000	2,300,000
Health Care Provider Assessment	42,131,465	44,125,338	44,000,000	45,100,000
Sales and Use Taxes				
Sales and Use	916,035,065	963,446,369	981,000,000	1,017,677,054
Motor Vehicle	52,407,813	49,117,001	36,200,000	12,200,000
Motor Fuel	523,920	(124,650)	500,000	500,000
Cigarettes	139,461,685	138,045,483	142,100,000	145,088,709
Alcohol	18,252,450	18,363,285	19,000,000	19,500,000
Controlled Substances	48,188	6,177		
Other Taxes				
Estate and Transfer	43,592,370	34,202,383	23,000,000	20,400,000
Racing and Athletics	1,176,869	1,107,202	1,100,000	1,100,000
Realty Transfer	7,961,816	9,493,464	10,000,000	10,300,000
Statewide Property Tax *				
Total Taxes	\$ 2,674,140,830	\$ 2,882,561,175	\$ 2,871,700,000	\$ 2,952,989,908
Departmental Receipts	\$ 360,678,655	\$ 354,121,814	\$ 356,705,000	\$ 369,500,902
Taxes and Departmentals	\$ 3,034,819,485	\$ 3,236,682,989	\$ 3,228,405,000	\$ 3,322,490,809
Other Sources				
Other Miscellaneous	6,391,686	8,778,364	783,000	23,341,000
Lottery	376,327,121	381,935,510	356,900,000	354,700,000
Unclaimed Property	12,724,124	13,711,780	10,100,000	8,800,000
Other Sources	\$ 395,442,931	\$ 404,425,654	\$ 367,783,000	\$ 386,841,000
Total General Revenues	\$ 3,430,262,416	\$ 3,641,108,643	\$ 3,596,188,000	\$ 3,709,331,809

The audited revenues displayed above reflect gross receipts, including reimbursement of tax credits issued through the Historical Structures Tax credit program. The state's consolidated financial report reflects the reimbursement as a transfer from other funds, rather than within each tax source.

Changes to FY 2016 Enacted Revenue Estimates

	FY 2016 Enacted	November REC Consensus Changes	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 1,215,737,125	\$ (837,125)	\$ -	\$ 1,214,900,000
General Business Taxes				
Business Corporations	136,380,000	17,120,000	-	153,500,000
Public Utilities Gross	104,700,000	(700,000)	-	104,000,000
Financial Institutions	16,500,000	1,200,000	-	17,700,000
Insurance Companies	125,228,416	(2,828,416)	-	122,400,000
Bank Deposits	2,000,000	300,000	-	2,300,000
Health Care Provider	44,936,976	(936,976)	-	44,000,000
Sales and Use Taxes				
Sales and Use	969,531,938	11,468,062	-	981,000,000
Motor Vehicle	36,538,048	(338,048)	-	36,200,000
Motor Fuel	500,000	-	-	500,000
Cigarettes	140,780,254	1,319,746	-	142,100,000
Alcohol	18,839,500	160,500	-	19,000,000
Other Taxes				
Estate and Transfer	20,400,000	2,600,000	-	23,000,000
Racing and Athletics	1,100,000	-	-	1,100,000
Realty Transfer	10,210,937	(210,937)	-	10,000,000
Total Taxes	\$ 2,843,383,194	\$ 28,316,806	\$ -	\$ 2,871,700,000
Departmental Receipts	357,236,271	(536,271)	\$ 5,000	356,705,000
Total Taxes and Departmentals	\$ 3,200,619,465	\$ 27,780,535	\$ 5,000	\$ 3,228,405,000
Other Sources				
Other Miscellaneous	1,397,000	(614,000)		783,000
Lottery	331,740,000	25,160,000	-	356,900,000
Unclaimed Property	10,000,000	100,000	-	10,100,000
Other Sources	\$ 343,137,000	\$ 24,646,000	\$ -	\$ 367,783,000
Total General Revenues	\$ 3,543,756,465	\$ 52,426,535	\$ 5,000	\$ 3,596,188,000

Changes to FY 2017 Adopted Revenue Estimates

	Revenue Estimating Conference Estimates	Changes to Adopted Estimates	Total
Personal Income Tax	\$ 1,265,400,000	\$ (1,900,860)	\$ 1,263,499,140
General Business Taxes			
Business Corporations	156,000,000	7,651,005	163,651,005
Public Utilities Gross	104,500,000	-	104,500,000
Financial Institutions	19,500,000	-	19,500,000
Insurance Companies	126,600,000	1,074,000	127,674,000
Bank Deposits	2,300,000	-	2,300,000
Health Care Provider	45,100,000	-	45,100,000
Sales and Use Taxes			
Sales and Use	1,015,000,000	2,677,054	1,017,677,054
Motor Vehicle	12,200,000	-	12,200,000
Motor Fuel	500,000	-	500,000
Cigarettes	138,600,000	6,488,709	145,088,709
Alcohol	19,500,000	-	19,500,000
Other Taxes			
Estate and Transfer	20,400,000	-	20,400,000
Racing and Athletics	1,100,000	-	1,100,000
Realty Transfer	10,300,000	-	10,300,000
Total Taxes	\$ 2,937,000,000	\$ 15,989,908	\$ 2,952,989,908
Departmental Receipts	\$ 191,400,000	\$ 178,100,902	\$ 369,500,902
Total Taxes and Departmentals	\$ 3,128,400,000	\$ 194,090,809	\$ 3,322,490,809
Other Sources			
Other Miscellaneous	\$ 1,116,000	\$ 22,225,000	\$ 23,341,000
Lottery	354,700,000	-	354,700,000
Unclaimed Property	8,800,000	-	8,800,000
Other Sources	\$ 364,616,000	\$ 22,225,000	\$ 386,841,000
Total General Revenues	\$ 3,493,016,000	\$ 216,315,809	\$ 3,709,331,809

General Revenue Changes to Adopted Estimates

FY 2017	Governor Recommend
<u>Taxes</u>	
<u>Personal Income Tax</u>	
<u>Final Payments</u>	
Taxation: Add 3.0 new FTE Revenue Officer I positions to Collections	\$ 788,468
<u>Refunds and Adjustments</u>	
Increase allowable percentage of federal earned income tax credit to 15.0 percent in TY 2017	(2,689,328)
Subtotal: Personal Income Taxes	\$ (1,900,860)
<u>General Business Taxes</u>	
<u>Business Corporations Tax</u>	
Taxation: Transfer pricing audit project	\$ 6,696,000
Taxation: Reinstate nexus program for business corporations tax	750,000
Taxation: Add 3.0 new FTE Revenue Officer I positions to Collections	205,005
Subtotal: Business Corporation Tax	\$ 7,651,005
<u>Insurance Companies Gross Premiums</u>	
Increased enforcement of retaliatory assessments on foreign insurers	\$ 1,074,000
Subtotal: Insurance Companies Gross Premiums Tax	\$ 1,074,000
Subtotal: General Business Taxes	\$ 8,725,005
<u>Sales and Excise Taxes</u>	
<u>Sales and Use Tax</u>	
Increased sales tax from higher final retail price of cigarettes as a result of excise tax increase to \$4.00 per pack	\$ 633,248
Taxation: Add 2.0 new FTE Revenue Agent I positions to Field Audit	1,009,167
Taxation: Add 3.0 new FTE Revenue Officer I positions to Collections	784,639
Taxation: Reinstate nexus program for sales and use tax	250,000
Subtotal: Sales and Use Tax	\$ 2,677,054
<u>Cigarettes Excise Tax</u>	
Increase cigarette excise tax rate to \$4.00 per pack of 20 cigarettes eff August 1, 2016	\$ 5,685,325
Cigarette floor stock tax of \$0.25 per pack for all stock held as of 12:01 AM on August 1, 2016	803,384
Subtotal: Cigarettes Excise Tax	\$ 6,488,709
Subtotal: Sales and Excise Taxes	\$ 9,165,763
Subtotal: All Taxes	\$ 15,989,908
<u>Departmental Receipts</u>	
<u>Licenses and Fees</u>	
DBR: Medical marijuana \$150 plant tag fee for patients who self grow medical marijuana	\$ 1,440,000
DBR: Medical marijuana \$150 plant tag fee for caregivers who grow for Medicaid patients	611,902
DBR: Medical marijuana \$350 plant tag fee for caregivers who grow for non-Medicaid patients	8,253,228
DBR: Medical marijuana \$350 plant tag fee for co-op growers	399,000
DBR: Medical marijuana \$350 plant tag fee for growers with cultivator licenses	31,500
DOR: Reduction in compassion center surcharge from 4.0 percent to 3.0 percent	(172,405)
DOH: Transfer of medical marijuana patient registration fees to DOH restricted receipts	(516,866)
DOH: Transfer of medical marijuana caregiver registration fees to DBR restricted receipts	(384,781)
DHS: Reconstitute hospital licensing fee on hospital FY 2014 base year at 5.862 percent	169,050,449
Treasurer: Assess public finance management fees on re-financings and other exempt debt issuances	295,000
CRMC: Transfer submerged land rental fees to DEM restricted receipts	(150,000)
DBR: Bank/insurance examination fees at 150 percent of cost	400,000

General Revenue Changes to Adopted Estimates

<i>Subtotal: Licenses and Fees</i>	\$	<i>179,257,028</i>
 <i>Fines and Penalties</i>		
Taxation: Add 3.0 new FTE Revenue Officer I positions to Collections	\$	645,110
<i>Subtotal: Fines and Penalties</i>	\$	<i>645,110</i>
 <i>Sales and Services</i>		
Delay motor vehicle plate reissuance from July 2016 to April 2017	\$	(2,000,415)
<i>Subtotal: Sales and Services</i>	\$	<i>(2,000,415)</i>
 <i>Miscellaneous Revenues</i>		
DBR: Indirect cost recovery fee on medical marijuana caregiver registraion fees	\$	38,478
DBR: Indirect cost recovery fee on cultivation site license fees		150
DOH: Indirect cost recovery fee on medical marijuana patient registration fees		51,687
DOA: Forward capacity market revenue		108,864
<i>Subtotal: Miscellaneous</i>	\$	<i>199,179</i>
<i>Subtotal: Departmental Receipts</i>	\$	<i>178,100,902</i>
 <u><i>Other Sources</i></u>		
<i>Other Miscellaneous Revenues</i>		
Fraud initiative	\$	5,000,000
Sales of state fleet motor vehicles		1,000,000
Transfer of excess reserves from Rhode Island Health and Educational Building Corporation		5,000,000
Transfer of excess reserves from Rhode Island Airport Corporation		275,000
Transfer of excess reserves from Narragansett Bay Commission		1,450,000
Transfer of excess reserves from Rhode Island Resource Recovery Corporation		1,500,000
Transfer of excess reserves from Rhode Island Infrastructure Bank		8,000,000
<i>Subtotal: Other Miscellaneous Revenues</i>	\$	<i>22,225,000</i>
<i>Subtotal: Other Sources</i>	\$	<i>22,225,000</i>
 FY 2017 Total General Revenue Changes	 \$	 216,315,809

Other Revenue Enhancements

**Governor
Recommend**

FY 2017

Restricted Receipts

DOH: Transfer of medical marijuana patient registration fees from general revenues	\$	465,179
DBR: Transfer of medical marijuana caregiver registration fees from general revenues		346,303
DBR: Fees from \$500 medical marijuana cultivation site licenses		1,350
DEM: Transfer of submerged land lease fees from CRMC general revenues		150,000

Sub-Total Restricted Receipts

\$ 962,832

FY 2017 Total Non-General Revenue Changes

\$ 962,832

