

# **The Budget**



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# **Introduction**



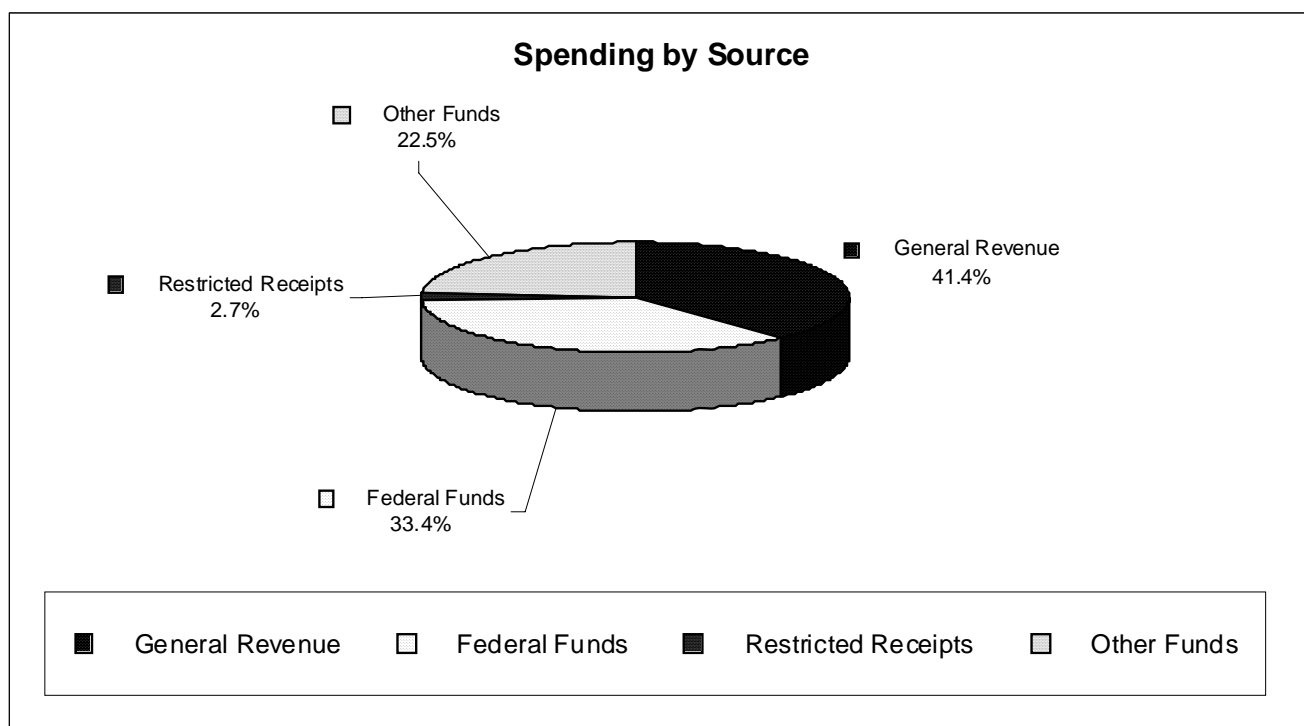
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## Introduction

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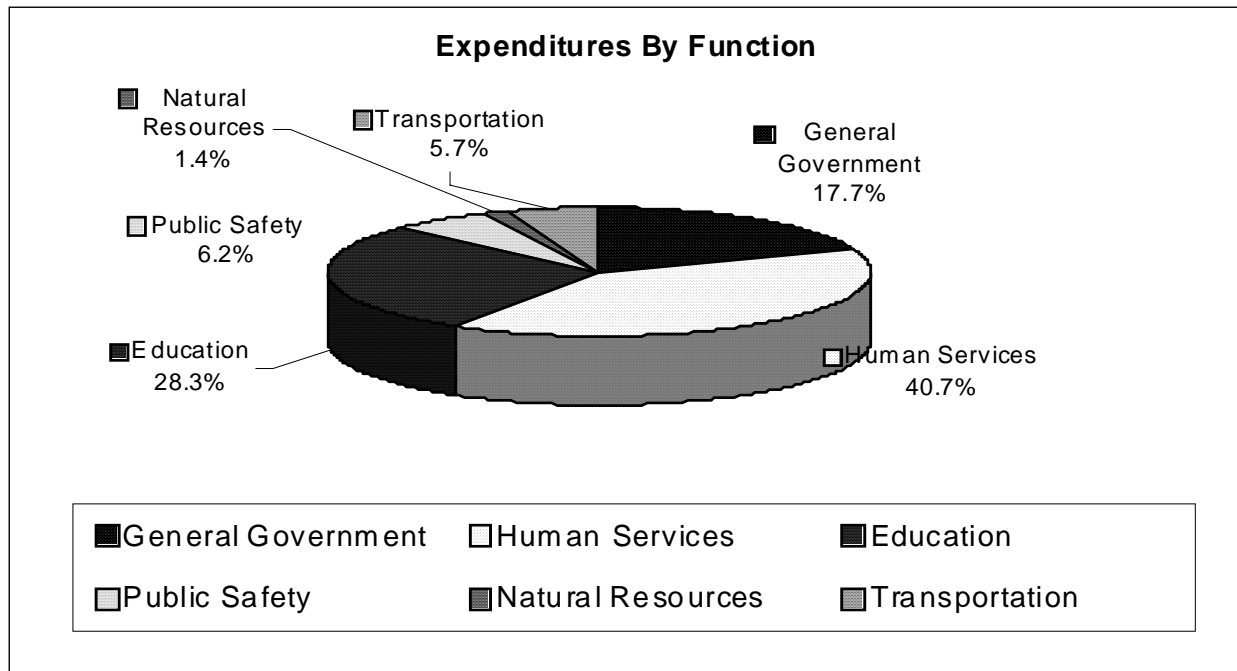
All funds expenditures for FY 2012 are \$7.661 billion. Of this total, \$3.170 billion, or 41.4 percent, is from general revenue, \$2.557 billion, or 33.4 percent, from federal funds, \$1.725 billion, or 22.5 percent, from other sources, and \$209.5 million, or 2.7 percent, is from restricted or dedicated fee funds.

On a functional basis, the largest percentage of expenditures is made in the Human Services area, which comprises \$3.120 billion, or 40.7 percent of the total budget. This is followed by spending for Education of \$2.170 billion, which comprises 28.3 percent of all spending, and expenditures for General Government of

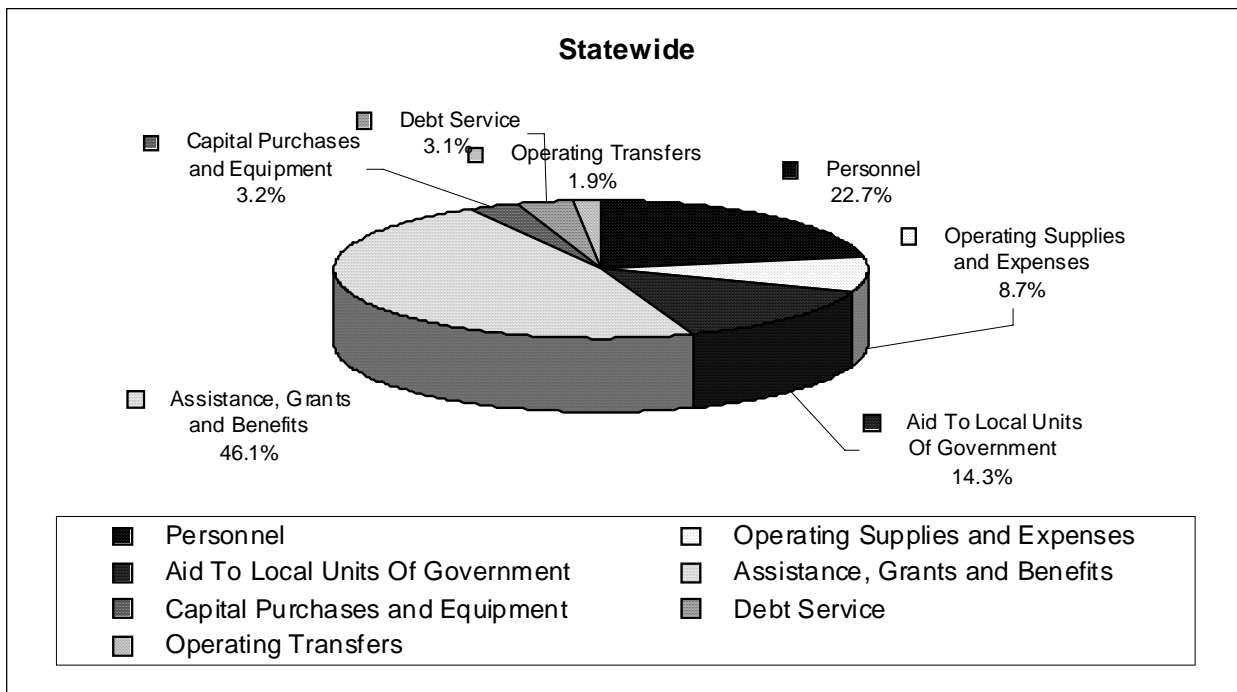


\$1.357 billion, equaling 17.7 percent. Public Safety, Natural Resources and Transportation expenditures make up the balance, totaling \$1.014 billion, or 13.3 percent of the total budget.

# Introduction



The second way to view expenditures is by major category. On this basis, the largest share of the FY 2012 budget is for assistance, grants and benefits equaling \$3.533 billion or 46.1 percent of the total. This is followed by personnel expenditures, which comprise 22.7 percent, or \$1.735 billion, and local aid expenditures, which make up 14.3 percent, or \$1.097 million of the total budget. Expenditures for capital purchases and debt service total \$484.7 million or 6.3 percent, with the balance of spending used to finance operating expenditures and operating transfers of \$812.1 million, or 10.6 percent of the total.





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# Introduction

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Expenditures from general revenue total \$3.170 billion for FY 2012. By function, spending by Human Service agencies represents the largest share with expenditures, totaling \$1.261 billion, or 39.8 percent of the general revenue budget. This is followed by spending for Education, which totals \$1.054 billion, or 33.2 percent. General revenue expenditures for General Government and Public Safety comprise \$414.4 million (13.1 percent) and \$402.2 million (12.7 percent), respectively. Expenditures for Natural Resources comprise \$39.0 million, or 1.2 percent of total general revenue spending. Transportation expenditures are financed by dedicated gasoline taxes and are not a component of general revenue spending.

General revenue expenditures by category are primarily devoted to financing grants, local aid and personnel. The largest component is assistance, grants, and benefit expenditures of \$1.125 billion, comprising 35.5 percent of total general revenue spending. Local Aid expenditures of \$895.3 million represent 28.3 percent of total spending; personnel expenditures of \$841.8 million comprise 26.6 percent of the budget; operating expenditures and operating transfers total \$138.5 million, or 4.4 percent of the budget; and, capital expenditures and debt service total \$169.0 million, or 5.3 percent of the total general revenue budget.

Governor Chafee's *FY 2012 Budget* is the second volume in a six-volume set. The first volume, the *Executive Summary*, contains the summaries of revenue and expenditures on a statewide, functional, and departmental basis. The *Executive Summary* also presents statewide expenditure data by *category* or object of expenditure. This same data is presented in this document in more detail by program.

Specific recommendations for FY 2012 for the departments are presented in the *Executive Summary*, as well as the five-year financial projection as provided by law. Further detail is provided in the *Technical Appendix*.

*The Budget* provides an overview of state expenditures, as well as an in-depth presentation of the State Budget by program with special reports on Education Aid and State Aid to provide a historical perspective on these state expenditures. *The Budget* also contains a "Budget Primer" which is intended to assist the reader of the budget documents in understanding the budget process in Rhode Island.

The financial data presented for state agencies in *The Budget* for the past two fiscal years (FY 2009 and FY 2010) is generally derived from the appropriation accounting and receipt accounting files of the State Controller, as of the time of year-end closing. In the case of the accounts under the jurisdiction of the Board of Governor's of Higher Education, these columns reflect independently audited records.

The financial data for state agencies for the current fiscal year is from the enacted budget, modified in some cases to reflect recommended supplemental appropriations or withdrawals, revised expenditure estimates by category of expenditure or program, and revised estimates of federal grant awards or restricted receipts. In this document, the general revenue balance forward is included at the account level. The proposed changes to the enacted FY 2011 budget are included in the financial data by program for FY 2011. Totals and subtotals often appear to be inaccurate by small amounts or may disagree by small amounts with other budget and financial documents; this is due to differences in rounding procedures. The annual Appropriations Act is the absolute reference for state appropriation amounts.

*The Budget* also contains both narrative descriptions of Rhode Island's quasi-public agencies, authorities and entities, which are component units of state government for financial reporting purposes, and presents financial data provided by these entities. The Budget Office requests that quasi-public agencies and authorities submit information in the format used by the agency; no attempt is made to conform the financial presentation of the agencies data. In most cases, the FY 2011 and FY 2012 information has not been officially approved by the entities' governing bodies.

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## Introduction

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The *FY 2012 Budget* also continues to report performance measurements for most programs, as required by legislative mandate to develop performance measurements for use in the budget process. Measurements are reflected on each finance page of the *FY 2012 Budget* and are explained in detail in the *Technical Appendix*.

The *Personnel Supplement* contains information relating to personnel costs by program, which are included in the Governor's *FY 2012 Budget*. The *Budget as Enacted* will be prepared after final enactment by the 2011 General Assembly. The *Capital Budget* contains information on the Governor's recommended capital improvement plan, and contains individual project expenditures. *The Budget* generally contains the debt service component relating to capital improvements and any "pay-as-you-go" capital, which is financed from current revenues.

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# The Budget Process: A Primer

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The purpose of this primer is to clarify the annual budget and appropriations processes.

**Appropriation Process.** According to Article IX Section 16 of the Rhode Island Constitution, and the Rhode Island General Laws Section 35-3-7, the Governor must present spending recommendations to the Legislature. *The Budget* reflects expenditures for both the current and upcoming fiscal year and identifies the sources of financing for those expenditures.

On or before the third Thursday in January, unless delayed by act of the Legislature, the Governor must submit to the General Assembly a budget containing a complete plan of estimated revenues and proposed expenditures, with a personnel supplement detailing the number and titles of positions of each agency, and estimates of personnel costs for the next fiscal year.

The budget is proposed by the Governor and considered by the General Assembly, which may increase, decrease, alter, or strike out any item in the budget, provided that the action would not cause an excess of appropriations over anticipated revenue receipts. No appropriation in excess of budget recommendations may be made by the General Assembly unless it provides the necessary additional revenue to cover such appropriation. The Governor may veto legislative appropriations, although not on an individual “line item” basis. The Legislature may override any veto by a three-fifths majority vote. Supplemental appropriations measures must be submitted by the Governor to the General Assembly on or before the third Thursday in January. Supplemental appropriations by the General Assembly must also be supported by additional revenues.

The general laws of the state provide that if the General Assembly fails to pass the annual appropriation bill, amounts equal to those appropriated in the prior fiscal year shall be automatically available for expenditure, subject to monthly or quarterly allotments as determined by the Budget Officer. Expenditures for general obligation bond indebtedness of the state shall be made as required regardless of the passage of the annual budget or the amount provided for in the prior fiscal year.

**Fiscal Years.** It is important when reading the budget to consider which fiscal year is being discussed. The state *fiscal year* runs from July 1 to the following June 30 and is numbered for the calendar year in which it ends (e.g. FY 2011). The *current fiscal year* is the one which ends the coming June. The *actual fiscal years* are the years which concluded in June of the two previous years. The *budget year* refers to the next fiscal year, which begins the July following the Legislature's adjournment. Finally, *out-years* refer to any years beyond the budget year.

By law, *The Budget* must record two actual fiscal years of spending, as well as the Governor's revised spending recommendations for the current fiscal year, and the Governor's full recommendations for the budget year.

**Revenue Estimates and Caseload Estimates.** Receipt estimates for the current year and budget year are those adopted by the State Revenue Estimating Conference, as adjusted by any changes recommended by the Governor.

The State Revenue Estimating Conference was created by the 1990 General Assembly to provide the Governor and the Assembly with estimates of general revenues. It is composed of the State Budget Officer, the House Fiscal Advisor, and the Senate Fiscal Advisor, with the chair rotating among the three. It must meet no less than twice per year, in November and May, and can be convened at any other time by call of

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# The Budget Process: A Primer

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any member, and must reach consensus on revenues. The 1991 Assembly created a Medical Assistance and Public Assistance Caseload Estimating Conference similar to the Revenue Estimating Conference to adopt cash assistance entitlement caseload estimates. The 1998 Assembly amended the Medical Assistance and Public Assistance Caseload Estimating Conference to estimate medical assistance expenditures, upon which the Governor's expenditures budget shall be based, and the appropriations by the assembly shall be made.

The consensus revenue estimate is the official revenue projection for general revenue. Estimates of revenues for federal funds, restricted receipts, and other funds are prepared by individual state agencies, reviewed by the Budget Office, and included in *The Budget*.

**Classification of State Spending.** The State of Rhode Island classifies state spending by function of government and by category of expenditure.

**Function of government** classifies expenditures by grouping agencies that make expenditures for similar programs and purposes. There are six functions of government defined in *The Budget*: General Government, Human Services, Education, Public Safety, Natural Resources, and Transportation.

The following explains the six functions of government included in *The Budget*.

*General Government* includes the administrative and regulatory functions of state government. Certain elected officials (Governor, Lieutenant Governor, General Treasurer, Secretary of State), the Legislature, and the Department of Administration are agencies that perform an administrative function. The Department of Business Regulation, the Department of Labor and Training, and the Public Utilities Commission are examples of agencies that perform a regulatory function. The Department of Revenue coordinates revenue collection activities of several state divisions.

*Human Services* includes agencies that provide services to individuals. These services provided include: the care of the disabled by the Department of Mental Health, Retardation and Hospitals; child protective and social services provided by the Department of Children, Youth and Families; health programs at the Department of Health and the Department of Human Services; financial assistance and social services provided by the Department of Human Services; and pharmaceutical assistance and home health care at the Department of Elderly Affairs. Beginning in FY 2012, the Human Services function also includes the Department of Veterans' Affairs, composed primarily of the Rhode Island Veterans' Home and the Rhode Island Veterans' Memorial Cemetery. The Executive Office of Health and Human Services coordinates the programmatic and financing concerns of the Human Services agencies.

The *Education* function provides education services to Rhode Islanders. The State Board of Regents for Elementary and Secondary Education and the Board of Governors for Higher Education provide direct education services, while services provided by the Rhode Island Telecommunications Authority are indirect in nature.

*Public Safety* is the function that provides safety and security services to Rhode Island citizens. Agencies in this function include the Department of Corrections, the Judiciary, the Attorney General and the Department of Public Safety.

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# The Budget Process: A Primer

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The *Natural Resources* function protects the natural and physical resources of the state and regulates the use of those resources. Agencies included in this function are the Department of Environmental Management, the Coastal Resources Management Council, and the Water Resources Board.

*Transportation* includes the Department of Transportation, which is the only agency in this function. It is responsible for maintaining and constructing highways in Rhode Island, and for planning and financing all surface transportation modes.

**Categories of expenditure** classify expenditures by budgeting and accounting objects of expenditure: personnel; state operations; aid to local units of government; assistance, grants, and benefits; and capital. Objects of expenditures define how funds are encumbered and expended.

*Personnel* includes the salaries, wages, and benefits of state employees, as well as personnel services purchased from outside contractors and vendors.

*Operating Supplies and Expenses* include expenses incurred while conducting the day-to-day business of state government. This category is often referred to simply as "operating". Operating expenses comprise non-personnel expenditures for operations of state government, including facilities maintenance, program and office supplies, rental of outside property, telecommunications, and insurance.

*Aid to Local Units of Government* is payments made to governmental units that provide services at the local level. Education Aid to local school districts is an example.

*Assistance, Grants and Benefits* constitutes payments to individuals and agencies which are not governmental units. Drugs, medicine and nursing facilities for the Medicaid programs, the pharmaceutical assistance program for the elderly, and cash assistance payments for the Rhode Island Works, Supplemental Security Income, and Child Care Assistance entitlements are a few examples.

*Capital Purchases and Equipment* include capital improvements and new capital construction financed via the Rhode Island Capital Plan Fund (RICAP), general revenues, or federal funds. Please note that the majority of capital improvements, supported by other forms of financing (i.e. state debt instruments), are generally found in the *Capital Budget*.

*Debt Service* includes payments on short term tax anticipation notes, long term general obligation bonds, Rhode Island Refunding Bond Authority lease payments, certificates of participation payments for the Intake Service Center, Attorney General's administrative office, Information Technology, and the Rhode Island School for the Deaf; and lease payments to the Convention Center Authority.

*Operating Transfers* include inter-fund and inter-agency transfers of financial resources.

**State Employees.** A major part of the state operations category of expenditures is salary and wage payments to employees. Public service in state government is divided into the classified service, unclassified service and non-classified service. The classified service comprises all positions in state service, now existing or to be created, except as specifically provided under R.I.G.L. 36-4-2 for unclassified employees and R.I.G.L. 16-59-7 for Higher Education non-classified employees. The classified service is divided into a competitive branch and a non-competitive branch. Employees hired to fill positions in the classified service must be hired on the basis of merit and fitness. The non-competitive branch includes positions that require the performance of routine tasks, or those that require licenses, certificates, or registrations. These employees

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# The Budget Process: A Primer

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are also promoted and discharged on the basis of rules and regulations established and administered by the Rhode Island State Employees Merit System.

Certain positions are specifically designated for inclusion in the unclassified service. These positions are defined to include those in specific agencies, or types of agencies for specific purposes. Examples are employees of the Legislature, elected officials, and employees of the courts. Compensation for unclassified positions is governed by the Unclassified Pay Board and other matters are governed by rules and regulations of the unclassified system.

State service also includes special types of positions. In addition to regular full-time positions, there are *seasonal* positions in the classified service. Such positions may require the employee to work an irregular schedule such that the employee is on call when needed, or for only a portion of the year, and only for a maximum of 925 hours in a 12-month period. Employees of the Department of Environment Management who staff the state's parks, beaches, and wildlands in the summer are an example of seasonal employees.

**Financing of State Spending.** Frequent reference is made in *The Budget* to "general revenue" expenditures and expenditures from "all funds". Expenditures from all funds include both general revenue expenditures and expenditures from federal funds, restricted receipts, and other or special revenue funds.

General revenue receives the most attention in the budget because it is the largest of the "uncommitted" revenue sources available to the state. It is also the fund to which most general tax receipts are credited. The Legislature may appropriate general revenue dollars for any purpose.

Federal funds, restricted receipts, and other funds, by contrast, are dedicated to specific purposes. For example, the Legislature may not appropriate monies from the Unemployment Insurance Fund to build new prisons. Other funds include the University and College Funds, the Transportation Fund, the Unemployment Insurance Fund, the Temporary Disability Insurance Fund, and the Rhode Island Capital Plan Fund.

Within the budget documents, schedules contain expenditure data for two actual fiscal years, the current fiscal year and the budget year. The schedules display agency data by fund source for All Funds, General Revenue, Federal Funds, Restricted Receipts, and Other Funds.

**Rhode Island Capital Plan Funds.** On November 7, 2006, Rhode Island voters approved a constitutional amendment limiting state expenditures commencing in FY 2008 such that appropriations do not result in general fund expenditures exceeding 98.0 percent of general fund revenues in FY 2008, and 97.0 percent in FY 2012 and thereafter. The amendment to the Rhode Island Constitution that has restricted, as of July 1, 2007, the use of excess funds in the Rhode Island Capital Fund solely for capital projects. Previously, the fund could be used for debt reduction, payment of debt service, and capital projects. Also, the constitutional amendment will, beginning on July 1, 2012, increase the budget reserve account by limiting annual appropriations to ninety-seven (97%) percent of estimated revenues and increasing the cap on the budget reserve account to five (5%) percent of estimated revenues. During the 2007 Session of the General Assembly, a statutory schedule was enacted to provide for incremental decreases of 0.2 percent to gradually move spending from 98 percent of revenues to 97 percent of revenues. Additionally, the budget reserve account maximum balance would be gradually increased by increments of 0.4 percent to gradually move from 3.0 percent to 5.0 percent of resources. In FY 2012, the spending is limited to 97.2 percent of revenues and the budget reserve fund is capped at 4.6 percent of resources.

Additionally, during the 2007 Session of the General Assembly, a law was enacted which requires that

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# The Budget Process: A Primer

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revenues received in excess of the amount estimated in the enacted budget, net of reserve fund contributions, would be transferred to the State Retirement Fund upon completion of the post audit.

**Budget Basis.** *The Budget* is prepared on the same basis that the state's year end financial statements, which is a modified accrual basis. Briefly, this means that obligations of the state are budgeted as expenditures if the goods or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30<sup>th</sup> of that year. Revenues are estimated on the basis of when they are "earned" by the state. For example, the estimate of gas tax revenues reflects twelve months of revenues. However, due to a one month difference in the time the liability is incurred and the actual cash payment is made by the taxpayer, the revenue estimate reflects cash received from August through July.

The Comprehensive Annual Financial Report (CAFR) shows the status of the state's finances on the basis of "generally accepted accounting principles" (GAAP). Every attempt is made in preparing the budget to ensure that it is consistent with the auditing standards upon which the state's financial position will be determined.

**Program Performance Measures.** The current administration continues in its effort to develop and track measures of the performance of state agencies and departments. The performance measures included in the FY 2012 budget are derived from the on-going process to identify and refine measures for state decision-makers to evaluate on an annual basis. With few exceptions, all executive branch agencies, and most other government offices, have developed a mature set of program performance measures. These measures are included on the agency and program financing pages in *The Budget* and are described further in the *Technical Appendix*. In some cases where no measures are identified, the process of developing measures continues. These cases are identified as NS (not supplied) or NC (not complete). Performance measures for general officers and agency central management units are not required, indicated by NA (not applicable).

**The Budget Process.** Production of a budget is a continuous process. It does, however, have certain discrete phases. In the Executive Branch, the budget process begins as soon as the legislative session ends. At that time the budget staff prepares the *Budget as Enacted* which reflects the budget enacted by the Legislature.

In the spring and early summer, budget instructions and allocations are distributed by the Budget Office to state agencies. At those times, agencies are instructed by the Budget Office to prepare a capital and operating budget. The capital budget contains a five-year capital improvement plan.

Agencies are requested to prepare operating budgets at specified target levels for submission on or before September 15 or as specified by the Budget Officer. Agencies may also be allowed the opportunity to request additional funding through special white papers, separate from the agency's budget request.

The individual budgets submitted by the state agencies show program expenditures, with appropriate funding sources for each program within the agency. These data are shown for the actual year, the current year, and the target for the budget year.

In the fall and early winter, analysts in the Budget Office review agency budget requests. The Budget Office staff prepares recommendations for the Governor. An analysis of items added or reduced from the target request is presented to the Governor. The analysis includes the basis for the agency's request and the Budget Office recommendation and the basis for it. The Governor then makes a budget determination. This process is repeated for all issues in all agencies. These decisions form the Governor's recommendations. The Budget

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# The Budget Process: A Primer

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Office compiles the individual recommendations, aggregates them, and prepares *The Budget*. *The Budget* reflects the revised current year funding plan submitted to the Legislature on the third Thursday in January, as well as the budget year funding plan.

During this same period between September and the start of the legislative session, House and Senate fiscal staffs also have the agency budget requests for analysis. Following receipt of the Governor's recommendations, the legislative fiscal analysts begin their analysis of the recommendations of the Governor.

The Governor's budget recommendations traditionally are incorporated in one omnibus appropriations bill, which normally includes articles containing any statutory changes which would be required to implement the Governor's budget.

The following table summarizes the **budget calendar** during the budget cycle:

Mid-July	Capital Budget Requests due to Budget Office.
Late July	Budget Instructions and Funding Targets distributed to Agencies.
Mid September	Budget requests of Agencies with enacted budget of \$7.0 million or less due to Budget Office.
Late Sept./	
Early Oct.	Budget requests of Agencies with enacted budget of over \$7.0 million due to Budget Office.
Oct./Nov.	Agency Meetings to review Budget Recommendations.
Late Dec./	
Early Jan.	Gubernatorial Decisions on current year supplement and budget year recommendations.
3 <sup>rd</sup> Thursday	Statutory date for current-year Governor's Supplemental Appropriation bill submitted to
in January	Legislature.
3 <sup>rd</sup> Thursday	Statutory date for budget-year Governor's Budget Recommendation bill submitted to
in January	Legislature.

**Consideration by House of Representatives and Senate.** Appropriation bills are introduced into the House and are first considered by the House Finance Committee. The Senate Finance Committee also begins hearings on the budget bill, as introduced by the Governor. The chairpersons of the committees appoint subcommittees to consider appropriations for various agencies. Subcommittees vary in size, usually between two and five persons. After reviewing the budget requests and the Governor's budget, the subcommittees recommend adjustments to the full committee for consideration. A committee may adjust the recommendations of its subcommittee. Appropriation bills are reprinted to reflect the recommendations of the full committee. The bill is then presented to the full House, and upon passage is transmitted to the Senate. The process for review of an appropriation bill in the Senate mirrors the steps followed in the House of Representatives. Usually, however, consideration by the Senate occurs in a much shorter span of time. Once the bill is presented and approved by the full Senate, the Governor has three options: 1) sign the bill into law, 2) veto the bill, or 3) allow the bill to become law without signature (after six days, Sundays excepted, the bill automatically becomes law without the Governor's signature). The General Assembly may overturn the Governor's veto with a three-fifths vote in each house.



# **General Government**

Department of Administration

- Central Management
- Legal Services
- Accounts and Control
- Budgeting
- Purchasing
- Auditing
- Human Resources
- Personnel Appeal Board
- Facilities Management
- Capital Projects and Project Management
- Information Technology
- Library and Information Services
- Planning
- Energy Resources
- Security Services
- General
- Debt Service Payments
- Salary / Benefit Adjustments
- Internal Service Programs

Department of Business Regulation

- Central Management
- Banking Regulation
- Securities Regulation
- Insurance Regulation
- Health Insurance Commissioner
- Board of Accountancy
- Commercial Licensing and Racing and Athletics
- Design Professionals
- State Aid

Department of Labor and Training

- Central Management
- Workforce Development Services
- Workforce Regulation and Safety
- Income Support
- Injured Workers Services
- Labor Relations Board

Department of Revenue

- Director of Revenue
- Revenue Analysis
- Lottery Division
- Municipal Finance
- Taxation
- Registry of Motor Vehicles
- State Aid

Legislature

- General Assembly
- Fiscal Advisory Staff to House Finance Committee
- Legislative Council
- Joint Committee on Legislative Affairs
- Office of the Auditor General
- Special Legislative Commissions

Office of the Lieutenant Governor

Secretary of State

- Administration
- Corporations
- State Archives
- Elections and Civics
- State Library
- Office of Public Information
- Internal Service Programs

Office of the General Treasurer

- General Treasury
- State Retirement System
- Unclaimed Property
- Crime Victim Compensation

Board of Elections

- Rhode Island Ethics Commission
- Office of the Governor
- Commission for Human Rights
- Public Utilities Commission
- Rhode Island Commission on Women

## General Government Function Expenditures

	FY 2009 Actuals	FY 2010 Actuals	FY 2011 Enacted	FY 2011 Working	FY 2011 Gov.	FY 2012 Gov.
<b>Expenditure by Object</b>						
Personnel	219,654,757	215,900,424	240,875,310	240,875,310	241,759,303	238,013,646
Operating Supplies and Expenses	251,247,681	275,229,261	257,870,077	257,870,077	277,823,778	278,198,066
Aid To Local Units Of Government	211,460,113	168,819,711	61,188,736	61,188,736	67,970,965	85,872,765
Assistance, Grants and Benefits	810,954,993	1,025,938,260	854,642,637	854,642,637	907,659,790	494,598,099
<b>Subtotal: Operating Expenditure</b>	<b>\$1,493,317,544</b>	<b>\$1,685,887,656</b>	<b>\$1,414,576,760</b>	<b>\$1,414,576,760</b>	<b>\$1,495,213,836</b>	<b>\$1,096,682,576</b>
Capital Purchases and Equipment	9,311,751	29,937,513	34,200,032	34,200,032	35,639,573	27,717,016
Debt Service	150,531,157	168,852,388	191,023,652	191,023,652	192,460,940	199,408,551
Operating Transfers	60,857,076	54,119,834	41,573,011	41,573,011	30,121,272	33,378,892
<b>Total Expenditures</b>	<b>\$1,714,017,528</b>	<b>\$1,938,797,391</b>	<b>\$1,681,373,455</b>	<b>\$1,681,373,455</b>	<b>\$1,753,435,621</b>	<b>\$1,357,187,035</b>
<b>Expenditures by Funds</b>						
General Revenue	572,473,563	511,743,070	434,601,723	434,601,723	435,163,572	414,414,956
Federal Funds	123,050,912	391,908,861	265,681,177	265,681,177	262,009,911	124,417,759
Restricted Receipts	63,830,823	76,559,302	77,656,119	77,656,119	81,329,537	99,783,716
Other Funds	954,662,230	958,586,158	903,434,436	903,434,436	974,932,601	718,570,604
<b>Total Expenditures</b>	<b>\$1,714,017,528</b>	<b>\$1,938,797,391</b>	<b>\$1,681,373,455</b>	<b>\$1,681,373,455</b>	<b>\$1,753,435,621</b>	<b>\$1,357,187,035</b>
<b>FTE Authorization</b>	<b>2,308.3</b>	<b>2,409.8</b>	<b>2,479.4</b>	<b>2,479.4</b>	<b>2,480.3</b>	<b>2,262.3</b>

# The Agency

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## Department of Administration

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### Agency Operations

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters and is responsible for the statewide implementation of policy decisions affecting the organization and delivery of services administered and supported by the state.

The department, headed by the Director of Administration, has seventeen programmatic functions in the FY 2011 Budget. These include Central Management, Legal Services, Accounts and Control, Budgeting, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Facilities Management, Capital Projects and Property Management, Information Technology, Library and Information Services, Planning, General Appropriations, Debt Service Payments, Energy Resources and various Internal Services Programs.

### Agency Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

### Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

# The Budget

## Department of Administration

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Central Management	1,714,901	1,263,509	1,475,743	1,593,558	1,960,324
Legal Services	1,124,946	1,585,988	1,693,282	1,770,720	1,825,486
Accounts and Control	3,522,984	3,450,141	3,806,968	3,605,242	3,751,998
Budgeting	1,975,514	1,681,178	2,007,066	1,923,513	2,219,064
Purchasing	1,885,645	2,155,815	2,554,715	2,458,519	2,750,346
Auditing	1,286,708	1,153,211	1,436,515	1,240,160	1,376,922
Human Resources	12,007,764	10,676,552	11,146,821	10,666,807	11,510,839
Personnel Appeal Board	81,802	65,482	80,803	78,172	73,560
Facilities Management	40,435,245	33,833,319	36,851,760	36,554,784	36,406,328
Capital Projects and Property Mgmt.	5,361,046	3,389,507	3,776,092	3,720,390	3,922,079
Information Technology	25,042,913	25,338,716	27,590,025	27,485,082	30,679,954
Office of Library & Information Services	1,685,710	1,781,561	2,273,201	2,531,162	2,223,311
Planning	11,619,763	13,916,455	17,921,395	20,257,238	21,001,636
Energy Resources	36,669,303	46,203,494	69,240,003	95,413,349	53,551,892
Security Services	15,702,983	14,641,865	16,341,206	15,976,566	0
General	250,644,030	194,709,177	118,014,074	106,862,622	42,180,397
Debt Service Payments	179,641,355	201,228,865	191,268,497	192,649,398	199,608,551
Personnel Reform	0	0	-8,178,357	0	0
Internal Service Programs	[245,279,790]	[313,652,184]	[391,819,229]	[377,536,399]	[362,640,641]
<b>Total Expenditures</b>	<b>\$590,402,612</b>	<b>\$557,074,835</b>	<b>\$499,299,809</b>	<b>\$524,787,282</b>	<b>\$415,042,687</b>
<b>Expenditures By Object</b>					
Personnel	80,825,312	77,382,008	76,343,879	82,047,218	72,857,892
Operating Supplies and Expenses	35,383,366	31,023,995	34,423,085	34,188,940	32,298,058
Aid To Local Units Of Government	211,460,113	168,819,711	61,188,736	67,970,965	11,595,170
Assistance, Grants and Benefits	63,207,008	63,188,919	85,988,827	115,600,213	73,362,080
<b>Subtotal: Operating Expenditures</b>	<b>\$390,875,799</b>	<b>\$340,414,633</b>	<b>\$257,944,527</b>	<b>\$299,807,336</b>	<b>\$190,113,200</b>
Capital Purchases and Equipment	7,457,199	11,060,895	22,658,143	26,845,519	20,444,722
Debt Service	150,531,157	168,852,388	191,023,652	192,460,940	199,408,551
Operating Transfers	41,538,457	36,746,919	27,673,487	5,673,487	5,076,214
<b>Total Expenditures</b>	<b>\$590,402,612</b>	<b>\$557,074,835</b>	<b>\$499,299,809</b>	<b>\$524,787,282</b>	<b>\$415,042,687</b>
<b>Expenditures By Funds</b>					
General Revenue	475,081,231	418,405,347	324,063,375	325,928,779	250,047,213
Federal Funds	49,085,955	57,534,460	79,572,545	103,620,313	69,057,151
Restricted Receipts	9,476,352	19,964,401	17,140,339	25,689,655	19,898,084
Other Funds	56,759,074	61,170,627	78,523,550	69,548,535	76,040,239
<b>Total Expenditures</b>	<b>\$590,402,612</b>	<b>\$557,074,835</b>	<b>\$499,299,809</b>	<b>\$524,787,282</b>	<b>\$415,042,687</b>
<b>FTE Authorization</b>	<b>845.6</b>	<b>835.4</b>	<b>871.6</b>	<b>873.6</b>	<b>693.6</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	9.0%	9.1%	8.9%	8.9%	9.0%
Females as a Percentage of the Workforce	41.5%	41.6%	40.1%	40.1%	40.1%
Persons with Disabilities as a Percentage of the Workforce	3.0%	3.1%	3.0%	3.0%	3.0%

# The Program

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## Department of Administration Central Management

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### Program Operations

Central Management is comprised of three major functions: the Director's Office, the Central Business Office, and the Judicial Nominating Commission.

The Director's Office provides for the overall operation of the department and provides assistance with all Executive Branch Agencies. Primary functions include: Operations, Human Resources, Information technology, Legal Assistance and Financial Administration. They also provide administrative assistance to the Office of the Governor.

The Central Business Office provides financial management assistance in the areas of budgeting, financial management, accounting, and reporting to divisions within the Department of Administration and the Department of Revenue to ensure maximum use of state and federal resources.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. The commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

### Program Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

### Statutory History

The central management and legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees. The Legal and Adjudication Services subprogram was moved to the new Legal Services program as part of the FY 2006 enacted budget.

# The Budget

## Department of Administration Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Director's Office	700,204	568,689	602,625	766,138	979,923
Financial Management	995,032	669,543	851,078	799,206	953,138
Judicial Nominating Committee	19,665	25,277	22,040	28,214	27,263
<b>Total Expenditures</b>	<b>\$1,714,901</b>	<b>\$1,263,509</b>	<b>\$1,475,743</b>	<b>\$1,593,558</b>	<b>\$1,960,324</b>
<b>Expenditures By Object</b>					
Personnel	1,658,429	1,206,961	1,415,032	1,529,737	1,896,791
Operating Supplies and Expenses	53,175	54,779	54,411	57,521	57,233
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,711,604</b>	<b>\$1,261,740</b>	<b>\$1,469,443</b>	<b>\$1,587,258</b>	<b>\$1,954,024</b>
Capital Purchases and Equipment	3,297	1,769	6,300	6,300	6,300
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,714,901</b>	<b>\$1,263,509</b>	<b>\$1,475,743</b>	<b>\$1,593,558</b>	<b>\$1,960,324</b>
<b>Expenditures By Funds</b>					
General Revenue	1,505,752	1,263,509	1,475,743	1,593,558	1,960,324
Federal Funds	186,940	-	-	-	-
Restricted Receipts	22,209	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,714,901</b>	<b>\$1,263,509</b>	<b>\$1,475,743</b>	<b>\$1,593,558</b>	<b>\$1,960,324</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Legal Services

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### Program Operations

The Division of Legal Services is established, manages, coordinates, and maintains legal resources to support the Executive Branch. The mission of the Division is to provide proactive legal assistance to the various divisions within the Department of Administration and certain non-programmatic legal services within the Executive Branch to assist them in achieving their statutory goals and objectives. In addition, the Division manages, coordinates, and assists attorneys within the departments and agencies.

### Program Objectives

To provide a centralized legal structure within the Executive Branch to manage, coordinate, maintain and provide in house legal services and certain non-programmatic legal services including developing various areas of legal expertise, templates, legal resources and knowledge to assist the legal divisions of the departments and agencies in the Executive branch.

To organize and coordinate the centralized legal structure so as to provide legal services in a efficient, effective, consistent, professional, flexible and timely manner.

To assign legal staff (attorneys, hearing officers, paralegals, legal assistants and support staff) in a manner that is appropriate for his/her level of expertise and experience to utilize legal resources in the most cost effective and efficient manner.

To standardize legal policies and procedures across the Executive Branch and reduce redundant legal processes and work to improve the efficiency and quality of legal services.

To provide legal risk management services.

To coordinate and develop labor negotiation strategy on a statewide basis and represent the Executive Branch in all legal forums in all labor relations and employment law matters that have statewide impact.

To provide legal advice to other departments and agencies on labor relations and employment law matters that do not have statewide impact.

To provide hearing officers for grievances and other administrative adjudication matters that are required by law, by conflict, or as needed and requested by the Executive Branch.

### Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.



# The Budget

## Department of Administration Legal Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Legal Services	1,124,946	1,585,988	1,693,282	1,770,720	1,825,486
<b>Total Expenditures</b>	<b>\$1,124,946</b>	<b>\$1,585,988</b>	<b>\$1,693,282</b>	<b>\$1,770,720</b>	<b>\$1,825,486</b>
<b>Expenditures By Object</b>					
Personnel	1,081,340	1,537,752	1,638,565	1,720,318	1,775,297
Operating Supplies and Expenses	38,923	40,384	44,248	42,049	41,836
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,011	1,011	1,011	1,011	1,011
<b>Subtotal: Operating Expenditures</b>	<b>\$1,121,274</b>	<b>\$1,579,147</b>	<b>\$1,683,824</b>	<b>\$1,763,378</b>	<b>\$1,818,144</b>
Capital Purchases and Equipment	3,672	6,841	9,458	7,342	7,342
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,124,946</b>	<b>\$1,585,988</b>	<b>\$1,693,282</b>	<b>\$1,770,720</b>	<b>\$1,825,486</b>
<b>Expenditures By Funds</b>					
General Revenue	1,119,435	1,565,350	1,653,248	1,770,720	1,825,486
Operating Transfers	5,511	20,638	40,034	-	-
<b>Total Expenditures</b>	<b>\$1,124,946</b>	<b>\$1,585,988</b>	<b>\$1,693,282</b>	<b>\$1,770,720</b>	<b>\$1,825,486</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Accounts and Control

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### Program Operations

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of this office include the administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the maintenance of control accounts of assets for all departments and agencies; the operation of financial, accounting and cost systems for all departments and agencies; the pre-audit of state receipts and expenditures; the approval of vouchers drawn on the General Treasurer; and the preparation of financial statements required by departments and agencies, the Governor or the General Assembly.

This office is also responsible for the preparation and/or coordination of several publications, including the Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

### Program Objectives

To design, implement, and maintain a statewide accounting system in order to ensure that state funds are spent according to legislative intent, leading to the promotion of the fiscal integrity of the state.

To provide management on a timely basis with basic data required to measure and evaluate productivity and accountability of state government to make and/or revise strategic or operating plans.

### Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

# The Budget

## Department of Administration Accounts and Control

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Accounts & Control	3,522,984	3,450,141	3,806,968	3,605,242	3,751,998
<b>Total Expenditures</b>	<b>\$3,522,984</b>	<b>\$3,450,141</b>	<b>\$3,806,968</b>	<b>\$3,605,242</b>	<b>\$3,751,998</b>
<b>Expenditures By Object</b>					
Personnel	3,430,208	3,335,940	3,668,546	3,438,506	3,616,033
Operating Supplies and Expenses	92,602	114,201	135,005	163,736	132,965
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	174	-	417	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,522,984</b>	<b>\$3,450,141</b>	<b>\$3,803,968</b>	<b>\$3,602,242</b>	<b>\$3,748,998</b>
Capital Purchases and Equipment	-	-	3,000	3,000	3,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,522,984</b>	<b>\$3,450,141</b>	<b>\$3,806,968</b>	<b>\$3,605,242</b>	<b>\$3,751,998</b>
<b>Expenditures By Funds</b>					
General Revenue	3,522,984	3,450,141	3,806,968	3,605,242	3,751,998
Federal Funds	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,522,984</b>	<b>\$3,450,141</b>	<b>\$3,806,968</b>	<b>\$3,605,242</b>	<b>\$3,751,998</b>
<b>Program Measures</b>					
Percentage of Invoices Processed Within 30 Days	96.0%	99.0%	99.0%	99.0%	99.0%
Number of Days after Fiscal Year End to Publication of CAFR	289	285	210	210	210
Number of Days to Fiscal Close	37	37	37	37	37

# The Program

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## Department of Administration Budgeting

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### Program Operations

The Budget Office provides staff advice to the Governor relating to the financial management of state government, including evaluation of necessary resources, analysis of state programs, priorities, and alternatives, and the optimum allocation of resources to meet policy and management goals.

The Budget Office performs four key functions, of which the first is the formulation, preparation, and execution of the state budget. Included in this function is the analysis of departmental requests for financing, incorporation of priorities, and presentation and testimony on the executive budget before the General Assembly. During the legislative session, the Budget Office also prepares fiscal notes on legislation with fiscal impacts upon request.

The Budget Office is responsible for economic analysis and revenue estimating, including participation in the Revenue and Caseload Estimating Conferences and reporting on actual versus estimated receipts. The Budget Office also performs capital development program analysis and develops financing plans for execution. This includes presentations to bond rating agencies, preparation of debt offering circulars, tracking of expenditures against authorizations and debt management.

### Program Objectives

To ensure that the performance of state programs and activities reflects accurately and effectively the policies of the Governor and the acts and appropriations established by the General Assembly; to ensure that the Governor and the General Assembly have the best possible information and analysis available in carrying out their respective constitutional duties; to use performance measures to strengthen program and financial management and accountability within departments and agencies.

### Statutory History

R.I.G.L. 35-3 establishes Budget Office responsibility for the executive budget, including the preparation and submission of the Governor's budget to the state legislature; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. R.I.G.L. 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. R.I.G.L. 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures.

# The Budget

## Department of Administration Budgeting

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Budget Office	1,975,514	1,681,178	2,007,066	1,923,513	2,219,064
<b>Total Expenditures</b>	<b>\$1,975,514</b>	<b>\$1,681,178</b>	<b>\$2,007,066</b>	<b>\$1,923,513</b>	<b>\$2,219,064</b>
<b>Expenditures By Object</b>					
Personnel	1,825,726	1,607,564	1,913,413	1,822,716	2,120,467
Operating Supplies and Expenses	142,749	67,891	78,053	87,669	87,227
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,968,475</b>	<b>\$1,675,455</b>	<b>\$1,991,466</b>	<b>\$1,910,385</b>	<b>\$2,207,694</b>
Capital Purchases and Equipment	7,039	5,723	15,600	13,128	11,370
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,975,514</b>	<b>\$1,681,178</b>	<b>\$2,007,066</b>	<b>\$1,923,513</b>	<b>\$2,219,064</b>
<b>Expenditures By Funds</b>					
General Revenue	1,975,514	1,681,178	2,007,066	1,923,513	2,219,064
<b>Total Expenditures</b>	<b>\$1,975,514</b>	<b>\$1,681,178</b>	<b>\$2,007,066</b>	<b>\$1,923,513</b>	<b>\$2,219,064</b>
<b>Program Measures</b>					
Budgeting Presentation Index	10	12	10	10	12
Bond Rating Index	11	11	9	9	9

# The Program

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## Department of Administration Purchasing

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### Program Operations

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management, vendor information and minority business. Procurement solicits bids or requests for proposal for services and supplies as well as price negotiations, and carries out affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. The standards and specifications staff work with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public.

### Program Objectives

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and a sense of responsibility to the taxpayers of Rhode Island. To assure that user agencies obtain goods and services in a quick and cost-effective manner, without sacrificing necessary quality and standards.

To establish and enforce rules, regulations, policies and procedures for the implementation of all laws and ethical standards relating to purchasing activities.

To improve, through ongoing evaluation, the effectiveness and efficiency of the procurement system. To ensure public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program.

The Minority Business Enterprise function is responsible for supporting Rhode Island laws and policies to ensure the fullest participation of minority business enterprises in state funded and directed construction programs and projects and state purchases of goods and services.

### Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 32-2.17 requires the institution of an electronic Vendor Information Program.

# The Budget

## Department of Administration Purchasing

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Purchasing	1,788,641	1,941,825	2,254,290	2,178,484	2,453,213
Minority Business Enterprise	97,004	213,990	300,425	280,035	297,133
<b>Total Expenditures</b>	<b>\$1,885,645</b>	<b>\$2,155,815</b>	<b>\$2,554,715</b>	<b>\$2,458,519</b>	<b>\$2,750,346</b>
<b>Expenditures By Object</b>					
Personnel	1,844,394	1,975,123	2,503,445	2,405,594	2,696,588
Operating Supplies and Expenses	40,190	40,772	47,709	48,275	49,608
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	138,770	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,884,584</b>	<b>\$2,154,665</b>	<b>\$2,551,154</b>	<b>\$2,453,869</b>	<b>\$2,746,196</b>
Capital Purchases and Equipment	1,061	1,150	3,561	4,650	4,150
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,885,645</b>	<b>\$2,155,815</b>	<b>\$2,554,715</b>	<b>\$2,458,519</b>	<b>\$2,750,346</b>
<b>Expenditures By Funds</b>					
General Revenue	1,885,645	2,144,707	2,281,261	2,196,959	2,415,901
Federal Funds	-	6,187	83,458	62,842	68,956
Restricted Receipts	-	4,921	-	-	-
Operating Transfers	-	-	189,996	198,718	265,489
<b>Total Expenditures</b>	<b>\$1,885,645</b>	<b>\$2,155,815</b>	<b>\$2,554,715</b>	<b>\$2,458,519</b>	<b>\$2,750,346</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Auditing

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### Program Operations

The Bureau of Audits performs the auditing function for the executive branch of State Government. The Bureau provides the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational control through objective analyses, evaluations, and recommendations on operations, systems, controls, and contracted services.

The primary activities of the Bureau can be classified as internal auditing and management advisory services. The Bureau of Audits is required to audit the financial records and accounts of all state departments and agencies, shall determine which such audits shall be performed in accordance with a risk-based evaluation, and report all findings and recommendations relative to the financial affairs, and the economy and efficiency of operations.

Additional responsibilities include: conducting investigations at the request of the Governor, the director of the Department of Administration, or of either branch of the general assembly; providing management advisory and consulting expertise to state departments, agencies, and quasi-state agencies; performing the fiscal year-end intergovernmental service fund inventory count; conducting audits of American Recovery and Reinvestment Act (ARRA) funded projects; conducting audits of low income home energy assistance programs (LIHEAP); providing expertise to the Division of Motor Vehicles (DMV) system implementation project; and administering and evaluating the results of the annual statewide internal control reporting questionnaire; namely the Fiscal Integrity and Accountability Report (FIA Report).

### Program Objectives

To provide independent and objective assurance on governance, risk management, and control processes that will furnish a reasonable basis to report on the effectiveness and efficiency of state operations, the reliability of financial reporting, compliance with applicable laws, regulations, and contracts.

### Statutory History

R.I.G.L. 35-7 establishes a Bureau of Audits and authorizes it to conduct audits of the financial affairs, the economy and efficiency of management, and the books and accounts of all state departments and agencies in accordance with a risk-based evaluation. The Bureau may also, at the written request of the Governor, the director of the Department of Administration, or of either branch of the general assembly, conduct investigations of and provide management advisory and consulting services to state departments, agencies, and quasi-state agencies.



# The Budget

## Department of Administration Auditing

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Auditing	1,286,708	1,153,211	1,436,515	1,240,160	1,376,922
<b>Total Expenditures</b>	<b>\$1,286,708</b>	<b>\$1,153,211</b>	<b>\$1,436,515</b>	<b>\$1,240,160</b>	<b>\$1,376,922</b>
<b>Expenditures By Object</b>					
Personnel	1,248,995	1,138,050	1,382,112	1,205,405	1,336,173
Operating Supplies and Expenses	32,427	9,435	41,522	26,874	33,749
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,281,422</b>	<b>\$1,147,485</b>	<b>\$1,423,634</b>	<b>\$1,232,279</b>	<b>\$1,369,922</b>
Capital Purchases and Equipment	5,286	5,726	12,881	7,881	7,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,286,708</b>	<b>\$1,153,211</b>	<b>\$1,436,515</b>	<b>\$1,240,160</b>	<b>\$1,376,922</b>
<b>Expenditures By Funds</b>					
General Revenue	1,286,708	1,086,430	1,287,300	1,240,160	1,376,922
Federal Funds	-	-	-	-	-
Restricted Receipts	-	66,781	149,215	-	-
Other Funds	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,286,708</b>	<b>\$1,153,211</b>	<b>\$1,436,515</b>	<b>\$1,240,160</b>	<b>\$1,376,922</b>
<b>Program Measures</b>					
Audit Acceptance	99.0%	100.0%	99.0%	99.0%	99.0%

# The Program

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## Department of Administration Human Resources

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### Program Operations

The Division of Human Resources is composed of a number of subprograms: Administrative services, Classification & Examinations, Equal Employment Opportunity, Outreach & Diversity, Employee Benefits, Training and Development, Employee Services, Human Resource Service Centers. Its primary mission is to provide the best possible cost effective human resource services to employees, labor, and management in assisting them in carrying out the functions and responsibilities of state government; to be accountable to the general public by instituting policies and procedures that are fair and equitable in providing the finest possible service to those seeking employment in state service; to be responsive to employees by providing a progression in employment opportunities, adequate employee benefits, ensuring affirmative action, the rights of the disabled and promoting career development and training; to assist those disabled, as a result of a job related injury, with adequate benefits during their convalescence and to ensure their eventual return to productive employment; and to safeguard the integrity and quality of the state employment system by continuously protecting it from influences that are detrimental to that effort.

Human Resources Service Centers: Executive Order 05-11, issued by Governor Carcieri, established four Human Resource Service Centers within the Department of Administration, each of which services a cluster of Executive Branch departments and agencies. Each Center is responsible for standardizing human resources policies on a statewide basis, implementing uniform human resources practices, automating tasks, and eliminating redundant activities. As such, the following human resources service centers were established:

DEM/DOT HR Service Center – Services the Departments of Environmental Management and Transportation.

General Government HR Service Center – Services the Departments of Administration, Business Regulation, Labor and Training and Revenue as well as various commissions, boards and councils.

Health and Human Services HR Service Center – Services the Executive Office of Health and Human Services and the Departments of Children, Youth and Families, Elderly Affairs, Health, Human Services, and the Department of Behavioral Healthcare, Developmental Disabilities and Hospitals (BHDDH).

Public Safety HR Service Center – Services the Department of Corrections, Emergency Management Agency and the Rhode Island National Guard.

### Program Objectives

To promote and maintain effective programs, policies and procedures in support of the human resource needs of the citizens, elected officials, managers and employees of the state.

### Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law.

# The Budget

## Department of Administration Human Resources

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Human Resources	1,043,176	269,864	106,863	-	-
Personnel Administration	3,766,308	3,801,637	4,102,984	3,808,290	4,046,022
Equal Opportunity/Outreach	583,825	365,537	390,140	428,106	534,451
DEM/DOT HR Service Center	1,205,963	1,384,172	1,464,832	1,416,989	1,476,524
General Gov. HR Service Center	952,588	741,020	898,287	807,490	1,050,457
Human Services HR Service Center	3,314,344	2,994,511	2,947,894	2,998,869	3,187,699
Public Safety HR Service Center	1,141,560	1,119,811	1,235,821	1,207,063	1,215,686
<b>Total Expenditures</b>	<b>\$12,007,764</b>	<b>\$10,676,552</b>	<b>\$11,146,821</b>	<b>\$10,666,807</b>	<b>\$11,510,839</b>
<b>Expenditures By Object</b>					
Personnel	11,794,591	10,489,605	10,885,826	10,385,248	11,229,380
Operating Supplies and Expenses	196,704	180,216	230,783	264,221	265,666
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$11,991,295</b>	<b>\$10,669,821</b>	<b>\$11,116,609</b>	<b>\$10,649,469</b>	<b>\$11,495,046</b>
Capital Purchases and Equipment	16,469	6,731	30,212	17,338	15,793
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$12,007,764</b>	<b>\$10,676,552</b>	<b>\$11,146,821</b>	<b>\$10,666,807</b>	<b>\$11,510,839</b>
<b>Expenditures By Funds</b>					
General Revenue	9,616,824	8,401,840	8,751,900	8,230,304	8,952,981
Federal Funds	632,521	633,971	619,186	683,243	770,374
Restricted Receipts	424,780	387,419	373,650	388,477	426,866
Other Funds	1,333,639	1,253,322	1,402,085	1,364,783	1,360,618
<b>Total Expenditures</b>	<b>\$12,007,764</b>	<b>\$10,676,552</b>	<b>\$11,146,821</b>	<b>\$10,666,807</b>	<b>\$11,510,839</b>
<b>Program Measures</b>					
Percentage of Desk Audits Completed Within 60 Days	45.5%	38.0%	60.0%	60.0%	60.0%
Percentage of Civil Service Examinations Completed Within 120 Days	55.0%	18.0%	80.0%	80.0%	80.0%

# The Program

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## Department of Administration Personnel Appeal Board

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### Program Operations

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

### Program Objective

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged, and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

### Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

# The Budget

## Department of Administration Personnel Appeal Board

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Personnel Appeal Board	81,802	65,482	80,803	78,172	73,560
<b>Total Expenditures</b>	<b>\$81,802</b>	<b>\$65,482</b>	<b>\$80,803</b>	<b>\$78,172</b>	<b>\$73,560</b>
<b>Expenditures By Object</b>					
Personnel	80,726	64,582	78,860	76,893	72,288
Operating Supplies and Expenses	1,076	900	1,943	1,279	1,272
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$81,802</b>	<b>\$65,482</b>	<b>\$80,803</b>	<b>\$78,172</b>	<b>\$73,560</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$81,802</b>	<b>\$65,482</b>	<b>\$80,803</b>	<b>\$78,172</b>	<b>\$73,560</b>
<b>Expenditures By Funds</b>					
General Revenue	81,802	65,482	80,803	78,172	73,560
<b>Total Expenditures</b>	<b>\$81,802</b>	<b>\$65,482</b>	<b>\$80,803</b>	<b>\$78,172</b>	<b>\$73,560</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Facilities Management

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### Program Operations

The Division of Facilities Management provides maintenance and operations services at 140 buildings under the direct jurisdiction of the Department of Administration, including 203 group home facilities. Generally, this includes the buildings at the Capitol Hill Complex, Pastore Center, Zambarano Campus, Veteran's Home, Ladd Center and numerous other state buildings throughout the State. In addition, the division includes the State Fleet Operations, Environmental Compliance, Asset Protection and Surplus Property.

The responsibility for building operations includes grounds maintenance, general maintenance, building cleaning, janitorial services, maintenance and operation of boilers and mechanical equipment, electrical power and distribution, and capital improvements.

### Program Objectives

To provide a clean, safe, healthy and secure environment conducive to worker productivity. To protect and preserve Rhode Island's facility assets.

### Statutory History

R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles.

R.I.G.L. 45-56.2 establishes the Federal Surplus Property program.

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management.

# The Budget

## Department of Administration Facilities Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Operations and Maintenance	6,929,817	6,565,201	7,315,123	6,806,172	6,665,241
Energy and Conservation	(754)	-	-	-	-
Facilities Centralization	33,506,182	27,268,118	29,536,637	29,748,612	29,741,087
<b>Total Expenditures</b>	<b>\$40,435,245</b>	<b>\$33,833,319</b>	<b>\$36,851,760</b>	<b>\$36,554,784</b>	<b>\$36,406,328</b>
<b>Expenditures By Object</b>					
Personnel	13,270,586	12,355,025	12,746,339	12,548,064	13,422,692
Operating Supplies and Expenses	26,755,704	20,929,922	24,101,893	24,001,191	22,979,107
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,154	1,707	1,828	1,828	1,828
<b>Subtotal: Operating Expenditures</b>	<b>\$40,027,444</b>	<b>\$33,286,654</b>	<b>\$36,850,060</b>	<b>\$36,551,083</b>	<b>\$36,403,627</b>
Capital Purchases and Equipment	(79,807)	59,057	1,700	3,701	2,701
Debt Service	487,608	487,608	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$40,435,245</b>	<b>\$33,833,319</b>	<b>\$36,851,760</b>	<b>\$36,554,784</b>	<b>\$36,406,328</b>
<b>Expenditures By Funds</b>					
General Revenue	33,733,389	28,580,921	31,912,385	31,562,184	31,874,366
Federal Funds	1,241,646	936,179	813,073	821,156	1,014,835
Restricted Receipts	912,131	902,597	356,921	361,309	513,740
Other Funds	4,548,079	3,413,622	3,769,381	3,810,135	3,003,387
<b>Total Expenditures</b>	<b>\$40,435,245</b>	<b>\$33,833,319</b>	<b>\$36,851,760</b>	<b>\$36,554,784</b>	<b>\$36,406,328</b>
<b>Program Measures</b>					
Percentage of Days with no Interruption or Loss of Service from the Utility Systems	99.9%	99.9%	99.9%	99.9%	99.9%

# The Program

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## Department of Administration Capital Projects and Property Management

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### Program Operations

The Division of Capital Projects and Property Management was established by Executive Order on April 1, 2004. The Division is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. It also solicits, negotiates and administers real estate leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development. In addition, the Division is responsible for a variety of related activities including the administration of the Building Code Commission and the Contractors' Registration and Licensing Board.

The Building Code Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The Commission also conducts a variety of training programs for code officials and building trades people.

The Contractors' Registration and Licensing Board safeguards consumers' rights related to contracted improvements on their property and has a dispute resolution process that criminally prosecutes cases when not resolved through the Attorney General's office in District Court. Additionally the Board registers contractors who perform work on residential and commercial properties licensing of home inspectors and commercial roofers in part of their responsibilities.

The Fire Code Board of Appeal and Review adopts fire safety codes, provides hearings for code appeal and disseminates training and technical assistance to local authorities.

### Program Objectives

To facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces.

To assure public welfare by interpreting and enforcing building code standards on state properties.

To protect the public from unscrupulous building contractors and shoddy workmanship.

To assure fairness, transparency and good value in developing and securing property for the State's use.

### Statutory History

Executive Order 04-04 establishes the Division of Capital Projects and Property Management. RIGL 23-27.3-108.2 et. seq. and RIGL 5-65-15.1 establish the duties and authority and responsibility of the State Building Commission. RIGL 23-27.3-100 et. al. These statutory provisions are used in conjunction with additional regulatory administrative provisions contained within the individual codes.) RIGL 5-65 establishes and sets the legislative operating authority for the Contractors' Registration Board. CHAPTER 5-73 sets regulations and Board authority over roofing contractors Chapter 65.1 regulates Home Inspectors which fall under the jurisdiction of the Board. The Fire Board of Appeal and Review is governed by RIGL 23-28.3-3 through RIGL 23-28.3-10.



# The Budget

## Department of Administration Capital Projects and Project Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Capital Projects	2,980,945	957,273	1,179,042	1,104,013	1,098,483
Property Management	178,450	276,476	147,915	147,433	153,855
State Building Code Commission	1,911,757	1,873,052	2,134,604	2,148,531	2,344,528
Fire Code Board of Appeal and Review	289,894	282,706	314,531	320,413	325,213
<b>Total Expenditures</b>	<b>\$5,361,046</b>	<b>\$3,389,507</b>	<b>\$3,776,092</b>	<b>\$3,720,390</b>	<b>\$3,922,079</b>
<b>Expenditures By Object</b>					
Personnel	3,205,027	3,161,890	3,546,955	3,472,285	3,671,128
Operating Supplies and Expenses	120,083	289,504	217,137	206,105	208,951
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,325,110</b>	<b>\$3,451,394</b>	<b>\$3,764,092</b>	<b>\$3,678,390</b>	<b>\$3,880,079</b>
Capital Purchases and Equipment	2,035,936	(61,887)	12,000	42,000	42,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,361,046</b>	<b>\$3,389,507</b>	<b>\$3,776,092</b>	<b>\$3,720,390</b>	<b>\$3,922,079</b>
<b>Expenditures By Funds</b>					
General Revenue	4,452,594	2,452,260	2,582,383	2,575,827	2,429,894
Federal Funds	-	58,363	125,625	58,363	58,363
Restricted Receipts	908,787	878,884	1,068,084	1,086,200	1,433,822
Other Funds	(335)	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,361,046</b>	<b>\$3,389,507</b>	<b>\$3,776,092</b>	<b>\$3,720,390</b>	<b>\$3,922,079</b>
<b>Program Measures</b>					
Gross Annual Inflation - Adjusted Dollar Savings Realized by Moving State operations From Leased to State-owned Space	\$181,834	\$48,000	\$1,551,553	\$1,551,553	\$840,578
Annual Inflation - Adjusted Dollar Value of Repair Services and Cash Settlements to Rhode Island Consumers Secured by the Contractor Registration Board	\$466,410	\$431,496	\$445,300	\$445,300	\$455,408

# The Program

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## Department of Administration Information Technology

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### Program Operations

Information Technology, headed by the state's Chief Information Officer (CIO), is responsible for oversight, coordination, and development of all information technology (IT) resources within the executive branch of state government. All hiring and spending decisions involving IT may only be made with the advice and approval of the CIO.

Information Technology defines and maintains the architectural standards for hardware, software, networks and services that guide state investments in IT. Information Technology defines and enforces statewide policies and procedures pertaining to project management, operations and the effective use of security of IT resources.

Information Technology is responsible for planning all IT investments and activities throughout the executive branch departments and agencies

### Program Objectives

Maintain and improve IT services to executive branch departments and agencies, including technical support to end users and networks, help desk services, and application development, delivery and maintenance.

Complete integration of all executive branch agency and departmental IT organizations into the Information Technology program, including personnel and hardware consolidation

Continue the implementation of the approved statewide-integrated financial management system.

Implement and test a disaster recovery capability for Rhode Island's critical systems

Further expand and improve e-government services through Rhode Island's portal, RI.gov.

Continue to expand technology advancements to improve performance, reduce IT overhead and provide the best system availability.

Continue to improve technology advancements by performing a migration from Novell Netware to a Windows Active Directory infrastructure, Virtualized Server capacity and implement E-licensing initiatives.

Provide state agencies and departments with improved transparency and clarity in their services through refinement of the cost allocation process.

### Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Information Technology Division within the Department of Administration.

# The Budget

## Department of Administration Information Technology

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Executive Director - CIO	346,577	426,715	360,724	384,862	373,030
Information Technology	1,412,482	2,613,399	3,057,420	2,943,448	4,146,562
IT Centralization	23,283,854	22,298,602	24,171,881	24,156,772	26,160,362
<b>Total Expenditures</b>	<b>\$25,042,913</b>	<b>\$25,338,716</b>	<b>\$27,590,025</b>	<b>\$27,485,082</b>	<b>\$30,679,954</b>
<b>Expenditures By Object</b>					
Personnel	20,792,706	20,427,202	21,724,440	21,800,277	23,468,592
Operating Supplies and Expenses	3,672,225	4,042,831	4,583,538	4,402,752	4,605,987
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,527	1,038	-	1,038	1,038
<b>Subtotal: Operating Expenditures</b>	<b>\$24,467,458</b>	<b>\$24,471,071</b>	<b>\$26,307,978</b>	<b>\$26,204,067</b>	<b>\$28,075,617</b>
Capital Purchases and Equipment	575,455	867,645	1,282,047	1,281,015	2,604,337
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$25,042,913</b>	<b>\$25,338,716</b>	<b>\$27,590,025</b>	<b>\$27,485,082</b>	<b>\$30,679,954</b>
<b>Expenditures By Funds</b>					
General Revenue	18,296,702	17,314,737	19,226,491	18,878,109	21,249,105
Federal Funds	3,855,780	5,757,060	5,683,963	6,199,476	6,775,106
Restricted Receipts	969,652	727,532	913,984	782,437	863,153
Other Funds	1,920,779	1,539,387	1,765,587	1,625,060	1,792,590
<b>Total Expenditures</b>	<b>\$25,042,913</b>	<b>\$25,338,716</b>	<b>\$27,590,025</b>	<b>\$27,485,082</b>	<b>\$30,679,954</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Library and Information Services

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### Program Operations

The Office of Library and Information Services, under the direction of the Chief of Library Services ensures the maintenance and improvement of library and information services to the residents of the state through the development of interlibrary collaboration and resource sharing, provision of services to RI public, academic, health sciences, school and special libraries and their librarians, and the provision of library services to individuals at statewide scale.

### Program Objectives

Enforce public library and library network standards and exercise general coordination and supervision over interlibrary cooperation and resource sharing in the state including interlibrary loan and delivery.

Distribute state funds for public library development and interlibrary cooperation and resource sharing in accordance with law and regulation of the library board.

Give assistance, advice, continuing education and counsel to public libraries and to participants in interlibrary cooperation and resource sharing activities.

Maintain and develop the Library of Rhode Island Network.

Promote library development statewide by providing for various state and federal grant programs to public and institutional libraries including funds distributed under the federal Library Services and Technology Act.

Provide library services to individuals with disabilities through the Talking Books Plus program, including the RI Regional Library for the Blind and Physically Handicapped of the Library of Congress National Library Service.

Provide for statewide reference service through online services of AskRI.

Coordinate with all other state departments and agencies in the provision of library services to state government and to the public.

### Statutory History

Title 29 Chapters 3.1 through 6 of the Rhode Island General Laws establish the statutory basis for the Office of Library and Information Services.

# The Budget

## Department of Administration Office of Library and Information Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Library Services	1,685,710	1,781,561	2,273,201	2,531,162	2,223,311
<b>Total Expenditures</b>	<b>\$1,685,710</b>	<b>\$1,781,561</b>	<b>\$2,273,201</b>	<b>\$2,531,162</b>	<b>\$2,223,311</b>
<b>Expenditures By Object</b>					
Personnel	1,103,233	1,157,952	1,478,968	1,305,639	1,416,654
Operating Supplies and Expenses	516,911	450,861	620,295	592,646	615,324
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	65,566	166,730	165,938	622,877	191,333
<b>Subtotal: Operating Expenditures</b>	<b>\$1,685,710</b>	<b>\$1,775,543</b>	<b>\$2,265,201</b>	<b>\$2,521,162</b>	<b>\$2,223,311</b>
Capital Purchases and Equipment	-	6,018	8,000	10,000	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,685,710</b>	<b>\$1,781,561</b>	<b>\$2,273,201</b>	<b>\$2,531,162</b>	<b>\$2,223,311</b>
<b>Expenditures By Funds</b>					
General Revenue	870,167	832,239	886,847	884,954	932,971
Federal Funds	813,849	949,150	1,383,354	1,644,313	1,288,445
Restricted Receipts	1,694	172	3,000	1,895	1,895
<b>Total Expenditures</b>	<b>\$1,685,710</b>	<b>\$1,781,561</b>	<b>\$2,273,201</b>	<b>\$2,531,162</b>	<b>\$2,223,311</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Planning

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### Program Operations

The Division of Planning is comprised of three subprograms, Statewide Planning, Strategic Planning and Economic Development, and Housing & Community Development.

Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. The Statewide Planning subprogram includes the State Planning Council, which is comprised of state and local government officials, public members, and federal officials in an advisory capacity. The State Planning Council serves as the state's Metropolitan Planning Organization responsible for the planning and prioritizing of state and federal transportation funding.

Strategic Planning and Economic Development are involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. This subprogram is also responsible for the development of Comprehensive Economic Development Strategy (CEDS) and receives support from the federal Economic Development Administration.

Housing and Community Development is comprised of the Housing Resources Commission (HRC) and the Office of Community Development, which administers the federal Community Development Block (CDBG) Grant and related programs. The HRC provides opportunities for healthy and affordable housing through production, lead hazard mitigation and the coordination of the homeless system and implementation of the state's plan to end homelessness.

The CDBG program, including supplemental funding made available through the Recovery Act (CDBG-R) and to address Disaster Recovery (CDBG-DR), provides funding to the State's municipalities to address housing and community development needs. Also administered is the Neighborhood Stabilization Program which addresses the impact foreclosures have had on communities.

### Program Objectives

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management.

To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

To coordinate activities among state agencies, political subdivisions and private partners pertaining to housing, community development, and economic development.

To adopt policies and administer programs designed to address State and local community development needs, including the need for adequate safe and affordable housing.

### Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

# The Budget

## Department of Administration Planning

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Statewide Planning	2,204,607	2,227,970	6,321,015	6,093,793	6,592,248
Local Government Assistance	337,320	-	-	-	-
Community Development	9,077,836	11,688,485	11,600,380	14,163,445	14,409,388
<b>Total Expenditures</b>	<b>\$11,619,763</b>	<b>\$13,916,455</b>	<b>\$17,921,395</b>	<b>\$20,257,238</b>	<b>\$21,001,636</b>
<b>Expenditures By Object</b>					
Personnel	3,165,342	2,900,281	3,570,366	3,258,330	3,909,417
Operating Supplies and Expenses	75,393	65,028	185,064	184,755	194,346
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	8,297,268	10,830,724	14,152,916	16,801,104	16,884,824
<b>Subtotal: Operating Expenditures</b>	<b>\$11,538,003</b>	<b>\$13,796,033</b>	<b>17,908,346</b>	<b>20,244,189</b>	<b>20,988,587</b>
Capital Purchases and Equipment	6,760	5,422	13,049	13,049	13,049
Debt Service	-	-	-	-	-
Operating Transfers	75,000	115,000	-	-	-
<b>Total Expenditures</b>	<b>\$11,619,763</b>	<b>\$13,916,455</b>	<b>\$17,921,395</b>	<b>\$20,257,238</b>	<b>\$21,001,636</b>
<b>Expenditures By Funds</b>					
General Revenue	3,633,561	3,279,122	3,331,033	3,231,861	3,397,511
Federal Funds	6,712,019	9,355,278	9,600,806	12,215,249	12,467,962
Operating Transfers	1,274,183	1,282,055	4,989,556	4,810,128	5,136,163
<b>Total Expenditures</b>	<b>\$11,619,763</b>	<b>\$13,916,455</b>	<b>\$17,921,395</b>	<b>\$20,257,238</b>	<b>\$21,001,636</b>
<b>Program Measures</b>					
New Affordable Housing Units	337	300	300	300	50
Percentage of Children with Blood Levels Greater than 10ug/dl for the First Time in their Lives	321	315	300	300	300
Performance Measures Developed	72.6%	77.1%	NA	NA	NA

# The Program

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## Department of Administration Office of Energy Resources

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### Program Operations

The Rhode Island Office of Energy Resources (OER) and the Energy Efficiency and Resource Management Council (EERMC) were established statutorily in 2006 to replace the former State Energy Office. R.I.G.L. 42-140 establishes the organization and functions of OER; R.I.G.L. 42-140.1 establishes those of the EERMC.

The OER provides comprehensive, integrated development, administration and oversight of ongoing energy policies, plans and programs to meet state and federal requirements and is responsible for applying for and administering Federal energy grants. The OER administers, on an on-going basis, Federal programs for Low Income Home Energy Assistance and Weatherization; and during the period March 2009 – March 2012 is managing approximately \$55 million in American Recovery Reinvestment Act funds to Rhode Island for energy programs. The OER also supports the development of energy efficiency and renewable energy programs in the state.

The EERMC provides to the OER “consistent, comprehensive, informed and publicly accountable stakeholder involvement in energy efficiency, conservations and resource development”. The EERMC consists of voting member representing knowledge of energy law, small and large commercial/industrial users, residential users, low income users, environmental expertise and energy design and code expertise. Non-voting members of the Council are the Commissioner of the OER, who is executive director and executive secretary of the Council, and the representatives of electric, natural gas utilities and oil distribution companies.

### Program Objectives

To stabilize and, where possible, reduce energy costs and consumption in all sectors by increasing energy efficiency, diversifying energy resources, and providing low income energy assistance, and to increase supplies of clean reliable energy that reduce reliance on imported energy.

To ensure that the Federal programs managed of the Office are up-to date in terms of administrative practices and are efficiently organized and implemented.

To strengthen energy planning in Rhode Island by working in cooperation with EERMC, State Planning, the RI Economic Development Corporation, the Department of Environmental Management, and the Division of Public Utilities.

### Statutory History

The Office of Energy Resources was created in 2006 to consolidate energy policies of state government. R.I.G.L. 42-140. Additional mandates of the Office are outlined in R.I.G.L. 23-82-6, 39-1-27.7 through 10, and 42-140.1.



# The Budget

## Department of Administration Office of Energy Resources

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Energy Resources	36,669,303	46,203,494	69,240,003	95,413,349	53,551,892
<b>Total Expenditures</b>	<b>\$36,669,303</b>	<b>\$46,203,494</b>	<b>\$69,240,003</b>	<b>\$95,413,349</b>	<b>\$53,551,892</b>
<b>Expenditures By Object</b>					
Personnel	1,249,912	1,402,471	2,588,106	2,001,327	2,226,392
Operating Supplies and Expenses	128,934	120,947	225,694	382,443	191,730
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	35,214,206	44,669,829	66,418,441	93,023,579	51,133,770
<b>Subtotal: Operating Expenditures</b>	<b>\$36,593,052</b>	<b>\$46,193,247</b>	<b>\$69,232,241</b>	<b>\$95,407,349</b>	<b>\$53,551,892</b>
Capital Purchases and Equipment	76,251	10,247	7,762	6,000	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$36,669,303</b>	<b>\$46,203,494</b>	<b>\$69,240,003</b>	<b>\$95,413,349</b>	<b>\$53,551,892</b>
<b>Expenditures By Funds</b>					
General Revenue	10,787	613,094	-	104,332	-
Federal Funds	34,944,455	38,990,231	61,816,885	81,191,529	45,869,762
Restricted Receipts	1,714,061	6,600,169	7,423,118	14,117,488	7,682,130
<b>Total Expenditures</b>	<b>\$36,669,303</b>	<b>\$46,203,494</b>	<b>\$69,240,003</b>	<b>\$95,413,349</b>	<b>\$53,551,892</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Security Services

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### Program Operations

The sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Responsibilities include: courtroom security, judicial security, cell block / prisoner management in all state courthouses, intrastate prisoner transportation, interstate prisoner extraditions and service of process.

### Program Objectives

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, to transport prisoners to and from Department of Corrections and all state court facilities; to perform interstate extraditions; to serve process; and to exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of sheriffs.

### Statutory History

Chapter 21-11 of the Rhode Island General laws, 42-11-21 established a division of sheriffs within the Department of Administration.

# The Budget

## Department of Administration Security Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
*Sheriffs	15,705,008	14,641,865	16,341,206	15,976,566	-
Capitol Police	(2,025)	-	-	-	-
<b>Total Expenditures</b>	<b>\$15,702,983</b>	<b>\$14,641,865</b>	<b>\$16,341,206</b>	<b>\$15,976,566</b>	-
<b>Expenditures By Object</b>					
Personnel	14,783,255	13,888,593	15,381,263	15,076,879	-
Operating Supplies and Expenses	919,304	753,054	954,443	894,187	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	424	218	-	500	-
<b>Subtotal: Operating Expenditures</b>	<b>\$15,702,983</b>	<b>\$14,641,865</b>	<b>\$16,335,706</b>	<b>\$15,971,566</b>	-
Capital Purchases and Equipment	-	-	5,500	5,000	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$15,702,983</b>	<b>\$14,641,865</b>	<b>\$16,341,206</b>	<b>\$15,976,566</b>	-
<b>Expenditures By Funds</b>					
General Revenue	15,702,983	14,641,865	16,341,206	15,976,566	-
<b>Total Expenditures</b>	<b>\$15,702,983</b>	<b>\$14,641,865</b>	<b>\$16,341,206</b>	<b>\$15,976,566</b>	-

\* In the FY 2012 Budget, the Governor recommends that the Security Services - Sheriffs program be transferred from the Department of Administration to the Department of Public Safety.

# The Program

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## Department of Administration General

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### Program Operations

The General program reflects funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Grants and benefits reflect grants made to certain organizations and funds provided to certain entities, which are appropriated by the legislature. This includes grants made to the Rhode Island Economic Development Corporation, the Slater Centers of Excellence and the RI Research Alliance.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state; provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sum."

### Program Objectives

To maintain a statewide accounting for all expenditures which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

### Statutory History

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which fund various expenditures not allocated to other state agencies. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

# The Budget

## Department of Administration General

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
General	871,393	677,316	23,378,997	1,378,997	421,500
Capital Projects	14,252,692	12,591,044	21,137,573	25,315,615	17,618,180
Grants and Other Payments	13,244,180	3,323,370	3,240,458	3,128,735	3,117,013
Economic Development	11,529,561	9,928,868	10,025,807	10,025,807	9,428,534
*State Aid to Local Communities	210,746,204	168,188,579	60,231,239	67,013,468	11,595,170
Housing	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$250,644,030</b>	<b>\$194,709,177</b>	<b>\$118,014,074</b>	<b>\$106,862,622</b>	<b>\$42,180,397</b>
<b>Expenditures By Object</b>					
Personnel	290,842	733,017	-	-	-
Operating Supplies and Expenses	2,393,056	3,090,995	2,656,502	2,644,779	2,633,057
Aid To Local Units Of Government	211,460,113	168,819,711	61,188,736	67,970,965	11,595,170
Assistance, Grants and Benefits	16,064,678	3,818,892	5,248,276	5,148,276	5,148,276
<b>Subtotal: Operating Expenditures</b>	<b>\$230,208,689</b>	<b>\$176,462,615</b>	<b>\$69,093,514</b>	<b>\$75,764,020</b>	<b>\$19,376,503</b>
Capital Purchases and Equipment	4,805,780	8,858,938	21,247,073	25,425,115	17,727,680
Debt Service	-	-	-	-	-
Operating Transfers	15,629,561	9,387,624	27,673,487	5,673,487	5,076,214
<b>Total Expenditures</b>	<b>\$250,644,030</b>	<b>\$194,709,177</b>	<b>\$118,014,074</b>	<b>\$106,862,622</b>	<b>\$42,180,397</b>
<b>Expenditures By Funds</b>					
General Revenue	239,619,945	181,440,817	95,497,504	80,168,010	24,140,717
Federal Funds	-	-	-	-	-
Restricted Receipts	871,393	677,316	1,378,997	1,378,997	1,341,680
Other Funds	10,152,692	12,591,044	21,137,573	25,315,615	16,698,000
<b>Total Expenditures</b>	<b>\$250,644,030</b>	<b>\$194,709,177</b>	<b>\$118,014,074</b>	<b>\$106,862,622</b>	<b>\$42,180,397</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Debt Service Payments

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### Program Operations

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation issued by the state. Moreover, this program encompasses leases or trust agreements securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

### Program Objectives

To maintain a statewide accounting of all general obligation debt service and other long term obligations.

### Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

# The Budget

## Department of Administration Debt Service Payments

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
General Obligation Bonds	85,074,038	93,345,352	96,786,259	99,508,278	106,577,279
Certificates of Participation	24,085,033	29,094,942	29,405,542	27,506,609	27,650,288
COPS - DLT Center General	1,570,939	2,014,373	2,015,750	2,015,750	2,013,625
COPS - Pastore Center Telecomm.	-	-	-	-	-
RIRBA Debt Service	18,931,365	6,315,734	-	-	-
Tax Anticipation/S T Borrowing	8,425,052	7,734,826	4,637,474	6,916,236	6,927,778
Other Debt Service	41,554,928	62,723,638	58,423,472	56,702,525	56,439,581
<b>Total Expenditures</b>	<b>\$179,641,355</b>	<b>\$201,228,865</b>	<b>\$191,268,497</b>	<b>\$192,649,398</b>	<b>\$199,608,551</b>
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	203,910	772,275	244,845	188,458	200,000
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	3,560,000	3,560,000	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,763,910</b>	<b>\$4,332,275</b>	<b>\$244,845</b>	<b>\$188,458</b>	<b>\$200,000</b>
Capital Purchases and Equipment	-	1,287,515	-	-	-
Debt Service	150,043,549	168,364,780	191,023,652	192,460,940	199,408,551
Operating Transfers	25,833,896	27,244,295	-	-	-
<b>Total Expenditures</b>	<b>\$179,641,355</b>	<b>\$201,228,865</b>	<b>\$191,268,497</b>	<b>\$192,649,398</b>	<b>\$199,608,551</b>
<b>Expenditures By Funds</b>					
General Revenue	137,766,439	149,591,655	138,595,566	151,908,308	143,446,413
Federal Funds	698,745	848,041	744,172	744,142	743,348
Restricted Receipts	3,651,645	9,718,610	5,961,330	7,572,852	7,634,798
Other Funds	37,524,526	41,070,559	45,967,429	32,424,096	47,783,992
<b>Total Expenditures</b>	<b>\$179,641,355</b>	<b>\$201,228,865</b>	<b>\$191,268,497</b>	<b>\$192,649,398</b>	<b>\$199,608,551</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Administration Salary / Benefit Adjustments

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### Program Objectives

The FY 2011 Enacted Budget included unachieved savings in the Department of Administration for pension reform savings. The Budget assumes \$8.2 million in savings, \$5.7 million from general revenues for the state's share of retirement costs for state employees and judges from reductions to pension benefits. The Assembly limited the cost of living adjustments to the first \$35,000 of retirement allowance indexed to inflation, but capped at 3.0 percent, beginning on the third anniversary of retirement of age 65, which ever is later. This applies to all retirees that were not eligible to retire before the date of passage, June 12, 2010. The FY 2011 Budget Request includes an increase of \$8.2 million, \$5.7 million in general revenues to reflect that these savings have been allocated state wide within the State Budget Office's revised planning value for retirement costs.

The Office of Employee Benefits has developed two savings proposals for the FY 2011 and FY 2012 Budget related to pharmacy. The first plan proposed which could generate savings effective April 2011 is the Select Designated Pharmacy Program. The Select Designated Pharmacy (SDP) Program actively encourages members who are on select high cost Tier3 (non-specialty) medications to save the State and member money by filling the order through mail order or switching to a lower cost option. The second pharmacy plan to be implemented for FY 2012 is the Specialty Pharmacy Program. The Specialty Pharmacy Program would utilize a Specialty Pharmacy network to provide monitoring and medication counseling to promote compliance with recommended use of specialty drugs. Members would have access to registered nurses by phone and pharmacists to discuss any problems or concerns they have.

The State has been approved by HHS for participation in the federal Early Retiree Rebate program which impacts health plan costs for early retirees and active employees. This program was established by the Affordable Care Act, which provides reimbursement to employee-based health plans for a portion of the cost of health benefits for early retirees ages (55-64) and their spouses and dependents. The program reimburses plans for 80% of the cost of care provided per enrollee in excess of \$15,000 and below \$90,000. These funds will be used to offset premium increases in FY 2012.

The total award for this program is \$10,700,000 in total savings. Based upon the active state employee share of 71.85% of the State's plans cost, the healthcare working rates may be reduced by \$7,687,955 for active employees. Currently 51.32% of premiums are funded from general revenues, which produce a \$3,945,525 general revenue savings in FY 2012.



# The Budget

## Department of Administration Salary / Benefit Adjustment

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Medical Insurance Savings	-	-	-	-	-
Pension Reform	-	-	(8,178,357)	-	-
<b>Total Expenditures</b>	-	-	(8,178,357)	-	-
<b>Expenditures By Object</b>					
Personnel	-	-	(8,178,357)	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	-	-	<b>(\$8,178,357)</b>	-	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	-	-	<b>(\$8,178,357)</b>	-	-
<b>Expenditures By Funds</b>					
General Revenue	-	-	(5,654,329)	-	-
Federal Funds	-	-	(1,297,977)	-	-
Restricted Receipts	-	-	(487,960)	-	-
Other Funds	-	-	(738,091)	-	-
<b>Total Expenditures</b>	-	-	<b>(\$8,178,357)</b>	-	-

# The Program

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## Department of Administration Internal Service Programs

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### Program Operations

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, utility services, automotive services (repair and replacement), and the assessed fringe benefit fund.

The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state. Facilities management is responsible operations, maintenance and repair functions of various state buildings.

The Assessed Fringe Benefit Fund provides funding for state employee workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies.

A dedicated trust has been set up to fund the liability related to Other Post Employment Benefits (OPEB) on an actuarial basis. An example of OPEB benefits include retiree medical, dental, prescription, and other similar post employment benefits for retired employees and their dependants. In accordance with Rhode Island General Law Section 36-12.1-5, the trust is to begin operation in Fiscal 2011.

### Program Objectives

To provide the most cost-effective delivery of goods and services to other state programs.

### Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

# The Budget

## Department of Administration Internal Service Programs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Workers' Compensation Fund	37,608,296	28,159,376	34,617,701	31,054,962	13,602,321
Central Utilities Fund	18,215,508	15,900,480	25,814,372	18,225,487	20,244,491
Energy Revolving Loan Fund	-	-	-	-	-
Central Mail Rotary	4,934,022	5,011,201	5,453,349	5,357,745	5,585,439
Telecommunications Fund	2,730,294	2,322,232	3,470,957	2,802,307	2,882,141
Automotive Fleet Rotary	11,304,224	14,182,572	16,330,806	13,694,626	13,926,504
Surplus Property	2,035	2,323	-	-	-
Health Insurance Fund	170,485,411	248,074,000	306,132,044	306,132,044	306,132,044
Other Post Employment Benefits	-	-	-	269,228	267,701
<b>Total Expenditures</b>	<b>\$245,279,790</b>	<b>\$313,652,184</b>	<b>\$391,819,229</b>	<b>\$377,536,399</b>	<b>\$362,640,641</b>
<b>Expenditures By Object</b>					
Personnel	206,788,742	275,512,079	341,765,751	336,522,436	319,259,842
Operating Supplies and Expenses	35,906,879	32,445,515	47,148,218	38,768,102	40,954,938
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	59,720	51,339	75,760	75,760	75,760
<b>Subtotal: Operating Expenditures</b>	<b>\$242,755,341</b>	<b>\$308,008,933</b>	<b>\$388,989,729</b>	<b>\$375,366,298</b>	<b>\$360,290,540</b>
Capital Purchases and Equipment	58,477	179,604	2,559,500	49,500	109,500
Debt Service	12,264	7,283	-	-	-
Operating Transfers	2,453,708	5,456,364	270,000	2,120,601	2,240,601
<b>Total Expenditures</b>	<b>\$245,279,790</b>	<b>\$313,652,184</b>	<b>\$391,819,229</b>	<b>\$377,536,399</b>	<b>\$362,640,641</b>
<b>Expenditures By Funds</b>					
Internal Service Funds	245,279,790	313,652,184	391,819,229	377,536,399	362,640,641
<b>Total Expenditures</b>	<b>\$245,279,790</b>	<b>\$313,652,184</b>	<b>\$391,819,229</b>	<b>\$377,536,399</b>	<b>\$362,640,641</b>

# The Agency

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## Department of Business Regulation

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### Agency Operations

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes budget, regulatory standards, compliance and enforcement. The respective divisions are: Banking, Securities, Commercial Licensing, Racing and Athletics, Design Professionals and Insurance. A sixth division program, Office of Health Insurance Commissioner will reorganize in FY 2012 from Insurance Regulation.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations, and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, Building Contractors' Registration Board, Board of Design Professionals, and Racing and Athletics Hearing Board. The department issues approximately 125,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

### Agency Objectives

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities, while recognizing the need to foster a sound business environment.

### Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. §§ 42-14-1 et seq.

# The Budget

## Department of Business Regulation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Central Management	1,059,049	1,194,476	1,024,858	1,060,195	1,162,041
Banking Regulation	1,534,780	1,358,343	1,821,156	1,638,045	1,857,238
Securities Regulation	756,160	693,323	854,862	882,179	1,066,512
Insurance Regulation	5,113,350	4,748,034	5,582,931	6,167,824	5,321,002
Board of Accountancy	146,491	148,716	164,031	162,845	170,668
Commercial Licensing , Racing & Athletics	976,437	876,460	1,195,701	1,189,408	1,229,648
Board for Design Professionals	304,017	294,086	255,891	316,292	247,360
Office of Health Insurance Commissioner	-	-	-	-	1,870,217
<b>Total Expenditures</b>	<b>\$9,890,284</b>	<b>\$9,313,438</b>	<b>\$10,899,430</b>	<b>\$11,416,788</b>	<b>\$12,924,686</b>
<b>Expenditures By Object</b>					
Personnel	9,424,545	8,740,186	10,054,689	10,537,176	12,052,111
Operating Supplies and Expenses	388,304	348,033	751,003	779,874	777,837
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	75,102	201,458	80,000	80,000	80,000
<b>Subtotal: Operating Expenditures</b>	<b>\$9,887,951</b>	<b>\$9,289,677</b>	<b>\$10,885,692</b>	<b>\$11,397,050</b>	<b>\$12,909,948</b>
Capital Purchases and Equipment	2,333	23,761	13,738	19,738	14,738
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,890,284</b>	<b>\$9,313,438</b>	<b>\$10,899,430</b>	<b>\$11,416,788</b>	<b>\$12,924,686</b>
<b>Expenditures By Funds</b>					
General Revenue	8,527,975	8,078,396	9,156,047	8,919,355	9,696,378
Federal Funds	87,315	-	-	758,454	1,460,861
Restricted Receipts	1,274,994	1,235,042	1,743,383	1,738,979	1,767,447
<b>Total Expenditures</b>	<b>\$9,890,284</b>	<b>\$9,313,438</b>	<b>\$10,899,430</b>	<b>\$11,416,788</b>	<b>\$12,924,686</b>
<b>FTE Authorization</b>	<b>91.0</b>	<b>85.5</b>	<b>90.0</b>	<b>93.0</b>	<b>93.0</b>
<b>Agency Measures</b>					
Minorities as Percentage of Workforce	4.0%	4.0%	4.0%	4.0%	4.0%
Females as Percentage of Workforce	54.0%	54.0%	59.0%	59.0%	59.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-

# The Program

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## Department of Business Regulation Central Management

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### Program Operations

Central Management is composed of the Director's office budget, regulatory standards, compliance and enforcement. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Deputy Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses, approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

### Program Objectives

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

### Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I.G.L. §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

# The Budget

## Department of Business Regulation Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,029,699	956,586	979,992	1,015,543	1,117,603
Operating Supplies and Expenses	28,738	22,847	42,829	42,615	42,401
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	201,458	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,058,437</b>	<b>\$1,180,891</b>	<b>\$1,022,821</b>	<b>\$1,058,158</b>	<b>\$1,160,004</b>
Capital Purchases and Equipment	612	13,585	2,037	2,037	2,037
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,059,049</b>	<b>\$1,194,476</b>	<b>\$1,024,858</b>	<b>\$1,060,195</b>	<b>\$1,162,041</b>
<b>Expenditures By Funds</b>					
General Revenue	1,059,049	1,194,476	1,024,858	1,060,195	1,162,041
<b>Total Expenditures</b>	<b>\$1,059,049</b>	<b>\$1,194,476</b>	<b>\$1,024,858</b>	<b>\$1,060,195</b>	<b>\$1,162,041</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Business Regulation Banking Regulation

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### Program Operations

Banking Regulation provides regulatory oversight of state-chartered financial institutions, credit unions, Rhode Island bank holding companies and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations.

Banking Regulation is responsible for regulating, monitoring and examining fifteen (15) state chartered financial institutions, seven (7) Rhode Island bank holding companies, ten (10) credit unions, seven (7) interstate banks and approximately eight hundred seventy eight (878) company licensees (including four hundred forty-four (444) registered debt collectors) operating from one thousand five hundred fifty six (1,556) licensed locations (including eight hundred eighty nine (889) debt collector locations) and one thousand two hundred fifty four (1,254) mortgage loan originators as of July 2010. The Division accomplishes its program objectives through the process of licensing, chartering and examining financial institutions, Rhode Island bank holding companies, credit unions, branches of interstate banks, and licensees. The purposes of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public interest. The Division reviews and conducts hearings on applications filed by financial institutions, listed above. Licensees include lenders, loan brokers, small loan lenders, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, debt-management companies, and mortgage loan originators. The Division enforces statutes relating to state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

### Program Objectives

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

### Statutory History

R.I.G.L. Chapters §§19-1 to 19-14.10 charge the Division of Banking with the regulation, licensing, or registration of financial institutions, Rhode Island bank holding companies, credit unions and licensees (lenders, loan brokers, small loan lenders, mortgage loan originators, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management companies and debt collectors.) RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. §§34-23 to 34-27 relate to Mortgages. R.I.G.L. §§19-14.3 to 19-14.8 relate to the Sale of Checks, Electronic Money Transfers, Foreign Exchange Transactions, Insurance Premium Finance Agreements and Debt Management Companies. Rhode Island General Laws §19-14.9 relates to the registration of debt collectors. R.I.G.L. §19-14.10 relates to the licensing and supervision of mortgage loan originators.



# The Budget

## Department of Business Regulation Banking Regulation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,468,509	1,298,821	1,651,997	1,469,107	1,688,521
Operating Supplies and Expenses	66,271	49,346	164,159	163,938	163,717
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,534,780</b>	<b>\$1,348,167</b>	<b>\$1,816,156</b>	<b>\$1,633,045</b>	<b>\$1,852,238</b>
Capital Purchases and Equipment	-	10,176	5,000	5,000	5,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,534,780</b>	<b>\$1,358,343</b>	<b>\$1,821,156</b>	<b>\$1,638,045</b>	<b>\$1,857,238</b>
<b>Expenditures By Funds</b>					
General Revenue	1,500,914	1,327,726	1,696,156	1,513,045	1,732,238
Restricted Receipts	33,866	30,617	125,000	125,000	125,000
<b>Total Expenditures</b>	<b>\$1,534,780</b>	<b>\$1,358,343</b>	<b>\$1,821,156</b>	<b>\$1,638,045</b>	<b>\$1,857,238</b>
<b>Program Measures</b>					
Percentage of State-Chartered Institutions Examined in Substantial Compliance with the Banking Code	100.0%	100.0%	100.0%	100.0%	0.0%
Percentage of Other Lending Licenses Examined in Substantial Compliance with the Banking Code	60.0%	72.0%	45.0%	75.0%	75.0%

# The Program

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## Department of Business Regulation Securities Regulation

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### Program Operations

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. In FY 2010 the division processed approximately 83,869 licenses and 11,330 registrations, conducted one (1) on-site examination of broker-dealer, investigated 32 complaints, and instituted 17 enforcement actions, pursuant to applicable state and federal laws and regulations.

### Program Objectives

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

### Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I.G.L. §7-11; the Franchise Investment Act, R.I.G.L. §19-28.1; the Charitable Solicitation Act, R.I.G.L. §5-53.1; and the Real Estate Time-Share Act, R.I.G.L. §34-41.

# The Budget

## Department of Business Regulation Securities Regulation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	734,366	676,126	808,194	835,669	1,020,161
Operating Supplies and Expenses	21,794	17,197	46,668	46,510	46,351
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$756,160</b>	<b>\$693,323</b>	<b>\$854,862</b>	<b>\$882,179</b>	<b>\$1,066,512</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$756,160</b>	<b>\$693,323</b>	<b>\$854,862</b>	<b>\$882,179</b>	<b>\$1,066,512</b>
<b>Expenditures By Funds</b>					
General Revenue	749,353	688,693	839,862	867,179	1,051,512
Restricted Receipts	6,807	4,630	15,000	15,000	15,000
<b>Total Expenditures</b>	<b>\$756,160</b>	<b>\$693,323</b>	<b>\$854,862</b>	<b>\$882,179</b>	<b>\$1,066,512</b>
<b>Program Measures</b>					
Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act	100.0%	100.0%	100.0%	100.0%	100.0%

# The Program

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## Department of Business Regulation Insurance Regulation

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### Program Operations

The Insurance Division is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it initially received in June of 1993. The division was re-accredited in December 1998, December 2003, and again in June 2009, each time receiving the maximum accreditation period of five years.

### Program Objectives

To monitor effectively the financial condition and market conduct activities of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

### Statutory History

All chapters of R.I.G.L. §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

# The Budget

## Department of Business Regulation Insurance Regulation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	4,884,738	4,602,965	5,286,192	5,834,957	5,033,304
Operating Supplies and Expenses	152,307	145,069	293,239	323,367	284,198
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	75,102	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$5,112,147</b>	<b>\$4,748,034</b>	<b>\$5,579,431</b>	<b>\$6,158,324</b>	<b>\$5,317,502</b>
Capital Purchases and Equipment	1,203	-	3,500	9,500	3,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,113,350</b>	<b>\$4,748,034</b>	<b>\$5,582,931</b>	<b>\$6,167,824</b>	<b>\$5,321,002</b>
<b>Expenditures By Funds</b>					
General Revenue	4,081,715	3,835,168	4,439,718	4,267,501	4,031,865
Federal Funds	87,315	-	-	758,454	148,312
Restricted Receipts	944,320	912,866	1,143,213	1,141,869	1,140,825
<b>Total Expenditures</b>	<b>\$5,113,350</b>	<b>\$4,748,034</b>	<b>\$5,582,931</b>	<b>\$6,167,824</b>	<b>\$5,321,002</b>
<b>Program Measures</b>					
Percentage of Domestic Insurance Companies in Substantial Compliance with the Insurance Code (Market Conduct Examinations)	100.0%	100.0%	100.0%	100.0%	100.0%

# The Program

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## Department of Business Regulation Office of the Health Insurance Commissioner

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### Program Operations

The 2004 General Assembly established The Office of the Health Insurance Commissioner (OHIC). The Health Insurance Commissioner is appointed by the Governor with the advice and consent of the Senate.

OHIC staff is statutorily responsible for the regulation of Health Insurers and Hospital/Medical Service Corporations. These include but are not limited to company licensure, form and rate filing for regulatory compliance, and financial and market conduct examinations. It is also engaged in policy and legislative development, rate hearing administration, regulatory development and promulgation, consumer affairs and provider affairs.

The Department of Business Regulation shares certain administrative and regulatory services and personnel with OHIC as directed by statute.

### Program Objectives

To guard the solvency of health insurers;

To protect the interests of consumers;

To encourage fair treatment of health care providers;

To encourage policies and developments that improve the quality and efficiency of health care service delivery and outcomes;

To view the health care system as a comprehensive entity and encourage and direct insurers towards policies that advance the welfare of the public through overall efficiency, improved health care quality, and appropriate access.

### Statutory History

R.I.G.L. §42-14.5-1 established the Office of the Health Insurance Commissioner.

Applicable insurer regulations are found in various chapters of the R.I.G.L Titles 27 and 42.

# The Budget

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## Department of Business Regulation Office of Health Insurance Commissioner

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	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	1,832,604
Operating Supplies and Expenses	-	-	-	-	36,613
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,869,217</b>
Capital Purchases and Equipment	-	-	-	-	1,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$1,870,217</b>
<b>Expenditures By Funds</b>					
General Revenue					547,168
Federal Funds	-	-	-	-	1,312,549
Restricted Receipts	-	-	-	-	10,500
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>1,870,217</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Business Regulation Board of Accountancy

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### Program Operations

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues initial certificates and permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel oversee the analysis of each CPA or PA application prior to Board review. In addition, Board personnel provide the general public with application and licensing information regarding all certified public accountants and public accountants and update monthly the listing of individual licensees and CPA firms on the Department of Business Regulation website, which facilitates information to the general public.

### Program Objectives

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

### Statutory History

R.I.G.L. §§5-3.1 et seq. (1956) relate to the Board of Accountancy.



# The Budget

## Department of Business Regulation Board of Accountancy

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	139,325	143,216	152,587	151,459	159,338
Operating Supplies and Expenses	6,648	5,500	11,444	11,386	11,330
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$145,973</b>	<b>\$148,716</b>	<b>\$164,031</b>	<b>\$162,845</b>	<b>\$170,668</b>
Capital Purchases and Equipment	518	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$146,491</b>	<b>\$148,716</b>	<b>\$164,031</b>	<b>\$162,845</b>	<b>\$170,668</b>
<b>Expenditures By Funds</b>					
General Revenue	146,491	148,716	164,031	162,845	170,668
<b>Total Expenditures</b>	<b>\$146,491</b>	<b>\$148,716</b>	<b>\$164,031</b>	<b>\$162,845</b>	<b>\$170,668</b>
<b>Program Measures</b>					
Percentage of CPA's and PA's who meet Continuing Professional Education Requirements in Accordance with R.I. General Law	98.0%	98.0%	98.0%	99.0%	99.0%

# The Program

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## Department of Business Regulation Commercial Licensing, Racing and Athletics

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### Program Operations

Commercial Licensing and Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, \*travel agencies and travel agents, upholsterers, \*alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks, and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at the Twin River and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

### Program Objective

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public; to enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling and kickboxing events in the state.

### Statutory History

R.I.G.L. §5-58 relates to auctioneers; R.I.G.L. §5-20.5 relates to real estate; R.I.G.L. §5-20.7 relates to real estate appraisers; R.I.G.L. §5-38 relates to automobile body repair shops; R.I.G.L. §5-50 relates to pre-opening of health club sales campaigns; \*R.I.G.L. §5-52 relates to travel agencies; \*R.I.G.L. §5-57 relates to burglar and hold-up alarm businesses; R.I.G.L. §6-31 relates to unit pricing; R.I.G.L. §§23-26 relates to bedding and upholstered furniture; R.I.G.L. §§31-44 & §§31-44.1 relate to mobile and manufactured homes; R.I.G.L. §42-14.2 relates to auto wrecking and salvage yards; R.I.G.L. §§31-37 relates to advertising and sale of motor fuel at retail, R.I.G.L. §31-46-7 relates to auto body salvage re-builders' licenses; and R.I.G.L. §3-1 relates to alcoholic beverages. R.I.G.L. §41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

\*Travel repealed on 6-6-2008

\*Alarms Regulation transferred to Department of Labor and Training on 7-1-2008.

# The Budget

## Department of Business Regulation Commercial Licensing, Racing & Athletics

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	925,526	826,909	983,353	977,348	1,016,103
Operating Supplies and Expenses	50,911	49,551	129,147	128,859	130,344
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	80,000	80,000	80,000
<b>Subtotal: Operating Expenditures</b>	<b>\$976,437</b>	<b>\$876,460</b>	<b>\$1,192,500</b>	<b>\$1,186,207</b>	<b>\$1,226,447</b>
Capital Purchases and Equipment	-	-	3,201	3,201	3,201
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$976,437</b>	<b>\$876,460</b>	<b>\$1,195,701</b>	<b>\$1,189,408</b>	<b>\$1,229,648</b>
<b>Expenditures By Funds</b>					
General Revenue	686,436	589,531	735,531	732,298	753,526
Restricted Receipts	290,001	286,929	460,170	457,110	476,122
<b>Total Expenditures</b>	<b>\$976,437</b>	<b>\$876,460</b>	<b>\$1,195,701</b>	<b>\$1,189,408</b>	<b>\$1,229,648</b>
<b>Program Measures</b>					
Percentage of Real Estate Licensees in Substantial Compliance with Real Estate Code	99.7%	99.7%	99.7%	99.7%	99.7%
Percentage of Autobody Shops, Auto Wrecking Yards, and Auto Salvage Re-Builders in Substantial Compliance with the Code	93.5%	93.5%	93.5%	93.5%	93.5%
Percentage of Liquor Licensees in Substantial Compliance with the Code	78.0%	78.0%	78.0%	78.0%	78.0%
Percentage of Tested Greyhounds Testing Negative for Chemical Substances	99.9%	99.9%	99.0%	NA	NA

# The Program

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## Department of Business Regulation Design Professionals

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### Program Operations

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulation; and hearing and acting upon complaints.

In the FY 2008 enacted budget, the Boards for Design Professionals was moved to the Department of Business Regulation as a division known as Design Professionals.

### Program Objectives

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

### Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – The Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

# The Budget

## Department of Business Regulation Design Professionals

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	242,382	235,563	192,374	253,093	184,477
Operating Supplies and Expenses	61,635	58,523	63,517	63,199	62,883
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$304,017</b>	<b>\$294,086</b>	<b>\$255,891</b>	<b>\$316,292</b>	<b>\$247,360</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$304,017</b>	<b>\$294,086</b>	<b>\$255,891</b>	<b>\$316,292</b>	<b>\$247,360</b>
<b>Expenditures By Funds</b>					
General Revenue	304,017	294,086	255,891	316,292	247,360
<b>Total Expenditures</b>	<b>\$304,017</b>	<b>\$294,086</b>	<b>\$255,891</b>	<b>\$316,292</b>	<b>\$247,360</b>
<b>Program Measures</b>					
Ratio of Complaint Cases Successfully Resolved to Cases Filed	69.0%	69.0%	75.0%	75.0%	75.0%

# The Agency

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## Department of Labor and Training

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### Agency Operations

The Department of Labor and Training provides a comprehensive array of employment and training services to Rhode Islanders and administers the laws governing workforce regulation, safety and labor law enforcement. The Department consists of the following six programs: Executive Management, Income Support, Workforce Development Services, Worker's Compensation, Workforce Regulation and Safety and the Labor Relations Board.

The Executive Management Program is responsible for strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient and effective use of federal and state resources.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance, Temporary Disability Insurance, and the Police and Fire Relief Fund.

The Workforce Development Program consists of employment and training programs designed to help individuals find gainful employment and employers' suitable workers. It includes the Governor's Workforce Board Rhode Island develops of strategic plans, policies, and program development to coordinate employment and training related programs link these activities with key partners and stakeholder to create and address a demand-driven workforce agenda for the state.

The Workers Compensation Program operates the State's Workers' Compensation System. The Dr. Donley Center provides rehabilitation services for workers injured on the job. The Workers' Compensation Education unit provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for public sector bargaining unit determinations, collective bargaining elections, and investigations of charges of unfair practices.

### Agency Objectives

To provide the public programs for workforce development, income support, injured workers services, and workforce regulation and safety that exceed customer expectations, lead to an improved economy, and enhance the quality of life for all residents.

### Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

# The Budget

## Department of Labor and Training

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Central Management	\$509,264	\$440,415	\$1,493,284	\$1,643,169	\$856,414
Workforce Development Services	\$25,743,431	\$40,213,104	\$41,582,765	\$48,905,198	\$36,033,528
Workforce Regulation and Safety	\$2,210,782	\$2,045,193	\$2,404,353	\$2,498,957	\$2,613,140
Income Support	\$764,377,737	\$971,273,004	\$778,595,118	\$801,831,922	\$447,204,819
Injured Workers Services	\$9,714,964	\$7,787,309	\$9,080,322	\$8,676,808	\$8,555,089
Labor Relations Board	\$390,378	\$371,898	\$402,597	\$400,289	\$415,133
<b>Total Expenditures</b>	<b>\$802,946,556</b>	<b>\$1,022,130,923</b>	<b>\$833,558,439</b>	<b>\$863,956,343</b>	<b>\$495,678,123</b>
<b>Expenditures By Object</b>					
Personnel	37,101,334	40,186,179	49,726,960	47,455,882	45,693,724
Operating Supplies and Expenses	5,595,861	4,744,752	7,231,533	4,864,575	4,975,312
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	744,161,750	959,303,760	761,597,538	784,802,578	416,277,730
<b>Subtotal: Operating Expenditures</b>	<b>\$786,858,945</b>	<b>\$1,004,234,691</b>	<b>\$818,556,031</b>	<b>\$837,123,035</b>	<b>\$466,946,766</b>
Capital Purchases and Equipment	541,567	754,775	1,409,843	2,715,207	777,594
Debt Service	-	-	-	-	-
Operating Transfers	15,546,044	17,141,457	13,592,565	24,118,101	27,953,763
<b>Total Expenditures</b>	<b>\$802,946,556</b>	<b>\$1,022,130,923</b>	<b>\$833,558,439</b>	<b>\$863,956,343</b>	<b>\$495,678,123</b>
<b>Expenditures By Funds</b>					
General Revenue	6,433,976	6,308,677	7,117,031	7,005,613	7,262,954
Federal Funds	69,695,640	330,506,335	181,957,663	153,430,142	49,345,402
Restricted Receipts	18,912,732	19,182,847	17,529,145	18,004,845	17,104,361
Other Funds	707,904,208	666,133,064	626,954,600	685,515,743	421,965,406
<b>Total Expenditures</b>	<b>\$802,946,556</b>	<b>\$1,022,130,923</b>	<b>\$833,558,439</b>	<b>\$863,956,343</b>	<b>\$495,678,123</b>
<b>FTE Authorization</b>	<b>395.3</b>	<b>514.4</b>	<b>519.4</b>	<b>512.2</b>	<b>470.2</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	13.4%	13.6%	19.2%	19.1%	19.0%
Females as a Percentage of the Workforce	68.0%	68.4%	70.2%	70.2%	68.2%
Persons with Disabilities as a Percentage of the Workforce	2.7%	2.8%	2.6%	2.6%	2.8%

# The Program

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## Department of Labor and Training Central Management

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### Program Operations

Organized through the Director's office, the Executive Management Program provides leadership, management, planning and program oversight for all departmental activities. An important aspect of the Executive Management program involves the sharing of information with the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations.

The Executive Management Program provides administrative services for the Department including, legal services, purchasing, and financial management. The Legal Services unit litigates for the department, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues. The Facilities Management and Purchasing Units manage purchasing functions, operate a central stock and mail room, and coordinate the maintenance and support of facilities and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with labor relations. The Information Services unit provides the coordination, planning, technical evaluation and implementation of information systems.

### Program Objectives

To provide leadership, management and strategic planning in the development and implementation of a cost efficient and productive system of service delivery.

To provide competent legal representation and consultation to all departmental staff requiring such service.

To provide comprehensive financial management, staff development and management information services to all divisions within the department.

### Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.



# The Budget

## Department of Labor and Training Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	473,299	409,932	569,948	557,299	591,243
Operating Supplies and Expenses	33,572	23,983	54,020	50,521	53,154
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,330	2,302	2,375	2,325	2,348
<b>Subtotal: Operating Expenditures</b>	<b>\$509,201</b>	<b>\$436,217</b>	<b>\$626,343</b>	<b>\$610,145</b>	<b>\$646,745</b>
Capital Purchases and Equipment	63	4,198	866,941	1,033,024	209,669
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$509,264</b>	<b>\$440,415</b>	<b>\$1,493,284</b>	<b>\$1,643,169</b>	<b>\$856,414</b>
<b>Expenditures By Funds</b>					
General Revenue	94,351	59,626	127,131	112,769	113,640
Restricted Receipts	414,913	372,935	621,097	741,816	534,274
Other Funds	-	7,854	745,056	788,584	208,500
<b>Total Expenditures</b>	<b>\$509,264</b>	<b>\$440,415</b>	<b>\$1,493,284</b>	<b>\$1,643,169</b>	<b>\$856,414</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Program

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## Department of Labor and Training Workforce Development Services

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### Program Operations

The Workforce Development Services Program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic readjustment and retraining.

The Employment Service program provides our customers with employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs.

The Veterans' program provides the same services to veterans as it does to customers through a specialized veteran staff. Veterans are given priority on referrals for all job orders.

The Workforce Investment Act program provides employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. It offers vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training.

The Labor Market Information (LMI) program operates as a clearing office for all employment statistics and demographic information.

The Governor's Workforce Board Rhode Island establishes policies, goals and guidelines to coordinate employment and training related programs and supports efforts to link these activities with economic development strategies. The board oversees funding ensuring that strategic investments are made. A sub committee of the Governor's Workforce Board, the Human Resource Investment Council, under authority granted by R.I.G.L. 42-102, administers the Job Development Fund.

### Program Objectives

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals secure employment.

### Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. R.I.G.L. 42-102 created the Rhode Island Human Resource Investment Council programs.

# The Budget

## Department of Labor and Training Workforce Development Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Employment Services	3,905,969	10,853,308	7,972,103	11,288,707	3,308,157
JPTA & Other Training Programs	13,287,915	18,520,878	25,952,721	29,050,849	24,632,693
Labor Market Information	755,555	809,017	745,507	766,521	698,450
Governor's Workforce	7,457,916	9,605,322	6,298,170	7,285,144	6,694,730
Veteran Services	336,076	424,579	614,264	513,977	699,498
<b>Total Expenditures</b>	<b>\$25,743,431</b>	<b>\$40,213,104</b>	<b>\$41,582,765</b>	<b>\$48,905,198</b>	<b>\$36,033,528</b>
<b>Expenditures By Object</b>					
Personnel	9,640,460	11,608,148	15,746,107	14,476,372	13,966,055
Operating Supplies and Expenses	2,042,555	1,670,813	2,740,269	1,624,658	1,623,950
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	13,183,962	25,648,649	21,203,416	31,116,756	18,902,483
<b>Subtotal: Operating Expenditures</b>	<b>\$24,866,977</b>	<b>\$38,927,610</b>	<b>\$39,689,792</b>	<b>\$47,217,786</b>	<b>\$34,492,488</b>
Capital Purchases and Equipment	238,634	256,970	70,129	216,105	217,939
Debt Service	-	-	-	-	-
Operating Transfers	637,820	1,028,524	1,822,844	1,471,307	1,323,101
<b>Total Expenditures</b>	<b>\$25,743,431</b>	<b>\$40,213,104</b>	<b>\$41,582,765</b>	<b>\$48,905,198</b>	<b>\$36,033,528</b>
<b>Expenditures By Funds</b>					
General Revenue	16,448	69,115	65,119	-	-
Federal Funds	16,784,173	30,493,667	35,219,476	41,574,604	29,292,898
Restricted Receipts	7,457,916	9,605,322	6,298,170	7,285,144	6,694,730
Other Funds	1,484,894	45,000	-	45,450	45,900
<b>Total Expenditures</b>	<b>\$25,743,431</b>	<b>\$40,213,104</b>	<b>41,582,765</b>	<b>48,905,198</b>	<b>36,033,528</b>
<b>Program Measures</b>					
Adult Dislocated Worker Retention Rate	88.1%	88.1%	NA	NA	NA

# The Program

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## Department of Labor and Training Workforce Regulation and Safety

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### Program Operations

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the state's workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeship training, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, educational programs, and enforcement of the various labor laws.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety unit safeguards public and private sectors workplace environments by enforcing laws relating to safety compliance, elevators, boilers, and hazardous substances.

The Trade Licensing unit licenses trade members, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

### Program Objectives

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

### Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

# The Budget

## Department of Labor and Training Workforce Regulation and Safety

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Labor Standards	484,593	408,710	431,506	339,294	199,273
Occupational Safety	975,805	799,237	842,896	889,056	898,032
Professional Regulations	750,384	837,246	1,129,951	1,270,607	1,515,835
<b>Total Expenditures</b>	<b>\$2,210,782</b>	<b>\$2,045,193</b>	<b>\$2,404,353</b>	<b>\$2,498,957</b>	<b>\$2,613,140</b>
<b>Expenditures By Object</b>					
Personnel	2,072,622	1,921,224	2,263,871	2,372,336	2,472,318
Operating Supplies and Expenses	135,391	117,257	137,670	117,841	118,414
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,347	1,579	2,393	1,595	1,610
<b>Subtotal: Operating Expenditures</b>	<b>\$2,210,360</b>	<b>\$2,040,060</b>	<b>\$2,403,934</b>	<b>\$2,491,772</b>	<b>\$2,592,342</b>
Capital Purchases and Equipment	422	5,133	419	7,185	20,798
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,210,782</b>	<b>\$2,045,193</b>	<b>\$2,404,353</b>	<b>\$2,498,957</b>	<b>\$2,613,140</b>
<b>Expenditures By Funds</b>					
General Revenue	2,210,782	2,045,193	2,404,353	2,498,957	2,613,140
<b>Total Expenditures</b>	<b>\$2,210,782</b>	<b>\$2,045,193</b>	<b>\$2,404,353</b>	<b>\$2,498,957</b>	<b>\$2,613,140</b>
<b>Program Measures</b>					
Percentage of Boilers and Pressure Vessels Compliant with Code upon Initial Inspection	80.0%	92.0%	80.0%	80.0%	90.0%
Percentage of Elevators and Escalators Compliant with Applicable Codes	66.0%	60.0%	50.0%	60.0%	70.0%
Percentage of "Special Limited Work Permit to Work for Minor 14-15 Years of Age" Inves- tigated Which Were Denied	54.0%	57.0%	50.0%	50.0%	50.0%

# The Program

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## Department of Labor and Training Income Support

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### Program Operations

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers; and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions.

Police and Fire Relief provides financial compensation to police officers, firefighters, and crash rescue personnel, correctional officers and DEM Officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at Rhode Island state colleges or university.

### Program Objectives

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement.

To comply with the regulations and guidelines established by the United States Department of Labor and seek methods to excel.

### Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

# The Budget

## Department of Labor and Training Income Support

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Unemployment Insurance	590,889,509	803,184,331	601,474,921	628,614,067	270,776,918
Temporary Disability Insurance Fund	169,766,211	164,325,828	173,002,366	169,224,257	172,306,860
Fire and Police	3,722,017	3,762,845	4,117,831	3,993,598	4,121,041
<b>Total Expenditures</b>	<b>\$764,377,737</b>	<b>\$971,273,004</b>	<b>\$778,595,118</b>	<b>\$801,831,922</b>	<b>\$447,204,819</b>
<b>Expenditures By Object</b>					
Personnel	17,907,746	20,586,138	25,052,335	23,566,184	22,285,102
Operating Supplies and Expenses	2,952,159	2,545,605	3,549,712	2,651,736	2,607,647
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	728,403,875	931,698,845	737,787,851	751,590,968	395,420,345
<b>Subtotal: Operating Expenditures</b>	<b>\$749,263,780</b>	<b>\$954,830,588</b>	<b>\$766,389,898</b>	<b>\$777,808,888</b>	<b>\$420,313,094</b>
Capital Purchases and Equipment	205,733	329,483	435,499	1,376,240	261,063
Debt Service	-	-	-	-	-
Operating Transfers	14,908,224	16,112,933	11,769,721	22,646,794	26,630,662
<b>Total Expenditures</b>	<b>\$764,377,737</b>	<b>\$971,273,004</b>	<b>\$778,595,118</b>	<b>\$801,831,922</b>	<b>\$447,204,819</b>
<b>Expenditures By Funds</b>					
General Revenue	3,722,017	3,762,845	4,117,831	3,993,598	4,121,041
Federal Funds	52,911,467	300,012,668	146,738,187	111,855,538	20,052,504
Restricted Receipts	1,324,939	1,417,281	1,529,556	1,301,077	1,320,268
Other Funds	706,419,314	666,080,210	626,209,544	684,681,709	421,711,006
<b>Total Expenditures</b>	<b>\$764,377,737</b>	<b>\$971,273,004</b>	<b>\$778,595,118</b>	<b>\$801,831,922</b>	<b>\$447,204,819</b>
<b>Program Measures</b>					
Initial Unemployment Insurance Claims Promptly Paid	85.3%	85.2%	95.0%	93.0%	93.0%
Initial Unemployment Insurance Claims Accurately Paid	93.8%	96.3%	95.6%	95.6%	95.6%
Percentage of Wage Information Transferred to Other States within 5 Calendar Days	82.9%	84.0%	85.0%	85.0%	85.0%
Percentage of Temporary Disability Claims Filed that are Either Authorized or Disallowed within 21 Days of their Receipt	71.1%	72.0%	73.0%	79.3%	79.3%
Percentage of Nonmonetary Determinations Receiving an Acceptable Grade for Completeness of Fact-Finding and Correctness	83.3%	73.9%	89.0%	80.0%	80.0%

# The Program

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## Department of Labor and Training Injured Workers Services

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### Program Operations

The Injured Workers Services division monitors procedures and payments made by insurance carriers to employees unable to work because of job related injury and collects and disseminates statistical data. This division also provides vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals and responds to compliance and fraud issues. The division is made up of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center and the Fraud and Prevention Compliance unit.

The Workers' Compensation (administrative) unit monitors all claim filings to ensure proper payment by the insurance carrier and maintains all corresponding records and statistical data. It creates a self-insured program for large companies who meet certain criteria.

The Education unit provides services pertaining to workplace safety and Workers' Compensation system information.

The Rehabilitation Unit, housed at the Dr. John E. Donley Rehabilitation Center provides broad-based rehabilitation programs for injured workers with the Workers' Compensation System. Services include complete evaluations and treatment programs.

The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution and suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer confidence with the requirements of the Workers' Compensation Act.

### Program Objectives

To maintain a WC system that is fair to both employees and employers.

To maintain a WC system that emphasizes and rewards safety in the workplace.

To maintain a WC system that is cost-competitive with insurance coverage for employers available at a low cost.

To maintain a WC system that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

### Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.



# The Budget

## Department of Labor and Training Injured Workers Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Workers' Compensation Compliance	5,672,723	4,115,194	4,973,513	4,714,551	4,265,712
Education and Rehabilitation	4,042,241	3,672,115	4,106,809	3,962,257	4,289,377
<b>Total Expenditures</b>	<b>\$9,714,964</b>	<b>\$7,787,309</b>	<b>\$9,080,322</b>	<b>\$8,676,808</b>	<b>\$8,555,089</b>
<b>Expenditures By Object</b>					
Personnel	6,630,912	5,313,191	5,706,393	6,107,943	5,988,604
Operating Supplies and Expenses	419,495	376,509	736,993	409,183	561,458
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,569,164	1,952,335	2,601,430	2,090,883	1,950,893
<b>Subtotal: Operating Expenditures</b>	<b>\$9,619,571</b>	<b>\$7,642,035</b>	<b>\$9,044,816</b>	<b>\$8,608,009</b>	<b>\$8,500,955</b>
Capital Purchases and Equipment	95,393	145,274	35,506	68,799	54,134
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,714,964</b>	<b>\$7,787,309</b>	<b>\$9,080,322</b>	<b>\$8,676,808</b>	<b>\$8,555,089</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	9,714,964	7,787,309	9,080,322	8,676,808	8,555,089
<b>Total Expenditures</b>	<b>\$9,714,964</b>	<b>\$7,787,309</b>	<b>\$9,080,322</b>	<b>\$8,676,808</b>	<b>\$8,555,089</b>
<b>Program Measures</b>					
Return to Work Rate	95.0%	95.0%	95.0%	95.0%	95.0%
Percentage of Students Completing the Computer Skills Workshop who pass the Proficiency Exam	85.0%	100.0%	90.0%	100.0%	100.0%
Timely Filing of First report of Injury Within 10 days	70.9%	72.0%	80.0%	75.0%	75.0%

# The Program

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## Department of Labor and Training Labor Relations Board

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### Program Operations

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and the employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

### Program Objectives

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

### Statutory History

The statutory basis for the Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

# The Budget

## Department of Labor and Training Labor Relations Board

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	376,295	347,546	388,306	375,748	390,402
Operating Supplies and Expenses	12,689	10,585	12,869	10,636	10,689
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	72	50	73	51	51
<b>Subtotal: Operating Expenditures</b>	<b>\$389,056</b>	<b>\$358,181</b>	<b>\$401,248</b>	<b>\$386,435</b>	<b>\$401,142</b>
Capital Purchases and Equipment	1,322	13,717	1,349	13,854	13,991
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$390,378</b>	<b>\$371,898</b>	<b>\$402,597</b>	<b>\$400,289</b>	<b>\$415,133</b>
<b>Expenditures By Funds</b>					
General Revenue	390,378	371,898	402,597	400,289	415,133
<b>Total Expenditures</b>	<b>\$390,378</b>	<b>\$371,898</b>	<b>\$402,597</b>	<b>\$400,289</b>	<b>\$415,133</b>
<b>Program Measures</b>					
Percentage of Cases Resolved	65.0%	52.0%	80.0%	75.0%	75.0%

# The Agency

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## Department of Revenue

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### Agency Operations

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has six programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis and Municipal Finance.

### Agency Objectives

To assess and collect all taxes, fees and revenues in the most efficient and cost-effective manner.

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.

To administer a lottery system in the most efficient, ethical and cost-effective manner.

To assess and evaluate the state revenue policies and practices and recommend options to enhance revenue collections and revenue competitiveness.

### Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

# The Budget

## Department of Revenue

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Program</b>					
Director of Revenue	603,236	480,822	500,231	592,880	784,261
Office of Revenue Analysis	363,514	371,823	555,607	435,073	495,910
Lottery Division	188,356,618	216,181,394	192,140,596	215,006,506	218,537,728
Municipal Finance	705,632	1,124,503	1,159,585	2,081,811	2,352,609
Taxation	20,784,016	17,353,468	20,278,893	19,647,805	20,961,088
Registry of Motor Vehicles	17,389,458	33,842,041	21,695,505	22,339,204	18,486,545
State Aid	-	-	-	-	74,277,595
<b>Total Expenditures</b>	<b>228,202,474</b>	<b>269,354,051</b>	<b>236,330,417</b>	<b>260,103,279</b>	<b>335,895,736</b>
<b>Expenditures By Object</b>					
Personnel	33,251,512	33,033,080	37,458,659	37,743,105	40,261,607
Operating Supplies and Expenses	190,098,742	218,274,357	193,432,036	216,479,297	219,516,610
Aid To Local Units Of Government	-	-	-	-	74,277,595
Assistance, Grants and Benefits	16,002	83,019	11,633	56,650	13,650
<b>Subtotal: Operating Expenditures</b>	<b>\$223,366,256</b>	<b>\$251,390,456</b>	<b>230,902,328</b>	<b>254,279,052</b>	<b>334,069,462</b>
Capital Purchases and Equipment	1,063,643	17,802,618	5,191,708	5,566,598	1,551,575
Debt Service	-	-	-	-	-
Operating Transfers	3,772,575	160,977	236,381	257,629	274,699
<b>Total Expenditures</b>	<b>\$228,202,474</b>	<b>\$269,354,051</b>	<b>\$236,330,417</b>	<b>\$260,103,279</b>	<b>\$335,895,736</b>
	\$0				
<b>Expenditures By Funds</b>					
General Revenue	32,332,042	32,476,057	35,479,085	36,130,650	91,720,012
Federal Funds	1,551,480	1,803,123	2,289,770	2,365,000	2,636,059
Restricted Receipts	4,479,106	4,001,548	824,191	1,966,459	21,191,727
Other Funds	\$189,839,846	\$231,073,323	\$197,737,371	\$219,641,170	\$220,347,938
<b>Total Expenditures</b>	<b>\$228,202,474</b>	<b>\$269,354,051</b>	<b>\$236,330,417</b>	<b>\$260,103,279</b>	<b>\$335,895,736</b>
<b>FTE Authorization</b>	<b>410.0</b>	<b>413.5</b>	<b>426.0</b>	<b>428.5</b>	<b>428.5</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	10.0%	10.2%	11.2%	11.2%	14.2%
Females as a Percentage of the Workforce	49.0%	49.2%	51.4%	51.4%	53.2%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	1.1%	1.1%	1.0%

# The Program

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## Department of Revenue Director of Revenue

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### Program Operations

The Director's Office oversees the overall operation of the department. The Office of Legal Services provides legal advice and support to the Director and the programs within the department.

### Program Objectives

To oversee the collection of taxes, fees and revenues in conformance with legislative mandates.

To ensure that programs of the department are efficiently organized and implemented.

### Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I.G.L. 42-142)

# The Budget

## Department of Revenue Director of Revenue

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Director of Revenue	603,236	480,822	500,231	592,880	784,261
<b>Total Expenditures</b>					
<b>Expenditures By Object</b>					
Personnel	593,447	476,856	489,896	582,495	773,930
Operating Supplies and Expenses	9,789	2,940	10,335	10,385	10,331
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$603,236</b>	<b>\$479,796</b>	<b>\$500,231</b>	<b>\$592,880</b>	<b>\$784,261</b>
Capital Purchases and Equipment	-	1,026	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$603,236</b>	<b>\$480,822</b>	<b>\$500,231</b>	<b>\$592,880</b>	<b>\$784,261</b>
				-	-
<b>Expenditures By Funds</b>					
General Revenue	603,236	480,822	500,231	592,880	784,261
<b>Total Expenditures</b>	<b>\$603,236</b>	<b>\$480,822</b>	<b>\$500,231</b>	<b>\$592,880</b>	<b>\$784,261</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Revenue Revenue Analysis

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### Program Operations

The Office of Revenue Analysis is responsible for analyzing, evaluating, and appraising the tax system of the State of Rhode Island, and for making recommendations for its revision in accordance with the best interests of the economy of Rhode Island. The Office is responsible for preparing the tax expenditures report and for preparing cost benefit analyses of all tax proposals.

### Program Objectives

To analyze, evaluate and appraise the tax system of the State.

To make recommendations for revisions to the tax system.

To prepare the tax expenditures report.

### Statutory History

The Office of Revenue Analysis is established under R.I.G.L. 42-142-3. The requirement to prepare the tax expenditures report is established under R.I.G.L. 44-48.1-1.



# The Budget

## Department of Revenue Revenue Analysis

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Revenue Analysis	363,514	371,823	555,607	435,073	495,910
<b>Total Expenditures</b>	<b>363,514</b>	<b>371,823</b>	<b>555,607</b>	<b>435,073</b>	<b>495,910</b>
<b>Expenditures By Object</b>					
Personnel	355,404	360,142	495,057	415,273	476,208
Operating Supplies and Expenses	6,804	11,681	5,950	19,800	19,702
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$362,208</b>	<b>\$371,823</b>	<b>\$501,007</b>	<b>\$435,073</b>	<b>\$495,910</b>
Capital Purchases and Equipment	1,306	-	54,600	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$363,514</b>	<b>\$371,823</b>	<b>\$555,607</b>	<b>\$435,073</b>	<b>\$495,910</b>
<b>Expenditures By Funds</b>					
General Revenue	363,514	371,823	555,607	435,073	495,910
<b>Total Expenditures</b>	<b>\$363,514</b>	<b>\$371,823</b>	<b>\$555,607</b>	<b>\$435,073</b>	<b>\$495,910</b>
<b>Program Measures</b>					
Percentage of Cash Collection Reports Issued within 10 Business Days	75.0%	91.7%	91.7%	91.7%	100.0%
Percentage of Revenue Assessment Reports Issued within 10 Business Days	100.0%	83.3%	100.0%	100.0%	100.0%
Percentage of Three Revenue Reports Issued Annually	66.7%	33.3%	100.0%	100.0%	100.0%
Percentage of Fiscal Notes Completed within Ten Calendar Days	35.4%	21.6%	50.0%	50.0%	66.7%

# The Program

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## Department of Revenue Lottery Division

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### Program Objectives

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to operate lottery games to generate revenues for the state. On July 1, 2005, the Lottery was made a division of the Department of Administration and on July 1, 2006, it was transferred to the new Department of Revenue. The Lottery operates as an enterprise fund and is included in the State Comprehensive Annual Financial Report.

The Lottery promotes and sells tickets for on-line games (e.g. Daily Numbers), for Keno, and for instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball tickets, collects all revenues, and remits prize funds to the Multi-State Lottery Association net of low tier prize awards

R.I.G.L. 42-61-15 stipulates that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. The Lottery is required to transfer its net income from on-line games to the state's general fund in an amount not less than 25 percent of total lottery ticket revenue. The amount transferred into the general fund from Keno shall equal no less than 15 percent of the total Keno ticket sales. Transfers are made on a monthly basis in an amount equal to estimated net income.

R.I.G.L. 42-61.2 authorizes the Lottery to conduct video lottery games at Twin River and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated at these facilities is divided among the licensed video lottery facilities, the technology providers, the host municipalities, the central computer system provider, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net new revenue from the operation of authorized video lottery terminals at Twin River to the Narragansett Indian Tribe.

### Statutory History

R.I.G.L. 42-60 provides the general authority for the State Division of Lottery. R.I.G.L. 42-142 entitled "Department of Revenue" establishes the State lottery as a division of the Department of Revenue.

# The Budget

## Department of Revenue Lottery Division

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Lottery Division	188,356,618	216,181,394	192,140,596	215,006,506	218,537,728
<b>Total Expenditures</b>	<b>188,356,618</b>	<b>216,181,394</b>	<b>192,140,596</b>	<b>215,006,506</b>	<b>218,537,728</b>
<b>Expenditures By Object</b>					
Personnel	4,665,305	4,468,904	5,262,342	5,261,609	5,511,761
Operating Supplies and Expenses	183,691,313	211,712,490	186,688,420	209,533,815	212,797,815
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$188,356,618</b>	<b>\$216,181,394</b>	<b>\$191,950,762</b>	<b>\$214,795,424</b>	<b>\$218,309,576</b>
Capital Purchases and Equipment	-	-	4,807	4,807	4,807
Debt Service	-	-	-	-	-
Operating Transfers	-	-	185,027	206,275	223,345
<b>Total Expenditures</b>	<b>\$188,356,618</b>	<b>\$216,181,394</b>	<b>\$192,140,596</b>	<b>\$215,006,506</b>	<b>\$218,537,728</b>
<b>Expenditures By Funds</b>					
Other	\$188,356,618	\$216,181,394	\$192,140,596	\$215,006,506	\$218,537,728
<b>Total Expenditures</b>	<b>\$188,356,618</b>	<b>\$216,181,394</b>	<b>\$192,140,596</b>	<b>\$215,006,506</b>	<b>\$218,537,728</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Revenue Municipal Finance

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### Program Operations

The Division of Municipal Finance's responsibilities include assisting cities and towns to achieve sound fiscal management through data analysis, technical assistance, training and oversight. The Division also encourages cooperation between municipalities and the state by distributing relevant and timely information and by providing technical assistance to municipalities.

### Program Objectives

To monitor and report on the financial conditions of the cities and towns and with cities and town undergoing financial distress.

To maintain a databank on local finances and property values for the benefit of municipalities and public policy decision-makers.

To provide assistance and encourage compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To maintain and compute financial and equalized property value information of use to municipalities and policymakers.

To annually calculate municipal property wealth and state aid, advising cities and towns on the process of statistical property valuation updates, and provide other technical and legislative support to municipalities.

To maintain and publish a record of elected and appointed municipal officials every election year (the 'Blue Book') and provide staff assistance to the Vehicle value Commission which establishes the value of motor vehicles subject to the excise tax used by municipalities in levying taxes.

### Statutory History

The Division of Municipal Finance is established under R.I.G.L. 42-142-4.

# The Budget

## Department of Revenue Municipal Finance

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Municipal Affairs	705,632	1,124,503	1,159,585	2,081,811	2,352,609
<b>Total Expenditures</b>	<b>705,632</b>	<b>1,124,503</b>	<b>1,159,585</b>	<b>2,081,811</b>	<b>2,352,609</b>
<b>Expenditures By Object</b>					
Personnel	689,004	1,111,322	1,130,002	2,002,987	2,289,034
Operating Supplies and Expenses	10,232	12,164	23,187	25,824	53,575
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	6,396	-	6,396	53,000	10,000
<b>Subtotal: Operating Expenditures</b>	<b>\$705,632</b>	<b>\$1,123,486</b>	<b>\$1,159,585</b>	<b>\$2,081,811</b>	<b>\$2,352,609</b>
Capital Purchases and Equipment	-	1,017	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$705,632</b>	<b>\$1,124,503</b>	<b>\$1,159,585</b>	<b>\$2,081,811</b>	<b>\$2,352,609</b>
<b>Expenditures By Funds</b>					
General Revenue	705,632	1,124,503	1,159,585	2,081,811	2,352,609
<b>Total Expenditures</b>	<b>\$705,632</b>	<b>\$1,124,503</b>	<b>\$1,159,585</b>	<b>\$2,081,811</b>	<b>\$2,352,609</b>
<b>Program Measures</b>					
Percentage of Equalization Study Procedure Recommendations Implemented	31.0%	35.0%	35.0%	35.0%	35.0%
Percentage of Municipalities Transmitting Real Estate Sales Data Electronically to the Division of Taxation	97.0%	100.0%	100.0%	100.0%	100.0%

# The Program

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## Department of Revenue Taxation

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### Program Operations

The Division of Taxation includes several subprograms encompassing many activities. Tax Processing performs all activities relating to the receipt of cash payments and the processing of tax returns. This unit registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered. The E-government subprogram is an associated section of the processing area. The E-government branch administers the electronic payment and electronic filing programs within the Division. The Office of Assessment and Review assesses and collects taxes as prescribed by the Rhode Island General Laws. The office holds preliminary reviews of requests for administrative hearings. Field Audit services audits businesses, corporations and individuals for compliance with existing state tax laws and regulations for all of the taxes for which the Tax Administrator is responsible. Tax Compliance and Collection has responsibility for the compliance, collection, and enforcement actions to collect all overdue taxes administered by the Division of Taxation.

### Program Objectives

To foster voluntary compliance with the Rhode Island tax laws and instill public confidence through professional, impartial and ethical conduct; to administer and collect all taxes as required by Rhode Island law in the most efficient and cost effective manner; and to assist taxpayers by helping them understand and meet their tax responsibilities.

### Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Division of Taxation within the Department of Revenue.

# The Budget

## Department Of Revenue Taxation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Tax Administrator	4,322,982	574,210	1,114,146	925,618	1,374,689
Tax Processing Division	4,255,821	4,370,657	4,872,052	4,976,003	4,741,487
Compliance and Collection	2,901,503	2,556,528	3,179,662	2,772,698	3,268,293
Field Audit	4,575,200	4,914,574	5,217,145	5,347,669	5,571,794
Assessment and Review	2,306,911	2,534,633	2,943,427	2,676,971	2,780,138
Employer Tax	2,421,599	2,402,866	2,952,461	2,948,846	3,224,687
<b>Total Expenditures</b>	<b>\$20,784,016</b>	<b>\$17,353,468</b>	<b>\$20,278,893</b>	<b>\$19,647,805</b>	<b>\$20,961,088</b>
<b>Expenditures By Object</b>					
Personnel	15,474,880	15,501,581	17,593,620	17,020,068	17,958,805
Operating Supplies and Expenses	1,492,405	1,803,819	2,079,473	2,176,937	2,116,483
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	4,369	4,369	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$16,971,654</b>	<b>\$17,309,769</b>	<b>\$19,673,093</b>	<b>\$19,197,005</b>	<b>\$20,075,288</b>
Capital Purchases and Equipment	39,787	43,699	605,800	450,800	885,800
Debt Service	-	-	-	-	-
Operating Transfers	3,772,575	-	-	-	-
<b>Total Expenditures</b>	<b>\$20,784,016</b>	<b>\$17,353,468</b>	<b>\$20,278,893</b>	<b>\$19,647,805</b>	<b>\$20,961,088</b>
<b>Expenditures By Funds</b>					
General Revenue	14,547,396	14,892,421	16,726,289	16,288,860	16,913,223
Federal Funds	996,499	992,531	1,251,239	1,252,945	1,348,756
Restricted Receipts	4,464,712	690,101	809,091	808,094	888,899
Other Funds	775,409	778,415	1,492,274	1,297,906	1,810,210
<b>Total Expenditures</b>	<b>\$20,784,016</b>	<b>\$17,353,468</b>	<b>\$20,278,893</b>	<b>\$19,647,805</b>	<b>\$20,961,088</b>
<b>Program Measures</b>					
Percentage of Personal Income Tax Refunds Mailed Within Thirty Days	98.6%	98.6%	98.5%	98.5%	98.5%
Percentage of Personal Income Tax Returns Filed Electronically	62.0%	66.0%	67.0%	67.0%	68.0%
Tax Dollars Assessed Per Hour by Field Audit	\$655.80	\$946.70	\$848.50	\$848.50	\$903.60

# The Program

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## Department of Revenue Registry of Motor Vehicles

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### Program Operations

The Division of Motor Vehicles (DMV) also known as the “Registry of Motor Vehicles” is responsible for administering and enforcing all laws pertaining to the operation and registration of all motor vehicles, the issuance of licenses, the enforcement of all laws relating to the issuance, suspension and revocation of motor vehicle registrations and licenses, inspection of motor vehicles and the study of motor vehicle accidents. In addition to these responsibilities, the DMV is actively involved in the testing and training required to implement the new RIMS computer system in spring 2012; redesigning all business processes, documents, and communications resulting from the availability of enhanced hardware and software.

### Program Objectives

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.  
To collect all fees mandated by state statutes in the most efficient and timely manner, to bring an expanded, more efficient level of service to the public.

### Statutory History

R.I.G.L. 42-142 entitled “Department of Revenue” establishes the Registry of Motor Vehicles as a division within the Department of Revenue.



# The Budget

## Department of Revenue Registry of Motor Vehicles

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Registry of Motor Vehicles	17,375,064	33,814,058	21,665,305	22,309,080	18,456,496
Vehicle Value Commission	14,394	27,983	30,200	30,124	30,049
<b>Total Expenditures</b>	<b>\$17,389,458</b>	<b>\$33,842,041</b>	<b>\$21,695,505</b>	<b>\$22,339,204</b>	<b>\$18,486,545</b>
<b>Expenditures By Object</b>					
Personnel	11,473,472	11,114,275	12,487,742	12,460,673	13,251,869
Operating Supplies and Expenses	4,888,199	4,731,263	4,624,671	4,712,536	4,518,704
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	5,237	78,650	5,237	3,650	3,650
<b>Subtotal: Operating Expenditures</b>	<b>\$16,366,908</b>	<b>\$15,924,188</b>	<b>\$17,117,650</b>	<b>\$17,176,859</b>	<b>\$17,774,223</b>
Capital Purchases and Equipment	1,022,550	17,756,876	4,526,501	5,110,991	660,968
Debt Service	-	-	-	-	-
Operating Transfers	-	160,977	51,354	51,354	51,354
<b>Total Expenditures</b>	<b>\$17,389,458</b>	<b>\$33,842,041</b>	<b>\$21,695,505</b>	<b>\$22,339,204</b>	<b>\$18,486,545</b>
<b>Expenditures By Funds</b>					
General Revenue	16,112,264	15,606,488	16,537,373	16,732,026	17,184,142
Federal Funds	554,981	810,592	1,038,531	1,112,055	1,287,303
Restricted Receipts	14,394	3,311,447	15,100	1,158,365	15,100
Other Funds	707,819	14,113,514	4,104,501	3,336,758	-
<b>Total Expenditures</b>	<b>\$17,389,458</b>	<b>\$33,842,041</b>	<b>\$21,695,505</b>	<b>22,339,204</b>	<b>18,486,545</b>
<b>Program Measures</b>					
<b>Average Customer Wait Times (In Minutes)</b>					
License and Registration Transaction	NA	NA	NA	150	90
Permits and Written License Exams	NA	NA	NA	30	20
Returning Customers	NA	NA	NA	20	10
Express Services (Updates or Renewals for Licenses and IDs	NA	NA	NA	30	15
CDL Transactions and Endorsements	NA	NA	NA	30	20
Operator Control	NA	NA	NA	NA	120
<b>Road Exams Wait Times (In Months)</b>	NA	NA	NA	6	3
85 tests per day					
<b>Refunds Wait Times (In Months)</b>	NA	NA	NA	2	2
Processing of refunds					

# The Agency

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## Legislature

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### Agency Operations

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process. The Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

### Statutory History

The Legislature is one of the three branches of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

# The Budget

## Legislature

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
General Assembly	5,063,261	4,560,966	6,766,435	7,172,362	6,688,000
House Fiscal Advisory Staff	1,540,181	1,327,990	1,525,113	1,573,979	1,635,480
Legislative Council	3,952,872	3,931,857	4,244,997	4,244,866	4,431,511
Joint Committee on Legislative Affairs	18,276,227	17,839,677	21,284,871	20,577,135	20,635,464
Office of the Auditor General	4,686,714	4,413,343	5,213,828	4,963,232	5,293,036
Special Legislative Commissions	7,415	6,884	13,900	13,831	13,761
<b>Total Expenditures</b>	<b>\$33,526,670</b>	<b>\$32,080,717</b>	<b>\$39,049,144</b>	<b>\$38,545,405</b>	<b>\$38,697,252</b>
<b>Expenditures By Object</b>					
Personnel	29,764,472	28,478,768	34,111,246	32,917,342	33,788,921
Operating Supplies and Expenses	2,057,258	1,900,948	2,387,898	2,500,363	2,351,131
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,602,220	1,585,420	2,300,000	2,900,000	2,300,000
<b>Subtotal: Operating Expenditures</b>	<b>\$33,423,950</b>	<b>\$31,965,136</b>	<b>\$38,799,144</b>	<b>\$38,317,705</b>	<b>\$38,440,052</b>
Capital Purchases and Equipment	102,720	115,581	250,000	227,700	257,200
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$33,526,670</b>	<b>\$32,080,717</b>	<b>\$39,049,144</b>	<b>\$38,545,405</b>	<b>\$38,697,252</b>
<b>Expenditures By Funds</b>					
General Revenue	32,018,334	30,577,686	37,474,136	36,970,091	37,048,053
Restricted Receipts	1,508,336	1,503,031	1,575,008	1,575,314	1,649,199
<b>Total Expenditures</b>	<b>\$33,526,670</b>	<b>\$32,080,717</b>	<b>\$39,049,144</b>	<b>\$38,545,405</b>	<b>\$38,697,252</b>
<b>FTE Authorization</b>	<b>297.9</b>	<b>288.8</b>	<b>295.9</b>	<b>298.5</b>	<b>298.5</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	NS	NS	NS	NS	NS
Females as a Percentage of the Workforce	NS	NS	NS	NS	NS
Persons with Disabilities as a Percentage of the Workforce	NS	NS	NS	NS	NS

# The Program

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## **Legislature General Assembly**

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### **Program Operations**

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

### **Statutory History**

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution, and the composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

# The Budget

## Legislature General Assembly

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	3,968,921	3,582,611	5,515,535	5,769,995	5,415,729
Operating Supplies and Expenses	1,066,126	966,463	1,215,900	1,369,867	1,200,771
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$5,035,047</b>	<b>\$4,549,074</b>	<b>\$6,731,435</b>	<b>\$7,139,862</b>	<b>\$6,616,500</b>
Capital Purchases and Equipment	28,214	11,892	35,000	32,500	71,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,063,261</b>	<b>\$4,560,966</b>	<b>\$6,766,435</b>	<b>\$7,172,362</b>	<b>\$6,688,000</b>
<b>Expenditures By Funds</b>					
General Revenue	5,063,261	4,560,966	6,766,435	7,172,362	6,688,000
<b>Total Expenditures</b>	<b>\$5,063,261</b>	<b>\$4,560,966</b>	<b>\$6,766,435</b>	<b>\$7,172,362</b>	<b>\$6,688,000</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## **Legislature Fiscal Advisory Staff to House Finance Committee**

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### **Program Operations**

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. The major function of the unit is to perform fiscal research and analysis for the Legislature, its committees, and individual legislators. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

### **Statutory History**

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

# The Budget

## Legislature House Fiscal Advisory Staff

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,446,585	1,244,888	1,382,588	1,453,596	1,510,986
Operating Supplies and Expenses	91,967	82,724	112,525	102,883	109,494
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,538,552</b>	<b>\$1,327,612</b>	<b>\$1,495,113</b>	<b>\$1,556,479</b>	<b>\$1,620,480</b>
Capital Purchases and Equipment	1,629	378	30,000	17,500	15,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,540,181</b>	<b>\$1,327,990</b>	<b>\$1,525,113</b>	<b>\$1,573,979</b>	<b>\$1,635,480</b>
<b>Expenditures By Funds</b>					
General Revenue	1,540,181	1,327,990	1,525,113	1,573,979	1,635,480
<b>Total Expenditures</b>	<b>\$1,540,181</b>	<b>\$1,327,990</b>	<b>\$1,525,113</b>	<b>\$1,573,979</b>	<b>\$1,635,480</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## **Legislature Legislative Council**

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### **Program Operations**

The Legislative Council collects factual information and advises the General Assembly on bill drafting by assisting legislators in the preparation of technically correct bills for introduction in the General Assembly; conducts research on specific topics at the request of legislators, committees, commissions, legislative staff, and informational requests originating in other states; and, maintains library and reference materials on model legislation and files on bills introduced and passed.

### **Statutory History**

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.



# The Budget

## Legislature Legislative Council

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	3,895,788	3,878,045	4,167,497	4,168,354	4,347,741
Operating Supplies and Expenses	52,198	52,679	67,500	67,812	72,270
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,947,986</b>	<b>\$3,930,724</b>	<b>\$4,234,997</b>	<b>\$4,236,166</b>	<b>\$4,420,011</b>
Capital Purchases and Equipment	4,886	1,133	10,000	8,700	11,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,952,872</b>	<b>\$3,931,857</b>	<b>\$4,244,997</b>	<b>\$4,244,866</b>	<b>\$4,431,511</b>
<b>Expenditures By Funds</b>					
General Revenue	3,952,872	3,931,857	4,244,997	4,244,866	4,431,511
<b>Total Expenditures</b>	<b>\$3,952,872</b>	<b>\$3,931,857</b>	<b>\$4,244,997</b>	<b>\$4,244,866</b>	<b>\$4,431,511</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## **Legislature** **Joint Committee on Legislative Affairs**

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### **Program Operations**

The Joint Committee on Legislative Affairs is responsible for all administrative matters affecting the operations of the General Assembly. The Joint Committee prepares legislative payrolls and the annual operating budget for the General Assembly, manages the House and Senate appropriations to include all committees unless otherwise specified in law, procures all supplies, materials and technical services, and provides for all printing requirements. Also, the Joint Committee on Legislative Affairs coordinates the Legislative Data Services and the Telecommunications - Cable TV activities.

### **Statutory History**

The Joint Committee on Legislative Affairs was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Affairs are contained in R.I.G.L. 22-11.

# The Budget

## Legislature Joint Committee on Legislative Affairs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	16,190,652	15,751,347	18,352,896	17,050,958	17,691,317
Operating Supplies and Expenses	434,829	390,232	506,975	507,177	514,947
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,602,220	1,585,420	2,300,000	2,900,000	2,300,000
<b>Subtotal: Operating Expenditures</b>	<b>\$18,227,701</b>	<b>\$17,726,999</b>	<b>\$21,159,871</b>	<b>\$20,458,135</b>	<b>\$20,506,264</b>
Capital Purchases and Equipment	48,526	112,678	125,000	119,000	129,200
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$18,276,227</b>	<b>\$17,839,677</b>	<b>\$21,284,871</b>	<b>\$20,577,135</b>	<b>\$20,635,464</b>
<b>Expenditures By Funds</b>					
General Revenue	18,276,227	17,839,677	21,284,871	20,577,135	20,635,464
<b>Total Expenditures</b>	<b>\$18,276,227</b>	<b>\$17,839,677</b>	<b>\$21,284,871</b>	<b>\$20,577,135</b>	<b>\$20,635,464</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## **Legislature Office of the Auditor General**

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### **Program Operations**

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits, which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

### **Statutory History**

The Office of the Auditor General was established as a separate agency in 1973. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13.

# The Budget

## Legislature Office of the Auditor General

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	4,262,526	4,021,877	4,692,730	4,474,439	4,823,148
Operating Supplies and Expenses	404,723	401,966	471,098	438,793	439,888
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$4,667,249</b>	<b>\$4,423,843</b>	<b>\$5,163,828</b>	<b>\$4,913,232</b>	<b>\$5,263,036</b>
Capital Purchases and Equipment	19,465	(10,500)	50,000	50,000	30,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,686,714</b>	<b>\$4,413,343</b>	<b>\$5,213,828</b>	<b>\$4,963,232</b>	<b>\$5,293,036</b>
<b>Expenditures By Funds</b>					
General Revenue	3,178,378	2,910,312	3,638,820	3,387,918	3,643,837
Restricted Receipts	1,508,336	1,503,031	1,575,008	1,575,314	1,649,199
<b>Total Expenditures</b>	<b>\$4,686,714</b>	<b>\$4,413,343</b>	<b>\$5,213,828</b>	<b>\$4,963,232</b>	<b>\$5,293,036</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## **Legislature**

### **Special Legislative Commissions**

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#### **Program Operations**

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional and national concern. Included in this category are: the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

#### **Statutory History**

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

# The Budget

## Legislature Special Legislative Commissions

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	7,415	6,884	13,900	13,831	13,761
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$7,415</b>	<b>\$6,884</b>	<b>\$13,900</b>	<b>\$13,831</b>	<b>\$13,761</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,415</b>	<b>\$6,884</b>	<b>\$13,900</b>	<b>\$13,831</b>	<b>\$13,761</b>
<b>Expenditures By Funds</b>					
General Revenue	7,415	6,884	13,900	13,831	13,761
<b>Total Expenditures</b>	<b>\$7,415</b>	<b>\$6,884</b>	<b>\$13,900</b>	<b>\$13,831</b>	<b>\$13,761</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Agency

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## Office of the Lieutenant Governor

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### Agency Operations

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of the Lieutenant Governor is an organization within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. Additionally, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The Office of the Lieutenant Governor initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, the environment, long-term care, health care and elderly affairs. The Office also serves as a liaison between citizens and state agencies.

### Agency Objectives

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

### Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected power, duties in the case of death, resignation, impeachment of the Governor, responsibilities in the case of a vacancy of position, and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor.



# The Budget

## Office of the Lieutenant Governor

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	820,193	817,021	906,015	860,540	973,542
Operating Supplies and Expenses	29,219	31,348	15,964	25,060	22,710
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$849,412</b>	<b>\$848,369</b>	<b>\$921,979</b>	<b>\$885,600</b>	<b>\$996,252</b>
Capital Purchases and Equipment	3,573	-	2,500	3,500	750
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$852,985</b>	<b>\$848,369</b>	<b>\$924,479</b>	<b>\$889,100</b>	<b>\$997,002</b>
<b>Expenditures By Fund</b>					
General Revenue	852,985	848,369	924,479	889,100	997,002
<b>Total Expenditures</b>	<b>\$852,985</b>	<b>\$848,369</b>	<b>\$924,479</b>	<b>\$889,100</b>	<b>\$997,002</b>
<b>FTE Authorization</b>	<b>8.0</b>	<b>8.0</b>	<b>8.0</b>	<b>7.0</b>	<b>7.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	25.0%	33.0%	29.0%	29.0%	29.0%
Females as a Percentage of the Workforce	38.0%	38.0%	38.0%	62.5%	62.5%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>

# The Agency

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## Secretary of State

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### Agency Operations

The Office of the Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the office has a vital role in providing the public with basic information about the workings of state government. The Office consists of six divisions and one internal service fund.

### Agency Objectives

The objective of the Office of the Secretary of State is to effectively administer all activities of the Office prescribed by the Rhode Island Constitution and state law. To make it easier to vote and improve public access to government at all levels by collecting and distributing information as widely as possible and making information available electronically. To create jobs and promote economic development by offering programs and services that make it easier for business to grow.

### Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 17 Chapters 14, 15 and 22 also refer to elections; Title 19 Chapter 1 refers to corporations; Title 29 establishes the state library and the Legislative Reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents.

# The Budget

## Secretary of State

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Administration	\$1,836,025	\$1,819,328	\$1,943,053	\$1,944,766	\$2,056,734
Corporations	\$1,759,694	\$1,729,914	\$2,070,271	\$2,110,406	\$1,978,821
State Archives	\$592,247	\$539,819	\$574,651	\$570,178	\$619,333
Elections and Civics	\$1,935,171	\$1,073,893	\$2,013,831	\$1,810,758	\$1,345,089
State Library	\$556,832	\$545,550	\$571,887	\$573,543	\$596,876
Office of Public Information	\$351,810	\$291,197	\$329,581	\$331,094	\$334,439
Internal Service Programs	[\$762,272]	[\$774,395]	[\$825,368]	[\$822,941]	[\$866,270]
<b>Total Expenditures</b>	<b>\$7,031,779</b>	<b>\$5,999,701</b>	<b>\$7,503,274</b>	<b>\$7,340,745</b>	<b>\$6,931,292</b>
<b>Expenditures By Object</b>					
Personnel	4,930,434	4,789,383	5,497,524	5,369,731	5,607,334
Operating Supplies and Expenses	1,794,365	949,674	1,734,348	1,749,612	1,152,556
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	273,535	217,812	251,302	151,302	151,302
<b>Subtotal: Operating Expenditures</b>	<b>\$6,998,334</b>	<b>\$5,956,869</b>	<b>\$7,483,174</b>	<b>\$7,270,645</b>	<b>\$6,911,192</b>
Capital Purchases and Equipment	33,445	42,832	20,100	70,100	20,100
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,031,779</b>	<b>\$5,999,701</b>	<b>\$7,503,274</b>	<b>\$7,340,745</b>	<b>\$6,931,292</b>
<b>Expenditures By Funds</b>					
General Revenue	6,318,528	5,142,947	6,908,707	6,754,217	6,434,744
Federal Funds	285,132	397,172	100,000	127,092	-
Restricted Receipts	428,119	459,582	494,567	459,436	496,548
Internal Service Funds	[\$762,272]	[\$774,395]	[\$825,368]	[\$822,941]	[\$866,270]
<b>Total Expenditures</b>	<b>\$7,031,779</b>	<b>\$5,999,701</b>	<b>\$7,503,274</b>	<b>\$7,340,745</b>	<b>\$6,931,292</b>
<b>FTE Authorization</b>	<b>55.0</b>	<b>56.5</b>	<b>57.0</b>	<b>57.0</b>	<b>57.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	12.5%	12.5%	12.3%	12.3%	12.3%
Females as a Percentage of the Workforce	57.1%	57.1%	58.9%	58.9%	58.9%
Persons with Disabilities as a Percentage of the Workforce	-	-	1.8%	1.8%	1.8%

# The Program

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## Secretary of State Administration

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### Program Operations

The Administration Program provides support functions for the Office of the Secretary of State. The program has six functions, with the responsibilities of each described below.

Personnel maintains personnel records and provides administrative support for payroll and personnel matters.

Fiscal Management monitors accounts payable and accounts receivable for the department and prepares the budget for the Office of the Secretary of State.

E-government and Information Technology develops and implements model e-government solutions to collect and distribute information to the public and provides support for the department's computer systems for the Office.

Constituent Affairs collects and distributes information to the public and coordinates responses to inquiries from the public.

Communications communicates the programs and services offered to Rhode Islanders and serves as the liaison with the media.

Policy and Legislative Affairs researches, plans and develops innovative policy initiatives that serve as models for the country and develops legislation for passage that moves those policy initiatives forward.

### Program Objective

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

### Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution as one of the five general offices subject to voter election. R.I.G.L. 42-8 established the Department of State under the Secretary of State. The Secretary of State is also responsible for the duties contained in R.I.G.L. 22-3-14 and 36-1-4.

# The Budget

## Secretary of State Administration

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Administration	1,069,588	1,002,182	1,073,549	1,940,607	2,052,594
Personnel and Finance	411,266	435,021	462,103	1,727	1,718
Information Technology	355,171	382,125	407,401	2,432	2,422
<b>Total Expenditures</b>	<b>\$1,836,025</b>	<b>\$1,819,328</b>	<b>\$1,943,053</b>	<b>\$1,944,766</b>	<b>\$2,056,734</b>
<b>Expenditures By Object</b>					
Personnel	1,727,635	1,725,469	1,853,100	1,854,000	1,966,407
Operating Supplies and Expenses	96,620	87,690	85,812	86,625	86,186
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	976	976	976	976	976
<b>Subtotal: Operating Expenditures</b>	<b>\$1,825,231</b>	<b>\$1,814,135</b>	<b>\$1,939,888</b>	<b>\$1,941,601</b>	<b>\$2,053,569</b>
Capital Purchases and Equipment	10,794	5,193	3,165	3,165	3,165
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,836,025</b>	<b>\$1,819,328</b>	<b>\$1,943,053</b>	<b>\$1,944,766</b>	<b>\$2,056,734</b>
<b>Expenditures By Funds</b>					
General Revenue	1,836,025	1,819,328	1,943,053	1,944,766	2,056,734
<b>Total Expenditures</b>	<b>\$1,836,025</b>	<b>\$1,819,328</b>	<b>\$1,943,053</b>	<b>\$1,944,766</b>	<b>\$2,056,734</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Program

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## Secretary of State Corporations

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### Program Operations

Corporations maintains filings for active and inactive Rhode Island and foreign business corporations, non-profit corporations, limited partnerships and limited liability companies. Corporate information is maintained in a computer database. On-line access is available at the Corporations Division's office in Providence or by phone or mail to the general public. This division has the authority to revoke corporate charters for failure to satisfy statutory requirements.

Additionally, the Corporations Division administers the following:

First Stop Business Information Center serves as a referral and information center for small business owners.

Uniform Commercial Code (UCC) processes and tracks the liens placed on tangible property in the State of Rhode Island.

Notary/Trademark is responsible for registering all new notaries and for processing renewal applications of existing notaries upon commission expirations. It is also responsible for trademarks, service marks and trade names in the State of Rhode Island.

### Program Objective

To serve as the official agent and record keeper on behalf of the State of Rhode Island for all official corporate filings, UCC filings, and Notary/Trademark applications and to provide requested information concerning the data from the program.

### Statutory History

The functions of the Corporations Division are outlined in R.I.G.L. Title 7-1-1 through 7-16-75, and 7-1.2-132. The authority to enforce the Uniform Commercial Code (UCC) is granted in Section 6A-9-402 of the R.I.G.L.

# The Budget

## Secretary of State Corporations

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Corporations	1,544,151	1,508,015	1,569,996	1,670,718	1,838,925
First Stop Business Information	215,543	221,899	500,275	439,688	139,896
<b>Total Expenditures</b>	<b>\$1,759,694</b>	<b>\$1,729,914</b>	<b>\$2,070,271</b>	<b>\$2,110,406</b>	<b>\$1,978,821</b>
<b>Expenditures By Object</b>					
Personnel	1,388,343	1,384,734	1,733,094	1,769,157	1,632,166
Operating Supplies and Expenses	364,535	330,777	335,177	339,249	344,655
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,752,878</b>	<b>\$1,715,511</b>	<b>\$2,068,271</b>	<b>\$2,108,406</b>	<b>\$1,976,821</b>
Capital Purchases and Equipment	6,816	14,403	2,000	2,000	2,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,759,694</b>	<b>\$1,729,914</b>	<b>\$2,070,271</b>	<b>\$2,110,406</b>	<b>\$1,978,821</b>
<b>Expenditures By Funds</b>					
General Revenue	1,759,694	1,729,914	2,070,271	2,110,406	1,978,821
<b>Total Expenditures</b>	<b>\$1,759,694</b>	<b>\$1,729,914</b>	<b>\$2,070,271</b>	<b>\$2,110,406</b>	<b>\$1,978,821</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Program

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## Secretary of State State Archives

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### Program Operations

The State Archives is the division of the Department of State charged with preserving and maintaining the permanent records of state government. The “State Archives and Historical Records Act” created the State Archives as the “official custodian and trustee for the state of all public records of permanent historical, legal or other value.” The collection of historical records, dating from 1638 to the present, is available to the public for research. The division operates a public reading room for the research, inspection and duplication of public records. Archives staff provides reference assistance to researchers at the Archives facility, by mail, phone and e-mail. Publications, guides and finding aids to the holdings are available onsite and through the Internet. The State Archives also features exhibits and displays that are free and open to the public.

The State Archives division operates the Local Government Records Program, which was established in 1992 with federal grant funding from the National Historical Publications and Records Commission. The program was designed to initiate a series of advisory and technical services for local governments in the state. The 1993 session of the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program. Currently, the program provides records management advice, assistance and consultation to all departments of municipal government, as well as developing records control schedules that govern the retention and disposition of municipal records. The program actively works with town and city clerks to better preserve and administer the historical records in their care. Workshops and talks are provided to municipal personnel about records management functions and archival records administration and preservation. In conjunction with the Public Records Administration, the program also publishes guidelines about records and records issues.

### Program Objectives

To provide comprehensive archives and records management services for all public records in the State; to provide information on the preservation of historical records; and to provide information from and access to the State government archives.

### Statutory History

The authority of the State Archives is granted from R.I.G.L. sections 42-8.1 and 38-3. Functions of the State Archives are also outlined in the Public Law 1989, Chapter 341, Public Law 1992, Chapter 241, and Public Law 1993, Chapters 385 and 417, and Public Law 2007, Chapter 73, Article 36.



# The Budget

## Secretary of State State Archives

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	316,449	274,488	316,447	316,448	338,179
Operating Supplies and Expenses	240,059	228,084	256,043	251,569	278,993
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	32,233	33,313	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$588,741</b>	<b>\$535,885</b>	<b>\$572,490</b>	<b>\$568,017</b>	<b>\$617,172</b>
Capital Purchases and Equipment	3,506	3,934	2,161	2,161	2,161
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$592,247</b>	<b>\$539,819</b>	<b>\$574,651</b>	<b>\$570,178</b>	<b>\$619,333</b>
<b>Expenditures By Funds</b>					
General Revenue	154,257	80,236	80,084	110,742	122,785
Federal Funds	9,871	1	-	-	-
Restricted Receipts	428,119	459,582	494,567	459,436	496,548
<b>Total Expenditures</b>	<b>\$592,247</b>	<b>\$539,819</b>	<b>\$574,651</b>	<b>\$570,178</b>	<b>\$619,333</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Program

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## Secretary of State Elections and Civics

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### Program Operations

The Elections and Civics program is responsible for the preparation of both statewide and municipal elections. This preparation includes providing municipalities with necessary forms for candidate declarations, endorsements, nomination signatures, and candidate certification.

The Elections and Civics Division has the responsibility of certifying all federal and state candidates for ballot placement, and for receiving and maintaining files for local candidates ballot placement as certified by local canvassing authorities. Accordingly, Elections and Civics sets the ballot layout and prepares and provides all sample ballots and voting machine ballots as mandated by law. The preparation and printing of all sample ballots and related forms and applications are also the responsibility of Elections and Civics, as well the processing of mail ballots applications and the actual mailing of ballots.

Elections and Civics is also responsible for maintaining the new statewide central voter registration system (CVRS) as mandated under the federal Help America Vote Act (HAVA) that contains all voter information and related data. The CVRS is a real-time system that is accessed and updated by all 39 cities and towns. Elections and Civics is responsible for the training of all local users and the maintenance and support of the CVRS application and related applications as well as all related hardware provided to the cities and towns.

Elections and Civics also has the responsibility of preparing and distributing the Voter Handbook prior to each general election at which public questions are to be submitted to the voters.

The Elections and Civics division provides necessary voter assistance and education, including the publication of numerous guides for candidates, election officials, and voters. In addition to providing hard copy material, Elections and Civics provides ballot information, polling place locations, and copies of all publications on the World Wide Web.

### Program Objective

To provide efficient election services and preparation of all elections-federal, statewide and municipal-as required by statute. To provide an accurate and timely statewide central voter registration system (CVRS) as mandated by HAVA and to make improvements to the CVRS to allow for a more efficient and accurate electoral process. To continue to provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda.

### Statutory History

The Elections and Civics Division operates under Title 17 of the R.I.G.L

# The Budget

## Secretary of State Elections and Civics

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	823,272	762,906	911,518	743,592	942,140
Operating Supplies and Expenses	1,012,657	261,744	991,813	1,006,666	392,449
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	90,000	33,197	100,000	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,925,929</b>	<b>\$1,057,847</b>	<b>\$2,003,331</b>	<b>\$1,750,258</b>	<b>\$1,334,589</b>
Capital Purchases and Equipment	9,242	16,046	10,500	60,500	10,500
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,935,171</b>	<b>\$1,073,893</b>	<b>\$2,013,831</b>	<b>\$1,810,758</b>	<b>\$1,345,089</b>
<b>Expenditures By Funds</b>					
General Revenue	1,659,910	676,722	1,913,831	1,683,666	1,345,089
Federal Funds	275,261	397,171	100,000	127,092	-
<b>Total Expenditures</b>	<b>\$1,935,171</b>	<b>\$1,073,893</b>	<b>\$2,013,831</b>	<b>\$1,810,758</b>	<b>\$1,345,089</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Program

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## Secretary of State State Library

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### Program Operations

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. It operates and maintains the Legislative Reference Bureau, which provides information on state laws and legislation. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The State Library is open to the public.

### Program Objectives

To effectively operate and maintain the library facilities in the State House and to provide timely reference and research services to the public.

### Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7.

# The Budget

## Secretary of State State Library

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	371,662	364,625	386,406	388,334	411,840
Operating Supplies and Expenses	34,568	29,992	34,735	34,463	34,290
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	150,326	150,326	150,326	150,326	150,326
<b>Subtotal: Operating Expenditures</b>	<b>\$556,556</b>	<b>\$544,943</b>	<b>\$571,467</b>	<b>\$573,123</b>	<b>\$596,456</b>
Capital Purchases and Equipment	276	607	420	420	420
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$556,832</b>	<b>\$545,550</b>	<b>\$571,887</b>	<b>\$573,543</b>	<b>\$596,876</b>
<b>Expenditures By Funds</b>					
General Revenue	556,832	545,550	571,887	573,543	596,876
<b>Total Expenditures</b>	<b>\$556,832</b>	<b>\$545,550</b>	<b>\$571,887</b>	<b>\$573,543</b>	<b>\$596,876</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Program

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## Secretary of State Office of Public Information

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### Program Operations

The Office of Public Information is designed to serve as a resource for the citizens of Rhode Island regarding the activities of state government. It gives members of the public the basic information they need to play a more active role in the decision-making process. This information is published in a variety of reports, which are available to the public free of charge. The material can also be accessed through the Internet, where the Secretary of State has an "On-Line Office" on the World Wide Web at <http://www.state.ri.us>. Functions carried out by the Office of Public Information include:

Compiling information and maintaining a database on all legislation introduced in the General Assembly, including title, sponsor information, description, committee assignment, committee and floor actions.

Compiling information and maintaining a database on all legislative hearings, including time and place of the meeting and lists of bills being considered.

Publishing the daily Legislative Meetings Report and the weekly Legislative Report which provides this information to the public.

Maintaining a list of lobbyists and overseeing the enforcement of the state's lobbying law.

Under the Administrative Procedures Act, the office is responsible for compiling the rules and regulations promulgated by each state agency.

Operating and maintaining a clearinghouse for information related to all public meetings in the State of Rhode Island relative to the Open Meetings Law.

Operating and maintaining the Documents and Distribution Office to provide delivery of printed state legislation, General Assembly journals and other state publications.

### Program Objective

To effectively disseminate vital government information to the public and to make the most of expanding technologies to enhance public access and awareness of the activities of state government.

### Statutory History

Article IV, paragraph 4 of the Rhode State Constitution, and R.I.G.L. 42-8 establish the Office of Secretary of State and the Secretary's role as the custodian of state records, charged with the collections, retention, and dissemination of these records for the citizens of the State of Rhode Island.

# The Budget

## Secretary of State Office of Public Information

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	303,073	277,161	296,959	298,200	316,602
Operating Supplies and Expenses	45,926	11,387	30,768	31,040	15,983
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$348,999</b>	<b>\$288,548</b>	<b>\$327,727</b>	<b>\$329,240</b>	<b>\$332,585</b>
Capital Purchases and Equipment	2,811	2,649	1,854	1,854	1,854
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$351,810</b>	<b>\$291,197</b>	<b>\$329,581</b>	<b>\$331,094</b>	<b>\$334,439</b>
<b>Expenditures By Funds</b>					
General Revenue	351,810	291,197	329,581	331,094	334,439
<b>Total Expenditures</b>	<b>\$351,810</b>	<b>\$291,197</b>	<b>\$329,581</b>	<b>\$331,094</b>	<b>\$334,439</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Program

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## Secretary of State Internal Service Programs

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### Program Operations

There are services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

The Internal Service Program operated by the Secretary of State is the Public Records Administration program, which is charged with overseeing records management functions within state government. The program provides central storage for non-permanent inactive government records and serves all state agencies. Inactive records are those records that agencies no longer require immediate access to, but that are not yet eligible for disposal because of administrative, fiscal or legal requirements. Public Records Administration personnel provide records management advice, assistance and consultation to all state agencies. They also work with agencies to develop records retention and disposition schedules-enabling timely disposal or retention as permanent records of the state. Comprehensive records management services encompass all records, regardless of format or media. Workshops are available to state agency personnel on records management topics. In addition, the program promulgates regulations and publishes guidelines about records and records management functions. The program also oversees Administrative Records functions.

### Program Objective

The program provides cost-effective delivery of goods and services to other state programs.

### Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency. The authority of the Public Records Administration is granted by R.I.G.L. 38-1,3.



# The Budget

## Secretary of State Internal Service Programs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	400,790	419,562	429,330	427,077	460,014
Operating Supplies and Expenses	361,158	354,121	396,038	395,864	406,256
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$761,948</b>	<b>\$773,683</b>	<b>\$825,368</b>	<b>\$822,941</b>	<b>\$866,270</b>
Capital Purchases and Equipment	324	712	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$762,272</b>	<b>\$774,395</b>	<b>\$825,368</b>	<b>\$822,941</b>	<b>\$866,270</b>
<b>Expenditures By Funds</b>					
Internal Service Funds	\$762,272	\$774,395	\$825,368	\$822,941	\$866,270
<b>Total Expenditures</b>	<b>\$762,272</b>	<b>\$774,395</b>	<b>\$825,368</b>	<b>\$822,941</b>	<b>\$866,270</b>
<b>Program Measures</b>	NC	NC	NC	NC	NC

# The Agency

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## Office of the General Treasurer

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### Agency Operations

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Rhode Island Refunding Bond Authority (ended as of June 30, 2010), the Higher Education Assistance Board, the Rhode Island Student Loan Authority, and the Rhode Island Clean Water Finance Agency.

Programs and Sub-Programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer include: the Employees' Retirement System, the administrative unit for participating public employee groups including state, teacher, judicial, state police and municipal employees; the Unclaimed Property Program which collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments and Finance Division provides investment and cash management services to state government and issues and manages the State's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease Fund.

### Agency Objectives

The Treasurer's Office will continue to focus its efforts and activities on protecting the State's assets through sound financial investments and providing leadership and direction to strengthen the State's financial position. The Treasurer's Office assists families in investing funds for their children's higher education, and ensures that Rhode Islanders receive superior public service through all Treasury Programs,

### Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36, 37, 38 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the Temporary Disability and Unemployment Insurance Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the victims of Crime Victim Compensation Program. Title 33, Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program.

# The Budget

## Office of the General Treasurer

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
General Treasury	2,621,561	2,433,959	2,663,619	2,659,813	2,623,476
State Retirement System	6,630,841	6,254,227	12,121,419	7,130,899	12,121,251
Unclaimed Property	14,339,074	16,482,981	15,789,173	15,131,150	15,508,524
Rhode Island Refunding Bond Authority	24,696	25,801	-	-	-
Crime Victim Compensation	1,862,428	1,564,608	2,444,147	2,430,988	2,424,422
<b>Total Expenditures</b>	<b>\$25,478,600</b>	<b>\$26,761,576</b>	<b>\$33,018,358</b>	<b>\$27,352,850</b>	<b>\$32,677,673</b>
<b>Expenditures By Object</b>					
Personnel	9,399,204	8,768,863	10,377,317	9,664,407	10,668,517
Operating Supplies and Expenses	14,444,697	16,584,213	15,917,334	15,444,736	15,285,449
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,595,592	1,326,036	2,163,000	2,163,000	2,163,000
<b>Subtotal: Operating Expenditures</b>	<b>\$25,439,493</b>	<b>\$26,679,112</b>	<b>\$28,457,651</b>	<b>\$27,272,143</b>	<b>\$28,116,966</b>
Capital Purchases and Equipment	39,107	82,464	4,560,707	80,707	4,560,707
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$25,478,600</b>	<b>\$26,761,576</b>	<b>\$33,018,358</b>	<b>\$27,352,850</b>	<b>\$32,677,673</b>
<b>Expenditures By Funds</b>					
General Revenue	2,353,049	2,072,608	2,270,649	2,253,614	2,240,128
Federal Funds	783,113	1,072,595	1,108,180	1,115,608	1,117,483
Restricted Receipts	22,183,336	23,407,229	29,420,614	23,756,475	29,103,041
Other Funds	159,102	209,144	218,915	227,153	217,021
<b>Total Expenditures</b>	<b>\$25,478,600</b>	<b>\$26,761,576</b>	<b>\$33,018,358</b>	<b>\$27,352,850</b>	<b>\$32,677,673</b>
<b>FTE Authorization</b>	<b>83.0</b>	<b>79.5</b>	<b>82.0</b>	<b>82.0</b>	<b>82.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	13.3%	9.2%	9.2%	9.2%	9.2%
Females as a Percentage of the Workforce	61.5%	59.2%	59.2%	59.2%	59.2%
Persons with Disabilities as a Percentage of the Workforce	1.2%	1.3%	1.3%	1.3%	1.3%

# The Program

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## Office of the General Treasurer General Treasury

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### Program Operations

The General Treasury Program provides administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of these functional areas: Policy, Administration, Investments, and the Business Office.

Policy Division: This division provides media and public relations for the Treasurer, and directs policy and legislative activity of the office.

Administration Division: This division provides the administrative support to the entire department including the Retirement System in terms of overall leadership for matters dealing with personnel, budgeting, financial controls, legal advice and management information systems.

Investment Division: This division manages the state's borrowing and investments, provides daily fiduciary services to all state government, monitors investment managers for compliance with state guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and fund transfers, provides reports to the Investment Commission on investment performance, and implements commission policy. Furthermore, this division is proactive in debt issuance and debt management and utilizes bond arbitrage professionals to ensure that the state is in compliance with I.R.S. requirements.

Business Office: This division validates and distributes imprest/benefit checks along with replacement of checks and the internal transfer of State funds for necessary payroll taxes and retirement contributions. This division is responsible for the reconciliation of a majority of state bank accounts both to the bank statement balances and to the controller's records. Additionally, this division laser prints vendor and non-vendor checks and electronically transmits issues to the appropriate banking institutions.

### Program Objective

To continue improvement of services to the public and provide leadership and direction including implementation and monitoring of the Treasury's Strategic Plan, to enable Treasury to perform its functions more effectively and efficiently. To expand the use of technology with more effective utilization of banking services; and to improve the management of cash collection, investment and disbursement of systems.

### Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the Department of Labor and Training Program.

# The Budget

## Office of the General Treasurer General Treasury

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Policy	611,627	551,178	650,765	618,969	651,120
Administrative Operations	123,668	108,322	37,264	143,082	113,093
Business Office	1,338,803	1,267,807	1,353,991	1,285,097	1,310,038
Investments	547,463	506,652	621,599	612,665	549,225
<b>Total Expenditures</b>	<b>\$2,621,561</b>	<b>\$2,433,959</b>	<b>\$2,663,619</b>	<b>\$2,659,813</b>	<b>\$2,623,476</b>
<b>Expenditures By Object</b>					
Personnel	2,174,844	2,050,703	2,178,134	2,072,479	2,248,299
Operating Supplies and Expenses	437,807	377,223	472,813	564,662	362,505
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,612,651</b>	<b>\$2,427,926</b>	<b>\$2,650,947</b>	<b>\$2,637,141</b>	<b>\$2,610,804</b>
Capital Purchases and Equipment	8,910	6,033	12,672	22,672	12,672
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,621,561</b>	<b>\$2,433,959</b>	<b>\$2,663,619</b>	<b>\$2,659,813</b>	<b>\$2,623,476</b>
<b>Expenditures By Funds</b>					
General Revenue	2,241,272	1,961,668	2,183,087	2,162,949	2,137,282
Federal Funds	221,187	263,147	261,617	269,711	269,173
Other Funds	159,102	209,144	218,915	227,153	217,021
<b>Total Expenditures</b>	<b>\$2,621,561</b>	<b>\$2,433,959</b>	<b>\$2,663,619</b>	<b>\$2,659,813</b>	<b>\$2,623,476</b>
<b>Program Measures</b>					
Business Days Required to Issue					
Replacement Check	3	2	3	3	3

# The Program

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## Office of the General Treasurer State Retirement System

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### Program Operations

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit Program for those teachers who are not covered by Social Security.

The system is divided into three main areas: Member Services, Finance and Administration. Member services is responsible for the coordinated delivery of services to the membership. It counsels members on a daily basis, provides outreach counseling from October to May at various sites across the state, and is responsible for group benefit presentations. A new call center, staffed in FY 2008, further increases this area's responsiveness to ERSRI members.

Finance is responsible for the accounting of the system's assets and is responsible for member bookkeeping. It calculates all retirement allowances and purchase requests and maintains the system's retiree pension payroll. The retiree unit within the Finance department handles all retiree inquiries. Finally, the Data Processing unit within that same division tracks all participant information and prepares yearly information for periodic actuarial valuations.

Administration includes the Executive Director, Assistant Executive Director and the Communications unit. It is responsible for all relations with the system's 15-member retirement board. It also oversees the delivery of communication to the system's active and retired membership and all other interested parties.

### Program Objectives

In addition to statutory mandates, the major program objectives of the Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership. The investment objective for the State Pension Fund is to meet or exceed an annual rate of return of 8.25 percent.

### Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36, 38 and 45 of the Rhode Island General Laws.

# The Budget

## Office of the General Treasurer State Retirement System

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	5,755,904	5,376,065	6,689,040	6,098,821	6,808,573
Operating Supplies and Expenses	703,375	658,421	735,959	835,658	616,258
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	147,103	147,935	163,000	163,000	163,000
<b>Subtotal: Operating Expenditures</b>	<b>\$6,606,382</b>	<b>\$6,182,421</b>	<b>\$7,587,999</b>	<b>\$7,097,479</b>	<b>\$7,587,831</b>
Capital Purchases and Equipment	24,459	71,806	4,533,420	33,420	4,533,420
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,630,841</b>	<b>\$6,254,227</b>	<b>\$12,121,419</b>	<b>\$7,130,899</b>	<b>\$12,121,251</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	6,630,841	6,254,227	12,121,419	7,130,899	12,121,251
Other Funds	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,630,841</b>	<b>\$6,254,227</b>	<b>\$12,121,419</b>	<b>\$7,130,899</b>	<b>\$12,121,251</b>
<b>Program Measures</b>					
Return on Pension Portfolio	-19.10%	13.46%	8.25%	8.25%	8.25%

# The Program

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## Office of the General Treasurer Unclaimed Property

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### Program Operations

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property, with the mission of returning this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities. Beginning in FY 2008, the program enlists field auditors from the Department of Revenue to assist in locating unclaimed property during the regular course of their audit functions.

### Program Objectives

This program will continue its efforts to ensure holder compliance with the law, resulting in more property being received by the State and being returned to their rightful owners. The program recently engaged dozens of field auditors from the Division of Taxation to assist in identifying and recouping unclaimed property during the regular course of business audits. The program also holds periodic auction of tangible property.

### Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were most recently updated during the 1992-93 legislative sessions.



# The Budget

## Office of the General Treasurer Unclaimed Property

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,089,997	985,432	1,131,194	1,136,241	1,222,296
Operating Supplies and Expenses	13,245,053	15,494,531	14,646,979	13,973,909	14,275,228
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$14,335,050</b>	<b>\$16,479,963</b>	<b>\$15,778,173</b>	<b>\$15,110,150</b>	<b>\$15,497,524</b>
Capital Purchases and Equipment	4,024	3,018	11,000	21,000	11,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$14,339,074</b>	<b>\$16,482,981</b>	<b>\$15,789,173</b>	<b>\$15,131,150</b>	<b>\$15,508,524</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	14,339,074	16,482,981	15,789,173	15,131,150	15,508,524
<b>Total Expenditures</b>	<b>\$14,339,074</b>	<b>\$16,482,981</b>	<b>\$15,789,173</b>	<b>\$15,131,150</b>	<b>\$15,508,524</b>
<b>Program Measures</b>					
Number of Days to Process Valid Claims	10	7	7	7	7

# The Program

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## Office of the General Treasurer Crime Victim Compensation

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### Program Operations

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

### Program Objectives

The Program instituted a pay-as-you go system under the 1999 amendment to the Act, has shortened claims processing times. The debt reduction plan, targeting the accumulated unfunded liability of unpaid claims, concluded in FY 2005. The program completed final payments to Station Fire victims during FY 2006. Recent amendments to the statute allow for psychiatric care for parents, spouses, siblings and children of crime victims. Other compensation includes funeral, medical and counseling payments to victims and their families. The maximum award for each crime incident victim is \$25,000.

### Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program, the most recent amendments going into effect as of September, 2009.

# The Budget

## Office of the General Treasurer Crime Victim Compensation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	357,359	333,256	378,949	356,866	389,349
Operating Supplies and Expenses	54,866	51,644	61,583	70,507	31,458
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,448,489	1,178,101	2,000,000	2,000,000	2,000,000
<b>Subtotal: Operating Expenditures</b>	<b>\$1,860,714</b>	<b>\$1,563,001</b>	<b>\$2,440,532</b>	<b>\$2,427,373</b>	<b>\$2,420,807</b>
Capital Purchases and Equipment	1,714	1,607	3,615	3,615	3,615
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,862,428</b>	<b>\$1,564,608</b>	<b>\$2,444,147</b>	<b>\$2,430,988</b>	<b>\$2,424,422</b>
<b>Expenditures By Funds</b>					
General Revenue	87,081	85,139	87,562	90,665	102,846
Federal Funds	561,926	809,448	846,563	845,897	848,310
Restricted Receipts	1,213,421	670,021	1,510,022	1,494,426	1,473,266
<b>Total Expenditures</b>	<b>\$1,862,428</b>	<b>\$1,564,608</b>	<b>\$2,444,147</b>	<b>\$2,430,988</b>	<b>\$2,424,422</b>
<b>Program Measures</b>					
Average Number of Business Days to Pay Claims to Victims from Award Notice	9	9	9	9	9

# The Agency

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## Board of Elections

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### Agency Operations

The Board of Elections supervises all elections and state and local referenda. The Board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms and other supplies used at each polling place. The Board instructs and certifies all election officials assigned to polls and prepares and distributes poll worker guides and related materials. The Board also appoints, trains and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The Board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast; conducts recounts; certifies results to the Secretary of State; issues certificates of election to candidates; and provides an official tabulation of returns.

The Board oversees voter registration and public education activities and provides all registration materials used in the State. The Board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The Board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the "Act"). The agency oversees and monitors the campaign finance activities of candidates, political action committees and state vendors. The Board's responsibilities also include the development, printing and distribution of forms required for candidate, committee and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The Board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the State's five general offices.

### Agency Objectives

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

### Statutory History

Chapter 825 of the Public Laws of 1901 created the "State Returning Board," which was replaced by Chapter 1040 of the Public Laws of 1941 which created the "Board of Elections" as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

# The Budget

## Board of Elections

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,786,042	1,077,962	1,674,922	1,383,973	1,724,323
Operating Supplies and Expenses	256,752	120,749	258,049	149,149	203,070
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	3,500	2,000,000	1,655,710	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,042,794</b>	<b>\$1,202,211</b>	<b>\$3,932,971</b>	<b>\$3,188,832</b>	<b>\$1,927,393</b>
Capital Purchases and Equipment	76	-	25,000	31,000	38,512
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,042,870</b>	<b>\$1,202,211</b>	<b>\$3,957,971</b>	<b>\$3,219,832</b>	<b>\$1,965,905</b>
<b>Expenditures By Funds</b>					
General Revenue	1,547,546	1,092,280	3,957,971	3,219,832	1,915,905
Federal Funds	495,324	109,931	-	-	50,000
<b>Total Expenditures</b>	<b>\$2,042,870</b>	<b>\$1,202,211</b>	<b>\$3,957,971</b>	<b>\$3,219,832</b>	<b>\$1,965,905</b>
<b>FTE Authorization</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>	<b>11.0</b>	<b>12.0</b>
<b>Agency Measures</b>					
Minorities as Percentage of the Workforce	18.2%	18.2%	18.2%	18.2%	18.2%
Females as Percentage of the Workforce	36.4%	36.4%	36.4%	36.4%	36.4%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>	<b>NS</b>	<b>NS</b>	<b>NS</b>	<b>NS</b>	<b>NS</b>

# The Agency

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## Rhode Island Ethics Commission

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### Agency Operations

The Rhode Island Ethics Commission came into existence on July 25, 1987, when its members were appointed pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 4,000 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

### Agency Objectives

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

### Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

# The Budget

## Rhode Island Ethics Commission

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,199,769	1,211,428	1,297,731	1,278,283	1,389,146
Operating Supplies and Expenses	144,665	149,389	177,635	167,968	163,744
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,344,434</b>	<b>\$1,360,817</b>	<b>\$1,475,366</b>	<b>\$1,446,251</b>	<b>\$1,552,890</b>
Capital Purchases and Equipment	5,293	5,195	7,293	8,618	7,118
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,349,727</b>	<b>\$1,366,012</b>	<b>\$1,482,659</b>	<b>\$1,454,869</b>	<b>\$1,560,008</b>
<b>Expenditures By Funds</b>					
General Revenue	1,349,727	1,366,012	1,482,659	1,454,869	1,560,008
<b>Total Expenditures</b>	<b>\$1,349,727</b>	<b>\$1,366,012</b>	<b>\$1,482,659</b>	<b>\$1,454,869</b>	<b>\$1,560,008</b>
<b>FTE Authorization</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>	<b>12.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	58.3%	58.3%	58.3%	58.3%	58.3%
Persons with Disabilities as a Percentage of the Workforce	8.3%	16.6%	16.6%	16.6%	16.6%
<b>Program Measures</b>					
Percentage of Investigations Completed within 180 Days of Filing	95.4%	100.0%	90.0%	90.0%	90.0%
The Percentage of Advisory Opinion Requests Responded to within 30 Days of Receipt	76.4%	78.9%	78.9%	55.0%	75.0%

# The Agency

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## Office of the Governor

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### Agency Operations

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the State. The Office of the Governor is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Office of the Governor coordinates the services of a staff of professionals to include the Executive Counsel Office, Business Administrative Services, Policy Office, Legislative Affairs Office, Media Office, Constituent Affairs Office, and Municipal Affairs/Community Relations Office. The Governor initiated the Fiscal Fitness Program to review and evaluate the proficiency of all RI State agencies to result in a fiscally sound budget for the State of Rhode Island.

### Agency Objectives

To fulfill all responsibilities and duties in accordance with the Constitution and Laws of the State of Rhode Island.

To monitor all federal legislation and the federal budget for impact upon Rhode Island and provide oversight on the expenditure of and reporting on American Recovery and Reinvestment Act (ARRA) funds.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform and change in both government and the economy for the betterment of all Rhode Island Citizens.

### Statutory History

The Governor is the head of the Executive Branch of State government. Among the powers and duties of the Governor under the State Constitution are: faithfully executing the laws (Art IX, Section 2); commanding the state's military and naval forces (Article IX, Section 3); granting reprieves (Articles IX, Section 2); and pardons (Article IX, Section 13); convening special sessions of the general assembly (Article IX, Section 7); and; preparing and presenting to the general assembly an annual state budget (Article IX, Section 15). Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The administration of Governor Donald L. Carcieri began on January 7, 2003 serving until January, 2011. The new Governor-elect, Lincoln Chaffee, will assume office on January 4, 2011.



# The Budget

## Office of the Governor

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	4,816,564	4,919,845	5,572,439	4,602,025	4,625,357
Operating Supplies and Expenses	322,414	297,828	347,135	322,789	295,522
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	22,000	28,000	250,000	250,000	250,000
<b>Subtotal: Operating Expenditures</b>	<b>\$5,160,978</b>	<b>\$5,245,673</b>	<b>\$6,169,574</b>	<b>\$5,174,814</b>	<b>\$5,170,879</b>
Capital Purchases and Equipment	10,880	4,119	11,000	20,325	11,000
Debt Service	-	-	-	-	-
Operating Transfers	-	70,481	70,578	72,055	74,216
<b>Total Expenditures</b>	<b>\$5,171,858</b>	<b>\$5,320,273</b>	<b>\$6,251,152</b>	<b>\$5,267,194</b>	<b>\$5,256,095</b>
<b>Expenditures By Funds</b>					
General Revenue	4,627,388	4,344,370	4,752,606	4,414,905	4,338,521
Federal Funds	544,470	55,161	-	132,605	139,898
Restricted Receipts	-	920,742	1,498,546	719,684	777,676
<b>Total Expenditures</b>	<b>\$5,171,858</b>	<b>\$5,320,273</b>	<b>\$6,251,152</b>	<b>\$5,267,194</b>	<b>\$5,256,095</b>
<b>FTE Authorization</b>	<b>39.0</b>	<b>44.0</b>	<b>45.0</b>	<b>45.0</b>	<b>45.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	8.7%	9.5%	9.5%	10.8%	10.8%
Females as a Percentage of the Workforce	51.7%	57.1%	43.2%	43.2%	43.2%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>	<b>NA</b>

# The Agency

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## Commission for Human Rights

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### Agency Operations

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, religion, age, sexual orientation and gender identity/expression, disability and ancestral origin. The housing and credit statutes also prohibit discrimination based on marital status, familial status and association with members of a protected class. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse. The delivery of service statute prohibits discrimination on the basis of disability.

The commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a *prima facie* case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The commission renders a formal decision and order following an administrative hearing.

### Agency Objective

To enforce state and federal anti-discrimination laws.

### Statutory History

The commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq.; R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act); R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places); R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to stat laws, the Commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended.

# The Budget

## Commission for Human Rights

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,139,521	1,055,129	1,124,662	1,156,240	1,222,882
Operating Supplies and Expenses	233,665	229,112	247,005	230,674	232,688
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,373,186</b>	<b>\$1,284,241</b>	<b>\$1,371,667</b>	<b>\$1,386,914</b>	<b>\$1,455,570</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,373,186</b>	<b>\$1,284,241</b>	<b>\$1,371,667</b>	<b>\$1,386,914</b>	<b>\$1,455,570</b>
<b>Expenditures By Funds</b>					
General Revenue	918,461	959,253	1,014,978	1,222,547	1,154,038
Federal Funds	454,725	324,988	356,689	164,367	301,532
<b>Total Expenditures</b>	<b>\$1,373,186</b>	<b>\$1,284,241</b>	<b>\$1,371,667</b>	<b>\$1,386,914</b>	<b>\$1,455,570</b>
<b>FTE Authorization</b>	<b>14.5</b>	<b>14.5</b>	<b>14.5</b>	<b>14.5</b>	<b>14.5</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	40.0%	42.9%	42.9%	42.9%	42.9%
Females as a Percentage of the Workforce	66.7%	64.3%	64.3%	64.3%	64.3%
Persons with Disabilities as a Percentage of the Workforce	33.3%	35.7%	35.7%	35.7%	35.7%
<b>Program Measures</b>					
Average Number of Business Days from Receipt of Intake Questionnaire to Official Charge	38	38	38	38	38

# The Agency

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## Public Utilities Commission

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### Agency Operations

The Public Utilities Commission (PUC) comprises two distinct regulatory bodies: a three member Commission (Commission) and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, telephone, telegraph, and pipeline public utilities, the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98.

The Division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, and 39-14. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

### Agency Objectives

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; implement legislative mandates that facilitate renewable electric generation, improve water availability, deliver rapid communications and assure that Rhode Island is on the cutting edge of reliability and environmental quality regulation; and cooperate with other state and federal government agencies to coordinate efforts to meet the above objectives.

### Statutory History

The PUC and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the Division and Chairperson of the Commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers.

# The Budget

## Public Utilities Commission

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	5,097,701	5,369,382	6,729,267	6,743,381	7,148,290
Operating Supplies and Expenses	485,654	574,985	947,052	920,741	923,379
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	336	336	337	337	337
<b>Subtotal: Operating Expenditures</b>	<b>\$5,583,691</b>	<b>\$5,944,703</b>	<b>\$7,676,656</b>	<b>\$7,664,459</b>	<b>\$8,072,006</b>
Capital Purchases and Equipment	51,915	45,273	50,000	50,561	33,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,635,606</b>	<b>\$5,989,976</b>	<b>\$7,726,656</b>	<b>\$7,715,020</b>	<b>\$8,105,006</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	-	-	-
Federal Funds	67,758	105,096	296,330	296,330	309,373
Restricted Receipts	5,567,848	5,884,880	7,430,326	7,418,690	7,795,633
<b>Total Expenditures</b>	<b>\$5,635,606</b>	<b>\$5,989,976</b>	<b>\$7,726,656</b>	<b>\$7,715,020</b>	<b>\$8,105,006</b>
<b>FTE Authorization</b>	<b>44.0</b>	<b>45.5</b>	<b>46.0</b>	<b>46.0</b>	<b>46.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	11.1%	11.3%	11.3%	11.3%	13.1%
Females as a Percentage of the Workforce	36.5%	39.5%	38.6%	38.6%	39.2%
Persons with Disabilities as a Percentage of the Workforce	2.2%	2.2%	2.2%	2.2%	2.2%
<b>Program Measures</b>					
Percentage of Consumer Services Offered that Meet Completion Schedules	84.0%	84.0%	84.0%	84.0%	86.0%
Percentage of Formal Written Reports of Motor Carrier Applications Completed within 60 Business Days of Filing	96.0%	100.0%	95.0%	95.0%	95.0%
Percentage of Consumer Inquiries related to Cable Service resolved within Thirty Days	100.0%	100.0%	100.0%	100.0%	100.0%

# The Agency

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## Rhode Island Commission on Women

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### Agency Operations

The Rhode Island Commission on Women is a State agency established by the General Assembly to improve opportunities for women and girls to achieve equity in all areas of life. The Commission studies and makes recommendations for improvements, including legislative, regulatory, and administrative actions and issues related to equal rights for women. In carrying out its responsibilities, the Commission works in cooperation with other governmental and private organizations to promote equity in areas including, but not limited to, the following: education, health, economic development, employment, legal rights, political participation and the quality of individual and family.

The Commission consists of 26 members, including 3 each from the House and Senate, 12 public members appointed by the Governor, and 8 members appointed by the State agency directors. State agency participation may vary from year to year, depending on identification of priorities by the Commissioners. The Commission carries out its responsibilities through standing and ad hoc committees, the membership of which consists of appointed Commissioners and numerous volunteers dedicated to the rights of women and girls. The State no longer provided financing for this agency as of March of 2010.

### Agency Objectives

To advise and submit recommendations to the Governor and the General Assembly on issues relating to women and girls;

To gather and disseminate information to women and girls and/or the general public on issues relating to women and girls and to develop and/or support programs or services for women and girls consistent with the purpose of the Commission;

To collaborate with concerned organizations, groups and State departments and agencies on issues of common concern;

To educate and encourage women to exercise the right to vote. To encourage candidacy for public office and promote the appointment of qualified women to boards, commissions and governmental positions at all levels;

To advise legislators by evaluating, monitoring and proposing Federal and State legislation promoting equity for women and girls.

### Statutory History

In 1970 the General Assembly established a nonpartisan organization designated the Permanent Advisory Commission on Women in Rhode Island. After 22 years of status as an advisory commission, in 1992 the General Assembly amended the General Laws of Rhode Island (Title 42, Chapter 119) to restructure the membership of the Commission and to change its status from an advisory body to an agency of Rhode Island Government charged with policy and action responsibilities.

# The Budget

## Rhode Island Commission on Women

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	98,154	71,190	-	-	-
Operating Supplies and Expenses	12,719	(122)	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,448	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$112,321</b>	<b>\$71,068</b>	-	-	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$112,321</b>	<b>\$0</b>	-	-	-
<b>Expenditures By Funds</b>					
General Revenue	112,321	71,069	-	-	-
<b>Total Expenditures</b>	<b>\$112,321</b>	<b>\$71,069</b>	-	-	-
<b>FTE Authorization</b>	<b>1.0</b>	<b>1.0</b>	-	-	-
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	-	-	-
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Annual Increase of Community Outreach Work Products as a Percentage of Baseline Year	150.0%	150.0%	-	-	-
Contacts Made to the RI Comm. on Women's Website as a Percentage of Baseline Year	429.7%	150.0%	-	-	-

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# **Human Services**

Office of Health and Human Services

Department of Children, Youth and Families

- Central Management
- Children's Behavioral Health Services
- Juvenile Correctional Services
- Child Welfare
- Higher Education Incentive Grants

Department of Elderly Affairs

Department of Health

- Central Management
- State Medical Examiner
- Environmental and Health Services Regulation
- Health Laboratories
- Public Health Information
- Community and Family Health and Equity
- Infectious Disease and Epidemiology

Department of Human Services

- Central Management
- Child Support Enforcement
- Individual and Family Support
- Veterans' Affairs
- Health Care Quality, Financing and Purchasing
- Medical Benefits
- Supplemental Security Income
- Rhode Island Works
- State Funded Programs

Department of Behavioral Healthcare, Developmental Disabilities and Hospitals

- Central Management
- Hospitals and Community System Support
- Services for the Developmentally Disabled
- Behavioral Healthcare Services
- Hospitals and Community Rehabilitative Services
- Substance Abuse
- Internal Service Programs

Department of Veterans' Affairs

- Governor's Commission on Disabilities
- Commission on the Deaf and Hard of Hearing
- Office of the Child Advocate
- Office of the Mental Health Advocate

## Human Services Function Expenditures

	FY 2009 Actuals	FY 2010 Actuals	FY 2011 Enacted	FY 2011 Working	FY 2011 Gov.	FY 2012 Gov.
<b>Expenditure by Object</b>						
Personnel	364,320,857	358,592,879	394,030,932	394,030,932	407,387,948	400,858,330
Operating Supplies and Expenses	74,087,039	77,519,347	78,422,832	78,422,832	82,514,957	80,374,978
Aid to Local Units of Government	-	-	-	-	-	-
Assistance, Grants, and Benefits	2,260,252,738	2,435,725,761	2,621,044,508	2,621,044,508	2,588,673,344	2,599,906,872
<b>Subtotal: Operating Expenditures</b>	<b>\$2,698,660,634</b>	<b>\$2,871,837,987</b>	<b>\$3,093,498,272</b>	<b>\$3,093,498,272</b>	<b>\$3,078,576,249</b>	<b>\$3,081,140,180</b>
Capital Purchases and Equipment	5,232,649	6,483,845	22,996,008	22,996,008	22,608,265	32,618,896
Debt Service	-	-	-	-	-	-
Operating Transfers	11,417,699	9,394,501	5,404,737	5,404,737	5,867,422	5,890,094
<b>Total Expenditures</b>	<b>\$2,715,310,982</b>	<b>\$2,887,716,333</b>	<b>\$3,121,899,017</b>	<b>\$3,121,899,017</b>	<b>\$3,107,051,936</b>	<b>\$3,119,649,170</b>
<b>Expenditures by Funds</b>						
General Revenue	1,051,281,174	1,005,142,599	1,074,919,483	1,074,919,483	1,103,603,663	1,260,621,856
Federal Funds	1,620,426,726	1,836,780,592	1,976,327,729	1,976,327,729	1,935,567,154	1,772,715,211
Restricted Receipts	34,318,443	36,975,154	49,088,273	49,088,273	48,637,555	55,068,514
Other Funds	9,284,639	8,817,988	21,563,532	21,563,532	19,243,564	31,243,589
<b>Total Expenditures</b>	<b>\$2,715,310,982</b>	<b>\$2,887,716,333</b>	<b>\$3,121,899,017</b>	<b>\$3,121,899,017</b>	<b>\$3,107,051,936</b>	<b>\$3,119,649,170</b>
<b>FTE Authorization</b>	<b>3,474.1</b>	<b>3,368.5</b>	<b>3,560.6</b>	<b>3,560.6</b>	<b>3,645.2</b>	<b>3,651.7</b>

# The Agency

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## Office of Health and Human Services

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### Agency Operations

The Executive Office of Health and Human Services (EOHHS) serves as the principal agency of the executive branch of state government responsible for managing the departments of: Health; Human Services; Children, Youth and Families; Elderly Affairs; and Behavioral Health care, Developmental Disabilities, and Hospitals (R.I.G.L. 47-7.2-2). Together, these five agencies provide an array of health and human services and supports that touch the lives of all Rhode Island's residents at some point in time.

The chief focus of the EOHHS over the last several years has been implementation of the Global Consumer Choice Waiver, Rhode Island's innovative Medicaid reform initiative. The Global Waiver complements ongoing efforts, mandated by statute, to consolidate and streamline core agency functions within EOHHS while establishing a consumer centered, integrated system of health and human services. Over the next fiscal year, efforts to centralize within EOHHS components of these functions will continue despite a prior period of inaction due to leadership changes. Specifically, the Governor's FY 2012 budget proposes a major structural transition within the administrative and policymaking staff of the Medical Assistance program. Formerly housed within the Department of Human Services, key personnel in this area have been transferred to the Executive Office, thereby establishing EOHHS as the "Single State Agency" for Medicaid in Rhode Island.

### Agency Objectives

To manage the organization, design and delivery of health and human services; to develop and implement an efficient and accountable system of high quality, integrated health and human services.

### Statutory History

Title 42 Chapter 7.2 of the Rhode Island General Laws established the Executive Office of Health and Human Services within the Executive Branch of state government.

# The Budget

## Office of Health and Human Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	5,449,077	5,062,050	7,096,602	7,726,484	16,758,741
Operating Supplies and Expenses	88,229	14,443	71,107	15,501	19,939
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,331,631	13,473	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$6,868,937</b>	<b>\$5,089,966</b>	<b>\$7,167,709</b>	<b>\$7,741,985</b>	<b>\$16,778,680</b>
Capital Purchases and Equipment	206,704	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,075,641</b>	<b>\$5,089,966</b>	<b>\$7,167,709</b>	<b>\$7,741,985</b>	<b>\$16,778,680</b>
<b>Expenditures By Funds</b>					
General Revenue	3,434,394	3,253,566	3,420,163	3,541,112	9,623,834
Federal Funds	2,989,140	1,254,175	2,873,533	3,309,016	6,250,134
Restricted Receipts	652,107	582,225	874,013	891,857	904,712
<b>Total Expenditures</b>	<b>\$7,075,641</b>	<b>\$5,089,966</b>	<b>\$7,167,709</b>	<b>\$7,741,985</b>	<b>\$16,778,680</b>
<b>FTE Authorization</b>	<b>85.1</b>	<b>52.9</b>	<b>75.6</b>	<b>77.6</b>	<b>149.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of Workforce	-	-	-	-	-
Females as a Percentage of Workforce	-	-	-	-	-
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-

\* EEO measures are currently inestimable for FY 2009 through FY 2012 due to various fluctuations in the structure of EOHHS staffing.

# The Agency

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## Department of Children, Youth, and Families

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### Agency Operations

The Department of Children, Youth and Families is charged to mobilize the human, physical, and financial resources available to plan, develop, implement, and evaluate a comprehensive and integrated statewide program of services designed to ensure the opportunity for children to reach their full potential.

It is the department's philosophy that parents have the primary responsibility for meeting the needs of their children. When parents are unable to do so, all services to the child and family are premised upon the safety and best interest of the child and the promotion of community-based responsibility/involvement in servicing its own children/families. When departmental support is necessary and appropriate, services are provided in the least intrusive, least restrictive manner possible.

The department addresses its responsibilities through its Child Welfare, Children's Behavioral Health and Education, Juvenile Correctional Services, and Higher Education Incentive Grant Programs, which are guided and assisted by a leadership support program identified as Central Management.

The Child Welfare Program consists of child protective investigations, community-based services, and residential services delivered to dependent, neglected or abused children, or children at risk of abuse or neglect.

The Children's Behavioral Health and Education Program designs, implements, and monitors a continuum of therapeutic services to seriously emotionally disturbed children and youth.

The Juvenile Correctional Services Program provides services to youngsters who have been adjudicated as wayward or delinquent by the Family Court and determined to be in need of placement at the Training School for Youth, placement in a less structured community residential setting, or supervision in the community while residing at home.

The Higher Education Incentive Grant is designed to encourage youth to pursue educational goals beyond high school and to provide added incentive to achieve a high school diploma.

### Statutory History

In 1979, the General Assembly enacted Title 42 Chapter 72 of the Rhode Island General Laws. This legislation created the Department for Children and Their Families effective January 1, 1980. The enabling legislation transferred to the department certain functions from the Departments of Human Services, Corrections, Mental Health, Retardation and Hospitals, and Community Affairs. In 1991, the General Assembly amended Title 42 Chapter 72 to read "Department of Children, Youth, and Families."

# The Budget

## Department of Children, Youth and Families

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Program</b>					
Central Management	7,075,233	6,116,157	6,713,049	6,200,649	6,895,122
Children's Behavioral Health Services	24,510,002	20,957,470	24,546,234	22,612,320	23,065,874
Juvenile Correctional Services	34,472,204	32,951,855	37,325,472	34,722,648	32,802,323
Child Welfare	183,703,962	173,961,780	168,813,418	166,373,913	147,980,478
Higher Education Incentive Grants	199,628	200,000	200,000	200,000	200,000
<b>Total Expenditures</b>	<b>249,961,029</b>	<b>234,187,262</b>	<b>237,598,173</b>	<b>230,109,530</b>	<b>210,943,797</b>
<b>Expenditures By Object</b>					
Personnel	72,752,365	66,050,901	73,437,068	68,666,969	69,795,897
Operating Supplies and Expenses	8,714,427	9,881,872	8,633,633	8,532,854	8,540,692
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	167,826,741	157,983,049	150,902,492	150,799,372	129,388,688
<b>Subtotal: Operating Expenditures</b>	<b>249,293,533</b>	<b>233,915,822</b>	<b>232,973,193</b>	<b>227,999,195</b>	<b>207,725,277</b>
Capital Purchases and Equipment	667,496	271,440	4,624,980	2,110,335	3,218,520
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>249,961,029</b>	<b>234,187,262</b>	<b>237,598,173</b>	<b>230,109,530</b>	<b>210,943,797</b>
<b>Expenditures By Funds</b>					
General Revenue	160,349,512	152,831,095	153,046,095	152,873,449	139,413,049
Federal Funds	86,805,083	78,805,892	77,855,163	72,769,129	62,869,525
Restricted Receipts	2,232,511	2,148,243	2,306,915	2,485,137	5,571,223
Other Funds	573,923	402,032	4,390,000	1,981,815	3,090,000
<b>Total Expenditures</b>	<b>249,961,029</b>	<b>234,187,262</b>	<b>237,598,173</b>	<b>230,109,530</b>	<b>210,943,797</b>
<b>FTE Authorization</b>	<b>694.0</b>	<b>658.5</b>	<b>691.0</b>	<b>691.0</b>	<b>662.5</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	20.0%	20.0%	20.0%	20.0%	20.0%
Females as a Percentage of the Workforce	66.0%	66.0%	66.0%	66.0%	66.0%
Persons with Disabilities as a Percentage of the Workforce	1.0%	0.0%	1.0%	1.0%	1.0%

# The Program

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## Department of Children, Youth, and Families Central Management

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### Program Operations

The Central Management Program consists of a variety of sub-programs including executive functions and legal and administrative services.

The executive functions include administrative and operational direction, planning, management, and evaluation of overall departmental operations.

Administrative services include financial management, personnel, staff development and training, management information systems, and licensing and regulation. A centralized on-line database system, the Statewide Automated Child Welfare Information System (SACWIS), supports all investigatory and case management functions of the department and other critical administrative functions.

Legal services includes departmental representation in all Family Court proceedings relating to child abuse and neglect, commitment trials and termination of parental rights trials, as well as, civil litigation in other state and federal courts, and administrative and labor tribunals. This service also provides on-going legal consultation to the Director, administrators, and line staff involved in Family Court proceedings.

### Program Objectives

Provide leadership and direction in the development and implementation of an efficient and productive system of service delivery to Rhode Island's children and families.

Provide consistent legal representation and consultation to all departmental staff involved in court proceedings.

Provide comprehensive, integrated management services to assure fiscal integrity and accurate data collection and interpretation.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth, and Families.



# The Budget

## Department of Children, Youth and Families Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Support Services	3,464,391	2,718,062	3,325,170	3,484,031	3,612,409
Office of Budget	818,922	961,360	976,610	753,342	969,880
Information Systems	1,999,575	1,692,361	1,806,291	1,421,283	1,423,584
Office of the Director	792,345	744,374	604,978	541,993	889,249
<b>Total Expenditures</b>	<b>\$7,075,233</b>	<b>\$6,116,157</b>	<b>\$6,713,049</b>	<b>\$6,200,649</b>	<b>\$6,895,122</b>
<b>Expenditures By Object</b>					
Personnel	4,875,673	4,065,305	4,790,700	4,703,823	5,400,900
Operating Supplies and Expenses	2,155,143	1,848,512	1,900,102	1,469,714	1,467,110
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,950	180,903	1,147	1,147	1,147
<b>Subtotal: Operating Expenditures</b>	<b>\$7,032,766</b>	<b>\$6,094,720</b>	<b>\$6,691,949</b>	<b>\$6,174,684</b>	<b>\$6,869,157</b>
Capital Purchases and Equipment	42,467	21,437	21,100	25,965	25,965
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,075,233</b>	<b>\$6,116,157</b>	<b>\$6,713,049</b>	<b>\$6,200,649</b>	<b>\$6,895,122</b>
<b>Expenditures By Funds</b>					
General Revenue	4,809,534	4,036,491	4,451,118	4,063,923	4,894,560
Federal Funds	2,265,699	2,079,666	1,960,809	1,835,604	1,699,440
Restricted Receipts	-	-	301,122	301,122	301,122
<b>Total Expenditures</b>	<b>7,075,233</b>	<b>6,116,157</b>	<b>6,713,049</b>	<b>6,200,649</b>	<b>6,895,122</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Children, Youth, and Families Children's Behavioral Health Services

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### Program Operations

The Children's Behavioral Health and Education Services Program is primarily responsible for the design, implementation, and monitoring of a continuum of therapeutic services to seriously emotionally disturbed children, youth, and families. Children placed in the custody of the department due to abuse, neglect or dependency, as well as, children in the custody of their parents or legal guardians are eligible for these services. Most of these services, with the exception of psychiatric hospitalization, are provided by non-profit agencies and delivered in community-based settings. Coordination and local planning is facilitated through the Child and Adolescent Services System (Project/CASSP), which sponsors a Local Coordinating Council (LCC) representing all children/youth and serving agencies within each region of the State. Each LCC supports planning teams which coordinate children's behavioral health services and provides funding for non-traditional services on a case-by-case basis. Children's Intensive Services provides a comprehensive array of community-based mental health services designed to reduce the need for inpatient care and to support severely emotionally disturbed children and their families in community settings.

In addition, the division is partnering with Contracts and Program Development and the community mental health centers, in convening Care Management Teams. These teams, composed of DCYF staff, community partners, and parents, utilize the continuum of resources from community-based to residential treatment services in planning for the least-restrictive appropriate level of care for children with serious treatment needs.

### Program Objectives

Design and implement a comprehensive array of therapeutic services to meet the needs of seriously emotionally disturbed children and youth; expand and enhance the capacity of local communities and regions to meet these needs within their geographic areas; provide services in the least restrictive environment utilizing inpatient psychiatric care and residential treatment only until a child can return to a community-based service.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Law defines the functions of the Department of Children, Youth and Families; Title 40.1 Chapter 5 describes the provisions relating to mental health laws. The act creating the LCC sub-program was 98-H-8122, Substitute A.

# The Budget

## Department of Children, Youth and Families Children's Behavioral Health Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Children's Mental Health	21,518,107	17,683,380	22,056,244	19,870,409	20,602,403
Local Coordinating Council	1,589,925	1,358,287	704,157	978,559	730,119
CBH Educational Services	1,401,970	1,915,803	1,785,833	1,763,352	1,733,352
<b>Total Expenditures</b>	<b>\$24,510,002</b>	<b>\$20,957,470</b>	<b>\$24,546,234</b>	<b>\$22,612,320</b>	<b>\$23,065,874</b>
<b>Expenditures By Object</b>					
Personnel	2,012,323	1,694,995	2,475,240	2,410,341	2,489,716
Operating Supplies and Expenses	106,540	171,308	291,511	163,324	162,605
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	22,391,139	19,090,714	20,954,483	19,021,259	18,407,972
<b>Subtotal: Operating Expenditures</b>	<b>\$24,510,002</b>	<b>\$20,957,017</b>	<b>\$23,721,234</b>	<b>\$21,594,924</b>	<b>\$21,060,293</b>
Capital Purchases and Equipment	-	453	825,000	1,017,396	2,005,581
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$24,510,002</b>	<b>\$20,957,470</b>	<b>\$24,546,234</b>	<b>\$22,612,320</b>	<b>\$23,065,874</b>
<b>Expenditures By Funds</b>					
General Revenue	12,145,214	9,798,027	11,706,718	10,431,558	10,838,296
Federal Funds	12,364,788	11,080,988	12,014,516	11,163,947	10,222,578
Other Funds	-	78,455	825,000	1,016,815	2,005,000
<b>Total Expenditures</b>	<b>\$24,510,002</b>	<b>\$20,957,470</b>	<b>\$24,546,234</b>	<b>\$22,612,320</b>	<b>\$23,065,874</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Children, Youth, and Families Juvenile Correctional Services

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### Program Operations

The Juvenile Correctional Services Program consists of three sub-programs providing varying levels of service to wayward and delinquent youngsters.

Institutional programming is conducted at the Rhode Island Training School for Youth. The facility provides a secure, structured residential program for adjudicated delinquents and those awaiting trial on serious offenses. Residents undergo an assessment at intake into the facility and are programmed accordingly. Services include case management, education, recreation, and a selection of contracted or purchased services (e.g. medical, drug and alcohol counseling, violent offender/sex offender therapy).

Community-based programming (Probation and Parole) includes both residential and non-residential services. Non-residential services are provided directly by departmental staff as well as through purchase of services or contracts. Community-based residential services are available for adjudicated youngsters who require residential care but not a setting as secure and structured as the Training School. These services are provided via contractual and purchase of service arrangements.

Educational services are provided to all Rhode Island Training School residents, both detained and adjudicated. Residents are grouped by academic levels and/or individual education plan specifications. The services adhere to all Rhode Island Department of Education regulations.

### Program Objectives

Provide secure and structured residential programming for adjudicated juvenile offenders and those awaiting trial.

Increase the use of community-based programming thereby limiting the need for long-term placement in the Training School.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth, and Families; Title 42 Chapter 56 provides for the Training School for Youth; Title 14 Chapter 1 relates to the power of the court to order disposition of a juvenile.

# The Budget

## Department of Children, Youth and Families Juvenile Correctional Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Institutional Services	18,990,126	17,179,523	20,661,287	17,800,243	16,669,460
Juvenile Probation & Parole	11,269,819	11,841,667	12,432,556	12,231,562	11,842,421
RITS - Education Program	4,212,259	3,930,665	4,231,629	4,690,843	4,290,442
<b>Total Expenditures</b>	<b>\$34,472,204</b>	<b>\$32,951,855</b>	<b>\$37,325,472</b>	<b>\$34,722,648</b>	<b>\$32,802,323</b>
<b>Expenditures By Object</b>					
Personnel	23,694,598	22,640,854	23,880,385	23,898,746	22,754,491
Operating Supplies and Expenses	2,098,147	1,504,720	1,783,917	1,571,390	1,563,435
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	8,433,866	8,779,376	9,152,059	9,243,401	8,475,286
<b>Subtotal: Operating Expenditures</b>	<b>\$34,226,611</b>	<b>\$32,924,950</b>	<b>\$34,816,361</b>	<b>\$34,713,537</b>	<b>\$32,793,212</b>
Capital Purchases and Equipment	245,593	26,905	2,509,111	9,111	9,111
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$34,472,204</b>	<b>\$32,951,855</b>	<b>\$37,325,472</b>	<b>\$34,722,648</b>	<b>\$32,802,323</b>
<b>Expenditures By Funds</b>					
General Revenue	31,882,917	30,572,453	31,886,768	32,087,820	28,410,148
Federal Funds	2,393,973	2,379,402	2,938,704	2,634,828	2,317,175
Restricted Receipts	195,314	-	-	-	2,075,000
Other Funds	-	-	2,500,000	-	-
<b>Total Expenditures</b>	<b>\$34,472,204</b>	<b>\$32,951,855</b>	<b>\$37,325,472</b>	<b>\$34,722,648</b>	<b>\$32,802,323</b>
<b>Program Measures</b>					
Percentage of Adjudicated and Detained Training School Youth Passing the General Education Development Exam	88.0%	40.0%	37.0%	37.0%	35.0%
Percentage of Adjudicated Training School Youth Admitted During the Fiscal Year After Release within the Prior 12 months	20.0%	20.0%	20.0%	20.0%	20.0%

# The Program

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## Department of Children, Youth, and Families Child Welfare

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### Program Operations

The Child Welfare Program consists of several major sub-programs including Child Protective Services, Family Services, Community-Based Services, and Residential Services.

Child Protective Services is responsible for receiving and investigating allegations of child abuse/ neglect throughout Rhode Island. This division operates 24-hours a day, seven days a week. When allegations of abuse or neglect are substantiated, the investigator must determine what actions are necessary in order to assure the safety and well being of the child. Sometimes it is necessary to remove a child from the home, but much more frequently services are provided to the child and family in order to bring the family to a higher level of functioning. Sometimes these services are provided by department social workers who operate out of one of four regional offices and sometimes by private, non-profit community agencies.

When a child requires out of home care, every effort is made to place him/her with relatives or others known to him/her. When a child requires a level of care beyond foster care, there is an array of services available from community-based group homes to intensive residential treatment.

The department also supports prevention and early intervention programs to address the issues and problems which lead to child abuse/neglect and to provide services so that family functioning does not deteriorate to a point where DCYF intervention becomes necessary.

### Program Objectives

Protect children through the timely investigation of child abuse and neglect complaints.

Maintain the family unit when possible through the provision of services, which improves family functioning.

Aggressively pursue permanency through the provision of reunification and adoption services for children who have to be removed from their home.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth, and Families; Title 40 Chapter 11 relates to abused and neglected children; Title 14 Chapter 1 relates to proceedings in Family Court.

# The Budget

## Department of Children, Youth and Families Child Welfare

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Protective Services	19,018,006	20,782,588	20,996,355	20,872,737	19,193,316
Family Services	13,944,902	14,236,541	15,043,220	13,568,023	16,904,520
Community Services	10,557,325	5,337,143	5,628,941	4,661,136	4,405,870
Prevention Services	8,860,071	6,649,656	9,422,212	5,971,947	5,971,936
Board & Care	99,267,931	104,924,748	96,209,125	101,012,652	82,247,912
Foster Care	30,588,466	19,665,295	19,248,385	17,921,609	16,755,272
<b>Total Expenditures</b>	<b>183,703,962</b>	<b>173,961,780</b>	<b>168,813,418</b>	<b>166,373,913</b>	<b>147,980,478</b>
<b>Expenditures By Object</b>					
Personnel	42,169,771	37,649,747	42,290,743	37,654,059	39,150,790
Operating Supplies and Expenses	4,354,597	6,357,332	4,658,103	5,328,426	5,347,542
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	136,800,158	129,732,056	120,594,803	122,333,565	102,304,283
<b>Subtotal: Operating Expenditures</b>	<b>\$183,324,526</b>	<b>\$173,739,135</b>	<b>\$167,543,649</b>	<b>\$165,316,050</b>	<b>\$146,802,615</b>
Capital Purchases and Equipment	379,436	222,645	1,269,769	1,057,863	1,177,863
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$183,703,962</b>	<b>\$173,961,780</b>	<b>\$168,813,418</b>	<b>\$166,373,913</b>	<b>\$147,980,478</b>
<b>Expenditures By Funds</b>					
General Revenue	111,312,219	108,224,124	104,801,491	106,090,148	95,070,045
Federal Funds	69,780,623	63,265,836	60,941,134	57,134,750	48,630,332
Restricted Receipts	2,037,197	2,148,243	2,005,793	2,184,015	3,195,101
Other Funds	573,923	323,577	1,065,000	965,000	1,085,000
<b>Total Expenditures</b>	<b>\$183,703,962</b>	<b>\$173,961,780</b>	<b>\$168,813,418</b>	<b>\$166,373,913</b>	<b>\$147,980,478</b>
<b>Program Measures</b>					
Percentage of Children in Foster Care for Less than 12 Months Who Have Experienced Two or Fewer Placements	86.0%	86.2%	86.7%	86.7%	88.2%
Percentage of Children Experiencing a Recurrence of Abuse and/or Neglect	9.5%	8.5%	8.0%	8.0%	7.6%
Percentage of Children Reunified with Parents or Caretaker within 12 Months	66.0%	66.9%	67.4%	67.4%	68.0%
Percentage of Children Re-entering Foster Care within 12 months of a Previous Placement	25.0%	16.1%	16.0%	16.0%	16.0%
Percentage of Children Adopted within 24 Months of Removal from Home	38.5%	42.3%	42.3%	42.3%	42.3%

# The Program

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## Department of Children, Youth, and Families Higher Education Incentive Grants

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### Program Operations

The Department of Children, Youth, and Families is responsible for assisting older youth under its care and custody to become self-sufficient and be prepared for transition to independence.

One important means of assisting youth in becoming independent is to encourage education to the highest degree possible. Higher Education Incentive Grants have as their purpose to provide added incentive to achieve a high school diploma and to encourage youth to pursue educational goals beyond high school.

### Program Objectives

The main objective is to encourage and assist more youngsters in departmental care to consider and perceive higher education as a viable option, and to allow them the same opportunities that are afforded youth from other families.

### Statutory History

Chapter 511, PL 99-S 282, Substitute A amended Section 1, Title 42 provides funds to supplement financial aid to youth who are in the department's custody and who have been accepted at the University of Rhode Island, Community College of Rhode Island, or Rhode Island College to allow these students to pay essential educational costs without loans.



# The Budget

## Department of Children, Youth and Families Higher Education Incentive Grants

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	199,628	200,000	200,000	200,000	200,000
<b>Subtotal: Operating Expenditures</b>	<b>\$199,628</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$200,000</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$199,628</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$200,000</b>
<b>Expenditures By Funds</b>					
General Revenue	199,628	200,000	200,000	200,000	200,000
<b>Total Expenditures</b>	<b>\$199,628</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$200,000</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Agency

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## Department of Elderly Affairs

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### Agency Operations

The Department of Elderly Affairs is the designated State Agency on Aging for Rhode Island. As such, the department is responsible for the development and implementation of a comprehensive, coordinated system of community-based care for persons sixty years of age and older and adults with disabilities. The department is headed by a director who is appointed by the Governor. Departmental responsibilities include developing and implementing a State Plan on Aging under the Federal Older Americans Act (OAA) and serving as the state's Single Planning and Service Area on Aging under the Older Americans Act. The primary focus of the Department of Elderly Affairs is to preserve the independence, dignity and capacity for choice of seniors, their families and caregivers. The department is the lead state agency advocate to protect and preserve the rights of older individuals; operates services designed to assist seniors in remaining independent in the community and administers and funds a wide range of community programs, activities and services. Some services are provided directly by the department staff, but many are provided through a strong and coordinated community-based network of senior centers, adult day centers, nutrition programs, senior housing and assisted living facilities, home care, advocacy groups, the Aging and Disability Resource Center (The POINT), Community Information Specialists (statewide regional POINTS), and other community partners. The department's grants management staff and DEA Program staff coordinate the efforts and activities of the State Aging Network through the allocation and monitoring of federal and state funds.

### Agency Objectives

As outlined in the department's State Plan on Aging under the Older Americans Act, (OAA) and in the relevant laws and policies of the State of Rhode Island, the department is dedicated to providing leadership and advocacy in emerging elder issues. The department is committed to providing services that are consumer-focused, high quality and easily accessible. The department will continue to enhance and implement a comprehensive coordinated system of elder service delivery that expands the options for community-based care for all older Rhode Islanders, their families, caregivers and adults with disabilities. The department will work to strengthen programs and services that enable older Rhode Islanders to live an independent, healthy and dignified lifestyle while continuing to make meaningful contributions in the community. DEA will also continue to serve as the statewide advocacy agency for the needs of elders and adults with disabilities.

### Statutory History

The Department of Elderly Affairs was created in 1977. R.I.G.L. 42-66 established and provides for the organization and functions of the department. Federal authority rests under the Older Americans Act of 1965 as amended.

# The Budget

## Department of Elderly Affairs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Administrative Services	1,011,015	891,085	996,252	1,032,318	734,372
Program Services	30,034,334	23,076,156	24,096,329	29,400,394	26,751,776
RIPAE	1,607,421	1,259,625	1,620,015	1,781,036	-
<b>Total Expenditures</b>	<b>\$32,652,770</b>	<b>\$25,226,866</b>	<b>\$26,712,596</b>	<b>\$32,213,748</b>	<b>\$27,486,148</b>
<b>Expenditures By Object</b>					
Personnel	2,804,105	2,525,148	2,715,045	3,022,156	3,070,458
Operating Supplies and Expenses	197,771	452,617	738,809	751,472	748,216
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	21,484,466	22,346,743	23,160,239	28,341,617	23,568,971
<b>Subtotal: Operating Expenditures</b>	<b>\$24,486,342</b>	<b>\$25,324,508</b>	<b>\$26,614,093</b>	<b>\$32,115,245</b>	<b>\$27,387,645</b>
Capital Purchases and Equipment	14,340	2,358	98,503	98,503	98,503
Debt Service	-	-	-	-	-
Operating Transfers	8,152,088	(100,000)	-	-	-
<b>Total Expenditures</b>	<b>\$32,652,770</b>	<b>\$25,226,866</b>	<b>\$26,712,596</b>	<b>\$32,213,748</b>	<b>\$27,486,148</b>
<b>Expenditures By Funds</b>					
General Revenue	14,056,867	7,994,872	10,100,599	9,648,239	9,319,591
Federal Funds	13,297,603	16,491,994	15,936,066	21,820,418	17,769,466
Restricted Receipts	850,000	740,000	675,931	745,091	397,091
Other Funds	4,448,300	-	-	-	-
<b>Total Expenditures</b>	<b>\$32,652,770</b>	<b>\$25,226,866</b>	<b>\$26,712,596</b>	<b>\$32,213,748</b>	<b>\$27,486,148</b>
<b>FTE Authorization</b>	<b>32.0</b>	<b>29.5</b>	<b>31.0</b>	<b>31.0</b>	<b>32.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	6.5%	6.5%	17.0%	17.0%	17.0%
Females as a Percentage of the Workforce	93.0%	93.0%	91.0%	91.0%	91.0%
Persons with Disabilities as a Percentage of the Workforce	10.0%	10.0%	6.0%	6.0%	6.0%
<b>Program Measures</b>					
Percentage of Elderly Abuse Involving the Same Victim	36.0%	36.1%	40.0%	40.0%	40.0%
Self-Neglect Percentage of Reports Involving the Same Victim	39.0%	39.0%	39.0%	39.0%	39.0%
Percentage of Elder Abuse and Self-Neglect Reports Involving the Same Victim following Early Intervention	10.1%	1.9%	4.0%	4.0%	4.0%

# The Agency

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## Department of Health

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### Agency Operations

“Safe and Healthy Lives in Safe and Healthy Communities”! All the programs and services of the Rhode Island Department of Health contribute to this one vision. It organizes and prioritizes the department’s response to threats to public health and measures its accomplishments.

The Department of Health embraces multi-faceted and broad-ranging public health responsibilities at the state and local level. Its mission is “to prevent disease and to protect and promote the health and safety of the people of Rhode Island.” To reach its objectives the department employs services and policies based on the science of public health epidemiology.

The Department of Health includes seven programs: Central Management; Office of the State Medical Examiner; Environmental and Health Services Regulation; Health Laboratories; Public Health Information; Community and Family Health and Equity; and Infectious Disease and Epidemiology.

### Program Objectives

The Rhode Island Department of Health consists of eight “core functions”, including:

- Assuring safe food and water supply; responding to emergencies
- Controlling infectious and communicable disease; promoting health and controlling chronic disease, injury, and disabilities; and assuring positive pregnancy outcomes
- Monitoring the health of the population and maintaining a public health knowledge-base; assuring health care quality and minimum standards, and competency of health facilities and professional licensees
- Assisting high-risk populations to needed health services; developing insights through research and leading the development of health policy and planning

### Statutory History

The Department of Health was established by Section 23-1-1 of the General Laws of Rhode Island. This section states that “the Department of Health shall take cognizance of the interests of life and health among the peoples of the state; shall make investigations into the causes of disease, the prevalence of epidemics and endemics among the people, the sources of mortality, the effect of localities, employments and all other conditions, ascertain the causes and the best means for the prevention and control of diseases or conditions detrimental to the public health, and adopt proper and expedient measures to prevent and control such diseases and conditions in Rhode Island. It shall publish and circulate, from time to time, such information as the Director may deem to be important and useful for diffusion among people of Rhode Island, and shall investigate, and give advice in relation to such subjects relating to public health as may be referred to it by the General Assembly or by the Governor when the General Assembly is not in session, or when requested by any city or town. The department shall adopt and promulgate such rules and regulations as it deems necessary, not inconsistent with law, to carry out the purposes of this section.”

# The Budget

## Department of Health

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Central Management	13,146,655	22,047,339	13,434,583	17,345,619	15,949,335
State Medical Examiner	1,912,790	2,477,214	2,268,882	2,333,997	2,250,120
Environmental & Health Services Reg.	13,882,895	13,413,976	16,507,916	18,133,042	17,400,511
Health Laboratories	6,839,556	6,953,154	8,237,036	8,506,874	7,948,040
Public Health Information	2,886,300	2,533,733	3,480,415	4,140,899	3,511,731
Community & Family Health & Equity	79,954,753	80,396,582	67,797,136	101,827,102	99,484,393
Infectious Disease & Epidemiology	3,598,873	3,837,237	4,420,840	4,574,341	4,923,566
<b>Total Expenditures</b>	<b>122,192,215</b>	<b>131,659,235</b>	<b>116,146,808</b>	<b>156,861,874</b>	<b>151,467,696</b>
<b>Expenditures By Object</b>					
Personnel	47,088,818	50,137,275	55,542,475	63,073,734	61,140,354
Operating Supplies and Expenses	33,682,390	36,764,029	34,103,102	42,716,409	41,244,693
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	40,564,369	41,255,285	25,257,976	49,398,576	48,060,368
<b>Subtotal: Operating Expenditures</b>	<b>121,335,577</b>	<b>128,156,589</b>	<b>114,903,553</b>	<b>155,188,719</b>	<b>150,445,415</b>
Capital Purchases and Equipment	856,638	3,502,646	1,243,255	1,673,155	1,022,281
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>122,192,215</b>	<b>131,659,235</b>	<b>116,146,808</b>	<b>156,861,874</b>	<b>151,467,696</b>
<b>Expenditures By Funds</b>					
General Revenue	26,238,748	25,221,245	27,624,903	28,322,576	26,748,244
Federal Funds	75,887,711	88,783,805	63,259,111	102,553,414	97,985,313
Restricted Receipts	19,955,653	17,590,794	25,082,953	25,869,684	26,670,739
Other Funds	110,103	63,391	179,841	116,200	63,400
<b>Total Expenditures</b>	<b>122,192,215</b>	<b>131,659,235</b>	<b>116,146,808</b>	<b>156,861,874</b>	<b>151,467,696</b>
<b>FTE Authorization</b>	<b>437.1</b>	<b>397.4</b>	<b>410.7</b>	<b>468.7</b>	<b>473.3</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	13.1%	16.0%	16.0%	16.0%	17.0%
Females as a Percentage of the Workforce	67.3%	69.0%	69.0%	69.0%	70.0%
Persons with Disabilities as a Percentage of the Workforce	1.3%	0.3%	0.3%	0.3%	0.3%

# The Program

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## Department of Health Central Management

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### Program Operations

Central Management includes three sub-programs: Executive Functions, Management Services, and Emergency Preparedness and Response. The Executive Function, headed by the Director of Health, provides overall direction for the department and coordinates operations across program lines to carry out statutory mandates. The director and senior management establish critical policy issues intended to guide the development of public health policies, programs, and services including assuring that the quality and access to health care services are maintained in the face of rapid change; developing and maintaining the knowledge base of public health and use information to improve health; enhancing community capacity and structure so that communities will improve their health; eliminating disparities in health among populations; and developing support for public health.

Management Services administers Budget and Finance, Personnel, and Information Systems. Budget and Finance prepares and executes the departmental budget and performs allocation control and cash management of all accounts. Budget and Finance is also responsible for purchasing and support services, which manages all purchasing and facility maintenance functions in the department. The Personnel Office implements all personnel actions and labor relations functions. Information Systems plans, evaluates, implements and manages data processing and electronic communications systems.

Emergency Preparedness and Response provides a coordination of education, assessment, planning, response and support services involving public health providers, private medical providers, public safety agencies and government officials to create and promote a state of readiness and prompt response to protect the health of Rhode Island during catastrophic events, large-scale disasters and emergencies.

### Program Objectives

Prevent disease; protect and promote the health and safety of the people of Rhode Island; maintain an effective and efficient system for management of the department; maintain a knowledge base for public health policy, planning and program development; and inform and educate the public concerning the health of the state population.

### Statutory History

General authority is provided in Title 23 Chapter 1 of the Rhode Island General Laws. The Public Health Emergency Preparedness funding is authorized under the Public Health Security and Bioterrorism Preparedness and Response Act of 2002. Public Law 107-188, enacts Section 319C of the Public Health Service Act, 42 U.S.C. 247d-3a. The Hospital Preparedness Program is authorized under Public Health Services Act Sections 301, 307, 311 and 319, public Law 108-111, U.S.C. 247d-3.

# The Budget

## Department of Health Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Executive Functions	1,932,356	777,527	1,012,435	942,201	822,533
Management Services	3,798,812	2,071,249	3,227,254	3,550,210	4,137,423
Health Policy and Planning	(1,569)	(4,401)	-	-	-
Emergency Preparedness & Response	7,417,056	19,202,964	9,194,894	12,853,208	10,989,379
<b>Total Expenditures</b>	<b>\$13,146,655</b>	<b>\$22,047,339</b>	<b>\$13,434,583</b>	<b>\$17,345,619</b>	<b>\$15,949,335</b>
<b>Expenditures By Object</b>					
Personnel	7,360,470	10,435,170	8,368,568	11,429,227	10,986,022
Operating Supplies and Expenses	2,622,108	7,225,267	3,661,297	3,411,594	2,943,595
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,780,410	1,974,575	715,718	2,218,718	1,846,718
<b>Subtotal: Operating Expenditures</b>	<b>\$12,762,988</b>	<b>\$19,635,012</b>	<b>\$12,745,583</b>	<b>\$17,059,539</b>	<b>\$15,776,335</b>
Capital Purchases and Equipment	383,667	2,412,327	689,000	286,080	173,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$13,146,655</b>	<b>\$22,047,339</b>	<b>\$13,434,583</b>	<b>\$17,345,619</b>	<b>\$15,949,335</b>
<b>Expenditures By Funds</b>					
General Revenue	2,300,214	1,366,477	1,604,353	1,530,297	1,411,003
Federal Funds	7,732,516	19,021,296	9,194,894	12,853,208	10,989,379
Restricted Receipts	3,113,925	1,659,566	2,635,336	2,962,114	3,548,953
<b>Total Expenditures</b>	<b>\$13,146,655</b>	<b>\$22,047,339</b>	<b>\$13,434,583</b>	<b>\$17,345,619</b>	<b>\$15,949,335</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Health State Medical Examiner

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### Program Operations

The Office of the State Medical Examiner investigates causes of death that involve injury, are sudden, unexpected, and unexplained; or causes of death that may, in any way, endanger the public health and safety. Investigations cover all known or suspected homicides, suicides, accidents, sudden infant deaths, drug related deaths and medically unattended deaths. Investigation techniques include scene investigation, study of medical and police records, autopsy, body inspection, bodily fluid investigation and other tests as deemed necessary. The Medical Examiner's Office keeps complete records on all cases and provides expert testimony on criminal cases for the state law enforcement agencies and the courts. The Office of the State Medical Examiner is required by statute to approve all cremations performed in Rhode Island.

Other functions of the Office include: participation in mass disaster preparedness protocol development and training; research in forensic pathology; education and training of resident and fellow physicians; training law enforcement personnel in techniques of homicide investigations and disseminating public information about causes of death in the State.

### Program Objectives

- Investigate and determine the manner and circumstance of death and to properly and accurately certify the cause of death in cases under Medical Examiner jurisdiction as defined by statute.
- Promote safety and reduce untimely deaths through research and education.
- Maintain a knowledge base for public health and use information to improve health status.
- Control infectious and communicable disease.
- Assure that the quality of health care services is maintained in the face of rapid change.

### Statutory History

The Office of the State Medical Examiners is authorized under Title 23 Chapter 4 of the Rhode Island General Laws.



# The Budget

## Department of Health State Medical Examiner

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,658,956	2,168,163	1,995,006	2,011,021	1,951,986
Operating Supplies and Expenses	222,627	209,654	258,876	226,037	285,695
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	78,000	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,881,583</b>	<b>\$2,377,817</b>	<b>\$2,253,882</b>	<b>\$2,315,058</b>	<b>\$2,237,681</b>
Capital Purchases and Equipment	31,207	99,397	15,000	18,939	12,439
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,912,790</b>	<b>\$2,477,214</b>	<b>\$2,268,882</b>	<b>\$2,333,997</b>	<b>\$2,250,120</b>
<b>Expenditures By Funds</b>					
General Revenue	1,775,782	2,303,593	2,056,373	2,089,067	2,012,467
Federal Funds	137,008	173,621	212,509	244,930	237,653
<b>Total Expenditures</b>	<b>\$1,912,790</b>	<b>\$2,477,214</b>	<b>\$2,268,882</b>	<b>\$2,333,997</b>	<b>\$2,250,120</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Health Environmental and Health Services Regulation

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### Program Operations

The Division of Environmental and Health Services Regulation licenses, certifies, and enforces regulations relating to health care professionals and facilities, managed care organizations and health systems development; and protects and promotes the public's health in the areas of drinking water quality, food protection and radiological health. Also, complaints from any source are investigated and, if substantiated, appropriate compliance action is initiated. The division is organized by responsibilities for licensure, oversight, and regulatory functions by category of licensure. It consists of the following sub-programs: Associate Director, Drinking Water Quality, Food Protection, Health Professionals Regulation, Facilities Regulations, Radiological Health, and Managed Care.

- Office of Associate Director: provides leadership and direction to all other sub-programs
- Drinking Water Quality: assures compliance with Safe Drinking Water Act of public drinking water supplies and oversees public water system infrastructure
- Food Protection: assures the safety and quality of the food supply from harvest to consumer as well as the water quality of the state's public bathing beaches.
- Health Professional Regulations: protects the public from unscrupulous health professionals by ensuring compliance with standards and ethics
- Facilities Regulations: visits on a periodic basis healthcare facilities to assure compliance with both state and federal public laws, rules, and regulations.
- Radiological Health: inspects, on a periodic basis, x-ray equipment and the facilities housing them to prevent, if not eliminate, the hazards of radioactive exposure.
- Managed Care: enforces terms and conditions of state's laws, rules and regulations regarding Health Maintenance Organizations (HMOs), utilization review agencies, and health plans, including the provisions of the programs of Certificate of Need", Change in Effective Control and Initial Licensure

### Program Objectives

- Direct and coordinate the Department's health services regulatory activities; Assure minimum standards and competency of health facilities, managed care organizations, and professional licensees; promote high quality health care services through licensed health care facilities, licensed health care professionals, certified managed care organizations, and health plans.
- Assure the appropriate use of drugs and other controlled substances through enforcement, compliance, and regulatory activities; assure that the quality of health care services is maintained in the face of rapid change
- Inform and educate the public of licensee information, nursing home quality information and restaurant inspections through the Department's web site
- Protect and promote health and prevent disease by assuring the safety and quality of the food supply from harvest to consumer.
- Protect public health by assuring that public drinking water supplies comply with the standards of the Safe Drinking Water Act.
- Assure water quality at Rhode Island's public bathing beaches.

### Statutory History

Authorization for programs within Environmental and Health Services Regulation is contained in Titles 2, 5, 21, 23, 28, 31, 42 and 46 of the Rhode Island General Laws.

# The Budget

## Department of Health Environmental and Health Services Regulation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Associate Director	1,253,991	1,411,512	1,551,033	2,121,379	1,511,153
Drinking Water Quality	2,712,382	2,879,451	3,894,106	3,961,692	4,023,105
Food Protection	2,562,084	2,440,078	2,577,545	3,051,960	3,297,957
Health Professionals Regulation	2,518,975	2,394,207	3,099,783	3,011,351	2,046,006
Facilities Regulations	4,654,135	4,205,770	5,047,526	5,528,541	5,761,890
Radiological Health	32,547	30,776	55,532	77,778	86,147
Managed Care	148,781	52,182	282,391	380,341	674,253
<b>Total Expenditures</b>	<b>13,882,895</b>	<b>\$13,413,976</b>	<b>\$16,507,916</b>	<b>\$18,133,042</b>	<b>\$17,400,511</b>
<b>Expenditures By Object</b>					
Personnel	11,940,107	11,794,892	13,609,300	15,068,457	14,707,613
Operating Supplies and Expenses	1,554,659	1,447,848	1,918,624	2,215,080	1,961,501
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	237,658	100,120	606,410	590,845	623,205
<b>Subtotal: Operating Expenditures</b>	<b>\$13,732,424</b>	<b>\$13,342,860</b>	<b>\$16,134,334</b>	<b>\$17,874,382</b>	<b>\$17,292,319</b>
Capital Purchases and Equipment	150,471	71,116	373,582	258,660	108,192
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$13,882,895</b>	<b>\$13,413,976</b>	<b>\$16,507,916</b>	<b>\$18,133,042</b>	<b>\$17,400,511</b>
<b>Expenditures By Funds</b>					
General Revenue	7,684,310	7,431,244	8,411,277	8,823,594	7,883,544
Federal Funds	3,756,987	3,566,000	4,426,864	5,164,900	5,266,567
Restricted Receipts	2,441,598	2,416,732	3,669,775	4,144,548	4,250,400
<b>Total Expenditures</b>	<b>\$13,882,895</b>	<b>\$13,413,976</b>	<b>\$16,507,916</b>	<b>\$18,133,042</b>	<b>\$17,400,511</b>
<b>Program Measures</b>					
Percent of Population Served by Public Water Systems in Full Compliance	86.6%	79.0%	85.0%	85.0%	85.0%
Number of Food Borne Illness per 100,000 Population	25.7	31.4	26.0	26.0	25.0
Percent of Licenses Reviewed Online	61.0%	68.0%	95.0%	95.0%	96.0%
Percent of Nursing Home Intakes Investigated Within Prioritized Timeframes	85.0%	83.9%	90.0%	90.0%	100.0%

# The Program

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## Department of Health Health Laboratories

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### Program Operations

The Health Laboratories assist in monitoring the public's health and safety through modern scientific laboratory services. These services include surveillance testing for early detection of diseases such as tuberculosis, rabies, West Nile Virus, HIV and other sexually transmitted diseases, pertussis, and other vaccine preventable diseases as well as pathogens and chemical substances which may represent a terrorism threat; surveillance and testing for lead poisoning; analysis of food products, drinking water, surface water, and air for the presence of potentially toxic environmental contaminants; analysis of evidence obtained during the investigation of crimes such as homicide, sexual assault, drug trafficking, and drunk driving. Health Laboratories staff provide expert testimony in court and respond to public health emergencies such as man-made and natural disasters and epidemics in nature.

The State Health Laboratories are members of national networks of laboratories, designed to develop laboratory preparedness for acts of terrorism. Currently, laboratories participate in the Laboratory Response Network (LRN) and Food Emergency Response Network (FERN) administered by and partially funded by the Centers for Disease Control (CDC) and Food and Drug Administration (FDA).

In addition to assisting Health Department programs, the division provides support to the Office of the Attorney General, the RI Training School, the Department of Environmental Management, the Department of Corrections; state and municipal law enforcement agencies, hospitals, private laboratories, community health centers and other health care professionals. Many of these laboratory services generate revenues that are deposited into the General Fund.

### Program Objectives

- Protect the public health and safety through the application of modern scientific test methods
- Assure that the quality of all laboratory services is maintained even with diminishing resources
- Develop support for public health laboratory programs through clear and accurate communications
- Maintain an effective laboratory emergency preparedness and response programs
- Continue to measure and improve customer satisfaction with laboratory services

### Statutory History

Authorization for the Laboratories Program is contained in Title 23 Chapters 1, 11 and 13; Title 31 Chapter 27; and Title 41 chapter 3.1 of the Rhode Island General Laws.

# The Budget

## Department of Health Health Laboratories

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Associate Director	1,371,805	1,499,340	1,538,924	1,980,403	1,186,892
Forensic Sciences	2,329,362	2,573,092	2,937,683	2,724,340	2,479,549
Environmental Sciences	1,435,398	1,463,357	1,889,661	1,622,851	1,844,762
Biological Sciences	1,702,991	1,417,365	1,870,768	2,068,250	2,178,891
<b>Total Expenditures</b>	<b>\$6,839,556</b>	<b>\$7,979,912</b>	<b>8,237,036</b>	<b>\$8,395,844</b>	<b>\$7,690,094</b>
<b>Expenditures By Object</b>					
Personnel	5,347,692	5,749,300	6,476,008	6,782,651	6,102,740
Operating Supplies and Expenses	1,330,656	1,171,923	1,740,428	1,700,188	1,798,650
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	100	-	100
<b>Subtotal: Operating Expenditures</b>	<b>\$6,678,348</b>	<b>\$6,921,223</b>	<b>\$8,216,536</b>	<b>\$8,482,839</b>	<b>\$7,901,490</b>
Capital Purchases and Equipment	161,208	31,931	20,500	24,035	46,550
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,839,556</b>	<b>\$6,953,154</b>	<b>\$8,237,036</b>	<b>\$8,506,874</b>	<b>\$7,948,040</b>
<b>Expenditures By Funds</b>					
General Revenue	5,985,099	6,053,680	7,050,149	6,914,222	6,118,944
Federal Funds	854,457	899,474	1,186,887	1,592,652	1,829,096
<b>Total Expenditures</b>	<b>\$6,839,556</b>	<b>\$6,953,154</b>	<b>\$8,237,036</b>	<b>\$8,506,874</b>	<b>\$7,948,040</b>
<b>Program Measures</b>					
Percentage of Wastewater Proficiency Test Results Found Acceptable	96.8%	95.3%	95.0%	95.0%	95.0%
Percentage of Human Specimen Test Results Found Acceptable	93.5%	95.5%	95.0%	95.0%	95.0%

# The Program

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## Department of Health Public Health Information

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### Program Operations

The Public Health Information (PHI) Division responds to the public's need and desire for information to protect and promote their health and to effectively utilize health care services. It consists of three units: Center for Health Data and Analysis, Vital Records, and Health Information Technology.

### Program Objectives

- The mission of the Center for Health Data and Analysis is to coordinate and oversee all efforts within the Department of Health and between the department and its external partners related to the assurance of health data quality and the provision of health-related data and analysis to inform health policy, monitor health status and health care quality, and support public health initiatives.
- Vital Records is responsible for maintaining the State's vital records system; collecting, analyzing and reporting of data pertaining to births, deaths, marriages, divorces, and other health related statistics; and the issuing of certified copies of vital records.
- Health Information Technology is responsible for developing a state-wide health information exchange (HIE) system. HIE will promote the adoption of electronic medical records, e-prescribing, and developing strategies to use the data to improve the quality and safety of care and for public health purposes.

### Statutory History

Authorization for programs within Public Health Information is contained in Title 23, chapters 1, 3, 4, 17 and 77 and more specifically at RIGL 23-1-1, 23-1-5.5, 23-1-9, 23-1-36, 23-3-27, 23-4-14, 23-17.12-12, 23-17.17-5, and 23-77-5.

# The Budget

## Department of Health Public Health Information

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Center for Health Data and Analysis	707,486	681,749	928,773	1,253,331	1,366,973
Vital Records	1,227,692	1,280,129	1,619,642	1,530,842	1,292,432
Health Information Technology	951,122	571,855	932,000	1,356,726	852,326
<b>Total Expenditures</b>	<b>\$2,886,300</b>	<b>\$2,533,733</b>	<b>\$3,480,415</b>	<b>\$4,140,899</b>	<b>\$3,511,731</b>
<b>Expenditures By Object</b>					
Personnel	2,691,641	1,580,948	3,170,120	2,745,849	2,544,466
Operating Supplies and Expenses	191,515	194,058	299,475	483,500	448,815
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,883,156</b>	<b>\$1,775,006</b>	<b>\$3,469,595</b>	<b>\$3,229,349</b>	<b>\$2,993,281</b>
Capital Purchases and Equipment	3,144	758,727	10,820	911,550	518,450
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,886,300</b>	<b>\$2,533,733</b>	<b>\$3,480,415</b>	<b>\$4,140,899</b>	<b>\$3,511,731</b>
<b>Expenditures By Funds</b>					
General Revenue	1,567,593	1,537,793	1,909,149	1,755,771	1,599,404
Federal Funds	1,318,900	995,940	1,571,266	2,385,128	1,912,327
Restricted Receipts	-	-	-	-	-
Other Funds	(193)	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,886,300</b>	<b>\$2,533,733</b>	<b>\$3,480,415</b>	<b>\$4,140,899</b>	<b>\$3,511,731</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Health Community and Family Health and Equity

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### Program Operations

The Division of Community, Family Health and Equity (DCFHE) provides leadership, planning, and infrastructure to the Department of Health's efforts to eliminate health disparities; assure healthy child development, and reduce, prevent and control chronic diseases and disabilities and risk factors, as well as HIV/AIDS and Viral Hepatitis. The division also plans, develops, and evaluates programs and family-centered systems of care, which are comprehensive, community-based, culturally competent, coordinated and effective. The division achieves its goals and objectives through seven sub-programs: 1) Office of Associate Director, 2) Health Disparities and Access to Care; 3) Healthy Homes and Environments; 4) Chronic Care and Disease Management; 5) Health Promotion and Wellness; 6) Perinatal and Early Childhood; and 7) Preventive Services and Community Practices.

- Office of Associate Director: provides leadership and direction to all sub-programs
- Health Disparities and Access to Care: plans, develops and implements programs to eliminate health disparities for certain sub-cultural groups, populations with special needs and in rural areas
- Healthy Homes and Environments: protects the health and safety of children and families by decreasing, if not eliminating, environmental hazards such as lead
- Chronic Care and Disease Management: utilize a systems approach to chronic care management to improve health outcomes
- Health Promotion and Wellness: reduces and prevents diseases and disabilities amongst school-aged children through education, training, outreach and intervention
- Perinatal and Early Childhood Health: provides access to a system of quality maternal and child health and developmental services
- Preventive Services and Community Practices: reduces the incidence of health problems or disease prevalence in the community, or the personal risk factors for such diseases or conditions

### Program Objectives

- Ensuring that all populations have equal access to high quality health services
- Establishing and strengthening partnerships to enhance and improve public health at the community, provider and consumer levels
- Developing and promoting healthy homes and environments for families through training, education, and outreach
- Engaging and empowering parents through their involvement in public health policy and program development
- Developing new models of health care capacity that connects community primary health and mental health providers to nutrition, child care and education
- Improve women's health and pregnancy outcomes through training, education, outreach and partnerships with communities and reproductive health professionals and facilities

### Statutory History

Authorization for Community and Family Health and Equity is contained in Title 1, 35, 56 and 76 of the Rhode Island General Laws.



# The Budget

## Department of Health Community and Family Health and Equity

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Associate Director	3,616,838	3,666,625	4,984,592	5,211,780	5,133,428
Health Disparities and Access	1,184,318	1,227,311	1,644,018	1,283,913	1,336,027
Healthy Homes and Environment	2,621,318	2,841,411	3,645,098	3,260,327	3,406,555
Chronic Care and Disease Management	13,869,066	14,879,103	15,393,330	17,101,998	17,782,779
Health Promotion and Wellness	3,807,844	4,663,730	9,779,716	10,586,539	8,505,483
Prenatal and Early Childhood	28,472,336	28,655,494	12,485,331	33,719,037	33,150,546
Preventative Svcs and Community Practices	26,383,033	24,462,908	19,865,051	30,663,508	30,169,575
<b>Total Expenditures</b>	<b>\$79,954,753</b>	<b>\$80,396,582</b>	<b>\$67,797,136</b>	<b>\$101,827,102</b>	<b>\$99,484,393</b>
<b>Expenditures By Object</b>					
Personnel	15,779,245	16,175,996	19,465,525	22,492,825	21,953,519
Operating Supplies and Expenses	27,206,518	25,847,132	25,146,447	33,598,951	32,723,957
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	36,870,667	38,244,306	23,092,811	45,622,185	44,701,517
<b>Subtotal: Operating Expenditures</b>	<b>\$79,856,430</b>	<b>\$80,267,434</b>	<b>\$67,704,783</b>	<b>\$101,713,961</b>	<b>\$99,378,993</b>
Capital Purchases and Equipment	98,323	129,148	92,353	113,141	105,400
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$79,954,753</b>	<b>\$80,396,582</b>	<b>\$67,797,136</b>	<b>\$101,827,102</b>	<b>\$99,484,393</b>
<b>Expenditures By Funds</b>					
General Revenue	5,216,080	4,350,085	4,324,134	5,257,864	5,591,178
Federal Funds	60,214,042	62,468,610	44,515,319	77,690,016	74,958,429
Restricted Receipts	14,400,130	13,514,496	18,777,842	18,763,022	18,871,386
Other Funds	124,501	63,391	179,841	116,200	63,400
<b>Total Expenditures</b>	<b>\$79,954,753</b>	<b>\$80,396,582</b>	<b>\$67,797,136</b>	<b>\$101,827,102</b>	<b>\$99,484,393</b>
<b>Program Measures</b>					
No. of Births per 1,000 Teens aged 15-17 in RI Core Cities	38.8	41.1	40.0	40.0	39.5
Community & Family Health & Equity (DOH)/Planning Number of Children with Blood Levels greater than 10ug/dl for the 1st Time in Their Lives	330	324	360	360	330
Percent of Low Income Women Infants ever Breastfed	69.6%	72.0%	73.0%	73.0%	75.0%
Percent of Preschool Children w/Complete Immunizations	77.5%	55.5%	80.0%	80.0%	82.0%
Number of Times Pediatric Providers Access KIDSNET	343,931	401,820	650,000	650,000	800,000
Percent of Adults Over 18 Who Smoke	15.1%	15.1%	14.0%	14.0%	13.0%
Percent of Adolescent Student Grades 9-12 Who Smoke	13.3%	13.3%	12.3%	12.3%	12.3%
Percent of Program Eligible Women Age 40-64 Receiving Annual Mammograms	68.3%	31.0%	27.0%	27.0%	27.0%
Percent of program Eligible Women Age 40-64 Receiving Annual Pap Smears	68.3%	31.0%	27.0%	27.0%	27.0%
Percentage of Diabetic Patients of RICCC who have Received one Hemoglobin A1c in the Past 12 Months	72.5%	72.5%	74.0%	74.0%	74.0%
Avg Hemoglobin A1c for Diabetic Patients of RICCC Participants	7.9	7.8	7.7	7.7	7.7

# The Program

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## Department of Health Infectious Disease and Epidemiology

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### Program Operations

This program is responsible for the major areas of reportable infectious communicable diseases. Program efforts are directed at surveillance and prevention of key diseases, control of disease outbreaks and public and health professional education. This program targets prevention strategies to identified high-risk groups. Diseases of concern include meningitis, Lyme disease, SARS, food borne and waterborne diseases, Hepatitis, Sexually Transmitted Diseases (STDs), vector-borne diseases such as rabies, Hantavirus, Eastern Equine Encephalitis and West Nile Virus. This program also operates a Tuberculosis (TB) Control Program, which provides clinical services (including screening, diagnosis and treatment) for un- and under-insured patients through contracts with several providers; monitors the TB epidemic through surveillance activities; performs, medical, nursing, and social case management for active and suspect cases; provides outreach and follow-up to active cases and their contacts; provides direct administration of prescribed therapy throughout the entire course of treatment; and provides professional and public education regarding TB. This program also operates the State's primary STD Clinic, which diagnoses and treats STD's and provides contact tracing, outreach and follow-up services. In addition, this program is staffed and equipped to join incident command systems to combat major disease outbreaks.

### Program Objectives

- Detect, control, and prevent infectious diseases in Rhode Island
- Monitor and conduct surveillance for the incidence of various reportable diseases
- Collect, analyze and distribute information about infectious diseases in Rhode Island
- Investigate disease outbreaks and respond appropriately to outbreak such as to minimize the impact on the health and economy of Rhode Island
- Report on disease trends and provide education to the public and medical community regarding treatment and prevention strategies for infectious diseases
- Provide leadership for statewide response to acute infectious communicable disease emergencies of unknown origin

### Statutory History

Authorization for Infectious Disease and Epidemiology is contained in Title 3 Chapters 1, 5, 6, 8, 10, 11 and 69.

# The Budget

## Department of Health Infectious Disease and Epidemiology

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	2,325,262	2,232,806	2,457,948	2,543,704	2,894,008
Operating Supplies and Expenses	569,359	668,147	1,077,955	1,081,059	1,082,480
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	675,634	936,284	842,937	888,828	888,828
<b>Subtotal: Operating Expenditures</b>	<b>\$3,570,255</b>	<b>\$3,837,237</b>	<b>\$4,378,840</b>	<b>\$4,513,591</b>	<b>\$4,865,316</b>
Capital Purchases and Equipment	28,618	-	42,000	60,750	58,250
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,598,873</b>	<b>\$3,837,237</b>	<b>\$4,420,840</b>	<b>\$4,574,341</b>	<b>\$4,923,566</b>
<b>Expenditures By Funds</b>					
General Revenue	1,710,609	2,178,373	2,269,468	1,951,761	2,131,704
Federal Funds	1,888,264	1,658,864	2,151,372	2,622,580	2,791,862
Restricted Receipts	-	-	-	-	-
Other Funds	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,598,873</b>	<b>\$3,837,237</b>	<b>\$4,420,840</b>	<b>\$4,574,341</b>	<b>\$4,923,566</b>
<b>Program Measures</b>					
Percentage of Active Tuberculosis Cases Completing Therapy	93.5%	95.5%	95.0%	95.0%	95.0%
Number of Newly Diagnosed Cases of Gonorrhea per 100,000 Population	28	31	29	29	25

# The Agency

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## Department of Human Services

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### Agency Operations

The Department of Human Services (DHS) operates various programs in support of the agency goal of assisting those persons in Rhode Island in need. These programs and services extend well beyond the vital financial support services historically provided to poor and low income individuals and families, and include redesigned and innovative programs which provide quality and accessible health care, child care, supportive services and options to working parents, individuals and families. These programs are all designed: to help families become strong, productive, healthy and independent; to help adults achieve their maximum potential; to ensure that children are safe, healthy, ready to learn, and able to reach their maximum potential; to honor and care for our State's veterans; and, to assist elderly and persons with disabilities in order to enhance their quality of life and sustain their independence. The department operates on a population-based structure for its program policy and service delivery, reflecting the department's focus on clients' needs.

Major state and federal reforms in the mid-1990s provided unprecedented flexibility in how the State could utilize funds to accomplish its goals. Rhode Island's Family Independence Act (FIA) represented the State's first welfare reform program. FIA was crafted in response to federal welfare reform legislation which is called Temporary Assistance for Needy Families (TANF). TANF replaced the former Aid to Families with Dependent Children (AFDC) and represented a major departure from entitlement programs and lifetime benefits for families. In lieu of entitlements, TANF provided states with a block grant program with capped funding; the significance of this was that the State was able to pass its own welfare reform legislation and to design a broad variety of policies and services to assist those families transitioning from cash assistance to employment. The successor program to the Family Independence Program is known as the Rhode Island Works (RIW) program, enacted by the General Assembly in 2008.

The department continues to be one of the largest purchasers of health care benefits in the State, providing health coverage, including managed care, fee-for-service care, long-term care, and community-based care services to approximately 186,000 Rhode Islanders.

An additional priority of DHS is to provide assistance to persons with disabilities seeking to achieve economic independence and integration with society, through its Office of Rehabilitation Services.

### Agency Objectives

To provide a full continuum of high quality and accessible programs and services to those Rhode Island families, children, adults, individuals with disabilities, veterans, and the elderly in need of assistance.

### Statutory History

Title 40 Chapter 1 and Title 42 Chapter 12 of the Rhode Island General Laws established DHS.

# The Budget

## Department of Human Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Central Management	9,788,786	13,667,236	14,884,479	15,979,449	11,817,073
Child Support Enforcement	7,698,690	7,180,660	9,244,629	9,453,985	8,355,622
Individual and Family Support	70,236,841	71,573,406	143,162,863	94,411,803	87,766,179
Veterans' Affairs	25,298,998	25,173,917	27,611,621	30,752,602	-
Health Care Quality, Financing & Purch.	54,001,910	60,738,411	66,133,758	71,024,470	59,173,561
Medical Benefits	1,390,174,290	1,510,979,689	1,637,408,400	1,615,764,037	1,662,585,016
Supplemental Security Income	25,138,428	22,249,416	19,310,887	20,637,400	18,912,600
Rhode Island Works	103,391,116	90,618,731	87,516,116	89,805,652	89,375,452
State Funded Programs	149,219,179	228,589,296	280,032,797	274,805,667	302,007,582
<b>Total Expenditures</b>	<b>\$1,834,948,238</b>	<b>\$2,030,770,762</b>	<b>\$2,285,305,550</b>	<b>\$2,222,635,065</b>	<b>\$2,239,993,085</b>
<b>Expenditures By Object</b>					
Personnel	114,309,200	119,539,594	137,921,261	143,954,744	108,300,929
Operating Supplies and Expenses	14,660,010	17,421,708	19,786,267	17,585,873	14,335,068
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,703,015,325	1,887,841,712	2,120,136,335	2,050,419,308	2,111,023,076
<b>Subtotal: Operating Expenditures</b>	<b>\$1,831,984,535</b>	<b>\$2,024,803,014</b>	<b>\$2,277,843,863</b>	<b>\$2,211,959,925</b>	<b>\$2,233,659,073</b>
Capital Purchases and Equipment	2,792,353	1,208,822	2,056,950	4,832,718	468,918
Debt Service	-	-	-	-	-
Operating Transfers	171,350	4,758,926	5,404,737	5,842,422	5,865,094
<b>Total Expenditures</b>	<b>\$1,834,948,238</b>	<b>\$2,030,770,762</b>	<b>\$2,285,305,550</b>	<b>\$2,222,635,065</b>	<b>\$2,239,993,085</b>
<b>Expenditures By Funds</b>					
General Revenue	661,474,680	653,605,790	715,328,654	736,566,357	864,108,853
Federal Funds	1,167,517,155	1,364,253,707	1,556,245,695	1,470,449,888	1,359,554,881
Restricted Receipts	5,923,903	8,158,484	9,446,201	10,587,200	11,873,994
Other Funds	32,500	4,752,781	4,285,000	5,031,620	4,455,357
<b>Total Expenditures</b>	<b>\$1,834,948,238</b>	<b>\$2,030,770,762</b>	<b>\$2,285,305,550</b>	<b>\$2,222,635,065</b>	<b>\$2,239,993,085</b>
<b>FTE Authorization</b>	<b>884.6</b>	<b>919.7</b>	<b>963.6</b>	<b>988.2</b>	<b>674.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of Workforce	14.0%	14.0%	14.0%	16.2%	17.9%
Females as a Percentage of Workforce	78.0%	78.0%	78.0%	80.0%	79.3%
Persons with Disabilities as a Percentage of the Workforce	3.0%	3.0%	3.0%	2.3%	1.8%

# The Program

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## Department of Human Services Central Management

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### Program Operations

Central Management supervises, coordinates, and monitors all departmental functions: to assure efficient and effective use of state and federal resources for the purpose of providing services to poor, disabled, or aged individuals and families; to assist them in reaching their highest potential for self-sufficiency; and, to support the achievement of the department's mission. Central Management, organized through the office of the director, provides leadership, management, strategic planning, direction, and control of departmental activities. A principal function is intergovernmental liaison with the Governor's staff, other department directors and agency heads, federal government representatives, state and federal legislators, local welfare directors, and national and local human service professionals and organizations. The establishment and maintenance of community relations with consumers and service providers, and the provision of information to the general public, are core responsibilities of this program area. Additionally, all field operation activities are direct functions of Central Management, although the associated costs are budgeted in two programs, Individual and Family Support, and Health Care Quality, Financing and Purchasing. Central Management is responsible for the Electronic Benefits Transfer System, which electronically distributes cash assistance and food stamp benefits to clients.

Within Central Management, the Office of Policy Analysis, and Research and Development provides planning support for the department in the development and implementation of revised programs and initiatives. The Office of Legal Services represents the department in litigation, and provides counsel to the director and staff on legal issues relating to departmental operations. The Operations Management Unit develops and maintains departmental information systems, performs quality control for various programs, and operates the central mail room. Other centralized functions include support for financial management, information systems, collections and fraud investigations, and contract management.

### Program Objective

To provide leadership, management, strategic planning, and central support for the department.

### Statutory History

Title 40 Chapter 1 of the Rhode Island General Laws establishes that all functions, services, and duties of the Department of Human Services will be organized by the Director of the department.

# The Budget

## Department of Human Services Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
General Public Assistance	9,788,786	12,467,146	13,684,479	14,779,449	10,617,073
	-	1,200,090	1,200,000	1,200,000	1,200,000
<b>Total Expenditures</b>	<b>\$9,788,786</b>	<b>\$13,667,236</b>	<b>\$14,884,479</b>	<b>\$15,979,449</b>	<b>\$11,817,073</b>
<b>Expenditures By Object</b>					
Personnel	1,826,026	1,798,699	1,424,667	2,613,932	2,215,024
Operating Supplies and Expenses	56,637	74,110	139,908	63,402	84,183
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	7,901,384	11,787,275	13,315,104	13,297,315	9,513,066
<b>Subtotal: Operating Expenditures</b>	<b>\$9,784,047</b>	<b>\$13,660,084</b>	<b>\$14,879,679</b>	<b>\$15,974,649</b>	<b>\$11,812,273</b>
Capital Purchases and Equipment	4,739	7,152	4,800	4,800	4,800
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,788,786</b>	<b>\$13,667,236</b>	<b>\$14,884,479</b>	<b>\$15,979,449</b>	<b>\$11,817,073</b>
<b>Expenditures By Funds</b>					
General Revenue	4,716,238	4,758,548	5,144,596	6,171,484	5,952,912
Federal Funds	4,122,548	8,108,688	8,939,882	9,007,965	5,364,162
Restricted Receipts	950,000	800,000	800,001	800,000	499,999
<b>Total Expenditures</b>	<b>\$9,788,786</b>	<b>\$13,667,236</b>	<b>\$14,884,479</b>	<b>\$15,979,449</b>	<b>\$11,817,073</b>
<b>Program Measures</b>	NS	NS	NS	NS	NS

# The Program

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## **Department of Human Services Child Support Enforcement**

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### **Program Operations**

Child Support Enforcement was transferred from the Department of Administration to the Department of Human Services, effective July 1, 2005. This program is established to strengthen families through financial support and to reduce welfare dependence by ensuring that parents honor obligations to support their children. The concern for the well being of children who live with only one parent, and the desire to promote self-sufficiency for these single parent families, prompted both the state and federal governments to establish Child Support Enforcement Programs nationwide.

### **Program Objectives**

Child Support Enforcement was established to strengthen families through financial support and to reduce welfare dependency by ensuring that parents are responsible for supporting their children.

### **Statutory History**

R.I.G.L. 15-11.1 outlines the duties and responsibilities of the Child Support Enforcement Program. R.I.G.L. 42-12-28 effectuates the transfer of the program from the Department of Administration.



# The Budget

## Department of Human Services Child Support Enforcement

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	6,342,920	5,687,261	6,631,104	7,959,305	6,875,858
Operating Supplies and Expenses	1,349,953	1,367,987	2,440,987	1,312,789	1,282,175
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	671	671	162,538	162,538	182,771
<b>Subtotal: Operating Expenditures</b>	<b>\$7,693,544</b>	<b>\$7,055,919</b>	<b>\$9,234,629</b>	<b>\$9,434,632</b>	<b>\$8,340,804</b>
Capital Purchases and Equipment	5,146	124,741	10,000	19,353	14,818
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,698,690</b>	<b>\$7,180,660</b>	<b>\$9,244,629</b>	<b>\$9,453,985</b>	<b>\$8,355,622</b>
<b>Expenditures By Funds</b>					
General Revenue	2,273,789	2,128,111	2,358,873	2,270,398	2,214,781
Federal Funds	5,424,901	5,052,549	6,885,756	7,183,587	6,140,841
Restricted Receipts	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,698,690</b>	<b>\$7,180,660</b>	<b>\$9,244,629</b>	<b>\$9,453,985</b>	<b>\$8,355,622</b>
<b>Program Measures</b>					
Current Child Support Collected as a Percentage of Support Owed	60.1%	60.4%	59.7%	59.7%	59.7%

# The Program

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## Department of Human Services Individual and Family Support

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### Program Operations

The Individual and Family Support Program (IFS) has the primary responsibility within the department to implement state and federal welfare reform changes and the State's early care and education programs. The Individual and Family Support Program provides policy and program development and management, including monitoring and evaluation, systems development, and the processing and payment functions related to social services for populations served by the department. The Individual and Family Support Program is responsible for ensuring that the services affecting all populations are provided in accordance with state and federal mandates.

The Individual and Family Support Program has the responsibility for the operational planning, direction, coordination and implementation of programs such as the Rhode Island Works (RIW), Child Care Development Fund, and the Head Start Collaboration Grant. Funding for the Title XX Block Grant, the Community Services Block Grant, the Refugee Assistance Program, and special funding for victims of domestic violence, the homeless, and the elderly are also within the IFS program. Funding for the administration of the General Public Assistance Program, Food Stamp Program, and Supplemental Security Income is recorded in the IFS Program.

The Individual and Family Support Program also contains comprehensive case management programs for teen mothers and their children and provides child care services for both RIW clients and low income families. Serving Rhode Island's disabled population is the Office of Rehabilitation Services (ORS) and Services for the Blind and Visually Impaired (SBVI). ORS continues to implement new technologies in its service delivery systems, which works in partnership with consumers to achieve meaningful employment outcomes.

### Program Objective

To provide assistance and supports to clients so that they may transition to self-sufficiency.

### Statutory History

Title 40 Chapter 5.2 of the Rhode Island General Laws establishes the Rhode Island Works Program. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) replaces Title IV-A of the Federal Social Security Act. Title 42 Chapter 12 of the Rhode Island General Laws establishes the Vocational Rehabilitation Program; Title 40 establishes the Services to the Blind and Visually Impaired Program and the Adolescent Pregnancy and Parenting Program and the Child Care Services Program.

# The Budget

## Department of Human Services Individual and Family Support

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	40,838,216	39,636,554	49,376,419	47,207,191	48,288,159
Operating Supplies and Expenses	7,743,268	9,726,399	10,881,164	10,010,326	10,108,837
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	21,396,209	17,228,707	77,195,393	30,427,099	23,150,089
<b>Subtotal: Operating Expenditures</b>	<b>\$69,977,693</b>	<b>\$66,591,660</b>	<b>\$137,452,976</b>	<b>\$87,644,616</b>	<b>\$81,547,085</b>
Capital Purchases and Equipment	87,798	222,820	305,150	924,765	354,000
Debt Service	-	-	-	-	-
Operating Transfers	171,350	4,758,926	5,404,737	5,842,422	5,865,094
<b>Total Expenditures</b>	<b>\$70,236,841</b>	<b>\$71,573,406</b>	<b>\$143,162,863</b>	<b>\$94,411,803</b>	<b>\$87,766,179</b>
<b>Expenditures By Funds</b>					
General Revenue	20,058,411	19,090,568	22,152,712	20,562,012	19,499,949
Federal Funds	49,965,928	47,551,419	116,545,151	68,638,171	63,630,873
Restricted Receipts	180,002	178,638	180,000	180,000	180,000
Other Funds	32,500	4,752,781	4,285,000	5,031,620	4,455,357
<b>Total Expenditures</b>	<b>\$70,236,841</b>	<b>\$71,573,406</b>	<b>\$143,162,863</b>	<b>\$94,411,803</b>	<b>\$87,766,179</b>
<b>Program Measures</b>					
Persons with Individualized Plan for Employment Achieving an Employment Outcome	62.6%	40.7%	55.8%	55.8%	57.5%
Accuracy of Disability Determination Adjudications - Rehabilitation Services	94.8%	95.8%	95.0%	95.0%	95.0%

# The Program

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## Department of Human Services Veterans' Affairs

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### Program Operations

The Veterans' Affairs Program serves eligible Rhode Island Veterans, their surviving spouses, and dependents. Benefits include a comprehensive program of social, medical and rehabilitative services. The Veterans' Affairs Program is comprised of the Rhode Island Veterans' Home, the Rhode Island Veterans' Affairs Office, and the Rhode Island Veterans' Memorial Cemetery. Beginning in FY 2012, the Division of Veteran's Affairs will be replaced by a new department of state government under the auspices of the Executive Office of Health and Human Services: the Department of Veterans' Affairs (DVA).

Veterans' Affairs is confronting a growing challenge as a result of a rapidly aging veteran's population. Rhode Island has a population of approximately 93,000 veterans. Although the total number of war service veterans is declining, the growth in the proportion of aging (World War II and Korean) veterans is escalating.

The purpose of the Veterans' Home is to provide quality nursing and domiciliary care to the veteran. Social, medical, nursing, and rehabilitative services for eligible Rhode Island veterans, their survivors, and/or dependents, are available to improve their physical, emotional, and economic well-being. The Rhode Island Veterans' Home has an operational bed capacity of 339 beds (260 nursing and 79 domiciliary/sheltered care beds). Within the 339 bed complement is a 36-bed unit for veterans who suffer from dementia type illnesses. The Veterans' Home admits war service veterans who have been honorably discharged and have resided in the State at least two years prior to admission and/or were inducted into the military service from the State. Residential care is available to eligible veterans who require a sheltered care environment. The Veterans' Transitional Supportive Program (VTSP) is a program operated in concert with the federal Veterans Administration. VTSP offers a multitude of psychological/social counseling, substance abuse treatment, and contract work therapy opportunities provided on a short-term basis to assist veterans with reintegration to their communities.

### Program Objective

To continue to improve the physical, emotional, and economic well-being of Rhode Island veterans.

### Statutory History

Chapters 17, 24, and 25 of Title 30 of the Rhode Island General Laws established the Division of Veterans Affairs. Chapter 233 of the Public Laws of 2009 set forth Chapter 152 of Title 42 of the General Laws, repealing the statutory authority for the Division and establishing the Department of Veterans' Affairs, effective FY 2012.

# The Budget

## Department of Human Services Veterans' Affairs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	20,558,530	21,857,117	22,624,237	23,598,637	-
Operating Supplies and Expenses	2,662,288	2,759,157	3,258,284	3,341,365	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	7,247	4,423	5,100	24,100	-
<b>Subtotal: Operating Expenditures</b>	<b>\$23,228,065</b>	<b>\$24,620,697</b>	<b>\$25,887,621</b>	<b>\$26,964,102</b>	-
Capital Purchases and Equipment	2,070,933	553,220	1,724,000	3,788,500	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$25,298,998</b>	<b>\$25,173,917</b>	<b>\$27,611,621</b>	<b>\$30,752,602</b>	-
<b>Expenditures By Funds</b>					
General Revenue	17,359,183	17,714,916	19,437,637	20,037,326	-
Federal Funds	7,565,213	6,545,890	6,682,784	8,083,076	-
Restricted Receipts	374,602	913,111	1,491,200	2,632,200	-
<b>Total Expenditures</b>	<b>\$25,298,998</b>	<b>\$25,173,917</b>	<b>\$27,611,621</b>	<b>\$30,752,602</b>	-
<b>Program Measures</b>					
Persons Completing the Veteran Transitional Supportive Program & Securing Housing Within Six Months	87.0%	90.0%	92.0%	92.0%	N/A

# The Program

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## **Department of Human Services Health Care Quality, Financing and Purchasing**

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### **Program Operations**

The objectives of the Health Care Quality, Financing and Purchasing (HCQFP) Program are: to assure the availability of high quality health care services to consumers; to assure the efficiency and economy of services delivered to program recipients by monitoring providers of services; to coordinate service-delivery efforts with other state departments and agencies; to purchase medically necessary services covered by the Medicaid State Plan; and, to administer programs in a manner consistent with federal and state laws and regulations. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly.

Under the leadership and guidance of the Executive Office of Health and Human Services, DHS supervises disbursements to a number of state agencies, as well as local school districts, for administrative and program activities in support of the Medicaid program. The HCQFP Program operates a claims processing system, secures financial recoveries from third parties for claims liability, and conducts utilization review of inpatient and outpatient hospital services. To encourage the utilization of community-based services rather than institutional programs, HCQFP administers home and community-based waivers – both directly and through interagency agreements with the Departments of Elderly Affairs and Behavioral Health Care, Developmental Disabilities and Hospitals. HCQFP also administers a demonstration waiver to provide health services to families through a managed care delivery system, Rlte Care, and is responsible for administration of a Section 1115 SCHIP waiver amendment to the current managed care program in order to implement the provisions of Health Reform RI 2000. This assures that the program serves either persons without access to affordable employer-sponsored insurance, or maintains persons in employer-based insurance, if more cost-effective. HCQFP administers the Early Intervention Program for at risk children up to age three.

### **Program Objective**

To purchase health care services for consumers at a reasonable cost, while assuring quality and access, and to administer the Medical Assistance Benefits Program activities in a manner consistent with federal and state laws and regulations.

### **Statutory History**

Title XIX of the Federal Social Security Act was enacted by Congress under the provisions of Public Law 89-97. Title 40 Chapter 8 of the Rhode Island General Laws establishes the Rhode Island Medical Assistance Program. Title 40 Chapter 16 of the Rhode Island General Laws authorizes the Health Centers and Visiting Nurse Grant Program. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorizes the Department of Human Services to establish and administer both the Rlte Care Program and health care for certain child care providers, and Section 23-13-22 of the Rhode General Laws authorizes the department to administer the Early Intervention Program.

# The Budget

## Department of Human Services Health Care Quality, Financing and Purchasing

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
HIPPA	52,016,403	58,205,279	62,751,516	65,516,171	53,292,460
<b>Total Expenditures</b>	<b>\$54,001,910</b>	<b>\$60,738,411</b>	<b>\$66,133,758</b>	<b>\$71,024,470</b>	<b>\$59,173,561</b>
<b>Expenditures By Object</b>					
Personnel	44,743,508	50,559,963	57,864,834	62,575,679	50,921,888
Operating Supplies and Expenses	2,728,163	3,179,288	3,065,924	2,857,991	2,859,873
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	5,906,502	6,698,271	5,190,000	5,495,500	5,296,500
<b>Subtotal: Operating Expenditures</b>	<b>\$53,378,173</b>	<b>\$60,437,522</b>	<b>\$66,120,758</b>	<b>\$70,929,170</b>	<b>\$59,078,261</b>
Capital Purchases and Equipment	623,737	300,889	13,000	95,300	95,300
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$54,001,910</b>	<b>\$60,738,411</b>	<b>\$66,133,758</b>	<b>\$71,024,470</b>	<b>\$59,173,561</b>
<b>Expenditures By Funds</b>					
General Revenue	18,626,883	19,569,583	21,047,376	21,348,747	18,528,179
Federal Funds	35,375,027	41,168,828	45,026,382	49,615,723	40,585,382
Restricted Receipts	-	-	60,000	60,000	60,000
<b>Total Expenditures</b>	<b>\$54,001,910</b>	<b>\$60,738,411</b>	<b>\$66,133,758</b>	<b>\$71,024,470</b>	<b>\$59,173,561</b>
<b>Program Measures</b>					
Length of Stay					
Average Length of Stay for:					
Pneumonia	6.5	8.0	8.1	8.1	8.3
Angina Pectoris	3.3	3.0	3.6	3.6	3.7
Alcohol Dependency	5.4	5.1	5.5	5.5	5.6
Chest Pain	4.4	3.4	3.9	3.9	4.0
Congestive Heart Failure	6.6	7.1	6.8	6.8	7.0
Depressive Disease	9.1	7.4	7.9	7.9	7.6
Chronic Airway Obstructive Disease	5.1	5.5	5.3	5.3	5.1
Abdominal Pain	5.0	5.5	5.3	5.3	5.4
Acute Pancreatitis	5.7	5.0	4.9	4.9	4.6
Recurrent Depression	8.4	8.5	8.9	8.9	8.9

# The Program

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## Department of Human Services Medical Benefits

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### Program Operations

The Medical Benefits Program assures quality and access to necessary medical services for approximately 183,000 consumers through the purchase of health care at a reasonable cost, primarily financed by Medicaid. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly. Medicaid is a federal and state matching entitlement program administered by states to provide medical benefits. The (unenanced) federal share of reimbursement, which is based on a state's per capita personal income, is 52.63 percent for federal fiscal year 2010 and 52.97 percent for federal fiscal year 2011. These percentages have been temporarily enhanced through the provisions of the American Recovery and Reinvestment Act (ARRA).

DHS, in accordance with the Global Consumer Choice Compact Waiver and a multitude of state and federal laws, specifies the scope of covered services, establishes fees for services and reimbursement rates for hospital and nursing facility services, adjudicates and pays claims for medical facility services, and adjudicates and pays claims for medical services submitted by providers. The Medical Benefits Program covers a broad scope of medical services provided to eligible individuals by service providers licensed by the Department of Health or other appropriate state or federal accrediting authority, and enrolled as service providers by the Medical Benefits Program.

The Medical Benefits Program provides health insurance to RIW families, children through age 18 with family incomes not in excess of 250 percent of the federal poverty limit and other low income families. Health care is provided to children with special needs under the Supplemental Security Income Program (SSI) or the Early Periodic Screening Diagnosis and Treatment (EPSDT) program. Acute and long-term care services are provided to adults with disabilities and the elderly. There are four home and community-based waiver programs administered directly by DHS or through the Departments of Elderly Affairs (DEA) and Behavioral Health Care, Developmental Disabilities and Hospitals. A Section 1115 SCHIP waiver provides that families without access to employer-based insurance will have health insurance coverage, or be able to maintain their employer-sponsored insurance benefits, if more cost-effective.

### Program Objective

To assure the availability of high quality health care services to program recipients.

### Statutory History

Title XIX of the Social Security Act was enacted by Congress under the provision of Public Law 89-97 on July 30, 1965. The Rhode Island Medical Assistance Program was implemented under Title 40 Chapter 8 of the Rhode Island General Laws. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorized the Department of Human Services to establish and administer the RIte Care Program.



# The Budget

## Department of Human Services Medical Benefits

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Hospitals	230,656,954	242,629,803	248,757,924	244,167,222	222,367,998
Nursing Facilities	324,518,348	394,960,268	391,680,000	407,900,000	409,072,238
Managed Care	499,745,115	546,513,231	621,974,770	602,000,000	654,297,002
Other Services	150,355,733	108,806,756	122,795,498	113,485,404	112,018,881
Special Education	24,157,548	23,246,818	25,290,061	25,290,061	20,837,655
Pharmacy	59,864,335	39,262,079	46,356,289	47,300,000	57,800,000
Rhody Health	100,876,257	155,560,734	180,553,858	175,621,350	186,191,242
<b>Total Expenditures</b>	<b>\$1,390,174,290</b>	<b>\$1,510,979,689</b>	<b>\$1,637,408,400</b>	<b>\$1,615,764,037</b>	<b>\$1,662,585,016</b>
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	74,393	57,915	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,390,099,897	1,510,921,774	1,637,408,400	1,615,764,037	1,662,585,016
<b>Subtotal: Operating Expenditures</b>	<b>\$1,390,174,290</b>	<b>\$1,510,979,689</b>	<b>\$1,637,408,400</b>	<b>\$1,615,764,037</b>	<b>\$1,662,585,016</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,390,174,290</b>	<b>\$1,510,979,689</b>	<b>\$1,637,408,400</b>	<b>\$1,615,764,037</b>	<b>\$1,662,585,016</b>
<b>Expenditures By Funds</b>					
General Revenue	560,253,354	558,717,247	614,441,892	631,846,601	785,623,357
Federal Funds	825,501,637	945,995,707	1,016,051,508	977,002,436	865,827,664
Restricted Receipts	4,419,299	6,266,735	6,915,000	6,915,000	11,133,995
<b>Total Expenditures</b>	<b>\$1,390,174,290</b>	<b>\$1,510,979,689</b>	<b>\$1,637,408,400</b>	<b>\$1,615,764,037</b>	<b>\$1,662,585,016</b>
<b>Program Measures</b>					
Neonatal Intensive Care Unit Admissions Per One Thousand Live Births	98.8	98.5	89.0	89.0	89.0
Number of Physician's Office Visits per Rite Care Enrollee	5.6	5.6	5.2	5.2	5.2
Number of Emergency Room Visits per 1,000 Rite Care Enrollees	692	680	630	630	630
Number of Hospital Days per 1,000 Rite Care Enrollees	611	568	570	570	570

# The Program

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## Department of Human Services Supplemental Security Income

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### Program Operations

The Supplemental Security Income (SSI) Program provides a floor of income for aged, blind and disabled persons who have little or no income or other resources. The basic federal SSI Cash Assistance Grant, annually adjusted for inflation, is funded in full by the federal government. Because the federal payment leaves many recipients below the federal poverty level, certain states have chosen to provide a supplement to the federal benefit; financed with state funds. Persons eligible for SSI are also eligible, under specified criteria, for in-state moving expenses, and for needs resulting from an emergency of a catastrophic nature.

Since the inception of SSI in 1974, the program caseload has grown each year. This reflects an increase in the aging population, new and emerging disabilities, less stringent disability requirements, and increases in allowable resource limits.

### Program Objective

To provide financial aid to individuals who are aged, blind, or disabled and who do not have sufficient resources to maintain a reasonable standard of health and well-being.

### Statutory History

Title XVI of the Federal Social Security Act in 1974 created a federally administered Supplemental Security Income Program. This program replaced the assistance program previously administered by the State, which provided aid to aged, blind, and disabled Rhode Islanders. Title 40 Chapter 6 of the Rhode Island General Laws established the Supplemental Security Income Program.

# The Budget

## Department of Human Services Supplemental Security Income

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	25,138,428	22,249,416	19,310,887	20,637,400	18,912,600
<b>Subtotal: Operating Expenditures</b>	<b>\$25,138,428</b>	<b>\$22,249,416</b>	<b>\$19,310,887</b>	<b>\$20,637,400</b>	<b>\$18,912,600</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$25,138,428</b>	<b>\$22,249,416</b>	<b>\$19,310,887</b>	<b>\$20,637,400</b>	<b>\$18,912,600</b>
<b>Expenditures By Funds</b>					
General Revenue	25,138,428	22,249,416	19,310,887	20,637,400	18,912,600
<b>Total Expenditures</b>	<b>\$25,138,428</b>	<b>\$22,249,416</b>	<b>\$19,310,887</b>	<b>\$20,637,400</b>	<b>\$18,912,600</b>
<b>Program Measures</b>	NS	NS	NS	NS	NS

# The Program

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## Department of Human Services Rhode Island Works

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### Program Operations

The Rhode Island Works Program (RIW), formerly the Family Independence Program (FIP), provides support, including child care and cash payments to needy children and their families, and also represents a strong emphasis on requiring families who receive cash assistance to prepare for, and achieve, employment.

When the federal TANF Program was reauthorized under Deficit Reduction Act of 2005, more stringent policies and accountability mandates were instituted. Rhode Island therefore needed to revamp its FIA welfare program and in June 2008, the Rhode Island Works Program was enacted. After thirteen years under FIP, which had a 60 month time limit for parents and maintained ongoing cash assistance for children even after parents time off the program, Rhode Island again tackled the chance to reform its program. Using many of the valuable lessons learned on services to low income children and parents a new cash assistance program was crafted. R.I. Works created shorter time limits on cash assistance (24 in 60 months, capped at 48 months for both parents and children).

The emphasis in RIW is to achieve gainful employment and self-sufficiency, with the ultimate outcome of strong, healthy families. RIW promotes work as the source of family income and has a lifetime limit of 60 months for the receipt of cash assistance. Child care, health care, and other supportive services are an entitlement to those families pursuing economic independence. While on cash assistance, RIW beneficiaries may participate in intensive readiness services if they lack literacy skills, or if they have little or no paid work experience. To further assist RIW parents, opportunities are available to participate in short term vocational training for up to 12 months as long as the program is designed to lead to full time employment. Enhanced financial incentives in the form of income allowances encourage families to increase earned income without immediately closing to cash assistance. Under RIW, child care is considered an essential component of the long-range plan to move clients from dependence to independence.

### Program Objectives

To provide assistance to clients to aid the transition to self-sufficiency.

### Statutory History

The Aid to Families with Dependent Children (AFDC) Program, which was initiated in the 1930s as Title IV of the Social Security Act, is replaced by the Temporary Assistance for Needy Families (TANF) in Title I of PRWORA. Child care funding is provided under Title VI of PRWORA. Title 40, Chapter 5.2 of the General Laws sets forth the Rhode Island Works Program.

# The Budget

## Department of Human Services Rhode Island Works

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
TANF/RI Works Program	52,517,211	43,781,950	41,771,430	39,755,652	39,482,752
Child Care	50,873,905	46,836,781	45,744,686	50,050,000	49,892,700
<b>Total Expenditures</b>	<b>\$103,391,116</b>	<b>\$90,618,731</b>	<b>\$87,516,116</b>	<b>\$89,805,652</b>	<b>\$89,375,452</b>
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	9,913	32,767	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	103,381,203	90,585,964	87,516,116	89,805,652	89,375,452
<b>Subtotal: Operating Expenditures</b>	<b>\$103,391,116</b>	<b>\$90,618,731</b>	<b>\$87,516,116</b>	<b>\$89,805,652</b>	<b>\$89,375,452</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$103,391,116</b>	<b>\$90,618,731</b>	<b>\$87,516,116</b>	<b>\$89,805,652</b>	<b>\$89,375,452</b>
<b>Expenditures By Funds</b>					
General Revenue	10,681,403	7,142,693	8,780,999	11,070,535	10,640,335
Federal Funds	92,709,713	83,476,038	78,735,117	78,735,117	78,735,117
<b>Total Expenditures</b>	<b>\$103,391,116</b>	<b>\$90,618,731</b>	<b>\$87,516,116</b>	<b>\$89,805,652</b>	<b>\$89,375,452</b>
<b>Program Measures</b>					
Family Independence Program Families with Earned Income	15.6%	17.0%	18.0%	18.0%	21.0%
Job Retention Rate For Family Independence Program Families Not Receiving Cash	15.6%	14.3%	14.5%	14.5%	15.0%

# The Program

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## Department of Human Services State Funded Programs

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### Program Operations

The program “State Funded Programs” is comprised of assistance programs with discrete appropriation lines described below.

The General Public Assistance (GPA) Program is designed to meet the health care needs of incapacitated and unemployable persons who are suffering from an illness, injury, or medical condition and do not qualify for other public assistance programs. The program provides for limited medical assistance, consisting of physician services and a limited formulary (list) of prescription medications. The program also provides burials for indigent persons. Limited cash assistance is available from two special contingency funds. Under state welfare reform statutes, two parent families who had received services from GPA became eligible under FIP (now RIW).

### Program Objectives

To provide for the medical needs for ill or disabled individuals who do not qualify for other federal programs, limited emergency cash assistance for individuals who experience extreme financial hardship, and, interim cash assistance for totally disabled individuals who are accepted for Title XIX Medical Assistance pending eligibility application for federal Social Security benefits.

### Statutory History

Title 40 Chapter 6 of the Rhode Island General Laws (RIGL) established the General Public Assistance Program. R.I.G.L 40-6-8(d) established the State Food Stamp Program.

# The Budget

## Department of Human Services State Funded Programs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
General Public Assistance	1,979,391	1,575,588	2,008,400	1,976,572	1,963,680
Food Stamps - Benefits	146,338,421	225,310,142	276,474,397	271,279,095	298,243,902
<b>Total Expenditures</b>	<b>149,219,179</b>	<b>228,589,296</b>	<b>280,032,797</b>	<b>274,805,667</b>	<b>302,007,582</b>
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	35,395	224,085	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	149,183,784	228,365,211	280,032,797	274,805,667	302,007,582
<b>Subtotal: Operating Expenditures</b>	<b>\$149,219,179</b>	<b>\$228,589,296</b>	<b>\$280,032,797</b>	<b>\$274,805,667</b>	<b>\$302,007,582</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$149,219,179</b>	<b>\$228,589,296</b>	<b>\$280,032,797</b>	<b>\$274,805,667</b>	<b>\$302,007,582</b>
<b>Expenditures By Funds</b>					
General Revenue	2,366,991	2,234,708	2,653,682	2,621,854	2,736,740
Federal Funds	146,852,188	226,354,588	277,379,115	272,183,813	299,270,842
<b>Total Expenditures</b>	<b>\$149,219,179</b>	<b>\$228,589,296</b>	<b>\$280,032,797</b>	<b>\$274,805,667</b>	<b>\$302,007,582</b>
<b>Program Measures</b>	NS	NS	NS	NS	NS

# The Agency

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## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals

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### Agency Operations

The mission of the Department of Behavioral Healthcare, Disabilities and Hospitals (BHDDH) is to administer and coordinate a comprehensive system of care for Rhode Island citizens with specific disabilities (i.e. mental illness, physical illness, developmental disability) and with substance use disorders or addiction; and to organize and administer a coordinated system of mental health promotion and substance abuse prevention. BHDDH accomplishes this mission under its statutory responsibilities to fund, plan, design, develop, administer, and coordinate within its legislated, annual budget. This mission is carried out through contracted, community-based service delivery system with the exceptions of direct services provided through the Eleanor Slater Hospital and RI Community Living and Supports (RICLAS).

In the last fiscal year, BHDDH licensed 78 agencies and delivered services to approximately 46,000 consumers within three priority populations: developmental disabilities; behavioral healthcare (mental illness and substance abuse); and, hospital level of care for chronic illness. The bulk of these services are offered through contracted and BHDDH-licensed programs. BHDDH currently has contracts or leases with 165 private/non-profit hospitals, programs and agencies. Direct services to BHDDH consumers are offered through the Eleanor Slater Hospital, a Joint Commission of Accreditation of Healthcare Organizations (JCAHO) accredited hospital; and through RICLAS within Developmental Disabilities for approximately 230 consumers. Typical BHDDH programs and services include individualized support plans for day, residential or family support services for individuals with developmental disabilities, individualized treatment and recovery plans, housing, vocational programs, inpatient treatment for mental health, inpatient treatment for substance abuse, outpatient treatment for mental health, outpatient treatment for substance abuse, inpatient psychiatric forensic services, hospital level care for physical illness and prevention services for substance abuse.

In order to fulfill its mission, the Department is organized to provide services to distinct priority populations of consumers who represent the most vulnerable citizens of Rhode Island. The Director of BHDDH provides leadership, overall policy direction, resource management and guidance for the Department in pursuit of its mission; and the Chief Executive Officer of Eleanor Slater Hospital provides overall leadership for the hospital.

### Statutory History

R.I.G.L. 42-12.1-1 et.seq, established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative and Forensic Services, and Substance Abuse Services under R.I.G.L 40.1-1-1 et.seq. A number of other functions are also assigned by statute.



# The Budget

## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Program</b>					
Central Management	974,139	801,112	1,559,450	992,521	1,149,644
Hospital & Community System Support	2,891,246	2,643,280	4,249,075	4,902,292	4,015,655
Service for the Developmentally Disabled	253,373,679	245,908,360	232,753,662	238,234,965	224,455,968
Behavioral Healthcare Services	79,348,969	79,964,888	106,707,771	107,966,906	96,080,155
Hospital & Community Rehabilitation Services	100,415,570	98,099,652	101,480,369	103,168,137	116,251,203
Substance Abuse	29,587,884	31,512,922	-	-	-
Internal Service Programs	[6,424,298]	-	-	-	-
<b>Total Expenditures</b>	<b>\$466,591,487</b>	<b>\$458,930,214</b>	<b>\$446,750,327</b>	<b>\$455,264,821</b>	<b>\$441,952,625</b>
<b>Expenditures By Object</b>					
Personnel	120,279,412	113,674,409	115,575,077	119,177,373	116,825,632
Operating Supplies and Expenses	16,687,128	12,931,668	15,022,266	12,833,554	12,030,285
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	325,962,857	326,235,639	301,432,545	309,587,221	287,707,915
<b>Subtotal: Operating Expenditures</b>	<b>\$462,929,397</b>	<b>\$452,841,716</b>	<b>\$432,029,888</b>	<b>\$441,598,148</b>	<b>\$416,563,832</b>
Capital Purchases and Equipment	575,609	1,355,173	14,720,439	13,666,673	25,388,793
Debt Service	-	-	-	-	-
Operating Transfers	3,086,481	4,733,325	-	-	-
<b>Total Expenditures</b>	<b>\$466,591,487</b>	<b>\$458,930,214</b>	<b>\$446,750,327</b>	<b>\$455,264,821</b>	<b>\$441,952,625</b>
<b>Expenditures By Funds</b>					
General Revenue	184,060,035	160,665,295	163,684,244	170,929,040	190,519,884
Federal Funds	273,867,202	287,059,335	259,918,758	264,422,374	220,049,930
Restricted Receipts	4,695,837	7,747,477	10,688,634	8,049,478	7,997,979
Other Funds	3,968,413	3,458,107	12,458,691	11,863,929	23,384,832
<b>Total Expenditures</b>	<b>\$466,591,487</b>	<b>\$458,930,214</b>	<b>\$446,750,327</b>	<b>\$455,264,821</b>	<b>\$441,952,625</b>
<b>FTE Authorization</b>	<b>1,352.4</b>	<b>1,294.0</b>	<b>1,372.2</b>	<b>1,372.2</b>	<b>1,376.2</b>
<b>Agency Measures</b>					
Minorities as a Percentage of Workforce	19.0%	21.0%	25.2%	25.2%	25.2%
Females as a Percentage of Workforce	66.0%	68.5%	69.8%	69.8%	69.8%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	1.0%	1.0%	1.0%

# The Program

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## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals - Central Management

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### Program Operations

The Director provides leadership, overall policy direction, resource management, and guidance for the Department in pursuit of its mission. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Executive Director of the Eleanor Slater Hospital, and Chief Financial Officer. Under a reorganization plan, BHDDH, minus the Hospital, has been organized into four functional components: Clinical Services, Program Services, Contracts and Logistics, and RICLAS. These functional components manage, coordinate, and support services to individuals with developmental disabilities, those suffering from mental illness and substance use disorders; as well as support, the promotion of mental health and substance abuse prevention activities.

The Office of the Director performs the functions of Departmental administration, legislative affairs, constituent affairs, community and provider involvement, advocacy outreach, policy administration, hospital appeals, strategic planning, and promotion of the department's mission through public education and community forums. The Office of the Director in conjunction with the Office of the Executive Director of the Eleanor Slater Hospital supports the entire Department by providing: coordination and management of initiatives and projects that cross all departmental program and operational units, emergency management, performance improvement, and funds development, and planning and overseeing of construction/renovation for buildings which support departmental functions.

### Program Objectives

Provide leadership, policy direction and management guidance to assure the department's mission meets the needs of Rhode Island citizens with disabilities and those with substance abuse or addiction problems.

Redesign critical and often cross-cutting functions so that they become more responsive, efficient and effective.

Identify priority population's trends and service needs so that new and emerging needs together with established programs share existing, budgeted resources.

Expand public awareness and knowledge of the mission of the department through community forums and through advocacy, emphasizing consumer choice, consumer relations and family involvement.

### Statutory History

Rhode Island General Laws 42-12.1-1 et seq. established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative Services, Forensic Services, and Substance Abuse services under Rhode Island General Laws 40.1-1-1 et seq. A number of other functions are also assigned by statute.

# The Budget

## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	833,670	613,441	1,162,643	756,593	981,710
Operating Supplies and Expenses	131,975	128,955	201,482	182,800	136,036
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,688	1,374	1,750	1,200	1,750
<b>Subtotal: Operating Expenditures</b>	<b>\$967,333</b>	<b>\$743,770</b>	<b>\$1,365,875</b>	<b>\$940,593</b>	<b>\$1,119,496</b>
Capital Purchases and Equipment	6,806	57,342	193,575	51,928	30,148
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$974,139</b>	<b>\$801,112</b>	<b>\$1,559,450</b>	<b>\$992,521</b>	<b>\$1,149,644</b>
<b>Expenditures By Funds</b>					
General Revenue	974,139	801,112	795,281	781,099	829,195
Federal Funds	-	-	131,287	211,422	320,449
Restricted Receipts	-	-	632,882	-	-
<b>Total Expenditures</b>	<b>\$974,139</b>	<b>\$801,112</b>	<b>\$1,559,450</b>	<b>\$992,521</b>	<b>\$1,149,644</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## **Department of Behavioral Healthcare, Developmental Disabilities and Hospitals - Hospitals and Community System Support**

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### **Program Operations**

Through the Chief Financial Officer, the Office of Operations (Hospital and Community System Support Program) provides operational support functions to both the hospital and community patient care system.

Financial Management provides the administrative and financial support to the entire department to insure its operational efficiency and fiscal integrity. The major functional areas include: Budget Development/Program Analysis; Business Services; Accounting and Financial Control; Federal Grants; Contract Management; Central Laundry; Revenue Collection; Billing and Accounts Receivable; Patient Resources and Benefits; and Hospital Cost/Rate Setting/Revenue Forecasting.

### **Program Objectives**

Maintain numerous operational support functions to both the hospital and community patient care system for Financial Management.

### **Statutory History**

Rhode Island General Laws Title 40.1 includes provisions relating to Hospitals and Community System Support.

# The Budget

## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals Hospitals and Community System Support

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Facilities & Maintenance	978,441	782,327	2,420,000	2,668,793	1,500,000
Financial Management	1,912,805	1,860,953	1,829,075	2,233,499	2,515,655
<b>Total Expenditures</b>	<b>\$2,891,246</b>	<b>\$2,643,280</b>	<b>\$4,249,075</b>	<b>\$4,902,292</b>	<b>\$4,015,655</b>
<b>Expenditures By Object</b>					
Personnel	2,184,762	1,845,398	1,754,113	2,188,083	2,477,844
Operating Supplies and Expenses	178,296	59,131	67,612	44,137	36,564
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	524,916	636,119	1,250	1,279	1,247
<b>Subtotal: Operating Expenditures</b>	<b>\$2,887,974</b>	<b>\$2,540,648</b>	<b>\$1,822,975</b>	<b>\$2,233,499</b>	<b>\$2,515,655</b>
Capital Purchases and Equipment	3,272	102,632	2,426,100	2,668,793	1,500,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,891,246</b>	<b>\$2,643,280</b>	<b>\$4,249,075</b>	<b>\$4,902,292</b>	<b>\$4,015,655</b>
<b>Expenditures By Funds</b>					
General Revenue	2,205,937	1,885,761	1,829,075	1,795,820	2,080,418
Federal Funds	523,744	635,016	-	-	-
Restricted Receipts	-	-	-	437,679	435,237
Other Funds	161,565	122,503	2,420,000	2,668,793	1,500,000
<b>Total Expenditures</b>	<b>\$2,891,246</b>	<b>\$2,643,280</b>	<b>\$4,249,075</b>	<b>\$4,902,292</b>	<b>\$4,015,655</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals - Services for the Developmentally Disabled

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### Program Operations

The Division of Developmental Disabilities funds a statewide network of privately-operated and publicly-operated community supports for adults with developmental disabilities. The Division is responsible for planning, administering, and providing supports for adults with developmental disabilities by ensuring equitable access to, and allocation of, available resources; enhancing the quality of supports so that people can move toward personal futures of inclusion and participation in community life, and safeguarding them from abuse, neglect and mistreatment.

The Division's goals include: (a) providing more opportunities for individuals with developmental disabilities and their families to have more control over supports and services that they purchase within the funding available from the Division, (b) providing access to information that enables them to make informed decisions, (c) assisting providers in implementing innovative and flexible supports and services that address the individual needs of a person, (d) ensuring that individuals are provided services in the least restrictive environments, (e) insuring quality services that protect the rights of individuals with developmental disabilities, (f) providing the appropriate structure within the Division to respond to the changing needs of individuals and their families, and (g) providing a safe environment that assists individuals to meet their fullest potential and to become meaningful participants in their community; and (h) providing a competent, caring, stable workforce to provide needed supports and services for individuals with developmental disabilities.

The Division provides community day and residential services through Rhode Island Community Living and Supports (RICLAS), the state's publicly operated program. RICLAS supports approximately 228 people in various settings throughout Rhode Island.

### Program Objectives

The Division's objectives for FY 2011 and FY 2012 are to:

- Implement project Sustainability as noted in the Subprogram narrative for DD-Privately Operated Services.
- Continue expansion of shared living arrangements (SLA) and other community settings as an alternative to residential and institutional placements
- Continue development and expansion of services for individuals who require ongoing assistance but may function successfully outside of traditional residential settings
- Finalize and implement strategies to reduce the caseloads carried by the Division's social caseworkers
- Develop enhanced screening and assessment procedures to ensure that individuals have a choice of appropriate, least restrictive supports and services
- Develop improved data collection and analyses capabilities.

### Statutory History

Titles 40.1 and 43.1 of the Rhode Island General Laws. Over 15 years ago, the eligibility statute has changed and expanded to include individuals who meet the federal, functional definition of developmental disabilities in addition to mental retardation per se. In addition to meeting the federal criteria, this was also necessary since the individuals with developmental disabilities were entering the system who had never been institutionalized at Ladd School.

# The Budget

## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals Services for the Developmentally Disabled

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Facilities & Maintenance	349,628	358,517	602,691	601,676	909,832
Private Community D.D. Services	210,474,344	208,054,982	193,478,167	201,858,839	192,354,349
State Operated Res & Comm Svcs	42,549,707	37,494,861	38,672,804	35,774,450	31,191,787
<b>Total Expenditures</b>	<b>\$253,373,679</b>	<b>\$245,908,360</b>	<b>\$232,753,662</b>	<b>\$238,234,965</b>	<b>\$224,455,968</b>
<b>Expenditures By Object</b>					
Personnel	39,582,125	36,816,241	38,761,806	37,192,549	33,770,795
Operating Supplies and Expenses	4,827,589	3,933,278	3,921,312	3,951,719	3,227,461
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	209,979,012	205,836,135	185,772,136	193,500,035	183,798,408
<b>Subtotal: Operating Expenditures</b>	<b>\$254,388,726</b>	<b>\$246,585,654</b>	<b>\$228,455,254</b>	<b>\$234,644,303</b>	<b>\$220,796,664</b>
Capital Purchases and Equipment	18,745	336,545	4,298,408	3,590,662	3,659,304
Debt Service	-	-	-	-	-
Operating Transfers	(1,033,792)	(1,013,839)	-	-	-
<b>Total Expenditures</b>	<b>\$253,373,679</b>	<b>\$245,908,360</b>	<b>\$232,753,662</b>	<b>\$238,234,965</b>	<b>\$224,455,968</b>
<b>Expenditures By Funds</b>					
General Revenue	94,644,952	86,852,586	81,508,130	87,358,714	102,948,860
Federal Funds	153,582,683	155,282,676	146,035,341	146,268,052	116,840,754
Restricted Receipts	2,051,057	1,888,916	2,007,500	2,006,523	2,006,522
Other Funds	3,094,987	1,884,182	3,202,691	2,601,676	2,659,832
<b>Total Expenditures</b>	<b>\$253,373,679</b>	<b>\$245,908,360</b>	<b>\$232,753,662</b>	<b>\$238,234,965</b>	<b>\$224,455,968</b>
<b>Program Measures</b>					
Service Satisfaction - Parents and Friends for Alternative Living	90.0%	90.0%	90.0%	90.0%	90.0%
Percentage of Persons Surveyed Indicated that they Received all Services that they Needed	75.0%	75.0%	75.0%	75.0%	75.0%
Percentage of Persons with Developmental Disabilities Who Like Living in Their Home	95.0%	95.0%	95.0%	95.0%	95.0%
Percentage of Disabled who Understand their Basic Human Rights	95.0%	95.0%	95.0%	95.0%	95.0%
Percentage of Disabled who know what to do if they are a Victim of Abuse	95.0%	95.0%	95.0%	95.0%	95.0%
Percentage of Persons who have seen a Dentist Within Six Months	85.0%	85.0%	85.0%	85.0%	85.0%

# The Program

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## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals - Behavioral Healthcare Services

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### Program Operations

The Division of Behavioral Healthcare Services (DBH) is responsible for planning, coordinating, and administering comprehensive statewide systems of substance abuse prevention and the promotion of mental health; screening and brief intervention; early intervention and referral; substance abuse and mental illness clinical treatment services; and recovery support activities. Effective with the FY 2011 budget, the Division is consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services.

The Department has conducted and completed functional analyses of its organization and operations. A result of these analyses is the finalization of efforts to consolidate substance abuse and mental health treatment services administration into a single behavioral healthcare program area. The Department also continues to ensure the provision of quality and accessible care to client populations within the two systems, especially those with co-occurring mental illness and substance use disorders. The consolidated division continues to work closely with the criminal justice system, the public health care system, child welfare, education, and other allied human service agencies and organization. The consolidated Division is supported by the Department's three functional components (Clinical Services, Program Services, and Operations) and Contracts and Logistics, which supports administration and monitoring of the Division's funded services, comprised of over 100 contracts.

### Program Objectives

Continue to integrate and consolidate functions and activities to maximize efficiency and better meet the needs of individuals with mental illness, substance abuse, and co-occurring disorders.

Continue to work on the development of programs for individuals with both a behavioral healthcare problem(s) and developmental disabilities, and developing a comprehensive assessment and utilization review process for behavioral health and developmental disabilities.

Develop strategies to sustain the innovations and practices resulting from the Access to Recovery and Strategic Prevention Framework State Incentive Grants.

### Statutory History

Title 40.1, Chapter 1 of the Rhode Island General Laws established the Division of Behavioral Health Care within the Department, which includes the program areas of integrated mental health services and substance abuse treatment and prevention services. In the FY 2011 budget, the Governor recommends consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services.



# The Budget

## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals Behavioral Healthcare Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Integrated Mental Health	1,980,450	5,132,867	4,653,372	5,080,982	4,313,334
Mental Health	77,368,519	74,802,781	74,865,675	74,442,575	63,342,336
Substance Abuse	-	29,240	25,731,726	26,597,790	26,992,146
<b>Total Expenditures</b>	<b>\$79,348,969</b>	<b>\$79,964,888</b>	<b>\$106,707,771</b>	<b>\$107,966,906</b>	<b>\$96,080,155</b>
<b>Expenditures By Object</b>					
Personnel	1,158,446	1,022,273	2,843,272	3,083,993	3,418,758
Operating Supplies and Expenses	1,267,053	146,436	124,149	82,011	99,652
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	76,521,998	78,713,285	102,453,650	103,688,527	91,208,745
<b>Subtotal: Operating Expenditures</b>	<b>\$78,947,497</b>	<b>\$79,881,994</b>	<b>\$105,421,071</b>	<b>\$106,854,531</b>	<b>\$94,727,155</b>
Capital Purchases and Equipment	401,472	82,894	1,286,700	1,112,375	1,353,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$79,348,969</b>	<b>\$79,964,888</b>	<b>\$106,707,771</b>	<b>\$107,966,906</b>	<b>\$96,080,155</b>
<b>Expenditures By Funds</b>					
General Revenue	34,034,619	29,606,019	41,614,835	42,362,759	36,034,104
Federal Funds	44,774,950	49,676,726	63,946,936	64,367,139	58,831,051
Restricted Receipt	-	-	90,000	127,633	90,000
Other Funds	539,400	682,143	1,056,000	1,109,375	1,125,000
<b>Total Expenditures</b>	<b>\$79,348,969</b>	<b>\$79,964,888</b>	<b>\$106,707,771</b>	<b>\$107,966,906</b>	<b>\$96,080,155</b>
<b>Program Measures</b>					
System Quality: Client Ability to Control Life	79.0%	81.0%	81.0%	81.0%	81.0%
Percentage of CSP Clients who have had Annual Physical Exam Within Twelve Months	79.0%	82.0%	82.0%	90.0%	90.0%
Percentage of Persons Receiving Methadone Who have had an Annual Physical Exam Within Twelve Months	100.0%	100.0%	100.0%	100.0%	100.0%
Percentage of Surveyed Tobacco Outlets Selling Tobacco Products to Youth Under 18	11.7%	9.3%	9.3%	9.3%	9.3%
Percentage of Surveyed Sites Selling Alcohol to Youth Under 21	12.0%	11.0%	11.0%	11.0%	11.0%

# The Program

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## **Department of Behavioral Healthcare, Developmental Disabilities and Hospitals - Hospitals and Community Rehabilitative Services**

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### **Program Operations**

The Division of Hospitals and Community Rehabilitative Services provides hospital level care services that are licensed by the Department of Health (DOH) and accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO).

The Eleanor Slater Hospital's licensed bed capacity is 495, across two sites: the Cranston Campus, with 306 beds, and the Zambarano Campus in Burrville, with 189 beds. The Cranston Campus provides acute medical-surgical services, long term inpatient psycho geriatric and adult psychiatric treatment. The Zambarano campus is an important provider of long term and specialty rehabilitative care services. Hospital funding levels and full-time equivalent (FTE) position authorization dictate actual bed utilization and census,

### **Program Objectives**

Plan, coordinate, and manage programs and services associated with the Eleanor Slater Hospital.

Ensure that all associated programs and services meet Joint Commission on Accreditation of Healthcare Organizations (JCAHO), Centers for Medicaid and Medicare Services (CMS), and third party standards to achieve full accreditation status and to maximize reimbursement.

Develop a continuum of treatment and residential options for psychiatric and developmentally disabled, psycho geriatric and adult psychiatric clients.

### **Statutory History**

Title 40, Chapter 3 of the Rhode Island General Laws and the Public Laws of 1969, Chapter 134, Section 6a, includes provisions related to the General Hospital; Title 40.1, Chapter 3 includes provisions related to Zambarano; Titles 40.1, 5.19, 21.28, 21.30 and 21.31 include provisions relative to the Central Pharmacy.

# The Budget

## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals Hospitals and Community Rehabilitative Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Eleanor Slater Hospital	67,815,168	66,938,051	67,024,106	67,137,998	80,718,757
Zambarano Hospital	30,057,509	29,244,542	30,725,346	33,481,329	32,647,838
Central Pharmacy Services	2,542,893	1,917,059	3,730,917	2,548,810	2,884,608
<b>Total Expenditures</b>	<b>\$100,415,570</b>	<b>\$98,099,652</b>	<b>\$101,480,369</b>	<b>\$103,168,137</b>	<b>\$116,251,203</b>
<b>Expenditures By Object</b>					
Personnel	74,972,764	71,923,626	71,053,243	75,956,155	76,176,525
Operating Supplies and Expenses	10,159,680	8,593,710	10,707,711	8,572,887	8,530,572
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	11,017,539	11,080,445	13,203,759	12,396,180	12,697,765
<b>Subtotal: Operating Expenditures</b>	<b>\$96,149,983</b>	<b>\$91,597,781</b>	<b>\$94,964,713</b>	<b>\$96,925,222</b>	<b>\$97,404,862</b>
Capital Purchases and Equipment	145,314	754,707	6,515,656	6,242,915	18,846,341
Debt Service	-	-	-	-	-
Operating Transfers	4,120,273	5,747,164	-	-	-
<b>Total Expenditures</b>	<b>\$100,415,570</b>	<b>\$98,099,652</b>	<b>\$101,480,369</b>	<b>\$103,168,137</b>	<b>\$116,251,203</b>
<b>Expenditures By Funds</b>					
General Revenue	38,387,899	28,998,799	37,936,923	38,630,648	48,627,307
Federal Funds	59,363,296	62,592,180	49,805,194	53,575,761	44,057,676
Restricted Receipts	2,557,286	5,770,043	7,958,252	5,477,643	5,466,220
Other Funds	107,089	738,630	5,780,000	5,484,085	18,100,000
<b>Total Expenditures</b>	<b>\$100,415,570</b>	<b>\$98,099,652</b>	<b>\$101,480,369</b>	<b>\$103,168,137</b>	<b>\$116,251,203</b>
<b>Program Measures</b>					
Medication Error Incidents Per 10,000 Orders Filled by the Pharmacy	3.0	3.0	3.0	3.0	3.0
Pressure Ulcers as a Percent of the Total Patient Population	1.0%	1.0%	1.0%	1.0%	1.0%
Patient Falls per 1,000 Patient Days	2.5	2.5	2.5	2.5	2.5

# The Program

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## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals - Substance Abuse

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### Program Operations

Substance Abuse is responsible for planning, coordinating, and administering a comprehensive statewide system of substance abuse treatment and prevention activities through contracts with community-based providers. In the FY 2011 budget, the Governor recommends consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services. Substance Abuse is comprised of the following units: a Prevention Unit which plans and provides technical assistance, contract oversight, program development and evaluation of primary prevention and intervention services; a Treatment Unit which is responsible for the provision, availability and monitoring of contract treatment services. Specific responsibilities include: developing comprehensive statewide policies, plans and programs; assessing treatment and prevention needs and capacity; evaluating and monitoring state grants and contracts; providing technical assistance and guidance to programs, chemical dependency professionals, and general public; and researching and recommending alternative funding and service delivery strategies to enhance system efficiency and effectiveness. The planning, finance and contracting, and data management functions, which previously existed both in Integrated Mental Health and Substance Abuse, have been merged and provide Division wide support in these functional areas.

### Program Objective

Continue to implement the integrated behavioral health licensing standards through community monitoring.

Implement Prevention Program Standards for all BHDDH funded and contracted prevention services.

Under direction from Executive Director of the Division of Behavioral Health, develop a statewide substance abuse plan that addresses treatment delivery systems for all Rhode Islanders.

Implement the Access to Recovery (STR) grant which will increase funding and resources for all levels of clinical care and recovery support services.

Monitor contract for combined acute psychiatric and medical detoxification which includes step-down and diversion levels of care.

### Statutory History

Title 40.1-1-4 of the Rhode Island General Laws established the Division of Behavioral Healthcare within the Department of Mental Health, Retardation and Hospitals, which includes the Substance Abuse Program. In the FY 2011 budget, the Governor recommends consolidating the Substance Abuse program into the Integrated Mental Health Services program, and renaming the program Behavioral Healthcare Services.

# The Budget

## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals Substance Abuse

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Substance Abuse	29,587,884	31,512,922	-	-	-
<b>Total Expenditures</b>	<b>\$29,587,884</b>	<b>\$31,512,922</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Expenditures By Object</b>					
Personnel	1,547,645	1,453,430	-	-	-
Operating Supplies and Expenses	122,535	70,158	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	27,917,704	29,968,281	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$29,587,884</b>	<b>\$31,491,869</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
Capital Purchases and Equipment	-	21,053	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$29,587,884</b>	<b>\$31,512,922</b>	<b>\$0</b>	-	-
<b>Expenditures By Funds</b>					
General Revenue	13,812,489	12,521,018	-	-	-
Federal Funds	15,622,529	18,872,737	-	-	-
Restricted Receipts	87,494	88,518	-	-	-
Other Funds	65,372	30,649	-	-	-
<b>Total Expenditures</b>	<b>\$29,587,884</b>	<b>\$31,512,922</b>	<b>\$0</b>	-	-
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals - Internal Service Programs

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### Program Operations

The Internal Service Programs include those services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency, both on an actual and budget basis.

Internal Service Programs which are operated by the Department of Mental Health, Retardation and Hospitals include the Central Pharmacy and the Central Laundry. The Central Laundry serves patients at the Eleanor Slater Hospital and the Welcome Arnold Homeless Shelter. The Central Pharmacy provides services to the Eleanor Slater Hospital including the Zambarano Unit, the Department of Corrections, and the Rhode Island Veterans' Home, 43 group homes operated by the Department and 13 Community Pharmacies.

It is the intent of the Department to cease internal service fund operations by June 30 2009. Eleanor Slater Hospital is the primary recipient of services from both internal service funds. Both operations will be collapsed into the Eleanor Slater Hospital program. User agencies will purchase services directly from other vendors.

### Program Objective

Provide the most cost-effective delivery of goods and services to other state programs.

### Statutory History

The Director of Administration is authorized by Title 35 Chapter 5 of the Rhode Island General Laws to establish a system of rotary or rotating funds in any state department or agency. Programmatically, the Central Laundry and Central Pharmacy internal service funds were eliminated beginning in FY 2010.

# The Budget

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## Department of Behavioral Healthcare, Developmental Disabilities and Hospitals Internal Service Programs

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	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
MHRH Drug Rotary	5,289,254	-	-	-	-
MHRH Laundry Rotary	1,135,044	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,424,298</b>	-	-	-	-
<b>Expenditures By Object</b>					
Personnel	803,296	-	-	-	-
Operating Supplies and Expenses	5,620,609	-	-	-	-
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$6,423,905</b>	-	-	-	-
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	393	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,424,298</b>	-	-	-	-
<b>Expenditures By Funds</b>					
Internal Service Funds	6,424,298	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,424,298</b>	-	-	-	-
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Agency

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## Department of Veterans' Affairs

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### Agency Operations

The Department of Veterans' Affairs serves eligible Rhode Island Veterans, their surviving spouses, and dependents. Benefits include a comprehensive program of social, medical and rehabilitative services. The Department is comprised of the Rhode Island Veterans' Home, the Rhode Island Veterans' Memorial Cemetery, and the Rhode Island Office of Veterans' Affairs

Veterans' Affairs is confronting a growing challenge as a result of a rapidly aging veteran's population. Rhode Island has a population of approximately 93,000 veterans. Although the total number of war service veterans is declining, the growth in the proportion of aging (World War II and Korean) veterans is escalating.

The purpose of the Veterans' Home is to provide quality nursing and domiciliary care to the veteran. Social, medical, nursing, and rehabilitative services for eligible Rhode Island veterans, their survivors, and/or dependents, are available to improve their physical, emotional, and economic well-being. The Rhode Island Veterans' Home has an operational bed capacity of 339 beds (260 nursing and 79 domiciliary/sheltered care beds). Within the 339 bed complement is a 36-bed unit for veterans who suffer from dementia type illnesses. The Veterans' Home admits war service veterans who have been honorably discharged and have resided in the State at least two years prior to admission and/or were inducted into the military service from the State. Residential care is available to eligible veterans who require a sheltered care environment. The Veterans' Transitional Supportive Program (VTSP) is a program operated in concert with the federal Veterans Administration. VTSP offers a multitude of psychological/social counseling, substance abuse treatment, and contract work therapy opportunities provided on a short-term basis to assist veterans with reintegration to their communities.

The Veterans' Memorial Cemetery, located on 280 acres of the former Ladd School in Exeter, provides internment services for Rhode Island veterans meeting eligibility criteria as set forth in departmental regulations and RIGL 30-25-14.

Lastly, the Office of Veterans' Affairs, collocated with the Veterans' Home, supplies a range of consultative social services for the State's veteran population, including eligibility determination for state and federal programs, general counseling, family relations, and technical assistance.

### Agency Objective

To continue to improve the physical, emotional, and economic well-being of Rhode Island veterans.

### Statutory History

Chapter 233 of the Public Laws of 2009 set forth Chapter 152 of Title 42 of the General Laws, repealing the statutory authority for the Division of Veterans' affairs within DHS and establishing the Department of Veterans' Affairs (DVA), effective July 1, 2011 (FY 2012).



# The Budget

## Department of Veterans' Affairs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Veterans Affairs	-	-	-	-	28,688,112
<b>Total Expenditures</b>	-	-	-	-	<b>\$28,688,112</b>
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	23,065,489
Operating Supplies and Expenses	-	-	-	-	3,403,523
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	24,100
<b>Subtotal: Operating Expenditures</b>	-	-	-	-	<b>\$26,493,112</b>
Capital Purchases and Equipment	-	-	-	-	2,195,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	-	-	-	-	<b>\$28,688,112</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	-	-	19,039,528
Federal Funds	-	-	-	-	8,005,072
Restricted Receipts	-	-	-	-	1,643,512
<b>Total Expenditures</b>	-	-	-	-	<b>\$28,688,112</b>
<b>Program Measures</b>					
Persons Completing the Veteran Transitional Supportive Program & Securing Housing Within Six Months	N/A	N/A	N/A	N/A	92.0%

# The Agency

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## Governor's Commission on Disabilities

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### Agency Operations

The Commission consists of 24 Commissioners appointed by the Governor; a staff of 4; several college fellows; and many volunteers.

The Commission is responsible for protecting the rights of individuals with disabilities: coordinating the state compliance with federal and state disability rights laws; providing technical assistance to public and private agencies, businesses, and citizens in complying with those laws; managing the state's ADA/504 Accessibility renovation projects, to overcome physical and communication barriers in state owned facilities; making polling places accessible to individuals with the full range of disabilities; training election officials, poll workers, and election volunteers to promote the access and participation of individuals with the full range of disabilities in elections for Federal office; providing individuals with the full range of disabilities with information about their rights; and investigating disability discrimination complaints involving physical barriers at public or private facilities, and ordering corrective action.

The Commission also advocates for the adoption of public policies so that each person with a disability is able to reach her/his maximum potential in independence, human development, productivity and self-sufficiency.

The Commission's Disability Business Enterprise program assists small disadvantaged businesses owned by persons with disabilities; firms where sixty percent (60%) of the employees are persons with disabilities; and non-profit rehabilitation facilities to win state funded and state directed public construction contracts and state contracts for goods and services.

### Agency Objectives

The Commission's objective is to ensure that all people with disabilities are afforded the opportunities to exercise all the rights and responsibilities accorded to citizens of this State and each person with a disability is able to reach his/her maximum potential in independence, human development, productivity and self-sufficiency.

### Statutory History

The Commission's responsibilities are defined in RI General Laws: 42-51; 42-87; 37-8-15 & 15.1; 42-46-5(b); 42-46-13(f); 23-6-22; 37-2.2; 30-15-6; 28-5.1-9; 31-28-7.3; 42-102-2(e) and 17-9.1-31, Article I § 2, RI Constitution and federal laws: Section 504 of the Rehabilitation Act, Nondiscrimination on the Basis of Disability (29 USC 794); the Americans with Disabilities Act (42 USC 12101 et. seq.); and Section 261 of the Help America Vote Act, Election Assistance to Individuals with Disabilities (42 USC 15461).

# The Budget

## Governor's Commission on Disabilities

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	377,562	362,394	386,275	389,428	419,445
Operating Supplies and Expenses	27,749	22,075	31,376	50,070	24,812
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	67,349	49,860	154,921	127,250	133,754
<b>Subtotal: Operating Expenditures</b>	<b>\$472,660</b>	<b>\$434,329</b>	<b>\$572,572</b>	<b>\$566,748</b>	<b>\$578,011</b>
Capital Purchases and Equipment	118,680	142,250	251,881	226,881	226,881
Debt Service	-	-	-	-	-
Operating Transfers	7,780	2,250	-	25,000	25,000
<b>Total Expenditures</b>	<b>\$599,120</b>	<b>\$578,829</b>	<b>\$824,453</b>	<b>\$818,629</b>	<b>\$829,892</b>
<b>Expenditures By Funds</b>					
General Revenue	383,043	343,441	367,229	363,308	388,786
Federal Funds	56,245	85,780	193,598	196,213	181,842
Restricted Receipts	8,432	7,931	13,626	9,108	9,264
Operating Transfers	151,400	141,677	250,000	250,000	250,000
<b>Total Expenditures</b>	<b>\$599,120</b>	<b>\$578,829</b>	<b>\$824,453</b>	<b>\$818,629</b>	<b>\$829,892</b>
<b>FTE Authorization</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	35.7%	50.0%	50.0%	50.0%	50.0%
Females as a Percentage of the Workforce	28.6%	25.0%	25.0%	25.0%	25.0%
Persons with Disabilities as a Percentage of the Workforce	100.0%	75.0%	75.0%	75.0%	75.0%
<b>Program Measures</b>					
Percentage of State Legislation Affecting Persons with Disabilities that is Favorably Disposed	52.0%	76.0%	76.0%	70.0%	65.0%
Percentage of Disability Discrimination Complaints Resolved Prior to Hearing	93.0%	99.0%	99.0%	49.0%	56.0%

# The Agency

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## Commission on the Deaf and Hard of Hearing

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### Agency Operations

The Commission on the Deaf and Hard of Hearing advocates and coordinates the promotion of an environment in which deaf and hard of hearing persons in Rhode Island are afforded equal opportunity in all aspects of their lives. The commission develops policy and recommends appropriate programs and legislation to enhance cooperation and coordination among agencies and organizations now serving, or having the potential to serve, the deaf and hard of hearing. The commission also provides direct services in its operation of the Sign Language Interpreter Referral Service, and general information and referrals. Beginning in FY 2006, it also administers an Emergency Interpreter Referral Service for hours when the commission is closed. The commission is composed of 13 members, of whom nine are deaf and hard of hearing consumers.

### Agency Objectives

To promote greater accessibility to services for the deaf and hard of hearing by developing awareness programs.

To conduct an ongoing needs assessment to identify and prioritize the needs of the deaf and hard of hearing populations in Rhode Island.

To provide centralized sign language interpreter referral services, including emergency referrals.

To advocate for the enactment of legislation that will promote accessibility of services.

To develop a statewide coordinating council to implement the comprehensive statewide strategic plan for children who are deaf or have hearing loss.

To oversee state agency compliance with the Americans with Disabilities Act regulations related to deaf and hard of hearing access issues through monitoring, training, teletypewriters, and interpreters.

To work with federal, state, and local organizations and agencies to improve the quality of life for deaf and hard of hearing persons in Rhode Island. To coordinate sign language and equipment interpreter services between agencies and organizations with the goal of centralizing services.

### Statutory History

R.I.G.L. 23-1.8 includes provisions relating to the Commission on the Deaf and Hard of Hearing. The current commission results from the 1992 restructure of the former Commission on the Deaf and Hearing Impaired, originally established in 1977.

# The Budget

## Commission on the Deaf and Hard of Hearing

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	329,519	331,079	353,694	356,622	379,805
Operating Supplies and Expenses	7,897	7,069	9,130	8,180	8,180
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$337,416</b>	<b>\$338,148</b>	<b>\$362,824</b>	<b>\$364,802</b>	<b>\$387,985</b>
Capital Purchases and Equipment	-	1,156	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$337,416</b>	<b>\$339,304</b>	<b>\$362,824</b>	<b>\$364,802</b>	<b>\$387,985</b>
<b>Expenditures By Funds</b>					
General Revenue	341,316	339,304	362,824	364,802	387,985
Federal Funds	(3,900)	-	-	-	-
<b>Total Expenditures</b>	<b>\$337,416</b>	<b>\$339,304</b>	<b>\$362,824</b>	<b>\$364,802</b>	<b>\$387,985</b>
<b>FTE Authorization</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	33.0%	33.0%	33.0%	33.0%	33.0%
Persons with Disabilities as a Percentage of the Workforce	67.0%	67.0%	67.0%	67.0%	67.0%
<b>Program Measures</b>					
Percentage of Interpreter Requests Filled with at Least 72 Hours Notice	91.0%	92.0%	92.0%	92.0%	92.0%
Percentage of Information Requests Responded to with Relevant Information or Referral within One Week	95.0%	92.0%	96.0%	96.0%	96.0%
Percentage of Legislation Affecting Deaf and Hard of Hearing Citizens Favorably Disposed	12.5%	15.0%	75.0%	75.0%	75.0%

# The Agency

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## Office of the Child Advocate

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### Agency Operations

The Office of the Child Advocate is charged with fulfilling a statutory mandate to protect the legal, civil and special rights of all children and youth involved in the care of the Department of Children, Youth, and Families (DCYF). In so doing, the Office strives to improve conditions and circumstances through monitoring, compliance, and advocacy.

The office is staffed with 5.8 authorized full-time equivalent (FTE) positions. The office is responsible for oversight of DCYF care for 5,300 children and yearly monitoring site visits to monitor their care at 120 group homes and residential programs in the state. The office also provides direct legal representation for at least 35-40 children voluntarily placed in state care.

The office reviews and monitors systematic and individual issues related to 250 residents at the Rhode Island Training School. It provides direct oversight and on-site visits on a weekly basis. The Office of the Child Advocate also files Victim Compensation claims for children who have been physically and/or sexually assaulted and are in the care of the State.

### Agency Objectives

To monitor the Department of Children, Youth and Families to ensure that it offers children in its care adequate protection and quality services, while affording these children respect for their individual rights and dignity. These activities include, but are not limited to, public education, legislative advocacy, investigation, and litigation.

To review and make recommendations regarding the Department of Children, Youth and Families procedures; to investigate institutional abuse allegations and child fatalities; to provide information and referral on matters relating to children; participate in voluntary admissions procedures; to monitor child placements; to conduct annual site visits at residential/group care programs; and to review the Department of Children, Youth and Families' compliance with day-care licensing laws.

### Statutory History

In 1980, the General Assembly created the Office of the Child Advocate. Its powers and duties are codified in R.I.G.L. 42-73. The office of the Child Advocate possesses a statutory right of access, including subpoena power, to all providers, the Family Court, Department of Children, Youth and Families, and law enforcement records. There is also a right of physical access to all child-care programs and children in care.

In 1992, the office of the Child Advocate's responsibilities with respect to children in state care were statutorily expanded to include review of child fatality cases and representation of child victims of crime pursuant to the Criminal Injuries Compensation Act.

# The Budget

## Office of the Child Advocate

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	501,619	540,677	574,966	592,792	642,174
Operating Supplies and Expenses	9,557	12,188	14,661	9,957	10,258
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	<b>\$511,176</b>	<b>\$552,865</b>	<b>\$589,627</b>	<b>\$602,749</b>	<b>\$652,432</b>
Capital Purchases and Equipment	829	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$512,005</b>	<b>\$552,865</b>	<b>\$589,627</b>	<b>\$602,749</b>	<b>\$652,432</b>
<b>Expenditures By Funds</b>					
General Revenue	501,518	506,961	543,822	556,047	603,384
Federal Funds	10,487	45,904	45,805	46,702	49,048
<b>Total Expenditures</b>	<b>\$512,005</b>	<b>\$552,865</b>	<b>\$589,627</b>	<b>\$602,749</b>	<b>\$652,432</b>
<b>FTE Authorization</b>	<b>5.7</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>	<b>5.8</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	18.0%	33.0%	33.0%	33.0%	33.0%
Females as a Percentage of the Workforce	100.0%	83.0%	83.0%	83.0%	83.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Percentage of Inspected Facilities Compliant with Standards and Care	90.0%	90.0%	85.0%	85.0%	85.0%
Verification of Child Safety in Residential/Foster Placements	90.0%	90.0%	85.0%	85.0%	85.0%

# The Agency

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## Office of the Mental Health Advocate

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### Agency Operations

The Office of the Mental Health Advocate is directed by statute to ensure the legal, civil, and special rights of people with mental illness in Rhode Island. This includes providing legal representation at involuntary commitment proceedings, monitoring procedures and policies at in-patient treatment facilities and community-based mental health programs, proposing and evaluating legislation, and litigating civil rights and treatment rights disputes. The Office also provides counsel to clients of the mental health system who bring complaints involving mental health issues and other issues vital to maintaining quality of life, such as housing and protection from creditors. The Office is also charged to protect the treatment rights of criminally insane persons and prison inmates in the forensic hospital (patients who are under criminal process) and to provide legal representation for indigent persons receiving in-patient substance abuse treatment.

### Agency Objectives

**Involuntary Commitment** - To protect the liberty interests and treatment rights of individuals subjected to involuntary commitment and to the involuntary administration of medication in psychiatric facilities, including penal inmates.

**Direct Representation and Referral of Clients of the Mental Health System** - To protect the legal rights of clients of the mental health system by means of direct legal representation and/or referral to appropriate resources.

**Advocacy for Improvements in the Mental Health System** – To monitor and evaluate the quality of services available to clients of the mental health system, and to investigate incidents. To address shortcomings and gaps in the services and programs administered by the mental health providers.

**Elimination of Stigma Associated with Mental Illness** – To address stigmatizing legal inequities and social barriers that impact upon the lives of individuals with mental illness by means of legislative advocacy, litigation, education, support for the mental health consumer movement, and by outreach to the public at large.

### Statutory History

The Office of the Mental Health Advocate was created in 1975 when the legislature re-wrote and reformed the Mental Health Law of Rhode Island. This reform was part of a national movement toward deinstitutionalization of mentally ill individuals, a movement which began in the 1950's and became a centerpiece of public policy in the 1970's after the United States Supreme Court declared that all states must provide Constitutional Due Process and legal counsel to individuals subjected to involuntary hospitalization. The original statute authorizing the Mental Health Advocate is codified at RI General Laws, Sections 40.1-5-13, 40.1-5-22 and 24 (Public Laws 1974, Ch. 119).



# The Budget

## Office of the Mental Health Advocate

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	429,180	369,352	428,469	427,646	459,406
Operating Supplies and Expenses	11,881	11,678	12,481	11,087	9,312
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$441,061</b>	<b>\$381,030</b>	<b>\$440,950</b>	<b>\$438,733</b>	<b>\$468,718</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$441,061</b>	<b>\$381,030</b>	<b>\$440,950</b>	<b>\$438,733</b>	<b>\$468,718</b>
<b>Expenditures By Funds</b>					
General Revenue	441,061	381,030	440,950	438,733	468,718
<b>Total Expenditures</b>	<b>\$441,061</b>	<b>\$381,030</b>	<b>\$440,950</b>	<b>\$438,733</b>	<b>\$468,718</b>
<b>FTE Authorization</b>	<b>3.7</b>	<b>3.7</b>	<b>3.7</b>	<b>3.7</b>	<b>3.7</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	50.0%	50.0%	50.0%	50.0%	50.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Percentage of Treatment Rights Cases Favorably Disposed	85.0%	85.0%	85.0%	85.0%	85.0%
Percentage of Involuntary Petitions Filed that are Withdrawn or Dismissed	19.3%	24.2%	24.2%	24.2%	24.2%
Percentage of Confidentiality and Medical Records Cases Favorably Disposed	83.3%	66.7%	66.7%	66.7%	66.7%

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**Education**

Department of Elementary and Secondary Education  
Administration of the Comprehensive Education Strategy  
Davies Career and Technical School  
Rhode Island School for the Deaf  
Metropolitan Career and Technical School  
Education Aid  
Central Falls School District  
Housing Aid  
Teacher Retirement

Public Higher Education  
Board of Governors/Office of Higher Education  
University of Rhode Island  
Rhode Island State Forensics (RISCL at URI)  
Rhode Island College  
Community College of Rhode Island

Rhode Island Council on the Arts  
Rhode Island Atomic Energy Commission  
Rhode Island Higher Education Assistance Authority  
Rhode Island Historical Preservation and Heritage Commission  
Rhode Island Public Telecommunications  
Authority-WSBE/Channel 36

## Education Function Expenditures

	FY 2009 Actuals	FY 2010 Actuals	FY 2011 Enacted	FY 2011 Working	FY 2011 Gov.	FY 2012 Gov.
<b>Expenditure by Object</b>						
Personnel	479,797,638	495,794,656	526,262,881	526,262,881	538,122,524	554,005,261
Operating Supplies and Expenses	185,923,580	196,211,498	203,796,946	203,796,946	210,768,346	217,456,751
Aid to Local Units of Government	978,067,396	994,959,462	992,756,124	992,756,124	1,037,638,808	1,011,305,596
Assistance, Grants, and Benefits	222,219,400	261,228,241	281,134,795	281,134,795	301,884,941	310,582,096
<b>Subtotal: Operating Expenditures</b>	<b>\$1,866,008,014</b>	<b>\$1,948,193,857</b>	<b>\$2,003,950,746</b>	<b>\$2,003,950,746</b>	<b>\$2,088,414,619</b>	<b>\$2,093,349,704</b>
Capital Purchases and Equipment	17,580,631	21,651,009	51,433,129	51,433,129	74,671,516	32,910,441
Debt Service	34,865,595	37,263,775	42,806,975	42,806,975	40,399,246	41,317,141
Operating Transfers	13,997,268	5,871,617	3,016,891	3,016,891	2,233,462	2,540,405
<b>Total Expenditures</b>	<b>\$1,932,451,508</b>	<b>\$2,012,980,258</b>	<b>\$2,101,207,741</b>	<b>\$2,101,207,741</b>	<b>\$2,205,718,843</b>	<b>\$2,170,117,691</b>
<b>Expenditures by Funds</b>						
General Revenue	1,009,221,492	975,428,570	1,031,327,542	1,031,327,542	1,022,237,736	1,053,676,688
Federal Funds	231,089,315	276,273,493	269,116,853	269,116,853	347,358,402	258,392,457
Restricted Receipts	7,517,437	16,688,636	25,340,200	25,340,200	20,425,435	25,458,940
Other Funds	684,623,264	744,589,559	775,423,146	775,423,146	815,697,270	832,589,606
<b>Total Expenditures</b>	<b>\$1,932,451,508</b>	<b>\$2,012,980,258</b>	<b>\$2,101,207,741</b>	<b>\$2,101,207,741</b>	<b>\$2,205,718,843</b>	<b>\$2,170,117,691</b>
<b>FTE Authorization</b>						
<b>FTE Authorization</b>	<b>3,799.8</b>	<b>3,745.1</b>	<b>3,783.9</b>	<b>3,783.9</b>	<b>3,871.9</b>	<b>3,889.4</b>
<b>Sponsored Research Positions</b>	<b>785.0</b>	<b>785.0</b>	<b>785.0</b>	<b>785.0</b>	<b>785.0</b>	<b>785.0</b>
<b>FTE Total</b>	<b>4,584.8</b>	<b>4,530.1</b>	<b>4,568.9</b>	<b>4,568.9</b>	<b>4,656.9</b>	<b>4,674.4</b>

# The Agency

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## Department of Elementary and Secondary Education

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### Agency Operations

The Commissioner, under the direction of the Board of Regents for Elementary and Secondary Education, heads the R.I. Department of Elementary and Secondary Education (RIDE). She makes certain that RIDE pursues its mission of transforming education in Rhode Island.

### Agency Objectives

To fulfill this mission, RIDE, led by the Commissioner of Education, under the direction of the Board of Regents for Elementary and Secondary Education, has adopted these goals:

#### ***Ensure Educator Excellence***

- Every student must have highly effective teachers; every school must have a highly effective leader; we must support educators throughout their careers.
- Educator preparation programs must vigorously recruit, prepare, and mentor talented individuals to become excellent educators.
- Educators' professional growth and advancement must be aligned with student achievement.

#### ***Accelerate All Schools Toward Greatness***

- Rhode Island must not allow failing schools to exist; leadership must be responsible for quality improvement; communities must commit to supporting high-quality schools.
- Students will achieve in schools with excellent leadership, sound management, high-quality teaching, and a persistent focus on student achievement.

#### ***Establish World-Class Standards and Assessments***

- Standards must be clear, high, and internationally benchmarked; we must hold all educators and students to high expectations; we must hold schools accountable for student achievement.

#### ***Develop User-Friendly Data Systems***

- Everyone must have access to usable data; data must be relevant, timely, and practical; data systems must drive continuous improvement.
- Data will inform the Rhode Island accountability system and provide families, educators, and the community with usable information.

#### ***Invest our Resources Wisely***

- Financing must be adequate, effective and equitable; we must use incentives to promote both efficiencies and effectiveness; we must be capable stewards of the taxpayers' investments.
- Schools and students will be adequately and equitably funded to attain international performance standards.

### Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization of RIDE.

# The Budget

## Department of Elementary and Secondary Education

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Admin. of Comprehensive Educ. Strategy	200,015,147	238,667,684	247,733,840	301,317,969	257,499,698
Davies Career and Technical Center	\$16,030,116	\$16,173,862	\$18,337,958	\$19,974,762	\$17,390,676
Rhode Island School for the Deaf	\$6,439,440	\$6,906,355	\$7,328,747	\$7,499,008	\$6,883,709
Metropolitan Career and Technical School	\$11,665,600	\$11,878,141	\$17,083,219	\$13,710,906	\$15,571,987
Education Aid	\$648,073,603	\$642,431,489	\$648,829,028	\$650,004,758	\$646,319,288
Central Falls School District	\$45,174,214	\$37,187,159	\$43,047,138	\$43,047,138	\$39,757,786
Housing Aid	\$54,140,052	\$58,299,115	\$70,774,727	\$68,023,009	\$72,507,180
Teacher Retirement	\$73,592,722	\$68,550,306	\$75,598,212	\$69,653,293	\$82,671,070
<b>Total Expenditures</b>	<b>\$1,055,130,894</b>	<b>\$1,080,094,111</b>	<b>\$1,128,732,869</b>	<b>\$1,173,230,843</b>	<b>\$1,138,601,394</b>
<b>Expenditures By Object</b>					
Personnel	50,424,350	57,858,450	69,709,051	76,991,998	85,029,819
Operating Supplies and Expenses	10,025,905	9,513,826	13,026,731	12,247,540	11,103,234
Aid To Local Units Of Government	978,067,396	994,959,462	992,756,124	1,037,638,808	1,011,305,596
Assistance, Grants and Benefits	15,035,814	16,323,290	44,555,797	38,922,064	23,689,519
<b>Subtotal: Operating Expenditures</b>	<b>1,053,553,465</b>	<b>1,078,655,028</b>	<b>1,120,047,703</b>	<b>1,165,800,410</b>	<b>1,131,128,168</b>
Capital Purchases and Equipment	1,442,429	1,439,083	8,685,166	7,430,433	7,473,226
Debt Service	-	-	-	-	-
Operating Transfers	135,000	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,055,130,894</b>	<b>\$1,080,094,111</b>	<b>\$1,128,732,869</b>	<b>\$1,173,230,843</b>	<b>\$1,138,601,394</b>
<b>Expenditures By Funds</b>					
General Revenue	826,168,088	803,066,786	856,068,541	847,414,195	869,014,643
Federal Funds	212,971,419	259,815,919	239,980,896	299,418,836	238,146,015
Restricted Receipts	6,511,895	15,794,969	23,930,750	19,019,883	24,039,421
Other Funds	9,479,492	1,416,437	8,752,682	7,377,929	7,401,315
<b>Total Expenditures</b>	<b>\$1,055,130,894</b>	<b>\$1,080,094,111</b>	<b>\$1,128,732,869</b>	<b>\$1,173,230,843</b>	<b>\$1,138,601,394</b>
<b>FTE Authorization</b>	<b>311.4</b>	<b>315.8</b>	<b>325.4</b>	<b>348.4</b>	<b>348.4</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	11.0%	13.7%	13.7%	13.7%	14.5%
Females as a Percentage of the Workforce	74.6%	69.3%	69.3%	69.3%	69.3%
Persons with Disabilities as a Percentage of the Workforce	6.5%	1.6%	1.6%	1.6%	1.6%

# The Program

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## Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

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### Program Operations

Through its Administration of the Comprehensive Education Strategy (ACES), RIDE provides leadership and support for the entire elementary and secondary education system in Rhode Island and ensures that the mission established by the Board of Regents, the Governor, and the Legislature is implemented. RIDE provides this leadership and support through its various offices: Adult and Career and Technical Education, Instruction and Assessment, Finance, Middle & High School Reform, Network & Information Systems, Policy (Commissioner's Office), Progressive Support & Intervention, Diverse Learners, and Educator Quality & Certification. All RIDE offices work in consort to advocate for a coherent public policy on education, enhance local capacity to improve teaching and learning, sustain an effective accountability system, and build innovative partnerships that create positive change.

### Program Objectives

Increase the ability of schools to use information and analyses as part of the school-improvement planning process; work with schools and districts to improve the quality and timeliness of all data collection; maintain a comprehensive education-information system that integrates local and state data; increase professional development opportunities for all educators.

Ensure that all schools and districts meet all annual measurable objectives in both English language arts and mathematics. Work directly with districts that fail to do so, offering support and, if necessary, intervention strategies, with particular attention to the urban school districts and to the student population of English-language learners.

In consort with other New England states, develop and implement grade-level and grade-span expectations for core subjects and develop appropriate assessments to measure student proficiency; improve the attendance rates, high-school graduation rate, and rate of participation on state assessments so as to meet all annual measurable objectives.

Implement the new Rhode Island Diploma System, with its proficiency-based graduation requirements.

Develop, in consort with other state agencies and working through the Adult Literacy Task Force, a plan for improved adult education and workforce readiness.

### Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization and functions of RIDE.



# The Budget

## Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Commissioner's Office	2,345,031	1,679,083	1,658,951	14,810,532	23,899,398
Center for Accountability	1,541,194	2,647,295	11,523,378	13,232,588	14,504,526
Accelerating School Performance	118,988,401	158,257,553	147,111,880	159,789,872	128,615,781
Educator Excellence & Instruction	32,115,984	28,138,597	32,528,391	32,112,092	28,822,256
Fiscal Integrity & Efficiency	45,024,537	47,945,156	54,911,240	81,372,885	61,657,737
<b>Total Expenditures</b>	<b>200,015,147</b>	<b>238,667,684</b>	<b>247,733,840</b>	<b>301,317,969</b>	<b>257,499,698</b>
<b>Expenditures By Object</b>					
Personnel	30,130,731	28,902,777	32,086,861	42,411,828	47,359,463
Operating Supplies and Expenses	6,563,634	6,004,226	8,366,901	7,621,783	7,312,870
Aid To Local Units Of Government	148,043,588	187,735,715	160,233,635	209,756,119	177,515,945
Assistance, Grants and Benefits	14,987,141	15,870,297	44,458,741	38,125,008	22,917,109
<b>Subtotal: Operating Expenditures</b>	<b>\$199,725,094</b>	<b>\$238,513,015</b>	<b>\$245,146,138</b>	<b>\$297,914,738</b>	<b>\$255,105,387</b>
Capital Purchases and Equipment	290,053	154,669	2,587,702	3,403,231	2,394,311
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$200,015,147</b>	<b>\$238,667,684</b>	<b>\$247,733,840</b>	<b>\$301,317,969</b>	<b>\$257,499,698</b>
<b>Expenditures By Funds</b>					
General Revenue	19,510,982	19,047,812	20,063,593	19,217,184	19,799,462
Federal Funds	175,207,301	213,929,878	219,458,702	274,226,400	230,823,032
Restricted Receipts	4,948,039	5,457,031	5,591,487	4,499,077	4,611,416
Other Funds	348,825	232,963	2,620,058	3,375,308	2,265,788
<b>Total Expenditures</b>	<b>\$200,015,147</b>	<b>\$238,667,684</b>	<b>\$247,733,840</b>	<b>\$301,317,969</b>	<b>\$257,499,698</b>
<b>Program Measures</b>					
Percentage of Rhode Island Elementary School Students Proficient in Reading	69.0%	70.0%	70.0%	73.0%	75.0%
Percentage of Rhode Island Elementary School Students Proficient in Mathematics	61.0%	61.0%	62.0%	63.0%	67.0%
Percentage of Rhode Island Elementary School Students Proficient in Science	40.0%	44.0%	42.0%	46.0%	49.0%
Percentage of Rhode Island Middle School Students Proficient in Reading	68.0%	70.0%	69.0%	72.0%	75.0%
Percentage of Rhode Island Middle School Students Proficient in Mathematics	53.0%	56.0%	54.0%	58.0%	61.0%
Percentage of Rhode Island Middle School Students Proficient in Science	18.0%	23.0%	21.0%	25.0%	28.0%
Percentage of Rhode Island High School Students Proficient in Reading	69.0%	73.0%	70.0%	75.0%	80.0%
Percentage of Rhode Island High School Students Proficient in Mathematics	27.0%	28.0%	30.0%	33.0%	38.0%
Percentage of Rhode Island High School Students Proficient in Science	19.0%	20.0%	21.0%	24.0%	29.0%
High School Graduation Rate	73.9%	75.5%	75.0%	77.5%	80.0%

# The Program

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## Department of Elementary and Secondary Education Davies Career and Technical School

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### Program Operations

With respect to career and technical education, the William M. Davies Jr. Career & Technical High School provides a high-school education program in occupational areas for youths in grades 9-12. The school provides integrated academic and vocational curricula, up-to-date technology programs to meet the varying needs of all students, and strong links to business, industry, postsecondary education, and the community. Davies operates as a local education agency and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

### Program Objectives

Improve student performance by providing students with the career preparation they need to compete and succeed in today's world and the world of the future and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the varying needs of students.

Establish strong partnerships with business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

### Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

# The Budget

## Department of Elementary and Secondary Education Davies Career and Technical School

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	13,556,678	13,152,110	14,271,052	14,086,840	13,918,846
Operating Supplies and Expenses	1,640,407	1,748,274	1,774,018	2,395,598	1,872,035
Aid To Local Units Of Government	61,103	159,186	200,000	560,000	520,880
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$15,258,188</b>	<b>\$15,059,570</b>	<b>\$16,245,070</b>	<b>\$17,042,438</b>	<b>\$16,311,761</b>
Capital Purchases and Equipment	771,928	1,114,292	2,092,888	2,932,324	1,078,915
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$16,030,116</b>	<b>\$16,173,862</b>	<b>\$18,337,958</b>	<b>\$19,974,762</b>	<b>\$17,390,676</b>
<b>Expenditures By Funds</b>					
General Revenue	14,211,423	13,366,261	14,320,912	14,318,832	13,310,129
Federal Funds	1,190,947	1,828,194	2,068,046	2,931,811	2,443,149
Restricted Receipts	-	367	-	-	685,495
Other Funds	627,746	979,040	1,949,000	2,724,119	951,903
<b>Total Expenditures</b>	<b>\$16,030,116</b>	<b>\$16,173,862</b>	<b>\$18,337,958</b>	<b>\$19,974,762</b>	<b>\$17,390,676</b>
<b>Program Measures</b>					
Percentage of Students Who Graduate	59.0%	67.0%	72.0%	72.0%	75.0%

# The Program

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## Department of Elementary and Secondary Education Rhode Island School for the Deaf

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### Program Operations

The primary purpose of the Rhode Island School for the Deaf is to make the benefits of public education accessible to the hearing- and/or speech-impaired children of Rhode Island. The Board of Regents, which is responsible for the educational and internal affairs of the school, appoints a Board of Trustees. Services through the school are open to children who are hearing- and/or speech-impaired and thus require special services. Children are eligible for services from birth to age 21.

### Program Objectives

Improve learning outcomes for hearing- and/or speech-impaired students, including those with additional disabilities, by providing diagnostic and educational services for attending students as well as through a Hearing/Screening Center for students in public and private schools.

Provide support services to the families of hearing- and/or speech-impaired students.

### Statutory History

Title 16 Chapter 26, Section 1-11 of the Rhode Island General Laws refers to the Rhode Island School for the Deaf.

# The Budget

## Department of Elementary and Secondary Education Rhode Island School for the Deaf

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	5,814,567	6,368,684	6,839,939	6,848,962	6,269,571
Operating Supplies and Expenses	295,752	308,772	387,176	552,990	541,728
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	48,673	63,899	97,056	97,056	72,410
<b>Subtotal: Operating Expenditures</b>	<b>\$6,158,992</b>	<b>\$6,741,355</b>	<b>\$7,324,171</b>	<b>\$7,499,008</b>	<b>\$6,883,709</b>
Capital Purchases and Equipment	280,448	165,000	4,576	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,439,440</b>	<b>\$6,906,355</b>	<b>\$7,328,747</b>	<b>\$7,499,008</b>	<b>\$6,883,709</b>
<b>Expenditures By Funds</b>					
General Revenue	5,945,665	5,600,697	6,032,357	5,914,012	5,956,834
Federal Funds	214,226	607,453	575,449	967,344	275,393
Restricted Receipts	-	698,205	720,941	617,652	651,482
Other Funds	279,549	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,439,440</b>	<b>\$6,906,355</b>	<b>\$7,328,747</b>	<b>\$7,499,008</b>	<b>\$6,883,709</b>
<b>Program Measures</b>					
Percentage of Students Who Drop Out	0.0%	0.0%	3.0%	3.0%	3.0%

# The Program

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## Department of Elementary and Secondary Education Metropolitan Career and Technical School

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### Program Operations

The Metropolitan Regional Career and Technical Center (the "Met School") opened in the fall of 1996. The school provides students in grades 9-12 with comprehensive educational support to explore career opportunities in a real-world setting and prepares them for either further education or immediate employment upon graduation. The Metropolitan Regional Career and Technical Center operates as a local education agency, with campuses in Providence and in Newport, and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

### Program Objectives

Improve performance and equip students to compete in today's world by providing them with the work and community-based opportunities they need for career and college preparation and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the needs of each student.

Establish strong partnerships with families, business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

### Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

# The Budget

## Department of Elementary and Secondary Education Metropolitan Career and Technical School

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	15,688	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	11,565,600	11,857,331	13,083,219	12,616,028	11,571,987
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$11,565,600</b>	<b>\$11,873,019</b>	<b>\$13,083,219</b>	<b>\$12,616,028</b>	<b>\$11,571,987</b>
Capital Purchases and Equipment	100,000	5,122	4,000,000	1,094,878	4,000,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$11,665,600</b>	<b>\$11,878,141</b>	<b>\$17,083,219</b>	<b>\$13,710,906</b>	<b>\$15,571,987</b>
<b>Expenditures By Funds</b>					
General Revenue	11,565,600	11,857,331	12,616,028	12,616,028	11,571,987
Federal Funds	-	-	467,191	-	-
Other Funds	100,000	20,810	4,000,000	1,094,878	4,000,000
<b>Total Expenditures</b>	<b>\$11,665,600</b>	<b>\$11,878,141</b>	<b>\$17,083,219</b>	<b>\$13,710,906</b>	<b>\$15,571,987</b>
<b>Program Measures</b>					
Percentage of Students Who Drop-Out	2.5%	2.5%	2.5%	2.5%	2.5%

# The Program

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## Department of Elementary and Secondary Education Education Aid

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### Program Operations

The State of Rhode Island provides direct support to public schools and public-school students through state aid. State aid consists of ten categories of funds distributed to school districts on a monthly basis: General Aid (16-7.1-15); Student Equity Investment Fund (16-7.1-8); Early Childhood Investment Fund (16-7.1-11); Student Technology Investment Fund (16-7.1-12); Language Assistance Investment Fund (16-7.1-9); Targeted Aid (16-7.1-16); Full Day Kindergarten Aid (16-7.1-11.1); Vocational Equity Investment Fund (16-7.1-19); Group Home Aid (16-64-1.1); and the Professional Development Investment Fund (16-7.1-10).

Additional state aid is distributed to districts once a year for specific purposes, e.g., textbooks and school breakfast. Education Aid also includes aid to support charter schools as well as funding used at the state level in support of schools for accountability (e.g., SALT visits), state professional-development activities, and progressive support and intervention. A major component of education aid is the funds provided to districts and schools that are in Corrective Action or Intervention Status, under the terms of the federal No Child Left Behind Act. These funds are used for Progressive Support & Intervention activities (16-7.1-5) and are used primarily in the urban districts in the state.

Beginning July 1, 2011, education aid will be distributed through a new education funding formula. This formula will distribute aid to all districts, charter schools and the state schools: Davies Career and Technical School and the Metropolitan Career and Technical School. Education aid will now be based on the principle that the money follows the student.

### Program Objectives

State aid will be linked through school-district strategic planning efforts to activities that increase student performance in reading, language arts, and mathematics.

School districts with schools in need of improvement will work with the Department of Elementary and Secondary Education to use state aid in conjunction with local and federal resources to increase student and school performance.

### Statutory History

Title 16 of the Rhode Island General Laws establishes and provides for the organization and functions of the department. Title 16, Chapters 7 through 47 of the Rhode Island General Laws refers to education aid.



# The Budget

## Department of Elementary and Secondary Education Education Aid

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	922,374	9,419,191	16,511,199	13,644,368	17,481,939
Operating Supplies and Expenses	1,525,338	1,452,550	2,498,636	1,677,169	1,376,601
Aid To Local Units Of Government	645,490,891	631,170,654	629,819,193	633,983,221	626,760,748
Assistance, Grants and Benefits	-	389,094	-	700,000	700,000
<b>Subtotal: Operating Expenditures</b>	<b>\$647,938,603</b>	<b>\$642,431,489</b>	<b>\$648,829,028</b>	<b>\$650,004,758</b>	<b>\$646,319,288</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	135,000	-	-	-	-
<b>Total Expenditures</b>	<b>\$648,073,603</b>	<b>\$642,431,489</b>	<b>\$648,829,028</b>	<b>\$650,004,758</b>	<b>\$646,319,288</b>
<b>Expenditures By Funds</b>					
General Revenue	606,396,182	586,190,011	614,888,594	615,897,719	624,713,215
Federal Funds	32,173,817	46,602,112	16,322,112	20,203,885	3,515,045
Restricted Receipts	1,563,856	9,639,366	17,618,322	13,903,154	18,091,028
Other Funds	7,939,748	-	-	-	-
<b>Total Expenditures</b>	<b>\$648,073,603</b>	<b>\$642,431,489</b>	<b>\$648,829,028</b>	<b>\$650,004,758</b>	<b>\$646,319,288</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Elementary and Secondary Education Central Falls School District

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### Program Operations

The Central Falls School District provides a comprehensive educational program for students in grades pre-K–12. The system, which is fully funded by the State, operates under the governance of a Board of Trustees appointed by the Board of Regents. A very high percentage of students are eligible for free lunch; there is broad language, cultural, and racial diversity; a large number of students are eligible for English-as-a-Second-Language (ESL) services. Special Education services are provided to approximately 30 percent of the school population, with the majority of the students receiving services in the least-restrictive environment. The district's mobility rate is very high, and the expenditure for general instruction is below the state average.

### Program Objectives

Improve student performance by providing a comprehensive educational program for students in grades pre-K–12 in the Central Falls School District and by decreasing the number of students who drop out of school.

Improve student performance by promoting a standards-based curriculum and setting high expectations for each student and every kind of learner.

Provide teachers with ongoing professional-development opportunities geared to school improvement.

Continue to promote community linkages and to engage families, with a focus on their children's learning.

Collaborate with the Children's Cabinet to expand early-childhood care and education programs and services.

### Statutory History

Title 16, Chapter 16, Section 11 of the Rhode Island General Laws refers to the powers of the department after taking over town schools.

# The Budget

## Department of Elementary and Secondary Education Central Falls School District

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	774	4	-	-	-
Aid To Local Units Of Government	45,173,440	37,187,155	43,047,138	43,047,138	39,757,786
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$45,174,214</b>	<b>\$37,187,159</b>	<b>\$43,047,138</b>	<b>\$43,047,138</b>	<b>\$39,757,786</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$45,174,214</b>	<b>\$37,187,159</b>	<b>\$43,047,138</b>	<b>\$43,047,138</b>	<b>\$39,757,786</b>
<b>Expenditures By Funds</b>					
General Revenue	40,805,462	40,155,253	41,774,118	41,774,118	38,484,766
Federal Funds	4,185,128	(3,151,718)	1,089,396	1,089,396	1,089,396
Other Funds	183,624	183,624	183,624	183,624	183,624
<b>Total Expenditures</b>	<b>\$45,174,214</b>	<b>\$37,187,159</b>	<b>\$43,047,138</b>	<b>\$43,047,138</b>	<b>\$39,757,786</b>
<b>Program Measures</b>					
Percentage of Students Who Drop-Out	22.0%	20.0%	18.0%	18.0%	18.0%

# The Program

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## Department of Elementary and Secondary Education Housing Aid

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### Program Operations

The Department of Elementary and Secondary Education calculates and disburses funding for school housing aid. This aid is provided in order to guarantee adequate school housing for all public-school children in the State and to prevent the cost of school housing from interfering with the effective operation of the schools. The program proposes support of school-district building and infrastructure needs based on reimbursement of successfully completed locally funded capital projects. The reimbursement is linked to district wealth, with poorer communities receiving a higher reimbursement than wealthy districts. The minimum reimbursement has currently been 30 percent, with bonuses for regional school districts and for projects involving asbestos abatement, handicapped accessibility, and energy-conservation work. The 2010 general assembly passed legislation that will increase the minimum share ratio to 35 percent in FY 2012 and 40 percent for FY 2013 and after. Capital repairs, renovations, and new construction are all covered by this program.

### Program Objectives

The State will successfully support the capital needs of school districts in an equitable fashion.

### Statutory History

Title 16, Chapter 7, Sections 35 through 47 established and describes the operation of the School Housing Aid Reimbursement Program.

# The Budget

## Department of Elementary and Secondary Education Housing Aid

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	54,140,052	58,299,115	70,774,727	68,023,009	72,507,180
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$54,140,052</b>	<b>\$58,299,115</b>	<b>\$70,774,727</b>	<b>\$68,023,009</b>	<b>\$72,507,180</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$54,140,052</b>	<b>\$58,299,115</b>	<b>\$70,774,727</b>	<b>\$68,023,009</b>	<b>\$72,507,180</b>
<b>Expenditures By Funds</b>					
General Revenue	54,140,052	58,299,115	70,774,727	68,023,009	72,507,180
<b>Total Expenditures</b>	<b>\$54,140,052</b>	<b>\$58,299,115</b>	<b>\$70,774,727</b>	<b>\$68,023,009</b>	<b>\$72,507,180</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Elementary and Secondary Education Teacher Retirement

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### Program Operations

Membership in the State Employees' Retirement System for teachers began on July 1, 1949. All persons who became teachers on or after that date became members of the state retirement system as a condition of their employment. Funds for the State's contribution to teachers' retirement are appropriated to the Department of Elementary and Secondary Education for transfer to the state retirement fund. The State pays 40 percent of the employer's (i.e., district's) share of the retirement contribution due each year. The employer's share is determined annually, based on actuarial reports produced by the State Employees' Retirement System.

### Program Objectives

Fund the State's contribution to the state retirement system for teachers.

### Statutory History

Title 16, Chapter 16 of the Rhode Island General Laws refers to the teachers' retirement program.

# The Budget

## Department of Elementary and Secondary Education Teacher Retirement

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	-
Operating Supplies and Expenses	-	-	-	-	-
Aid To Local Units Of Government	73,592,722	68,550,306	75,598,212	69,653,293	82,671,070
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$73,592,722</b>	<b>\$68,550,306</b>	<b>\$75,598,212</b>	<b>\$69,653,293</b>	<b>\$82,671,070</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$73,592,722</b>	<b>\$68,550,306</b>	<b>\$75,598,212</b>	<b>\$69,653,293</b>	<b>\$82,671,070</b>
<b>Expenditures By Funds</b>					
General Revenue	73,592,722	68,550,306	75,598,212	69,653,293	82,671,070
<b>Total Expenditures</b>	<b>\$73,592,722</b>	<b>\$68,550,306</b>	<b>\$75,598,212</b>	<b>\$69,653,293</b>	<b>\$82,671,070</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Agency

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## Public Higher Education

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### Agency Operations

The mission of the Rhode Island Board of Governors for Higher Education is to provide an excellent, efficient, accessible and affordable system of higher education designed to improve the overall educational attainment of Rhode Islanders and thereby enrich the intellectual, economic, social and cultural life of the state, its residents and its communities.

The Board consists of twelve public members appointed by the Governor and the Chair of the Board of Regents for Elementary/Secondary Education. The Board is staffed by the Office of Higher Education under the direction of the Commissioner who also serves as Chief Executive Officer of the Board.

The Office of Higher Education is the policy development, research and monitoring arm of the Board of Governors. The Office is organized into four units: Academic and Student Affairs, External Affairs, Legal and Labor Relations, and Finance and Management. Its principal responsibilities include: the preparation of a public higher education budget and capital development program and the development of policies in the pursuit of the primary goal of improving overall educational attainment in the state through a commitment to excellence, opportunity and access, diversity and responsiveness, coordination, and accountability in public higher education.

### Statutory History

In 1981, The Rhode Island Legislature reorganized the governance of education in Rhode Island in the effect dividing the Board of Regents, then responsible for education from kindergarten through the graduate level, into two governing units -- the Board of Regents, which retained responsibility for Elementary/Secondary Education, and the Board of Governors, which was given responsibility for higher education. The legislature held that the Board of Governors not be a Department of State government, but rather an independent public corporation which acts in concert and cooperation with the executive and legislative branches in the best interest of the higher education needs of Rhode Islanders. This legal independence applies not only to matters of educational policy, but also to fiscal and budgetary matters, personnel administration and property ownership and control.



# The Budget

## Public Higher Education

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Board of Governors/OHE	9,875,804	9,491,834	10,707,178	11,557,177	11,687,899
University of Rhode Island	570,725,942	620,928,530	639,058,662	684,244,113	687,096,453
Rhode Island State Forensics (RISCL at URI)	-	-	-	-	775,000
Rhode Island College	136,939,521	145,140,954	155,399,790	162,067,160	155,044,257
Community College of Rhode Island	124,868,921	125,990,147	132,636,759	138,212,102	140,354,652
<b>Total Expenditures</b>	<b>\$842,410,188</b>	<b>\$901,551,465</b>	<b>\$937,802,389</b>	<b>\$996,080,552</b>	<b>\$994,958,261</b>
<b>Expenditures By Object</b>					
Personnel	417,651,492	424,583,892	442,557,243	445,471,824	452,344,154
Operating Supplies and Expenses	172,743,838	183,958,126	186,374,197	194,895,151	202,701,417
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	187,442,838	229,873,160	221,286,195	246,840,861	271,604,004
<b>Subtotal: Operating Expenditures</b>	<b>\$777,838,168</b>	<b>\$838,415,178</b>	<b>\$850,217,635</b>	<b>\$887,207,836</b>	<b>\$926,649,575</b>
Capital Purchases and Equipment	15,844,157	20,000,895	41,760,888	66,240,008	24,457,602
Debt Service	34,865,595	37,263,775	42,806,975	40,399,246	41,317,141
Operating Transfers	13,862,268	5,871,617	3,016,891	2,233,462	2,540,405
<b>Total Expenditures</b>	<b>\$842,410,188</b>	<b>\$901,551,465</b>	<b>\$937,802,389</b>	<b>\$996,080,552</b>	<b>\$994,964,723</b>
<b>Expenditures By Funds</b>					
General Revenue	170,880,181	161,108,248	163,606,843	162,573,194	173,400,638
Federal Funds	3,735,333	3,746,126	15,004,667	32,657,457	4,594,756
Restricted Receipts	651,932	738,830	930,000	930,000	941,338
Other Funds	667,142,742	735,958,261	758,260,879	799,919,901	816,021,529
<b>Total Expenditures</b>	<b>\$842,410,188</b>	<b>\$901,551,465</b>	<b>\$937,802,389</b>	<b>\$996,080,552</b>	<b>\$994,958,261</b>
<b>FTE Authorization</b>	<b>3,395.0</b>	<b>3,342.2</b>	<b>3,367.1</b>	<b>3,432.1</b>	<b>3,449.6</b>
<b>Sponsored Research</b>	<b>785.0</b>	<b>687.7</b>	<b>785.0</b>	<b>785.0</b>	<b>785.0</b>
<b>Total</b>	<b>4,180.0</b>	<b>4,029.9</b>	<b>4,152.1</b>	<b>4,217.1</b>	<b>4,234.6</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	9.1%	11.1%	11.1%	11.5%	11.5%
Females as a Percentage of the Workforce	53.3%	56.9%	56.9%	56.5%	56.5%
Persons with Disabilities as a Percentage of the Workforce	-	2.7%	2.7%	3.3%	3.3%

# The Program

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## **Public Higher Education Board of Governors/Office of Higher Education**

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### **Program Operations**

The Board of Governors for Higher Education was established by the General Assembly in 1981.

### **Program Objective**

To ensure that public higher education remains economically and geographically accessible to all qualified residents in Rhode Island; deliver public higher education programs on a cost-effective basis; remain coordinated in program offerings, responsive in services and accountable in operations; and to pursue the major goal of excellence in education.

### **Statutory History**

The Board of Governors is established under Title 16, Chapter 59 of the Rhode Island General Laws, and is successor to the authority previously exercised over public higher education by the Board of Regents for Education until 1981. At that time, the Board of Regents was abolished and its authority vested in three new entities: The Board of Governors for Higher Education; the Board of regents for Elementary/Secondary Education as designated in Title 26, Chapter 60; and the Rhode Island Public Telecommunications Authority as designated in Title 16, Chapter 61 of the Rhode Island General Laws.

# The Budget

## Public Higher Education Board of Governors/Office of Higher Education

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	2,207,275	2,001,997	2,505,191	3,273,335	3,619,005
Operating Supplies and Expenses	2,246,002	3,608,562	3,524,143	3,459,928	3,307,391
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	5,422,527	3,853,088	4,080,641	4,806,244	4,761,503
<b>Subtotal: Operating Expenditures</b>	<b>9,875,804</b>	<b>9,463,647</b>	<b>10,109,975</b>	<b>11,539,507</b>	<b>11,687,899</b>
Capital Purchases and Equipment	-	28,187	69,000	17,670	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	528,203	-	-
<b>Total Expenditures</b>	<b>\$9,875,804</b>	<b>\$9,491,834</b>	<b>\$10,707,178</b>	<b>\$11,557,177</b>	<b>\$11,687,899</b>
<b>Expenditures By Funds</b>					
General Revenue	6,610,474	6,628,390	6,973,398	6,973,398	7,099,605
Federal Funds	3,265,330	2,753,192	3,733,780	4,583,779	4,588,294
Restricted Receipts	-	110,252	-	-	-
<b>Total Expenditures</b>	<b>\$9,875,804</b>	<b>\$9,491,834</b>	<b>\$10,707,178</b>	<b>\$11,557,177</b>	<b>\$11,687,899</b>
<b>Program Measures</b>					
Public Higher Education Enrollees Aged 18-24 as a Percentage of State Population Aged 18-24	22.2%	20.1%	19.9%	19.9%	19.9%

# The Program

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## Public Higher Education University of Rhode Island

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### Program Operations

The University of Rhode Island is the principal public research and graduate institution in the State of Rhode Island with responsibilities for expanding knowledge, for transmitting it, and for fostering its application. Its status as a land grant, sea grant, and urban grant institution highlights its traditions of natural resource, marine, and urban-related research. The University is committed to providing strong undergraduate programs to promote students' ethical development and capabilities as critical and independent thinkers. To meet student and societal needs, it offers undergraduate professional education programs in a wide range of disciplines. Graduate programs provide rigorous advanced study and research opportunities for personal and professional development. With undergraduate and graduate programs in the liberal arts and sciences and focus programs in the areas of marine and environmental studies; health; children, families, and communities; and enterprise and advanced technology, the University strives to meet the rapidly changing needs of the State, the country, and the world.

### Program Objective

Fulfill the education mission of the University by providing traditional and non-traditional opportunities for education at the undergraduate and graduate levels, conduct research, and support other scholarly activities.

### Statutory History

Title 16, Chapters 31 and 32 of the Rhode Island General Laws relate to the University of Rhode Island.

# The Budget

## Public Higher Education University of Rhode Island

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	251,369,428	257,526,342	267,730,631	268,669,158	270,222,045
Operating Supplies and Expenses	126,504,148	136,852,548	136,620,234	144,420,124	151,090,993
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	147,303,389	182,682,324	174,673,792	199,043,235	214,516,021
<b>Subtotal: Operating Expenditures</b>	<b>525,176,965</b>	<b>577,061,214</b>	<b>579,024,657</b>	<b>612,132,517</b>	<b>635,829,059</b>
Capital Purchases and Equipment	12,714,126	12,273,749	23,579,397	38,379,431	16,962,274
Debt Service	27,134,851	31,298,955	36,454,608	33,732,165	34,305,120
Operating Transfers	5,700,000	294,612	-	-	-
<b>Total Expenditures</b>	<b>\$570,725,942</b>	<b>\$620,928,530</b>	<b>\$639,058,662</b>	<b>\$684,244,113</b>	<b>687,096,453</b>
<b>Expenditures By Funds</b>					
General Revenue	74,782,811	70,962,356	72,586,223	71,625,646	74,865,676
Federal Funds	470,003	955,311	5,036,744	12,498,356	-
Other Funds	495,473,128	549,010,863	561,435,695	600,120,111	612,224,315
<b>Total Expenditures</b>	<b>\$570,725,942</b>	<b>\$620,928,530</b>	<b>\$639,058,662</b>	<b>\$684,244,113</b>	<b>687,089,991</b>
<b>Program Measures</b>					
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	6.0%	9.8%	9.8%	9.9%	0.0%
Minority Enrollment - African Americans	4.4%	4.6%	4.6%	5.1%	5.1%
Minority Enrollment - Hispanics	4.6%	5.0%	5.0%	6.4%	6.4%
Minority Enrollment - Native Americans	0.4%	0.4%	0.4%	0.3%	0.3%
Minority Enrollment - Asians	2.8%	2.9%	2.9%	2.9%	2.9%
Percentage Nursing Students Passing State Licensing Exams	88.5%	96.6%	96.6%	91.5%	93.0%
Six-Year Graduation Rates	57.9%	60.1%	60.1%	62.1%	62.1%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	80.1%	78.8%	78.8%	80.7%	80.7%

# The Program

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## **Public Higher Education Rhode Island State Forensics (RISCL at URI)**

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### **Program Operations**

Rhode Island State Forensics at the University of Rhode Island is a new program that includes: The Rhode Island State Crime Laboratory (RISCL) and offers a range of scientific services for all appropriate agencies investigating evidence related to federal, state or local crimes. Not all services are available on site and services offered may change from time to time depending on the availability of scientific expertise. However, evidence may be delivered to the laboratory for transfer to the appropriate laboratory which does offer the requested service(s).

### **Program Objective**

The goal of the RISCL is to provide our customers with scientific results and administrative services in a useful time-frame. The RISCL has defined its customer base as all appropriate agencies investigating evidence relating to federal, state or local crimes. The RISCL is committed to meeting the needs and expectations of all of our customers utilizing a philosophy of quality and service.

### **Statutory History**

The Rhode Island State Crime Laboratory had its beginnings in 1949 with the arrival of Dr. Harold C. Harrison at the University of Rhode Island's Department of Chemistry. Dr. Harrison had a passion for applying science to Police investigations. He first offered his expertise to the South Kingstown Police Department, in a breaking and entering case in which he matched glass from a store-front window to samples of glass found in the pant cuffs of a suspect.

With the elimination of the federal grants, legislation enacted in 1978 created a State Crime Laboratory Commission and the State Crime Laboratory at the University of Rhode Island (RIGL 12-1.1)

In 1980, the State of Rhode Island through the Department of the Attorney General, received a grant from the federal government to improve the prosecution of arson cases. This provided the laboratory an opportunity to purchase new equipment to examine this type of evidence and to add a third examiner to the staff. Funding was transferred to the Department of Health (RIGL 12-1.2-4) in 2009 and will be transferred to the Board of Governors for Higher Education in 2011.

# The Budget

## Public Higher Education Rhode Island State Forensics (RISCL at URI)

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	-	-	-	-	639,448
Operating Supplies and Expenses	-	-	-	-	63,000
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	-	-	-	-	<b>702,448</b>
Capital Purchases and Equipment	-	-	-	-	72,552
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>775,000</b>
<b>Expenditures By Funds</b>					
General Revenue	-	-	-	-	775,000
Federal Funds	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$775,000</b>
<b>Program Measures</b>	-	-	-	-	<b>NA</b>

# The Program

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## **Public Higher Education Rhode Island College**

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### **Program Operations**

Rhode Island College is the State's comprehensive public institution of higher education. Its primary mission is to make its academic programs available to any qualified resident of Rhode Island who can benefit from its educational services. The College offers programs of study in that array of disciplines traditionally considered as the liberal arts, including humanities, social sciences, sciences, and fine arts, and a broad array of professional offerings in the health and helping professions, education, management, and technology. Selected curricula are offered at the graduate level within the liberal arts and in applied and practitioner oriented fields within the service sector. Liberal education refers to learning opportunities designed to ensure that students have a breadth of educational experiences, which foster the development of insights and multiple perspectives on ideas, issues, and events, as well as an appreciation of the interconnectedness of fields of knowledge, and the value dimensions of the human condition.

### **Program Objective**

The College is dedicated to making a high quality education accessible to a diverse group of students. Through its educational, social and cultural programs and activities, the College seeks to contribute to the professional mobility and advancement of its students and alumni, to the economic development of the State of Rhode Island, and to the enrichment of the larger community.

### **Statutory History**

Title 16, Chapters 31 and 33 of the Rhode Island General Laws relate to Rhode Island College.



# The Budget

## Public Higher Education Rhode Island College

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	87,314,930	88,767,429	91,970,232	92,673,027	93,219,416
Operating Supplies and Expenses	19,375,334	24,198,291	23,786,245	24,371,125	25,210,673
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	17,258,896	22,875,862	22,314,811	22,698,777	25,449,849
<b>Subtotal: Operating Expenditures</b>	<b>123,949,160</b>	<b>135,841,582</b>	<b>138,071,288</b>	<b>139,742,929</b>	<b>143,879,938</b>
Capital Purchases and Equipment	1,918,369	1,319,801	10,333,287	15,231,983	3,514,459
Debt Service	6,121,017	4,447,738	4,703,523	5,055,782	5,311,073
Operating Transfers	4,950,975	3,531,833	2,291,692	2,036,466	2,338,787
<b>Total Expenditures</b>	<b>\$136,939,521</b>	<b>\$145,140,954</b>	<b>\$155,399,790</b>	<b>\$162,067,160</b>	<b>\$155,044,257</b>
<b>Expenditures By Funds</b>					
General Revenue	43,174,012	39,383,409	39,539,730	39,504,203	41,600,161
Federal Funds	-	37,623	3,973,232	9,926,684	-
Other Funds	93,765,509	105,719,922	111,886,828	112,636,273	113,444,096
<b>Total Expenditures</b>	<b>\$136,939,521</b>	<b>\$145,140,954</b>	<b>\$155,399,790</b>	<b>\$162,067,160</b>	<b>\$155,044,257</b>
<b>Program Measures</b>					
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	9.8%	7.3%	7.3%	9.0%	0.0%
Minority Enrollment - African Americans	5.6%	5.2%	5.2%	5.3%	5.3%
Minority Enrollment - Hispanics	6.6%	6.0%	6.0%	6.2%	7.0%
Minority Enrollment - Native Americans	0.4%	0.3%	0.3%	0.3%	0.3%
Minority Enrollment - Asians	2.1%	1.6%	2.0%	2.4%	2.0%
Percentage Nursing Students Passing State Licensing Exams	92.9%	95.2%	98.1%	94.8%	95.0%
Six-Year Graduation Rates	46.1%	44.8%	45.0%	45.3%	45.0%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	76.6%	77.5%	77.5%	76.0%	76.0%

# The Program

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## **Public Higher Education Community College of Rhode Island**

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### **Program Operations**

The Community College of Rhode Island is the largest public, two-year degree-granting college in New England. The Community College provides a variety of vocational, technical and academic programs at campuses in Warwick, Lincoln and Providence.

As a community-based college, the Community College is also committed to providing a wide range of programs, workshops and seminars to benefit area students, businesses and governmental agencies. In every sense, the Community College of Rhode Island strives to meet the educational needs of the commuters and the people of the state.

### **Program Objective**

Fulfill the education mission of the Community College by providing academic transfer and career-oriented training of the highest caliber giving special attention to the quality of offerings and effectiveness of instruction, and offering students support services necessary to achieve their educational goals.

### **Statutory History**

Title 16, Chapters 31, 33.1, and 44 of the Rhode Island General Laws relate to the Community College of Rhode Island.

# The Budget

## Public Higher Education Community College of Rhode Island

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	76,759,859	76,288,124	80,351,189	80,856,304	84,644,240
Operating Supplies and Expenses	24,618,354	19,298,725	22,443,575	22,643,974	23,029,360
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	17,458,026	20,461,886	20,216,951	20,292,605	26,876,631
<b>Subtotal: Operating Expenditures</b>	<b>118,836,239</b>	<b>116,048,735</b>	<b>123,011,715</b>	<b>123,792,883</b>	<b>134,550,231</b>
Capital Purchases and Equipment	1,211,662	6,379,158	7,779,204	12,610,924	3,901,855
Debt Service	1,609,727	1,517,082	1,648,844	1,611,299	1,700,948
Operating Transfers	3,211,293	2,045,172	196,996	196,996	201,618
<b>Total Expenditures</b>	<b>\$124,868,921</b>	<b>\$125,990,147</b>	<b>\$132,636,759</b>	<b>\$138,212,102</b>	<b>\$140,354,652</b>
<b>Expenditures By Funds</b>					
General Revenue	46,312,884	44,134,093	44,507,492	44,469,947	49,060,196
Federal Funds	-	-	2,260,911	5,648,638	-
Restricted Receipts	651,932	628,578	930,000	930,000	941,338
Other Funds	77,904,105	81,227,476	84,938,356	87,163,517	90,353,118
<b>Total Expenditures</b>	<b>\$124,868,921</b>	<b>\$125,990,147</b>	<b>\$132,636,759</b>	<b>\$138,212,102</b>	<b>\$140,354,652</b>
<b>Program Measures</b>					
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	8.6%	9.3%	9.3%	8.2%	0.0%
Minority Enrollment - African Americans	7.5%	8.0%	8.0%	8.0%	8.5%
Minority Enrollment - Hispanics	12.1%	12.3%	12.3%	12.4%	13.8%
Minority Enrollment - Native Americans	0.6%	0.6%	0.6%	0.6%	0.6%
Minority Enrollment - Asians	2.6%	2.5%	2.5%	2.9%	2.8%
Percentage Nursing Students Passing State Licensing Exams (RN)	90.0%	90.0%	90.0%	95.0%	96.0%
Percentage Nursing Students Passing State Licensing Exams (LPN)	98.0%	98.0%	98.0%	90.0%	100.0%
Student Success Rate	32.5%	33.0%	33.0%	30.0%	31.3%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	60.9%	62.0%	62.0%	62.0%	62.0%

# The Agency

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## Rhode Island Council on the Arts

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### Agency Operations

The Rhode Island State Council on the Arts was established in 1967. It provides grants, technical assistance and support to arts organizations, schools, community centers, social service organizations, and local governments to bring the arts into the lives of Rhode Islanders. For effective delivery of services to the field, the agency's activities are guided by three core functions: grant-making, partnerships, and outreach and facilitation.

Funding within the agency's various grant categories allows the council to address its primary function by awarding grants to non-profit organizations and providing arts programming to support non-profit organizations, schools and individual artists.

The second core function refers to developing strategic partnerships with major institutions and entities from the public and private sectors in order to broaden support for the arts. A few current partners include: the National Endowment for the Arts, the New England Foundation for the Arts, the Rhode Island Foundation, the Alliance for Arts Education, the Arts & Business Council of Rhode Island, and the State Department of Elementary and Secondary Education.

The third core function of the council includes providing technical assistance and information services. These services include management assistance to individual artists and arts organizations, workshops and general dissemination of information through a wide variety of media including on-line information services.

Motion pictures and television play a significant role in the cultural life of the state. Film and TV has grown during the past several decades into an important art form, and film and TV on the national and international level is a formidable economic engine. The combination of the Rhode Island Film & Television Office with the Rhode Island State Council on the Arts reinforces state support for these art forms, enhances the attractiveness of the state to national and international productions, and facilitates economic progress for the state.

The Art for Public Facilities Program allows the council to acquire works of art for state buildings regularly accessible to the general public in order to expand the public experience of art. The council coordinates the process for commissioning art in public facilities in compliance with the Allocation for Art for Public Facilities Act.

### Agency Objectives

To ensure that the arts in Rhode Island communities continue to grow and play an increasing role in the welfare and educational experience of Rhode Islanders.

### Statutory History

R.I.G.L. 42-75 defines the role of the council.

# The Budget

## Rhode Island Council on the Arts

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	662,858	726,573	797,929	805,192	868,688
Operating Supplies and Expenses	57,565	70,066	103,932	100,188	106,011
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,649,972	2,045,037	1,752,475	1,752,475	1,652,475
<b>Subtotal: Operating Expenditures</b>	<b>\$2,370,395</b>	<b>\$2,841,676</b>	<b>\$2,654,336</b>	<b>\$2,657,855</b>	<b>\$2,627,174</b>
Capital Purchases and Equipment	231,774	172,782	400,000	415,000	400,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,602,169</b>	<b>\$3,014,458</b>	<b>\$3,054,336</b>	<b>\$3,072,855</b>	<b>\$3,027,174</b>
<b>Expenditures By Funds</b>					
General Revenue	1,591,482	1,615,295	1,668,346	1,687,286	1,619,110
Federal Funds	698,153	1,117,813	950,990	950,569	973,064
Restricted Receipts	83,440	100,000	-	-	-
Other Funds	229,094	181,350	435,000	435,000	435,000
<b>Total Expenditures</b>	<b>\$2,602,169</b>	<b>\$3,014,458</b>	<b>\$3,054,336</b>	<b>\$3,072,855</b>	<b>\$3,027,174</b>
<b>FTE Authorization</b>	<b>7.6</b>	<b>8.6</b>	<b>8.6</b>	<b>8.6</b>	<b>8.6</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	11.6%	11.6%	11.6%	11.6%
Females as a Percentage of the Workforce	69.8%	69.8%	69.8%	69.8%	69.8%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Number of Individuals Benefiting from Council-Assisted Programs	4,046,441	1,124,239	3,000,000	3,000,000	3,000,000
Number of Artists Participating in Council-Assisted Programs	15,372	13,917	15,000	15,000	15,000

# The Agency

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## Rhode Island Atomic Energy Commission

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### Agency Operations

The Rhode Island Nuclear Science Center (RINSC) is used for medical, biological, environmental, and materials research, education and commercial activities. The staff runs the Radiation Safety Program for the University of Rhode Island. The Director serves on the State Radiation Advisory Commission and has taken over responsibility for low-level radioactive waste disposal activities.

The center's state-of-the-art analytic laboratories and equipment are currently being used for several environmental monitoring programs sponsored by the Department of Environmental Management, the Narragansett Bay Commission and other agencies. Several years ago, the facility completed a multi-year, \$3 million dollar reactor upgrade program financed through Department of Energy Grants. In 1993, the reactor was converted to a new low enriched uranium fuel system that has greatly reduced security requirements and associated costs while providing a significant improvement in performance. Subsequent grants have resulted in the addition of required mechanical and electronic equipment necessary to substantially increase reactor capability. These improvements will permit the RINSC to compete successfully for production of medical isotopes and will provide the necessary neutron flux to conduct Neutron Capture Therapy that is a promising new method of curing brain cancer and skin cancer. Engineering, design and fabrication work is currently in progress for the construction of a cancer treatment facility and researchers at Brown University, and the RINSC has received a grant to develop new compounds for use at this facility. This multi-year grant supports a collaborative effort with the Massachusetts Institute of Technology (MIT) to develop a successful treatment for one of the most deadly forms of brain cancer.

A laboratory for the development of new radio-pharmaceuticals has been completed by R.I. Consultants. This company recently developed a new method of utilizing radio-isotopes to prevent clogging of the arteries after angioplasty and they are currently developing new products for several research groups. BioPAL Incorporated is making extensive use of the reactor to conduct analysis of medical samples for a variety of treatment and research purposes. They have developed a new method of using medical isotopes that eliminate the dose to patients during diagnostic treatments. SubChem Systems Inc. has just completed a new laboratory building on the South Lab Wing for the development of underwater sensors for weapons of mass destruction. RINSC is located at the University of Rhode Island, Bay Campus, in Narragansett. The center contains a state-of-the-art nuclear counting system, laboratories, a mass spectrometer, a class-100 clean room and facilities for handling and storage of radioactive material. The Rhode Island Nuclear Science Center has operated on a daily basis without incident since 1962.

### Agency Objectives

To operate and maintain the facilities at the RINSC, to support projects in all areas and to actively seek commercial projects, and to provide assistance to other state agencies in their radiation and emergency response programs.

### Statutory History

R.I.G.L. 42-27 establishes the commission for matters relating to nuclear power.

# The Budget

## Rhode Island Atomic Energy Commission

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	921,023	880,891	1,012,867	1,013,605	1,052,190
Operating Supplies and Expenses	146,555	225,904	409,483	388,577	389,336
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,067,578</b>	<b>\$1,106,795</b>	<b>\$1,422,350</b>	<b>\$1,402,182</b>	<b>\$1,441,526</b>
Capital Purchases and Equipment	51,495	34,928	70,000	70,000	70,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,119,073</b>	<b>\$1,141,723</b>	<b>\$1,492,350</b>	<b>\$1,472,182</b>	<b>\$1,511,526</b>
<b>Expenditures By Funds</b>					
General Revenue	850,234	769,039	875,781	861,031	879,592
Federal Funds	51,548	130,200	300,159	314,104	324,104
Other Funds	217,291	242,484	316,410	297,047	307,830
<b>Total Expenditures</b>	<b>\$1,119,073</b>	<b>\$1,141,723</b>	<b>\$1,492,350</b>	<b>\$1,472,182</b>	<b>\$1,511,526</b>
<b>FTE Authorization</b>	<b>8.6</b>	<b>8.6</b>	<b>8.6</b>	<b>8.6</b>	<b>8.6</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	-	8.0%	8.0%	8.0%
Females as a Percentage of the Workforce	33.3%	44.4%	44.4%	44.4%	44.0%
Persons with Disabilities as a Percentage of the Workforce	11.0%	11.0%	11.0%	11.0%	11.0%
<b>Program Measures</b>					
Actual Operational Hours Spent as a Percentage of Operational Hour Goal of 1,820	25.0%	51.0%	51.0%	51.0%	51.0%
Actual Irradiations Provided as a Percentage of Irradiation Goal of 20,000 Sample Hours	14.0%	13.8%	13.8%	13.8%	13.8%

# The Agency

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## Rhode Island Higher Education Assistance Authority

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### Agency Operations

The Higher Education Assistance Authority (RIHEAA or “the Authority”) is organized into three divisions which administer respectively: the Scholarship and Grant Program; the Tuition Savings Program (*CollegeBoundfund*®); and the Loan Program. The Scholarship and Grant Program administers various student financial assistance programs funded by general revenue appropriations from the State of Rhode Island, as well as by funds received from the U.S. Department of Education and funds derived from other sources. These programs include State Scholarship/Grant Program, the Federal LEAP/SLEAP Programs and the College Access Challenge Grants. The Tuition Savings Program administers the activities of the *CollegeBoundfund*®, including the student financial assistance programs funded by revenues generated by *CollegeBoundfund*®. Those student financial assistance programs are the Academic Promise Scholarship Program, the *CollegeBoundfund*® Matching Grant Program and the Adult Education Grant Program. In addition, revenues from *CollegeBoundfund*® are used to supplement the State Grant Program. The Loan Program administers RIHEAA’s activities as the state designated guaranty agency in the Federal Family Education Loan Program (FFELP) assisting students and their parents in financing higher education expenses with federally guaranteed student loans. As part of Loan Program’s federally mandated responsibilities to conduct early awareness and college outreach activities, RIHEAA has initiated [WaytogoRI.org](http://WaytogoRI.org), an online web portal provided free to all Rhode Island residents, all elementary and secondary schools (public, private and parochial) and post-secondary institutions. [WaytogoRI.org](http://WaytogoRI.org) allows students, parents and educators to explore education and career options, plan and prepare for college and careers, conduct college and career searches and apply on-line and transmit academic transcripts electronically for college admission.

### Agency Objectives

The Authority provides a system of financial assistance programs consisting of the Scholarship and Grant Program, the Tuition Savings Program, and as a guaranty agency in the Federal Family Education Loan Program. Together, these programs enhance the aspirational and motivational levels of students and provide tools for educators/administrators in every school district in Rhode Island by: enabling early awareness of the relevance, importance, and value of college and career planning; promoting access to higher education; supporting the state’s workforce needs; and providing financial assistance to qualified students and parents, many of whom are or might be discouraged and/or restricted from participating in post-secondary education because of insufficient financial resources.

### Statutory History

The Authority was created in 1977 to administer the grant, scholarship, and loan programs for the State of Rhode Island, replacing a previously existing loan entity and assuming some functions of the Department of Education. Title 16 Chapter 56 and 57 of the Rhode Island General Laws establish and provide for the organization, functions, and statutory award formula of the Authority.



# The Budget

## Rhode Island Higher Education Assistance Authority

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Scholarship and Grants	7,976,460	7,318,783	6,888,349	7,727,058	6,335,230
Loans	12,430,607	10,166,785	11,879,335	12,769,056	13,336,197
Tuition Savings	6,837,701	6,183,791	7,021,425	7,020,659	7,740,720
<b>Total Expenditures</b>	<b>\$27,244,768</b>	<b>\$23,669,359</b>	<b>\$25,789,109</b>	<b>\$27,516,773</b>	<b>\$27,412,147</b>
<b>Expenditures By Object</b>					
Personnel	6,840,433	8,663,516	8,953,748	10,613,701	11,226,305
Operating Supplies and Expenses	2,736,678	2,339,756	3,739,659	2,986,500	3,002,713
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	17,667,657	12,665,087	12,594,702	13,416,572	12,683,129
<b>Subtotal: Operating Expenditures</b>	<b>\$27,244,768</b>	<b>\$23,668,359</b>	<b>\$25,288,109</b>	<b>\$27,016,773</b>	<b>\$26,912,147</b>
Capital Purchases and Equipment	-	1,000	501,000	500,000	500,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$27,244,768</b>	<b>\$23,669,359</b>	<b>\$25,789,109</b>	<b>\$27,516,773</b>	<b>\$27,412,147</b>
<b>Expenditures By Funds</b>					
General Revenue	7,283,678	6,611,632	6,723,347	7,320,186	6,163,104
Federal Funds	13,123,389	10,873,936	12,044,337	13,175,928	13,508,323
Other Funds	6,837,701	6,183,791	7,021,425	7,020,659	7,740,720
<b>Total Expenditures</b>	<b>\$27,244,768</b>	<b>\$23,669,359</b>	<b>\$25,789,109</b>	<b>\$27,516,773</b>	<b>\$27,412,147</b>
<b>FTE Authorization</b>	<b>42.6</b>	<b>37.3</b>	<b>41.6</b>	<b>41.6</b>	<b>41.6</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	10.3%	8.3%	8.3%	5.0%	7.0%
Females as a Percentage of the Workforce	79.5%	79.5%	79.5%	71.5%	71.5%
Persons with Disabilities as a Percentage of the Workforce	7.7%	6.3%	6.3%	2.0%	2.0%
<b>Program Measures</b>					
Percentage of Eligible Students Receiving Grants	47.6%	52.1%	52.1%	52.1%	52.1%
Average Grant Award	\$850	\$779	\$779	\$750	\$708
State Grant as a Percentage of Unmet Need Prior to State Grants	7.2%	6.5%	6.5%	6.2%	5.8%

# The Agency

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## Historical Preservation and Heritage Commission

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### Agency Operations

The Rhode Island Historical Preservation and Heritage Commission is the state office for historic preservation and for sponsorship of state heritage activities. It is Rhode Island's only statewide preservation program to identify and protect historic buildings, districts, and archeological sites. The commission is responsible for developing a state historical preservation plan, and for survey and planning activities which provide for in-depth studies of each city and town to identify, evaluate, and record sites, structures, districts, and objects of historical, architectural, cultural, or archeological significance to the State.

The commission sponsors and/or coordinates heritage festivals, heritage seminars, conferences, and symposia, and publishes scholarly and popular works relating to the social, political, and cultural development of the State. It also coordinates programs with other private or public groups or agencies which will meet the cultural needs of Rhode Island's citizens. The commission also organizes the Eisenhower House at Fort Adams State Park in Newport.

The commission develops and implements programs for the preservation of historic resources. Federal and state projects are reviewed by the commission to assess their effect on specific cultural resources. The commission administers programs of financial assistance, which include grants, loans, and tax credits for restoration projects. A wide range of information and technical assistance is provided to citizens who own historic properties or are interested in preserving the heritage of their neighborhood and community. The commission certifies municipal historic district zoning programs, which meet minimum standards, and annually administers funds to these "certified local governments."

### Agency Objectives

Identify and protect historic and prehistoric sites, buildings, and districts throughout the State of Rhode Island and commemorate the history and culture of the State; promote and preserve the State's ethnic and cultural traditions; and provide a better understanding of the various ethnic cultures, which comprise the State's population.

### Statutory History

Title 42, Chapter 45 of the Rhode Island General Laws established the Rhode Island Historical Preservation Commission and defined the duties of the Executive Director and commission members. Executive Order Number 10, issued July 1, 1977, initially created the Heritage Commission. In 1981, the General Assembly enacted Title 42, Chapter 79 of the Rhode Island General Laws establishing the commission as a permanent state agency. In the 1994 legislative session the General Assembly repealed Title 42, Chapter 79 and amended Title 42, Chapter 45 to add Heritage Commission responsibilities creating the Rhode Island Historical Preservation and Heritage Commission.

# The Budget

## Historical Preservation and Heritage Commission

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,493,258	1,487,935	1,591,716	1,637,118	1,740,394
Operating Supplies and Expenses	93,986	88,463	110,554	113,417	116,579
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	423,119	322,492	945,626	952,969	952,969
<b>Subtotal: Operating Expenditures</b>	<b>\$2,010,363</b>	<b>\$1,898,890</b>	<b>\$2,647,896</b>	<b>\$2,703,504</b>	<b>\$2,809,942</b>
Capital Purchases and Equipment	10,776	2,321	16,075	16,075	16,075
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,021,139</b>	<b>\$1,901,211</b>	<b>\$2,663,971</b>	<b>\$2,719,579</b>	<b>\$2,826,017</b>
<b>Expenditures By Funds</b>					
General Revenue	1,241,496	1,256,875	1,348,717	1,402,519	1,501,641
Federal Funds	509,473	589,499	835,804	841,508	846,195
Restricted Receipts	270,170	54,837	479,450	475,552	478,181
<b>Total Expenditures</b>	<b>\$2,021,139</b>	<b>\$1,901,211</b>	<b>\$2,663,971</b>	<b>\$2,719,579</b>	<b>\$2,826,017</b>
<b>FTE Authorization</b>	<b>16.6</b>	<b>16.6</b>	<b>16.6</b>	<b>16.6</b>	<b>16.6</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	11.8%	12.0%	12.0%	12.0%	12.0%
Females as a Percentage of the Workforce	70.6%	64.0%	64.0%	64.0%	64.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Cumulative Percentage of the Estimated 2,500 Historic Properties Nominated to the National Registry Annually	262.0%	267.0%	282.0%	310.0%	320.0%
Public Attendance at Heritage Program Assisted Events Stated as a Percentage of the Base Year	112.0%	113.0%	110.0%	113.0%	113.0%
Percentage of Projects Reviewed Within Fifteen Business Days of Review Request	49.0%	48.0%	70.0%	60.0%	60.0%
Percentage of Completed Tax Credit Applications Reviewed within Thirty Business Days from Time of Submission	61.0%	70.0%	80.0%	70.0%	80.0%
Maintain a High Level of Activity & Revenue at the Eisenhower House Facility as a Percentage of the Base Year	330.0%	151.0%	200.0%	200.0%	200.0%

# The Agency

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## **Rhode Island Public Telecommunications Authority WSBE-TV/Channel 36**

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### **Agency Operations**

The Rhode Island Public Telecommunications Authority is the owner and license holder for WSBE-DT Rhode Island PBS, Rhode Island's public television station. WSBE broadcasts 111 hours (168 hours on cable) per week and is headed by the President and Chief Executive Officer who is responsible for all activities, including private fundraising, which partially supports the Authority's budget.

WSBE provides life-long learning opportunities to all Rhode Islanders, regardless of their ability to pay, through its programming and outreach services. Approximately 98,000 K-12 school children are served by 4.5 hours daily of in-school instructional programming that enhances and augments classroom learning. Other educational programming includes science and nature, documentaries, performing arts, history and music. A wide range of how-to programs enable adult viewers to develop skills in computers, painting, automotive repair, sewing, cooking, home improvement and other practical topics. The mandate to provide training in telecommunications is fulfilled through the internship programs in the production and technical areas. There are currently seven student interns employed at WSBE.

WSBE also provides "Learning Link," an interactive, computer-based telecommunications system connecting schools and libraries across the state, providing forums, national and international gateways, databases and Internet access, serving students and teachers in Rhode Island. WSBE manages and maintains a program, available to every K-12 student around the state that brings inter-active educational video into the classrooms via the Internet. This program is a full-fledged educational offering and is underwritten with private funding.

WSBE is helping to fulfill and maintain its number one mission of educating, to ensure that children are prepared to learn when they enter school, through pre-school program services and related outreach programs. Outreach programs include literacy, AIDS education, domestic violence and other topics of community concern.

Public affairs programs are aired to increase awareness of community problems and issues, and to help our citizens learn about and cope with an increasingly complex world around them.

### **Agency Objectives**

Educate, inform, enlighten and entertain. Provide educational services to all citizens regardless of their ability to pay for services.

### **Statutory History**

Title 16, Chapter 61 of the Rhode Island General Laws established the Rhode Island Public Telecommunications Authority and defines the duties of its President and Chief Executive Officer.

# The Budget

## Rhode Island Public Telecommunications Authority WSBE - TV/Channel 36

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,804,224	1,593,399	1,640,327	1,589,086	1,743,711
Operating Supplies and Expenses	119,053	14,532	32,390	36,973	37,461
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
Subtotal: Operating Expenditures	<b>\$1,923,277</b>	<b>\$1,607,931</b>	<b>\$1,672,717</b>	<b>\$1,626,059</b>	<b>\$1,781,172</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,923,277</b>	<b>\$1,607,931</b>	<b>\$1,672,717</b>	<b>\$1,626,059</b>	<b>\$1,781,172</b>
<b>Expenditures By Funds</b>					
General Revenue	1,206,333	1,000,695	1,035,967	979,325	1,097,960
Other Funds	716,944	607,236	636,750	646,734	683,212
<b>Total Expenditures</b>	<b>\$1,923,277</b>	<b>\$1,607,931</b>	<b>\$1,672,717</b>	<b>\$1,626,059</b>	<b>\$1,781,172</b>
<b>FTE Authorization</b>	<b>18.0</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>	<b>16.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	20.0%	15.8%	17.7%	17.7%	18.8%
Females as a Percentage of the Workforce	25.0%	15.8%	17.7%	17.7%	18.8%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Average Annual Household Viewership of WSBE-TV/Rhode Island PBS Programs					
Weekday Daytime	69	70	77	77	85
Primetime	64	61	67	67	74
All Day	120	123	135	135	148

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# **Public Safety**

Attorney General  
Criminal  
Civil  
Bureau of Criminal Identification  
General

Department of Corrections  
Central Management  
Parole Board  
Institutional Corrections  
Community Corrections  
Internal Service Programs

Judicial Department  
Supreme Court  
Superior Court  
Family Court  
District Court  
Traffic Tribunal  
Workers' Compensation Court  
Judicial Tenure and Discipline

Military Staff  
National Guard  
Emergency Management

Public Safety  
Central Management  
E-911 Emergency Telephone System  
Rhode Island State Fire Marshal  
Security Services  
Municipal Police Training Academy  
State Police  
Internal Service Program

Office of the Public Defender



## Public Safety Function Expenditures

	FY 2009 Actuals	FY 2010 Actuals	FY 2011 Enacted	FY 2011 Working	FY 2011 Gov.	FY 2012 Gov.
<b>Expenditure by Object</b>						
Personnel	316,873,471	310,460,133	330,472,618	330,472,618	334,301,230	366,918,369
Operating Supplies and Expenses	39,947,355	39,657,229	39,201,392	39,201,392	40,638,692	41,740,319
Aid to Local Units of Government	-	-	-	-	-	-
Assistance, Grants, and Benefits	42,161,800	41,722,370	42,206,290	42,206,290	78,738,267	51,316,927
<b>Subtotal: Operating Expenditures</b>	<b>\$398,982,626</b>	<b>\$391,839,732</b>	<b>\$411,880,300</b>	<b>\$411,880,300</b>	<b>\$453,678,189</b>	<b>\$459,975,615</b>
Capital Purchases and Equipment	13,414,691	29,700,496	19,950,569	19,950,569	28,858,140	15,430,546
Debt Service	-	-	-	-	-	-
Operating Transfers	877,891	328,082	-	-	-	-
<b>Total Expenditures</b>	<b>\$413,275,208</b>	<b>\$421,868,310</b>	<b>\$431,830,869</b>	<b>\$431,830,869</b>	<b>\$482,536,329</b>	<b>\$475,406,161</b>
<b>Expenditures by Funds</b>						
General Revenue	332,354,252	335,623,546	363,511,572	363,511,572	366,670,224	402,159,858
Federal Funds	57,943,561	45,662,913	36,082,665	36,082,665	76,878,978	44,652,028
Restricted Receipts	9,983,931	10,932,268	12,563,588	12,563,588	12,222,416	12,080,029
Other Funds	12,993,464	29,649,583	19,673,044	19,673,044	26,764,711	16,514,246
<b>Total Expenditures</b>	<b>\$413,275,208</b>	<b>\$421,868,310</b>	<b>\$431,830,869</b>	<b>\$431,830,869</b>	<b>\$482,536,329</b>	<b>\$475,406,161</b>
<b>FTE Authorization</b>	<b>2,971.5</b>	<b>2,951.8</b>	<b>3,000.5</b>	<b>3,000.5</b>	<b>3,006.6</b>	<b>3,186.6</b>

# The Agency

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## Attorney General

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### Agency Operations

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the State, is operated and maintained by the Attorney General.

The Office of Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

### Agency Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, 24-hours a day.

### Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

## Attorney General

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Recommended	FY 2012 Recommended
<b>Expenditures by Program</b>					
Criminal	\$14,892,533	\$13,910,370	\$14,654,602	\$15,210,416	\$15,492,231
Civil	\$4,539,679	\$4,402,581	\$5,086,772	\$5,585,965	\$5,566,183
Bureau of Criminal Identification	\$1,052,125	\$1,055,635	\$1,131,596	\$1,141,660	\$1,126,572
General	\$2,789,154	\$2,941,992	\$2,988,249	\$3,238,585	\$3,159,135
<b>Total Expenditures</b>	<b>\$23,273,491</b>	<b>\$22,310,578</b>	<b>\$23,861,219</b>	<b>\$25,176,626</b>	<b>\$25,344,121</b>
<b>Expenditures By Object</b>					
Personnel	21,763,184	20,399,199	21,895,175	22,788,650	23,308,914
Operating Supplies and Expenses	1,224,584	1,400,868	1,624,049	1,712,698	1,658,849
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$22,987,768</b>	<b>\$21,800,067</b>	<b>\$23,519,224</b>	<b>\$24,501,348</b>	<b>\$24,967,763</b>
Capital Purchases and Equipment	285,723	510,511	341,995	675,278	376,358
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$23,273,491</b>	<b>\$22,310,578</b>	<b>\$23,861,219</b>	<b>\$25,176,626</b>	<b>\$25,344,121</b>
<b>Expenditures By Funds</b>					
General Revenue	20,811,434	19,592,235	21,209,730	21,443,366	22,580,366
Federal Funds	1,397,378	1,297,016	1,248,830	1,978,333	1,391,520
Restricted Receipts	843,800	1,051,750	1,202,659	1,317,657	1,122,235
Other Funds	220,879	369,577	200,000	437,270	250,000
<b>Total Expenditures</b>	<b>\$23,273,491</b>	<b>\$22,310,578</b>	<b>\$23,861,219</b>	<b>\$25,176,626</b>	<b>\$25,344,121</b>
<b>FTE Authorization</b>	<b>231.1</b>	<b>230.0</b>	<b>231.1</b>	<b>231.1</b>	<b>231.1</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	13.8%	15.2%	15.2%	17.0%	15.0%
Females as a Percentage of the Workforce	57.3%	59.7%	59.7%	59.0%	60.0%
Persons with Disabilities as a Percentage of the Workforce	2.6%	3.0%	3.0%	3.0%	2.0%

# The Program

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## Attorney General Criminal

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### Program Operations

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The Information-Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

### Program Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

### Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

## Attorney General Criminal

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Recommended	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	14,087,498	12,928,407	13,571,704	13,993,064	14,423,776
Operating Supplies and Expenses	748,728	882,320	970,801	1,027,920	990,673
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$14,836,226</b>	<b>\$13,810,727</b>	<b>\$14,542,505</b>	<b>\$15,020,984</b>	<b>\$15,414,449</b>
Capital Purchases and Equipment	56,307	99,643	112,097	189,432	77,782
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$14,892,533</b>	<b>\$13,910,370</b>	<b>\$14,654,602</b>	<b>\$15,210,416</b>	<b>\$15,492,231</b>
<b>Expenditures By Funds</b>					
General Revenue	13,253,247	12,389,919	13,048,850	12,883,911	13,726,863
Federal Funds	1,343,900	1,235,503	1,192,280	1,878,391	1,366,480
Restricted Receipts	295,386	284,948	413,472	448,114	398,888
<b>Total Expenditures</b>	<b>\$14,892,533</b>	<b>\$13,910,370</b>	<b>\$14,654,602</b>	<b>\$15,210,416</b>	<b>\$15,492,231</b>
<b>Program Measures</b>					
Percentage of Cases Dismissed	12.1%	10.6%	10.6%	NA	NA

# The Program

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## Attorney General Civil

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### Program Operations

The Civil Program is comprised of six units, each with very different responsibilities. The General Litigation Unit handles most lawsuits brought against the State and its employees. There are approximately 1,500 active cases, ranging from small claims to issues involving millions of dollars and constitutional claims. Additionally, this unit acts as the legal advisor for 11 boards and commissions, state departments, and agencies. The Regulatory Unit represents the interests of consumers before the Federal Energy Regulatory Commission, the Rhode Island Public Utilities Commission, and the Rhode Island Insurance Commissioner. The Charitable Trusts Unit maintains records relating to the registration of charitable trusts in Rhode Island. The Environmental Advocacy Unit enforces civil and criminal environmental laws of the State and acts as an environmental advocate on public policy issues. The Attorney General's Office provides specialized legal counsel to the Department of Human Services. The Consumer Protection Unit monitors activity in the marketplace and represents the consumer's interest where patterns of fraud or deception have been identified. The unit investigates allegation of improper business operation and initiates settlements and enforcement actions designed to provide restitution, if required. Attempts are made to resolve consumer complaints through a mediation process.

### Program Objectives

To provide cost effective legal representation to the State, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

### Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-47 relates to weapons.

# The Budget

## Attorney General Civil

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Recommended	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	4,260,557	4,024,775	4,678,754	5,121,955	5,100,149
Operating Supplies and Expenses	275,963	342,812	382,404	421,517	423,541
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$4,536,520</b>	<b>\$4,367,587</b>	<b>\$5,061,158</b>	<b>\$5,543,472</b>	<b>\$5,523,690</b>
Capital Purchases and Equipment	3,159	34,994	25,614	42,493	42,493
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,539,679</b>	<b>\$4,402,581</b>	<b>\$5,086,772</b>	<b>\$5,585,965</b>	<b>\$5,566,183</b>
<b>Expenditures By Funds</b>					
General Revenue	3,991,265	3,635,779	4,297,585	4,716,422	4,842,836
Restricted Receipts	548,414	766,802	789,187	869,543	723,347
<b>Total Expenditures</b>	<b>\$4,539,679</b>	<b>\$4,402,581</b>	<b>\$5,086,772</b>	<b>\$5,585,965</b>	<b>\$5,566,183</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## **Attorney General Bureau of Criminal Identification**

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### **Program Operations**

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates 24-hours a day, seven days a week. This unit receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

### **Program Objectives**

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, 24-hours a day, seven days a week.

### **Statutory History**

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Office of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.



# The Budget

## Attorney General Bureau of Criminal Identification

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Recommended	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	991,087	1,002,598	1,056,982	1,077,640	1,062,936
Operating Supplies and Expenses	56,852	46,740	72,451	60,159	59,775
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,047,939</b>	<b>\$1,049,338</b>	<b>\$1,129,433</b>	<b>\$1,137,799</b>	<b>\$1,122,711</b>
Capital Purchases and Equipment	4,186	6,297	2,163	3,861	3,861
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,052,125</b>	<b>\$1,055,635</b>	<b>\$1,131,596</b>	<b>\$1,141,660</b>	<b>\$1,126,572</b>
<b>Expenditures By Funds</b>					
General Revenue	998,647	994,122	1,075,046	1,041,718	1,101,532
Federal Funds	53,478	61,513	56,550	99,942	25,040
<b>Total Expenditures</b>	<b>\$1,052,125</b>	<b>\$1,055,635</b>	<b>\$1,131,596</b>	<b>\$1,141,660</b>	<b>\$1,126,572</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Attorney General General

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### Program Operations

The General Program provides administrative support to ensure that the department operates efficiently. This program consists of six units.

The Executive Unit consists of the Attorney General, the Deputy Attorney General and support staff. The function of the Executive Unit is to coordinate the efforts of all parts of the department.

The Fiscal Unit is responsible for all financial operations of the department. This includes the preparation of the departmental budget, monitoring and controlling expenditures, and processing all financial paperwork.

The Personnel Unit processes all paperwork regarding hiring, transfers, promotions, and terminations, and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The Operations Unit is responsible for purchasing, maintenance and repair of the department's buildings and automobiles, and monitoring the department's telephone and mail systems.

The Management Information System Unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and 30 printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The Public Information/Legislation Unit handles all communication with the media, submits legislation on the Attorney General's behalf, and coordinates the Attorney General's education, and community outreach efforts.

### Program Objectives

To provide the infrastructure necessary for the efficient operation of the Office of the Attorney General.

### Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

## Attorney General General

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Recommended	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	2,424,042	2,443,419	2,587,735	2,595,991	2,722,053
Operating Supplies and Expenses	143,041	128,996	198,393	203,102	184,860
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,567,083</b>	<b>\$2,572,415</b>	<b>\$2,786,128</b>	<b>\$2,799,093</b>	<b>\$2,906,913</b>
Capital Purchases and Equipment	222,071	369,577	202,121	439,492	252,222
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,789,154</b>	<b>\$2,941,992</b>	<b>\$2,988,249</b>	<b>\$3,238,585</b>	<b>\$3,159,135</b>
<b>Expenditures By Funds</b>					
General Revenue	2,568,275	2,572,415	2,788,249	2,801,315	2,909,135
Other Funds	220,879	369,577	200,000	437,270	250,000
<b>Total Expenditures</b>	<b>\$2,789,154</b>	<b>\$2,941,992</b>	<b>\$2,988,249</b>	<b>\$3,238,585</b>	<b>\$3,159,135</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Agency

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## Department of Corrections

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### Agency Operations

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community.

Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are eight separate, occupied facilities, which have a total operational capacity of 4,004 beds. In FY 2010, the average institutionalized population was 3,502. The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2010, the number of probation and parole cases serviced totaled 28,444. The average number of offenders on home or community confinement was 284. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

### Agency Objectives

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

### Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

# The Budget

## Department of Corrections

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Central Management	\$7,735,261	\$6,710,401	\$8,271,545	\$8,096,270	\$8,596,603
Parole Board	\$1,274,924	\$1,257,507	\$1,374,212	\$1,303,426	\$1,402,621
Institutional Corrections	\$156,331,349	\$152,666,500	\$163,435,066	\$169,924,944	\$173,777,524
Community Corrections	\$13,794,027	\$13,236,527	\$14,100,696	\$14,670,446	\$15,198,850
Internal Service Program	[11,403,766]	[11,137,781]	[14,140,977]	[13,929,919]	[14,090,752]
<b>Total Expenditures</b>	<b>\$179,135,561</b>	<b>\$173,870,935</b>	<b>\$187,181,519</b>	<b>\$193,995,086</b>	<b>\$198,975,598</b>
<b>Expenditures By Object</b>					
Personnel	159,600,850	155,344,992	162,784,931	164,023,757	172,623,586
Operating Supplies and Expenses	15,695,467	14,168,615	16,505,640	15,523,410	15,988,701
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,213,180	2,273,212	1,566,414	2,470,689	2,309,184
<b>Subtotal: Operating Expenditures</b>	<b>\$176,509,497</b>	<b>\$171,786,819</b>	<b>\$180,856,985</b>	<b>\$182,017,856</b>	<b>\$190,921,471</b>
Capital Purchases and Equipment	2,626,064	2,084,116	6,324,534	11,977,230	8,054,127
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$179,135,561</b>	<b>\$173,870,935</b>	<b>\$187,181,519</b>	<b>\$193,995,086</b>	<b>\$198,975,598</b>
<b>Expenditures By Funds</b>					
General Revenue	154,269,705	169,892,046	178,329,401	178,561,565	188,141,365
Federal Funds	22,288,289	2,106,693	2,794,860	3,695,618	2,914,545
Restricted Receipts	-	-	87,134	124,774	34,371
Other Funds	2,577,567	1,872,196	5,970,124	11,613,129	7,885,317
<b>Total Expenditures</b>	<b>\$179,135,561</b>	<b>\$173,870,935</b>	<b>\$187,181,519</b>	<b>\$193,995,086</b>	<b>\$198,975,598</b>
<b>FTE Authorization</b>	<b>1,423.0</b>	<b>1,402.5</b>	<b>1,419.0</b>	<b>1,419.0</b>	<b>1,419.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	15.0%	16.0%	17.0%	17.0%	16.2%
Females as a Percentage of the Workforce	25.0%	25.0%	25.2%	25.2%	25.2%
Persons with Disabilities as a Percentage of the Workforce	0.6%	1.0%	1.0%	1.0%	1.0%

# The Program

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## Department of Corrections Central Management

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### Program Operations

The Central Management Program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive-level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Logistical and materials management is the responsibility of the Physical Resources Unit within Financial Resources. Human Resources (all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program) will be transferred to Administration. Management Information Services (departmental record keeping functions, the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and departmental liaison to the statewide Justice Link Program) has been transferred to Administration. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues.

### Program Objectives

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; and develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

### Statutory History

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the Director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

# The Budget

## Department of Corrections Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Subprogram</b>					
Executive	1,717,184	1,752,371	1,747,476	1,921,902	2,029,026
Administration	6,018,077	4,958,030	6,524,069	6,174,368	6,567,577
<b>Total Expenditures</b>	<b>\$7,735,261</b>	<b>\$6,710,401</b>	<b>\$8,271,545</b>	<b>\$8,096,270</b>	<b>\$8,596,603</b>
<b>Expenditures By Object</b>					
Personnel	6,858,503	6,128,327	6,666,264	6,712,078	7,130,445
Operating Supplies and Expenses	747,428	436,449	1,229,625	994,136	1,276,102
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	195	-	223,457	223,457	23,457
<b>Subtotal: Operating Expenditures</b>	<b>\$7,606,126</b>	<b>\$6,564,776</b>	<b>\$8,119,346</b>	<b>\$7,929,671</b>	<b>\$8,430,004</b>
Capital Purchases and Equipment	129,135	145,625	152,199	166,599	166,599
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,735,261</b>	<b>\$6,710,401</b>	<b>\$8,271,545</b>	<b>\$8,096,270</b>	<b>\$8,596,603</b>
<b>Expenditures By Funds</b>					
General Revenue	7,649,103	6,710,401	8,071,545	7,896,270	8,596,603
Federal Funds	86,158	-	200,000	200,000	-
<b>Total Expenditures</b>	<b>\$7,735,261</b>	<b>\$6,710,401</b>	<b>\$8,271,545</b>	<b>\$8,096,270</b>	<b>\$8,596,603</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Corrections Parole Board

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### Program Operations

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven, by their behavior and actions while incarcerated that they are capable of returning to the community as law-abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2010, the board granted parole in 572 cases and denied parole in 948 cases.

The Parole Board consists of a full-time Chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre-parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program. In FY 2010, the program made 221 adult and juvenile referrals.

### Program Objectives

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

### Statutory History

R.I.G.L. 13-8 establishes the Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time Chair, expand the board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services agency provide findings and recommendations to the Parole Board. Sections 30-33 create a program of community supervision for first and second degree child molesters. Legislation established the Parole Board in 1915. R.I.G.L. 11-37.1-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.



# The Budget

## Department of Corrections Parole Board

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	1,231,338	1,212,009	1,307,713	1,248,061	1,347,734
Operating Supplies and Expenses	43,586	45,498	64,459	53,325	52,847
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,274,924</b>	<b>\$1,257,507</b>	<b>\$1,372,172</b>	<b>\$1,301,386</b>	<b>\$1,400,581</b>
Capital Purchases and Equipment	-	-	2,040	2,040	2,040
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,274,924</b>	<b>\$1,257,507</b>	<b>\$1,374,212</b>	<b>\$1,303,426</b>	<b>\$1,402,621</b>
<b>Expenditures By Funds</b>					
General Revenue	1,239,108	1,217,560	1,336,212	1,268,426	1,365,771
Federal Funds	35,816	39,947	38,000	35,000	36,850
<b>Total Expenditures</b>	<b>\$1,274,924</b>	<b>\$1,257,507</b>	<b>\$1,374,212</b>	<b>\$1,303,426</b>	<b>\$1,402,621</b>
<b>Program Measures</b>	NS	NS	NS	NS	NS

# The Program

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## Department of Corrections Institutional Corrections

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### Program Operations

The Institutional Corrections Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes eight institutions providing the various security levels for both men and women. Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes a number of support units. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings. The Special Investigations and Security Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services. Inmate Accounts serves as custodian of inmate funds, and the Inmate Commissary sells toiletries, non-prescription health care items, postage, and snack items to inmates. The program also is responsible for rehabilitative services. The Health Care unit provides medical, dental and mental health services to the inmate population. Other program services include educational and vocational training, drug treatment, sex-offender treatment, health education, conflict management training, and general reading and law libraries for use by prisoners.

### Program Objectives

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public. To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies. To support the facilities and inmates with such necessities as food, maintenance, counseling, case management, investigative and other technical services. To provide vitally important rehabilitative services, such as health, education, counseling, and life skills/ re-entry training.

### Statutory History

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18. R.I.G.L. 42-56-24 (enacted in 2008) expands the number of days an inmate can reduce his sentence through participation in programs.

# The Budget

## Department of Corrections Institutional Corrections

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Institutions	107,582,335	109,388,536	114,644,620	121,839,782	121,005,221
Support Operations	20,099,476	16,851,864	18,643,986	18,868,151	22,521,049
Institutional Rehabilitative Services	27,531,466	25,347,336	28,961,522	28,009,528	29,049,534
Transitional Services	1,118,072	1,078,764	1,184,938	1,207,483	1,201,720
<b>Total Expenditures</b>	<b>\$156,331,349</b>	<b>\$152,666,500</b>	<b>\$163,435,066</b>	<b>\$169,924,944</b>	<b>\$173,777,524</b>
<b>Expenditures By Object</b>					
Personnel	138,393,165	135,512,785	141,526,303	142,245,558	149,768,466
Operating Supplies and Expenses	14,236,726	12,949,618	14,494,437	13,635,355	13,850,760
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,209,911	2,269,288	1,251,845	2,243,254	2,280,624
<b>Subtotal: Operating Expenditures</b>	<b>\$153,839,802</b>	<b>\$150,731,691</b>	<b>\$157,272,585</b>	<b>\$158,124,167</b>	<b>\$165,899,850</b>
Capital Purchases and Equipment	2,491,547	1,934,809	6,162,481	11,800,777	7,877,674
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$156,331,349</b>	<b>\$152,666,500</b>	<b>\$163,435,066</b>	<b>\$169,924,944</b>	<b>\$173,777,524</b>
<b>Expenditures By Funds</b>					
General Revenue	132,011,678	149,122,738	155,261,526	155,534,470	163,573,034
Federal Funds	21,742,104	1,671,566	2,203,416	2,777,345	2,319,173
Restricted Receipts	-	-	-	-	-
Other Funds	2,577,567	1,872,196	5,970,124	11,613,129	7,885,317
<b>Total Expenditures</b>	<b>\$156,331,349</b>	<b>\$152,666,500</b>	<b>\$163,435,066</b>	<b>\$169,924,944</b>	<b>\$173,777,524</b>
<b>Program Measures</b>					
Violent Incidences per 1,000 Inmates in the Average Daily Population	8.1	12.5	8.1	12.5	12.5
Substance Abuse Treatment Program Completers Drug Free within 6 Months	99.7%	99.5%	99.5%	99.5%	99.5%
Percentage of Tests for Illegal Substances that are Positive	0.53%	0.61%	0.65%	0.65%	0.65%

# The Program

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## Department of Corrections Community Corrections

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### Program Operations

The Community Corrections Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has two components:

**Probation and Parole:** The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders. In June 2010, those under active supervision total 11,193.

**Community Programs:** Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include the Victim services program including notification and advocacy services. The Community Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration. In FY 2010, 571 inmates successfully completed community confinement, while 186 were unsuccessful.

### Program Objective

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

### Statutory History

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. R.I.G.L. 12-18 establishes the probation and parole system. R.I.G.L. 12-29 (1997) requires that all domestic violence offenders attend an intervention program. R.I.G.L. 13-8-30 (1999) requires lifetime supervision of 1<sup>st</sup> and 2<sup>nd</sup> degree sex offenders. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

# The Budget

## Department of Corrections Community Corrections

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Probation and Parole	11,403,032	10,807,092	11,882,593	12,116,042	12,447,774
Community Programs	2,390,995	2,429,435	2,218,103	2,554,404	2,751,076
<b>Total Expenditures</b>	<b>\$13,794,027</b>	<b>\$13,236,527</b>	<b>\$14,100,696</b>	<b>\$14,670,446</b>	<b>\$15,198,850</b>
<b>Expenditures By Object</b>					
Personnel	13,117,844	12,491,871	13,284,651	13,818,060	14,376,941
Operating Supplies and Expenses	667,727	737,050	717,119	840,594	808,992
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	3,074	3,924	91,112	3,978	5,103
<b>Subtotal: Operating Expenditures</b>	<b>\$13,788,645</b>	<b>\$13,232,845</b>	<b>\$14,092,882</b>	<b>\$14,662,632</b>	<b>\$15,191,036</b>
Capital Purchases and Equipment	5,382	3,682	7,814	7,814	7,814
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$13,794,027</b>	<b>\$13,236,527</b>	<b>\$14,100,696</b>	<b>\$14,670,446</b>	<b>\$15,198,850</b>
<b>Expenditures By Funds</b>					
General Revenue	13,369,816	12,841,347	13,660,118	13,862,399	14,605,957
Federal Funds	424,211	395,180	353,444	683,273	558,522
Restricted Receipts	-	-	87,134	124,774	34,371
<b>Total Expenditures</b>	<b>\$13,794,027</b>	<b>\$13,236,527</b>	<b>\$14,100,696</b>	<b>\$14,670,446</b>	<b>\$15,198,850</b>
<b>Program Measures</b>					
Percentage of Closed Cases Successfully Completing Terms of Home Confinement or Electronic Monitoring Parole	72.6%	75.4%	65.7%	75.4%	75.4%

# The Program

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## Department of Corrections Internal Service Programs

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### Program Operations

There are various services required by state-operated programs and activities, which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis. Internal Service Programs operated by the Department of Corrections include the Central Distribution Center and Correctional Industries.

The Central Distribution Center purchases and inventories \$5.5 million in food and a variety of cleaning, household, and office supplies for distribution to state institutions, other state and local governments, and non-profit agencies. Correctional Industries provides goods and services to other state, municipal, and non-profit entities to include printing, furniture restoration, license plate production, auto maintenance, clothing and linen production, grounds keeping, janitorial, office moving, and a variety of other services. Total FY 2010 sales volume was \$6.3 million. Total employment was 178 inmates.

### Program Objective

To provide the most cost-effective delivery of goods and services to other state programs.

### Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 35-5-8 and 9 identify services and procedures for the State General Store. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations.

# The Budget

## Department of Corrections Internal Service Programs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	2,627,158	2,658,945	2,696,315	2,781,613	2,941,392
Operating Supplies and Expenses	8,601,261	8,144,890	10,554,733	10,438,830	10,415,357
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	128,247	117,708	215,703	119,176	215,703
<b>Subtotal: Operating Expenditures</b>	<b>\$11,356,666</b>	<b>\$10,921,543</b>	<b>\$13,466,751</b>	<b>\$13,339,619</b>	<b>\$13,572,452</b>
Capital Purchases and Equipment	47,100	216,238	674,226	590,300	518,300
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$11,403,766</b>	<b>\$11,137,781</b>	<b>\$14,140,977</b>	<b>\$13,929,919</b>	<b>\$14,090,752</b>
<b>Expenditures By Funds</b>					
Internal Service Funds	11,403,762	13,971,495	14,267,663	14,140,977	14,090,752
<b>Total Expenditures</b>	<b>\$11,403,762</b>	<b>\$13,971,495</b>	<b>\$14,267,663</b>	<b>\$14,140,977</b>	<b>\$14,090,752</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Agency

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## Judicial Department

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### Agency Operations

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are trial courts of special jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the state court system and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Under the direction of the Chief Justice, the Court Administrator has control of judicial appropriations for all state courts, except those as provided otherwise by law. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

The state budget officer's duties and powers relating to budgetary controls and personnel requests of the judicial department are purely ministerial, concerned only with the availability of the funds. Itemized estimates of the financial needs of the Judiciary are submitted, without revision, by the budget officer to the Governor on or before October 1 for inclusion in the budget. In turn the Governor includes in the budget recommendation to the General Assembly the financial needs of the Judiciary without revision.

### Statutory History

The Judicial is one of the three branches of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 8, District Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8.2, Traffic Tribunal; and Title 28 Chapter 30, Workers' Compensation Court.

The budgetary and finance controls of the Judiciary are identified in the Rhode Island General Laws as follows: Title 8 Section 8-15-4, Appointment of Court Administrator and Assistants; Title 35 Section 35-3-1, Budget Officer – General Powers and Duties; Title 36 Section 36-4-2.1, Exemption from Merit System; Title 36 Section 36-4-16.4, Salaries of Directors, Judges, and Workers' Compensation Judges; Title 36 Section 36-6-1, Controller – Duties in General; and Title 37 Section 37-8-1, State House – State Office Building – Courthouses.



# The Budget

## Judicial Department

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Supreme Court	30,504,364	29,971,231	30,961,855	31,881,705	32,822,460
Superior Court	19,455,466	19,625,856	20,719,009	20,881,624	21,701,420
Family Court	18,743,559	17,881,700	19,712,242	20,136,259	21,024,448
District Court	10,248,552	10,134,816	10,672,936	11,111,945	11,431,383
Traffic Tribunal	7,456,136	7,368,034	7,620,894	7,572,756	7,857,951
Workers' Compensation Court	7,279,342	6,837,669	7,583,440	7,508,651	7,754,978
Judicial Tenure and Discipline	97,173	106,404	109,620	106,076	111,282
<b>Total Expenditures</b>	<b>\$93,784,592</b>	<b>\$91,925,710</b>	<b>\$97,379,996</b>	<b>\$99,199,016</b>	<b>\$102,703,922</b>
<b>Expenditures By Object</b>					
Personnel	72,966,072	70,462,692	75,864,012	76,087,902	78,835,461
Operating Supplies and Expenses	9,145,070	9,906,734	9,733,030	10,441,556	11,001,997
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	9,556,477	9,831,630	10,370,898	10,757,867	11,174,023
<b>Subtotal: Operating Expenditures</b>	<b>\$91,667,619</b>	<b>\$90,201,056</b>	<b>\$95,967,940</b>	<b>\$97,287,325</b>	<b>\$101,011,481</b>
Capital Purchases and Equipment	2,116,973	1,724,654	1,412,056	1,911,691	1,692,441
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$93,784,592</b>	<b>\$91,925,710</b>	<b>\$97,379,996</b>	<b>\$99,199,016</b>	<b>\$102,703,922</b>
<b>Expenditures By Funds</b>					
General Revenue	81,658,621	78,865,431	84,575,255	84,392,428	88,111,808
Federal Funds	1,625,278	2,830,983	2,326,527	3,937,011	3,293,751
Restricted Receipts	8,796,528	8,843,617	9,628,214	10,018,790	10,198,363
Other Funds	1,704,165	1,385,679	850,000	850,787	1,100,000
<b>Total Expenditures</b>	<b>\$93,784,592</b>	<b>\$91,925,710</b>	<b>\$97,379,996</b>	<b>\$99,199,016</b>	<b>\$102,703,922</b>
<b>FTE Authorization</b>	<b>729.3</b>	<b>699.7</b>	<b>723.3</b>	<b>723.3</b>	<b>723.3</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	9.8%	9.6%	9.6%	9.6%	10.0%
Females as a Percentage of the Workforce	65.0%	56.1%	56.1%	56.1%	67.0%
Persons with Disabilities as a Percentage of the Workforce	0.7%	0.7%	0.7%	0.7%	1.0%

# The Program

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## Judicial Department Supreme Court

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### Program Operations

The Supreme Court Program includes the budget for the Supreme Court itself, the Administrative Office of State Courts, and the Law Library.

The Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk program, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the final court of review for the state courts. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission and discipline of members of the Rhode Island Bar.

Administrative services include all budget, personnel and purchasing functions; computer services; facility management, which includes the maintenance of courthouse facilities; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; and research and statistical analysis.

The Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence prevention, mandatory continuing legal education, victim rights information, alternative dispute resolution, disciplinary counsel, the interpreter program.

### Program Objectives

Provide timely review of all decisions appealed from the state courts.

Provide overall administrative direction to the state courts.

Regulate the admission of attorneys to the Rhode Island Bar.

### Statutory History

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapters 3 through 7 of the Rhode Island General Laws.

# The Budget

## Judicial Department Supreme Court

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Supreme Court Operations	27,159,276	26,421,495	27,596,166	28,455,588	29,260,220
Defense of Indigents	3,345,088	3,549,736	3,365,689	3,426,117	3,562,240
<b>Total Expenditures</b>	<b>\$30,504,364</b>	<b>\$29,971,231</b>	<b>\$30,961,855</b>	<b>\$31,881,705</b>	<b>\$32,822,460</b>
<b>Expenditures By Object</b>					
Personnel	17,839,013	17,187,878	18,229,386	18,278,668	18,663,036
Operating Supplies and Expenses	6,414,321	6,841,696	6,898,908	7,587,904	8,100,990
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	4,350,392	4,523,891	4,432,005	4,445,111	4,607,130
<b>Subtotal: Operating Expenditures</b>	<b>\$28,603,726</b>	<b>\$28,553,465</b>	<b>\$29,560,299</b>	<b>\$30,311,683</b>	<b>\$31,371,156</b>
Capital Purchases and Equipment	1,900,638	1,417,766	1,401,556	1,570,022	1,451,304
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$30,504,364</b>	<b>\$29,971,231</b>	<b>\$30,961,855</b>	<b>\$31,881,705</b>	<b>\$32,822,460</b>
<b>Expenditures By Funds</b>					
General Revenue	27,335,145	26,930,564	28,682,382	28,881,160	30,171,344
Federal Funds	212,788	285,525	121,120	701,289	191,169
Restricted Receipts	1,252,266	1,369,463	1,308,353	1,448,469	1,359,947
Other Funds	1,704,165	1,385,679	850,000	850,787	1,100,000
<b>Total Expenditures</b>	<b>\$30,504,364</b>	<b>\$29,971,231</b>	<b>\$30,961,855</b>	<b>\$31,881,705</b>	<b>\$32,822,460</b>
<b>Program Measures</b>					
Disposition Rate of Appeal Cases	99.0%	93.0%	100.0%	90.0%	88.0%

# The Program

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## Judicial Department Superior Court

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### Program Operations

The program budget for Superior Court includes the operation of the Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence/Bristol, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$10,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The Superior Court has concurrent original jurisdiction with the District Court in all other actions at law in which the amount in controversy exceeds \$5,000 yet does not exceed \$10,000. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

Each Court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect court proceedings and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, court-annexed arbitration, court ordered restitution, and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses where guns are involved and the planning process began for the Adult Drug Court. In FY 2002, the Adult Drug Court was established preceded by the FY 2001 establishment of the Business Calendar.

### Program Objectives

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court.

Maintain a complete and accurate record for all cases that are filed with the Superior Court.

Provide a written transcript of any proceeding or appeal.

### Statutory History

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapters 2 through 7 of the Rhode Island General Laws.

# The Budget

## Judicial Department Superior Court

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Superior Court Operations	18,013,811	18,308,454	19,010,018	19,187,622	19,977,748
Jury Operations	1,441,655	1,317,402	1,708,991	1,694,002	1,723,672
<b>Total Expenditures</b>	<b>\$19,455,466</b>	<b>\$19,625,856</b>	<b>\$20,719,009</b>	<b>\$20,881,624</b>	<b>\$21,701,420</b>
<b>Expenditures By Object</b>					
Personnel	16,330,568	15,939,690	17,184,467	17,265,471	17,982,554
Operating Supplies and Expenses	1,109,320	1,363,084	1,061,891	1,189,858	1,207,181
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,946,290	2,252,220	2,472,651	2,363,405	2,448,795
<b>Subtotal: Operating Expenditures</b>	<b>\$19,386,178</b>	<b>\$19,554,994</b>	<b>\$20,719,009</b>	<b>\$20,818,734</b>	<b>\$21,638,530</b>
Capital Purchases and Equipment	69,288	70,862	-	62,890	62,890
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$19,455,466</b>	<b>\$19,625,856</b>	<b>\$20,719,009</b>	<b>\$20,881,624</b>	<b>\$21,701,420</b>
<b>Expenditures By Funds</b>					
General Revenue	19,370,161	19,251,241	20,407,009	20,303,842	21,129,439
Federal Funds	85,305	96,774	-	87,795	72,985
Restricted Receipts	-	277,841	312,000	489,987	498,996
<b>Total Expenditures</b>	<b>\$19,455,466</b>	<b>\$19,625,856</b>	<b>\$20,719,009</b>	<b>\$20,881,624</b>	<b>\$21,701,420</b>
<b>Program Measures</b>					
Percentage of Felony Cases Annually Disposed of Within 180 Days	67.0%	69.0%	69.0%	60.0%	60.0%
Disposition Rate of Civil Cases	112.0%	102.0%	100.0%	100.0%	100.0%

# The Program

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## Judicial Department Family Court

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### Program Operations

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Counseling Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the Court. All papers are filed with the clerk's office, and this office sets up a file for each case and maintains an up-to-date record of court proceedings and the papers that have been filed.

Because of the special nature of the Family Court, it provides a variety of services to families. For example, the Family Counseling Unit provides alcohol and drug screens and supervises visitation, in addition to providing investigative and mediation services to the court. The Child Support Collections Unit handles the collection and disbursement of child support payments. Specialty courts include the Juvenile Drug Court, the Family Treatment Drug Court, the Domestic Violence Court, the Truancy Court, the Mental Health Court Clinic, and the Juvenile Re-entry Court.

### Program Objectives

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide counseling and other assistance to families involved in litigation before the court.

### Statutory History

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

# The Budget

## Judicial Department Family Court

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	17,348,402	16,511,673	18,129,244	18,183,558	18,998,496
Operating Supplies and Expenses	478,497	490,139	656,849	489,189	475,994
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	892,954	775,214	926,149	1,360,365	1,478,811
<b>Subtotal: Operating Expenditures</b>	<b>\$18,719,853</b>	<b>\$17,777,026</b>	<b>\$19,712,242</b>	<b>\$20,033,112</b>	<b>\$20,953,301</b>
Capital Purchases and Equipment	23,706	104,674	-	103,147	71,147
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$18,743,559</b>	<b>\$17,881,700</b>	<b>\$19,712,242</b>	<b>\$20,136,259</b>	<b>\$21,024,448</b>
<b>Expenditures By Funds</b>					
General Revenue	17,416,374	15,433,016	17,506,835	16,878,247	17,773,686
Federal Funds	1,327,185	2,448,684	2,205,407	3,013,012	2,998,412
Restricted Receipts	-	-	-	245,000	252,350
<b>Total Expenditures</b>	<b>18,743,559</b>	<b>17,881,700</b>	<b>19,712,242</b>	<b>20,136,259</b>	<b>21,024,448</b>
<b>Program Measures</b>					
Percentage of Wayward/Delinquent Cases Suitable for Non-Judicial Processing Diverted or Referred to Court Within 45 Days	84.0%	88.0%	84.0%	84.0%	84.0%
Percentage of Wayward/Delinquent Cases Requiring Court Involvement Adjudicated Within 180 Days	72.0%	68.0%	70.0%	70.0%	72.0%
Percentage of D/N/A Cases Adjudicated Within 180 Days of Filing	45.0%	45.0%	45.0%	45.0%	45.0%
Percentage of Juvenile Termination of Parental Rights Cases Adjudicated Within 180 Days	71.0%	67.0%	67.0%	70.0%	72.0%
Percentage of Divorce Cases Disposed of Within 365 Days	99.0%	99.0%	99.0%	99.0%	99.0%

# The Program

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## Judicial Department District Court

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### Program Operations

The District Court consists of a chief judge, an administrative judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the Court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejectment cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$2,500. The District Court handles most felony bail hearings in capital and other serious cases. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The Court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the Court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Pretrial Services Unit was established in FY 2002 to provide pre-arraignment and post-arraignment services to defendants.

### Program Objectives

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

### Statutory History

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.



# The Budget

## Judicial Department District Court

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	8,702,099	8,599,604	9,210,355	9,423,654	9,754,569
Operating Supplies and Expenses	511,063	425,542	356,101	373,733	348,320
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,021,830	1,056,164	1,106,480	1,269,897	1,283,748
<b>Subtotal: Operating Expenditures</b>	<b>\$10,234,992</b>	<b>\$10,081,310</b>	<b>\$10,672,936</b>	<b>\$11,067,284</b>	<b>\$11,386,637</b>
Capital Purchases and Equipment	13,560	53,506	-	44,661	44,746
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$10,248,552</b>	<b>\$10,134,816</b>	<b>\$10,672,936</b>	<b>\$11,111,945</b>	<b>\$11,431,383</b>
<b>Expenditures By Funds</b>					
General Revenue	9,983,632	9,776,172	10,248,515	10,650,347	11,068,106
Federal Funds	-	-	-	134,915	31,185
Restricted Receipts	264,920	358,644	424,421	326,683	332,092
<b>Total Expenditures</b>	<b>\$10,248,552</b>	<b>\$10,134,816</b>	<b>\$10,672,936</b>	<b>\$11,111,945</b>	<b>\$11,431,383</b>
<b>Program Measures</b>					
Percentage of Misdemeanor Cases Disposed of Within 60 Days	82.0%	81.0%	80.0%	80.0%	80.0%

# The Program

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## Judicial Department Traffic Tribunal

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### Program Operations

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of associate judges and magistrates. It is under the supervision of the chief magistrate, who is the administrative head of the Traffic Tribunal with the power to make rules for regulating practice, procedure and business within the Traffic Tribunal.

The Traffic Tribunal hears and determines civil traffic violations. It has exclusive jurisdiction over certain offenses and concurrent jurisdiction with the municipal courts over others. The Traffic Tribunal hears certain administrative appeals for the Registry of Motor Vehicles. The appeals panel of the Traffic Tribunal hears appeals from the Traffic Tribunal and the municipal courts. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, or criminal motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of alcohol and drugs, which, which state law specifies will be heard under the jurisdiction of other courts. The Traffic Tribunal currently sits at its main courthouse in the John O. Pastore Center, 670 New London Avenue in Cranston; Traffic Tribunal also sits in Wakefield.

### Program Objectives

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

Maintain a complete and accurate record for every case.

### Statutory History

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed Senate Bill S932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

# The Budget

## Judicial Department Traffic Tribunal

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	6,796,452	6,616,468	6,897,102	6,727,551	6,982,647
Operating Supplies and Expenses	217,134	237,026	232,077	332,025	338,298
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	442,550	455,826	491,715	477,532	501,358
<b>Subtotal: Operating Expenditures</b>	<b>\$7,456,136</b>	<b>\$7,309,320</b>	<b>\$7,620,894</b>	<b>\$7,537,108</b>	<b>\$7,822,303</b>
Capital Purchases and Equipment	-	58,714	-	35,648	35,648
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,456,136</b>	<b>\$7,368,034</b>	<b>\$7,620,894</b>	<b>\$7,572,756</b>	<b>\$7,857,951</b>
<b>Expenditures By Funds</b>					
General Revenue	7,456,136	7,368,034	7,620,894	7,572,756	7,857,951
<b>Total Expenditures</b>	<b>\$7,456,136</b>	<b>\$7,368,034</b>	<b>\$7,620,894</b>	<b>\$7,572,756</b>	<b>\$7,857,951</b>
<b>Program Measures</b>					
Percentage of Summonses Disposed of within 60 Days	97.0%	96.0%	96.0%	96.0%	98.0%

# The Program

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## Judicial Department Workers' Compensation Court

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### Program Operations

The Workers' Compensation Court is a member of the unified judicial system and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, hearing reporters, and clerical staff. It is supported from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a full evidentiary hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. If the matter can not be resolved informally the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all litigation is concluded at this point).

Those cases not resolved at pretrial are heard by the same judge for trial. At the trial, a judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From this decision a decree is entered. At this stage either party may appeal to the Appellate Division. The Appellate Division consists of three judges assigned by the Chief Judge, none of whom is the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellant's reasons for appeal and memoranda of law. The Appellate Division then decides the matter and a final decree of the Appellate Division is entered. If either party is aggrieved by the decision of the Appellate Division, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

### Program Objectives

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

### Statutory History

Title 28, Chapters 29 through 38 of the Rhode Island General Laws includes provisions relating to the Workers' Compensation Court.

# The Budget

## Judicial Department Workers' Compensation Court

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	5,854,901	5,509,857	6,106,186	6,105,440	6,345,432
Operating Supplies and Expenses	412,199	540,365	524,856	466,331	528,659
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	902,461	768,315	941,898	841,557	854,181
<b>Subtotal: Operating Expenditures</b>	<b>\$7,169,561</b>	<b>\$6,818,537</b>	<b>\$7,572,940</b>	<b>\$7,413,328</b>	<b>\$7,728,272</b>
Capital Purchases and Equipment	109,781	19,132	10,500	95,323	26,706
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,279,342</b>	<b>\$6,837,669</b>	<b>\$7,583,440</b>	<b>\$7,508,651</b>	<b>\$7,754,978</b>
<b>Expenditures By Funds</b>					
Restricted Receipts	7,279,342	6,837,669	7,583,440	7,508,651	7,754,978
<b>Total Expenditures</b>	<b>\$7,279,342</b>	<b>\$6,837,669</b>	<b>\$7,583,440</b>	<b>\$7,508,651</b>	<b>\$7,754,978</b>
<b>Program Measures</b>					
Percentage of Workers' Compensation Cases that are Disposed of at Pretrial Within 90 Days	90.0%	90.0%	90.0%	90.0%	90.0%
Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days	89.0%	89.0%	89.0%	89.0%	89.0%

# The Program

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## Judicial Department Judicial Tenure and Discipline

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### Program Operations

The Commission on Judicial Tenure and Discipline, a program of the Rhode Island Judiciary, is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, the Traffic Tribunal and the Probate Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Code of Judicial Conduct or the Canons of Judicial Ethics, or of a willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held. The Commission may, on its own initiative, make such a preliminary investigation, without receiving a verified statement, to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time fixed for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After the hearing, the Supreme Court may affirm, modify or reject the Commission's recommendation(s).

### Program Objectives

Ensure the integrity of the Rhode Island Court System.

Investigate reasonable allegations of wrongdoing by Rhode Island judges.

Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

### Statutory History

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Law 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon the filing of a verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family and District Courts. Subsequent enactments in P.L.1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, sec. 13 and P.L. 1991, Ch. 132, sec. 2 as well as P.L. 1991, Ch. 205, sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate Courts in any city or town in the State of Rhode Island. P.L. 2007, Ch. 178 altered the composition of the Commission.

# The Budget

## Judicial Department Judicial Tenure and Discipline

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	94,637	97,522	107,272	103,560	108,727
Operating Supplies and Expenses	2,536	8,882	2,348	2,516	2,555
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$97,173</b>	<b>\$106,404</b>	<b>\$109,620</b>	<b>\$106,076</b>	<b>\$111,282</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$97,173</b>	<b>\$106,404</b>	<b>\$109,620</b>	<b>\$106,076</b>	<b>\$111,282</b>
<b>Expenditures By Funds</b>					
General Revenue	97,173	106,404	109,620	106,076	111,282
<b>Total Expenditures</b>	<b>\$97,173</b>	<b>\$106,404</b>	<b>\$109,620</b>	<b>\$106,076</b>	<b>\$111,282</b>
<b>Program Measures</b>					
Percentage of Verified Complaints Disposed of within 90 Days of Docketing	77.0%	70.0%	80.0%	75.0%	80.0%

# The Agency

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## Military Staff

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### Agency Operations

The mission of the Executive Military Staff is to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the State in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs.

The agency is directed by the Adjutant General who is appointed by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The National Guard Program budget is financed with approximately 26 percent general revenue and state capital funds and 74 percent federal funds, as well as direct federal funding for active duty guardsmen, services, and construction costs.

### Agency Objectives

To maintain both the Rhode Island National Guard and the Rhode Island Emergency Management Agency as organizations capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests.

To fully staff the State Emergency Operation Center and Rhode Island National Guard Joint Operation Center as the State's lead coordinating agency and focal point for disaster response and federal mobilization of military forces.

To build and support a Rhode Island Emergency Management Agency administration building and State Emergency Operation Center.

To train, mobilize, and deploy Rhode Island Air and Army National Guard personnel for operational deployment in support of their Federal mission.

To ensure the needs of Rhode Island Veteran's and National Guard families and employers are supported.

To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure.

To increase public awareness of natural hazards, threats, risks, and actions to minimize loss of life or property.

### Statutory History

R.I.G.L. Title 30, Chapters 1-14, and 28-30 and the Military Code prescribe the Military Staff's duties and functions. R.I.G.L. 30-15 created the Emergency Management function.



# The Budget

## Military Staff

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
National Guard	11,230,434	11,258,839	13,483,397	15,273,487	14,466,849
Emergency Management	17,620,014	14,381,456	13,154,767	46,265,867	20,694,476
<b>Total Expenditures</b>	<b>\$28,850,448</b>	<b>\$25,640,295</b>	<b>\$26,638,164</b>	<b>\$61,539,354</b>	<b>\$35,161,325</b>
<b>Expenditures By Object</b>					
Personnel	7,478,051	8,479,981	9,781,389	10,482,868	11,054,337
Operating Supplies and Expenses	5,863,542	6,148,469	5,101,374	5,840,472	5,392,995
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	12,671,852	9,185,579	9,682,901	41,799,626	16,409,993
<b>Subtotal: Operating Expenditures</b>	<b>\$26,013,445</b>	<b>\$23,814,029</b>	<b>\$24,565,664</b>	<b>\$58,122,966</b>	<b>\$32,857,325</b>
Capital Purchases and Equipment	1,959,112	1,498,184	2,072,500	3,416,388	2,304,000
Debt Service	-	-	-	-	-
Operating Transfers	877,891	328,082	-	-	-
<b>Total Expenditures</b>	<b>\$28,850,448</b>	<b>\$25,640,295</b>	<b>\$26,638,164</b>	<b>\$61,539,354</b>	<b>\$35,161,325</b>
<b>Expenditures By Funds</b>					
General Revenue	3,489,128	4,104,899	2,782,435	4,166,145	3,618,028
Federal Funds	24,421,516	20,725,608	22,150,754	54,794,249	29,933,986
Restricted Receipts	99,797	94,563	842,475	376,782	389,311
Other Funds	840,007	715,225	862,500	2,202,178	1,220,000
<b>Total Expenditures</b>	<b>\$28,850,448</b>	<b>\$25,640,295</b>	<b>\$26,638,164</b>	<b>\$61,539,354</b>	<b>\$35,161,325</b>
<b>FTE Authorization</b>	<b>101.0</b>	<b>109.0</b>	<b>111.0</b>	<b>117.0</b>	<b>117.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	6.0%	6.0%	6.0%	6.0%	6.0%
Females as a Percentage of the Workforce	17.0%	21.0%	21.0%	21.0%	21.0%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	1.0%	1.0%	1.0%

# The Program

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## Military Staff National Guard

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### Program Operations

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard and State Historic Militia. Sub-programs include Administration (Office of the Adjutant General: federal agency coordination, State representative to the federal National Guard Bureau, fiscal support, military funerals, family assistance, human resources, force protection, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, contracting, military vehicle repairs), Army Guard (operations, maintenance, security guards, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards).

State funds are utilized primarily for employees' salaries, training, travel, maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, and equipment, facility maintenance, and construction projects. The Rhode Island National Guard is authorized in excess of 3,249 members (2,130 in the Army National Guard, 1,179 in the Air National Guard) against a requirement of 3,459 (2,213 in the Army National Guard, 1,246 in the Air National Guard). National Guard installations located in Rhode Island include 14 armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$100 million dollars.

### Program Objectives

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests. To provide an all hazard response in support of State and regional homeland security planning, preparation, response, and recovery as directed by the Governor and/or the National Command Authority according to the National Response Framework. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities. To conduct youth programs in support of communities, law enforcement, and the court system. To ensure the needs of Rhode Island Veteran's and National Guard families and employees are supported from an accessible Family Assistance Center.

### Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code.

# The Budget

## Military Staff National Guard

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Adjutant-General	769,448	641,713	983,627	1,139,034	981,199
State Military Prop Officer	2,934,899	2,913,104	3,744,279	5,115,485	3,951,561
Federal Army	2,765,078	3,036,472	3,815,053	3,649,091	3,721,207
Federal Air	4,762,188	4,666,371	4,940,438	5,369,877	5,812,882
<b>Total Expenditures</b>	<b>\$11,230,434</b>	<b>\$11,258,839</b>	<b>\$13,483,397</b>	<b>\$15,273,487</b>	<b>\$14,466,849</b>
<b>Expenditures By Object</b>					
Personnel	5,379,356	5,951,033	6,916,759	7,253,415	7,685,128
Operating Supplies and Expenses	3,995,204	3,715,132	4,116,338	4,184,484	4,057,421
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	133,121	177,670	377,800	419,200	420,300
<b>Subtotal: Operating Expenditures</b>	<b>\$9,507,681</b>	<b>\$9,843,835</b>	<b>\$11,410,897</b>	<b>\$11,857,099</b>	<b>\$12,162,849</b>
Capital Purchases and Equipment	1,722,753	1,415,004	2,072,500	3,416,388	2,304,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$11,230,434</b>	<b>\$11,258,839</b>	<b>\$13,483,397</b>	<b>\$15,273,487</b>	<b>\$14,466,849</b>
<b>Expenditures By Funds</b>					
General Revenue	1,753,667	1,437,819	1,446,704	1,601,057	1,336,401
Federal Funds	8,614,725	9,095,826	10,984,193	11,235,252	11,675,448
Restricted Receipts	22,035	9,969	190,000	235,000	235,000
Other Funds	840,007	715,225	862,500	2,202,178	1,220,000
<b>Total Expenditures</b>	<b>\$11,230,434</b>	<b>\$11,258,839</b>	<b>\$13,483,397</b>	<b>\$15,273,487</b>	<b>\$14,466,849</b>
<b>Program Measures</b>					
Percentage of National Guard Facilities Compliant with Code	65.0%	75.0%	78.0%	78.0%	80.0%
Percentage of Army National Guard Facilities that Meet or Exceed Army Standards	24.0%	0.0%	6.3%	6.3%	6.3%
Percentage of Authorized Strength (Air National)	96.0%	102.5%	96.0%	100.0%	100.0%
Percentage of Authorized Strength (Army National)	97.3%	99.0%	95.0%	99.0%	99.0%

# The Program

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## **Military Staff Emergency Management**

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### **Program Operations**

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Department of Homeland Security (DHS) and the Federal Emergency Management Agency (FEMA) receiving funds annually under a Cooperative Agreement, which is part of a Performance Partnership Agreement. The program channels federal matching funds to 16 communities in the State to support similar programs at the local level. The Rhode Island Emergency Management Agency maintains the State Emergency Operating Center (S-EOC) at the Combined Readiness Center in Cranston. RIEMA is responsible for the operation and maintenance of the state's 800 MHz radio system and network. RIEMA further facilitates the State Communication Working Group for the coordination policy, practices and procedures applicable to the RITERN and RISCOON radio communication networks. Emergency Management staff operates a mobile radio system which expedites phone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the State using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. RIEMA also has developed an emergency radio communications system that allows all hospitals, the Department of Health, and Emergency Management to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests. A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters or manmade disasters.

### **Program Objectives**

To maintain a high state of readiness for any disaster or major emergency through coordinated planning and exercises. To maintain and staff the State Emergency Operations Center for 24/7/365 operational capability. To maintain daily situational awareness toward the production of a common operating picture, ensuring a proactive response to support requests enabling the ability to place limited resources on critical needs in a timely and effective manner. To sustain qualified personnel ready to respond to emergencies and to assist local governments in disaster response and recovery operations. To increase public awareness of personal preparedness for all hazards, reducing the potential for loss of life and property. To enable the State to assist local governments in disaster planning, preparation, response and recovery operations for the protection of life, property and infrastructure. To ensure as the lead coordinating agency for emergency management "All Hazard" training, preparedness, and response capability at the local and state levels of government.

### **Statutory History**

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency.

# The Budget

## Military Staff Emergency Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	2,098,695	2,528,948	2,864,630	3,229,453	3,369,209
Operating Supplies and Expenses	1,868,338	2,433,337	985,036	1,655,988	1,335,574
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	12,538,731	9,007,909	9,305,101	41,380,426	15,989,693
<b>Subtotal: Operating Expenditures</b>	<b>\$16,505,764</b>	<b>\$13,970,194</b>	<b>\$13,154,767</b>	<b>\$46,265,867</b>	<b>\$20,694,476</b>
Capital Purchases and Equipment	236,359	83,180	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	877,891	328,082	-	-	-
<b>Total Expenditures</b>	<b>\$17,620,014</b>	<b>\$14,381,456</b>	<b>\$13,154,767</b>	<b>\$46,265,867</b>	<b>\$20,694,476</b>
<b>Expenditures By Funds</b>					
General Revenue	1,735,461	2,667,080	1,335,731	2,565,088	2,281,627
Federal Funds	15,806,791	11,629,782	11,166,561	43,558,997	18,258,538
Restricted Receipts	77,762	84,594	652,475	141,782	154,311
<b>Total Expenditures</b>	<b>\$17,620,014</b>	<b>\$14,381,456</b>	<b>\$13,154,767</b>	<b>\$46,265,867</b>	<b>\$20,694,476</b>
<b>Program Measures</b>					
Percentage of CDSTARS Remote Stations Responding	85.0%	85.0%	85.0%	85.0%	85.0%

# The Agency

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## Department of Public Safety

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### Agency Operations

The Department of Public Safety will serve as the principal agency of the executive branch of state government for managing the Central Management office, E-911 Emergency Telephone System division, the State Fire Marshal, Security Services, Municipal Police Training Academy, and the State Police.

### Agency Objectives

The Department of Public Safety shall lead the state's six (6) public safety departments in order to:

- (1) Improve the economy, efficiency, coordination, and quality of public safety services policy and planning, budgeting and financing, communications and training.
- (2) Increase public confidence by conducting independent reviews of public safety issues in order to promote accountability and coordination across departments.
- (3) Ensure that state public safety policies and programs are responsive to changing needs to the network of public safety organizations that deliver similar services and efforts.

### Statutory History

The FY 2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided for the following:

SECTION 14. The general assembly hereby requires the governor to submit, as part of his FY 2009 budget necessary recommended legislation to create a department of public safety, with an effective date of no sooner than July 1, 2008, and no later than January 1, 2009. The director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.

The governor shall include E-911 Emergency Telephone System division, the State Fire marshal who shall be appointed by the governor with the advice and consent of the senate, Capitol Police, Municipal Police Training Academy, and the State Police.

The department shall consolidate communications and overhead expenditures.

# The Budget

## Department of Public Safety

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditure by Program</b>					
Central Management	4,409,844	5,686,201	6,156,042	7,571,920	5,169,481
E-911 Emergency	5,564,660	4,901,495	4,655,752	5,091,943	4,772,358
Fire Marshal	2,814,726	2,817,989	2,772,310	3,519,916	4,291,734
Security Services	3,553,682	3,026,109	3,308,669	3,163,035	19,963,594
Municipal Police Training Academy	444,110	519,988	625,909	751,294	659,498
State Police	62,171,879	82,044,329	69,230,888	72,367,921	67,487,589
Internal Service Program	[696,905]	[779,939]	[665,713]	[697,675]	[739,072]
<b>Total Expenditure</b>	<b>\$78,958,901</b>	<b>\$98,996,111</b>	<b>\$86,749,570</b>	<b>\$92,466,029</b>	<b>\$102,344,254</b>
<b>Expenditures By Object</b>					
Personnel	46,575,139	47,452,771	50,980,360	51,726,368	71,198,569
Operating Supplies and Expenses	7,261,857	7,292,502	5,416,049	6,285,753	6,852,068
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	18,720,291	20,431,949	20,586,077	23,610,085	21,323,727
<b>Subtotal: Operating Expenditures</b>	<b>\$72,557,287</b>	<b>\$75,177,222</b>	<b>\$76,982,486</b>	<b>\$81,622,206</b>	<b>\$99,374,364</b>
Capital Purchases and Equipment	6,401,614	23,818,889	9,767,084	10,843,823	2,969,890
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$78,958,901</b>	<b>\$98,996,111</b>	<b>\$86,749,570</b>	<b>\$92,466,029</b>	<b>\$102,344,254</b>
<b>Expenditures By Funds</b>					
General Revenue	63,138,452	54,155,469	67,024,490	68,565,272	89,407,711
Federal Funds	7,925,797	18,591,398	7,131,554	11,854,997	6,541,865
Restricted Receipts	243,806	942,338	803,106	384,413	335,749
Other Funds	7,650,846	25,306,906	11,790,420	11,661,347	6,058,929
<b>Total Expenditures</b>	<b>\$78,958,901</b>	<b>\$98,996,111</b>	<b>\$86,749,570</b>	<b>\$92,466,029</b>	<b>\$102,344,254</b>
<b>FTE Authorization</b>	<b>396.1</b>	<b>418.6</b>	<b>423.1</b>	<b>423.2</b>	<b>603.2</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	8.6%	10.0%	10.0%	10.0%	10.0%
Females as a Percentage of the Workforce	18.2%	17.0%	17.0%	17.0%	18.0%
Persons with Disabilities as a Percentage of the Workforce	0.6%	0.2%	0.2%	0.2%	0.2%

# The Program

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## Department of Public Safety Central Management

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### Program Operations

The Central Management program within the Department of Public Safety includes the administrative functions, which are headed by the superintendent of the Rhode Island State Police, who serves as the "director". In this capacity, the Director of Public Safety shall be authorized to: (a) Coordinate the administration and financing of public safety services and programs. (b) Serve as the governor's chief advisor and liaison to federal policymakers on public safety issues as well as the principal point of contact in the state on any such related matters. (c) Resolve administrative, jurisdictional, operational, program, or policy conflicts among departments and their executive staffs and make necessary recommendations to the governor. (d) Assure continued progress toward improving the quality, the economy, the accountability and the efficiency of state-administered public safety services.

The Central Management program also includes the Public Safety Grant Administration Office (PSGAO), formerly the Rhode Island Justice Commission (RIJC). PSGAO develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues. The support of these efforts is primarily obtained through the following federal grants: The Edward Byrne Memorial Justice Assistance Grant Program; the Juvenile Justice Formula, Block and other related grants; the Victims of Crime Act Assistance Formula Grant; the S.T.O.P. Violence Against Women Act Formula Grant and other related grants; the Residential Substance Abuse Treatment for State Prisoners Grant; and the National Criminal Histories Improvement Grant Program. In addition, the RIJC administers the state Neighborhood Crime Prevention Act Grant program. The agency's primary responsibility is to plan and apply for, receive and administer federal grant programs. It is most common that the PSGAO makes over 200 grants/sub-grants annually in the average amount of approximately six million dollars.

### Program Objectives

To oversee the provision of statewide public safety services in conformance with legislative and policy mandates.

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

### Statutory History

The FY2008 Enacted budget, Section 14 of Article 3 Relating to Reorganization provided that the director of the department shall be the superintendent of the state police who shall be appointed by the governor with the advice and consent of the senate.



# The Budget

## Department of Public Safety Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2011 Recommended	FY 2012 Enacted
<b>Expenditures By Object</b>					
Personnel	1,037,536	1,123,869	1,213,388	1,223,398	1,336,204
Operating Supplies and Expenses	24,937	69,112	409,657	37,688	29,112
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	3,347,371	4,493,220	4,532,997	6,310,834	3,804,165
<b>Subtotal: Operating Expenditures</b>	<b>\$4,409,844</b>	<b>\$5,686,201</b>	<b>\$6,156,042</b>	<b>\$7,571,920</b>	<b>\$5,169,481</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,409,844</b>	<b>\$5,686,201</b>	<b>\$6,156,042</b>	<b>\$7,571,920</b>	<b>\$5,169,481</b>
<b>Expenditures By Funds</b>					
General Revenue	567,395	657,041	712,968	702,703	780,113
Federal Funds	3,842,449	5,029,160	4,934,729	6,865,428	4,388,518
Restricted Receipts	-	-	508,345	3,789	850
<b>Total Expenditures</b>	<b>\$4,409,844</b>	<b>\$5,686,201</b>	<b>\$6,156,042</b>	<b>\$7,571,920</b>	<b>\$5,169,481</b>
<b>Program Measures</b>					
Percentage of Mun. Police Depts. with the Records Management System Software that are Interfaced with Justice Link (Courts)	96.0%	96.0%	96.0%	96.0%	96.0%
Percentage of Noncompetitive Formula Grant Applications Provided an Official Response Within 5 Business Days of Completed Application	100.0%	97.0%	100.0%	100.0%	100.0%
Percentage of Competitive Grant Applicants Provided an Official Response Within 75 Business Days of Completed Application	100.0%	100.0%	100.0%	100.0%	100.0%

# The Program

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## Department of Public Safety E-911 Emergency Telephone System

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### Program Operations

E-911 Emergency Telephone System operates a 24-hour, statewide Public Safety Answering Point (PSAP), which receives wireline and wireless 9-1-1 calls, and then transfers them to the appropriate public safety response agency in the caller's area, whether it be police, fire, or rescue. The agency's operations are funded from general revenues and restricted receipt revenues. The general revenue expenditures are backed by a \$1.00 per month surcharge on wireline and wireless telephone subscribers. Effective July 1, 2004, an additional \$0.26 surcharge was levied on wireless subscribers to fund the costs of the Geographic Information System (GIS) database, improve system redundancy, and maintain state-of-the-art technology in the PSAP.

The agency is equipped with sophisticated telephone answering equipment, computers, and software, which are operated by trained 9-1-1 telecommunicators. When a telecommunicator receives a 9-1-1 call from a wireline telephone caller, the equipment displays the caller's street address, telephone number, and map displaying the location of the call. The agency is acquiring additional location capabilities using GIS mapping and other data being collected on a community-by-community basis, as funding becomes available. To date, 19 communities have been coded and 8 more are scheduled for completion in FY 2007. Until the GIS data development project is completed, the agency must rely on voice communication with the wireless caller, supplemented by some limited location information provided by the wireless carriers under Federal Communications Commission requirements. Approximately 62 percent of the 562,517 calls received by the agency in calendar year 2006 were from wireless phones.

### Program Objectives

The agency's objective is to maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate responding public safety and rescue agencies utilizing call location technology.

### Statutory History

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. In 1996, the system became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the system. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. In July 2004, a new \$0.26 wireless GIS and Technology Fund surcharge was created. Statutory references for the agency are Title 39, Chapters 21 and 21.1.

# The Budget

## Department of Public Safety E-911 Emergency Telephone System

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	4,133,649	4,015,392	4,073,906	4,112,959	4,199,660
Operating Supplies and Expenses	725,042	648,049	579,246	584,188	572,698
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$4,858,691</b>	<b>\$4,663,441</b>	<b>\$4,653,152</b>	<b>\$4,697,147</b>	<b>\$4,772,358</b>
Capital Purchases and Equipment	705,969	238,054	2,600	394,796	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,564,660</b>	<b>\$4,901,495</b>	<b>\$4,655,752</b>	<b>\$5,091,943</b>	<b>\$4,772,358</b>
<b>Expenditures By Funds</b>					
General Revenue	4,826,932	4,635,901	4,655,752	4,851,006	4,772,358
Federal Funds	737,728	265,594	-	240,937	-
Restricted Receipts	-	-	-	-	-
Other Funds	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,564,660</b>	<b>\$4,901,495</b>	<b>\$4,655,752</b>	<b>\$5,091,943</b>	<b>\$4,772,358</b>
<b>Program Measures</b>					
Average Number of Seconds Required to Answer & Transfer Wireless Calls to Secondary Public Service Answering Points	65	72	75	75	77

# The Program

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## Department of Public Safety Rhode Island State Fire Marshal

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### Program Operations

The State Fire Marshal Division is responsible for enforcing and performing the duties required by the Fire Safety Code and all other provisions of the general and public laws as they relate to fires, and fire prevention, protection, inspection and investigation. The division enforces all laws regarding the keeping, storage, use, manufacturing, sale, handling, transportation, or other disposition of explosives and inflammable materials as well as conducting and supervising fire safety inspections of all buildings regulated by the code, and certifying whether or not buildings satisfy these requirements.

The division is divided into units specified in law: The Bomb Disposal Unit assists local police and fire departments in the proper handling and disposition of all hazardous materials suspected to be of explosive or incendiary nature. It also conducts training programs of local fire departments.

The Investigation Unit investigates suspicious or attempted fires to determine the cause, origin and circumstances where property has been damaged or destroyed. It also investigates fires where a fatality has occurred in so far as it is possible to determine the cause. Local government officials must report such fires immediately so as not to delay the start of the investigation.

The Enforcement Unit is responsible for the criminal prosecution of any person(s) in violation of the State Fire Safety Code and any general and public laws as they relate to fires, fire prevention, fire inspection, and fire investigations.

The Fire Education and Training Unit administer the Fire Academy by providing education and training to all fire departments in the State, public and private. The Fire Education and Training Coordinating Board develop the training program and the cost is reimbursed by the participating community.

The Plan Review Unit reviews architectural drawings of proposed construction plans for compliance with the Fire Safety Code. All fees collected for review of the plans are deposited as general revenue for the State.

The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code.

### Program Objectives

To reduce the number of fire losses in Rhode Island through prosecution of arson related crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile fire setters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

### Statutory History

R.I.G.L.23-28.2 establishes the Rhode Island State Fire Marshal and defines its duties.

# The Budget

## Department of Public Safety Rhode Island State Fire Marshal

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	2,051,951	2,273,837	2,340,190	2,603,065	2,648,976
Operating Supplies and Expenses	126,658	278,326	187,620	204,625	307,758
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,178,609</b>	<b>\$2,552,163</b>	<b>\$2,527,810</b>	<b>\$2,807,690</b>	<b>\$2,956,734</b>
Capital Purchases and Equipment	636,117	265,826	244,500	712,226	1,335,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,814,726</b>	<b>\$2,817,989</b>	<b>\$2,772,310</b>	<b>\$3,519,916</b>	<b>\$4,291,734</b>
<b>Expenditures By Funds</b>					
General Revenue	2,196,951	2,398,083	2,461,060	2,504,034	2,568,574
Federal Funds	617,775	409,877	261,250	896,292	53,000
Restricted Receipts	-	10,029	50,000	97,950	280,899
Operating Transfers	-	-	-	21,640	1,389,261
<b>Total Expenditures</b>	<b>\$2,814,726</b>	<b>\$2,817,989</b>	<b>\$2,772,310</b>	<b>\$3,519,916</b>	<b>\$4,291,734</b>
<b>Program Measures</b>					
Fire Determination Rate	86.6%	85.0%	85.0%	85.0%	85.0%
Fire Fatalities in Rhode Island	10	12	12	12	12

# The Program

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## Department of Public Safety Security Services

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### Program Operations

The Capitol Police are a uniformed security force with powers of arrest and trained in police work at the State Police Academy. They have security responsibilities at ten buildings and also patrol the grounds and parking areas at the State House and Capitol Hill complex. Their job is to protect property and ensure the safety of employees and the public that work and visit the buildings. At court buildings they provide door security which includes monitoring the passage of persons through the metal detectors installed at each entrance and confiscating weapons and illicit materials. At the State House they are charged with maintaining order during protests and demonstrations. They protect the Governor, members of the General Assembly and other State Officers. They maintain peace and order so that the General Assembly and other functions of government can operate without disruption.

### Program Objectives

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of the Capitol Police.

### Statutory History

Chapter 12-2.2-1 of the Rhode General Laws defines the powers and responsibilities of the Capitol Police.

# The Budget

## Department of Public Safety Security Services

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Sheriffs	-	-	-	-	16,656,866
Capitol Police	3,553,682	3,026,109	3,308,669	3,163,035	3,306,728
<b>Total Expenditures</b>	<b>\$3,553,682</b>	<b>\$3,026,109</b>	<b>\$3,308,669</b>	<b>\$3,163,035</b>	<b>\$19,963,594</b>
<b>Expenditures By Object</b>					
Personnel	3,494,111	2,947,774	3,230,719	3,089,151	18,987,554
Operating Supplies and Expenses	59,447	78,335	77,950	73,884	970,540
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	500
<b>Subtotal: Operating Expenditures</b>	<b>\$3,553,558</b>	<b>\$3,026,109</b>	<b>\$3,308,669</b>	<b>\$3,163,035</b>	<b>\$19,958,594</b>
Capital Purchases and Equipment	124	-	-	-	5,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,553,682</b>	<b>\$3,026,109</b>	<b>\$3,308,669</b>	<b>\$3,163,035</b>	<b>\$19,963,594</b>
<b>Expenditures By Funds</b>					
General Revenue	3,553,682	3,026,109	3,308,669	3,163,035	19,963,594
<b>Total Expenditures</b>	<b>\$3,553,682</b>	<b>\$3,026,109</b>	<b>\$3,308,669</b>	<b>\$3,163,035</b>	<b>\$19,963,594</b>
<b>Program Measures</b>	NS	NS	NS	NS	NS

\* In the FY 2012 Budget, the Governor recommends that the Security Services - Sheriffs program be transferred from the Department of Administration to the Department of Public Safety.

# The Program

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## Department of Public Safety Municipal Police Training Academy

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### Program Operations

The Rhode Island Municipal Police Training Academy provides basic training to all new police officers throughout Rhode Island with the exception of the Providence Police and the Rhode Island State Police. Additionally, new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others attend the Police Academy.

The Police Academy's training program includes instruction in criminal, constitutional, juvenile and motor vehicle code law; breathalyzer/standard field sobriety testing; police patrol operations; CPR/AED; criminalistics; police ethics; physical fitness training; use of force training; basic water rescue; emergency vehicle operator's course training (EVOC); community policing; firearms training and role-play operations training. Additionally, the recruits receive instruction on hate crimes, diversity and racial profiling, as well as weapons of mass destruction and terrorism awareness.

The Police Academy also conducts extensive police in-service and specialized training programs in many areas such as First Line Supervision; Field Training Officers Course; Criminal Investigation; Community/Problem Oriented Policing Programs; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training.

The basic police recruit training operations are funded totally from the State General Fund. Most classroom instruction for police recruits is conducted at the Community College of Rhode Island's Flanagan Campus, in Lincoln. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by staff.

### Program Objectives

Evaluate and screen police officer candidates to ascertain required minimum qualifications.

Provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks.

Provide in-service and specialized training courses.

### Statutory History

In 1969, Title 42 Chapter 28.2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.



# The Budget

## Department of Public Safety Municipal Police Training Academy

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	386,574	441,328	565,358	574,868	548,550
Operating Supplies and Expenses	45,132	76,557	60,551	171,156	110,948
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$431,706</b>	<b>\$517,885</b>	<b>\$625,909</b>	<b>\$746,024</b>	<b>\$659,498</b>
Capital Purchases and Equipment	12,404	2,103	-	5,270	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$444,110</b>	<b>\$519,988</b>	<b>\$625,909</b>	<b>\$751,294</b>	<b>\$659,498</b>
<b>Expenditures By Funds</b>					
General Revenue	354,935	319,312	334,567	335,251	352,118
Federal Funds	89,175	200,676	291,342	416,043	307,380
<b>Total Expenditures</b>	<b>\$444,110</b>	<b>\$519,988</b>	<b>\$625,909</b>	<b>\$751,294</b>	<b>\$659,498</b>
<b>Program Measures</b>					
Cumulative Grade Point Average for Recruit Classes	93.0%	82.0%	93.0%	93.0%	93.0%

# The Program

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## Department of Public Safety State Police

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### Program Operations

The Rhode Island State Police (RISP) ensures citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. The agency is organized into three divisions: the Patrol Division, the Detective Division, and Central Management.

The Patrol Division prevents crime and investigates criminal and noncriminal activities. The division establishes highway safety through enforcement of motor vehicle laws with emphasis on hazardous moving violations, i.e. drunk driving and speeding.

The Detective Division is the primary investigative unit. It assists the Attorney General's Office in investigating organized crime and white collar crime, and provides support to police agencies throughout the State. It is composed of several bureaus: the Area Detectives, the Intelligence Bureau, the Narcotics Bureau, the Auto Theft Unit, the Bureau of Criminal Identification, a Financial Crimes Unit, and a Major Crimes Unit. Further, the RISP assumed control of the Judicial Branch's Warrant Squad to consolidate statewide operations in the apprehension of fugitives.

The Central Management Division consists of the Command Officers, the Inspection Division, the Training Academy, Planning and Research, the Business/Supply Office, and a Communications section. The Communications and Technology Unit supports all users of its local and wide area networks, microwave and radio systems, and electronic surveillance and communications equipment located in the agency's fleet. The State Police retirement costs are entirely funded through the annual general fund appropriation, including widow and disability pensions, cost of living adjustments and health insurance benefits.

### Program Objectives

To recruit and train troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to the state of the art level; to maintain national accreditation; and to increase public awareness of the Rhode Island State Police mission.

### Statutory History

R.I.G.L. 42-28 established the Rhode Island State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, public accident reports and special crime systems.

# The Budget

## Department of Public Safety State Police

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Support	8,900,809	36,989,912	13,973,606	13,521,385	7,792,519
Detectives	11,863,512	13,084,890	10,798,784	13,390,973	12,757,309
Patrol	23,721,023	13,113,011	26,206,006	24,755,632	26,400,137
Pension	15,375,100	15,940,176	16,055,580	17,281,739	17,521,537
Communications and Technology	2,311,435	2,916,340	2,196,912	3,418,192	3,016,087
<b>Total Expenditures</b>	<b>\$62,171,879</b>	<b>\$82,044,329</b>	<b>\$69,230,888</b>	<b>\$72,367,921</b>	<b>\$67,487,589</b>
<b>Expenditures By Object</b>					
Personnel	35,471,318	36,650,571	39,556,799	40,122,927	43,477,625
Operating Supplies and Expenses	6,280,641	6,142,123	4,101,025	5,214,212	4,861,012
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	15,372,920	15,938,729	16,053,080	17,299,251	17,519,062
<b>Subtotal: Operating Expenditures</b>	<b>\$57,124,879</b>	<b>\$58,731,423</b>	<b>\$59,710,904</b>	<b>\$62,636,390</b>	<b>\$65,857,699</b>
Capital Purchases and Equipment	5,047,000	23,312,906	9,519,984	9,731,531	1,629,890
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$62,171,879</b>	<b>\$82,044,329</b>	<b>\$69,230,888</b>	<b>\$72,367,921</b>	<b>\$67,487,589</b>
<b>Expenditures By Funds</b>					
General Revenue	51,638,557	43,119,023	55,551,474	57,009,243	60,970,954
Federal Funds	2,638,670	12,686,091	1,644,233	3,436,297	1,792,967
Restricted Receipts	243,806	932,309	244,761	282,674	54,000
Other Funds	7,650,846	25,306,906	11,790,420	11,639,707	4,669,668
<b>Total Expenditures</b>	<b>\$62,171,879</b>	<b>\$82,044,329</b>	<b>\$69,230,888</b>	<b>\$72,367,921</b>	<b>\$67,487,589</b>
<b>Program Measures</b>					
Safety Violations Found for Every One Hundred Vehicles Inspected	28.0	30.0	30.0	30.0	30.0

# The Program

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## **Department of Public Safety Internal Service Program**

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### **Program Operations**

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

The Internal service program that is operated by the Department of Public Safety is the Capitol Police Rotary.

This Capitol Police Rotary provide security services to seven different agencies throughout the state of Rhode Island.

### **Program Objectives**

To provide the most cost-effective delivery of goods and services to other state programs.

### **Statutory History**

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

# The Budget

## Department of Public Safety Internal Service Programs

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	696,905	789,737	658,209	697,675	736,088
Operating Supplies and Expenses	-	53	7,504	-	2,984
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$696,905</b>	<b>\$789,790</b>	<b>\$665,713</b>	<b>\$697,675</b>	<b>\$739,072</b>
Capital Purchases and Equipment	-	-	-	-	-
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$696,905</b>	<b>\$789,790</b>	<b>\$665,713</b>	<b>\$697,675</b>	<b>\$739,072</b>
<b>Expenditures By Funds</b>					
Internal Service Funds	\$696,905	\$789,790	\$665,713	\$697,675	\$739,072
<b>Total Expenditures</b>	<b>\$696,905</b>	<b>\$789,790</b>	<b>\$665,713</b>	<b>\$697,675</b>	<b>\$739,072</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Agency

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## Office of the Public Defender

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### Agency Operations

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney for representation in the appropriate court.

The office carries out a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters, and with termination of parental rights and dependency and neglect petitions filed by the DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpreters, information technology staff, intake staff and clericals.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six-year term.

### Agency Objectives

To provide high quality representation to all indigents in criminal, juvenile and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

### Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the Nation. Title 12, Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency, and mandates it to represent those who are without financial resources to retain private counsel. Title 14, Chapter 1 describes the referral process by the Family Court, and Title 40, Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the office by the Family Court.

# The Budget

## Office of the Public Defender

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	8,490,175	8,320,498	9,166,751	9,191,685	9,897,502
Operating Supplies and Expenses	756,835	740,041	821,250	834,803	845,709
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	100,000	100,000
<b>Subtotal: Operating Expenditures</b>	<b>\$9,247,010</b>	<b>\$9,060,539</b>	<b>\$9,988,001</b>	<b>\$10,126,488</b>	<b>\$10,843,211</b>
Capital Purchases and Equipment	25,205	64,142	32,400	33,730	33,730
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,272,215</b>	<b>\$9,124,681</b>	<b>\$10,020,401</b>	<b>\$10,160,218</b>	<b>\$10,876,941</b>
<b>Expenditures By Funds</b>					
General Revenue	8,986,912	9,013,466	9,590,261	9,541,448	10,300,580
Federal Funds	285,303	111,215	430,140	618,770	576,361
<b>Total Expenditures</b>	<b>\$9,272,215</b>	<b>\$9,124,681</b>	<b>\$10,020,401</b>	<b>\$10,160,218</b>	<b>\$10,876,941</b>
<b>FTE Authorization</b>	<b>91.0</b>	<b>92.0</b>	<b>93.0</b>	<b>93.0</b>	<b>93.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	14.6%	12.7%	13.3%	13.3%	15.1%
Females as a Percentage of the Workforce	62.5%	63.8%	64.4%	64.4%	63.4%
Persons with Disabilities as a Percentage of the Workforce	10.4%	9.5%	9.5%	9.5%	9.5%
<b>Program Measures</b>					
Percentage by which Attorney Caseload Exceeds National Standards - Misdemeanors	295.0%	379.0%	300.0%	300.0%	300.0%
Percentage by which Attorney Caseload Exceeds National Standards - Felonies	33.0%	46.0%	40.0%	40.0%	40.0%
Average Percentage of the Continuing Legal Education Requirement Fulfilled with Public Defender Sponsored Courses (All Attorneys)	55.3%	91.5%	80.0%	80.0%	80.0%

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# **Natural Resources**

Department of Environmental Management  
Office of the Director  
Bureau of Natural Resources  
Bureau of Environmental Protection  
Coastal Resources Management Council

Coastal Resources Management Council  
State Water Resources Board

## Natural Resources Function Expenditures

	FY 2009 Actuals	FY 2010 Actuals	FY 2011 Enacted	FY 2011 Working	FY 2011 Gov.	FY 2012 Gov.
<b>Expenditure by Object</b>						
Personnel	50,239,109	49,459,496	61,439,815	61,439,815	71,194,051	66,369,856
Operating Supplies and Expenses	8,631,859	8,421,291	10,828,488	10,828,488	10,959,452	10,880,598
Aid to Local Units of Government	-	-	2,000	2,000	-	-
Assistance, Grants, and Benefits	4,318,633	4,225,585	8,142,268	8,142,268	9,224,413	7,841,552
<b>Subtotal: Operating Expenditure</b>	<b>\$63,189,601</b>	<b>\$62,106,372</b>	<b>\$80,412,571</b>	<b>\$80,412,571</b>	<b>\$91,377,916</b>	<b>\$85,092,006</b>
Capital Purchases and Equipment	8,016,853	9,451,552	18,528,391	18,528,391	20,331,367	18,825,021
Debt Service	-	-	-	-	-	-
Operating Transfers	99,140	72,274	-	-	50,000	50,000
<b>Total Expenditures</b>	<b>\$71,305,594</b>	<b>\$71,630,198</b>	<b>\$98,940,962</b>	<b>\$98,940,962</b>	<b>\$111,759,283</b>	<b>\$103,967,027</b>
<b>Expenditures by Funds</b>						
General Revenue	35,854,071	35,637,289	37,758,384	37,758,384	37,629,759	38,962,668
Federal Funds	21,043,448	20,244,547	37,481,371	37,481,371	49,282,728	40,395,003
Restricted Receipts	11,743,701	10,382,927	14,386,916	14,386,916	14,924,427	16,081,035
Other Funds	2,664,374	5,365,435	9,314,291	9,314,291	9,922,369	8,528,321
<b>Total Expenditures</b>	<b>\$71,305,594</b>	<b>\$71,630,198</b>	<b>\$98,940,962</b>	<b>\$98,940,962</b>	<b>\$111,759,283</b>	<b>\$103,967,027</b>
<b>FTE Authorization</b>	<b>445.0</b>	<b>438.5</b>	<b>446.0</b>	<b>446.0</b>	<b>446.0</b>	<b>446.0</b>

# The Agency

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## Department of Environmental Management

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### Agency Operations

The mission of the Department of Environmental Management is to protect, restore, and manage the State's natural resources; while maintaining its citizens' health and safety, and motivation citizens to practice of an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

### Agency Objectives

To ensure residents have equal access to environmental benefits; to prevent any segment of the State's population from bearing a disproportionate share of environmental risks and pollution.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic and accessible facilities and outdoor recreation opportunities.

To ensure natural habitats are managed to maintain species biodiversity.

To ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it; including prevent pollution and minimize waste at the source.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments

To revitalize, protect and restore urban areas for reuse while conserving ecologically sensitive urban areas

To promote economic opportunity that preserves the State's resources and maintains Rhode Island's high quality of life for a sound economy.

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### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department of Environmental Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Office of the Director	\$6,930,608	\$6,561,423	\$7,639,383	\$7,981,102	\$8,196,933
Bureau of Natural Resources	\$34,752,724	\$33,275,839	\$48,624,641	\$53,250,365	\$52,692,139
Bureau of Environmental Protection	\$24,882,917	\$24,933,606	\$36,856,687	\$39,433,177	\$36,693,313
<b>Total Expenditures</b>	<b>\$66,566,249</b>	<b>\$64,770,868</b>	<b>\$93,120,711</b>	<b>\$100,664,644</b>	<b>\$97,582,385</b>
<b>Expenditures By Object</b>					
Personnel	46,065,952	45,055,169	56,396,458	61,372,460	61,177,351
Operating Supplies and Expenses	8,387,383	8,210,359	10,569,638	10,611,877	10,651,705
Aid To Local Units Of Government	-	-	2,000	-	-
Assistance, Grants and Benefits	3,996,921	3,661,929	8,016,724	9,098,519	7,759,908
<b>Subtotal: Operating Expenditures</b>	<b>\$58,450,256</b>	<b>\$56,927,457</b>	<b>\$74,984,820</b>	<b>\$81,082,856</b>	<b>\$79,588,964</b>
Capital Purchases and Equipment	8,016,853	7,771,137	18,135,891	19,531,788	17,943,421
Debt Service	-	-	-	-	-
Operating Transfers	99,140	72,274	-	50,000	50,000
<b>Total Expenditures</b>	<b>\$66,566,249</b>	<b>\$64,770,868</b>	<b>\$93,120,711</b>	<b>\$100,664,644</b>	<b>\$97,582,385</b>
<b>Expenditures By Funds</b>					
General Revenue	32,853,889	32,646,082	34,403,329	34,268,194	35,495,587
Federal Funds	19,660,143	18,437,828	35,386,175	42,330,832	38,356,542
Restricted Receipts	11,413,385	10,159,927	14,136,916	14,674,427	15,831,035
Other Funds	2,638,832	3,527,031	9,194,291	9,391,191	7,899,221
<b>Total Expenditures</b>	<b>\$66,566,249</b>	<b>\$64,770,868</b>	<b>\$93,120,711</b>	<b>\$100,664,644</b>	<b>\$97,582,385</b>
<b>FTE Authorization</b>	<b>409.0</b>	<b>402.5</b>	<b>410.0</b>	<b>410.0</b>	<b>410.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	5.3%	5.8%	5.8%	5.5%	6.5%
Females as a Percentage of the Workforce	35.4%	34.4%	34.4%	33.0%	33.9%
Persons with Disabilities as a Percentage of the Workforce	9.5%	10.2%	10.2%	9.7%	11.7%

# The Program

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## Department of Environmental Management Office of the Director

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### Program Operations

The Office of the Director develops and implements the agency's wide range of programs to protect Rhode Island's environment; protect the citizens of the state from public health threats resulting from pollution; and provide facilities that support a diversity of outdoor recreational activities. The Office includes: The Office of Management Services; Legal Services; Administrative Adjudication; and two central services offices; Human Resources and Information Technology. The Office also coordinates the Department's Planning & Policy Initiatives, legislative & Intergovernmental Affairs, and Communications & Outreach.

The Office of Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately 200 separate accounts for the department's programs, as well as the issuance of 22,339 boat registrations and all hunting and fishing licenses.

The Office of Legal Services counsels and represents the department and its divisions, and provides legal guidance on the development and administration of regulatory programs. It also provides legal assistance to a number of municipalities particularly in the area of land use relating to the preservation of open space.

The Office of Administrative Adjudication is the administrative tribunal for environmental matters originating from the Department. It adjudicates appeals of enforcement actions taken by the Department's regulatory programs and hears enforcement appeals for alleged violations of statutes and/or regulations. The Office is responsible for ensuring that the regulated community has an opportunity to contest actions taken by the Department and have such actions reviewed at the agency level.

The Human Resource Service Center staff provides direct administrative support to the Department Director, Senior Management and the agency's workforce, which currently comprises approximately 405 full-time employees and 510 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

The Information Technology Service Center staff provides oversight, coordination, and development of standardized investments in software, hardware, networks and services. Staff provides the Department with effective and efficient application of information technology; and delivers secure, innovative, and reliable technology solutions in the most responsive and effective manner.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department of Environmental Management Office of the Director

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Administration	3,137,223	2,919,389	3,199,954	3,041,670	3,099,358
Environmental Coordination	292,182	257,816	978,469	1,076,900	926,200
Management Services	2,612,724	2,437,627	2,297,628	2,571,141	2,715,442
Legal Services	452,223	431,767	532,614	482,705	635,232
Employee Relations/Human Resources	-	-	-	-	-
Planning and Development	(102)	-	-	-	-
Administrative Adjudication	377,945	485,541	536,966	720,103	732,287
Permit Streamlining	58,413	29,283	93,752	88,583	88,414
<b>Total Expenditures</b>	<b>\$6,930,608</b>	<b>\$6,561,423</b>	<b>\$7,639,383</b>	<b>\$7,981,102</b>	<b>\$8,196,933</b>
<b>Expenditures By Object</b>					
Personnel	4,177,809	3,860,169	4,606,406	4,892,521	5,111,274
Operating Supplies and Expenses	2,526,765	2,484,014	2,683,662	2,552,836	2,734,914
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	221,922	189,372	320,065	505,065	320,065
<b>Subtotal: Operating Expenditures</b>	<b>\$6,926,496</b>	<b>\$6,533,555</b>	<b>\$7,610,133</b>	<b>\$7,950,422</b>	<b>\$8,166,253</b>
Capital Purchases and Equipment	4,112	27,868	29,250	30,680	30,680
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,930,608</b>	<b>\$6,561,423</b>	<b>\$7,639,383</b>	<b>\$7,981,102</b>	<b>\$8,196,933</b>
<b>Expenditures By Funds</b>					
General Revenue	4,496,139	4,220,711	4,635,985	4,547,591	4,887,414
Federal Funds	91,987	66,301	566,300	674,300	476,300
Restricted Receipts	2,342,482	2,274,411	2,437,098	2,759,211	2,833,219
Other Funds	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,930,608</b>	<b>\$6,561,423</b>	<b>\$7,639,383</b>	<b>\$7,981,102</b>	<b>\$8,196,933</b>
<b>Program Measures</b>	NS	NS	NS	NS	NS

# The Program

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## Department of Environmental Management Bureau of Natural Resources

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### Program Operations

The Bureau of Natural Resources is organized into six divisions:

The Division of Fish and Wildlife manages the State's marine and freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; to enhance non-consumptive uses of wildlife; and to protect the State's rare, and endangered species. The division maintains state-owned management areas, fishing areas, and boat ramps.

The Division of Agriculture and Resource Marketing manages the State's agriculture programs, including farm viability and protection; licensing and permitting; farm-best management practices; animal health, mosquito and rabies disease control; and pesticide management and regulation.

The Division of Enforcement enforces state laws and regulations governing hunting and fishing, public safety in state parks, and recreational boating. The division maintains a 24-hour hotline and dispatch center, conducts search and rescue activities on both land and the bay, and responds to animal complaints, particularly those associated with rabies. The division oversees the Criminal Investigation Unit, which investigates solid, medical, and hazardous waste violations.

The Division of Parks and Recreation manages eight major state parks, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres. The division administers, maintains and operates these facilities as well as coordinating recreational opportunities and activities at the Narragansett Bay Estuarine Research Reserve and all port facilities and commercial fishing piers in Narragansett and Newport.

The Division of Forest Environment manages 40,000 acres of state-owned forests. It coordinates forest fire protection plans, the Urban Forestry Grant Program, and it assists rural volunteer fire departments.

The Division of Planning and Development is responsible for state land acquisitions, engineering/design work for improvements to state parks and facilities, and local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants.

### Program Objective

The objectives of this bureau are to provide stewardship of the State's finite water, air, land, agricultural, forest resources, and the conservation of the State's aquatic resources; and provide people with well-maintained, scenic, and accessible outdoor recreational opportunities.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.



# The Budget

## Department of Environmental Management Bureau of Natural Resources

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Fish, Wildlife & Estuarine Resources	7,484,775	7,540,729	15,417,943	21,338,549	19,868,632
Agriculture	2,106,137	2,062,845	2,495,193	2,503,807	2,582,898
Enforcement	5,302,020	4,871,692	6,346,947	6,199,882	6,489,656
Natural Resources Administration	2,475,391	4,808,993	7,187,155	5,676,101	4,838,309
Parks and Recreation	10,809,860	10,816,259	11,591,998	12,004,974	13,432,862
Forest Environment	5,938,204	2,219,358	4,247,249	4,197,052	4,279,782
Coastal Resources	636,337	955,963	1,338,156	1,330,000	1,200,000
<b>Total Expenditures</b>	<b>34,752,724</b>	<b>33,275,839</b>	<b>48,624,641</b>	<b>53,250,365</b>	<b>52,692,139</b>
<b>Expenditures By Object</b>					
Personnel	20,585,546	20,537,283	22,998,251	25,939,589	26,339,066
Operating Supplies and Expenses	4,992,016	5,016,031	6,426,890	6,639,857	6,489,268
Aid To Local Units Of Government	-	-	2,000	-	-
Assistance, Grants and Benefits	1,309,940	1,191,139	2,813,459	2,926,411	2,841,464
<b>Subtotal: Operating Expenditures</b>	<b>\$26,887,502</b>	<b>26,744,453</b>	<b>\$32,240,600</b>	<b>\$35,505,857</b>	<b>\$35,669,798</b>
Capital Purchases and Equipment	7,865,222	6,478,666	16,384,041	17,694,508	16,972,341
Debt Service	-	-	-	-	-
Operating Transfers	-	52,720	-	50,000	50,000
<b>Total Expenditures</b>	<b>\$34,752,724</b>	<b>33,275,839</b>	<b>48,624,641</b>	<b>\$53,250,365</b>	<b>52,692,139</b>
<b>Expenditures By Funds</b>					
General Revenue	17,788,329	17,354,137	17,797,447	17,763,138	18,508,312
Federal Funds	12,121,497	10,825,146	21,379,160	25,940,140	24,455,444
Restricted Receipts	2,415,757	1,672,876	3,930,478	3,833,681	5,479,269
Other Funds	2,427,141	3,423,680	5,517,556	5,713,406	4,249,114
<b>Total Expenditures</b>	<b>\$34,752,724</b>	<b>\$33,275,839</b>	<b>\$48,624,641</b>	<b>\$53,250,365</b>	<b>\$52,692,139</b>
<b>Program Measures</b>					
Cumulative Percentage of Land Acquisition Goal of 17,850 Acres Actually Acquired	59.0%	62.0%	65.0%	65.0%	68.0%
Percentage of RI Communities on at Least the Formative Level in the Urban Forestry Program	80.0%	80.0%	80.0%	80.0%	80.0%
Percentage of RI Communities on at Least the Sustained Level in the Urban Forestry Program	25.0%	25.0%	40.0%	40.0%	40.0%

# The Program

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## Department of Environmental Management Bureau of Environmental Protection

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### Program Operations

The Bureau of Environmental Protection is composed of regulatory and assistance programs.

The Office of Water Resources operates the following regulatory programs: Pollutant Discharge Elimination System; Pretreatment; Underground Injection Control; Groundwater Protection; Water Quality Certification; Onsite Wastewater Treatment Systems; Freshwater Wetlands; Water Quality Restoration Studies (TMDLS); Shellfish Area Monitoring; Wastewater Treatment Facility and Sludge Programs, Nonpoint Source; Water Quality Monitoring and Standards; Financial Assistance (SRF/Bond Funds).

The Office of Compliance and Inspection centralizes response to citizen complaints and regulatory enforcement activities in Air Resources, Waste Management, and Water Resources, to ensure that the department has consistent enforcement policies to address the most serious issues and investigates suspected violations and takes enforcement actions.

The Office for Customer and Technical Assistance serves the public by coordinating the review of projects requiring multiple applications and permits and tracking the status of permitting activities throughout the bureau. OCTA directly reviews projects funded by federal economic stimulus programs. The office assists businesses to prevent pollution by adhering to regulations made more effective by the Environmental Results Program in priority sectors.

The Office of Air Resources is responsible for the protection and improvement of Rhode Island's air resources through monitoring and regulating the emission of air pollutants from stationary and mobile sources.

The Office of Waste Management regulates the transportation and disposal of solid, medical and hazardous wastes and the investigation and remediation of unpermitted releases of those materials. It includes the Site Remediation Program; Brownfield initiatives; Superfund and Department of Defense site programs; Waste Facility Management Program; and Underground Storage Tank Program, including administration of the RI Underground Storage Tank Financial Responsibility Fund.

The Emergency Response Program responds to emergency spills of oil and chemicals that present an immediate threat to public health and the environment, including events related to terrorism and natural disasters.

### Program Objective

To ensure the quality of Rhode Island's air, water, and land resources through regulating activities that compromise public health and impact the environment; prevent further degradation of environmental resources; restore existing features and a bureau-wide ethic of customer assistance.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department of Environmental Management Bureau of Environmental Protection

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Subprogram</b>					
Water Resources	7,542,305	7,164,348	11,189,529	11,200,176	11,578,850
Environmental Protection Administration	130,284	127,016	206,614	203,574	169,459
Compliance & Inspection	4,611,523	4,117,355	5,139,333	4,952,435	4,586,534
Technical & Customer Assistance	822,472	1,422,279	1,472,468	1,485,121	1,549,589
RIPDES	1,011,754	995,355	1,097,591	1,160,992	1,149,622
Air Resources	3,182,065	3,053,120	7,996,477	9,492,849	7,614,762
Waste Management	3,621,769	4,254,438	4,842,099	5,639,094	5,434,251
Environmental Response	3,960,745	3,799,695	4,912,576	5,298,936	4,610,246
<b>Total Expenditures</b>	<b>\$24,882,917</b>	<b>\$24,933,606</b>	<b>\$36,856,687</b>	<b>\$39,433,177</b>	<b>\$36,693,313</b>
<b>Expenditures By Object</b>					
Personnel	21,302,597	20,657,717	28,791,801	30,540,350	29,727,011
Operating Supplies and Expenses	868,602	710,314	1,459,086	1,419,184	1,427,523
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	2,465,059	2,281,418	4,883,200	5,667,043	4,598,379
<b>Subtotal: Operating Expenditures</b>	<b>\$24,636,258</b>	<b>\$23,649,449</b>	<b>\$35,134,087</b>	<b>\$37,626,577</b>	<b>\$35,752,913</b>
Capital Purchases and Equipment	147,519	1,264,603	1,722,600	1,806,600	940,400
Debt Service	-	-	-	-	-
Operating Transfers	99,140	19,554	-	-	-
<b>Total Expenditures</b>	<b>\$24,882,917</b>	<b>\$24,933,606</b>	<b>\$36,856,687</b>	<b>\$39,433,177</b>	<b>\$36,693,313</b>
<b>Expenditures By Funds</b>					
General Revenue	10,569,421	11,071,234	11,969,897	11,957,465	12,099,861
Federal Funds	7,446,659	7,546,381	13,440,715	15,716,392	13,424,798
Restricted Receipts	6,655,146	6,212,640	7,769,340	8,081,535	7,518,547
Other Funds	211,691	103,351	3,676,735	3,677,785	3,650,107
<b>Total Expenditures</b>	<b>\$24,882,917</b>	<b>\$24,933,606</b>	<b>\$36,856,687</b>	<b>\$39,433,177</b>	<b>\$36,693,313</b>
<b>Program Measures</b>					
Percentage of Sites Suspected or Identified as Contaminated that are Cleaned Up	43.0%	47.0%	47.0%	47.0%	47.0%
Percentage of Operating Permit Programs that are Inspected Annually for Compliance with Air Quality Standards	50.0%	50.0%	50.0%	50.0%	50.0%

# The Agency

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## Coastal Resources Management Council

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### Agency Operations

Rhode Island law mandates the Coastal Resources Management Council (CRMC) to preserve, protect, develop and, where possible, restore the coastal resources of the State. The council is administered by 16 appointed representatives from the public, state, and local governments and staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on the management of state coastal resources. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the State, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the State’s coastal resources. In 1996, legislation authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. The CRMC oversees the Coastal Habitat Restoration Program to include and is charged with developing a Marine Resources Development Plan and an Ocean Special Area Management plan.

### Agency Objectives

To preserve, protect, develop and, where possible, restore the coastal resources of the State. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits. To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse. To protect and promote public access to the shore and provide high quality recreational opportunities to all who come to the Rhode Island shore. Provide suitable waterfront sites for industries and businesses needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas. To establish a working partnership among the public and local, state, and federal governments.

### Statutory History

R.I.G.L. 46-23 (1972) establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

# The Budget

## Coastal Resources Management Council

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	3,256,413	3,352,851	3,989,374	8,773,986	4,173,241
Operating Supplies and Expenses	130,102	122,562	121,837	222,462	99,534
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	220,500	466,742	-	250	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,607,015</b>	<b>\$3,942,155</b>	<b>\$4,111,211</b>	<b>\$8,996,698</b>	<b>\$4,272,775</b>
Capital Purchases and Equipment	-	1,681,795	272,500	268,401	681,600
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,607,015</b>	<b>\$5,623,950</b>	<b>\$4,383,711</b>	<b>\$9,265,099</b>	<b>\$4,954,375</b>
<b>Expenditures By Funds</b>					
General Revenue	2,002,176	1,938,722	2,038,515	2,063,203	2,236,814
Federal Grants	1,384,339	1,806,719	2,095,196	6,951,896	2,038,461
Restricted Receipts	220,500	223,000	250,000	250,000	250,000
Other	-	1,655,509	-	-	429,100
<b>Total Expenditures</b>	<b>\$3,607,015</b>	<b>\$5,623,950</b>	<b>\$4,383,711</b>	<b>\$9,265,099</b>	<b>\$4,954,375</b>
<b>FTE Authorization</b>	<b>30.0</b>	<b>30.0</b>	<b>30.0</b>	<b>30.0</b>	<b>30.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	36.7%	36.7%	36.7%	36.7%	36.7%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Cumulative Percentage of Shoreline Miles with Designated Right-of-Way Sites	52.9%	52.9%	53.8%	53.8%	54.3%

# The Agency

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## State Water Resources Board

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### Agency Operations

The Rhode Island Water Resources Board is a water supply development and regulatory agency, which promotes the planning, development, and conservation of water supplies to ensure sufficient water resources are available for present and future generations of Rhode Islanders. The board accomplishes this broad program responsibility through the 39 municipal water supply systems located around the State. The board oversees the planning, coordination, development and allocation of both surface and ground water supplies by the municipal systems to ensure the availability and quality of water. The Board brings together key state agencies (Environmental Management, Health, Economic Development Corporation, and the Statewide Planning Program) with water suppliers and the public to coordinate the management of water resources across major interest and regulatory groups. The Board may additionally acquire sites, dams, water rights, rights of way, easements and other property for reservoirs, groundwater wells, well sites, pumping stations and filtration plants for the treatment and distribution of water as well as construction of water systems.

The ten member Board appoints a General Manager who carries out its policy and direction. Five members represent the public and are appointed by the Governor of which two are affiliated with public water supply systems; one appointed by the agriculture council; the four remaining members are the Director of the Department of Environmental Management, the Director of the Economic Development Corporation, the Director of the Department of Health, the Director of the Department of Administration.

### Agency Objectives

Promote the planning, development, allocation and conservation of the State's water resources.

### Statutory History

The Rhode Island Water Resources Board was established in 1967. Chapters 15, 15.2, 15.3, 15.7, and 15.8, of Title 46 and Chapter 20 of Title 37 of the Rhode Island General Laws authorize the organization and functions of the board.

# The Budget

## State Water Resources Board

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	916,744	1,051,476	1,053,983	1,047,605	1,019,264
Operating Supplies and Expenses	114,374	88,370	137,013	125,113	129,359
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	101,212	96,914	125,544	125,644	81,644
<b>Subtotal: Operating Expenditures</b>	<b>\$1,132,330</b>	<b>\$1,236,760</b>	<b>\$1,316,540</b>	<b>\$1,298,362</b>	<b>\$1,230,267</b>
Capital Purchases and Equipment	-	(1,380)	120,000	531,178	200,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,132,330</b>	<b>\$1,235,380</b>	<b>\$1,436,540</b>	<b>\$1,829,540</b>	<b>\$1,430,267</b>
<b>Expenditures By Funds</b>					
General Revenue	998,006	1,052,485	1,316,540	1,298,362	1,230,267
Federal Funds	(1,034)	-	-	-	-
Restricted Receipts	109,816	-	-	-	-
Other Funds	25,542	182,895	120,000	531,178	200,000
<b>Total Expenditures</b>	<b>\$1,132,330</b>	<b>\$1,235,380</b>	<b>\$1,436,540</b>	<b>\$1,829,540</b>	<b>\$1,430,267</b>
<b>FTE Authorization</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>	<b>6.0</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	-	-	-	-	-
Females as a Percentage of the Workforce	44.0%	33.0%	33.0%	33.0%	33.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-	-
<b>Program Measures</b>					
Number of Houses Remaining at the Big River Management Area	31	31	31	31	19
Emergency Water Connections Established per Year	2	1	1	0	2

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**Transportation**

Department of Transportation  
Central Management  
Management and Budget  
Infrastructure (Engineering)  
Infrastructure (Maintenance)

## Transportation Function Expenditures

	FY 2009 Actuals	FY 2010 Actuals	FY 2011 Enacted	FY 2011 Working	FY 2011 Gov.	FY 2012 Gov.
<b>Expenditure by Object</b>						
Personnel	78,720,253	97,749,203	108,756,833	108,756,833	103,813,754	108,380,278
Operating Supplies and Expenses	46,298,462	18,009,457	43,052,505	43,052,505	48,041,396	41,169,459
Aid to Local Units of Government	0	0	0	0	0	0
Assistance, Grants, and Benefits	39,297,046	69,266,347	57,109,704	57,109,704	75,192,448	68,555,745
<b>Subtotal: Operating Expenditures</b>	<b>\$164,315,761</b>	<b>\$185,025,007</b>	<b>\$208,919,042</b>	<b>\$208,919,042</b>	<b>\$227,047,598</b>	<b>\$218,105,482</b>
Capital Purchases and Equipment	65,093,578	118,853,715	119,830,142	119,830,142	123,156,919	116,472,580
Debt Service	0	0	0	0	0	0
Operating Transfers	128,959,213	72,062,495	100,144,582	100,144,582	100,653,942	100,396,462
<b>Total Expenditures</b>	<b>\$358,368,552</b>	<b>\$375,941,217</b>	<b>\$428,893,766</b>	<b>\$428,893,766</b>	<b>\$450,858,459</b>	<b>\$434,974,524</b>
<b>Expenditures by Funds</b>						
General Revenue	-	-	-	-	-	-
Federal Funds	217,263,313	242,296,127	318,808,127	318,808,127	340,116,026	316,591,958
Restricted Receipts	370,418	757,110	1,000,000	1,000,000	1,000,000	1,000,000
Other Funds	140,734,821	132,887,980	109,085,639	109,085,639	109,742,433	117,382,566
<b>Total Expenditures</b>	<b>\$358,368,552</b>	<b>\$375,941,217</b>	<b>\$428,893,766</b>	<b>\$428,893,766</b>	<b>\$450,858,459</b>	<b>\$434,974,524</b>
<b>FTE Authorization</b>	<b>691.2</b>	<b>739.4</b>	<b>772.2</b>	<b>772.2</b>	<b>772.6</b>	<b>772.6</b>

# The Agency

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## Department of Transportation

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### Agency Operations

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for the maintenance and construction of a quality infrastructure which reflects the transportation needs of the citizens of the State. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The department has three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994, funding was provided through general revenue, dedicated receipts, and federal aid. In FY 1994, the Intermodal Surface Transportation Fund (ISTF) was established to finance all of the department's personnel, operating, and capital improvement expenditures, as well as highway debt service, Rhode Island Public Transit Authority (RIPTA) operating funds and elderly transportation services. State funding for transportation services is provided by earmarking 32 cents of the state per gallon gasoline tax.

The Department of Transportation is responsible for the maintenance of approximately 3,300 lane miles of highway and 1,153 bridges, as well as the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. Other responsibilities include planning, development, and implementation of transit, rail, water, and bicycle/pedestrian transportation projects. Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of 80 percent), and the remaining share is financed by state bond issues. The Infrastructure Program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991.

### Agency Objectives

To maintain and provide a safe, efficient, environmentally, aesthetically and culturally sensitive intermodal transportation network that offers a variety of convenient, cost-effective mobility opportunities for people and the movement of goods supporting economic development and improved quality of life.

### Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The Director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L. 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 establishes the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration.

# The Budget

## Department of Transportation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>					
Central Management	\$4,806,234	\$4,421,464	\$15,422,933	\$12,044,662	\$12,503,313
Management and Budget	\$172,578	\$354,065	\$1,652,721	\$705,457	\$1,176,686
Infrastructure - Engineering	\$306,260,569	\$332,539,339	\$377,570,137	\$384,155,028	\$375,783,095
Infrastructure - Maintenance	\$47,129,171	\$38,626,349	\$34,247,975	\$53,953,312	\$45,511,430
<b>Total Expenditures</b>	<b>\$358,368,552</b>	<b>\$375,941,217</b>	<b>428,893,766</b>	<b>\$450,858,459</b>	<b>\$434,974,524</b>
<b>Expenditures By Object</b>					
Personnel	78,720,253	97,749,203	108,756,833	103,813,754	108,380,278
Operating Supplies and Expenses	46,298,462	18,009,457	43,052,505	48,041,396	41,169,459
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	39,297,046	69,266,347	57,109,704	75,192,448	68,555,745
<b>Subtotal: Operating Expenditures</b>	<b>\$164,315,761</b>	<b>\$185,025,007</b>	<b>\$208,919,042</b>	<b>\$227,047,598</b>	<b>\$218,105,482</b>
Capital Purchases and Equipment	65,093,578	118,853,715	119,830,142	123,156,919	116,472,580
Debt Service	-	-	-	-	-
Operating Transfers	128,959,213	72,062,495	100,144,582	100,653,942	100,396,462
<b>Total Expenditures</b>	<b>\$358,368,552</b>	<b>\$375,941,217</b>	<b>\$428,893,766</b>	<b>\$450,858,459</b>	<b>\$434,974,524</b>
<b>Expenditures By Funds</b>					
Federal Funds	217,263,313	242,296,127	318,808,127	340,116,026	316,591,958
Restricted Receipts	370,418	757,110	1,000,000	1,000,000	1,000,000
Other Funds	140,734,821	132,887,980	109,085,639	109,742,433	117,382,566
	<b>\$358,368,552</b>	<b>\$375,941,217</b>	<b>\$428,893,766</b>	<b>\$450,858,459</b>	<b>\$434,974,524</b>
<b>FTE Authorization</b>	<b>691.2</b>	<b>739.4</b>	<b>772.2</b>	<b>772.6</b>	<b>772.6</b>
<b>Agency Measures</b>					
Minorities as a Percentage of the Workforce	9.6%	10.0%	13.0%	13.0%	15.0%
Females as a Percentage of the Workforce	20.6%	18.0%	20.1%	20.1%	22.0%
Persons with Disabilities as a Percentage of the Workforce	1.2%	1.0%	0.6%	0.6%	1.0%

# The Program

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## Department of Transportation Central Management

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### Program Operations

The program consists of seven functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation in order to ensure that the State's public transportation services are safe, efficient, environmentally prudent, and consumer oriented.

The Legal Office is responsible for providing advice and representation and other assistance in relation to program development, property management and acquisition, contract finalization, litigation, and arbitration for the department.

The Office of Human Resources is responsible for administering labor relations, human resource development and training, equal opportunity programs, and payroll and fringe benefits programs.

The Office on Highway Safety, through funding provided by the National Highway Traffic Safety Administration (NHTSA), develops and coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information.

The Office of Communications is the spokesperson for the department handling all media inquiries, speaking engagements, legislative correspondence and special events and managing the Department of Transportation website: [www.dot.state.ri.us](http://www.dot.state.ri.us).

The Real Estate Section acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance.

Internal Audit is responsible for internal controls and independent departmental audits

### Program Objective

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

### Statutory History

R.I.G.L. 42-13 governs the Department of Transportation.

# The Budget

## Department of Transportation Central Management

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	2,743,484	1,549,376	1,677,141	1,025,359	1,478,482
Operating Supplies and Expenses	781,089	696,912	970,592	516,957	522,331
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	1,075,683	2,124,133	6,661,200	4,480,300	4,480,500
<b>Subtotal: Operating Expenditures</b>	<b>\$4,600,256</b>	<b>\$4,370,421</b>	<b>\$9,308,933</b>	<b>\$6,022,616</b>	<b>\$6,481,313</b>
Capital Purchases and Equipment	185,450	51,043	6,114,000	6,022,046	6,022,000
Debt Service	-	-	-	-	-
Operating Transfers	20,528	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,806,234</b>	<b>\$4,421,464</b>	<b>\$15,422,933</b>	<b>\$12,044,662</b>	<b>\$12,503,313</b>
<b>Expenditures By Funds</b>					
Federal Funds	3,040,011	3,733,622	14,118,217	11,349,561	11,394,390
Other Funds	1,766,223	687,842	1,304,716	695,101	1,108,923
<b>Total Expenditures</b>	<b>\$4,806,234</b>	<b>\$4,421,464</b>	<b>\$15,422,933</b>	<b>\$12,044,662</b>	<b>\$12,503,313</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Transportation Management and Budget

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### Program Operations

The Management and Budget Program consists of seven functional units:

The Office of Contracts and Specifications develops and monitors all preliminary engineering and construction contracts, and negotiates consultant engineering fees and staff hours for engineering projects. Management Information Services (MIS) is responsible for the automated telephone system and computer related services which includes network communication, custom programming, software installation, computer purchases, inventory maintenance, on-line help desk, Geographic Informational Systems (GIS) data integration/mapping and the management of consultants developing custom applications. Financial Management is responsible for the preparation and processing of all fiscal materials. The Assistant Director serves as the Chief Financial Officer (CFO) with oversight over all financial transactions. The Office is charged with developing overall agency policies, guidelines, and procedures for budget administration, financial reporting, and financial management systems. Fleet Operations is responsible for development and enhancement of the department's vehicle fleet. Specific functions include continual monitoring and review of vehicle maintenance costs and records for improved vehicle history and analysis, and vehicle maintenance and inspections. Other functions include the supervision of the Vehicle & Safety Program. The Office of Business and Community Resources is charged with overseeing the department's role in all Disadvantaged Business Enterprise (DBE) programs, which includes supportive services, On-The-Job Training (OJT), the Transportation and Civil Engineering program (TRAC), and other educational, training, and outreach programs targeted to underrepresented groups in the Rhode Island Transportation Industry. External Audit performs routine and special audits as required in such areas as consultant fringe benefits, overhead rates, and final payments. The Federal Programs Section is chiefly responsible for managing all federally reimbursable costs attributed to the Highway Program and the Federal Highway Administration (FHWA). It also administers the annual Federal Highway Program as it relates to the Transportation Improvement Program (TIP).

### Program Objective

To provide the necessary support staff and systems needed to ensure efficient program services.

### Statutory History

R.I.G.L 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.



# The Budget

## Department of Transportation Management and Budget

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	449,295	133,846	1,116,578	348,232	801,061
Operating Supplies and Expenses	(395,914)	232,537	436,143	287,225	301,625
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$53,381</b>	<b>\$366,383</b>	<b>\$1,552,721</b>	<b>\$635,457</b>	<b>\$1,102,686</b>
Capital Purchases and Equipment	119,197	(12,318)	100,000	70,000	74,000
Debt Service	-	-	-	-	-
Operating Transfers	-	-	-	-	-
<b>Total Expenditures</b>	<b>\$172,578</b>	<b>\$354,065</b>	<b>\$1,652,721</b>	<b>\$705,457</b>	<b>\$1,176,686</b>
<b>Expenditures By Funds</b>					
Other Funds	\$172,578	\$354,065	\$1,652,721	\$705,457	\$1,176,686
<b>Total Expenditures</b>	<b>\$172,578</b>	<b>\$354,065</b>	<b>\$1,652,721</b>	<b>\$705,457</b>	<b>\$1,176,686</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

# The Program

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## Department of Transportation Infrastructure Engineering

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### Program Operations

Infrastructure Engineering is headed by the Transportation Development Division through the Office of the Chief Engineer and consists of Construction Management, Design, Traffic Management, Environmental and Intermodal Planning, and Capital Programming. Transportation Development is responsible for the development of projects, from initial scoping through construction and is charged with the complete design of capital projects undertaken by the Department. The Construction Management Section includes the Materials and Survey Units and is responsible for overseeing the statewide highway reconstruction and bridge rehabilitation/replacement program totaling over \$100 million. The Materials Unit is responsible for testing and verifying that construction materials are in compliance with established standards. The Central Surveying functions include construction layout and the dissemination of survey information. The Engineering Section or Design is responsible for maintaining a comprehensive transportation program, as well as environmental assessments and other preliminary engineering required for receipt of federal funds for the construction and reconstruction of Rhode Island's state-owned and maintained highways, bridges, intersections, and bicycle and pedestrian paths. Traffic Management is responsible for managing the department's database and information management systems as they relate to traffic research. The Environmental and Intermodal Planning Unit is charged with developing an integrated transportation system that provides convenient transition between mode shifts through the development and implementation of planning projects in the areas of rail, water, bicycle/pedestrian transportation, and transit. The unit is also responsible for strengthening the department's compliance efforts with respect to environmental issues. The Capital Programming Unit develops and monitors the Capital Transportation Program to meet the transportation needs of the State's citizens and ensure successful implementation of the Transportation Improvement Program (TIP).

### Program Objectives

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. To maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform to the requirements of the Clean Air Act.

### Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

# The Budget

## Department of Transportation Infrastructure Engineering

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	58,999,083	80,605,120	88,923,719	84,859,835	88,014,165
Operating Supplies and Expenses	17,599,922	(2,884,113)	22,741,250	16,275,623	16,782,143
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	38,118,491	67,026,659	49,995,504	70,245,648	63,582,745
<b>Subtotal: Operating Expenditures</b>	<b>\$114,717,496</b>	<b>\$144,747,666</b>	<b>\$161,660,473</b>	<b>\$171,381,106</b>	<b>\$168,379,053</b>
Capital Purchases and Equipment	63,083,050	116,129,737	116,165,082	112,520,980	107,008,580
Debt Service	-	-	-	-	-
Operating Transfers	128,460,023	71,661,936	99,744,582	100,252,942	100,395,462
<b>Total Expenditures</b>	<b>\$306,260,569</b>	<b>\$332,539,339</b>	<b>\$377,570,137</b>	<b>\$384,155,028</b>	<b>\$375,783,095</b>
<b>Expenditures By Funds</b>					
Federal Funds	214,223,302	238,562,505	304,689,910	328,766,465	305,197,568
Restricted Receipts	370,418	757,110	1,000,000	1,000,000	1,000,000
Other Funds	91,666,849	93,219,724	71,880,227	54,388,563	69,585,527
<b>Total Expenditures</b>	<b>\$306,260,569</b>	<b>\$332,539,339</b>	<b>\$377,570,137</b>	<b>\$384,155,028</b>	<b>\$375,783,095</b>
<b>Program Measures</b>					
Vehicle Crash Fatalities per 100 Million Vehicle Miles Traveled	0.76	0.78	0.78	0.78	0.78
Linear Feet of State Sidewalk Retrofitted to Conform to Americans with Disabilities Act Regulations	142,000	158,550	100,000	100,000	100,000
Vehicle Crash Injuries per 100 Million Vehicle Miles Traveled	4.9	5.1	5.1	5.1	5.1
Percentage of State Roadways and Sidewalks Swept Annually	100.0%	100.0%	100.0%	100.0%	0.0%
Percentage of State Roadway Miles Whose Pavement is Rated Good or Excellent	44.0%	42.0%	41.0%	41.0%	40.0%
Number of Rhode Island Bridges Over 20 Feet Listed as Structurally Deficient	21.1%	19.7%	19.5%	19.5%	19.3%

# The Program

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## Department of Transportation Infrastructure Maintenance

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### Program Operations

The Division of Maintenance is responsible for the routine maintenance of approximately 3,300 lane miles of state highways, 1,153 bridges, and associated roadsides and highway appurtenances. The Highway and Bridge Maintenance Section is comprised of several units that address the various aspects of the highway system. The units include Administration, Automotive, Business Office, Employee Relations Office, Engineering and Support, and Field Operations. Administration is responsible for supervision, planning, and administration for the section. The Automotive section is responsible for the maintenance and repair of the section's fleet of heavy trucks and other equipment. The Business Office administers purchasing, invoicing, and payroll activities and coordinates with contract administration to ensure proper recording of subcontractor documentation. The Employee Relations Office is responsible for all personnel matters of the Highway and Bridge Maintenance Section including, but not limited to, labor relations, Workers' Compensation, and training. The Engineering and Support Office is multi-faceted and is responsible for the following: review and resolution of drainage problems, issuance and inspection of work permits, contract preparation, maintenance of guardrails, fences, and highway attenuators along the state highway, maintenance of highway appurtenances including signs, traffic signals, and highway illumination, processing of pothole, state fleet and other tort claims, and state-wide beautification projects. Field Operations is comprised of a field staff which operates from satellite facilities statewide and is involved with sweeping, litter collection, snow plowing, and bridge, pothole, guardrail repairs, and other roadway maintenance tasks.

### Program Objectives

To provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the State's highway system. Our objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic-related appurtenances.

### Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines their roles.  
R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads.  
R.I.G.L. 24-9 establishes an emergency storm account and authorizes the Director to take the action necessary to respond to emergency situations.

# The Budget

## Department of Transportation Infrastructure Maintenance

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures By Object</b>					
Personnel	16,528,391	15,460,861	17,039,395	17,580,328	18,086,570
Operating Supplies and Expenses	28,313,365	19,964,121	18,904,520	30,961,591	23,563,360
Aid To Local Units Of Government	-	-	-	-	-
Assistance, Grants and Benefits	102,872	115,555	453,000	466,500	492,500
<b>Subtotal: Operating Expenditures</b>	<b>\$44,944,628</b>	<b>\$35,540,537</b>	<b>\$36,396,915</b>	<b>\$49,008,419</b>	<b>\$42,142,430</b>
Capital Purchases and Equipment	1,705,881	2,685,253	(2,548,940)	4,543,893	3,368,000
Debt Service	-	-	-	-	-
Operating Transfers	478,662	400,559	400,000	401,000	1,000
<b>Total Expenditures</b>	<b>\$47,129,171</b>	<b>\$38,626,349</b>	<b>\$34,247,975</b>	<b>\$53,953,312</b>	<b>\$45,511,430</b>
<b>Expenditures By Funds</b>					
Other Funds	\$47,129,171	\$38,626,349	\$34,247,975	\$53,953,312	\$45,511,430
<b>Total Expenditures</b>	<b>\$47,129,171</b>	<b>\$38,626,349</b>	<b>\$34,247,975</b>	<b>\$53,953,312</b>	<b>\$45,511,430</b>
<b>Program Measures</b>	NA	NA	NA	NA	NA

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# **Quasi-Public Agencies**





Rhode Island Airport Corporation  
Capital Center Commission  
Rhode Island Clean Water Finance Agency  
Rhode Island Convention Center Authority  
Rhode Island Economic Development Corporation  
Rhode Island Health and Educational Building Corporation  
Rhode Island Housing and Mortgage Finance Corporation  
Housing Resources Commission  
Rhode Island Industrial Facilities Corporation  
Rhode Island Industrial-Recreational Building Authority  
Narragansett Bay Commission  
Rhode Island Public Transit Authority  
Quonset Development Corporation  
Rhode Island Refunding Bond Authority  
Rhode Island Resource Recovery Corporation  
Rhode Island Student Loan Authority  
Rhode Island Turnpike and Bridge Authority  
Rhode Island Water Resources Board Corporate

# The Agency

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## Rhode Island Airport Corporation

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### Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Economic Development Corporation (formerly the Port Authority) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Economic Development Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation assumes responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements based on the following table:

<b>Program</b>	<b>FAA portion</b>	<b>Corporation portion</b>
Land Acquisition	80%	20%
T.F. Green projects	75%	25%
General Aviation Airports	95%	5%

# The Agency

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## **Rhode Island Airport Corporation**

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The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital programs relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived from airport operations. The only "State" funds utilized by the Corporation are general obligation bonds which were issued by the State of Rhode Island for airport related projects. The debt service on these general obligation bonds is the responsibility of the Corporation and the Corporation is current with respect to that responsibility. The DOT has contributed capital to fund a portion of the construction of the Intermodal Facility.

# The Budget

## Rhode Island Airport Corporation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budget	FY 2012 Recommended
<b>Revenue: (T.F. Green)</b>				
Airline Revenues	22,393,608	21,784,368	21,600,000	21,600,000
Landing Fees	368,140	394,400	400,000	400,000
General Aviation	201,452	232,800	200,000	230,000
Fuel Flowage Fees	965,636	1,000,457	952,700	952,700
Tiedown & Hanger Fees	1,135,484	1,147,372	1,179,400	1,203,000
Aircraft Registration	21,980	22,945	22,000	22,000
Concessions	2,998,492	3,424,162	3,385,800	3,453,500
Miscellaneous Revenues	507,218	390,372	130,900	133,500
Utilities Reimbursement	363,967	379,591	308,000	314,200
Airline Equipment Charge	575,988	573,490	-	-
Terminal Rent-Non Airlines	1,317,577	1,066,813	1,026,700	1,047,200
Automobile Parking	13,571,760	11,941,974	11,818,900	12,055,300
Rental Car Parking	6,340,312	5,868,274	5,377,800	5,485,400
Off Airport Courtesy Fees	646,631	630,082	622,700	635,200
Bad Debt Expenses	288,697	97,356	-	-
Audit & Finance Charge	34,943	198,388	-	-
Federal Grants - FAA	256,172	410,342	343,600	343,600
Airport Support Fund - Revenue A65	662,082	686,456	662,100	686,000
<b>Total Revenue</b>	<b>52,650,139</b>	<b>50,249,642</b>	<b>48,030,600</b>	<b>48,561,600</b>
<b>Personnel Expenses: (T.F. Green)</b>				
Payroll	12,246,797	11,948,367	11,057,300	10,844,000
Payroll - Overtime	732,131	692,715	302,600	308,700
Snow Removal Overtime	325,212	138,798	165,900	169,200
Overtime-Holiday	375,697	353,346	440,600	449,400
Employee Retirement	956,625	914,773	811,900	828,100
FICA Tax	1,001,491	958,633	815,200	831,500
Long Term Disability & Life Insurance	196,126	207,995	207,400	211,500
Workers' Comp Insurance	308,122	242,353	322,100	328,500
Health Insurance	1,980,700	2,059,095	1,745,800	1,833,100
<b>Total Personnel Expenses</b>	<b>\$18,122,901</b>	<b>\$17,516,075</b>	<b>\$15,868,800</b>	<b>\$15,804,000</b>
<b>Total Expenses - Operating</b>	<b>13,147,539</b>	<b>10,609,252</b>	<b>11,620,600</b>	<b>11,853,000</b>
<b>Total Expenditures</b>	<b>\$31,270,440</b>	<b>\$28,125,327</b>	<b>\$27,489,400</b>	<b>\$27,657,000</b>
<b>Net Income from Operations</b>	<b>\$21,379,699</b>	<b>\$22,124,315</b>	<b>\$20,541,200</b>	<b>\$20,904,600</b>

# The Budget

## Rhode Island Airport Corporation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budget	FY 2012 Recommended
<b>Outlying Airports</b>				
Revenues	1,762,673	1,978,991	2,024,100	2,084,800
Payroll Expenses	(1,520,431)	(1,557,339)	(1,557,300)	(1,588,400)
Operating Expenses	(1,157,476)	(1,081,326)	(1,020,100)	(1,040,500)
Airport Management Fee	(157,101)	(183,009)	(185,400)	(191,000)
<b>Net Gain (Loss) Outlying Airport</b>	<b>(\$1,072,335)</b>	<b>(\$842,683)</b>	<b>(\$738,700)</b>	<b>(\$735,100)</b>
Depreciation & Amortization	18,137,836	19,192,984	19,700,000	20,094,000
Net Income(Loss) After Depreciation and Amortization	<b>\$2,169,528</b>	<b>\$2,088,648</b>	<b>\$102,500</b>	<b>\$75,500</b>
<b>Other Income &amp; Expenses</b>				
Interest Income	1,228,719	255,630	160,000	160,000
Interest Expense	(105,449)	(78,677)	(48,000)	(48,000)
Interest Expense - All Bonds	(14,088,357)	(14,972,823)	(13,640,000)	(13,500,000)
Gain (Loss) on Sale of Assets	40,999	78,111	-	-
Miscellaneous Income (Expense)	(140,588)	(13,152)	-	-
Interest Income - PFC	116,392	21,729	25,000	20,000
Passenger Facility Charge	9,111,640	8,293,604	8,078,000	8,239,600
Federal Grants - FAA	8,898,556	14,960,759	23,494,400	44,119,000
Miscellaneous Grants & Contributions	49,795	-	-	-
Land Acquisition Program	(3,403,122)	(8,734,124)	(11,275,300)	(6,669,000)
<b>Total Non-Operating Income &amp; Exp</b>	<b>\$1,708,585</b>	<b>(188,943)</b>	<b>6,794,100</b>	<b>32,321,600</b>
<b>Intermodal Facility Operations (b)</b>				
Facility Revenues	5,576,326	4,810,076	6,505,000	7,510,000
Operating Expenses	-	-	(1,403,000)	(2,102,000)
Depreciation	-	-	(4,000,000)	(5,500,000)
Interest Expense	-	-	(3,650,000)	(4,614,000)
<b>Total Intermodal Facility, Net</b>	<b>\$5,576,326</b>	<b>\$4,810,076</b>	<b>(\$2,548,000)</b>	<b>(\$4,706,000)</b>
<b>Net Income</b>	<b>\$9,454,439</b>	<b>\$6,709,781</b>	<b>\$4,348,600</b>	<b>\$27,691,100</b>

(a) The information presented for FY 2012 has not been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change, pending the aforementioned review.

(b) Operations at the InterLink Facility began in October 2010. Facility Revenues include Customer Facility Charges.

# The Agency

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## Capital Center Commission

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### Agency Operations

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen member board created by state legislation, is funded by the State of Rhode Island through the Economic Development Corporation and through development fees assessed during the project application period.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, one million square feet of office space, 1,052 hotel rooms and guest suites, 765 residential units, 7,800 structured parking spaces, over 6,900 permanent jobs, and over one billion dollars in private development.

### Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establish the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

# The Budget

## Capital Center Commission

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Operating Revenues</b>				
State Grants	20,528	18,028	18,028	18,028
City Grants	15,750	23,625	-	-
Development Fees	9,335	-	-	-
Management Fees	200	450	-	-
Miscellaneous Income	25	1,238	-	-
<b>Total Operating Revenues</b>	<b>\$45,838</b>	<b>\$43,341</b>	<b>\$18,028</b>	<b>\$18,028</b>
<b>Expenditures</b>				
Salaries	107,358	-	-	-
Fringes	17,542	-	-	-
Rent and Utilities	9,812	-	-	-
Telephone	150	-	-	-
Print/Supplies	1,529	114	1,500	1,500
Postage	756	-	2,000	2,000
Meetings	2,193	412	2,000	2,000
Miscellaneous	1,577	345	1,500	1,500
Travel/Prof. Dev.	190	-	-	-
Dues, Ins. Subscriptions	2,046	-	-	-
Consultants	-	-	5,000	5,000
Legal & Audit Fees	25,323	11,067	12,000	12,000
<b>Total</b>	<b>\$168,476</b>	<b>\$11,938</b>	<b>\$24,000</b>	<b>\$24,000</b>
<b>Less Non Operating Revenue</b>				
Interest Income	53	7	-	-
<b>Net Income(Loss)</b>	<b>(\$122,585)</b>	<b>\$31,410</b>	<b>(\$5,972)</b>	<b>(\$5,972)</b>

*FY 2009 are unaudited figures. Budget numbers for FY 2011 are preliminary and have not been approved or reviewed by the Capital Center Commission. The Commission had reserves to cover the shortfalls in 2008 and 2009. Effective in March 2009, the Providence Planning Department assumed responsibilities for the day to day operations of the Commission. There is currently no full time staff of the Commission and no longer any rental of office space.*

# The Agency

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## Rhode Island Clean Water Finance Agency

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### Agency Operations

The Rhode Island Clean Water Finance Agency (the “Agency”) established in 1990, is an independent, public corporation having a distinct legal existence from the state. The purpose of the Agency is to provide low cost loans to Rhode Island cities, towns, sewer commissions, wastewater management districts and water suppliers to finance capital improvements to wastewater and drinking water infrastructure. The Agency operates three revolving loan funds. Two of the funds provide subsidized loans to finance wastewater infrastructure projects and are collectively referred to as the Clean Water State Revolving Fund (CWSRF). A third fund provides subsidized loans to finance drinking water infrastructure projects and is called the Drinking Water State Revolving Fund (DWSRF).

The CWSRF is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses.

The DWSRF is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. (The State of Rhode Island does not have any obligation for repayment of Agency revenue bonds.) DOH is reimbursed for administrative expenses via an administrative set-aside component of the capitalization grant.

### Agency Objectives

The objective of the Agency is to provide low-cost loans to finance capital improvements to the wastewater and the drinking water infrastructure in Rhode Island.

### Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 238 as amended by Chapter 303 and Chapter 434 authorized the state to issue General Obligation Bonds up to \$34 million for Capitalization Grants to the CWSRF. Chapter 12.8 of Title 46 established the DWSRF.



# The Budget

## Rhode Island Clean Water Finance Agency

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended <sup>(2)</sup>
<b>Revenue</b>				
Interest and Investment Income	25,994,837	27,271,986	24,043,627	25,005,372
Operating Grant Income	2,428,174	2,247,603	2,199,000	2,237,483
Loan Service Fees <sup>(1)</sup>	3,873,536	3,973,817	4,257,398	4,363,833
Other Revenue	209,852	233,244	198,000	217,800
<b>Total Revenues</b>	<b>\$32,506,399</b>	<b>\$33,726,650</b>	<b>\$30,698,025</b>	<b>\$31,824,488</b>
<b>Operating Expenses</b>				
Interest and Finance Expenses	27,716,562	28,153,881	29,656,540	30,546,236
Administrative Expenses	1,715,012	1,632,225	1,357,840	1,384,997
Administrative Fees - DEM	210,362	303,723	399,000	405,000
Administrative Fees - DOH	119,399	127,401	139,401	155,000
DOH Set-Aside Programs	2,098,413	1,816,479	1,660,599	1,700,000
<b>Total Operating Expenses</b>	<b>\$31,859,748</b>	<b>\$32,033,709</b>	<b>\$33,213,380</b>	<b>\$34,191,233</b>
<b>Other Revenues (Expenses)</b>				
Federal & State Capitalization Grants	8,185,602	22,355,699	42,327,680	21,163,840
Gain (Loss) Sale of Assets	-	-	-	-
<b>Excess Revenues over Expenses</b>	<b>\$8,832,253</b>	<b>\$24,048,640</b>	<b>\$39,812,325</b>	<b>\$18,797,095</b>

(1) Service Fee portion of loan service fees is on a cash basis; loan origination fees are amortized over the life of the bonds/loan as per G.A.A.P.

(2) The FY 2012 Budget information has not been presented to, or approved by the Agency's Board of Directors. The Board normally reviews and accepts the budgets in the quarter before the start of the fiscal year.

# The Agency

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## Rhode Island Convention Center Authority

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### Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of state government. The Authority is governed by a Board of Directors, which is comprised of eleven members, eight appointed by the Governor, two appointed by the mayor of the City of Providence and one appointed by the Providence City Council.

The authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto, such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state as sub lessor and the authority, as sub lessee (the "Sublease").

A "phased" approach for each entity of the complex occurred as follows: North Parking Facility: December 5, 1992, Convention Center and South Parking Facilities: December 2, 1993 and the Westin Hotel: December, 1994. The authority has operated its facilities with various management contracts since inception. During FY 2005 and FY 2006, major changes occurred altering the future direction and scope for the authority, including the Westin Hotel sale in April, 2005 and the authority's acquisition of the Dunkin' Donuts Center from the City of Providence in December 2005.

The authority was authorized to issue revenue bonds to acquire the Dunkin' Donuts Center and lease the facility to the state. Rental payments from the Dunkin's Donuts Center lease are applied to payments of the bonds. The Center underwent major renovations subsequent to its acquisition by the authority and re-opened with new seating, luxury suites, a new sound system and video scoreboard, a redesigned concourse/lobby and a bridge connection to the Convention Center in September, 2008.

In July, 2008, the authority assumed management responsibility for the Veterans Memorial Auditorium and Cultural Center (VMA) from the Veterans Memorial Auditorium Foundation.

### Agency Objectives

Manage and operate the convention center complex, parking facilities and the Dunkin' Donuts Center. Future potential plans under investigation by the authority's Board include the acquisition of the Veteran's Memorial Auditorium, which will complement the current assets of the Authority.

# The Budget

## Rhode Island Convention Center Authority

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Resources</b>				
Opening Cash Balances	965,135	1,619,715	3,164,814	2,633,056
Operations	19,941,584	21,540,669	21,726,808	23,998,960
Reimbursement for Capital Projects	66,895	767,771	-	-
Investment Income and Swap Savings	(1,349,293)	153	200	350
Westin Hotel Room Tax	288,089	261,542	300,000	306,000
Net Bank Transfers/Misc Revenues	1,265,929	419,536	350,000	400,000
<b>Total Resources</b>	<b>\$21,178,339</b>	<b>\$24,609,386</b>	<b>\$25,541,822</b>	<b>\$27,338,366</b>
<b>Expenditures</b>				
Convention Center Authority	3,298,552	2,879,324	3,193,006	3,321,440
Convention Center Management	12,343,623	11,825,933	11,406,522	11,753,404
Dunkin Donuts Center	6,466,315	6,331,502	7,188,939	7,646,007
Veteran's Memorial Auditorium	-	527,814	660,298	660,469
Dunkin Donuts Center Renovation	4,100,000	-	-	-
<b>Subtotal Operations</b>	<b>\$26,208,490</b>	<b>\$21,564,572</b>	<b>\$22,448,766</b>	<b>\$23,381,320</b>
Debt Service	13,433,412	\$15,476,433	\$16,224,883	\$16,230,945
Dunkin Donuts Center Debt Service	6,906,654	6,906,665	6,905,454	6,909,337
Renewal and Replacement - Conv. Ctr.	-	-	-	-
Renewal and Replacement - D.D. Center	-	1,380,000	460,000	460,000
<b>Grand Total Expenditures</b>	<b>\$46,548,556</b>	<b>\$45,327,670</b>	<b>\$46,039,103</b>	<b>\$46,981,602</b>
<b>Balance from Operations</b>	<b>(\$25,370,216)</b>	<b>(\$20,718,284)</b>	<b>(\$20,497,281)</b>	<b>(\$19,643,236)</b>
State Appropriation - Debt Service	20,340,066	22,383,098	23,130,337	23,140,282
State Appropriation - Operating	2,549,865	\$1,500,000	-	1,500,000
Dunkin Donuts Center Renovation	4,100,000	-	-	-
<b>Final Cash Balances</b>	<b>\$1,619,715</b>	<b>\$3,164,814</b>	<b>\$2,633,056</b>	<b>\$4,997,046</b>

The information presented above is based upon cash flow data provided by the authority and has not been approved by the authority's Board of Directors.

# The Agency

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## **Rhode Island Economic Development Corporation**

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### **Agency Operations**

The Rhode Island Economic Development Corporation consolidates all economic development activities of the State of Rhode Island into one entity to enhance service delivery, performance, and accountability.

The Rhode Island Economic Development Corporation's Board of Directors consists of eight members, including the Governor, who serves as chair. The Governor appoints all seven members. The board oversees the implementation of all state-level economic development programs. Effective January 1, 2005, corporate governance for the Quonset Point/Davisville Industrial Park in North Kingstown was transferred to the Board of Directors of the newly created Quonset Development Corporation.

Effective July 1, 2008, the Corporation will provide staff support to the Economic Policy Council.

### **Agency Objectives**

The Rhode Island Economic Development Corporation works in partnership with the business community to enhance Rhode Island's business climate; provides direct assistance to Rhode Island businesses to retain and add jobs; identifies and works to attract new businesses and investment to Rhode Island; and serves as an advocate for economic development in Rhode Island.

The Rhode Island Economic Development Corporation's economic growth plan involves a targeted calling program by Business Development professionals with specific expertise in industries that pay higher wages and enable workers to move from lower to higher-wage positions. Specific industries targeted include: (1) Information technology and Digital Media; (2) Marine trades and Defense Technology; (3) Financial Services; (4) Advanced Manufacturing and Industrial Products; (5) Health and Life Sciences; and (6) Consumer Products and Design.

### **Statutory History**

The Corporation was created in 1995 by Title 42, Chapters 43 and 64, of the Rhode Island General Laws, replacing the former Department of Economic Development and the Rhode Island Port Authority.

# The Budget

## Rhode Island Economic Development Corporation

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Estimated Opening Balance Revenues:</b>	<b>\$356,748</b>	<b>\$219,059</b>	<b>\$358,720</b>	<b>\$3,191</b>
<b>Resources</b>				
State Appropriation	5,098,487	4,566,052	4,648,487	3,951,214
Legislative Grants	730,320	852,320	852,320	852,320
Welcome Center	-	-	-	350,000
Airport Impact Aid	1,000,754	1,010,496	1,025,000	1,025,000
STAC Research Alliance (EPScore)	1,601,545	1,369,871	1,500,000	1,500,000
Business Innovation Factory <sup>2</sup>	100,000	-	-	-
Grants - Department of Education	125,000	58,140	-	-
Slater Centers of Excellence	3,000,000	2,000,000	2,000,000	2,000,000
Subtotal	<b>\$11,656,106</b>	<b>\$9,856,879</b>	<b>\$10,025,807</b>	<b>\$9,678,534</b>
<b>Revenue from Federal Government</b>				
Federal Grants	1,203,314	1,568,367	1,263,453	1,184,232
Renewable Energy Fund	1,725,832	3,400,666	2,400,000	2,400,000
Subtotal	<b>\$2,929,146</b>	<b>\$4,969,033</b>	<b>\$3,663,453</b>	<b>\$3,584,232</b>
<b>Revenues from Operations</b>				
Other Income	120,000	465,236	141,735	152,500
Finance Program Allocation <sup>1</sup>	487,420	525,005	537,076	750,978
Welcome Center	455,759	416,898	400,000	-
QDC Allocation	150,000	150,000	-	-
Subtotal	<b>\$1,213,179</b>	<b>\$1,557,139</b>	<b>\$1,078,811</b>	<b>\$903,478</b>
<b>Total Resources</b>	<b>\$16,155,179</b>	<b>\$16,602,110</b>	<b>\$15,126,791</b>	<b>\$14,169,435</b>
<b>Expenditures</b>				
Personnel Expenses	3,410,759	3,825,902	4,242,821	4,099,116
Operating Expenses	2,861,008	2,401,642	2,155,452	1,362,250
Legislative/EDC Grants	730,320	852,320	852,320	852,320
Airport Impact Aid	1,000,754	1,010,496	1,025,000	1,025,000
STAC Research Alliance (EPSCoR)	1,601,545	1,177,933	1,280,554	1,280,554
Business Innovation Factory <sup>2</sup>	100,000	-	-	-
Slater Centers of Excellence	3,000,000	2,000,000	2,000,000	2,000,000
Welcome Center	455,759	416,898	400,000	350,000
Federal Grants	1,050,143	1,338,512	872,453	1,027,286
Renewable Energy Fund	1,725,832	3,219,687	2,295,000	2,168,191
<b>Total Expenditures</b>	<b>\$15,936,120</b>	<b>\$16,243,390</b>	<b>\$15,123,600</b>	<b>\$14,164,717</b>
<b>Closing Balance</b>	<b>\$219,059</b>	<b>\$358,720</b>	<b>\$3,191</b>	<b>\$4,718</b>

The information presented above was provided by the entity, and in most cases, the data provided for FY 2011 has not been approved by the Board of Directors.

<sup>1</sup> Includes funding from the Small Business Loan Fund, the Renewable Energy Fund and the Industrial-Recreational Building Authority for staff support.

<sup>2</sup> Funding for STAC and BIF are incorporated into the state appropriation under resources and in personnel and operating expenses under expenditures

# The Agency

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## **Rhode Island Health and Educational Building Corporation**

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### **Agency Operations**

The Rhode Island Health and Educational Building Corporation is a non-business corporation and agency of the state that provides bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the corporation has assisted with over \$5 billion in financing for such institutions without obligating the state's credit.

Under the direction of a five member board of directors, appointed by the Governor, the corporation has assisted hospitals, nursing homes, mental health centers, health care providers, day care centers, visiting nurses associations, and colleges and universities in obtaining low-cost financing from the public bond market.

The corporation receives no state appropriations for its operations.

### **Agency Objectives**

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

### **Statutory History**

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38 (as amended).

# The Budget

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## Rhode Island Health and Educational Building Corporation

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	<b>FY 2009 Actual</b>	<b>FY 2010 Actual</b>	<b>FY 2011 Projected</b>	<b>FY 2012 Proposed</b>
<b>Expenditure by Object</b>				
Personnel	493,686	487,290	525,500	540,000
Other Operating Expenditures	308,132	314,156	298,700	315,000
Financing Services	556,517	654,421	575,000	625,000
Grants	-	-	50,000	50,000
Transfer to State	1,100,000	1,500,000	1,000,000	-
<b>Total Expenditures</b>	<b>\$2,458,335</b>	<b>\$2,955,867</b>	<b>\$2,449,200</b>	<b>\$1,530,000</b>
<b>Expenditures by Fund</b>				
Personnel	493,686	487,290	525,500	540,000
Other Operating Expenditures	308,132	314,156	298,700	315,000
Financing Services	556,517	654,421	575,000	625,000
Grants	-	-	50,000	50,000
Transfer to State	1,100,000	1,500,000	1,000,000	-
<b>Total Expenditures</b>	<b>\$2,458,335</b>	<b>\$2,955,867</b>	<b>\$2,449,200</b>	<b>\$1,530,000</b>

The information presented above was provided by the entity, and in most cases, the data provided for FY 2012 has not been approved by the Board of Directors.

# The Agency

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## Rhode Island Housing and Mortgage Finance Corporation

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### Agency Operations

The Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator, technical assistance in the housing area to other governmental entities and homeowner buying and counseling assistance to all Rhode Island citizens.

The Corporation has the power to issue negotiable notes and bonds to achieve its corporate purpose, and has a separate credit rating for itself and its bond apart from the state.

### Agency Objectives

To provide, improve and expand housing and housing related community development services to, and specifically address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and housing related community development efforts, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless.

### Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.



# The Budget

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## Rhode Island Housing and Mortgage Finance Corporation

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	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Expenditure Report</b>				
Personnel Services*	12,591,609	13,347,822	14,900,000	15,300,000
Other Administrative Expenses	4,745,880	4,335,316	5,100,000	5,100,000
Programmatic Expenses	8,621,700	8,730,558	9,400,000	9,400,000
Provision for Loan Loss	3,469,602	4,288,119	2,000,000	2,000,000
Arbitrage Rebate	811,768	1,271,806	200,000	200,000
Amortization and Depreciation	1,899,118	1,859,375	1,500,000	1,500,000
<b>Total</b>	<b>\$32,139,677</b>	<b>\$33,832,996</b>	<b>\$33,100,000</b>	<b>\$33,500,000</b>

The information for FY 2011 has not been reviewed nor approved by the Rhode Island Housing and Mortgage Finance Corporation and is subject to change pending review by the Corporation.

\* Actual amounts for personnel services do not include adjustment for FASB 91.

# The Agency

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## Housing Resources Commission

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### Agency Operations

The Rhode Island Housing Resources Commission was established in 1998 with responsibility for establishing housing policy, planning and coordination for the State of Rhode Island. Funding was provided to establish a lead hazardous reduction program in conjunction with Rhode Island Housing. With the passage of the Lead Hazard Mitigation Act, the Commission's responsibilities included implementation of that law. Additional responsibility was given to the Commission with the passage of the Comprehensive Housing and Rehabilitation Act of 2004. This law mandated the development of a strategic housing plan and ongoing tracking of 29 local affordable housing plans.

With the passage of the Building Homes Rhode Island Bond in November 2006, the Commission assumed responsibility for the program with administrative assistance from Rhode Island Housing. Regulations, an application, distribution process and contracts were developed and the Commission will disburse \$12.5 million annually for this program. The Commission is responsible for program development and implementation of homeless facilities and resources to respond to those seeking shelter including those who have been discharged from the Department of Corrections, aged out of foster care and lost housing due to foreclosures of multi-family housing.

### Agency Objectives

The objectives are to promulgate state policies and plans for housing and performance measures for housing programs established pursuant to state law. The Commission coordinates activities among state agencies and political subdivisions pertaining to housing and promotes quality of life within communities. We accomplished this by providing opportunities for safe, healthy, and affordable housing and economic development for all Rhode Islanders through encouraging public-private partnerships, fostering support for nonprofit organizations, and distributing funding for housing, shelter, and community development.

### Statutory History

R.I.G.L. 42-128 established the Housing Resources Commission as of July 1, 1998. The Commission has 27 members, both ex-officio and appointed by the Governor representing a cross section of people working on housing issues. Amendments enacted during the 2008 legislative session give two additional responsibilities to the Commission: operation of a supportive service program and administration of an expedited permitting process for affordable housing.

# The Budget

## Housing Resources Commission

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Expenditure by Object</b>				
Administrative Expenses	106,000	106,000	89,040	89,040
Assistance, Grants, Benefits	2,064,000	2,064,000	2,080,960	2,080,960
Capital (NOP)	5,000,000	2,500,000	1,500,000	-
Capital (Building Homes RI) <sup>(1)</sup>	12,500,000	12,500,000	12,500,000	-
<b>Total Operating Expenses</b>	<b>19,670,000</b>	<b>17,170,000</b>	<b>16,170,000</b>	<b>2,170,000</b>
<b>Expenditure by Funds</b>				
State General Revenues	2,170,000	2,170,000	2,170,000	2,170,000
Federal Grants	-	-	-	-
NOP	5,000,000	2,500,000	1,500,000	-
G.O. Bond Proceeds - Building Homes	12,500,000	12,500,000	12,500,000	-
<b>Total Expenditures</b>	<b>19,670,000</b>	<b>17,170,000</b>	<b>16,170,000</b>	<b>2,170,000</b>
<b>Closing Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

<sup>(1)</sup> Reflects State General Obligation bond proceeds also reflected in the State's Capital Budget.

# The Agency

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## **Rhode Island Industrial Facilities Corporation**

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### **Agency Operations**

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island in accordance with Section 45-37 of the General Laws. The corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on the behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

### **Agency Objectives**

The corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

### **Statutory History**

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

# The Budget

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## Rhode Island Industrial Facilities Corporation

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	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Receipts</b>				
Bond Fees	72,928	61,615	75,000	85,000
Other	3,500	4,250	5,000	5,000
Interest	4,867	63	-	-
<b>Total</b>	<b>\$81,295</b>	<b>\$65,928</b>	<b>\$80,000</b>	<b>\$90,000</b>
<b>Expenses</b>				
Administration	85,421	83,056	68,000	70,000
Insurance	16,866	11,768	12,000	13,000
Legal and Audit	11,936	33,248	30,000	30,000
Recovery Zone Program Costs	-	13,891	-	-
Other	29	13	-	-
<b>Total</b>	<b>\$114,252</b>	<b>\$141,976</b>	<b>\$110,000</b>	<b>\$113,000</b>
<b>Net Gain/(Loss) <sup>(1)</sup></b>	<b>(\$32,957)</b>	<b>(\$76,048)</b>	<b>(\$30,000)</b>	<b>(\$23,000)</b>

The information was provided by the entity and was approved by the Board on October 21, 2010

# The Agency

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## **Rhode Island Industrial-Recreational Building Authority**

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### **Agency Operations**

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. The Rhode Island Industrial-Recreational Building Authority, as required by statute, maintains a first security position in all projects. Effective July 1, 2008, the total amount of mortgage insurance issued cannot exceed \$20,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

### **Agency Objectives**

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

### **Statutory History**

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

# The Budget

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## Rhode Island Industrial - Recreational Building Authority

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	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Receipts:</b>				
Premiums	95,853	91,894	170,000	300,000
Interest	40,692	12,524	5,000	15,000
Rent	75,000	75,000	56,250	-
Recovery of Bad Debt	613,320	10,250	-	-
<b>Total Receipts</b>	<b>\$824,865</b>	<b>\$189,668</b>	<b>\$231,250</b>	<b>\$315,000</b>
<b>Expenses:</b>				
Administration	85,421	83,056	145,000	150,000
Legal	33,627	28,064	36,000	40,000
Insurance	20,725	11,023	11,000	15,000
Building Maintenance and Repairs	-	25,674	-	-
Other	14,286	15,406	12,000	-
<b>Total Expenses</b>	<b>\$154,059</b>	<b>\$163,223</b>	<b>\$204,000</b>	<b>\$205,000</b>
<b>Operating Income (Loss)</b>	<b>\$670,806</b>	<b>\$26,445</b>	<b>\$27,250</b>	<b>\$110,000</b>
<b>Est. Loss-Default</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Net</b>	<b>\$670,806</b>	<b>\$26,445</b>	<b>\$27,250</b>	<b>\$110,000</b>

*The information was provided by the entity, and the data provided for FY 2011 was approved by the Board on 11/19/10*

# The Agency

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## **Narragansett Bay Commission**

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### **Agency Operations**

The Narragansett Bay Commission (NBC) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the NBC's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the NBC assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The NBC owns and operates Rhode Island's two largest wastewater treatment facilities, 92 miles of sewer interceptors, 66 combined sewer overflows, 32 tide gates and 6 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,900 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and the northern portion of East Providence, and small sections of Cranston and Smithfield.

The NBC has a \$507 million five-year capital improvement budget for fiscal years 2012-2016. The NBC is governed by a 19-member Board and is organized into five divisions: the Executive Affairs, Construction Services, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the NBC has been charged with the responsibility to reduce the amount of combined sewer overflow to local waterways within its service area.

### **Agency Objectives**

The Narragansett Bay Commission's primary objective is to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal guidelines, thereby safeguarding the health and safety of the citizens of Rhode Island and protecting their environment.

### **Statutory History**

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.



# The Budget

## Narragansett Bay Commission

	FY 2009 Actual	FY 2010 Actual	FY 2011 Budget	FY 2012* Projected
<b>Expenditures by Object</b>				
Personnel	18,108,181	18,903,583	19,118,418	20,552,299
Operating Supplies & Expenses	8,311,523	8,538,736	9,707,750	9,707,750
Special Services	7,158,834	6,894,154	7,480,289	7,480,289
<b>Subtotal Operating Expenditures</b>	<b>\$33,578,538</b>	<b>\$34,336,473</b>	<b>\$36,306,457</b>	<b>\$37,740,338</b>
Capital Outlays	1,529,433	1,710,227	2,300,000	2,000,000
Debt Service	29,486,227	36,156,930	32,801,374	36,779,372
<b>Total Expenditures</b>	<b>\$64,594,198</b>	<b>\$72,203,630</b>	<b>\$71,407,831</b>	<b>\$76,519,710</b>
<b>Expenditures by Funds</b>				
<b>NBC User Fees/Misc Revenues</b>				
Personnel	18,108,181	18,903,583	19,118,418	20,552,299
Operating Supplies & Expenses	8,311,523	8,538,736	9,707,750	9,707,750
Special Services	7,158,834	6,894,154	7,480,289	7,480,289
Capital Outlays	1,529,433	1,710,227	2,300,000	2,000,000
Debt Service	29,486,227	36,156,930	32,801,374	36,779,372
<b>Total Expenditures</b>	<b>\$64,594,198</b>	<b>\$72,203,630</b>	<b>\$71,407,831</b>	<b>\$76,519,710</b>

\* The information presented for FY 2012 has not been reviewed nor approved by the Narragansett Bay Commission and is subject to change pending review by the Commission.

### Sources:

FY 2009 Actuals taken from the audited financial statements.

FY 2010 Actuals taken from the audited financial statements.

FY 2011 taken from NBC's approved budget.

# The Agency

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## **Rhode Island Public Transit Authority**

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### **Agency Operations**

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of an eight-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, and elderly and disabled residents. RIPTA operates a fleet of 258 buses operated and maintained by 700 employees. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 135 vans currently operated by 3 carriers. In FY 2010, 17.4 million passengers were carried on RIPTA's fixed-route bus service and an additional 616,875 passengers were transported on the state's coordinated paratransit service.

### **Agency Objectives**

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

### **Statutory History**

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

# The Budget

## Rhode Island Public Transit Authority

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommend
<b>Revenue</b>				
Passenger Revenue	26,481,446	20,339,300	21,061,127	21,803,459
Special Revenue	688,035	821,984	863,624	907,622
Other Revenue	11,306,471	10,660,300	11,285,707	11,483,647
State Subsidy - Gasoline Tax <sup>(2)</sup>	33,613,398	41,788,014	41,762,604	41,830,981
Department of Human Services <sup>(4)</sup>	976,000	975,998	883,742	889,382
Federal Subsidy	21,181,376	20,599,287	24,468,767	20,617,857
<b>Total Revenue</b>	<b>\$94,246,726</b>	<b>\$95,184,883</b>	<b>\$100,325,571</b>	<b>\$97,532,948</b>
<b>Expenses</b>				
Salaries and Wages	42,800,274	42,522,117	44,697,751	46,553,447
Employee Benefits	22,407,488	24,693,407	23,825,925	24,723,417
Special Services	1,509,711	1,720,442	2,235,751	1,588,502
Operating Expenses <sup>(1)</sup>	27,108,007	25,989,251	29,305,365	30,264,891
Offset to Balance Expenses/Revenues <sup>(3)</sup>			(1,229,604)	
Prior Year Carry Forward	-	-	1,490,383	-
<b>Total Expenses:</b>	<b>\$93,825,480</b>	<b>\$94,925,217</b>	<b>\$100,325,571</b>	<b>\$103,130,257</b>
<b>Closing Surplus/(Deficit): <sup>(3)</sup></b>	<b>\$421,246</b>	<b>\$259,666</b>	<b>-</b>	<b>(\$5,597,309)</b>

<sup>(1)</sup> Includes Debt Service payable on general obligation bonds.

<sup>(2)</sup> Figure represents agency's estimate.

<sup>(3)</sup> Board must determine reductions of expenses or increases of revenue to provide a balanced budget.

<sup>(4)</sup> Gas Tax funding provided through the Department of Human Service for the RIDE Program.

### Sources:

FY 2009 and FY 2010 Actuals taken from the audited financial statements

FY 2011 Revised and FY 2012 Proposed Budget have not been approved by RIPTA Board of Directors.

# The Agency

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## Quonset Development Corporation

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### Agency Operations

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the RI Economic Development Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

### Agency Objectives

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

### Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.9 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Economic Development Corporation.

# The Budget

## Quonset Development Corporation

	FY 2009 Actual <sup>(1)</sup>	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Estimated Opening Balance Revenues:</b>	\$4,347	(\$50)	\$46,437	\$50,631
<b>Revenues from Operations</b>				
Rental Income	4,758,618	4,978,090	5,191,256	6,076,608
Pier Income	694,019	680,885	700,000	720,000
Utility Sales	1,857,474	1,758,687	1,900,000	1,825,000
Other Income	169,227	464,304	523,688	744,953
	<b>7,479,338</b>	<b>7,881,966</b>	<b>8,314,944</b>	<b>9,366,561</b>
<b>Total Resources</b>	<b>\$7,483,685</b>	<b>\$7,881,916</b>	<b>\$8,361,381</b>	<b>\$9,417,192</b>
<b>Expenditures</b>				
Personnel Expenses	2,986,100	3,434,901	3,770,235	3,827,175
Operating Expenses	2,874,635	2,450,578	3,090,515	3,296,378
EDC Allocation	150,000	150,000	150,000	-
Capital Expenditures	1,473,000	1,800,000	1,300,000	2,300,000
<b>Total Expenditures</b>	<b>\$7,483,735</b>	<b>\$7,835,479</b>	<b>\$8,310,750</b>	<b>\$9,423,553</b>
<b>Closing Balance</b>	<b>(\$50)</b>	<b>\$46,437</b>	<b>\$50,631</b>	<b>(\$6,361)</b>

(1) Operating surplus to be used for Capital Improvements Projects as well as other internal capital requirement

# The Program

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## **Rhode Island Refunding Bond Authority**

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### **Program Operations**

The Rhode Island Refunding Bond Authority was created in 1987 as a public corporation, having a distinct legal existence from the state and not constituting a department of state government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The authority was authorized to issue bonds and notes secured solely by revenues derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, which are subject to annual appropriation.

On July 1, 1997, the Rhode Island Public Buildings Authority was merged into the authority. Prior to the merger, the Public Buildings Authority issued debt to finance the acquisition and construction of certain facilities to be leased by state agencies. Debt service payments are secured by the lease payments made by the state, subject to annual appropriations. Since 1998, the Refunding Bond Authority has refunded all of the outstanding principal of bonds issued by the Public Building Authority. As of June 30, 2010, total net debt of the authority has been repaid, with no further obligations planned.

### **Program Objectives**

To ensure prompt payment of outstanding debts of the authority.

### **Statutory History**

R.I.G.L. 35-8.1 created the authority.

# The Budget

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## **Rhode Island Refunding Bond Authority**

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In earlier years, the budget of the Refunding Bond Authority was reflected in the operating budget of the General Treasurer. As of June 30, 2010, the Authority had paid all its debt obligations and the Authority is not anticipated to be used in the short term for debt refinancing or refunding.

# The Agency

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## Rhode Island Resource Recovery Corporation

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### Agency Operations

The Rhode Island Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide waste reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize waste generated and landfilled and maximize waste reduction and recycling.

The Corporation's materials recycling facility (MRF), in conjunction with grants and technical assistance provided to municipalities supports the State's municipal recycling programs. Metals, plastic and glass containers, paper cartons, juice boxes, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold on the open market to be remanufactured.

The waste reduction program includes initiatives including (but not limited to): two web-based materials exchange programs, an education program, promotion of leaf and yard debris composting and information on reducing household waste. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents.

Other Corporation recycling initiatives target computer equipment and televisions, marine shrink wrap, plastic bags and provide assistance to school paper recycling programs.

In August 2001, the Corporation assumed responsibility of the ECO-DEPOT program, previously administered by the R.I. Department of Environmental Management, to handle and dispose of household hazardous waste.

The Corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system. The Corporation operates a construction/demolition (C&D) debris processing facility, converting types of C&D debris from solid waste to alternate cover material, thereby conserving valuable landfill capacity.

### Agency Objectives

The Corporation's primary objectives are to develop and implement waste reduction programs, divert waste from the landfill, and provide cost-effective disposal alternatives. Since its initial roll-out, the Corporation's "Maximum Recycling Program," has increased recyclables diversion from the municipalities. The "Maximum Recycling Program" is available in all 39 municipalities throughout the state, providing the opportunity of these municipalities to reduce their waste disposal costs. Licensure of additional landfill capacity is being pursued to maintain disposal capacity as the current facility is depleted.

### Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.



# The Budget

## Rhode Island Resource Recovery Corporation

	FY 2009 Audited	FY 2010 Audited	FY 2011 Projected	FY 2012 Projected
<b>Revenues:</b>	<b>\$45,526,158</b>	<b>\$48,782,579</b>	<b>\$45,354,309</b>	<b>\$46,800,000</b>
<b>Expenses:</b>				
Personnel Costs	12,466,008	11,603,613	11,614,789	12,000,000
Contractual Services	9,945,221	9,217,409	8,581,015	8,600,000
Utilities	1,722,848	3,526,869	1,614,903	1,700,000
Repairs and Maintenance	3,981,364	3,219,118	3,358,964	3,400,000
Other Supplies and Expenses	2,933,233	2,512,318	2,330,579	2,500,000
Grants to Municipalities for Recycling	1,040,072	1,241,230	120,250	1,800,000
Bad Debts	576,931	414,493	120,000	120,000
Provision for landfill closure and post closure care and Superfund clean-up costs	(355,952)	648,601	2,526,318	(6,800,000)
Depreciation, depletion, and amortization	10,408,402	11,023,519	10,382,146	13,200,000
<b>Total Expenses</b>	<b>\$42,718,127</b>	<b>\$43,407,170</b>	<b>\$40,648,964</b>	<b>\$36,520,000</b>
<b>Income (Loss) from Operations</b>	<b>\$2,808,031</b>	<b>\$5,375,409</b>	<b>\$4,705,345</b>	<b>\$10,280,000</b>
Transfers to State of Rhode Island	(7,500,000)	-	-	(3,500,000)
Interest and investment revenue	1,627,519	1,734,656	951,292	1,000,000
Loss on disposal of land held for sale	26,431	-	-	-
Interest expense	(778,890)	(722,791)	(677,486)	(600,000)
Other income (expense)	1,000,000	3,477,146	-	-
<b>Total nonoperating revenues (expenses)</b>	<b>(\$5,624,940)</b>	<b>\$4,489,011</b>	<b>\$273,806</b>	<b>(\$3,100,000)</b>
<b>Net Income (Loss) for the Year</b>	<b>(\$2,816,909)</b>	<b>\$9,864,420</b>	<b>\$4,979,151</b>	<b>\$7,180,000</b>
<b>Assets:</b>				
Cash, Cash Equivalents & Investments	5,332,005	11,431,210	(1,568,790)	(2,168,790)
Accounts Receivable, Net	6,058,595	7,741,395	7,741,395	7,741,395
Property, Plant and Equipment, Net	74,557,395	64,728,351	75,128,351	83,028,351
Restricted Investments	2,219,925	9,697,969	9,697,969	10,597,969
Assets Held in Trust	81,057,415	82,375,079	88,875,079	88,875,079
Other Assets	5,885,403	5,103,678	5,103,678	5,103,678
<b>Total Assets</b>	<b>\$175,110,738</b>	<b>\$181,077,682</b>	<b>\$184,977,682</b>	<b>\$193,177,682</b>
<b>Liabilities:</b>				
Accounts Payable	9,646,449	3,563,640	3,563,640	3,563,640
Other Current Liabilities	4,858,177	4,585,123	4,585,123	4,585,123
Bonds/ Notes Payable	13,730,060	13,750,855	12,855,855	11,920,855
Superfund Cleanup, Closure & Post-Closure Costs	87,600,562	90,038,154	89,197,472	75,097,472
<b>Total Liabilities</b>	<b>\$115,835,248</b>	<b>\$111,937,772</b>	<b>\$110,202,090</b>	<b>\$95,167,090</b>
<b>Retained Earnings</b>	<b>\$59,275,490</b>	<b>\$69,139,910</b>	<b>\$74,775,592</b>	<b>\$98,010,592</b>
<b>Total Liabilities and Retained Earnings</b>	<b>\$175,110,738</b>	<b>\$181,077,682</b>	<b>\$184,977,682</b>	<b>\$193,177,682</b>

(1) The FY 2012 recommended budget reflects management's FY 2012 budget projections made at the end of SFY 2010. They have not been approved by the Corporation's Board of Commissioners or the Office of the Governor under Executive Order 08-03. The final budget numbers will likely be significantly different than the preliminary numbers reflected above due to the uncertainties involving estimated volumes and market prices for recyclables.

(2) Due to the recent economic conditions, the Corporation has experienced a significant drop in volume and corresponding revenues compared to State fiscal year 2008. Accordingly, the Corporation has made significant cuts in operating costs, and has deferred various capital outlays previously anticipated in fiscal years 2009 and 2010.

# The Agency

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## Rhode Island Student Loan Authority

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### Agency Operations

The Authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The Authority originates over \$25,000,000 per year of low cost state based education loans for students pursuant to its enabling Act under its Rhode Island Family Education Loan Program (RIFEL).

The Authority operates a free information service called the College Planning Center of Rhode Island (CPC). The CPC, staffed by experienced college financial aid and admission counselors, is open seven days a week during the peak financial aid season. The CPC operates out of four locations in Warwick, Bristol, Cumberland and Providence. The CPC is dedicated to increasing access to higher education through the several free services it provides. Its focus is to help provide parents and students with information on early awareness, college planning, admissions, and financial aid. It is a service that is used by over 7,000 Rhode Islanders each year. The CPC conducts financial aid nights at most high schools in Rhode Island and helps parents and students complete the Free Application for Federal Student Aid Form (FAFSA) and College Board Profile form. RISLA also started the Latino College Access Coalition which is a coalition of 17 business and community groups whose mission is to increase college access and completion for the fastest growing population group in the state.

### Agency Objectives

The Authority has helped over 200,000 students and parents since its state in 1981. As of December 31, 2010, the authority also holds \$662,619,960 in Federal Family Education Loans and \$417,909,603 in Rhode Island Family Education Loans. Under its enabling legislation, the Authority may issue bonds to further its corporate purpose. The bonds are not an obligation of the State of Rhode Island and are solely an obligation of the Authority. As of December 31, 2010 the Authority has \$685,770,000 in bonds outstanding. As of December 31, 2010 the Authority has a balance of \$390,418,150 under the US Department of Education's Conduit borrowing program.

RISLA also offers a need based scholarship named after former professor of education and state representative Paul Sherlock. RISLA has awarded a total of \$350,000 in need based scholarships to 175 students in the past seven years.

### Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

# The Budget

## Rhode Island Student Loan Authority

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Program</b>				
Student Loan Program	48,612,098	41,043,594	38,449,776	33,994,771
College Planning Center	585,985	573,817	658,820	658,820
Representative Paul Sherlock Scholarship Progr	50,000	82,890	296,421	296,421
<b>Total Expenditures</b>	<b>\$49,248,083</b>	<b>\$41,700,301</b>	<b>\$39,405,017</b>	<b>\$34,950,012</b>
<b>Expenditures by Category</b>				
Interest & Bond Expenses	34,703,487	24,025,470	22,919,262	20,301,262
Arbitrage Rebate Expense	(7,764,290)	(2,141,304)	1,750,000	1,750,000
Loan Servicing	7,015,326	6,949,382	5,667,480	5,667,480
Provision for Risk Share	5,009,338	4,174,467	2,246,344	2,246,344
Department of Education Loan fees	5,809,946	4,198,901	1,468,816	1,427,322
Repurchase Loan Origination Rights	1,057,046	820,000	1,845,000	-
Personnel	3,299,359	3,562,854	3,401,336	3,450,825
Depreciation	67,871	60,531	56,779	56,779
Representative Paul Sherlock Scholarship Progr	50,000	50,000	50,000	50,000
<b>Total Expenditures</b>	<b>\$49,248,083</b>	<b>\$41,700,301</b>	<b>\$39,405,017</b>	<b>\$34,950,012</b>
<b>Expenditures by Funds</b>				
Bond Indentures	49,198,083	41,617,411	39,108,596	34,653,591
Dedicated Revenue from Licensing	50,000	82,890	296,421	296,421
<b>Total Expenditures</b>	<b>\$49,248,083</b>	<b>\$41,700,301</b>	<b>\$39,405,017</b>	<b>\$34,950,012</b>

# The Agency

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## **Rhode Island Turnpike and Bridge Authority**

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### **Agency Operations**

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969. The Turnpike and Bridge Authority is responsible for the operation and maintenance of the Pell Bridge between Newport and Jamestown, and the Mount Hope Bridge between Portsmouth and Bristol, structures that are integral to travel in the coastal area of Rhode Island and neighboring states.

On July 31, 2003 Taxable Refunding Revenue Bonds – Series 2003 were issued in the amount of \$35,650,000 to refund the outstanding 1997 Refunding Revenue Bonds. The maturity date of December 1, 2017 remains the same. At June 30, 2009, \$23,683,887 in principal remains to be paid. Principal and interest payments are made annually and semi-annually, respectively. The toll for the Mount Hope Bridge has been eliminated and the upkeep remains the responsibility of the Authority from proceeds of toll revenues calculated on the Claiborne Pell Bridge and interest earned on investments. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system allow.

### **Agency Objectives**

To facilitate safe and efficient vehicular traffic over waters of the Mount Hope Bay and the East Passage of Narragansett Bay through the operation and maintenance of its two suspension bridges - the Claiborne Pell Bridge, built in 1969, and the Mount Hope Bridge, built in 1929.

### **Statutory History**

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997 the legislature passed article 36 amending the law adding certain provisions in section 24-12-9.

# The Budget

## Rhode Island Turnpike and Bridge Authority

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended <sup>(2)</sup>
<b>Revenue</b>				
Tolls	12,546,502	13,500,555	18,900,000	18,942,000
Interest Income	1,241,956	800,000	800,125	800,125
Miscellaneous	836,249	12,000	12,000	12,000
<b>Total Revenue</b>	<b>\$14,624,707</b>	<b>14,312,555</b>	<b>19,712,125</b>	<b>19,754,125</b>
<b>Expenses</b>				
Salaries and Wages	2,547,860	2,806,494	2,923,110	2,923,110
Bond Interest	1,170,064	1,089,446	3,275,205	3,275,204
Maintenance & Supplies	307,753	457,500	617,550	763,750
Insurance	1,253,495	979,770	929,425	948,000
Professional	1,138,424	264,000	410,750	351,375
All Other	1,290,275	1,249,118	1,657,609	1,465,250
<b>Total Expenses</b>	<b>\$7,707,871</b>	<b>6,846,328</b>	<b>9,813,649</b>	<b>9,726,689</b>
<b>Debt Service and Reserves</b>				
Bond Principal Account	2,105,000	2,180,000	2,370,000	2,475,000
Renewal and Replacement Fund <sup>(1)</sup>	5,334,230	4,433,723	6,500,000	7,552,436
Insurance Reserve Fund	-	-	-	-
General Fund	40,437	-	-	-
<b>Total Funding</b>	<b>\$7,479,667</b>	<b>6,613,723</b>	<b>8,870,000</b>	<b>10,027,436</b>
<b>Total Expenses and Funding</b>	<b>\$15,187,538</b>	<b>13,460,051</b>	<b>18,683,649</b>	<b>19,754,125</b>
<b>Change in Net Assets</b>	<b>(562,831)</b>	<b>852,504</b>	<b>1,028,476</b>	<b>-</b>

<sup>(1)</sup> The Renewal and Replacement Fund is used for capital improvements included in the ten-year plan approved by the Board of Trustees and certain maintenance costs of the Authority's two bridges and related buildings and grounds. Amounts in this fund are pledged to bondholders.

<sup>(2)</sup> The information presented above was provided by the Authority. Fiscal 2012 data has not been approved by the Authority's management or Board of Directors. The final budget numbers may be significantly different than the preliminary numbers reflected herein.

# The Agency

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## **Rhode Island Water Resources Board Corporate**

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### **Agency Operations**

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

### **Agency Objective**

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

### **Statutory History**

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

# The Budget

## Rhode Island Water Resources Board Corporate

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Expenditures by Object <sup>(1)</sup></b>				
Personnel	21,181	30,742	26,100	34,350
Other State Operating	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditure</b>	<b>\$21,181</b>	<b>\$30,742</b>	<b>\$26,100</b>	<b>\$34,350</b>
Capital Projects Debt Service	1,056,731	1,056,418	1,037,650	1,040,281
<b>Total Expenditures</b>	<b>\$1,077,912</b>	<b>\$1,087,160</b>	<b>\$1,063,750</b>	<b>\$1,074,631</b>
<b>Expenditures by Funds</b>				
Personnel <sup>(2)</sup>	21,181	30,742	26,100	34,350
Other Operating Expenses	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Capital Debt Service	1,056,731	1,056,418	1,037,650	1,040,281
<b>Subtotal: Water Quality Protection Charge</b>	<b>\$1,077,912</b>	<b>\$1,087,160</b>	<b>\$1,063,750</b>	<b>\$1,074,631</b>
<b>Other Funds</b>				
Capital Debt Service-Prov Project <sup>(3)</sup>	1,070,325	1,014,255	-	-
<b>Subtotal: Water Quality</b>	<b>\$1,070,325</b>	<b>\$1,014,255</b>	-	-
<b>Total Expenditures</b>	<b>\$1,077,912</b>	<b>\$1,087,160</b>	<b>\$1,063,750</b>	<b>\$1,074,631</b>

<sup>(1)</sup> Principal payments on debt are considered expenditures for budgetary purposes only but are not considered an expenditure for financial statement purposes. The Board's financial consultant prepared an analysis of revenues and expenditures and cash balances in December, 2010. The FY 10 financial statement surplus was \$745,642. Projected surpluses for FY 2011, 2012, and 2013 are \$825,285, \$859,404, and \$894,685 respectively. The FY 10 cash balance was \$1,932,698. Projected balances for FY 2011, 2012, and 2013 are \$1,917,448, \$1,901,317 and \$1,890,467 respectively.

Surcharge collections for FY 2009 and FY 2010 are as listed in the audited financial statements, p. 19. Future collections are projected for the first quarter of 2010. Future revenues will continue to fluctuate due to weather, economic factors, and/or conservation and demand management.

<sup>(2)</sup> For FY 2009-2013 personnel services are provided by the Water Resources Board staff. Cash expenditures consist of purchased financial and legal services.

<sup>(3)</sup> Paid directly by Providence Water Supply Board. Final payment was remitted September 15, 2009.

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# **Component Units**



The College Crusade of Rhode Island

# The Agency

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## The College Crusade of Rhode Island

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### Agency Operations

The College Crusade of Rhode Island (The Crusade) offers a range of age-appropriate supports for students as they progress from grades 6-12. Through its direct services and grant-making programs, it partners with over 40 schools and community agencies to serve Crusaders – with special emphasis on youth from the five RI cities and towns with the greatest numbers of low-income families. Currently there are approximately 4,000 Crusaders in grades 6-12. We have now graduated ten cohorts of Crusaders – those who enrolled in 1991-2000. Through fiscal year 2010, we have provided scholarships to approximately 2,800 Crusaders valued at \$22 million. The Crusade has a \$6.0 million scholarship fund as well as pledges of donated scholarships from 14 colleges, universities and trade schools. The Crusade is supported by an annual allocation from the State of Rhode Island and by federal and private grants and gifts from individuals.

### Agency Objectives

The College Crusade of Rhode Island's mission is to increase educational and career success for youth in Rhode Island's low income communities. We help Crusaders get ready to succeed in college by providing caring advisors and sustained academic and social support from grades 5-12, combined with scholarship incentives. We advocate for expanded opportunities for pre-K-16 success while helping Crusaders to develop as responsible, productive members of the community.

### Statutory History

The Crusade was founded as an independent 501(c)(3) organization in November of 1989 by the Board of Governors for Higher Education as a long-range strategy to increase high school graduation and college going rates for low-income students. It is governed by up to a 19-member Board of Directors. Legislation enacted in 1990 (see R.I.G.L. 16-70-2) established a mechanism for state support of the Crusade as a line item in the Office of Higher Education's budget. In FY 2008 the state support changed to a Legislative Grant within the Office of Higher Education's budget.

# The Budget

## The College Crusade of Rhode Island

	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommended
<b>Carry Forward Funds</b>	93,586	168,292	215,465	464 *
<b>Scholarship Fund/Cash &amp; Pledges</b>	1,080,014	324,203	475,000	475,000
<b>Support &amp; Revenue</b>				
State Appropriation/BOG Support	537,867	529,406	528,204	528,204 **
Private Donations/Miscellaneous Grants	295,072	418,942	370,114	510,692
Special Events	-	-	-	-
Public/Private In-Kind Contributions	369,613	601,700	710,000	530,000
Federal Grant Funds	2,629,533	2,462,723	2,728,833	2,736,553
Carnegie Foundation Grant	24,291	74,213	-	-
Investment Income	1,561	567	2,000	2,000
Prior Year Grant Adjustments	-	-	-	-
<b>Subtotal</b>	<b>\$3,857,937</b>	<b>\$4,087,551</b>	<b>\$4,339,151</b>	<b>\$4,307,449</b>
<b>Total Resources</b>	<b>\$5,031,537</b>	<b>\$4,580,046</b>	<b>\$5,029,616</b>	<b>\$4,782,913</b>
<b>Expenses</b>				
Personnel Cost	2,190,495	2,139,037	2,261,925	2,381,385
Special Services	83,827	75,790	88,061	88,061
Special Events	2,198	3,311	6,700	6,700
Program Support Services	857,237	1,017,973	1,472,481	1,063,669
Operating Expenses	353,257	336,236	362,648	368,098
Cost of Scholarships	911,005	377,784	475,000	475,000
<b>Total Expenses</b>	<b>\$4,398,019</b>	<b>\$3,950,131</b>	<b>\$4,666,815</b>	<b>\$4,382,913</b>
Transfer to Scholarship Fund	465,226	414,450	362,337	400,000
<b>Closing Fund Balance</b>	<b>\$168,292</b>	<b>\$215,465</b>	<b>464</b>	<b>-</b>

\*The information presented for FY 2012 is preliminary and has not been reviewed nor approved by the College Crusade of Rhode Island Board of Directors and is subject to change.

\*\*Reflects Budget Office change that level funds state support at 528,204 in FY 2011 and in FY 2012.

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# **Special Reports**





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## Formula Aid to Cities and Towns

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The Governor's FY 2012 budget recommends formula aid to cities and towns totaling \$114.5 million. This represents a 28.1 percent, or \$25.1 million increase from the FY 2011 enacted level of funding. The tables on the following pages display the FY 2009 and FY 2010 actuals, the FY 2011 enacted, the FY 2011 revised, and the FY 2012 recommended levels of funding for formula aid to cities and towns by community. In general, formula state aid programs were level funded from the revised level with the exception of the motor vehicle tax phase-out program. The narrative below describes each of the programs included on the tables. It should also be noted that updated formula drivers for PILOT and Distressed Community Relief Fund were incorporated for FY 2012. This results in increases and decreases by community depending on changes in relative wealth and other factors which are incorporated in determining entitlements.

Public Service Corporation Tax - The tangible personal property of telegraph, cable and telecommunications corporations is exempt from local taxation, but is subject to taxation by the state at the average property tax statewide. For FY 2011 and FY 2012, the Governor recommends level funding the program as no growth is forecasted. Funds collected from this tax are distributed to cities and towns within the state on the basis of the ratio of the city or town population to the population of the state as a whole.

Meals and Beverage Local Sales and Use Tax - During the January 2003 session, the General Assembly enacted a one percent gross receipts tax on retail sales of meals and beverages in or from eating and/or drinking establishments. The taxes are collected by the Division of Taxation and distributed at least quarterly to the city or town where the meals and beverages were delivered. For FY 2011, the Governor recommends level funding the program as no growth is forecasted and for FY 2012 the Governor recommends \$19.3 million.

Payment in Lieu of Taxes - Legislation creating this program requires the State of Rhode Island to reimburse cities and towns for property taxes that would have been due on certain types of real property that are exempted from taxation by state law. This includes property owned by nonprofit educational institutions, nonprofit hospitals, or any state owned hospital, veteran's facility, or correctional facility. The program was fully funded in FY 2007. Since FY 2008, state appropriations for the PILOT program have equaled less than 27 percent of all tax that would have been collected had the property been taxable. The Governor's recommendation is to level fund the program at the FY 2011 enacted level of \$27.6 million for the FY 2011 revised and FY 2012 budgets. Entitlements by community have been adjusted to reflect revenue which would have been lost resulting from eligible properties in the statutory reference year.

Distressed Communities Relief Fund - This program provides state assistance to those Rhode Island communities with the highest property tax burdens relative to the wealth of taxpayers. The amount of total funding distributed under this program is based on the amount enacted in the annual appropriations act. Entitlements for FY 2011 and FY 2012 by community reflect computations based upon the latest available qualifying data. For the FY 2011 revised budget, an additional amount of \$5.2 million is provided for a total of \$15.6 million and in FY 2012 a total of \$10.4 million is provided.

Motor Vehicle Excise Tax - Legislation enacted during the 1999 General Assembly provides for a phase-out of the local excise tax on motor vehicles and trailers. This legislation was amended in 2002 to make the full phase out of the tax subject to the annual review and appropriation of the General Assembly. For fiscal year 2007, the value of the exemption from local taxes was increased to \$6,000 per vehicle. For FY 2009, the legislation was amended to reimburse only 98 percent of the exemption value, reflecting comparability with municipal motor vehicle tax collection rates. For FY 2011 and thereafter, the General

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## Formula Aid to Cities and Towns

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Assembly enacted legislation that mandates a \$500 exemption for which the state will reimburse municipalities and amount subject to appropriation. The legislation further allows municipalities to provide an additional exemption, however, that additional exemption will not be subject to reimbursement. The legislation also removed the provision that restricted municipalities from taxing the difference in the event that the value of a vehicle is higher than the prior fiscal year. It also allowed for rates to be lowered from the current frozen levels. The Governor's recommendation is to level fund the program at the FY 2011 enacted level of \$10.0 million for the FY 2011 revised and the FY 2012 budget.

Municipal Accountability Stability Transparency Fund - The Municipal Accountability, Stability and Transparency (MAST) Fund is a new fund proposed for FY 2012 to help enhance fiscally prudent budgeting practices for cities and towns, and address unfunded liabilities for pensions and Post Employment Benefits Other Than Pensions (OPEB). Cities and towns will receive additional state aid starting in FY 2012, and thereafter, if they comply with certain requirements as set forth in statute. The Governor recommends \$19.3 million in FY 2012 for this program. The following tables reflect this new fund under appropriated aid. Although the funding is included in the appropriations act as a restricted receipt, funds will only be disbursed to communities complying with the requirements set forth in statute.

State Library Aid - This program provides financial support for local public library services and for the construction and capital improvement of any free public library. A portion of library aid is disbursed directly to local libraries, including private libraries, while other aid is disbursed to the individual cities and towns. The Governor's recommendation is to level fund the program at the FY 2011 enacted level of \$8.7 million for the FY 2011 revised and FY 2012 budgets. Although total funding is level funded at the FY 2011 enacted level, distributions by community/library have been calculated based upon the latest available qualifying data from the statutory reference year. Library construction aid is fully funded in both years based on outstanding commitments.

Central Falls Stabilization Payment - The Governor's recommendation includes a Central Falls Stabilization Payment of \$1.8 million for the FY 2011 revised and \$4.9 million in the FY 2012 budget.

## Summary of Formula Aid to Cities and Towns

	FY 2009 Actual	FY 2010 Actual	FY 2011 Enacted	FY 2011 Revised	FY 2012 Recommend
Public Service Corporation Tax	9,204,590	10,194,401	11,350,385	11,350,385	11,350,385
Meals and Beverage Tax	18,808,231	18,983,046	18,808,231	18,808,231	19,330,231
Payment In Lieu of Taxes (PILOT)	27,580,239	27,580,407	27,580,409	27,580,409	27,580,409
<b>Total Miscellaneous Aid</b>	<b>\$55,593,060</b>	<b>\$56,757,854</b>	<b>\$57,739,025</b>	<b>\$57,739,025</b>	<b>\$58,261,025</b>
General Revenue Sharing	25,000,000	-	-	-	-
<b>Total State Aid to Cities and Towns</b>	<b>\$25,000,000</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
Central Falls Stabilization Payment	-	-	-	1,800,000	4,900,000
Dist. Comm. - General Appropriation	10,384,458	10,384,458	10,384,458	15,576,687	10,384,458
<b>Total Distressed Communities Aid</b>	<b>\$10,384,458</b>	<b>\$10,384,458</b>	<b>\$10,384,458</b>	<b>\$17,376,687</b>	<b>\$15,284,458</b>
Motor Vehicle Tax Phase-out Program <sup>1</sup>	135,376,122	117,179,992	10,000,000	10,000,000	10,000,000
<b>Total Motor Vehicle Tax Phase-out Prog.</b>	<b>\$135,376,122</b>	<b>\$117,179,992</b>	<b>\$10,000,000</b>	<b>\$10,000,000</b>	<b>\$10,000,000</b>
Municipal Accountability Stability Transparency Fund	-	-	-	-	19,330,231
<b>Total - Municipal Accountability Stability Transparency Fund</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>\$19,330,231</b>
<b>Subtotal Formula Aid - All Sources</b>	<b>\$226,353,640</b>	<b>\$184,322,304</b>	<b>\$78,123,483</b>	<b>\$85,115,712</b>	<b>\$102,875,714</b>
<b>Percent Change from prior year</b>	<b>-11.98%</b>	<b>-18.57%</b>	<b>-65.49%</b>	<b>-53.82%</b>	<b>20.87%</b>
Resource Sharing & Library Aid <sup>2</sup>	8,773,284	8,773,023	8,773,398	8,773,398	8,773,398
Library Construction Aid	2,587,447	2,739,488	2,492,974	2,492,974	2,821,772
<b>Total Library Aid</b>	<b>\$11,360,731</b>	<b>\$11,512,511</b>	<b>\$11,266,372</b>	<b>\$11,266,372</b>	<b>\$11,595,170</b>
Property Revaluation Program	1,044,654	1,531,211	790,000	790,000	1,125,000
<b>Total Other Aid</b>	<b>\$1,044,654</b>	<b>\$1,531,211</b>	<b>\$790,000</b>	<b>\$790,000</b>	<b>\$1,125,000</b>
<b>Total Aid</b>	<b>\$238,759,025</b>	<b>\$197,366,026</b>	<b>\$90,179,855</b>	<b>\$97,172,084</b>	<b>\$115,595,884</b>
<b>Percent Change from prior year</b>	<b>-11.46%</b>	<b>-17.34%</b>	<b>-62.23%</b>	<b>-50.77%</b>	<b>18.96%</b>

<sup>1</sup> Amounts for the Motor Vehicle Excise Tax represent final payments due each community based upon the exemption amounts in effect for the given fiscal year. Actual cash payments may have occurred over multiple fiscal years.

<sup>2</sup> Resource Sharing and Library Aid for state institutions is included in these totals.

## Fiscal Year 2011 Enacted State Aid to Cities and Towns

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	Municipal Accountability Stability Transparency Fund	FY 2011 Total Appropriated State Aid
Barrington	48,984	-	320,697	242,052	-	611,733
Bristol	580,241	-	105,644	115,695	-	801,580
Burrillville	66,573	487,620	123,257	209,664	-	887,115
Central Falls	19,158	267,573	76,132	84,881	-	447,744
Charlestown	-	-	46,093	40,332	-	86,425
Coventry	-	-	196,864	221,760	-	418,624
Cranston	4,239,850	-	560,585	935,250	-	5,735,685
Cumberland	109	-	240,622	213,692	-	454,423
East Greenwich	7,599	-	106,985	122,717	-	237,301
East Providence	91,188	757,605	450,806	446,641	-	1,746,240
Exeter	-	-	32,438	78,760	-	111,198
Foster	417	-	34,023	68,009	-	102,449
Glocester	-	-	68,028	93,327	-	161,355
Hopkinton	-	-	28,685	64,210	-	92,895
Jamestown	-	-	75,791	36,592	-	112,383
Johnston	-	-	95,752	388,128	-	483,880
Lincoln	-	-	178,035	231,852	-	409,887
Little Compton	-	-	26,500	25,292	-	51,792
Middletown	-	-	122,465	89,716	-	212,181
Narragansett	-	-	112,313	97,870	-	210,183
Newport	833,229	-	93,361	141,041	-	1,067,631
New Shoreham	-	-	361,792	7,639	-	369,431
North Kingstown	5,803	-	244,760	223,404	-	473,967
North Providence	456,364	510,516	153,444	358,372	-	1,478,696
North Smithfield	-	-	61,119	169,671	-	230,790
Pawtucket	377,406	1,517,555	395,146	672,510	-	2,962,617
Portsmouth	-	-	99,162	106,594	-	205,756
Providence	19,097,871	5,111,263	1,251,032	1,736,029	-	27,196,195
Richmond	-	-	21,709	58,139	-	79,848
Scituate	-	-	91,833	123,750	-	215,583
Smithfield	429,064	-	252,716	279,437	-	961,217
South Kingstown	124,230	-	204,442	169,260	-	497,932
Tiverton	-	-	89,801	108,006	-	197,807
Warren	-	-	50,775	82,216	-	132,991
Warwick	957,595	-	652,438	1,074,120	-	2,684,153
Westerly	110,040	-	24,463	229,647	-	364,150
West Greenwich	-	-	170,118	49,116	-	219,234
West Warwick	-	925,685	284,950	227,176	-	1,437,811
Woonsocket	134,688	806,641	193,635	377,431	-	1,512,395
<b>Subtotal</b>	<b>\$27,580,409</b>	<b>\$10,384,458</b>	<b>\$7,698,411</b>	<b>\$10,000,000</b>	<b>-</b>	<b>\$55,663,278</b>
Statewide Reference Library Resource Grant (Providence)				1,012,378		1,012,378
Library Construction Reimbursement				2,492,974		2,492,974
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-		-
<b>Total</b>	<b>\$27,580,409</b>	<b>\$10,384,458</b>	<b>\$7,698,411</b>	<b>\$13,505,352</b>		<b>\$59,168,630</b>

## Fiscal Year 2011 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2011 Total Shared Taxes State Aid	FY 2011 Total Shared & Appropriated Aid
Barrington	182,103	119,179	301,282	913,015
Bristol	243,277	318,548	561,825	1,363,404
Burrillville	171,027	166,966	337,993	1,225,107
Central Falls	204,938	82,663	287,601	735,345
Charlestown	85,091	98,886	183,977	270,402
Coventry	364,531	332,829	697,360	1,115,984
Cranston	858,263	1,317,327	2,175,590	7,911,275
Cumberland	344,739	346,547	691,286	1,145,709
East Greenwich	140,191	389,810	530,001	767,303
East Providence	527,156	710,794	1,237,950	2,984,190
Exeter	65,451	63,081	128,532	239,730
Foster	46,276	17,846	64,122	166,571
Glocester	107,709	57,561	165,270	326,625
Hopkinton	84,842	39,880	124,722	217,617
Jamestown	60,871	65,241	126,112	238,495
Johnston	305,274	406,973	712,247	1,196,128
Lincoln	226,267	585,041	811,308	1,221,195
Little Compton	38,902	27,577	66,479	118,271
Middletown	187,679	566,748	754,427	966,608
Narragansett	177,144	473,967	651,111	861,294
Newport	286,651	1,552,832	1,839,483	2,907,114
New Shoreham	10,935	208,610	219,545	588,976
North Kingstown	285,038	430,806	715,844	1,189,811
North Providence	350,921	365,348	716,269	2,194,965
North Smithfield	114,963	155,376	270,339	501,129
Pawtucket	789,933	640,642	1,430,575	4,393,192
Portsmouth	185,676	159,493	345,169	550,925
Providence	1,879,801	3,912,275	5,792,076	32,988,271
Richmond	78,194	101,458	179,652	259,500
Scituate	111,780	55,036	166,816	382,398
Smithfield	223,182	480,155	703,337	1,664,555
South Kingstown	302,307	516,680	818,987	1,316,919
Tiverton	165,223	146,356	311,579	509,387
Warren	122,997	205,217	328,214	461,205
Warwick	929,063	2,199,207	3,128,270	5,812,423
Westerly	248,658	589,951	838,609	1,202,759
West Greenwich	55,056	79,531	134,587	353,821
West Warwick	320,280	330,731	651,011	2,088,822
Woonsocket	467,996	491,063	959,059	2,471,454
<b>Subtotal</b>	<b>\$11,350,385</b>	<b>\$18,808,231</b>	<b>\$30,158,616</b>	<b>\$85,821,894</b>
Statewide Reference Library Resource Grant (Providence)				1,012,378
Library Construction Reimbursement				2,492,974
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
<b>Total</b>				<b>\$89,327,246</b>

## Fiscal Year 2011 Revised State Aid to Cities and Towns

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	Municipal Accountability Stability Transparency Fund	FY 2011 Total Appropriated State Aid
Barrington	48,984	-	323,140	245,208	-	617,332
Bristol	580,241	-	106,449	117,205	-	803,895
Burrillville	66,573	708,688	124,196	214,709	-	1,114,166
Central Falls	19,158	363,633	76,712	84,330	-	543,833
Charlestown	-	-	46,444	41,218	-	87,662
Coventry	-	-	198,364	225,597	-	423,961
Cranston	4,239,850	-	564,855	951,625	-	5,756,330
Cumberland	109	-	242,455	216,513	-	459,077
East Greenwich	7,599	-	107,800	123,478	-	238,877
East Providence	91,188	1,114,116	454,240	445,084	-	2,104,628
Exeter	-	-	32,685	82,437	-	115,122
Foster	417	-	34,283	69,333	-	104,033
Glocester	-	-	68,546	94,919	-	163,465
Hopkinton	-	-	28,903	65,621	-	94,524
Jamestown	-	-	76,368	36,685	-	113,053
Johnston	-	-	96,481	382,377	-	478,858
Lincoln	-	-	179,391	236,662	-	416,053
Little Compton	-	-	26,702	23,548	-	50,250
Middletown	-	-	123,398	89,262	-	212,660
Narragansett	-	-	113,169	95,791	-	208,960
Newport	833,229	-	94,072	138,612	-	1,065,913
New Shoreham	-	-	364,548	8,132	-	372,680
North Kingstown	5,803	-	246,625	228,200	-	480,628
North Providence	456,364	510,516	154,613	350,127	-	1,471,619
North Smithfield	-	-	61,584	173,847	-	235,431
Pawtucket	377,406	2,321,986	398,156	664,782	-	3,762,330
Portsmouth	-	-	99,917	109,483	-	209,400
Providence	19,097,871	7,954,890	1,201,916	1,617,922	-	29,872,599
Richmond	-	-	21,874	60,200	-	82,074
Scituate	-	-	92,532	127,207	-	219,739
Smithfield	429,064	-	254,642	281,936	-	965,642
South Kingstown	124,230	-	205,999	172,163	-	502,392
Tiverton	-	-	90,485	108,700	-	199,185
Warren	-	-	51,162	82,773	-	133,935
Warwick	957,595	-	657,409	1,156,532	-	2,771,536
Westerly	110,040	-	24,649	230,697	-	365,386
West Greenwich	-	-	171,415	49,532	-	220,947
West Warwick	-	1,394,669	287,122	223,933	-	1,905,724
Woonsocket	134,688	1,208,188	195,110	373,623	-	1,911,609
<b>Subtotal</b>	<b>\$27,580,409</b>	<b>\$15,576,687</b>	<b>\$7,698,411</b>	<b>\$10,000,000</b>	<b>-</b>	<b>\$60,855,507</b>
Statewide Reference Library Resource Grant (Providence)				1,012,378		1,012,378
Library Construction Reimbursement				2,492,974		2,492,974
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-		-
<b>Total</b>	<b>\$27,580,409</b>	<b>\$15,576,687</b>	<b>\$11,203,763</b>	<b>\$13,505,352</b>	<b>-</b>	<b>\$64,360,859</b>

## Fiscal Year 2011 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2010 Total Shared Taxes State Aid	FY 2011 Total Shared & Appropriated Aid
Barrington	182,103	135,553	317,656	934,988
Bristol	243,277	303,168	546,445	1,350,339
Burrillville	171,027	172,887	343,914	1,458,080
Central Falls	204,938	88,173	293,111	836,944
Charlestown	85,091	97,087	182,178	269,840
Coventry	364,531	333,263	697,794	1,121,755
Cranston	858,263	1,321,908	2,180,171	7,936,501
Cumberland	344,739	350,310	695,049	1,154,126
East Greenwich	140,191	428,133	568,324	807,201
East Providence	527,156	729,001	1,256,157	3,360,785
Exeter	65,451	71,128	136,579	251,701
Foster	46,276	17,376	63,652	167,685
Glocester	107,709	54,010	161,719	325,184
Hopkinton	84,842	43,754	128,596	223,120
Jamestown	60,871	80,395	141,266	254,319
Johnston	305,274	429,028	734,302	1,213,159
Lincoln	226,267	609,715	835,982	1,252,035
Little Compton	38,902	39,576	78,478	128,728
Middletown	187,679	561,285	748,964	961,623
Narragansett	177,144	460,758	637,902	846,862
Newport	286,651	1,526,834	1,813,485	2,879,398
New Shoreham	10,935	225,939	236,874	609,554
North Kingstown	285,038	419,321	704,359	1,184,987
North Providence	350,921	344,523	695,444	2,167,063
North Smithfield	114,963	160,440	275,403	510,835
Pawtucket	789,933	633,198	1,423,131	5,185,460
Portsmouth	185,676	166,628	352,304	561,704
Providence	1,879,801	3,961,890	5,841,691	35,714,290
Richmond	78,194	102,635	180,829	262,904
Scituate	111,780	52,460	164,240	383,979
Smithfield	223,182	513,546	736,728	1,702,371
South Kingstown	302,307	536,657	838,964	1,341,356
Tiverton	165,223	151,924	317,147	516,332
Warren	122,997	202,368	325,365	459,300
Warwick	929,063	2,151,135	3,080,198	5,851,733
Westerly	248,658	593,704	842,362	1,207,748
West Greenwich	55,056	95,914	150,970	371,917
West Warwick	320,280	322,737	643,017	2,548,741
Woonsocket	467,996	494,686	962,682	2,874,291
<b>Subtotal</b>	<b>\$11,350,385</b>	<b>\$18,983,046</b>	<b>\$30,333,431</b>	<b>\$91,188,938</b>
Statewide Reference Library Resource Grant (Providence)				1,012,378
Library Construction Reimbursement				2,492,974
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
<b>Total</b>				<b>\$94,694,290</b>

## Changes in Formula Aid - FY 2011 Revised vs. FY 2011 Enacted

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement	Municipal Accountability Stability Transparency Fund	Total Appropriated Difference
Barrington	-	-	2,443	3,156	-	5,599
Bristol	-	-	805	1,510	-	2,315
Burrillville	-	221,068	939	5,045	-	227,052
Central Falls	-	96,061	580	(551)	-	96,089
Charlestown	-	-	351	886	-	1,237
Coventry	-	-	1,500	3,837	-	5,337
Cranston	-	-	4,270	16,375	-	20,645
Cumberland	-	-	1,833	2,822	-	4,655
East Greenwich	-	-	815	761	-	1,576
East Providence	-	356,511	3,434	(1,556)	-	358,388
Exeter	-	-	247	3,677	-	3,924
Foster	-	-	260	1,324	-	1,584
Glocester	-	-	518	1,592	-	2,110
Hopkinton	-	-	218	1,410	-	1,628
Jamestown	-	-	577	93	-	670
Johnston	-	-	729	(5,752)	-	(5,023)
Lincoln	-	-	1,356	4,810	-	6,166
Little Compton	-	-	202	(1,744)	-	(1,542)
Middletown	-	-	933	(454)	-	479
Narragansett	-	-	856	(2,079)	-	(1,223)
Newport	-	-	711	(2,429)	-	(1,718)
New Shoreham	-	-	2,756	493	-	3,249
North Kingstown	-	-	1,865	4,797	-	6,662
North Providence	-	-	1,169	(8,246)	-	(7,077)
North Smithfield	-	-	465	4,176	-	4,641
Pawtucket	-	804,431	3,010	(7,729)	-	799,712
Portsmouth	-	-	755	2,889	-	3,644
Providence	-	2,843,627	(49,116)	(118,107)	-	2,676,404
Richmond	-	-	165	2,061	-	2,226
Scituate	-	-	699	3,458	-	4,157
Smithfield	-	-	1,926	2,499	-	4,425
South Kingstown	-	-	1,557	2,903	-	4,460
Tiverton	-	-	684	694	-	1,378
Warren	-	-	387	557	-	944
Warwick	-	-	4,971	82,412	-	87,383
Westerly	-	-	186	1,050	-	1,236
West Greenwich	-	-	1,297	416	-	1,713
West Warwick	-	468,985	2,172	(3,243)	-	467,913
Woonsocket	-	401,547	1,475	(3,808)	-	399,214
<b>Subtotal</b>	-	<b>\$5,192,229</b>	-	-	-	<b>\$5,192,229</b>
Statewide Reference Library Resource Grant (Providence)				-		-
Library Construction Reimbursement				(105,059)		(105,059)
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-		-
<b>Total</b>	-	<b>\$5,192,229</b>	-	<b>(105,059)</b>		<b>\$5,087,170</b>



## Changes in Pass Through and All Aid - FY 2011 Rev vs. FY 2011 Enacted

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	Total Pass Through State Aid Difference	Total All State Aid Difference
Barrington	-	16,374	16,374	21,973
Bristol	-	(15,380)	(15,380)	(13,065)
Burrillville	-	5,921	5,921	232,973
Central Falls	-	5,510	5,510	101,600
Charlestown	-	(1,799)	(1,799)	(561)
Coventry	-	434	434	5,771
Cranston	-	4,581	4,581	25,225
Cumberland	-	3,762	3,762	8,417
East Greenwich	-	38,323	38,323	39,899
East Providence	-	18,207	18,207	376,596
Exeter	-	8,046	8,046	11,971
Foster	-	(470)	(470)	1,114
Glocester	-	(3,551)	(3,551)	(1,442)
Hopkinton	-	3,875	3,875	5,503
Jamestown	-	15,154	15,154	15,824
Johnston	-	22,054	22,054	17,031
Lincoln	-	24,675	24,675	30,840
Little Compton	-	11,999	11,999	10,457
Middletown	-	(5,464)	(5,464)	(4,985)
Narragansett	-	(13,210)	(13,210)	(14,433)
Newport	-	(25,998)	(25,998)	(27,716)
New Shoreham	-	17,329	17,329	20,578
North Kingstown	-	(11,486)	(11,486)	(4,824)
North Providence	-	(20,826)	(20,826)	(27,902)
North Smithfield	-	5,064	5,064	9,706
Pawtucket	-	(7,444)	(7,444)	792,268
Portsmouth	-	7,135	7,135	10,779
Providence	-	49,615	49,615	2,726,019
Richmond	-	1,177	1,177	3,404
Scituate	-	(2,576)	(2,576)	1,581
Smithfield	-	33,391	33,391	37,816
South Kingstown	-	19,977	19,977	24,437
Tiverton	-	5,568	5,568	6,946
Warren	-	(2,848)	(2,848)	(1,905)
Warwick	-	(48,072)	(48,072)	39,310
Westerly	-	3,753	3,753	4,989
West Greenwich	-	16,383	16,383	18,096
West Warwick	-	(7,994)	(7,994)	459,920
Woonsocket	-	3,624	3,624	402,838
<b>Subtotal</b>	-	<b>\$174,815</b>	<b>\$174,815</b>	<b>\$5,367,044</b>
Statewide Reference Library Resource Grant (Providence)				-
Library Construction Reimbursement				(105,059)
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
<b>Total</b>		<b>\$174,815</b>	<b>\$174,815</b>	<b>\$5,261,985</b>

## Fiscal Year 2012 State Aid to Cities and Towns

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	Municipal Accountability Stability Transparency Fund	FY 2012 Total Appropriated State Aid
Barrington	45,230		323,140	245,208	72,326	685,904
Bristol	596,405		106,449	117,205	294,761	1,114,819
Burrillville	96,105	243,867	124,196	214,709	209,443	888,320
Central Falls	19,923	293,509	76,712	84,330	502,285	976,759
Charlestown	-		46,444	41,218	121,199	208,861
Coventry	-		198,364	225,597	301,545	725,506
Cranston	4,007,827		564,855	951,625	1,613,317	7,137,624
Cumberland	103		242,455	216,513	463,656	922,728
East Greenwich	7,274		107,800	123,478	52,546	291,098
East Providence	127,899	757,468	454,240	445,084	796,907	2,581,599
Exeter	-		32,685	82,437	26,908	142,031
Foster	396		34,283	69,333	92,221	196,233
Glocester	-		68,546	94,919	168,633	332,098
Hopkinton	-		28,903	65,621	67,131	161,655
Jamestown	-		76,368	36,685	43,570	156,622
Johnston	-		96,481	382,377	759,095	1,237,953
Lincoln	-		179,391	236,662	285,094	701,147
Little Compton	-		26,702	23,548	31,451	81,701
Middletown	-		123,398	89,262	291,055	503,714
Narragansett	-		113,169	95,791	262,187	471,147
Newport	777,862		94,072	138,612	548,824	1,559,370
New Shoreham	-		364,548	8,132	27,192	399,872
North Kingstown	1,652		246,625	228,200	264,514	740,991
North Providence	421,392	624,043	154,613	350,127	712,975	2,263,149
North Smithfield	-		61,584	173,847	195,042	430,473
Pawtucket	362,900	1,534,272	398,156	664,782	1,624,044	4,584,154
Portsmouth	-		99,917	109,483	194,571	403,971
Providence	19,267,541	5,143,906	1,201,916	1,617,922	4,607,238	31,838,524
Richmond	-		21,874	60,200	44,080	126,154
Scituate	-		92,532	127,207	134,538	354,277
Smithfield	444,580		254,642	281,936	554,964	1,536,122
South Kingstown	133,925		205,999	172,163	301,889	813,976
Tiverton	-		90,485	108,700	192,059	391,244
Warren	-		51,162	82,773	149,238	283,172
Warwick	1,034,893		657,409	1,156,532	1,448,195	4,297,028
Westerly	107,319		24,649	230,697	225,182	587,847
West Greenwich	-		171,415	49,532	66,361	287,309
West Warwick	-	900,192	287,122	223,933	436,976	1,848,223
Woonsocket	127,183	887,201	195,110	373,623	1,147,020	2,730,136
<b>Subtotal</b>	<b>\$27,580,409</b>	<b>\$10,384,458</b>	<b>\$7,698,411</b>	<b>\$10,000,000</b>	<b>\$19,330,231</b>	<b>\$74,993,509</b>
Statewide Reference Library Resource Grant (Providence)				1,012,378		1,012,378
Library Construction Reimbursement				2,821,772		2,821,772
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-		-
<b>Total</b>	<b>\$27,580,409</b>	<b>\$10,384,458</b>	<b>\$11,532,561</b>	<b>\$13,834,150</b>	<b>\$19,330,231</b>	<b>\$78,827,659</b>

## Fiscal Year 2012 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2011 Total Shared Taxes State Aid	FY 2012 Total Shared & Appropriated Aid
Barrington	182,103	138,032	320,135	1,006,039
Bristol	243,277	308,712	551,989	1,666,809
Burrillville	171,027	176,049	347,076	1,235,396
Central Falls	204,938	89,786	294,724	1,271,483
Charlestown	85,091	98,863	183,954	392,815
Coventry	364,531	339,358	703,889	1,429,395
Cranston	858,263	1,346,084	2,204,347	9,341,971
Cumberland	344,739	356,716	701,455	1,624,183
East Greenwich	140,191	435,964	576,155	867,252
East Providence	527,156	742,334	1,269,490	3,851,089
Exeter	65,451	72,429	137,880	279,910
Foster	46,276	17,694	63,970	260,202
Glocester	107,709	54,998	162,707	494,805
Hopkinton	84,842	44,555	129,397	291,051
Jamestown	60,871	81,866	142,737	299,359
Johnston	305,274	436,874	742,148	1,980,101
Lincoln	226,267	620,867	847,134	1,548,281
Little Compton	38,902	40,300	79,202	160,903
Middletown	187,679	571,550	759,229	1,262,943
Narragansett	177,144	469,185	646,329	1,117,475
Newport	286,651	1,554,758	1,841,409	3,400,779
New Shoreham	10,935	230,071	241,006	640,878
North Kingstown	285,038	426,990	712,028	1,453,019
North Providence	350,921	350,824	701,745	2,964,893
North Smithfield	114,963	163,375	278,338	708,811
Pawtucket	789,933	644,778	1,434,711	6,018,865
Portsmouth	185,676	169,675	355,351	759,322
Providence	1,879,801	4,034,350	5,914,151	37,752,675
Richmond	78,194	104,512	182,706	308,861
Scituate	111,780	53,419	165,199	519,476
Smithfield	223,182	522,939	746,121	2,282,243
South Kingstown	302,307	546,472	848,779	1,662,755
Tiverton	165,223	154,703	319,926	711,170
Warren	122,997	206,069	329,066	612,239
Warwick	929,063	2,190,477	3,119,540	7,416,569
Westerly	248,658	604,562	853,220	1,441,067
West Greenwich	55,056	97,668	152,724	440,033
West Warwick	320,280	328,640	648,920	2,497,143
Woonsocket	467,996	503,734	971,730	3,701,866
<b>Subtotal</b>	<b>\$11,350,385</b>	<b>\$19,330,231</b>	<b>\$30,680,616</b>	<b>\$105,674,125</b>
Statewide Reference Library Resource Grant (Providence)				1,012,378
Library Construction Reimbursement				2,821,772
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
<b>Total</b>				<b>\$109,508,275</b>

## Changes in Formula Aid - FY 2012 vs. FY 2011 Revised

City or Town	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	Municipal Accountability Stability Transparency Fund	Total Appropriated Difference
Barrington	(3,754)	-	-	-	72,326	68,572
Bristol	16,164	-	-	-	294,761	310,925
Burrillville	29,532	(464,821)	-	-	209,443	(225,846)
Central Falls	765	(70,125)	-	-	502,285	432,926
Charlestown	-	-	-	-	121,199	121,199
Coventry	-	-	-	-	301,545	301,545
Cranston	(232,023)	-	-	-	1,613,317	1,381,294
Cumberland	(6)	-	-	-	463,656	463,650
East Greenwich	(325)	-	-	-	52,546	52,221
East Providence	36,711	(356,647)	-	-	796,907	476,971
Exeter	-	-	-	-	26,908	26,908
Foster	(21)	-	-	-	92,221	92,200
Glocester	-	-	-	-	168,633	168,633
Hopkinton	-	-	-	-	67,131	67,131
Jamestown	-	-	-	-	43,570	43,570
Johnston	-	-	-	-	759,095	759,095
Lincoln	-	-	-	-	285,094	285,094
Little Compton	-	-	-	-	31,451	31,451
Middletown	-	-	-	-	291,055	291,055
Narragansett	-	-	-	-	262,187	262,187
Newport	(55,367)	-	-	-	548,824	493,457
New Shoreham	-	-	-	-	27,192	27,192
North Kingstown	(4,151)	-	-	-	264,514	260,363
North Providence	(34,972)	113,527	-	-	712,975	791,529
North Smithfield	-	-	-	-	195,042	195,042
Pawtucket	(14,506)	(787,714)	-	-	1,624,044	821,824
Portsmouth	-	-	-	-	194,571	194,571
Providence	169,670	(2,810,984)	-	-	4,607,238	1,965,925
Richmond	-	-	-	-	44,080	44,080
Scituate	-	-	-	-	134,538	134,538
Smithfield	15,516	-	-	-	554,964	570,480
South Kingstown	9,695	-	-	-	301,889	311,584
Tiverton	-	-	-	-	192,059	192,059
Warren	-	-	-	-	149,238	149,238
Warwick	77,298	-	-	-	1,448,195	1,525,493
Westerly	(2,721)	-	-	-	225,182	222,461
West Greenwich	-	-	-	-	66,361	66,361
West Warwick	-	(494,477)	-	-	436,976	(57,501)
Woonsocket	(7,505)	(320,987)	-	-	1,147,020	818,527
<b>Subtotal</b>	<b>-</b>	<b>(\$5,192,229)</b>	<b>-</b>	<b>-</b>	<b>\$19,330,231</b>	<b>\$14,138,002</b>
Statewide Reference Library Resource Grant (Providence)				-		-
Library Construction Reimbursement				328,798		328,798
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-		-
<b>Total</b>	<b>-</b>	<b>(\$5,192,229)</b>	<b>\$328,798</b>	<b>-</b>	<b>\$19,330,231</b>	<b>\$14,466,800</b>

## Changes in Pass Through and All Aid - FY 2012 vs. FY 2011 Revised

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	Total Pass Through State Aid Difference	Total All State Aid Difference
Barrington	-	2,479	2,479	71,051
Bristol	-	5,545	5,545	316,469
Burrillville	-	3,162	3,162	(222,684)
Central Falls	-	1,613	1,613	434,538
Charlestown	-	1,776	1,776	122,975
Coventry	-	6,095	6,095	307,641
Cranston	-	24,177	24,177	1,405,470
Cumberland	-	6,407	6,407	470,057
East Greenwich	-	7,830	7,830	60,051
East Providence	-	13,333	13,333	490,304
Exeter	-	1,301	1,301	28,209
Foster	-	318	318	92,517
Glocester	-	988	988	169,621
Hopkinton	-	800	800	67,931
Jamestown	-	1,470	1,470	45,040
Johnston	-	7,847	7,847	766,942
Lincoln	-	11,151	11,151	296,245
Little Compton	-	724	724	32,175
Middletown	-	10,265	10,265	301,320
Narragansett	-	8,427	8,427	270,614
Newport	-	27,925	27,925	521,381
New Shoreham	-	4,132	4,132	31,324
North Kingstown	-	7,669	7,669	268,032
North Providence	-	6,301	6,301	797,830
North Smithfield	-	2,934	2,934	197,976
Pawtucket	-	11,581	11,581	833,405
Portsmouth	-	3,047	3,047	197,619
Providence	-	72,460	72,460	2,038,385
Richmond	-	1,877	1,877	45,957
Scituate	-	959	959	135,497
Smithfield	-	9,392	9,392	579,872
South Kingstown	-	9,815	9,815	321,400
Tiverton	-	2,779	2,779	194,838
Warren	-	3,701	3,701	152,939
Warwick	-	39,343	39,343	1,564,835
Westerly	-	10,858	10,858	233,319
West Greenwich	-	1,754	1,754	68,116
West Warwick	-	5,903	5,903	(51,599)
Woonsocket	-	9,047	9,047	827,575
<b>Subtotal</b>	-	<b>\$347,185</b>	<b>\$347,185</b>	<b>\$14,485,187</b>
Statewide Reference Library Resource Grant (Providence)				-
Library Construction Reimbursement				328,798
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
<b>Total</b>		<b>\$347,185</b>	<b>\$347,185</b>	<b>\$14,813,985</b>

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## Education Aid to Local Governments

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Education Aid to Local Governments totals \$849.2 million in FY 2012, a \$21.0 million increase in total state funding relative to the FY 2011 revised budget, a 2.5 percent increase. Total Education Aid is defined to include local public schools, the School for the Deaf, Davies Career and Technical School, the Charter Schools, the Central Falls School District and the Metropolitan Career and Technical School, as well as support for the state share of teacher retirement and school Housing Aid.

Fiscal year 2012 represents year one of the new education aid funding formula. Beginning July 1, 2011, education aid to all districts, charter schools and state schools (Davies and the Met) will be formula based. The School for the Deaf is a special education program and the funding method will not change since it already has a state, federal and local share. Based on the principle that the money follows the student, the formula was developed with the following guiding principles: (1) Build a strong foundation for all children; (2) improve equity among districts and schools; (3) be transparent; and (4) be financially responsible.

The funding formula has been designed to distribute aid based on three key components:

- Core Instructional Amount – (\$8,333 per student in FY 2012) Derived from a regional average of the National Center for Education Statistics (NCES) expenditure data, the core instructional amount is based on cost studies from states that have been deemed by education researchers to be best practice financial models or states. In order to be informed, objective, and geographically sensitive, the formula uses a New England average cost to provide a balanced perspective on what Rhode Island should be spending to provide a high quality of education. The core instruction amount accounts for costs that have the greatest impact on a child's ability to learn, including instruction, instructional support, and leadership costs.
- Student Success Factor – (\$3,333 per student in FY 2012) Provides additional funding to support student needs beyond the core services listed above with the ultimate goal of closing student achievement gaps. Within the formula, each student is entitled to a 40% student success factor which is applied to the core instructional amount. This factor is also applied to PK-12 students who are eligible for free and reduced price lunch.
- State Share Ratio – After the core components have been determined, a state share ratio formula is applied to address two key questions: How to account for differences in the revenue-generation capacity of communities and how to allocate funding to communities based on the supports that students need. The state share ratio is dependent on district property values weighted for median family income and students eligible for free and reduced price lunch.

Data plays a crucial role in how education aid is distributed to districts, charter schools, Davies, and the Met. The funding formula will incorporate annual student data updates as well as the most recently assessed community property values and median family income data in order to ensure a solid methodology towards aid distribution. The formula establishes a platform for creating horizontal equity. It attempts to get a like amount of funding to children who have similar characteristics regardless of where they sit, which in turn gradually rebalances education funding to provide all districts a common level of purchasing power.

In addition, specific categorical funds for certain high-cost items were established outside the formula distribution: High cost special education, career and technical education, an early childhood Pre-K program, transportation for non-public and regional districts, as well as regional district bonuses. A Central Falls Stabilization Fund has also been created to assure that appropriate funding is available to support the district, due to concerns regarding local capacity.

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## **Education Aid to Local Governments**

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According to law, state stabilization funds are only paid upon transfer of a matching amount to the school district by the city. If Central Falls is unable to pay its share, the State will not provide funding under the stabilization fund.

Lastly, funding allocations will be phased in over ten years based on a transition model where in overfunded districts shall have a level decrease period, while underfunded districts shall have a level increase over a seven year period.

The following sections summarize changes to the various aid categories that comprise total FY 2012 Education Aid.

### **Distributed Aid**

Distributed aid in FY 2012 will now reflect aid to both districts and charter schools as a result of the education aid funding formula. Please note that in prior years, charter schools have been displayed within the non-distributed aid category of the tables. Distributed education aid to districts increases by \$1.1 million while charter school aid increases by \$3.4 million in general revenue, relative to revised levels.

It is worthy to note that Rhode Island has been awarded \$32.9 million through a federal Education Jobs Fund Bill. The new education aid formula dictates the exact amount of funding each local education agency (LEA) shall receive per year. In order for each LEA to receive its full level of funding, the Education Jobs Fund will be used to offset any general revenue reductions that occur in FY 2012. Unlike the previous guidelines for State Fiscal Stabilization funding, where the State could dictate when LEAs drew down federal money, terms for this federal fund allow districts to withdraw funds at anytime up and until September 30, 2012. Therefore, \$27.0 million has been reflected in the FY 2011 Budget while the FY 2012 Budget reflects \$5.9 million.

### **State Schools**

The State Schools- Davies Career and Technical School, Metropolitan Career and Technical School, and the Rhode Island School for the Deaf are collectively financed at \$30.8 million in general revenue, which constitutes a \$2.0 million decrease from the revised FY 2011 Budget. The State Schools have also been affected through a reduction in general revenue aid. However, as described above, some reductions in aid will be offset by the Education Jobs Fund. Davies will receive \$673,955, the Met will receive \$593,721, and the School for the Deaf will receive \$283,888 in additional federal funding. Please note that Davies and the Met will also fall under year one of the funding formula.

### **Non-Distributed Aid**

In FY 2012, charter school aid, as described above, will now be reflected in the distributed aid category of the education aid tables. Also new as a result of the education aid funding formula are "categorical funds". In FY 2012, \$1.1 million is allocated for transportation costs, \$700,000 for a Pre-K early childhood demonstration program, and a regionalization bonus of \$851,899. Funding will be delayed in FY 2012 for all additional categorical funds created in the education formula legislation, including a high cost special education fund, a career and technical education fund and a Central Falls stabilization fund.

General revenue funding for the Telecommunications Access fund is eliminated in FY 2012, to be replaced with a broadening of the fee charged only off land-lines to include wireless phones as well. Also eliminated in FY 2012 is the Textbook Expansion fund, used to finance the acquisition of textbooks for non-public school students.

## Education Aid to Local Governments

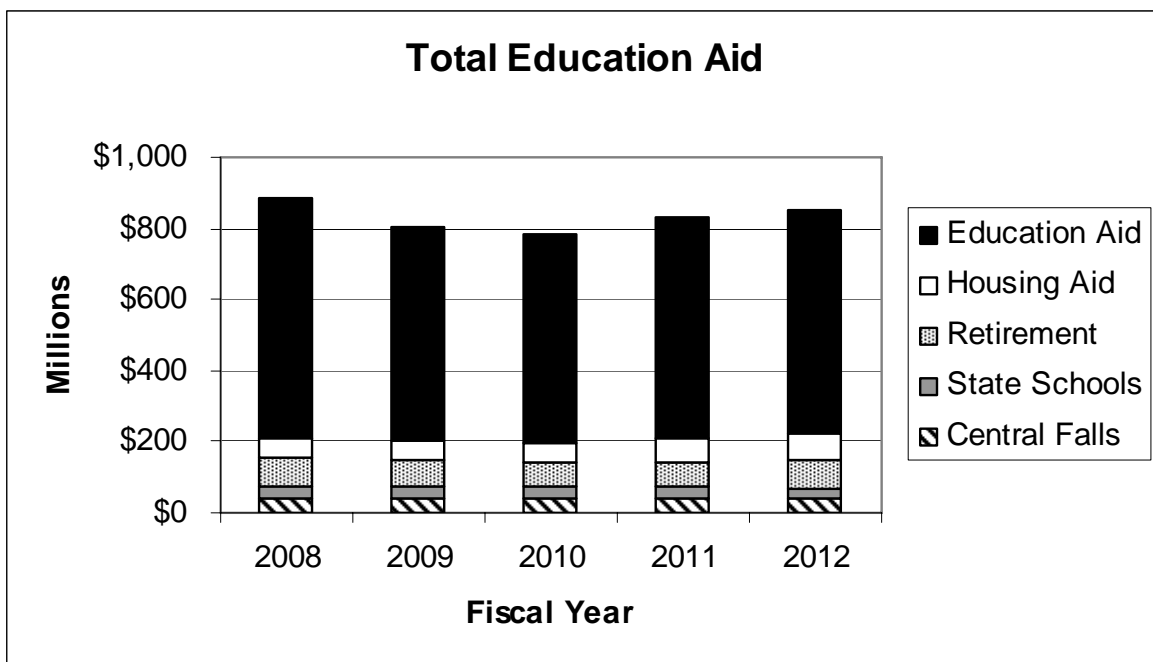
### Other Aid

The FY 2012 Budget also includes increases in other aid of \$17.5 million; a \$4.5 million increase for school housing aid reimbursements and a \$13.0 million increase in state contributions for teachers' retirement.

### **FY 2012 Education Aid Increases (Decreases) From the Revised FY 2011 Budget**

- Standard Aid: \$4,669,993
- Group Home Funding: (\$285,000)
- Central Falls School District: (\$3,289,352)
- Textbook Expansion: (\$241,490)
- Charter School Aid: \$3,359,278
- Progressive Support and Intervention: (\$24)
- Professional Development: (\$248,750)
- Telecommunications Access (348,250)
- Transportation Categorical: \$1,087,840
- Regionalization Bonus: \$851,899
- Metropolitan Career and Technical School: (\$1,044,041)
- School for the Deaf: \$42,822
- Davies Career and Technical School: (\$1,008,703)
- Teachers' Retirement: \$13,017,777
- School Housing Aid: \$4,484,171

The following graph displays total school aid from FY 2008 to FY 2012. The "Education Aid" component is comprised of all aid categories under the standard Education Aid program. This includes, but is not limited to, General Aid, Targeted Aid, Charter School Aid, Progressive Support and Intervention, and the Student Investment Initiatives. Other components of total aid include: State Contributions for Teachers' Retirement; School Housing Aid; Central Falls School District; and State Schools (Davies, Deaf, and the Metropolitan School).





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## Education Aid to Local Governments

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### **Housing Aid**

The School Housing Aid Program reimburses a community for eligible construction expenditures beginning in the fiscal year after the project is completed. The reimbursement rate is based on the cost of the project over the life of the bonds issued for the project. The Commissioner and the Board of Regents review each local community's request for reimbursement through the program. Pursuant to recent legislation, the Department of Education and the Board of Regents promulgated new regulations governing both this process as well as the oversight of all projects eligible for School Housing Aid. In support of this initiative, the Governor recommended and the General Assembly approved the addition of three FTE to the Department of Elementary and Secondary Education in FY 2008.

It should be noted that although the reimbursement reference for completed projects is one year, there is a two-year reference for formula factors. For example, FY 2010 allocations were based on 2008 wealth and enrollment levels. The housing aid share ratio calculation is based on a district's income adjusted per-pupil property wealth compared to aggregate state per-pupil property wealth. The average state housing aid share ratio is thirty-eight percent, adjusted to ensure a minimum of thirty percent in each community. Beginning FY 2012, the minimum reimbursement to each community shall increase by five percent each year until a forty percent minimum share ratio has been achieved. A four percent bonus is awarded when a minimum of seventy-five percent of a project's cost is for energy conservation, asbestos removal, or handicapped access. Regional districts receive a two percent bonus for each regionalized grade for new construction projects, and an additional four percent bonus for renovation projects.

Reimbursement is based on total expended project cost, plus related bond interest cost, not on the amount of the original bond issuance. For example, if a community issued \$6.5 million for ten years for a capital improvement to a school, but only spent \$6.0 million, the department would reimburse the community for the State's appropriate share of the \$6.0 million spent on the completed project, plus the bond interest payments over a ten year period. This statute was amended to include the use of lease revenue bonds, financial leases, capital reserve funding, and similar financial instruments to finance school construction. Communities used this amendment to receive project reimbursements commencing in FY 1999. The FY 2004 Appropriation Act amended the housing aid statute to require that all future school construction debt be issued through the Rhode Island Health and Education Building Corporation.

The revised FY 2011 and FY 2012 Budget propose general revenue expenditures of \$68.0 million and \$72.5 million, respectively, for the School Housing Aid program.

### **Teachers' Retirement**

RIGL 16-16-22 requires the State to make contributions to the teacher retirement system in Rhode Island. The State shall contribute a percentage of the employer's share, with the school districts contributing the balance. The State's share has varied over the years based upon the total actuarially determined rate of payroll, but since FY 1993 it has been fixed at 40 percent, with the municipalities contributing 60 percent. Each district receives the same percentage, regardless of district wealth.

The State deferred the State's contributions to Teachers' Retirement in both FY 1991 and FY 1992. Most municipalities also deferred the local portion of teacher retirement contributions for this period. The state deferrals, valued at \$22.4 million in FY 1991 and \$22.2 million in FY 1992, will be

## **Education Aid to Local Governments**

financed over twenty years, as will the local deferral. The annual calculation applied to the state contribution to Teachers' Retirement includes an adjustment to accommodate the deferral liability.

Furthermore, the municipalities of Burrillville, East Greenwich, Little Compton, New Shoreham and North Smithfield did not participate in the 1990 early retirement window for teachers and therefore contribute a slightly smaller percentage of teachers' salaries.

### **Contribution Rates for Teachers' Retirement Fund**

<b>Actuarial Contribution Rate of Payroll</b>		<b>Employer Share</b>			<b>Teacher Share</b>
		<b>Local (60%)*</b>	<b>State (40%)*</b>	<b>Sub Total</b>	
1999	21.02%	6.62%	4.90%	11.52%	9.5%
2000	24.14%	8.43%	6.21%	14.64%	9.5%
2001	21.51%	6.86%	5.15%	12.01%	9.5%
2002	19.45%	5.73%	4.22%	9.95%	9.5%
2003	21.47%	6.93%	5.04%	11.97%	9.5%
2004	23.22%	7.99%	5.73%	13.72%	9.5%
2005	24.34%	8.72%	6.12%	14.84%	9.5%
2006	25.97%	9.72%	6.75%	16.47%	9.5%
2007	29.14%	11.62%	8.02%	19.64%	9.5%
2008	31.51%	13.04%	8.97%	22.01%	9.5%
2009	29.57%	11.89%	8.18%	20.07%	9.5%
2010	29.57%	11.89%	8.18%	20.07%	9.5%
2011	18.75%	11.25%	7.76%	19.01%	9.5%
2012	22.06%	13.23%	9.09%	22.32%	9.5%

\* Adjusted for deferral liability

The following table displays the state contributions to Teacher Retirement since FY 1999. The State Retirement Board uses the districts' retirement contribution data to calculate the state obligation each month, and contributions are accrued to the appropriate fiscal period.

### **State Contributions for Teacher Retirement**

<b><u>Fiscal Year</u></b>	<b><u>State Share</u></b>
1999 Actual	\$30,202,943
2000 Actual	\$40,719,407
2001 Actual	\$35,365,234
2002 Actual	\$30,652,207
2003 Actual	\$38,242,690
2004 Actual	\$45,039,269
2005 Actual	\$48,503,125
2006 Actual	\$54,537,733
2007 Actual	\$70,286,753
2008 Actual	\$83,028,510
2009 Actual	\$73,592,722
2010 Actual	\$68,550,306
2011 Revised	\$69,653,293
2012 Recommended	\$82,671,070

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## Education Aid to Local Governments

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### **Explanation of Tables- by Category and LEA**

The following two tables display education aid first by category, and then by apportionment among the state's local and regional education agencies (LEAs). "Distributed LEA Aid" consists of the various categories of aid that are directly distributed to school districts on a regular (usually monthly) basis, such as General Aid in FY 2011 and Formula Aid in FY 2012, Targeted Aid, and funds for Student Equity. For completeness, financing of the Central Falls School District (plus an allocation for indirect Charter School Aid on the LEA table only up until FY 2011) is also displayed in this section. "State Schools" include the Metropolitan School, Davies, and School for the Deaf. "Non-Distributed Aid" includes several categories of aid that are either utilized at the departmental level or are not distributed regularly to school districts. "Other Aid" consists of allocations for School Housing Aid and state contributions to the Teachers' Retirement Fund. For the purposes of these tables, direct aid to charter schools is categorized as non-distributed, up until FY 2011. Starting FY 2012, direct aid to charter schools is categorized as distributed aid as a result of the funding formula.

## Education Aid to Local Units of Government

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommend
<b>Distributed LEA Aid</b>					
Barrington	\$2,599,526	\$1,593,304	\$1,629,678	\$1,709,541	\$2,266,054
Burrillville	13,854,743	12,585,902	12,220,612	12,723,172	12,407,129
Charlestown	2,002,838	1,719,966	1,590,767	1,658,980	1,596,913
Coventry	20,075,081	17,533,300	16,912,980	17,625,624	17,861,322
Cranston	35,580,911	30,795,673	29,622,695	30,876,770	32,419,610
Cumberland	13,257,009	11,420,258	11,066,294	11,534,855	12,139,708
East Greenwich	1,949,761	1,161,275	1,321,451	1,277,951	1,440,114
East Providence	26,888,254	24,035,893	23,047,872	23,891,690	24,348,457
Foster	1,416,463	1,255,877	1,208,609	1,259,241	1,177,072
Glocester	3,213,847	2,869,463	2,754,277	2,869,462	2,728,659
Hopkinton	6,241,352	5,508,449	5,323,835	5,547,160	5,382,901
Jamestown	531,908	346,587	356,229	373,118	351,396
Johnston	10,915,364	9,303,192	8,971,463	9,351,204	9,641,365
Lincoln	7,403,268	6,074,101	5,884,774	6,139,669	6,660,398
Little Compton	368,810	247,714	267,222	279,301	301,583
Middletown	10,497,116	9,285,331	8,937,990	9,312,401	9,004,562
Narragansett	1,897,159	1,319,879	1,314,267	1,375,277	1,417,027
Newport	11,871,080	10,591,134	10,104,222	10,528,468	10,221,998
New Shoreham	106,345	36,668	50,323	53,154	59,302
North Kingstown	11,986,005	10,248,855	9,922,498	10,344,125	10,180,587
North Providence	13,382,872	11,712,879	11,311,934	11,787,482	12,138,987
North Smithfield	4,834,237	4,104,570	4,055,880	4,226,827	4,439,251
Pawtucket	67,023,559	61,245,410	58,731,451	61,160,994	61,697,918
Portsmouth	6,700,042	5,666,900	5,571,674	5,821,300	5,494,264
Providence	194,109,752	175,986,257	168,378,346	175,231,822	177,307,062
Richmond	6,188,615	5,466,053	5,300,144	5,522,206	5,292,766
Scituate	3,407,183	2,800,441	2,712,604	2,830,181	3,015,687
Smithfield	5,743,568	4,766,755	4,635,136	4,759,547	4,742,584
South Kingstown	10,548,698	8,857,916	8,575,177	8,942,714	8,391,093
Tiverton	5,932,058	5,083,992	4,923,363	5,132,318	5,102,018
Warwick	37,626,000	32,427,446	31,261,607	32,587,668	32,387,157
Westerly	6,843,077	5,468,551	5,319,551	5,552,661	5,709,515
West Warwick	20,440,547	18,356,525	17,635,159	18,369,914	18,295,084
Woonsocket	47,661,613	43,506,928	41,636,569	43,237,525	43,265,715
Bristol/Warren	20,498,190	18,438,436	17,675,687	18,411,506	17,219,632
Exeter/W Greenwich	7,661,019	6,609,853	6,378,147	6,527,123	6,207,695
Chariho District	398,334	369,090	359,704	374,376	273,847
Foster/Glocester	5,729,861	5,053,390	4,878,574	5,083,179	4,925,523
Central Falls	43,494,684	40,883,924	40,233,714	41,852,580	38,484,766
LEA Subtotal	\$690,880,749	\$614,738,137	\$592,082,479	\$616,143,086	\$615,996,721

## Education Aid to Local Units of Government

	FY 2008 Actual	FY 2009 Actual	FY 2010 Actual	FY 2011 Revised	FY 2012 Recommend
<b>Distributed Charter School Aid</b>					
Beacon Charter School	\$ -	\$ -	\$ -	\$ -	\$1,646,784
Blackstone Academy	-	-	-	-	1,481,856
Compass School	-	-	-	-	580,348
Greene School	-	-	-	-	654,585
Highlander	-	-	-	-	2,587,851
International Charter School	-	-	-	-	2,752,948
Kingston Hill Academy	-	-	-	-	689,027
Learning Community	-	-	-	-	5,556,429
New England Laborers	-	-	-	-	1,645,409
Nurses Institute	-	-	-	-	1,126,220
Paul Cuffee Charter School	-	-	-	-	6,440,265
RIMA Blackstone Valley	-	-	-	-	3,849,492
Segue Institute for Learning	-	-	-	-	2,462,223
Textron	-	-	-	-	2,314,584
Times 2 Academy	-	-	-	-	7,107,590
Trinity Academy	-	-	-	-	708,398
Charter School Subtotal	\$ -	\$ -	\$ -	\$ -	\$41,604,009
<b>Non-Distributed Aid</b>					
On-Site Visits	\$396,922	\$115,611	\$125,022	\$ -	\$ -
Textbook Expansion	327,934	316,676	233,861	241,490	-
Hasbro Children's Hospital	100,000	100,000	-	-	-
School Breakfast	600,000	300,000	300,000	300,000	270,000
Early Childhood Demonstration	-	-	-	700,000	700,000
Professional Development	534,446	493,474	-	248,750	-
Charter School-Direct Aid	26,844,841	28,772,645	30,513,329	37,002,725	-
Progressive Support & Intervention	2,761,130	2,365,101	2,840,573	2,687,536	2,687,512
Telecommunications Access	277,965	-	250,000	348,250	-
Transportation Categorical	-	-	-	-	1,087,840
Regionalization Bonus	-	-	-	-	851,899
Subtotal	\$31,843,238	\$32,463,507	\$34,262,785	\$41,528,751	\$5,597,251
<b>State Schools</b>					
Metropolitan School	\$11,487,732	\$11,565,600	\$11,857,331	\$12,616,028	\$11,571,987
School for the Deaf	6,551,039	5,945,665	5,600,697	5,914,012	5,956,834
Davies School	14,243,480	14,211,423	13,366,261	14,318,832	13,310,129
Subtotal	\$32,282,251	\$31,722,688	\$30,824,289	\$32,848,872	\$30,838,950
<b>Other Aid</b>					
Teachers' Retirement	\$83,028,510	\$73,592,722	\$68,550,306	\$69,653,293	\$82,671,070
School Housing Aid	49,652,310	54,140,052	58,299,115	68,023,009	72,507,180
Subtotal	\$132,680,820	\$127,732,774	\$126,849,421	\$137,676,302	\$155,178,250
Distributed Aid Subtotal	\$690,880,749	\$614,738,137	\$592,082,479	\$616,143,086	\$657,600,730
<b>Total Aid</b>	<b>\$887,687,058</b>	<b>\$806,657,106</b>	<b>\$784,018,974</b>	<b>\$828,197,011</b>	<b>\$849,215,181</b>

## Education Aid by Category of Aid

Category of Education Aid	FY 2008 Actual	FY 2009 Actual	FY 2010 Unaudited	FY 2011 Revised	FY 2012 Recommend
<b>Distributed LEA Aid</b>					
General Aid	\$488,592,371	\$422,064,024	\$400,334,173	\$422,852,311	-
Formula Aid	-	-	-	-	610,514,964
Student Technology	3,397,692	3,341,315	3,366,803	3,397,692	-
Student Equity *	73,800,000	73,423,792	73,554,202	73,800,000	-
Early Childhood *	6,799,997	6,692,034	6,746,804	6,800,000	-
Student Language Assistance	31,715,459	31,709,540	31,703,049	31,715,459	-
Professional Development	5,825,502	-	-	-	-
Targeted Aid	20,000,000	20,000,000	20,000,000	20,000,000	-
Charter School-Indirect Aid	1,242,006	1,242,006	1,242,006	1,242,006	-
Full Day Kindergarten	4,163,000	4,117,081	3,930,903	4,163,000	-
Vocational Equity	1,512,500	1,496,808	1,498,261	1,512,500	-
Group Home Funding	10,416,000	9,846,075	9,551,025	8,886,000	8,601,000
Central Falls School District	43,416,222	40,805,462	40,155,253	41,774,118	38,484,766
Subtotal	\$690,880,749	\$614,738,137	\$592,082,479	\$616,143,086	\$657,600,730
<b>Non-Distributed Aid</b>					
On-Site Visits	\$396,922	\$115,611	\$125,022	-	-
Textbook Expansion	327,934	316,676	233,861	241,490	-
Hasbro Children's Hospital	100,000	100,000	-	-	-
School Breakfast	600,000	300,000	300,000	300,000	270,000
Early Childhood Demonstration	-	-	-	700,000	700,000
Professional Development	534,446	493,474	-	248,750	-
Charter School-Direct Aid	26,844,841	28,772,645	30,513,329	37,002,725	-
Progressive Support & Intervention	2,761,130	2,365,101	2,840,573	2,687,536	2,687,512
Telecommunications Access	277,965	-	250,000	348,250	-
Transportation Categorical	-	-	-	-	1,087,840
Regionalization Bonus	-	-	-	-	851,899
Subtotal	\$31,843,238	\$32,463,507	\$34,262,785	\$41,528,751	\$5,597,251
<b>State Schools</b>					
Metropolitan School	\$11,487,732	\$11,565,600	\$11,857,331	\$12,616,028	\$11,571,987
School for the Deaf	6,551,039	5,945,665	5,600,697	5,914,012	5,956,834
Davies School	14,243,480	14,211,423	13,366,261	14,318,832	13,310,129
Subtotal	\$32,282,251	\$31,722,688	\$30,824,289	\$32,848,872	\$30,838,950
<b>Other Aid</b>					
Teachers' Retirement	\$83,028,510	\$73,592,722	\$68,550,306	\$69,653,293	\$82,671,070
School Housing Aid	49,652,310	54,140,052	58,299,115	68,023,009	72,507,180
Subtotal	\$132,680,820	\$127,732,774	\$126,849,421	\$137,676,302	\$155,178,250
<b>Total Aid</b>	<b>\$887,687,058</b>	<b>\$806,657,106</b>	<b>\$784,018,974</b>	<b>\$828,197,011</b>	<b>\$849,215,181</b>

\* The Student Equity Fund and the Early Childhood Fund both have required set asides for Literacy of three percent.

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## **Internal Service Fund Accounts**

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Internal Service Fund accounts are established to finance and account for the operations of certain state programs that provide services to other state agencies, institutions and other governmental units on a cost reimbursement basis. The purpose of such accounts is to ensure that the activities of the respective programs are managed in a businesslike manner. They are intended to promote efficient use of services by making agencies pay the full costs associated with providing the service and allocate the costs of central administrative functions across all fund types, particularly so that federal and other non-general revenue funded programs share in the costs.

Each Internal Service Fund develops its own billing structure, which is reviewed on an annual basis prior to the start of each fiscal year. In most cases, direct charges associated with a service being provided to a user agency are billed to that agency, along with an overhead charge for the administrative costs of operating the respective fund.

On the following pages are descriptions of each of the Internal Service Fund accounts requested as part of the FY 2012 Budget, with explanations of their purpose and the rationale for utilizing this structure for funding the respective program.

### **Department of Administration**

#### **Internal Service Fund: Health Insurance Fund**

##### **Description:**

This Health Insurance Fund is used to account for the cost of health insurance coverage for employees and retirees. Historically, the state has accounted for employee health insurance costs in a series of clearing accounts related to payroll, i.e., state and employee (if any) contributions would be posted as additions to a clearing account and payments to health insurance companies would be posted as deductions. However, sometimes there wasn't a direct correlation between the "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees and determining the financial position of the state relative to provision of this benefit was time-consuming. Also, any retroactive settlements with health insurance companies required the direct allocation of the settlement back to several thousand agency budget accounts.

##### **Purpose:**

This internal service was created to account for employee and retiree health insurance transactions because the state's financial position associated with providing this employee/retiree benefit will be more readily determinable due to the sometimes indirect correlation between the amount of "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees. Also, any retroactive settlements with health insurance companies can be incorporated into a future rate charged to agency budget accounts and employees.

##### **Efficacy:**

This Internal Service Fund is used to account for the cost of health insurance coverage for employees and retirees. The current rationale for utilizing this methodology is to gain greater accounting efficiency by (1) avoiding the prior practice of allocating retroactive settlements among several thousand agency accounts and (2) being able to quickly determine the state financial position associated with providing this benefit to employees and retirees.

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## Internal Service Fund Accounts

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### Internal Service Fund: Central Utilities

**Description:**

The Central Utilities Internal Service Fund is responsible for the administration and fiscal management of electricity services provided to the state.

**Purpose:**

This unit processes all monthly payments for over 1,600 electric accounts to the state's primary supplier of electricity, National Grid. Expenditures are then billed to the respective agencies based upon the electricity usage determined by the meters assigned to that agency. In addition, this unit's overhead costs are allocated to user agencies based upon the number of meters assigned to the agency.

**Efficacy:**

Without this Internal Service Fund, each state agency would be independently responsible for paying electric bills for the meters assigned to that agency. This would require an internal distribution of costs to all applicable accounts within the agency, a service that is now provided by the central unit.

### Internal Service Fund: Automotive Fleet

**Description:**

The State Automotive Fleet Internal Service Fund provides for the administration and fiscal management of state-owned vehicles and operates several fuel depots throughout the state

**Purpose:**

This program oversees the procurement, maintenance and allocation of 2,900 vehicles, including heavy-duty vehicles, boats, trailers, and maintenance equipment. Agencies are billed biweekly for expenses including repairs, fuel, and a surcharge (per gallon) for the operation and maintenance of fuel depots. The administrative costs for the Office of State Fleet Operations (OSFO) are charged to agencies per vehicle on an annual basis. All agencies share these overhead costs in an equitable manner.

**Efficacy:**

Decentralization of automotive fleet functions would result in duplication of efforts, inconsistent policies and procedures between agencies, loss of control over new vehicle purchases, and the absence of a central comprehensive database, which would make it difficult to meet mandated federal reporting requirements on alternative fuel vehicles. In further efforts to enhance this process, the Department of Administration intends to review the benefits of outsourcing certain fleet operations, primarily maintenance and repairs.

### Internal Service Fund: State Fleet Replacement Revolving Loan Fund

**Description:**

The State Fleet Replacement Revolving Loan Fund will provide loans to state agencies for the acquisition of vehicles and related equipment. These loans will be paid back by the respective agencies over a period of three to seven years depending on the value of the equipment acquired.

**Purpose:**

This revolving loan fund is intended to replace the use of debt issuances by the state to fund vehicle acquisitions. As funds are repaid annually, they will be available to issue new loans.



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## Internal Service Fund Accounts

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**Efficacy:**

Use of a revolving loan fund will enable the state to save on interest expense associated with the issuance of debt to acquire vehicles.

### **Internal Service Fund:** Surplus Property

**Description:**

This internal service fund oversees the acquisition and distribution of federal surplus property, as well as the storage and disposal of state surplus property.

**Purpose:**

Section 42-56.2-1 of the RI General Laws requires the appointment of a Federal Surplus Property Officer by the Director of Administration. This position is authorized to acquire from the federal government surplus property that may be of benefit to the state, local governments or non-profit educational or charitable institutions. This position oversees the Surplus Property Internal Service Fund. Although the Fund will acquire federal surplus property on behalf of state agencies or other eligible recipients, they do not bill for this service. The program is not currently generating revenues. It had been charging state agencies for storage space at the Cranston Street Armory, but was required to vacate this facility during its use as a film stage. The program is in the process of developing a web site that can be used to sell surplus property. Any revenues generated from the sale of property would be used to defray the cost of the program, including building maintenance, forklift rental and supplies.

**Efficacy:**

The staff responsible for overseeing the surplus property program is funded with General Revenues and thus these costs are not allocated to user agencies. As stated above, the only billings made by this internal service fund are currently for space rental at a state warehouse. Without the existence of an internal service funds, these costs could be billed to the user agencies using an alternative accounting method or the costs to operate the warehouse could be fully funded with general revenues, thereby eliminating the need to bill any agency for storage.

### **Internal Service Fund:** Central Mail

**Description:**

The Central Mail unit provides for inter-agency mail delivery and processing of United States mail for most state agencies.

**Purpose:**

Aggregation of mail functions constitutes a major source of cost savings and cost avoidance for government operations statewide. Costs are allocated to agencies via a billing system that applies the internal and external costs to agency budgets.

**Efficacy:**

This Internal Service Fund is used to allocate the cost of mail processing to various agencies. The current rationale for utilizing this methodology is to gain greater efficiency from the central management of mail processing services.

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## Internal Service Fund Accounts

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### **Internal Service Fund:** Telecommunications/Centrex

#### **Description:**

The Telecommunications Internal Service Fund supports all voice and data communications infrastructure throughout state government, as well as the public information operators. Voice services include planning, procurement, provision, installation and maintenance of all voice telephone devices, lines and extensions, billing and vendor liaison. Data services include planning, procurement, provision, installation, addressing, routing and maintenance of all WAN data lines and data connections, access to the Internet, security and filtering, billing, and vendor liaison throughout state government.

#### **Purpose:**

This Internal Service Fund, as with the Central Utilities Fund, processes all payments for voice communication services to the providers of these services (primarily Verizon). Agencies are then billed monthly for their usage, as well as an overhead charge allocated based upon the number of phone lines in each agency.

#### **Efficacy:**

This Internal Service Fund is used to allocate the cost of telephone services to the various agencies. The current rationale for utilizing this methodology is to gain efficiencies from central management of direct and indirect telecom costs. Without the existence of this fund, each agency would be responsible for receiving and paying invoices for telecommunication services provided to their agency.

### **Internal Service Fund:** Assessed Fringe Benefit

#### **Description:**

The Assessed Fringe Benefit Internal Service Fund makes payments for various employee fringe benefits. The fund generates revenue by assessing a charge against the amount of salaries and wages paid to state employees.

#### **Purpose:**

The assessment charged by this fund pays for the following employee fringe benefits: services provided by the Donley Center; services of the Workers' Compensation Court and the Division of Workers' Compensation administrative costs related to workers' compensation activities; payments to Workers' Compensation providers; Workers' Compensation benefit payments to employees; Unemployment Compensation payments; and payments to employees for unused leave upon termination from state service.

#### **Efficacy:**

This fund was established in part to eliminate the fluctuations in agency budgets that result from workers' compensation claims, unemployment claims, and termination costs. The use of the statewide assessment also meets federal cost allocation requirements.

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# Internal Service Fund Accounts

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## Department of Corrections

### **Internal Service Fund:** Correctional Industries

**Description:**

Correctional Industries are the work programs in correctional facilities that provide real world work experience to inmates, teaching them transferable job skills and work ethic to help them prepare for post-release reentry and employment.

**Purpose:**

Correctional Industries employees 218 inmates who manufacture various products or provide services to state agencies, cities and towns and non-profit organizations. This is the only self-funded reentry support program in corrections - no appropriated funds are required for its operation since it relies on revolving funds from the income generated by the sale of products and services produced through the program.

**Efficacy:**

Because Correctional Industries' customer base not only includes state agencies, but also municipal and non-profit agencies, it is virtually impossible to have any mechanism other than an Internal Services Fund to allow for the continuation of this program.

### **Internal Service Fund:** Corrections Warehouse / Central Distribution Center

**Description:**

The Central Distribution Center is responsible for the central purchase of food staples, janitorial items, and other supplies for warehousing and distribution/sale to state agencies and state school districts. The unit also administers the U.S. Department of Agriculture food distribution program.

**Purpose:**

This Internal Service Fund was created in order to provide for the most cost-effective procurement and delivery of goods to other state programs. The Fund also utilizes inmates working under the supervision of warehouse staff in order to increase the capacity to serve various customers and to provide work training experience for inmates.

**Efficacy:**

The current rationale for this Internal Service Fund is to improve efficiency by providing a centralized mechanism for the purchase and distribution of goods.

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## **Internal Service Fund Accounts**

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### **Secretary of State**

#### **Internal Service Fund: Record Center**

**Description:**

The State Records Center stores and manages the nonpermanent records of state agencies and funds the Public Records Administration office. This program, a central storage facility for inactive state government records, serves all state agencies.

**Purpose:**

This Internal Service Fund ensures that state agencies pay only for actual records stored and services received. Most states and the federal government operate their programs through the same or similar means. A Master Price Agreement with Capital Records Management (CRMC) governs overall records storage costs and services. Although CRMC transports and stores the records, state agencies' service requests are channeled through the Public Records Administration office. On a monthly basis, CRMC provides the PRA with a master invoice for all charges to the state, and also individual agency accounts.

**Efficacy:**

The State Records Center could receive a direct appropriation of funds to cover the entire cost of record storage and other services for all agencies instead of each agency being allotted their own requested amount, but this method would not be as efficient as the current rotary account. A rotary account ensures that agencies pay for actual records stored and services received. A direct appropriation would be an estimate that would have to be revised at the end of the fiscal year.

### **Department of Public Safety**

#### **Internal Service Fund: Capitol Police Rotary**

**Description:**

The Capital Police Rotary account is for reimbursements from other departments within the state that the Capitol Police provides service for.

**Purpose:**

To supply security services to the Department of Human Services; Department of Children Youth & Families; Division of Motor Vehicles; Operator Control on the Pastore Complex; and the Department of Transportation. Their mission is to protect property and ensure the safety of the employees with those buildings as well as protecting the public that enter these facilities daily to conduct business.

**Efficacy:**

This Internal Service Fund is used for the personnel funding for 8.0 capitol police officers.

# **Definition of Categories of Expenditures**



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**Definition of Categories of Expenditures**

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Generally, the amounts reflected in the categories of expenditures in the *FY 2010 Executive Summary* and in the *FY 2012 Budget* were derived by identifying amounts spent or budgeted in certain budget object codes. The transition from the Rhode Island State Automated Information Link system (RISAIL) to the Rhode Island Financial Accounting Network System (RIFANS) on July 1, 2006, provided opportunity to classify expenditures in hierarchical order of major categories. Each category is described in the following sections with the associated natural codes listed below.

***Salary/Wages and Other Compensation and Employee Benefit Programs:***

Includes expenditures for salaries, wages, fringe benefits, and workers' compensation costs.

The personnel category includes all payments for all individuals employed by the state.

**RIFANS****Natural**

611000	Regular Wages
611999	Contract Reserve
612000	Seasonal/Special Salaries/Wages
614001	Overtime
614100	Overtime (1.5)
614200	Overtime (2.0)
614300	Overtime (Straight Time)
614400	Holiday Pay
614500	Correctional Officers' Briefing Time
614600	Overtime: Other (i.e. Seasonal)
616001	Stipend Payments
616100	Cash Bonuses for HMO Participation
616200	Family Medical Insurance Coverage Waiver Bonus
616300	Contractual Stipend Payments
616400	Accrued Leave Severance Pay
619000	Payroll and Employee Benefits Accrual
620100	Employees' Retirement - State Contribution
620200	Retirement: State Police Troopers (hired after 7-1
620300	Retirement: Judges (hired after 12-31-89)
620400	Retirement: Teachers
620700	Retirement: Laborers' International Union of North
621110	Social Security (FICA) Old Age, Sickness and Disab
621120	Medicare (FICA) Hospital Insurance Tax
621130	FICA on Severance Pay
621600	Retirement: Federal Retirement System
624110	Employer Cost of Employee Medical Insurance
624120	Employer Cost of Employee Dental Insurance

**RIFANS****Natural**

624130	Employer Cost of Employee Vision Insurance
624140	Employer Cost of Employee Prescription Insurance
624210	Medical Care Insurance - Retirees
624230	Vision Care Insurance - Retirees
624300	Health Insurance - Retired Employees (1986 Window)
624400	Insurance Settlement Account
624500	Disability Insurance (TIAA)
624600	Life Insurance
625100	Workers' Compensation Payments - Regular Cases
625200	Workers' Compensation Payments - Assault Cases
625300	Workers' Compensation Payments - Specific Injury
625310	Workers' Compensation Payments - Lump Sum
625320	Injured Workers' Incentive Payments
625400	Workers' Compensation Payments - Weekly Payments
625410	Workers' Compensation Payments - Dependency
625420	Workers' Compensation Payments - Post Maximum
625500	Workers' Compensation Payments - Hospital Charges/
625510	Workers' Compensation Payments - Medicine, Drugs,
625520	Workers' Compensation Payments - Alternative Care
625600	Administrative Costs - Worker's Compensation Divis
625700	Workers' Compensation - Attorney and Witness Fees
625800	Workers' Compensation - Practitioners Charges
626100	Assessed Fringe Benefits Fund Assessment
626200	Unemployment Compensation - State Employees
626300	Retiree Health Insurance
626400	Miscellaneous Benefits
627000	Uncompensated Leave Day

***Contracted Professional Services:***

Expenses include non-personnel expenditures for all consulting and professional services received by State Government.

**RIFANS****Natural**

631001	Financial Services
631010	Financial Services: Accounting/Auditing
631020	Financial Services: Investment/Banking
631030	Financial Services: Actuary
631040	Financial Services: Economists
631050	Financial Services: Other
631100	Public Relations Services
631200	Management Consultants
632001	Information Technology Services

**RIFANS****Natural**

635140	Legal Services: Expert Witnesses
635150	Legal Services: General/Other
635200	Constable Services/Process Servers
636001	Medical Services (non-client based)
636100	Doctors, Specialists, Medical Consultants, Dentist
636200	Hospital Treatment
636300	Veterinary Services
636400	Laboratory Testing, X-Rays, MRI's
636500	Nursing/Convalescent Care and/or Treatment

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**Definition of Categories of Expenditures**


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632140	Information Technology: Programming	636600	Other Medical Services
632150	Information Technology: System Design	637001	Temporary Services
632160	Information Technology: System Support	637100	Clerical Services
632170	Information Technology: Database Administration	637200	Stenographic Services for Court or Public Hearings
632180	Information Technology Services: General	637210	Rental / Lease RI CNV Center
633001	Training and Educational Services	637300	Other Temporary Services
633100	Training and Educational Services	638001	Buildings and Groundskeeping Services
633200	Seminars and Conferences	638100	Cleaning of Buildings/Offices (Janitorial Services
633300	Lecturers and Training Consultants	638200	Extermination Services
634001	Design, Engineering, Surveying and Environmental S	638300	Lawn Maintenance and Groundskeeping
634100	Engineering Services	638400	Other Building and Grounds Services
634200	Architectural Services	639001	Other Contracted Professional Services
634300	Surveyor Services	639100	Honorariums
634400	Environmental Services	639200	Interpreters/Translators
634500	Other Design, Engineering, Survey and Environ. Ser	639300	Appraisers/Title Examiners
634510	Fuel: Oil #1 - Kerosene	639400	Records Management
635001	Legal Services	639500	Security Services
635110	Legal Services: Special Counsel	639600	Fire Protection Services
635120	Legal Services: Contracts	639700	University/College Services
635130	Legal Services: Labor Relations	639800	Communications and Media Related Services

**Operating Supplies and Expenses:**

Expenses include non-personnel expenditures for operations of state government including maintenance and non-fixed equipment (capital outlay). One exception is the treatment of medicine and drug expenditures for the pharmaceutical assistance program, which are reflected as grants and benefits.

**RIFANS  
Natural**

640001	Building-Relating Maintenance and Repairs
640100	Building Maintenance and Repairs
640200	Grounds Maintenance
640300	Energy Conservation Expenses
640400	Waste Disposal
641001	Non-Building Related Maintenance and Repairs
641100	Maintenance/Repairs: Vehicles
641200	Maintenance/Repairs: Computer Equipment
641300	Maintenance/Repairs: Software Agreements
641400	Maintenance/Repairs: Office Equipment
641500	Maintenance/Repairs: Medical Equipment
641600	Maintenance/Repairs: Other Equipment
642001	Roads/Bridges/Parking Lot Expenses
642100	Snowplowing and Sanding Expenses
642200	Road Maintenance and Repairs Expenses
642300	Striping Expenses
642400	Safety Expenses
642500	Paving Supplies/Expenses
642600	Signage/Sign Painting/Lettering
643010	Clothing and Accessories
643011	Staff Clothing, Uniforms, and Clothing Accessories
643012	Client Clothing, Uniforms and Clothing Accessories
643020	Linen and Laundry Expenses
643021	Central Laundry (MHRH ISF - for ISF use only)
643030	Food
643110	Office Supplies and Equipment (less than \$5000)

**RIFANS  
Natural**

644120	Fuel: Oil #2 - Home Heating Oil
644130	Fuel: Oil #4
644140	Fuel: Oil #6 - Bunker 'C'
644200	Fuel: Coal (used for heating purposes)
644300	Fuel: Natural Gas (used for heating purposes)
644400	Fuel: Gasoline/Diesel Fuel
644510	Electricity - Direct Payments to Utilities
644520	Electricity - Central Utilities Fund (for ISF use )
644521	Electricity - Payments to Utilities
644522	ISF Overhead/Service Charges
644600	Steam
644700	Water - Expenditures for Water Consumption
644800	Sewer Use Charges
645100	Rental: Clothing and Linens
645200	Rental/Lease: Equipment
645300	Rental/Lease: Property
645310	Rental of Outside Property
645320	Rental of State Owned Property
645400	Rental/Lease: Vehicles
645510	Lease Financing - Principal
645520	Lease Financing - Interest
646100	Expenses for Travel and Transportation of State Wa
646200	Mileage Allowance - Personally Owned Vehicles
646301	Out-of-State Travel
646310	Out-of-State Travel: Transportation
646320	Out-of-State Travel: Lodging



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**Definition of Categories of Expenditures**


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643120	Computer Supplies and Software and Equipment (less	646330	Out-of-State Travel: Registrations
643130	Janitorial Supplies	646340	Out-of-State Travel: Other
643140	Kitchen/Household Supplies and Equipment (less tha	646400	Other Travel-related Costs
643150	Program Supplies and Equipment (less than \$5000)	647100	Information Technology Charges (DOIT ISF)
643160	Security/Safety Supplies	647110	ISF Overhead/Service Charges
643170	Military Supplies	647120	Direct Services (Programming, etc.)
643180	Building/Plant/Machinery Supplies and Equipment	647130	Seat License (flat fee per staff member)
643190	Landscaping Supplies and Equipment (less than \$500	647140	Maintenance Contracts
643200	Dues and Fees	647150	Computer Replacement
643300	Subscriptions	647160	Hardware
643401	Postal, Freight and Delivery Services	647200	Human Resources Service Centers
643410	Postage and Postal Services	647300	Facilities Management Charges (Facilities ISF)
643420	Express Delivery	647310	ISF Overhead/Service Charges
643430	Freight	647320	Lease Payments (based on square footage)
643440	Central Mail Processing ISF (for ISF use only)	647330	Special Services
643441	Postage Charges	647401	State Fleet (ISF)
643442	ISF Overhead/Service Charges	647600	Legal Services (Central Legal Office - for central
643500	Records Storage/Retrieval Costs (Records Center Ch	647700	Correctional Industries (for ISF use only)
643510	Records Storage and Retrieval Costs	647800	Central Warehouse (DOC - for ISF use only)
643520	ISF Overhead/Service Charges	647900	Internal Agency IT Charges
643610	Advertising	648100	Telephone and Telegraph Services
643611	Print Advertising	648110	Central Telephone Services (CENTREX)
643612	Radio Advertising	648111	Telephone Charges
643613	Television Advertising	648112	ISF Overhead/Service Charges
643614	Other Advertising	648200	Telephone - Cellular and Mobile
643615	Agent's Materials - Lottery	648300	Pager (Beeper) Systems
643620	Printing - Outside Vendors	648500	Maintenance/Repairs: Communication Systems
643621	Printing Services Provided by State Agencies	649110	Fees: Notary Public
643700	Miscellaneous Expenses	649120	Fees: Single Audit
643710	Staff Training	649130	Fees: Bonds and Notes (Cost of Issuance)
643720	Lottery Commission Payments	649140	Fees: Food Stamp Transaction Costs
643801	Insurance	649150	Fees: Credit Card Processing Fee
643810	Insurance: Property/Casualty/Liability	649160	Fees: Miscellaneous
643820	Insurance: Professional and Occupational	649320	Interest: Late Payments
643910	Pharmaceuticals	649330	Interest: Earnings
643920	Medical Supplies (non-Rx)	649400	Refunds, Bad Debt and Other Non-Expense Items
643930	Central Pharmacy (MHRH ISF - for ISF use only)	649500	Indirect Cost Assessments on Federal Accounts
643931	Pharmaceuticals	649600	Inventory Purchases for Internal Service Funds (Rot
643932	Medical Supplies (non-Rx)	649700	Retiree Health Subsidy
643933	ISF Overhead/Service Charges	649800	Rate Reimbursement Charge
644101	Fuel: Oil		

**Assistance and Grants:**

Includes all grants and benefits to individuals or organizations without taxing authority, either direct or through reimbursements to cities and towns for public assistance, and grants to other entities, such as private non-profit providers. Certain retirement pensions for retired employees are also included. This category includes expenditures in the Medicaid program for services and supplies, direct public assistance and payments for client services in the human service agencies.

**RIFANS**
**Natural**

651101	Direct Public Assistance
651110	Public Assistance: Medical
651120	Public Assistance: Subsistence
651201	Indirect Public Assistance

**RIFANS**
**Natural**

653210	Dental Services
653220	Nursing/Convalescent Services
653230	Veterinary Services
653240	Disability Determination

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**Definition of Categories of Expenditures**


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651210	Payment of Provider Assessment	653250	Outpatient Services
651220	Interfund Transfer/Provider Assessment	653260	Inpatient Services
651230	Taxable Medicaid Payments via MMIS	653270	Testing Services
651240	Non-Taxable Medicaid Payments via MMIS	653280	Habilitative/Rehabilitative Services
651250	Subsidy Programs: State Dependents and Delinquents	653290	Counseling Services
651260	Subsidy Program: RI Pharmaceutical Assistance	653301	Legal Services for Clients
652110	Retirement Pensions: State Police	653310	Guardian Ad Litem Services
652120	Pensions: Judges	653320	Defense of Indigents
652130	Retirement Pensions: Teachers	654110	Legislative Grants (Letters of Intent)
652140	Retirement Pensions: Municipal Police and Fire Pen	654120	Other Grants
652150	Supplemental Pension - Early Retirement (83H-5149,	654200	Public Finance of Election Campaigns
653101	Client Services	654300	Non-State SDA Payments (Dept. of Labor and Training)
653110	Social Services for Clients	654400	Non-Taxable Claims, Settlements, Judgments
653120	Substance Abuse Services	654500	Taxable Claims, Settlements, Judgments and Torts
653130	Education Services		
653201	Medical Services for Clients		

**Capital Purchases and Equipment:**

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds

**RIFANS**
**Natural**

660001	Capital Budget (RICAP and Bond Accounts)
660010	Building Renovations and repairs
660020	Plumbing Renovations and repairs
660030	Electrical Renovations and repairs
660040	Land Improvements
660050	Architectural and Engineering Services
660095	Other
	Lawn, Landscape and Grounds Maintenance
660101	Equipment
661101	Land
661131	Non-Depreciable Land Improvements
661141	Depreciable Land Improvements
661201	Buildings and Other Structures
661202	Architecture and Engineering - Capital Improvement
661211	Building Renovations and Improvements - Minimum \$2
661221	Leasehold Improvements - Minimum \$250,000
661231	Historic Buildings

**RIFANS**
**Natural**

661241	For Accounts and Control Use Only
661251	Buildings and Other Structures ( Less than \$250,000(
661302	Construction in Progress
661351	Architecture and Engineering - CIP
661361	Consultant Services - Computer Systems Development
661402	Works of Art, Historic Treasures and Memorabilia
661501	Motor Vehicles
661521	Trailers
661522	Heavy Equipment
661601	Aircraft, Boats and Related Equipment
661605	Furniture and Equipment
661701	Computer Equipment (\$500 to \$4,999)
661801	Land Rights/Development of Rights/Rights of Way
661821	Computer User Licenses (\$1,000,000 or more)
661910	Infrastructure (DOT Use Only)
662150	Capital Lease

**Aid to Local Units of Government**

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds.

671100	Community Aid	671120	Pass-Thru Aid
671110	Appropriated Aid	671200	Education Aid

**Debt Service:**

Includes fixed charges for principal and interest on general obligation bonds, revenue bonds, bonds of the colleges and universities and all other state borrowing

681100	Principal: General Obligation: Serial Bonds	682100	Interest: Interest: Serial Bonds
681200	Principal: General Obligation: CABS	682200	Interest: Interest: CABS

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**Definition of Categories of Expenditures**

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681300	Principal: General Obligation: Revenue Bonds - RIR	682300	Interest: Interest: Revenue Bonds - RIRBA
681400	Principal: College/University Debt Service	682400	Interest: College/University Debt Service
681500	Principal: Certificates of Participation	682500	Interest: Certificates of Participation
681600	Principal: Short Term Borrowing	682600	Interest: Short Term Borrowing
681700	Principal: Non-G.O. Debt Service	682700	Interest: Non-G.O. Debt Service
		682800	Accreted Interest: Other Debt Service Charges

***Operating Transfers and Other Financing Uses:***

Reflects the transfer of funds between different funds and to component units of state government.

**RIFANS****Natural**

691000	Operating Transfers
691110	Operating Transfers Out to: General Fund
691120	Operating Transfers Out to: ISTEAFund
691140	Operating Transfers Out to: TDI Fund
691150	Operating Transfers Out to: Permanent School Fund
691160	Operating Transfers Out to: Debt Service Fund
691170	Operating Transfers Out to: Bond Capital Fund
691180	Operating Transfers Out to: Clean Water Trust Fund
691190	Operating Transfers Out to: COPS Fund
691200	Operating Transfers Out to: Lottery Fund
691210	Operating Transfers Out to: Correctional Industries
691220	Operating Transfers Out to: ERS Fund
691230	Operating Transfers Out to: State Police Retirement
691240	Operating Transfers Out to: Judicial Retirement
691250	Operating Transfers Out to: MERS Fund
691260	Operating Transfers Out to: Employment Security
691280	Operating Transfers Out to: Economic Policy Council
691290	Operating Transfers Out to: Convention Center Authority
691300	Operating Transfers Out to: GARVEE Fund
691310	Operating Transfers Out to: Assessed Fringe Benefits
691320	Operating Transfers Out to: Tobacco Trust Fund

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691330	Operating Transfers Out to: Tobacco Settlement
691340	Operating Transfers Out to: Surplus Property Fund
692110	Operating Transfers to: URI
692120	Operating Transfers to: RIC
692130	Operating Transfers to: CCRI
692140	Operating Transfers to: Central Falls School District
692150	Operating Transfers to: Economic Development Corp.
692170	Operating Transfers to: Higher Education Assistance
692180	Operating Transfers to: RIPTA
692190	Operating Transfers to: RI Public Telecommunication
692200	Operating Transfers to: RI Children's Crusade for
692210	Operating Transfers to: Narragansett Bay Commission
692220	Operating Transfers to: RIHMFC
692230	Operating Transfers to: RICWFA
692250	Operating Transfers to: RIAC
692260	Operating Transfers To: RI Water Resources Board
692270	Operating Transfers to: Quonset Development Corp
692280	Operating Transfers to: RI Resource Recovery Corp
699100	Payments to Bond Refunding Escrow Agent
699400	Unemployment Insurance Paid to Other States
699999	Loss on Disposition of Capital Assets

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# **Glossary of Budget Terms**



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## Glossary of Budget Terms

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**Actual Expenditures:** Amounts certified by the Division of Accounts and Control that have been spent in past fiscal periods. Actual expenditures are distinguished from budgeted amounts for incomplete fiscal periods which are planned or projected expenditures. Actual expenditures for the prior fiscal year are based upon the State Controller's preliminary closing and do not reflect any post-audit adjustments.

**Appropriation:** An act of the General Assembly authorizing expenditures of designated amounts of public funds for specific state budget programs within a state fiscal year. In recent appropriation acts, appropriations are equated with line items within the act.

**Federal Funds:** Amounts collected and made available to the state usually in the form of categorical or block grants and entitlements.

**Fiscal Note:** An estimate of the fiscal impact of legislative bills either drafted, introduced, or at a later stage of consideration.

**Fiscal Year (FY):** A twelve-month state accounting period, which varies from a calendar year and federal fiscal year. The fiscal year for Rhode Island State government begins on July 1 and ends on June 30. The federal fiscal year begins on October 1 and ends on September 30.

**Five-Year Forecast:** Financial projections of anticipated revenues and expenditures, including detail of principal revenue sources and expenditures by major program areas over five fiscal years. Such projections are required by state law to be included in the Governor's annual budget submitted to the General Assembly.

**FTE Authorization:** The number of full-time equivalent positions allowed to each agency and department as set forth in the Appropriation Act or the Supplemental Appropriation Act passed by the General Assembly. Departments and agencies are expected to keep within these FTE caps. Should an adjustment to the full time equivalent positions authorized for a department or agency be required for an urgent reason, a change in the FTE cap may be authorized with the approval of the Governor, the Speaker of the House and Senate Majority Leader.

**Full-Time Equivalent Positions (FTE):** A numerical measurement of the workforce, which constitutes a common denominator between full and part-time employment. To illustrate, an employee working full-time is counted as 1.0 full time equivalent position while an employee working half-time would be counted as a 0.5 full time equivalent position. If a state agency hired these two employees only, the total number of full-time equivalent positions for that agency would be 1.5. For a technical description of the part-time positions included in the full-time equivalent position count, see the glossary in the Personnel Supplement.

**Fund Balance:** See general fund free surplus.

**General Fund:** The operating fund of the State, to which all revenues not specifically directed by statute to another fund, are deposited. This includes state general revenue, federal, restricted, and other funds, which support state operations.

**General Fund Free Surplus:** The undesignated fund balance, which is available for appropriation and expenditures in subsequent fiscal years.

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## Glossary of Budget Terms

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**General Revenues:** State tax and departmental receipts which are credited for discretionary appropriation as distinguished from receipts collected for restricted purposes and federal grants.

**Internal Service Program:** A program that aggregates certain services needed by state operated programs and activities, which are provided on a centralized basis. The costs of these services are borne by the user agencies through a charge system, which allocates the cost of delivery of the goods or services. The operations of this program are shown in the state budget for display purposes only, since the costs are reflected in the budgets of the user agencies both on an actual and budget basis.

**Medical Assistance and Public Assistance Caseload Estimating Conference:** Similar to the Revenue Estimating Conference, it adopts consensus welfare and medical assistance caseload estimates. It consists of the Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor who meet in May and November of each year.

**Modified Accrual:** The basis upon which the Rhode Island state budget is prepared wherein the obligations of the state are classified as expenditures if the goods and/or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30<sup>th</sup> of that year. Revenues are estimated by the members of the Revenue Estimating Conference on the same basis as the fund financial statements are prepared, which is also a modified accrual basis.

**Operating Deficit:** The amount by which the state's current revenues from general revenue sources are less than its expenditures for a fiscal year. The operating deficit calculation excludes any beginning year surplus.

**Operating Surplus:** The amount by which the state's current revenues from general revenue sources exceed its expenditures for a fiscal year. The operating surplus calculation excludes any beginning year surplus which may be available to fund expenditures.

**Other Funds:** Resources that are expended in the budget, which are not considered general revenues, restricted receipts, or federal grants are identified as "Other Funds". This includes, for example, tuition and fees at the University and Colleges and the dedicated gas tax in the ISTEAFund.

**Program Measure:** A management tool that measures empirically the outcome of a budget program's efforts to achieve an objective. The outcome is measured against a standard established by each agency and department and should indicate not merely what a program does but how well it does it.

**Purchased Services:** Contracts with non-state entities providing services for state agencies consistent with their objectives. The contract could be established between a state agency and a private person, firm or non-profit agency or it could be established with another governmental entity such as a federal agency.



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## Glossary of Budget Terms

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**Reappropriation:** The authority of the Governor, in accordance with Section 35-3-15 of the General Laws, to reappropriate funds for the same purpose which are unexpended at the close of the fiscal year. All changes are reflected in the supplemental appropriations act.

**Restricted Receipts:** State receipts which are collected to be expended for purposes confined to those specified in the General Laws of the State.

**Revenue Estimating Conference:** A three-person forecasting committee whose objective is to reach consensus on state revenue projections that are used as a basis for the state budget. The Committee consists of the State Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor. This Committee is required, by law, to meet in November and May of each year.

**Quasi-Public Agency:** An agency, the legal basis for which is established in state law but which nevertheless, enjoys a degree of independence from state government in its governance and policy making.

**Subprogram:** Two or more integral components of a budget program that can be separately analyzed for a better understanding of the program.

**Supplemental Appropriation:** An act of the General Assembly appropriating additional funds beyond the amounts allocated in the original appropriation act to state agencies that are expected to incur a deficiency. In practice, supplemental requests are expected to identify any proposed decreases to the original amounts appropriated as well as proposed increases. (See 35-3-8. of the Rhode General Laws). Supplemental appropriations provide additional budget authority beyond the original estimates for budget programs (including new programs authorized after the date of the original appropriation act) where the need for funds is too urgent to be postponed until enactment of the appropriation bill for the next fiscal year.

**Technical Appendix:** A budget document that provides detailed information in support of the data reflected in *The Budget* and the *Executive Summary*. The allocations for each appropriation account are listed for a convenient reference. Detailed descriptions of the program measures used by the various agencies and departments are also provided.