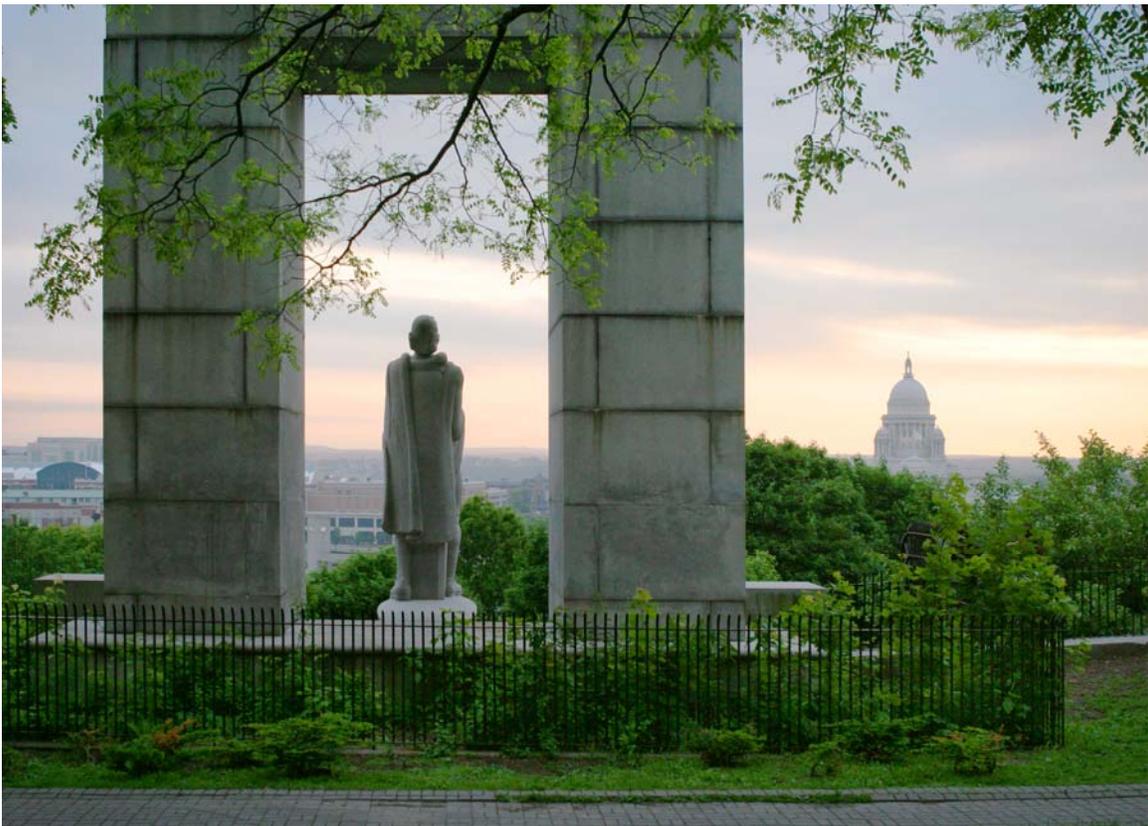


State of Rhode Island and Providence Plantations

Budget



Fiscal Year 2008

Donald L. Carcieri, Governor

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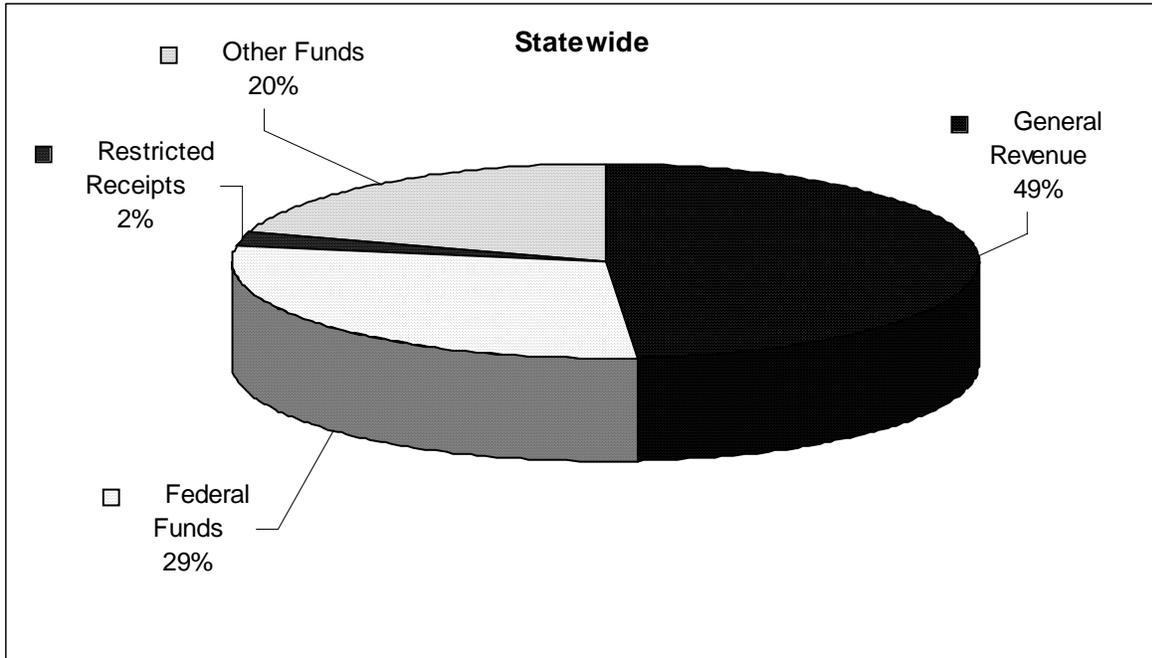
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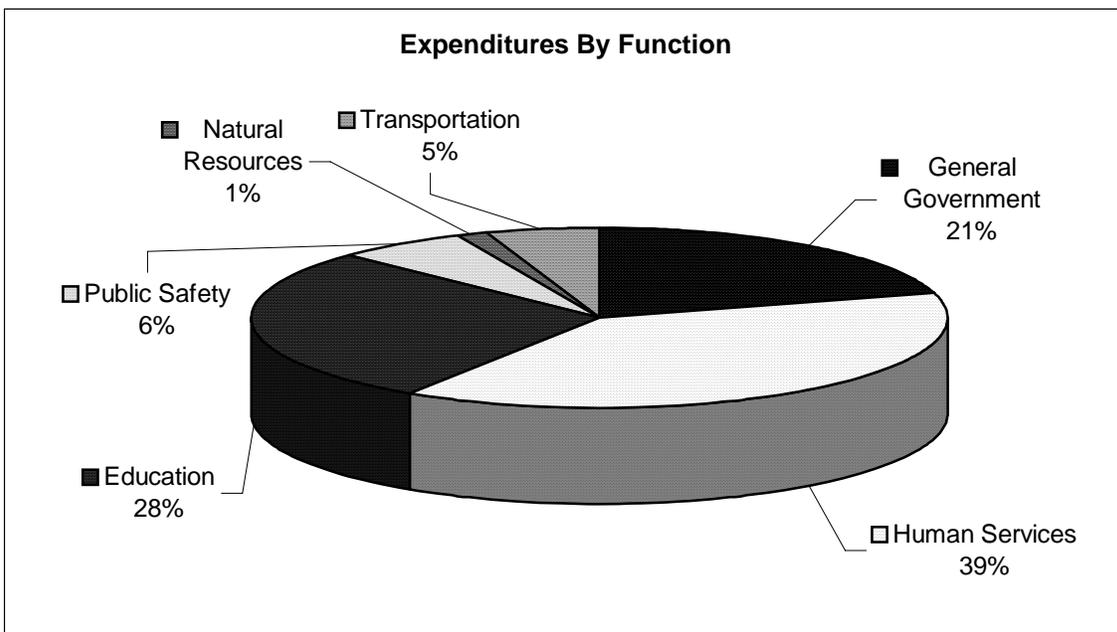
Introduction

Introduction

All funds expenditures for FY 2008 are \$7.017 billion. Of this total, \$3.421 billion or 48.8 percent is from general revenue, \$2.011 billion, or 28.7 percent, from federal funds, \$1.432 billion, or 20.4 percent, from other sources, and \$154.6 million, or 2.2 percent, is from restricted or dedicated fee funds.

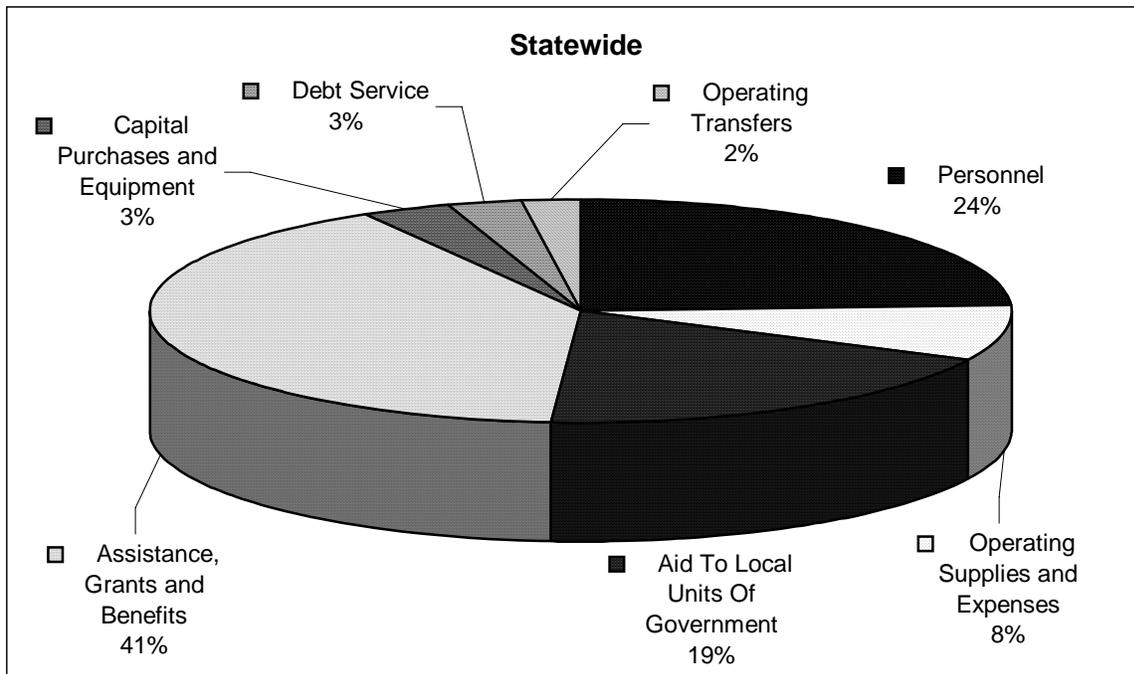


On a functional basis, the largest percentage of expenditures are made in the Human Services area, which comprise \$2.698 billion, or 38.5 percent of the total budget. This is followed by spending for Education of \$1.971 billion, which comprises 28.1 percent of all spending, and expenditures for General Government of \$1.449 billion, equaling 20.6 percent. Public Safety, Natural Resources and Transportation expenditures make up the balance, totaling \$899.2 million, or 12.8 percent of the total budget.



Introduction

The second way to view expenditures is by major category. On this basis, the largest share of the FY 2008 budget is for assistance, grants and benefits equaling \$2.846 billion or 40.6 percent of the total. This is followed by personnel expenditures, which comprise 24.2 percent, or \$1.697 billion, and local aid expenditures, which make up 18.7 percent, or \$1.313 billion of the total budget. Expenditures for capital purchases and debt service total \$437.0 million or 6.2 percent, with the balance of spending used to finance operating expenditures and transfers of \$723.9 million, or 10.3 percent of the total.



Expenditures from general revenue total \$3.421 billion for FY 2008. By function, spending by Human Services agencies represents the largest share with expenditures, totaling \$1.247 billion, or 36.4 percent of the general revenue budget. This is followed by spending for Education, which totals \$1.152 billion, or 33.7 percent. General revenue expenditures for General Government and Public Safety comprise \$613.8 million (17.9 percent), and \$367.7 million (10.7 percent), respectively. Expenditures for Natural Resources comprise \$39.9 million, or 1.2 percent of total general revenue spending. Transportation expenditures are financed by dedicated gasoline taxes and are not a component of general revenue spending.

General revenue expenditures by category are primarily devoted to financing grants, local aid and personnel. The largest component is local aid expenditures of \$1.148 billion, comprising 33.5 percent of total general revenue spending. Grant based expenditures of \$1.074 billion represent 31.4 percent of total spending; personnel expenditures of \$881.7 million comprise 25.8 percent of the budget; operating expenditures and operating transfers total \$151.7 million, or 4.4 percent of the budget; and, capital expenditures and debt service total \$165.6 million, or 4.8 percent of the total general revenue budget.

Governor Carcieri's *FY 2008 Budget* is the second volume in a six-volume set. The first volume, the *Executive Summary*, contains the summaries of revenue and expenditures on a statewide, functional, and departmental basis. The *Executive Summary* also presents statewide expenditure data by *category* or object of expenditure. This same data is presented in this document in more detail by program.

Introduction

Specific recommendations for FY 2008 for the departments are presented in the *Executive Summary*, and further detail is provided in the *Technical Appendix*. The five-year financial projection is contained in the *Executive Summary* and is submitted concurrently with *The Budget* as provided by law.

The Budget provides an overview of state expenditures, as well as an in-depth presentation of the State Budget by program with special reports on Education Aid and State Aid to provide a historical perspective on these state expenditures. *The Budget* also contains a "Budget Primer" which is intended to assist the reader of the budget documents in understanding the budget process in Rhode Island.

The financial data presented for state agencies in *The Budget* for the past two fiscal years (FY 2005 and FY 2006) is generally derived from the appropriation accounting and receipt accounting files of the State Controller as of the time of year-end closing. In the case of the accounts under the jurisdiction of the Board of Governor's of Higher Education, these columns reflect independently audited records.

The financial data for state agencies for the current fiscal year is from the enacted budget, modified in some cases to reflect recommended supplemental appropriations or withdrawals, revised expenditure estimates by category of expenditure or program, and revised estimates of federal grant awards or restricted receipts. In this document, the general revenue balance forward is included at the account level. The proposed changes to the enacted FY 2007 budget are included in the financial data by program for FY 2007. Totals and subtotals often appear to be inaccurate by small amounts or may disagree by small amounts with other budget and financial documents; this is due to differences in rounding procedures. The annual Appropriations Act is the absolute reference for state appropriation amounts.

The Budget also contains both narrative descriptions of the State's quasi-public agencies, authorities and entities, which are a component unit of state government for financial reporting purposes, and presents financial data provided by these entities. The Budget Office requests that quasi-public agencies and authorities submit information in the format used by the agency; no attempt is made to conform the financial presentation of the agencies data. In most cases, the FY 2007 and FY 2008 information has not been officially approved by the entities' governing bodies.

The *FY 2008 Budget* also continues to report performance measurements for most programs, as required by legislative mandate to develop performance measurements for use in the budget process. Measurements are reflected on each finance page of the *FY 2008 Budget* and are explained in detail in the *Technical Appendix*.

The *Personnel Supplement* contains information relating to personnel costs by program, which are included in the Governor's *FY 2008 Budget*. The *Budget as Enacted* will be prepared after final enactment by the 2007 General Assembly. The *Capital Budget* contains information on the Governor's recommended capital improvement plan, and contains individual project expenditures. *The Budget* generally contains the debt service component relating to capital improvements and any "pay as you go" capital, which is financed from current revenues.

The Budget Process: A Primer

The purpose of this primer is to clarify the annual budget and appropriations processes.

Appropriation Process. According to Article IX Section 16 of the Rhode Island Constitution, and the Rhode Island General Laws Section 35-3-7, the Governor must present spending recommendations to the Legislature. *The Budget* reflects expenditures for both the current and upcoming fiscal year and identifies the sources of financing for those expenditures.

On or before the third Thursday in January, unless delayed by act of the Legislature, the Governor must submit to the General Assembly a budget containing a complete plan of estimated revenues and proposed expenditures, with a personnel supplement detailing the number and titles of positions of each agency, and estimates of personnel costs for the next fiscal year.

The budget is proposed by the Governor and considered by the General Assembly, which may increase, decrease, alter, or strike out any item in the budget, provided that the action would not cause an excess of appropriations over anticipated revenue receipts. No appropriation in excess of budget recommendations may be made by the General Assembly unless it provides the necessary additional revenue to cover such appropriation. The Governor may veto legislative appropriations. The Legislature may override any veto by a three-fifths majority vote. Supplemental appropriations measures must be submitted by the Governor to the General Assembly on or before the third Thursday in January. Supplemental appropriations by the General Assembly must also be supported by additional revenues.

The general laws of the state provide that if the General Assembly fails to pass the annual appropriation bill, amounts equal to those appropriated in the prior fiscal year shall be automatically available for expenditure, subject to monthly or quarterly allotments as determined by the Budget Officer. Expenditures for general obligation bond indebtedness of the state shall be made as required regardless of the passage of the annual budget or the amount provided for in the prior fiscal year.

Fiscal Years. It is important when reading the budget to consider which fiscal year is being discussed. The state *fiscal year* runs from July 1 to the following June 30 and is numbered for the calendar year in which it ends (e.g. FY 2008). The *current fiscal year* is the one which ends the coming June. The *actual fiscal years* are the years which concluded June of the two previous years. The *budget year* refers to the next fiscal year, which begins the July following the Legislature's adjournment. Finally, *out-year* refers to the years beyond the budget year.

By law, *The Budget* must record two actual years of spending, as well as the Governor's revised spending recommendations for the current fiscal year, and the Governor's full recommendations for the budget year.

Revenue Estimates and Caseload Estimates. Receipt estimates for the current year and budget year are those adopted by the State Revenue Estimating Conference, as adjusted by any changes recommended by the Governor.

The State Revenue Estimating Conference was created by the 1990 General Assembly to provide the Governor and the Assembly with estimates of general revenues. It is composed of the State Budget Officer, the House Fiscal Advisor, and the Senate Fiscal Advisor, with the chair rotating among the three. It must meet no less than two times per year, in November and May, can be convened at any other time by call of

The Budget Process: A Primer

any member, and must reach consensus on revenues. The 1991 Assembly created a Medical Assistance and Public Assistance Caseload Estimating Conference similar to the Revenue Estimating Conference to adopt cash assistance entitlement caseload estimates. The 1998 Assembly amended the Medical Assistance and Public Assistance Caseload Estimating Conference to estimate medical assistance expenditures, upon which the Governor's expenditures budget shall be based, and the appropriations by the assembly shall be made.

The consensus revenue estimate is the official revenue projection for general revenue. Estimates of revenues for federal funds, restricted receipts, and other funds are prepared by individual state agencies, reviewed by the Budget Office, and included in *The Budget*.

Classification of State Spending. The State of Rhode Island classifies state spending by function of government and by category of expenditure.

Function of government classifies expenditures by grouping agencies that make expenditures for similar programs and purposes. There are six functions of government defined in *The Budget*: General Government, Human Services, Education, Public Safety, Natural Resources, and Transportation.

The following explains the six functions of government included in *The Budget*.

General Government includes the administrative and regulatory functions of state government. Certain elected officials (Governor, Lieutenant Governor, General Treasurer, Secretary of State), the Legislature, and the Department of Administration are agencies that perform an administrative function. The Department of Business Regulation, the Department of Labor and Training, and the Public Utilities Commission are examples of agencies that perform a regulatory function. The Department of Revenue coordinates revenue collection activities of several state divisions.

Human Services is the function that provides services to individuals. Services provided include: the care of the disabled by the Department of Mental Health, Retardation and Hospitals; child protective and social services provided by the Department of Children, Youth and Families; health programs at the Department of Health and the Department of Human Services; financial assistance and social services provided by the Department of Human Services; and, pharmaceutical assistance and home health care at the Department of Elderly Affairs. The Executive Office of Health and Human Services coordinates the programmatic and financing concerns of the Human Services agencies.

The *Education* function provides education services to Rhode Islanders. The State Board of Regents for Elementary and Secondary Education and the Board of Governors for Higher Education provide direct education services, while services provided by the Rhode Island Telecommunications Authority are indirect in nature.

Public Safety is the function that provides safety and security services to Rhode Island citizens. Agencies in this function include the Department of Corrections, the Judiciary, the Attorney General and various law enforcement agencies, including the State Police.

The Budget Process: A Primer

The *Natural Resources* function protects the natural and physical resources of the state and regulates the use of those resources. Agencies included in this function are the Department of Environmental Management, the Coastal Resources Management Council, and the Water Resources Board.

Transportation includes the Department of Transportation, which is the only agency in this function. It is responsible for maintaining and constructing highways in Rhode Island, and for planning and financing all surface transportation modes.

Categories of expenditures classify expenditures by budgeting and accounting objects of expenditure: state operations; aid to local units of government; assistance, grants, and benefits; and capital. Objects of expenditures define how funds are encumbered and expended.

State Operations include expenses incurred while conducting the day-to-day business of state government. This category includes "personnel" and "operating." Personnel includes expenditures for salaries and wages, fringe benefits, consultant services, and workers' compensation costs. Operating expenses comprise non-personnel expenditures for operations of state government, including maintenance and non-fixed equipment (capital outlay).

Aid to Local Units of Government is payments made to governmental units that provide services at the local level. Education Aid to local school districts is an example.

Other Assistance, Grants and Benefits constitutes payments to individuals and agencies which are not governmental units. Drugs, medicine and nursing facilities for the Medicaid programs, the pharmaceutical assistance program for the elderly, and cash assistance payments for Family Independence Program entitlements are a few examples.

Capital includes capital debt service and capital improvements. While the debt service component of capital improvements is reflected in the operating budget, the majority of capital improvements are found in the *Capital Budget*. Debt service includes payments on short term tax anticipation notes, long term general obligation bonds, Rhode Island Refunding Bond Authority lease payments, certificates of participation payments for the Intake Service Center, Attorney General's administrative office, and Shepard's building and lease payments to the Convention Center Authority.

State Employees. A major part of the state operations category of expenditures is salary and wage payments to employees. Public service in state government is divided into the classified service, unclassified service and non-classified service. The classified service comprises all positions in state service, now existing or to be created, except as specifically provided under R.I.G.L. 36-4-2 for unclassified employees and R.I.G.L. 16-59-7 for Higher Education non-classified employees. The classified service is divided into a competitive branch and a non-competitive branch. Employees hired to fill positions in the classified service must be hired on the basis of merit and fitness. The non-competitive branch includes positions that require the performance of routine tasks, or those that require licenses, certificates, or registrations. These employees are also promoted and discharged on the basis of rules and regulations established and administered by the Rhode Island merit system.

The Budget Process: A Primer

Certain positions are specifically designated for inclusion in the unclassified service. These positions are defined to include those in specific agencies, or types of agencies for specific purposes. Examples are employees of the Legislature, elected officials, and employees of the courts. Compensation for unclassified positions is governed by the Unclassified Pay Board and other matters are governed by rules and regulations of the unclassified system.

State service also includes special types of positions. In addition to regular full time positions, there are *seasonal* positions in the classified service. Such positions may require the employee to work an irregular schedule such that the employee is on call when needed, or for only a portion of the year, and only for a maximum of 925 hours in a 12-month period. Employees of the Department of Environment Management who staff the state's parks, beaches, and wildlands in the summer are an example of seasonal employees.

Financing of State Spending. Frequent reference is made in *The Budget* to "general revenue" expenditures and expenditures from "all funds". Expenditures from all funds include both general revenue expenditures and expenditures from federal funds, restricted receipts, and other or special revenue funds.

General revenue receives the most attention in the budget because it is the largest of the "uncommitted" revenue sources available to the state. It is also the fund to which most general tax receipts are credited. The Legislature may appropriate general revenue dollars for any purpose.

Federal funds, restricted receipts, and other funds, by contrast, are dedicated to specific purposes. For example, the Legislature may not appropriate monies from the Unemployment Insurance Fund to build new prisons. Other funds include the University and College Funds, the Transportation Fund, the Unemployment Insurance Fund and the Temporary Disability Insurance Fund.

Within the budget documents, schedules contain expenditure data for two actual fiscal years, the current fiscal year and the budget year. The schedules display agency data by fund source for All Funds, General Revenue, Federal Funds, Restricted Receipts, and Other funds.

Rhode Island Capital Plan Funds. On November 7, 2006, Rhode Island voters approved a constitutional amendment limiting state expenditures commencing in fiscal year 2008 such that appropriations do not result in general fund expenditures exceeding 98.0 percent of general fund revenues in FY 2008, and 97.0 percent in FY 2012 and thereafter. The remaining balance is to be deposited into a budget reserve account, capped at 3.0 percent of general revenues. Once the cap is reached, the excess is deposited in a Capital Account, entitled the Rhode Island Capital Plan Fund, to be used solely for capital projects. The Rhode Island Capital Plan Fund may not be used for debt service or debt reduction.

Budget Basis. *The Budget* is prepared on the same basis that the state's year end financial statements, which is a modified accrual basis. Briefly, this means that obligations of the state are budgeted as expenditures if the goods or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30th of that year. Revenues are estimated on the basis of when they are "earned" by the state. For example, the estimate of gas tax revenues reflects twelve months of revenues. However, due to a one month difference in the time the liability is incurred and the actual cash payment is made by the taxpayer, the revenue estimate reflects cash received from August through July.

The Budget Process: A Primer

The Comprehensive Annual Financial Report (CAFR) shows the status of the state's finances on the basis of "generally accepted accounting principles" (GAAP). Every attempt is made in preparing the budget to ensure that it is consistent with the auditing standards upon which the state's financial position will be determined.

Program Performance Measures. The administration continues in its effort, initiated in FY 1998, to develop and track measures of the performance of state agencies and departments. The performance measures included in the FY 2006 budget are derived from the on-going process to identify and refine measures for state decision-makers to evaluate on an annual basis. With few exceptions, all executive branch agencies, and most other government offices, have developed a mature set of program performance measures. These measures are included on the agency and program financing pages in *The Budget* and are described further in the *Technical Appendix*. In some cases where no measures are identified, the process of developing measures continues. These cases are identified as NS (not supplied) or NC (not complete). Performance measures for general officers and agency central management units are not required, indicated by NA (not applicable).

The Budget Process. Production of a budget is a continuous process. It does, however, have certain discrete phases. In the Executive Branch, the budget process begins as soon as the legislative session ends. At that time the budget staff prepares the *Budget As Enacted* which reflects the budget enacted by the Legislature.

In the spring and early summer, budget instructions and allocations are distributed by the Budget Office to state agencies. At those times, agencies are instructed by the Budget Office to prepare a capital and operating budget. The capital budget contains a five-year capital improvement plan.

Agencies are requested to prepare operating budgets at specified target levels for submission on or before September 18 as specified by the Budget Officer. Agencies may also be allowed the opportunity to request additional funding through special white papers, separate from the agency's budget request.

The individual budgets submitted by the state agencies show program expenditures, with appropriate funding sources for each program within the agency. These data are shown for the actual year, the current year, and the target for the budget year.

In the fall and early winter, analysts in the Budget Office review agency budget requests. The Budget Office staff prepares recommendations for the Governor. An analysis of items added or reduced from the target request is presented to the Governor. The analysis includes the agency's request and the basis for it, the Budget Office recommendation and the basis for it. The Governor then makes a budget determination. This process is repeated for all issues in all agencies. These decisions form the Governor's recommendations. The Budget Office compiles the individual recommendations, aggregates them, and prepares *The Budget*. *The Budget* reflects the revised current year funding plan submitted to the Legislature on the third Thursday in January, as well as the budget year funding plan.

During this same period between September and the start of the legislative session, House and Senate fiscal staffs also have the agency budget requests for analysis. Following receipt of the Governor's recommendations, the legislative fiscal analysts begin their analysis of the recommendations of the Governor.

The Budget Process: A Primer

The Governor's budget recommendations traditionally are incorporated in one omnibus appropriations bill, which normally includes any statutory changes which would be required to implement the Governor's budget.

The following table summarizes the **budget calendar** during the budget cycle.

Mid-July	Capital Budget Requests due to Budget Office.
Late July	Budget Instructions and Funding Targets distributed to Agencies.
September 1	Budget requests of Agencies with enacted budget of \$7.0 million or less due to Budget Office.
September 15	Budget requests of Agencies with enacted budget of over \$7.0 million due to Budget Office.
Oct./Nov.	Agency Meetings to review Budget Recommendations.
Late Dec./ Early Jan.	Gubernatorial Decisions on current year supplement and budget year recommendations.
3 rd Thursday in January	Statutory date for current-year Governor's Supplemental Appropriation bill submitted to in January Legislature.
3 rd Thursday in January	Statutory date for budget-year Governor's Budget Recommendation bill submitted to Legislature.

Consideration by House of Representatives and Senate. Appropriation bills are introduced into the House and are first considered by the Finance Committee in the House. The Senate Finance Committee also begins hearings on the budget bill, as introduced by the Governor. The chairpersons of the committees appoint subcommittees to consider appropriations for various agencies. Subcommittees vary in size, usually between two and five persons. After reviewing the budget requests and the Governor's budget, the House subcommittees recommend adjustments that the subcommittees wish to make to the full House committee for consideration. A committee may adjust the recommendations of its subcommittee. Appropriation bills are reprinted to reflect the recommendations of the full committee. The bill is then presented to the full House, and upon passage is transmitted to the Senate. The process for review of an appropriation bill in the Senate repeats the steps followed in the house of origin. Usually, consideration by the second house occurs in a much shorter span of time. Once the bill is presented and approved by the full Senate, the Governor has three options: 1) sign the bill into law, 2) veto the bill, or 3) allow the bill to become law without signature. (After six days, Sundays excepted, without the Governor's signature, the bill automatically becomes law.) The General Assembly may overturn the Governor's veto with a three-fifths vote in each house.

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Schedules

Total Statewide Expenditures

	FY 2005	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Recommended	FY 2008 Recommended
Expenditure by Function					
General Government	1,068,529,837	1,304,906,032	1,377,181,081	1,387,929,243	1,448,629,581
Human Services	2,508,690,083	2,623,567,471	2,577,042,443	2,583,684,230	2,698,291,969
Education	1,642,370,060	1,719,275,934	1,856,786,461	1,838,403,048	1,971,247,008
Public Safety	340,027,863	373,372,616	413,824,296	413,274,172	429,896,212
Natural Resources	71,194,728	74,654,595	101,275,680	104,510,158	92,481,088
Transportation	369,519,134	395,001,637	338,839,441	370,526,906	376,833,630
Total Expenditures	\$6,000,331,705	\$6,490,778,285	\$6,664,949,402	\$6,698,327,757	\$7,017,379,488
Expenditure by Object					
Personnel	1,380,929,366	1,480,429,923	1,592,793,091	1,584,352,452	1,697,286,378
Other State Operations	359,374,996	576,895,389	543,772,958	551,454,476	570,954,917
Aid to Local Units of Government	1,121,820,725	1,180,509,922	1,264,360,152	1,267,366,698	1,313,207,947
Assistance, Grants, and Benefits	2,624,407,447	2,714,853,577	2,752,550,612	2,722,852,996	2,845,902,427
Subtotal: Operating Expenditures	\$5,486,532,534	\$5,952,688,811	\$6,153,476,813	\$6,126,026,622	\$6,427,351,669
Capital Improvements	198,620,050	231,543,374	212,996,553	246,827,730	234,834,370
Capital Debt Service	149,710,029	149,348,775	243,735,465	177,112,144	202,207,967
Operating Transfers	165,469,092	157,197,325	54,740,571	148,361,261	152,985,482
Total Expenditures	\$5,834,862,613	\$6,333,580,960	\$6,610,208,831	\$6,549,966,496	\$6,864,394,006
Expenditures by Funds					
General Revenue	2,926,928,737	3,076,549,222	3,221,527,107	3,210,863,934	3,420,668,178
Federal Funds	1,891,007,615	1,955,465,342	1,941,357,646	1,997,523,039	2,010,601,349
Restricted Receipts	101,208,831	97,418,023	129,069,782	138,033,985	154,599,374
Other Funds	1,081,186,522	1,361,345,698	1,372,994,867	1,351,906,799	1,431,510,587
Total Expenditures	\$ 6,000,331,705	\$ 6,490,778,285	\$ 6,664,949,402	\$ 6,698,327,757	\$ 7,017,379,488
Total FTE Complement	15,484.5	15,632.4	15,252.8	15,321.7	14,916.8
Higher Education Sponsored Research	781.0	785.0	785.0	785.0	785.0
Total Personnel	16,265.5	16,417.4	16,037.8	16,106.7	15,701.8

Expenditures from All Funds

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
General Government					
Administration(1)	\$ 487,554,067	\$ 502,814,630	\$ 564,837,458	\$ 587,251,583	\$ 625,094,223
Business Regulation	9,754,827	10,437,130	11,739,112	11,663,113	12,500,942
Labor and Training	447,983,389	436,308,239	455,819,840	447,476,498	459,453,891
Revenue(1)	36,234,109	260,392,918	255,530,361	247,167,203	256,830,774
Legislature	28,031,704	30,706,765	33,671,625	34,881,749	35,699,082
Lieutenant Governor	848,006	900,054	963,012	896,416	925,112
Secretary of State	8,593,464	11,873,344	8,444,162	7,265,753	6,099,762
General Treasurer	36,348,161	37,344,075	29,095,958	33,455,104	35,848,637
Boards for Design Professionals	379,272	381,197	390,153	380,240	406,186
Board of Elections	2,569,068	2,392,935	3,335,139	4,302,566	2,024,108
Rhode Island Ethics Commission	969,234	1,156,120	1,297,421	1,273,231	1,543,291
Governor's Office	4,362,965	4,929,960	5,044,144	4,770,397	4,994,823
Public Utilities Commission	4,817,830	5,179,221	6,912,981	7,046,367	7,100,547
Rhode Island Commission on Women	83,741	89,441	99,715	99,023	108,203
Subtotal - General Government	\$ 1,068,529,837	\$ 1,304,906,029	\$ 1,377,181,081	\$ 1,387,929,243	\$ 1,448,629,581
Human Services					
Office of Health & Human Services	-	-	770,120	3,303,656	6,582,551
Children, Youth, and Families	263,113,618	285,549,249	293,117,043	305,788,545	215,583,274
Elderly Affairs	42,036,630	44,439,520	38,769,310	37,816,334	38,413,285
Health	104,931,884	108,187,222	110,177,021	120,116,555	124,208,543
Human Services	1,629,207,079	1,688,206,975	1,642,761,821	1,620,685,713	1,816,522,396
Mental Health, Retardation, & Hospitals	465,965,281	493,607,175	487,185,797	491,883,874	492,784,480
Office of the Child Advocate	420,874	456,410	598,096	596,674	560,757
Commission on Deaf & Hard of Hearing	262,320	300,390	370,329	360,024	387,654
RI Developmental Disabilities Council	598,694	468,398	461,393	461,393	461,111
Governor's Commission on Disabilities	706,319	792,816	1,023,327	954,740	968,079
Commission for Human Rights	1,107,462	1,181,942	1,398,694	1,313,309	1,388,940
Office of the Mental Health Advocate	339,922	377,374	409,492	403,413	430,899
Subtotal - Human Services	\$ 2,508,690,083	\$ 2,623,567,471	\$ 2,577,042,443	\$ 2,583,684,230	\$ 2,698,291,969
Education					
Elementary and Secondary	980,989,560	999,448,300	1,076,679,481	1,069,991,410	1,116,205,410
Higher Education - Board of Governors*	629,473,283	687,460,192	739,807,969	729,765,619	807,854,050
RI Council on the Arts	2,507,198	2,626,163	4,581,161	4,260,301	6,509,453
RI Atomic Energy Commission	976,442	1,095,361	1,382,908	1,325,436	1,481,463
Higher Education Assistance Authority	23,287,850	22,973,871	29,658,012	27,845,570	34,350,404
Historical Preservation and Heritage Comm.	1,965,282	2,284,105	2,459,763	2,774,257	2,659,172
Public Telecommunications Authority	3,170,445	3,387,942	2,217,167	2,440,455	2,187,056
Subtotal - Education	\$ 1,642,370,060	\$ 1,719,275,934	\$ 1,856,786,461	\$ 1,838,403,048	\$ 1,971,247,008

Expenditures from All Funds

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Public Safety					
Attorney General	19,628,994	22,225,477	23,137,164	22,910,611	23,846,816
Corrections	151,740,062	161,859,196	178,411,571	176,091,246	199,169,922
Judicial	81,582,201	89,096,207	95,442,437	93,107,507	95,094,008
Military Staff	20,268,716	27,276,537	37,353,846	36,257,265	26,670,671
E-911 Emergency Telephone System	4,918,862	6,259,099	6,868,718	6,752,319	4,772,234
Fire Safety Code Board of Appeal & Review	237,485	295,120	289,299	297,368	303,435
State Fire Marshal	2,221,107	2,373,444	3,029,049	3,023,989	2,956,278
Commission on Judicial Tenure & Discipline	129,108	111,504	114,772	111,216	119,705
Rhode Island Justice Commission	5,295,881	5,393,731	4,901,694	4,452,988	4,342,326
Municipal Police Training Academy	389,141	336,230	470,710	484,620	479,252
State Police	46,460,587	49,748,147	54,342,790	60,662,858	62,544,303
Office Of Public Defender	7,155,719	8,397,924	9,462,246	9,122,185	9,597,262
Subtotal - Public Safety	\$ 340,027,863	\$ 373,372,616	\$ 413,824,296	\$ 413,274,172	\$ 429,896,212
Natural Resources					
Environmental Management	64,728,697	68,197,617	91,950,719	94,767,999	86,314,093
Coastal Resources Management Council	3,830,827	4,483,028	6,907,059	7,361,930	4,165,659
Water Resources Board	2,635,204	1,973,950	2,417,902	2,380,229	2,001,336
Subtotal - Natural Resources	\$ 71,194,728	\$ 74,654,595	\$ 101,275,680	\$ 104,510,158	\$ 92,481,088
Transportation					
Transportation	369,519,134	395,001,637	338,839,441	370,526,906	376,833,630
Subtotal - Transportation	\$ 369,519,134	\$ 395,001,637	\$ 338,839,441	\$ 370,526,906	\$ 376,833,630
Total	\$ 6,000,331,705	\$ 6,490,778,282	\$ 6,664,949,402	\$ 6,698,327,757	\$ 7,017,379,488
Reconciliation to Statewide Preliminary Closing (2)		1,306,295			
		\$ 6,492,084,577			

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue.

(2) FY2006 may not add to the State Controller's preliminary actual data due to rounding; data will be refined for final audited data.

Expenditures from General Revenues

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
General Government					
Administration(1)	\$382,458,648	\$393,743,813	\$442,478,140	\$451,453,511	\$504,432,741
Business Regulation	9,278,429	9,768,222	10,934,704	10,812,564	11,627,571
Labor and Training	7,096,275	7,324,547	6,841,850	6,997,013	6,651,959
Revenue(1)	33,543,709	35,740,586	37,458,039	35,773,913	38,962,530
Legislature	26,933,113	29,355,262	32,219,892	33,472,897	34,175,361
Lieutenant Governor	848,006	900,054	963,012	896,416	925,112
Secretary of State	5,288,648	5,218,298	5,940,917	6,106,546	5,069,542
General Treasurer	3,166,378	2,748,745	2,953,000	2,662,801	2,908,550
Boards for Design Professionals	379,272	381,197	390,153	380,240	406,186
Board of Elections	1,502,197	1,439,759	2,516,239	3,684,992	1,437,214
Rhode Island Ethics Commission	969,234	1,156,120	1,297,421	1,273,231	1,543,291
Governor's Office	4,362,965	4,763,177	4,952,015	4,681,601	4,899,774
Public Utilities Commission	628,341	656,682	743,985	737,811	665,706
Rhode Island Commission on Women	83,741	89,441	99,715	99,023	108,203
Subtotal - General Government	\$476,538,956	\$493,285,903	\$549,789,082	\$559,032,559	\$613,813,740
Human Services					
Office of Health & Human Services	-	-	313,160	297,018	310,738
Children, Youth, and Families	155,364,630	167,965,202	173,191,438	181,378,754	137,351,887
Elderly Affairs	25,660,265	22,123,914	20,095,705	19,364,571	19,381,354
Health	29,859,395	37,324,533	33,303,209	34,417,579	34,204,438
Human Services	694,484,822	720,809,672	725,054,386	716,426,058	813,501,934
Mental Health, Retardation, & Hospitals	230,338,122	249,868,277	238,455,569	238,057,998	239,233,568
Office of the Child Advocate	339,721	445,877	558,096	558,674	520,757
Commission on Deaf & Hard of Hearing	262,320	300,390	355,329	342,524	370,154
RI Developmental Disabilities Council	-	-	-	-	-
Governor's Commission on Disabilities	535,199	539,667	602,202	552,672	535,775
Commission for Human Rights	997,419	984,271	1,075,216	989,630	984,197
Office of the Mental Health Advocate	339,922	377,374	409,492	403,413	430,899
Subtotal - Human Services	\$1,138,181,815	\$1,200,739,177	\$1,193,413,802	\$1,192,788,891	\$1,246,825,701
Education					
Elementary and Secondary	793,955,132	835,821,659	889,376,406	884,303,258	932,174,601
Higher Education - Board of Governors*	173,432,404	180,371,797	196,731,350	189,491,502	197,605,320
RI Council on the Arts	1,791,045	1,987,619	2,841,466	2,764,965	2,803,000
RI Atomic Energy Commission	716,418	799,460	836,702	810,531	819,869
Higher Education Assistance Authority	9,956,900	7,729,028	6,747,402	6,708,495	16,019,684
Historical Preservation and Heritage Comm.	1,221,109	1,395,338	1,705,676	1,677,924	1,633,800
Public Telecommunications Authority	1,039,184	1,257,555	1,388,669	1,317,786	1,387,400
Subtotal - Education	\$982,112,192	\$1,029,362,456	\$1,099,627,671	\$1,087,074,461	\$1,152,443,674

Expenditures from General Revenues

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Public Safety					
Attorney General	\$17,141,816	\$19,895,944	\$20,843,701	\$20,313,531	\$21,335,305
Corrections	146,860,786	151,117,192	162,442,311	156,781,330	189,214,299
Judicial	71,715,433	76,968,262	83,973,989	80,842,834	83,526,524
Military Staff	2,336,253	2,982,039	3,164,919	2,826,113	2,680,355
E-911 Emergency Telephone System	3,881,544	4,341,442	4,485,669	4,098,361	4,772,234
Fire Safety Code Board of Appeal & Review	237,485	295,120	289,299	297,368	303,435
State Fire Marshal	2,099,879	2,243,866	2,838,049	2,596,825	2,728,306
Commission on Judicial Tenure & Discipline	129,108	111,504	114,772	111,216	119,705
Rhode Island Justice Commission	253,856	253,280	163,972	154,303	160,815
Municipal Police Training Academy	342,853	331,008	425,710	404,620	429,252
State Police	42,443,124	45,221,617	49,047,151	54,070,136	52,958,743
Office Of Public Defender	6,871,288	8,270,298	9,326,545	8,882,554	9,435,548
Subtotal - Public Safety	\$294,313,425	\$312,031,572	\$337,116,087	\$331,379,191	\$367,664,521
Natural Resources					
Environmental Management	33,277,951	38,089,653	37,530,496	36,632,436	36,131,647
Coastal Resources Management Council	1,416,887	1,681,767	2,112,667	2,130,724	1,879,559
Water Resources Board	1,087,511	1,358,691	1,937,302	1,825,672	1,909,336
Subtotal - Natural Resources	\$35,782,349	\$41,130,111	\$41,580,465	\$40,588,832	\$39,920,542
Transportation					
Transportation	-	-	-	-	-
Subtotal - Transportation	-	-	-	-	-
Total	\$2,926,928,737	\$3,076,549,219	\$3,221,527,107	\$3,210,863,934	\$3,420,668,178
Reconciliation to Statewide Preliminary Closing (2)		(398)			
		\$3,076,548,821			

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue

(2) FY2006 may not add to the State Controller's preliminary actual data due to rounding; data will be refined for final audited data.

Expenditures from Federal Funds

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
General Government					
Administration(1)	\$31,726,143	\$28,843,068	\$39,166,078	\$47,810,379	\$46,083,583
Business Regulation	-	-	-	43,291	51,742
Labor and Training	31,826,292	33,831,504	28,609,685	34,373,400	28,124,845
Revenue(1)	1,215,890	1,529,559	1,583,898	2,132,525	1,335,145
Legislature	-	-	-	-	-
Lieutenant Governor	-	-	-	-	-
Secretary of State	2,913,387	6,196,305	2,016,890	942,687	586,744
General Treasurer	1,713,752	594,152	1,022,289	1,959,592	1,916,146
Boards for Design Professionals	-	-	-	-	-
Board of Elections	1,066,871	953,176	818,900	617,574	586,894
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	-	-	-	-
Public Utilities Commission	56,367	76,231	88,567	99,853	100,124
Rhode Island Commission on Women	-	-	-	-	-
Subtotal - General Government	\$70,518,702	\$72,023,995	\$73,306,307	\$87,979,301	\$78,785,223
Human Services					
Office of Health & Human Services	-	-	245,357	2,590,789	5,826,265
Children, Youth, and Families	106,338,985	114,995,327	116,464,511	121,926,350	74,712,446
Elderly Affairs	11,769,152	15,196,099	12,623,605	13,101,374	13,056,931
Health	64,504,296	59,869,026	66,163,334	66,437,481	65,079,387
Human Services	931,182,907	964,188,277	909,098,887	895,386,699	993,596,741
Mental Health, Retardation, & Hospitals	232,779,398	240,348,677	244,652,728	247,712,769	244,143,412
Office of the Child Advocate	81,153	10,533	40,000	38,000	40,000
Commission on Deaf & Hard of Hearing	-	-	15,000	17,500	17,500
RI Developmental Disabilities Council	598,694	468,398	461,393	461,393	461,111
Governor's Commission on Disabilities	39,209	71,905	195,681	175,604	181,692
Commission for Human Rights	110,043	197,671	323,478	323,679	404,743
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$1,347,403,837	\$1,395,345,913	\$1,350,283,974	1,348,171,638	1,397,520,228
Education					
Elementary and Secondary	183,084,922	160,082,349	182,724,069	181,033,147	178,333,355
Higher Education - Board of Governors*	2,527,352	1,347,949	3,146,976	3,146,976	3,526,446
RI Council on the Arts	677,768	584,039	731,500	695,336	706,453
RI Atomic Energy Commission	64,899	136,215	375,000	340,705	420,940
Higher Education Assistance Authority	7,360,604	9,185,706	12,852,312	11,600,571	12,612,204
Historical Preservation and Heritage Comm.	569,714	581,658	487,267	605,186	529,078
Public Telecommunications Authority	360,905	-	-	-	-
Subtotal - Education	\$194,646,164	\$171,917,916	\$200,317,124	\$197,421,921	\$196,128,476

Expenditures from Federal Funds

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Public Safety					
Attorney General	\$1,585,915	\$1,390,960	\$1,055,397	\$1,245,515	\$1,322,964
Corrections	2,889,866	9,064,114	8,338,120	11,440,165	2,807,500
Judicial	2,604,779	3,026,152	2,195,821	2,879,609	1,578,692
Military Staff	17,651,183	24,001,335	32,649,782	29,157,641	22,157,534
E-911 Emergency Telephone System	66,625	171,162	70,936	170,768	-
Fire Safety Code Board of Appeal & Review	-	-	-	-	-
State Fire Marshal	121,228	129,578	191,000	414,565	227,972
Commission on Judicial Tenure & Discipline	-	-	-	-	-
Rhode Island Justice Commission	5,017,915	5,140,113	4,707,722	4,268,685	4,151,511
Municipal Police Training Academy	46,288	5,222	45,000	80,000	50,000
State Police	1,143,803	1,330,660	1,743,907	2,077,783	1,091,916
Office Of Public Defender	284,431	127,626	135,701	239,631	161,714
Subtotal - Public Safety	\$31,412,033	\$44,386,922	\$51,133,386	\$51,974,362	\$33,549,803
Natural Resources					
Environmental Management	16,417,852	18,684,016	33,786,435	37,292,430	27,747,197
Coastal Resources Management Council	2,279,028	2,086,791	1,599,392	2,059,745	1,607,000
Water Resources Board	606,874	203,685	-	64,122	-
Subtotal - Natural Resources	\$19,303,754	\$20,974,492	\$35,385,827	\$39,416,297	\$29,354,197
Transportation					
Transportation	227,723,125	250,816,104	237,746,529	272,559,520	275,263,422
Subtotal - Transportation	\$227,723,125	\$250,816,104	\$237,746,529	\$272,559,520	\$275,263,422
Total	\$1,891,007,615	\$1,955,465,342	\$1,948,173,147	\$1,997,523,039	\$2,010,601,349
Reconciliation to Statewide Preliminary Closing (2)		115			
		\$1,955,465,457			

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue.

(2) FY2006 may not add to the State Controller's preliminary actual data due to rounding; data will be refined for final audited data.

Expenditures from Restricted Receipts

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
General Government					
Administration(1)	\$7,544,690	\$1,374,500	\$5,579,789	\$6,536,747	\$12,342,311
Business Regulation	476,398	668,908	804,408	807,258	821,629
Labor and Training	22,322,945	15,355,924	22,889,473	20,082,977	28,283,698
Revenue(1)	740,854	691,134	829,451	790,838	845,506
Legislature	1,098,591	1,351,503	1,451,733	1,408,852	1,523,721
Lieutenant Governor	-	-	-	-	-
Secretary of State	391,429	458,741	486,355	216,520	443,476
General Treasurer	25,494,082	28,150,155	24,816,835	28,519,236	30,730,801
Boards for Design Professionals	-	-	-	-	-
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	117,777	-	-	-
Public Utilities Commission	4,133,122	4,446,308	6,080,429	6,208,703	6,334,717
Rhode Island Commission on Women	-	-	-	-	-
Subtotal - General Government	\$62,202,111	\$52,614,950	\$62,938,473	\$64,571,131	\$81,325,859
Human Services					
Office of Health & Human Services	-	-	211,603	415,849	445,548
Children, Youth, and Families	1,409,503	2,033,285	1,661,094	2,218,781	1,753,941
Elderly Affairs	-	2,362,357	1,250,000	665,389	690,000
Health	10,530,235	10,972,382	10,680,518	19,226,042	24,896,042
Human Services	3,539,350	3,159,075	8,608,548	8,872,956	8,123,721
Mental Health, Retardation, & Hospitals	50,000	6,776	90,000	190,000	3,040,000
Office of the Child Advocate	-	-	-	-	-
Commission on Deaf & Hard of Hearing	-	-	-	-	-
RI Developmental Disabilities Council	-	-	-	-	-
Governor's Commission on Disabilities	22,634	67,058	25,444	26,464	50,612
Commission for Human Rights	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$15,551,722	\$18,600,933	\$22,527,207	\$31,615,481	\$38,999,864
Education					
Elementary and Secondary	2,873,249	3,335,832	4,527,067	4,484,864	4,456,569
Higher Education - Board of Governors*	532,955	763,276	1,179,479	1,384,896	893,520
RI Council on the Arts	38,385	-	1,008,195	-	-
RI Atomic Energy Commission	-	-	-	-	-
Higher Education Assistance Authority	-	-	-	-	-
Historical Preservation and Heritage Comm.	174,459	307,109	266,820	491,147	496,294
Public Telecommunications Authority	-	-	-	-	-
Subtotal - Education	\$3,619,048	\$4,406,217	\$6,981,561	\$6,360,907	\$5,846,383

Expenditures from Restricted Receipts

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Public Safety					
Attorney General	\$698,341	\$719,263	\$1,073,066	\$940,298	\$973,547
Corrections	217,362	2,423	-	-	-
Judicial	6,730,331	7,631,617	8,327,627	8,346,584	8,518,792
Military Staff	156,049	264,966	430,385	363,824	407,532
E-911 Emergency Telephone System	970,693	1,746,495	2,312,113	2,483,190	-
Fire Safety Code Board of Appeal & Review	-	-	-	-	-
State Fire Marshal	-	-	-	-	-
Commission on Judicial Tenure & Discipline	-	-	-	-	-
Rhode Island Justice Commission	24,110	338	30,000	30,000	30,000
Municipal Police Training Academy	-	-	-	-	-
State Police	248,488	164,847	235,411	614,333	312,100
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$9,045,374	\$10,529,949	\$12,408,602	\$12,778,229	\$10,241,971
Natural Resources					
Environmental Management	9,171,245	9,817,745	17,552,240	18,497,716	16,844,363
Coastal Resources Management Council	134,912	669,970	3,195,000	3,165,961	679,100
Water Resources Board	848,273	327,254	400,000	400,000	-
Subtotal - Natural Resources	\$10,154,430	\$10,814,969	\$21,147,240	\$22,063,677	\$17,523,463
Transportation					
Transportation	636,146	451,005	3,066,699	644,560	661,834
Subtotal - Transportation	\$636,146	\$451,005	\$3,066,699	\$644,560	\$661,834
Total	\$101,208,831	\$97,418,023	\$129,069,782	\$138,033,985	\$154,599,374
Reconciliation to Statewide Preliminary Closing (2)		(21)			
		\$97,418,002			

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue.

(2) FY2006 may not add to the State Controller's preliminary actual data due to rounding; data will be refined for final audited data.

Expenditures from Other Funds

	FY 2005	FY 2006	FY 2007	FY 2007	FY 2008
	Actual	Unaudited	Enacted	Revised	Recommended
General Government					
Administration(1)	\$65,824,586	\$78,853,249	\$77,613,451	\$81,450,946	\$62,235,588
Business Regulation	-	-	-	-	-
Labor and Training	386,737,877	379,796,264	397,478,832	386,023,108	396,393,389
Revenue(1)	733,656	222,431,639	215,658,973	208,469,927	215,687,593
Legislature	-	-	-	-	-
Lieutenant Governor	-	-	-	-	-
Secretary of State	-	-	-	-	-
General Treasurer	5,973,949	5,851,023	303,834	313,475	293,140
Boards for Design Professionals	-	-	-	-	-
Board of Elections	-	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-	-
Governor's Office	-	49,006	92,129	88,796	95,049
Public Utilities Commission	-	-	-	-	-
Rhode Island Commission on Women	-	-	-	-	-
Subtotal - General Government	\$459,270,068	\$686,981,181	\$691,147,219	\$676,346,252	\$674,704,759
Human Services					
Office of Health & Human Services	-	-	-	-	-
Children, Youth, and Families	500	555,435	1,800,000	264,660	1,765,000
Elderly Affairs	4,607,213	4,757,150	4,800,000	4,685,000	5,285,000
Health	37,958	21,281	29,960	35,453	28,676
Human Services	-	49,951	-	-	1,300,000
Mental Health, Retardation, & Hospitals	2,797,761	3,383,445	3,987,500	5,923,107	6,367,500
Office of the Child Advocate	-	-	-	-	-
Commission on Deaf & Hard of Hearing	-	-	-	-	-
RI Developmental Disabilities Council	-	-	-	-	-
Governor's Commission on Disabilities	109,277	114,186	200,000	200,000	200,000
Commission for Human Rights	-	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-	-
Subtotal - Human Services	\$7,552,709	\$8,881,448	\$10,817,460	\$11,108,220	\$14,946,176
Education					
Elementary and Secondary	1,076,257	208,460	51,939	170,141	1,240,885
Higher Education - Board of Governors*	452,980,572	504,977,170	538,750,164	535,742,245	605,828,764
RI Council on the Arts	-	54,505	-	800,000	3,000,000
RI Atomic Energy Commission	195,125	159,686	171,206	174,200	240,654
Higher Education Assistance Authority	5,970,346	6,059,137	10,058,298	9,536,504	5,718,516
Historical Preservation and Heritage Comm.	-	-	-	-	-
Public Telecommunications Authority	1,770,356	2,130,387	828,498	1,122,669	799,656
Subtotal - Education	\$461,992,656	\$513,589,345	\$549,860,105	\$547,545,759	\$616,828,475

Expenditures from Other Funds

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Public Safety					
Attorney General	\$202,922	\$219,310	\$165,000	\$411,267	\$215,000
Corrections	1,772,048	1,675,467	7,631,140	7,869,751	7,148,123
Judicial	531,658	1,470,176	945,000	1,038,480	1,470,000
Military Staff	125,231	28,197	1,108,760	3,909,687	1,425,250
E-911 Emergency Telephone System	-	-	-	-	-
Fire Safety Code Board of Appeal & Review	-	-	-	-	-
State Fire Marshal	-	-	-	12,599	-
Commission on Judicial Tenure & Discipline	-	-	-	-	-
Rhode Island Justice Commission	-	-	-	-	-
Municipal Police Training Academy	-	-	-	-	-
State Police	2,625,172	3,031,023	3,316,321	3,900,606	8,181,544
Office Of Public Defender	-	-	-	-	-
Subtotal - Public Safety	\$5,257,031	\$6,424,173	\$13,166,221	\$17,142,390	\$18,439,917
Natural Resources					
Environmental Management	5,861,649	1,606,203	3,081,548	2,345,417	5,590,886
Coastal Resources Management Council	-	44,500	-	5,500	-
Water Resources Board	92,546	84,320	80,600	90,435	92,000
Subtotal - Natural Resources	\$5,954,195	\$1,735,023	\$3,162,148	\$2,441,352	\$5,682,886
Transportation					
Transportation	141,159,863	143,734,528	98,026,213	97,322,826	100,908,374
Subtotal - Transportation	\$141,159,863	\$143,734,528	\$98,026,213	\$97,322,826	\$100,908,374
Total	\$1,081,186,522	\$1,361,345,698	\$1,366,179,366	\$1,351,906,799	\$1,431,510,587
Reconciliation to Statewide Preliminary Closing (2)		1,306,599			
		\$1,362,652,297			

(1) Department of Administration history adjusted for Taxation and Division of Motor Vehicles transferred in FY2007 to new Department of Revenue.

(2) FY2006 may not add to the State Controller's preliminary actual data due to rounding; data will be refined for final audited data.

Full-Time Equivalent Positions

	FY 2005	FY 2006	FY 2007 Enacted	FY 2007 Revised**	FY 2008**
General Government					
Administration	877.7	777.9	1,077.3	1,065.2	882.2
Business Regulation	109.0	110.0	102.7	103.0	103.0
Labor & Training	513.7	510.7	467.9	467.9	455.9
Legislature	280.0	289.0	275.2	294.0	298.2
Office of the Lieutenant Governor	10.0	10.0	9.5	9.5	9.5
Secretary of State	59.0	59.0	55.9	56.0	56.0
General Treasurer	87.5	87.5	86.2	86.5	86.5
Boards for Design Professionals	4.0	4.0	3.8	4.0	4.0
Board Of Elections	15.0	15.0	14.3	14.0	14.0
Rhode Island Ethics Commission	12.0	12.0	11.4	12.0	14.0
Office of the Governor	47.5	49.5	46.0	46.0	46.0
Public Utilities Commission	46.0	46.0	45.7	45.7	45.7
Revenue	425.5	492.0	472.1	473.0	482.0
Rhode Island Commission on Women	1.0	1.0	0.9	1.0	1.0
Subtotal - General Government	2,487.9	2,463.6	2,668.9	2,677.8	2,498.0
Human Services					
Children, Youth, and Families	849.8	849.8	789.8	789.0	810.0
Elderly Affairs	52.0	52.0	50.5	48.0	47.0
Health	497.9	499.4	465.6	465.6	457.7
Human Services	1,069.6	1,173.4	1,111.0	1,111.0	1,083.0
Mental Health, Retardation, & Hospitals	1,992.7	1,992.7	1,817.3	1,824.3	1,603.0
Office of the Child Advocate	5.8	6.1	5.8	5.8	5.8
Commission On the Deaf & Hard of Hearing	3.0	3.0	2.8	3.0	3.0
RI Developmental Disabilities Council	2.0	2.0	2.0	2.0	2.0
Governor's Commission on Disabilities	6.6	6.6	6.3	6.6	5.6
Office of Health and Human Services			5.0	5.0	5.0
Commission for Human Rights	15.0	15.0	14.4	14.5	14.5
Office of the Mental Health Advocate	3.7	3.7	3.5	3.7	3.7
Subtotal - Human Services	4,498.1	4,603.7	4,274.0	4,278.5	4,040.3
Education					
Elementary and Secondary Education	333.1	339.1	124.5	131.2	134.2
Davies	-	-	133.0	133.0	133.0
School for the Deaf	-	-	68.0	68.0	68.0
Elementary Secondary Education - Total	333.1	339.1	325.5	332.2	335.2
Office of Higher Education Non-Sponsored Research	22.0	22.0	21.0	21.0	21.0
URI Non-Sponsored Research	1,952.6	1,959.6	1,940.1	1,940.1	1,943.1
RIC Non-Sponsored Research	856.2	859.2	843.5	843.5	853.5
CCRI Non-Sponsored Research	725.9	748.9	750.2	750.2	750.2
Higher Education - Total Non-Sponsored	3,556.7	3,589.7	3,554.8	3,554.8	3,567.8

Full-Time Equivalent Positions

	FY 2005	FY 2006	FY 2007 Enacted	FY 2007 Revised**	FY 2008**
RI Council On The Arts	7.0	8.0	8.6	8.6	8.6
RI Atomic Energy Commission	8.6	8.6	8.2	8.6	8.6
Higher Education Assistance Authority	46.0	46.0	45.6	46.0	46.0
Historical Preservation and Heritage Commission	17.6	17.6	17.0	17.6	17.6
Public Telecommunications Authority	22.0	22.0	21.4	20.0	20.0
Subtotal - Education	3,991.0	4,031.0	3,981.1	3,987.8	4,003.8
Public Safety					
Attorney General	230.5	234.5	221.9	234.8	234.8
Corrections	1,586.0	1,589.0	1,498.6	1,498.6	1,508.6
Judicial	743.5	742.0	723.4	732.5	728.5
Military Staff	98.0	105.0	103.1	109.0	110.0
E-911	50.6	53.6	49.9	53.6	53.6
Fire Safety Code Board of Appeal and Review	3.0	3.0	2.8	3.0	3.0
RI State Fire Marshal	32.0	38.0	36.1	36.0	36.0
Commission on Judicial Tenure and Discipline	1.0	1.0	0.9	1.0	1.0
Rhode Island Justice Commission	9.0	8.5	6.4	7.6	7.6
Municipal Police Training Academy	4.0	4.0	3.7	4.0	4.0
State Police	274.0	282.0	268.5	273.0	280.0
Office of the Public Defender	87.5	93.5	93.5	93.5	92.5
Subtotal - Public Safety	3,119.1	3,154.1	3,008.8	3,046.6	3,059.6
Natural Resources					
Environmental Management	538.7	531.3	503.5	505.3	489.4
Coastal Resources Management Council	29.0	30.0	28.5	30.0	30.0
Water Resources Board	9.0	9.0	8.5	9.0	9.0
Subtotal - Natural Resources	576.7	570.3	540.5	544.3	528.4
Transportation					
Transportation	811.7	809.7	779.7	786.7	786.7
Subtotal - Transportation	811.7	809.7	779.7	786.7	786.7
Total Non Sponsored	15,484.5	15,632.4	15,253.0	15,321.7	14,916.8
Higher Education Sponsored Research *					
Office	1.0	1.0	1.0	1.0	1.0
CCRI	100.0	100.0	100.0	100.0	100.0
RIC	78.0	82.0	82.0	82.0	82.0
URI	602.0	602.0	602.0	602.0	602.0
Subtotal Sponsored Research	781.0	785.0	785.0	785.0	785.0
Total Personnel Authorizations	16,265.5	16,417.4	16,038.0	16,106.7	15,701.8
Total Personnel **	16,265.5	16,417.4	16,038.0	16,106.7	15,701.8

*A total of 785.0 FTE positions in Higher Education in FY 2008 represent FTE's supported by sponsored research funds. Commencing in FY2005, these positions were included in the overall FTE Cap. In addition, there are separate caps for each program and for sponsored/non-sponsored research FTE's.

**See Changes in Full-Time Equivalent Positions from FY 2007 for explanation of FY 2008 Final Enacted

Changes to Full-Time Equivalent Positions FY 2007/FY 2008

	FY 2007 Revised	Program Reductions	Vacancy Reductions	Program Adds	Transfers	FY 2008 Recommend	FY 2007-2008 Variance
General Government							
Administration	1,065.2	(11.0)		2.0	(6.0)	882.2	(183.0)
Reduction In Force		(168.0)					
Business Regulation	103.0					103.0	-
Labor & Training	467.9	(14.3)		2.3		455.9	(12.0)
Legislature	294.0			4.2		298.2	4.2
Office of the Lieutenant Governor	9.5					9.5	-
Secretary of State	56.0					56.0	-
General Treasurer	86.5					86.5	-
Boards for Design Professionals	4.0					4.0	-
Board Of Elections	14.0					14.0	-
Rhode Island Ethics Commission	12.0			2.0		14.0	2.0
Office of the Governor	46.0					46.0	-
Public Utilities Commission	45.7					45.7	-
Revenue	473.0				9.0	482.0	9.0
Rhode Island Commission on Women	1.0					1.0	-
Subtotal - General Government	2,677.8	(193.3)	-	10.5	3.0	2,498.0	(179.8)
Human Services							
Children, Youth, and Families	789.0			21.0		810.0	21.0
Elderly Affairs	48.0		(1.0)			47.0	(1.0)
Health	465.6	(6.5)	(1.6)	0.2		457.7	(7.9)
Human Services	1,111.0			3.0		1,083.0	(28.0)
Privatization		(31.0)					
Mental Health, Retardation, & Hospitals	1,824.3	(38.3)				1,603.0	(221.3)
Privatization		(183.0)					
Office of the Child Advocate	5.8					5.8	-
Commission On the Deaf & Hard of Hearing	3.0					3.0	-
RI Developmental Disabilities Council	2.0					2.0	-
Governor's Commission on Disabilities	6.6		(1.0)			5.6	(1.0)
Office of Health and Human Services	5.0					5.0	-
Commission for Human Rights	14.5					14.5	-
Office of the Mental Health Advocate	3.7					3.7	-
Subtotal - Human Services	4,278.5	(258.8)	(3.6)	24.2	-	4,040.3	(238.2)
Education							
Elementary and Secondary Education	131.2			3.0		134.2	3.0
Davies	133.0					133.0	-
School for the Deaf	68.0					68.0	-
Elementary Secondary Education - Total	332.2	-	-	3.0	-	335.2	3.0
Office of Higher Educ. Non-Spon.Research	21.0					21.0	-
URI Non-Sponsored Research	1,940.1			3.0		1,943.1	3.0
RIC Non-Sponsored Research	843.5			10.0		853.5	10.0
CCRI Non-Sponsored Research	750.2					750.2	0.0
Higher Education - Total Non-Sponsored	3,554.8	-	-	13.0	-	3,567.8	13.0
RI Council On The Arts	8.6					8.6	-
RI Atomic Energy Commission	8.6					8.6	-
Higher Education Assistance Authority	46.0					46.0	-
Historical Preservation and Heritage Comm.	17.6					17.6	-
Public Telecommunications Authority	20.0					20.0	-
Subtotal - Education	3,987.8	-	-	16.0	-	4,003.8	16.0

Changes to Full-Time Equivalent Positions FY 2007/FY 2008

	FY 2007 Revised	Program Reductions	Vacancy Reductions	Program Adds	Transfers	FY 2008 Recommend	FY 2007-2008 Variance
Public Safety							
Attorney General	234.8					234.8	-
Corrections	1,498.6			10.0		1,508.6	10.0
Judicial	732.5	(3.0)	(1.0)			728.5	(4.0)
Military Staff	109.0			1.0		110.0	1.0
E-911 Emergency Telephone System	53.6					53.6	-
Fire Safety Code Board of Appeal and Review	3.0					3.0	-
RI State Fire Marshal	36.0					36.0	-
Commission on Judicial Tenure and Discipline	1.0					1.0	-
Rhode Island Justice Commission	7.6					7.6	-
Municipal Police Training Academy	4.0					4.0	-
State Police	273.0			7.0		280.0	7.0
Office of the Public Defender	93.5	(1.0)				92.5	(1.0)
Subtotal - Public Safety	3,046.6	(4.0)	(1.0)	18.0	-	3,059.6	13.0
Natural Resources							
Environmental Management	505.3	(10.0)	(2.9)		(3.0)	489.4	(15.9)
Coastal Resources Management Council	30.0					30.0	-
Water Resources Board	9.0					9.0	-
Subtotal - Natural Resources	544.3	(10.0)	(2.9)	-	(3.0)	528.4	(15.9)
Transportation							
Transportation	786.7					786.7	-
Subtotal - Transportation	786.7	-	-	-	-	786.7	-
Total	15,321.7	(466.1)	(7.5)	68.7	-	14,916.8	(404.9)
Higher Education Sponsored Research							
Office	1.0					1.0	-
CCRI	100.0					100.0	-
RIC	82.0					82.0	-
URI	602.0					602.0	-
Subtotal Sponsored Research	785.0	-	-	-	-	785.0	-
Total Personnel Authorizations	16,106.7	(466.1)	(7.5)	68.7	-	15,701.8	(404.9)
Higher Education Exempt Sponsored Research *	-	-	-	-	-	-	-
Total Personnel	16,106.7	(466.1)	(7.5)	68.7	-	15,701.8	(404.9)

General Revenues as Recommended

	FY 2005 Actual	FY 2006 Preliminary	FY 2007 Revised	FY 2008 Recommended
Personal Income Tax	\$979,082,106	\$996,792,298	\$1,068,800,000	\$1,096,156,883
General Business Taxes				
Business Corporations	116,026,739	166,230,970	137,500,000	128,880,982
Public Utilities Gross Earnings	86,357,789	96,027,349	103,300,000	103,450,000
Financial Institutions	(1,480,365)	3,988,509	1,000,000	1,003,344
Insurance Companies	53,333,488	52,877,704	52,700,000	52,782,718
Bank Deposits	1,524,111	1,494,366	1,600,000	1,600,000
Health Care Provider Assessment	46,827,408	47,002,360	48,500,000	49,600,000
Sales and Use Taxes				
Sales and Use	847,726,627	870,131,045	895,000,000	929,500,000
Motor Vehicle	47,137,097	52,625,943	48,000,000	54,890,209
Motor Fuel	1,961,280	53,635	1,000,000	1,100,000
Cigarettes	136,342,162	126,522,196	121,700,000	117,500,000
Alcohol	10,536,807	10,870,193	11,000,000	11,300,000
Controlled Substances	-	-	-	-
Other Taxes				
Inheritance and Gift	32,980,957	39,383,663	32,500,000	32,500,000
Racing and Athletics	3,990,803	3,489,811	3,100,000	2,800,000
Realty Transfer	14,423,038	14,591,822	13,800,000	14,100,000
Total Taxes	2,376,770,047	2,482,081,864	2,539,500,000	2,597,164,136
Departmental Receipts	267,952,890	287,430,365	296,363,745	378,576,314
Taxes and Departmentals	2,644,722,937	2,769,512,229	2,835,863,745	2,975,740,450
Other Sources				
Gas Tax Transfer	9,022,662	4,322,195	4,685,000	4,685,000
Other Miscellaneous	28,197,313	31,163,069	46,406,706	93,505,000
Lottery	307,549,646	323,899,490	321,968,423	339,852,446
Unclaimed Property	15,617,732	14,243,183	11,482,558	13,669,210
Other Sources	360,387,353	373,627,937	384,542,687	451,711,656
Total General Revenues	\$3,005,110,290	\$3,143,140,166	\$3,220,406,432	\$3,427,452,106

Changes to FY 2007 Enacted Revenue Estimates

	Enacted	Revenue Estimating Conference Estimates	Changes to Adopted Estimates	Total
Personal Income Tax	\$1,051,789,361	\$17,010,639	\$0	\$1,068,800,000
General Business Taxes				
Business Corporations	122,290,936	15,209,064	-	137,500,000
Public Utilities Gross Earnings	99,300,000	(2,600,000)	6,600,000	103,300,000
Financial Institutions	(6,200,000)	7,200,000	-	1,000,000
Insurance Companies	52,700,000	-	-	52,700,000
Bank Deposits	1,600,000	-	-	1,600,000
Health Care Provider Assessment	49,864,000	(1,364,000)	-	48,500,000
Sales and Use Taxes				
Sales and Use	920,774,717	(25,774,717)	-	895,000,000
Motor Vehicle	50,000,000	(2,000,000)	-	48,000,000
Motor Fuel	1,106,029	(106,029)	-	1,000,000
Cigarettes	123,791,000	(2,091,000)	-	121,700,000
Alcohol	11,000,000	-	-	11,000,000
Controlled Substances	-	-	-	-
Other Taxes				
Inheritance and Gift	32,073,614	426,386	-	32,500,000
Racing and Athletics	3,300,000	(200,000)	-	3,100,000
Realty Transfer	15,000,000	(1,200,000)	-	13,800,000
Total Taxes	\$2,528,389,657	\$4,510,343	\$6,600,000	\$2,539,500,000
Departmental Receipts	\$296,432,332	(\$1,232,332)	\$1,163,745	\$296,363,745
Taxes and Departmentals	\$2,824,821,989	\$3,278,011	\$7,763,745	\$2,835,863,745
Other Sources				
Gas Tax Transfer	\$4,927,335	(\$242,335)	\$0	\$4,685,000
Other Miscellaneous	60,779,212	(35,243,212)	20,870,706	46,406,706
Lottery	362,500,000	(41,500,000)	968,423	321,968,423
Unclaimed Property	10,800,000	(500,000)	1,182,558	11,482,558
Other Sources	\$439,006,547	(\$77,485,547)	\$23,021,687	\$384,542,687
Total General Revenues	\$3,263,828,536	(\$74,207,536)	\$30,785,432	\$3,220,406,432

Changes to FY 2008 Adopted Revenue Estimates

	Revenue Estimating Conference Estimates	Changes to the Adopted Estimates	Total
Personal Income Tax	\$1,087,600,000	\$8,556,883	\$1,096,156,883
General Business Taxes			
Business Corporations	129,000,000	(119,018)	128,880,982
Public Utilities Gross Earnings	95,900,000	7,550,000	103,450,000
Financial Institutions	1,000,000	3,344	1,003,344
Insurance Companies	51,700,000	1,082,718	52,782,718
Bank Deposits	1,600,000	-	1,600,000
Health Care Provider Assessmen	49,600,000	-	49,600,000
Sales and Use Taxes			
Sales and Use	929,500,000	-	929,500,000
Motor Vehicle	49,000,000	5,890,209	54,890,209
Motor Fuel	1,100,000	-	1,100,000
Cigarettes	117,500,000	-	117,500,000
Alcohol	11,300,000	-	11,300,000
Controlled Substances	-	-	-
Other Taxes			
Inheritance and Gift	32,500,000	-	32,500,000
Racing and Athletics	2,800,000	-	2,800,000
Realty Transfer	14,100,000	-	14,100,000
Total Taxes	\$2,574,200,000	\$22,964,136	\$2,597,164,136
Departmental Receipts	\$236,300,000	\$142,276,314	\$378,576,314
Taxes and Departmentals	\$2,810,500,000	\$165,240,450	\$2,975,740,450
Other Sources			
Gas Tax Transfer	\$4,685,000	\$0	\$4,685,000
Other Miscellaneous	90,505,000	3,000,000	93,505,000
Lottery	337,700,000	2,152,446	339,852,446
Unclaimed Property	12,400,000	1,269,210	13,669,210
Other Sources	\$445,290,000	\$6,421,656	\$451,711,656
Total General Revenues	\$3,255,790,000	\$171,662,106	\$3,427,452,106

General Revenue Changes to Adopted Estimates

FY 2007

Taxes

General Business Taxes

Public Utilities: Delay and phase in Energy Act Assessment and Credit \$6,600,000

Subtotal: General Business Taxes **\$6,600,000**

Subtotal: All Taxes **\$6,600,000**

Departmental Revenues

Licenses and Fees

E-911: Transfer Balance to General Revenues \$295,877

DOH: Increase Various Professional Regulation Fees 150,000

DOH: Increase Radon Fees 60,000

Subtotal: Licenses and Fees **\$505,877**

Fines and Penalties

Judiciary: Require Admin. Cost Collection for Good Driving Dismissal \$174,356

Subtotal: Fines and Penalties **\$174,356**

Sales and Services

DOC: Maintain Visitor Lockers and Collect Locker Revenue In-House \$90,000

Subtotal: Sales and Service **\$90,000**

Miscellaneous Departmental Revenues

DBR: New Healthcare Regional Quality Award \$116,083

DOH: New Pickering Commonwealth Grant 277,429

Subtotal: Miscellaneous Departmental Revenues **\$393,512**

Subtotal: All Departmental Revenues **\$1,163,745**

Other Sources

Other Miscellaneous

Military Staff: Reimbursement for Mutual State Assistance (Mississippi) \$420,706

PUC Escrow Fund Dual Party Phone Relay Service 450,000

Tobacco Proceeds Dedicated to Capital project 20,000,000

Subtotal: Other Miscellaneous **\$20,870,706**

Lottery

Retain Unclaimed Dedication to the Narragansett Indian Tribe until Claimed \$968,423

General Revenue Changes to Adopted Estimates

	<i>Subtotal: Lottery</i>	<i>\$968,423</i>
<i>Unclaimed Property</i>		
Modify Reserves from 33% to 25%		\$1,182,558
	<i>Subtotal: Unclaimed Property</i>	<i>\$1,182,558</i>
	Subtotal: Other Sources	\$23,021,687
	Total FY 2007 General Revenue Adjustments	\$30,785,432

General Revenue Changes to Adopted Estimates

FY 2008

Taxes

Personal Income Taxes

EDC Historic Structures Tax Credit Buyback Plan \$8,556,883

Subtotal: Personal Income Taxes **\$8,556,883**

General Business Taxes

Corporations: EDC Historic Structures Tax Credit Buyback Plan \$357,055

Corporations: Reinstigate Small Business Administration tax credit (476,073)

Public Utilities: Delay and phase in Energy Act Assessment and Credits 7,550,000

Financial Institutions: EDC Historic Structures Tax Credit Buyback Plan 3,344

Insurance: EDC Historic Structures Tax Credit Buyback Plan 1,082,718

Subtotal: General Business Taxes **\$8,517,044**

Sales and Use Taxes

Motor Vehicle: Transaction Fee on Registry Activity \$2,254,809

Motor Vehicle: Auto Registration Fees Based on Gross Vehicle Weight 3,635,400

Subtotal: Sales and Use Taxes **\$5,890,209**

Subtotal: All Taxes **\$22,964,136**

Departmental Revenues

Licenses and Fees

DHS: Reinstigate the Hospital Licensing Fee \$71,628,492

DBR: License Mortgage Originators 1,330,000

DBR: Increase Gaming Workers' License Fees 216,075

DOA: Increase Building Contractors' Registration Board Fee 960,000

DOR: Decrease State's Share of Emissions Testing Fee (3,150,000)

DOH: Charge Provider Tax for Diagnostic, Surgical, and Imaging Centers 4,000,000

DHS: Accelerate Hospital Settlement Payments 14,130,804

DEM: Increase Pesticide Fee 650,000

DOH: Increase Various Professional Regulation Fees 3,950,152

DOH: Increase Radon Fees 120,000

Fire Marshal: Increase Fire Safety Fees 100,000

DHS: Improve Child Support Enforcement and Amnesty 220,000

E-911: Move GIS Surcharge to General Revenues 2,235,185

Subtotal: Licenses and Fees **\$96,390,708**

Fines and Penalties

Judiciary: Require Admin. Cost Collection for Good Driving Dismissals \$697,425

Subtotal: Fines and Penalties **\$697,425**

General Revenue Changes to Adopted Estimates

FY 2008

Sales and Services

DEM: Sell Land at Port of Providence	\$500,000
MHRH: Land Sales-Plains St. (Net)	2,400,000
MHRH: Sell Land in Charlestown	3,400,000
MHRH: Sell Seven Group Homes	2,000,000
DOH: Property sales -Chapin Health Laboratory	10,900,000
DOC: Maintain Visitor Lockers and Collect Locker Revenue In-House	90,000
DOA: Sell Johnston Computer Center	1,500,000
DOA: Sell Land Near Garrahy Judiciary Complex with Provisions	7,500,000

Subtotal: Sales and Services **\$28,290,000**

Miscellaneous Departmental Revenues

DOA: Rebates from Banking Arrangement	\$1,000,000
DBR: New Healthcare Regional Quality Award	107,984
DBR: Transfer of Reserves from Insurers	15,150,986
DHS: Reduce Child Support Set Aside Payments	500,000
DOH: New Pickering Commonwealth Grant	139,211

Subtotal: Miscellaneous Departmental Revenues **\$16,898,181**

Subtotal: All Departmental Revenues **\$142,276,314**

Other Sources

Other Miscellaneous

Repeal Restriction on Bond Premiums from General Fund Use	\$3,000,000
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Subtotal: Other Miscellaneous **\$3,000,000**

Lottery

Retain Unclaimed Dedication to the Narragansett Indian Tribe until Claimed	\$594,305
State Share on Simulcast on Parity with Live Parimutal Wagering	1,558,141

Subtotal: Lottery **\$2,152,446**

Unclaimed Property

Transfer compliance to Taxation and Modify Reserves from 33% to 25%	\$1,269,210
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Subtotal: Unclaimed Property **\$1,269,210**

Subtotal: Other Sources **\$6,421,656**

Total FY 2008 General Revenue Adjustments **\$171,662,106**

Other Revenue Enhancements

FY 2008

Restricted Receipts

DEM: TransAtlantic Cable Fee	\$240,000
DEM: Septage Haul Fee	480,000
Secretary of State: Increase the Historical Records Trust Fee by \$1.00	250,000

Subtotal: Restricted Receipts **\$970,000**

Total FY 2008 Other Revenue Adjustments **\$970,000**

General Revenue Budget Surplus Statement

	FY2005 Audited	FY2006 Unaudited	FY2007 Enacted	FY2007 Revised	FY2008 Recommended
Surplus					
Opening Surplus	\$ 24,451,367	\$ 38,698,361	\$ 23,721,453	\$ 37,760,784	\$ 63,091,466
Audit Adjustments				570,163	
Reappropriated Surplus	10,145,888	13,489,214		17,381,365	-
Subtotal	34,597,255	52,187,575	23,721,453	55,712,312	63,091,466
General Taxes	2,376,770,047	2,482,081,864	2,528,389,657	2,528,389,657	2,574,200,000
Revenue estimators' revision	-			4,510,343	
Changes to adopted revenue estimates				6,600,000	22,964,136
Subtotal	2,376,770,047	2,482,081,864	2,528,389,657	2,539,500,000	2,597,164,136
Departmental Revenues	267,952,890	287,430,365	296,432,332	296,432,332	236,300,000
Revenue estimators' revision	-			(1,232,332)	
Changes to adopted revenue estimates				1,163,745	142,276,314
Subtotal	267,952,890	287,430,365	296,432,332	296,363,745	378,576,314
Other Sources					
Gas Tax Transfers	9,022,662	4,322,195	4,927,335	4,927,335	4,685,000
Revenue estimators' revision	-			(242,335)	
Changes to adopted revenue estimates					
Other Miscellaneous	28,197,313	31,163,069	60,779,212	60,779,212	90,505,000
Rev Estimators' revision-Miscellaneous	-			(35,243,212)	-
Changes to adopted revenue estimates				20,870,706	3,000,000
Lottery	307,549,646	323,899,490	362,500,000	362,500,000	337,700,000
Revenue Estimators' revision-Lottery	-			(41,500,000)	
Changes to adopted revenue estimates				968,423	2,152,446
Unclaimed Property	15,617,732	14,243,183	10,800,000	10,800,000	12,400,000
Revenue Estimators' revision-Unclaimed	-			(500,000)	
Changes to adopted revenue estimates				1,182,558	1,269,210
Subtotal	360,387,353	373,627,937	439,006,547	384,542,687	451,711,656
Total Revenues	\$ 3,005,110,290	\$ 3,143,140,166	\$ 3,263,828,536	\$ 3,220,406,432	\$ 3,427,452,106
Budget Stabilization	(60,591,233)	(63,636,771)	(65,751,000)	(65,163,344)	(69,810,871)
Total Available	\$ 2,979,116,312	\$ 3,131,690,970	\$ 3,221,798,989	\$ 3,210,955,400	\$ 3,420,732,701
Actual/Enacted Expenditures	\$ 2,926,928,737	\$ 3,076,548,821	\$ 3,221,527,107	\$ 3,221,527,107	\$ 3,420,668,178
Reappropriations	-	-	-	17,381,365	-
Supplemental Appropriations	-	-	-	(28,044,538)	-
Total Expenditures	\$ 2,926,928,737	\$ 3,076,548,821	\$ 3,221,527,107	\$ 3,210,863,934	\$ 3,420,668,178
Free Surplus	\$ 38,698,361	\$ 37,760,784	\$ 271,882	\$ 91,466	\$ 64,523
Transfer from Budget Reserve Fund*				63,000,000	
Reappropriations	13,489,214	17,381,365	-	-	-
Total Ending Balances	\$ 52,187,575	\$ 55,142,149	\$ 271,882	\$ 63,091,466	\$ 64,523
Budget Reserve and Cash					
Stabilization Account	\$ 90,866,850	\$ 95,455,156	\$ 98,626,500	\$ 34,745,016	\$ 104,555,887

* In FY2007, the Governor proposes that a transfer of \$63,000,000 be made from the Budget Reserve Fund into the General Fund as a result of the November Revenue Estimating Conference's revenue estimates which are \$74.2 million lower than enacted revenues. The decision to access the Budget Reserve Fund in FY2007 is tied to the FY2008 recommended financing plan. Until the Budget Reserve Fund is replenished through the formula driven two percent revenue contribution, there will be no resources in the RICAP Fund for FY2008. For FY2008, the Governor proposes that capital expenditures totaling \$72.5 million be funded from a deposit into the RI Capital Fund from the Tobacco Settlement Financing Fund.

Changes to FY 2007

Change to FY2007 General Revenue Budget Surplus

	FY2007 Enacted		FY2007 Revised	Difference
Surplus				
Opening Surplus	\$ 23,721,453	\$	37,760,784	\$ 14,039,331
Audit Adjustments			570,163	570,163
Reappropriated Surplus			17,381,365	17,381,365
Subtotal	23,721,453		55,712,312	31,990,859
General Taxes				
	2,528,389,657		2,528,389,657	-
Revenue estimators' revision			4,510,343	4,510,343
Changes to adopted revenue estimates			6,600,000	6,600,000
Subtotal	2,528,389,657		2,539,500,000	11,110,343
Departmental Revenues				
	296,432,332		296,432,332	-
Revenue estimators' revision			(1,232,332)	(1,232,332)
Changes to adopted revenue estimates			1,163,745	1,163,745
Subtotal	296,432,332		296,363,745	(68,587)
Other Sources				
Gas Tax Transfers	4,927,335		4,927,335	-
Revenue estimators' revision			(242,335)	(242,335)
Changes to adopted revenue estimates				-
Other Miscellaneous	60,779,212		60,779,212	-
Rev Estimators' revision-Miscellaneous			(35,243,212)	(35,243,212)
Changes to adopted revenue estimates			20,870,706	20,870,706
Lottery	362,500,000		362,500,000	-
Revenue Estimators' revision-Lottery			(41,500,000)	(41,500,000)
Changes to adopted revenue estimates			968,423	968,423
Unclaimed Property	10,800,000		10,800,000	-
Revenue Estimators' revision-Unclaimed			(500,000)	(500,000)
Changes to adopted revenue estimates			1,182,558	1,182,558
Subtotal	439,006,547		384,542,687	(54,463,860)
Total Revenues	\$ 3,263,828,536	\$	3,220,406,432	\$ (43,422,104)
Budget Stabilization	(65,751,000)		(65,163,344)	587,655
Total Available	\$ 3,221,798,989	\$	3,210,955,400	\$ (10,843,589)
Actual/Enacted Expenditures	\$ 3,221,527,107	\$	3,221,527,107	-
Reappropriations			17,381,365	17,381,365
Supplemental Appropriations	-		(28,044,538)	(28,044,538)
Total Expenditures	\$ 3,221,527,107	\$	3,210,863,934	\$ (10,663,173)
Free Surplus	\$ 271,882	\$	91,466	\$ (180,416)
Transfer from Budget Reserve Fund*			63,000,000	63,000,000
Reappropriations	-		-	-
Total Ending Balances	\$ 271,882	\$	63,091,466	\$ 62,819,584
Budget Reserve and Cash Stabilization Account	\$ 98,626,500	\$	34,745,016	(63,881,484)

* In FY2007, the Governor proposes that a transfer of \$63,000,000 be made from the Budget Reserve Fund into the General Fund as a result of the November Revenue Estimating Conference's revenue estimates which are \$74.2 million lower than enacted revenues. The decision to access the Budget Reserve Fund in FY2007 is tied to the FY2008 recommended financing plan. Until the Budget Reserve Fund is replenished through the formula driven two percent revenue contribution, there will be no resources in the RICAP Fund for FY2008. For FY2008, the Governor proposes that capital expenditures totaling \$72.5 million be funded from a deposit into the RI Capital Fund from the Tobacco Settlement Financing Fund.

Changes to FY 2007 Enacted General Revenue Expenditures

	FY 2007 Enacted	Reappropriation	Redistribution Of Enacted Personnel Savings	Supplemental Changes	Projected Expenditures	Change from Enacted Budget
General Government						
Administration	\$442,478,140	\$2,817,068	\$36,474,745	(\$30,316,442)	\$451,453,511	\$8,975,371
Business Regulation	\$10,934,704	326,596	(\$503,357)	\$54,621	\$10,812,564	(\$122,140)
Labor and Training	\$6,841,850	38,253	(\$158,761)	\$275,671	\$6,997,013	\$155,163
Department of Revenue	\$37,458,039	-	(\$1,540,237)	(\$143,889)	\$35,773,913	(\$1,684,126)
Legislature	\$32,219,892	3,256,266	(\$1,428,017)	(\$575,244)	\$33,472,897	\$1,253,005
Lieutenant Governor	\$963,012	-	(\$47,835)	(\$18,761)	\$896,416	(\$66,596)
Secretary of State	\$5,940,917	-	(\$202,185)	\$367,814	\$6,106,546	\$165,629
General Treasurer	\$2,953,000	-	(\$120,872)	(\$169,327)	\$2,662,801	(\$290,199)
Boards for Design Professionals	\$390,153	-	(\$16,252)	\$6,339	\$380,240	(\$9,913)
Board of Elections	\$2,516,239	-	(\$72,162)	\$1,240,915	\$3,684,992	\$1,168,753
Rhode Island Ethics Commission	\$1,297,421	-	(\$56,377)	\$32,187	\$1,273,231	(\$24,190)
Governor's Office	\$4,952,015	-	(\$233,765)	(\$36,649)	\$4,681,601	(\$270,414)
Public Utilities Commission	\$743,985	16,329	(\$18,513)	(\$3,990)	\$737,811	(\$6,174)
Rhode Island Commission on Women	\$99,715	-	(\$4,340)	\$3,648	\$99,023	(\$692)
Subtotal - General Government	\$549,789,082	\$6,454,512	\$32,072,072	(\$29,283,107)	\$559,032,559	\$9,243,477
Human Services						
Office of Health & Human Services	\$313,160	-	(\$13,707)	(\$2,435)	\$297,018	(\$16,142)
Children, Youth, and Families	\$173,191,438	108,011	(\$2,758,533)	\$10,837,838	\$181,378,754	\$8,187,316
Elderly Affairs	\$20,095,705	-	(\$142,969)	(\$588,165)	\$19,364,571	(\$731,134)
Health	\$33,303,209	112,766	(\$1,061,736)	\$2,063,340	\$34,417,579	\$1,114,370
Human Services	\$725,054,386	1,610,321	(\$2,280,848)	(\$7,957,801)	\$716,426,058	(\$8,628,328)
Mental Health, Retardation, & Hosp.	\$238,455,569	201,777	(\$3,538,993)	\$2,939,645	\$238,057,998	(\$397,571)
Office of the Child Advocate	\$558,096	6,828	(\$26,211)	\$19,961	\$558,674	\$578
Comm. on Deaf & Hard of Hearing	\$355,329	-	(\$13,873)	\$1,068	\$342,524	(\$12,805)
Governor's Commission on Disabilities	\$602,202	-	(\$27,623)	(\$21,907)	\$552,672	(\$49,530)
Commission for Human Rights	\$1,075,216	-	(\$47,441)	(\$38,145)	\$989,630	(\$85,586)
Office of the Mental Health Advocate	\$409,492	-	(\$19,591)	\$13,512	\$403,413	(\$6,079)
Subtotal - Human Services	\$ 1,193,413,802	\$2,039,703	(\$9,931,525)	\$7,266,911	\$1,192,788,891	(\$624,911)
Education						
Elementary and Secondary	\$889,376,406	277,949	(\$1,385,128)	(\$3,965,969)	\$884,303,258	(\$5,073,148)
Higher Education - Board of Governors	\$196,731,350	-	(\$6,358,670)	(\$881,178)	\$189,491,502	(\$7,239,848)
RI Council on the Arts	\$2,841,466	16,600	(\$34,012)	(\$59,089)	\$2,764,965	(\$76,501)
RI Atomic Energy Commission	\$836,702	-	(\$38,090)	\$11,919	\$810,531	(\$26,171)
Higher Education Assistance Authority	\$6,747,402	-	(\$30,271)	(\$8,636)	\$6,708,495	(\$38,907)
Historical Preservation & Heritage Comm	\$1,705,676	19,944	(\$53,985)	\$6,289	\$1,677,924	(\$27,752)
Public Telecommunications Authority	\$1,388,669	-	(\$57,778)	(\$13,105)	\$1,317,786	(\$70,883)
Subtotal - Education	\$ 1,099,627,671	314,493	(\$7,957,934)	(\$4,909,769)	\$1,087,074,461	(\$12,553,210)
Public Safety						
Attorney General	\$20,843,701	77,425	(\$944,130)	\$336,535	\$20,313,531	(\$530,170)
Corrections	\$162,442,311	7,168,937	(\$6,468,934)	(\$6,360,984)	\$156,781,330	(\$5,660,981)
Judicial	\$83,973,989	-	(\$3,184,528)	\$53,373	\$80,842,834	(\$3,131,155)
Military Staff	\$3,164,919	-	(\$73,212)	(\$265,594)	\$2,826,113	(\$338,806)
E-911	\$4,485,669	-	(\$205,774)	(\$181,534)	\$4,098,361	(\$387,308)
Fire Safety Code Bd. of Appeal	\$289,299	-	(\$12,725)	\$20,794	\$297,368	\$8,069
Fire Safety & Training Academy	\$2,838,049	12,860	(\$121,691)	(\$132,393)	\$2,596,825	(\$241,224)
Comm. on Judicial Tenure	\$114,772	-	(\$4,243)	\$687	\$111,216	(\$3,556)
Rhode Island Justice Commission	\$163,972	-	(\$6,167)	(\$3,502)	\$154,303	(\$9,669)
Municipal Police Training Academy	\$425,710	-	(\$18,229)	(\$2,861)	\$404,620	(\$21,090)
State Police	\$49,047,151	1,151,068	(\$1,236,082)	\$5,107,999	\$54,070,136	\$5,022,985
Office Of Public Defender	\$9,326,545	10,044	(\$440,603)	(\$13,432)	\$8,882,554	(\$443,991)
Subtotal - Public Safety	\$337,116,087	8,420,334	(\$12,716,318)	(\$1,440,912)	\$331,379,191	(\$5,736,896)
Natural Resources						
Environmental Management	\$37,530,496	-	(\$1,333,054)	\$434,994	\$36,632,436	(\$898,060)
Coastal Resources Management Council	\$2,112,667	-	(\$85,352)	\$103,409	\$2,130,724	\$18,057
Water Resources Board	\$1,937,302	152,323	(\$47,889)	(\$216,064)	\$1,825,672	(\$111,630)
Subtotal - Natural Resources	\$41,580,465	\$152,323	(\$1,466,295)	\$322,339	\$40,588,832	(\$991,633)
Total	\$3,221,527,107	\$17,381,365	\$0	(\$28,044,538)	\$3,210,863,934	(\$10,663,173)

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
<u>General Government</u>					
Administration					
Central Management	1,545,276	42,385			
FY2007 Personnel Savings Distribution			(74,863)		
Personnel Adjustments				10,853	
Statewide Adjustments				(14,656)	
Operating				(7,089)	
	1,545,276	42,385	(74,863)	(10,892)	1,501,906
Legal Services	2,562,185				
FY2007 Personnel Savings Distribution			(123,122)		
Legal Services (Labor Negotiations)				110,000	
Overtime - MV Appeals Officers				20,000	
Personnel - unachieved RIF savings				63,076	
Personnel - reduction in cost allocations				60,000	
Statewide Adjustments				(28,486)	
Operating & Capital Expenses				18,516	
	2,562,185	-	(123,122)	243,106	2,682,169
Accounts & Control	3,428,790				
FY2007 Personnel Savings Distribution			(174,482)		
Personnel - Overtime for year-end closing				49,049	
Statewide Adjustments				(77,777)	
Operating & Capital Expenses				(3,145)	
CMIA Interest				130,036	
	3,428,790	-	(174,482)	98,163	3,352,471
Budgeting	2,456,351	59,407			
FY2007 Personnel Savings Distribution			(118,777)		
Personnel Savings				(52,245)	
Contracted Professional Services (Cost Allocation Plans)				6,889	
Actuarial Services for Study of State Retirement (2006 Session)				11,620	
Actuarial Services for Study of Municipal Retirement Sys				14,000	
Statewide Adjustments				(23,135)	
Operating & Capital Expenses				19,735	
	2,456,351	59,407	(118,777)	(23,136)	2,373,845
Purchasing	2,416,614				
FY2007 Personnel Savings Distribution			(123,671)		
Personnel Savings				(100,238)	
Minority Business Enterprise Personnel Adjustments				18,806	
Contracted Professional Services				52,328	
Statewide Adjustments				(41,950)	
Operating & Capital Expenses				4,514	
	2,416,614	-	(123,671)	(66,540)	2,226,403
Auditing	2,057,592				
FY2007 Personnel Savings Distribution			(103,976)		
Personnel Savings				(178,247)	
Contracted Professional Services				41,250	
Statewide Adjustments				(17,983)	
Operating Expenses				48,056	
	2,057,592	-	(103,976)	(106,924)	1,846,692

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Human Resources	12,314,199	190,756			
FY2007 Personnel Savings Distribution			(581,772)		
Personnel Adjustments				15,086	
HR Service Centers Reallocation based on Projected Billings				(845,717)	
Contracted Professional Services				400	
Hewitt Contract				(100,000)	
Operating				5,924	
Statewide Adjustments				(173,442)	
Police and Fire Incentive Pay Program (moved to General)				(675,000)	
	12,314,199	190,756	(581,772)	(1,772,749)	10,150,434
Personnel Appeal Board	102,849				
FY2007 Personnel Savings Distribution			(3,316)		
Personnel Adjustments				5,465	
Legal Services				(10,000)	
Statewide Adjustments				(292)	
Operating				(519)	
	102,849	-	(3,316)	(5,346)	94,187
Facilities Management	41,144,019	570,357			
FY2007 Personnel Savings Distribution			(555,472)		
Personnel Adjustments				805,441	
Facilities Centralization Reallocation based on Proj. Billings				(2,102,954)	
Transfer of Group Home Staff back to MHRH				(439,897)	
Statewide Adjustments				(213,529)	
Contracted Professional Services (Janitorial)				(51,524)	
Operating - Building Repairs				254,469	
Renewable Energy Fund (shift from grants to Personnel)				(111,020)	
Supplemental Pension Grant				(568)	
	41,144,019	570,357	(555,472)	(1,859,582)	39,299,322
Capital Projects and Property Management	3,316,132				
FY2007 Personnel Savings Distribution			(163,523)		
Personnel Savings				(14,437)	
Statewide Adjustments				(44,068)	
Contracted Professional Services				2,900	
Operating				29,070	
	3,316,132	-	(163,523)	(26,535)	3,126,074
Information Technology	17,584,582	1,625,455			
FY2007 Personnel Savings Distribution			(574,912)		
Personnel Adjustments				282	
Personnel Adjustments - IT Centralization				822,392	
Transfer 7.0 FTE back to DOT (federal funding issue)				(367,439)	
Transfer 1.0 FTE from DOT to DOIT (centralization)				48,611	
Contracted Professional Services				45,705	
Statewide Adjustments				(137,310)	
Operating & Capital Adjustments				(122,925)	
RIFANS Implementation Delays				(590,300)	
	17,584,582	1,625,455	(574,912)	(300,984)	18,334,141
Library and Information Service:	1,077,872				
FY2007 Personnel Savings Distributor			(50,632)		
Personnel Adjustment:				13,369	
COLA Retro (not reappropriated)				63,396	
Contracted Professional Services				4,802	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Statewide Adjustments				(10,242)	
Operating				(6,547)	
	1,077,872	-	(50,632)	64,778	1,092,018
Statewide Planning	5,306,430				
FY2007 Personnel Savings Distribution			(92,231)		
Personnel Adjustments				5,574	
Contracted Professional Services				1,423	
Operating				(4,720)	
Statewide Adjustments				(20,741)	
Lead Hazard Control Grants				(500,000)	
	5,306,430	-	(92,231)	(518,464)	4,695,735
Security Services	19,854,805				
FY2007 Personnel Savings Distribution			(985,802)		
Personnel Adjustments				584,239	
Overtime				230,000	
Temporary Clerical Services				9,000	
Statewide Adjustments				(299,363)	
Operating & Capital Expenses				125,499	
	19,854,805	-	(985,802)	649,375	19,518,378
General	\$278,382,279	328,708			
Police and Fire Incentive Pay Program (moved from HR)				675,000	
General Revenue Sharing - VLT Share				(47,794)	
Motor Vehicle Excise Tax Phase-Out				224,504	
Distressed Communities Relief Fund - Real Estate Conv Tax				(346,335)	
Distressed Communities Relief Fund - VLT Share				(190,542)	
Distressed Communities Relief Fund - FY 06 Overpayment				(230,272)	
Library Construction Aid (revised payment schedule)				144,099	
Property Revaluation Program (based on est. payments)				500,000	
Domestic Partners Penalty (final payments)				20,000	
Airport Impact Aid (reduced to required funding level)				(20,480)	
Economic Development Corp. - Marine BioScience Grant'				(200,000)	
Retiree Health Subsidy				(9,475,125)	
	278,382,279	328,708	-	(8,946,945)	269,764,042
Debt Service Payments	89,129,461				
General Obligation Debt Service Shift to RICAP				(4,917,558)	
General Obligation Debt Service Refunding				(902,270)	
General Obligation Debt Service Adjustments				(2,233,673)	
Refunding Bond Authority Debt Service				14,152	
Certificates of Participation Debt Service/Refunding				(2,285,500)	
Neighborhood Opportunities Program Debt Service				(206,011)	
Fidelity Job Rent Credits				505,000	
Tax Anticipation Notes/TDI Borrowing Interest				1,067,573	
	89,129,461	-	-	(8,958,287)	80,171,174
Shut Down Days					
Shut Down Days Savings (4 in FY 2007)	-			(6,017,295)	
	-			(6,017,295)	(6,017,295)
Assessed Fringe Benefit Fund					
Assessed Fringe Benefit Fund Savings	-			(2,758,185)	
	-			(2,758,185)	(2,758,185)

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Personnel Reform					
Personnel Reform	(3,709,901)		3,709,901		
	(3,709,901)	-	3,709,901	-	-
Salary Adjustment Fund					
Salary Adjustment Fund	(36,491,395)		36,491,395		
	(36,491,395)		36,491,395	-	-
	442,478,140	2,817,068	36,474,745	(30,316,442)	451,453,511
Business Regulation					
Central Management	1,456,314	5,296			
FY2007 Personnel Savings Distribution			(62,989)		
Personnel				(122,919)	
Purchased Services				26,400	
Operating				6,083	
Statewide Adjustments				(10,231)	
	1,456,314	5,296	(62,989)	(100,667)	1,297,954
Insurance Regulation	4,684,990	177,814			
FY2007 Personnel Savings Distribution			(219,156)		
Personnel				142,770	
Purchased Services				91,283	
Operating				9,623	
Statewide Adjustments				(27,238)	
	4,684,990	177,814	(219,156)	216,438	4,860,086
Board of Accountancy	156,280				
FY2007 Personnel Savings Distribution			(7,588)		
Personnel				(107)	
Operating				123	
Statewide Adjustments				(2,500)	
	156,280		(7,588)	(2,484)	146,208
Banking and Securities	2,822,483	120,971			
FY2007 Personnel Savings Distribution			(132,069)		
Personnel				72,568	
Operating				7,782	
Statewide Adjustments				(34,086)	
	2,822,483	120,971	(132,069)	46,264	2,857,649
Commercial Licensing, Racing & Athletics	1,814,637	22,515			
FY2007 Personnel Savings Distribution			(81,555)		
Personnel				(87,715)	
Operating				7,775	
Statewide Adjustments				(24,990)	
	1,814,637	22,515	(81,555)	(104,930)	1,650,667
Total	10,934,704	326,596	(503,357)	54,621	10,812,564
Labor and Training					
Central Management	143,250	38,253			
FY2007 Personnel Savings Distribution			(4,815)		
Agency Unachieved turnover				54,532	
Agency Roof Adjustment				(423)	
Statewide Adjustments				(2,099)	
	143,250	38,253	(4,815)	52,010	228,698

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Workforce Development	258,600				
Biotech Investment Tac Credit Implementation				35,883	
Statewide Adjustments				(184)	
Grant Program Shift				(258,600)	
	258,600	-	-	(222,901)	35,699
Workforce Regulation and Safety	2,860,748				
FY2007 Personnel Savings Distribution			(128,452)		
Agency Unachieved turnover				218,128	
Statewide Adjustments				(63,262)	
Grant Program Shift				258,600	
	2,860,748		(128,452)	413,466	3,145,762
Income Support	3,137,593				
FY2007 Personnel Savings Distribution			(5,624)		
Police and Fire Pension Benefits				38,553	
Agency Achieved Turnover				(256)	
Statewide Adjustments				(2,056)	
	3,137,593	-	(5,624)	36,241	3,168,210
Labor Relations Board	441,659				
FY2007 Personnel Savings Distribution			(19,870)		
Agency Unachieved turnover				1,329	
Statewide Adjustments				(4,474)	
	441,659	-	(19,870)	(3,145)	418,644
Total	6,841,850	38,253	(158,761)	275,671	6,997,013
Legislature					
Legislature	32,219,892	3,256,266			
FY2007 Personnel Savings Distribution			(1,428,017)		
Unachieved Turnover				2,302,825	
Consultants				(8,717)	
Operating				(550,387)	
Legislative Grants				(1,720,121)	
Capital Equipment				(23,600)	
Statewide Health Benefit Rate Adjustment				55,316	
Statewide Retiree Health Rate Adjustment				(629,615)	
Statewide Records Center Contract				(945)	
	32,219,892	3,256,266	(1,428,017)	(575,244)	33,472,897
Office of the Lieutenant Governor					
Lt. Governor's Office - General	963,012				
FY2007 Personnel Savings Distribution			(47,835)		
Personnel				(34,763)	
Transition Expenses				25,000	
Operating				3,519	
Statewide Health Benefit Rate Adjustment				1,951	
Statewide Retiree Health Rate Adjustment				(14,468)	
	963,012	-	(47,835)	(18,761)	896,416

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Secretary of State					
Administration	1,741,391	-			
FY2007 Personnel Savings Distribution			(80,695)		
Unachieved Turnover				13,753	
Consultants				(84,950)	
Operating				(6,600)	
Grants & Benefits				(272)	
Capital Equipment				(2,130)	
*Transition Costs				25,000	
Salary for Elected Officials				5,315	
Statewide Health Benefit Rate Adjustment				(22,089)	
Statewide Retiree Health Benefit Rate Adjustment				3,844	
Statewide Records Center Contract				(4,596)	
	1,741,391	-	(80,695)	(72,725)	1,587,971
Corporations	1,801,627	-			
FY2007 Personnel Savings Distribution			(68,524)		
Unachieved Turnover				147,766	
Operating				(9,784)	
Statewide Health Benefit Rate Adjustment				(31,485)	
Statewide Retiree Health Benefit Rate Adjustment				2,974	
Statewide Records Center Contract				(3,900)	
	1,801,627	-	(68,524)	105,571	1,838,674
State Archives	104,891	-			
FY2007 Personnel Savings Distribution			(4,590)		
Unachieved Turnover				4,725	
Operating				104,095	
Statewide Health Benefit Rate Adjustment				(1,989)	
Statewide Retiree Health Benefit Rate Adjustment				188	
	104,891	-	(4,590)	107,019	207,320
Elections	1,278,170	-			
FY2007 Personnel Savings Distribution			(17,527)		
Unachieved Turnover				147,129	
Consultants				(1,500)	
Operating				57,491	
*Referenda Costs				112,515	
Statewide Health Benefit Rate Adjustment				(6,797)	
Statewide Retiree Health Benefit Rate Adjustment				1,015	
	1,278,170	-	(17,527)	309,853	1,570,496
State Library	700,499	-			
FY2007 Personnel Savings Distribution			(17,152)		
Unachieved Turnover				29,149	
Operating				(7,287)	
Capital Equipment				(1,000)	
Statewide Health Benefit Rate Adjustment				(6,686)	
Statewide Retiree Health Benefit Rate Adjustment				756	
	700,499	-	(17,152)	14,932	698,279
Office of Public Information	314,339	-			
FY2007 Personnel Savings Distribution			(13,697)		
Turnover				(89,977)	
Operating				(4,818)	
Capital Equipment				(1,800)	
Statewide Health Benefit Rate Adjustment				(651)	
Statewide Retiree Health Benefit Rate Adjustment				410	
	314,339	-	(13,697)	(96,836)	203,806
Total	5,940,917	-	(202,185)	367,814	6,106,546

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings (659,482)	Supplemental Changes	FY 2007 Projected Expenditures
Office of the General Treasurer					
Treasury	2,685,728				
FY2007 Personnel Savings Distribution			(110,516)		
Vacancy Savings				(61,651)	
Legal Services				(10,000)	
Operating				(17,649)	
Transition Expenses - Addition to General Revenue base				5,000	
Transition - Part Year Salary & Benefit Adjustments				(127,647)	
New Computers - State House Operations				100,000	
Statewide Health Benefit Rate Adjustment				(33,206)	
Statewide Retiree Health Rate Adjustment				4,154	
Other Operating				(9,428)	
	2,685,728	-	(110,516)	(150,427)	2,424,785
RI Refunding Bond Authority					
FY2007 Personnel Savings Distribution	55,770		(1,119)		
Unachieved Turnover				605	
Legal Services				(7,600)	
Cost of Issuance Fees				(7,000)	
Statewide Health Benefit Rate Adjustment				(92)	
Statewide Retiree Health Rate Adjustment				56	
	55,770	-	(1,119)	(14,031)	40,620
Crime Victim Compensation Program					
FY2007 Personnel Savings Distribution	211,502		(9,237)		
Transition - Part Year Salary Adjustment				(4,983)	
Operating				3,654	
Statewide Health Benefit Rate Adjustment				(3,857)	
Statewide Retiree Health Rate Adjustment				347	
Other Operating				(30)	
	211,502	-	(9,237)	(4,869)	197,396
Total	2,953,000	-	(120,872)	(169,327)	2,662,801
Boards For Design Professionals					
Boards For Design Professionals	390,153				
FY2007 Personnel Savings Distribution			(16,252)		
Unachieved Turnover				17,431	
Operating				(3,408)	
Statewide Adjustments				(7,684)	
Total	390,153	-	(16,252)	6,339	380,240
Board of Elections					
Board Of Elections	2,516,239		-		
FY2007 Personnel Savings Distribution			(72,162)		
Unachieved Turnover				113	
Consultant Services				16,750	
General Election/Operating				(48,118)	
Grants and Benefits				50,000	
Matching Public Funds				1,241,774	
Statewide Health Benefit Rates				(22,068)	
Statewide Retiree Health Benefit Rates				2,572	
Statewide Records Center Contract				(108)	
Total	2,516,239	-	(72,162)	1,240,915	3,684,992
RI Ethics Commissions					
RI Ethics Commission	1,297,421				
FY2007 Personnel Savings Distribution			(56,377)		
Unachieved Turnover				31,019	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Operating				8,829	
Capital Equipment				4,000	
Statewide Adjustments				(11,661)	
Total	1,297,421	-	(56,377)	32,187	1,273,231
Office of the Governor					
Office Of Governor	4,952,015				
FY2007 Personnel Savings Distribution			(233,765)		
Personnel				(8,859)	
Contract Services				6,586	
Operating				8,703	
Statewide Health Benefit Rate Adjustment				10,498	
Statewide Retiree Health Rate Adjustment				(53,577)	
Total	4,952,015	-	(233,765)	(36,649)	4,681,601
Public Utilities Commission					
Public Utilities Commission	743,985				
FY 2005/2006 Payroll Retros - Unsettled Union Contracts		16,329			
FY2007 Personnel Savings Distribution			(18,513)		
Payroll - Unachieved Turnover Savings				10,119	
Contract Services				(1,260)	
Other Operating Supplies and Expense				9,932	
Other Operating - SSRS Refunds				(18,791)	
Statewide Health Benefit Rate Adjustment				(4,838)	
Statewide Retiree Health Rate Adjustment				908	
Statewide Records Center Contract				(60)	
Total	743,985	16,329	(18,513)	(3,990)	737,811
Rhode Island Commission on Women					
Rhode Island Commission on Women	99,715				
FY2007 Personnel Savings Distribution			(4,340)		
Unachieved Turnover				5,242	
Operating				(972)	
Statewide Adjustments				(622)	
Total	99,715	-	(4,340)	3,648	99,023
Department of Revenue					
Director of Revenue	488,750				
FY2007 Personnel Savings Distribution			(23,858)		
Personnel Savings (unfilled positions)				(86,781)	
Statewide Adjustments				(4,325)	
	488,750	-	(23,858)	(91,106)	373,786
Office of Revenue Analysis	388,424				
FY2007 Personnel Savings Distribution			(10,884)		
Personnel Savings (unfilled positions)				(41,044)	
Statewide Adjustments				(2,604)	
	388,424	-	(10,884)	(43,648)	333,892
Office of Property Valuation	669,726				
FY2007 Personnel Savings Distribution			(31,959)		
Personnel Adjustments				26,934	
Operating Savings				(6,050)	
Statewide Adjustments				(6,618)	
	669,726	-	(31,959)	14,266	652,033

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Taxation	18,374,247				
FY2007 Personnel Savings Distribution			(820,052)		
Personnel Adjustments				127,355	
Operating				(224,601)	
Statewide Adjustments				(268,099)	
	18,374,247	-	(820,052)	(365,345)	17,188,850
Registry	17,536,892				
FY2007 Personnel Savings Distribution			(653,484)		
Personnel Adjustments				428,339	
Unemployment Funding (included in enacted in error)				(248,625)	
Lockbox/Shredding Services				70,000	
Janitorial - Rental Properties				40,000	
Temporary Clerical				11,684	
Rental of Outside Property/Associated Costs				85,000	
CACI Membership/Dues				40,000	
License Plates				75,000	
Printing				40,000	
In-State Travel				12,000	
Building Repairs (Woonsocket/Apex)				30,142	
Statewide Adjustments				(241,596)	
	17,536,892		(653,484)	341,944	17,225,352
Total	37,458,039		(1,540,237)	(143,889)	35,773,913
Sub-Total General Government	549,789,082	6,454,512	32,072,072	(29,283,107)	559,032,559
<u>Human Services</u>					
Office of Health and Human Services					
Office of Health and Human Services	313,160				
FY2007 Personnel Savings Distribution			(13,707)		
Personnel				(2,061)	
Statewide Health Benefit Rate Adjustment				(3,010)	
Statewide Retiree Health Rate Adjustment				575	
Revised allocations to federal and restricted				2,061	
	313,160	-	(13,707)	(2,435)	297,018
Children, Youth, and Families					
Central Management	6,860,904	52,879			
FY2007 Personnel Savings Distribution			(215,922)		
Unachieved Turnover				67,250	
Retroactive COLAs from FY 2005 and FY 2006				99,312	
Loss of Title IV-E Federal Funding for Foster Care Homes				202,500	
Contract Services				(11,681)	
Operating				74,751	
Statewide Adjustments				(4,201)	
	6,860,904	52,879	(215,922)	427,931	7,125,792
Children's Behavioral Health	36,982,288	40,904			
FY2007 Personnel Savings Distribution			(86,925)		
Unachieved Turnover				168,900	
Retroactive COLAs from FY 2005 and FY 2006				102,711	
Contract Services				(216,097)	
Operating				1,628	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Grants and Benefits/CIS reallocation				(13,955)	
Psychiatric Hospitalization				1,962,930	
Managed Care				862,946	
Statewide Adjustments				(92,962)	
	36,982,288	40,904	(86,925)	2,776,101	39,712,368
Juvenile Corrections	32,579,007	14,228			
FY2007 Personnel Savings Distribution			(1,213,272)		
Turnover				(1,032,777)	
Overtime				642,061	
Retroactive COLAs from FY 2005 and FY 2006				96,173	
Contract Services/Grants and Benefits Net				(234,746)	
Operating				128,826	
Statewide Adjustments				(343,727)	
	32,579,007	14,228	(1,213,272)	(744,190)	30,635,773
Child Welfare	96,569,239				
FY2007 Personnel Savings Distribution			(1,242,414)		
Unachieved Turnover				1,329,034	
Overtime				40,739	
Loss of federal IV-E Support for foster care payroll				1,297,500	
Retroactive COLAs from FY 2005 and FY 2006				1,131,945	
Operating				229,845	
Grants and Benefits/Contract Services Net				(39,334)	
Master Lease				(195,466)	
Purchase of Service Placements				3,624,968	
Foster Care and Adoption Assistance				1,079,583	
Children's Emergency Services				307,731	
Unreserved Resources				(75,734)	
Statewide Adjustments				(352,815)	
	96,569,239	-	(1,242,414)	8,377,996	103,704,821
Higher Education Opportunity Incentive Grant	200,000	-	-		
Total	200,000	-	-		200,000
Total	173,191,438	108,011	(2,758,533)	10,837,838	181,378,754
Elderly Affairs					
Elderly Affairs	20,095,705				
FY2007 Personnel Savings Distribution			(142,969)		
Vacancy Savings				(27,663)	
RIPAE Drug Expenditure Savings				(1,386,201)	
RIPAE - Benefit Manager Savings				(25,592)	
Travel - Senior Companion/CIS Programs				18,079	
IT Financing				(32,000)	
Co-Pay Day Care				222,699	
Co-Pay Home Care				105,350	
Medicaid Waiver - State				315,293	
Paratransit Financing				247,302	
Other Operating				14,435	
Statewide Health Benefit Rate Adjustment				(41,433)	
Statewide Retiree Health Rate Adjustment				6,091	
Contracted Services				(4,525)	
Total	20,095,705	-	(142,969)	(588,165)	19,364,571

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Health					
Central Management	4,814,505				
FY2007 Personnel Savings Distribution			(119,979)		
Payroll - Vital Records Overtime				20,000	
Payroll - Unachieved Turnover Savings				65,385	
Payroll - Loss of Federal PHEP Funds				63,000	
Payroll - Ceiling Relief in FF/RR Accounts due to Statewide Changes				(46,564)	
Statewide Health Benefit Rate Adjustment				(39,418)	
Statewide Retiree Health Rate Adjustment				5,480	
Operating Supplies and Expense				17,119	
Mycoplasma Bacteria Supplies				328,639	
Total	4,814,505	-	(119,979)	413,641	5,108,167
State Medical Examiner	1,964,801				
FY2007 Personnel Savings Distribution			(89,260)		
Unachieved Turnover Savings				113,013	
Payroll - Overtime				30,000	
Statewide Health Benefit Rate Adjustment				(28,153)	
Statewide Retiree Health Rate Adjustment				7,656	
Contracts				129,480	
Operating Supplies and Expense				30,590	
Total	1,964,801	-	(89,260)	282,586	2,158,127
Family Health	3,039,370				
FY2007 Personnel Savings Distribution			(59,075)		
Payroll - Attrition Savings				(21,070)	
Payroll - Ceiling Relief in FF/RR Accounts due to Statewide Changes				(23,624)	
Statewide Health Benefit Rate Adjustment				(17,790)	
Statewide Retiree Health Rate Adjustment				2,471	
Operating Supplies and Expense				(43,267)	
Grants				56,610	
Total	3,039,370	-	(59,075)	(46,670)	2,933,625
Health Services Regulation	5,085,025				
FY 2005/2006 Payroll Retros - Unsettled Union Contracts		112,766			
FY2007 Personnel Savings Distribution			(244,138)		
Payroll - Ceiling Relief in FF/RR Accounts due to Statewide Changes				(5,636)	
Payroll - Unachieved Turnover				746,170	
Payroll - NAGE Union Retroactive Costs				156,156	
Statewide Health Benefit Rate Adjustment				(84,273)	
Statewide Retiree Health Rate Adjustment				12,305	
Contract - Legal (CON/CEC) Services				50,000	
Contract - Temporary Help				128,839	
Contract - Expert Witnesses				42,500	
Picker/Commonwealth Fund Private Grant Conversion				277,429	
Operating Supplies and Expense				154,710	
Capital Outlay - E-Licensing				54,000	
Total	5,085,025	112,766	(244,138)	1,532,200	6,485,853
Environmental Health	4,616,661				
FY2007 Personnel Savings Distribution			(218,810)		
Unachieved Turnover				51,957	
Payroll - Ceiling Relief in FF/RR Accounts due to Statewide Changes				(8,757)	
Statewide Health Benefit Rate Adjustment				(80,208)	
Statewide Retiree Health Rate Adjustment				13,997	
Contracts				(72,157)	
Net Operating Supplies, Expense and Capital Outlay				(2,013)	
Total	4,616,661	-	(218,810)	(97,181)	4,300,670

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Health Laboratories	6,366,122				
FY2007 Personnel Savings Distribution			(257,212)		
Payroll - Overtime				83,000	
Unachieved Turnover				397,743	
Payroll - Ceiling Relief in FF/RR Accounts due to Statewide Changes				(9,425)	
Statewide Health Benefit Rate Adjustment				(91,164)	
Statewide Retiree Health Rate Adjustment				9,879	
Reconciled Unallocated Statewide Savings - Retiree Hlth and Medical				(712)	
Contracted Professional Services				15,700	
Operating Supplies and Expenses				91,174	
Total	6,366,122	-	(257,212)	496,195	6,605,105
Disease Prevention and Control	7,416,725				
FY2007 Personnel Savings Distribution			(73,262)		
Unachieved Turnover				208,486	
Payroll - Lost Federal Funds				94,884	
Statewide Health Benefit Rate Adjustment				(21,983)	
Statewide Retiree Health Rate Adjustment				3,192	
HIV Case Management				(300,000)	
ADAP Current Service Adjustments				(300,000)	
STD Case Management (Whitmarsh)				(100,000)	
Other Grants				(102,010)	
Total	7,416,725	-	(73,262)	(517,431)	6,826,032
Total	33,303,209	112,766	(1,061,736)	2,063,340	34,417,579
Human Services					
Central Management	8,778,008				
FY2007 Personnel Savings Distribution			(23,127)		
Reallocation from Medical Benefits for Head Start/ Reappropriation		400,000		1,600,000	
Statewide Health Benefit Rate Adjustment				(12,024)	
Statewide Retiree Health Rate Adjustment				1,723	
Reallocation of Rate Adjustments from indirect costs				(22,879)	
Adjustment of Indirect Cost Recoveries to DOA for Centralizations				541,688	
Reduced Emergency Assistance grants, RI Housing				(100,000)	
Personnel adjustments and turnover/ reappropriation for retro		73,348		(235,631)	
Other Operating				(9,160)	
Total	8,778,008	473,348	(23,127)	1,763,717	10,991,946
Child Support Enforcement	3,649,018				
FY2007 Personnel Savings Distribution			(133,542)		
Reappropriation for Retroactive COLAs		25,123			
Statewide Health Benefit Rate Adjustment				(47,149)	
Statewide Retiree Health Rate Adjustment				5,717	
Reappropriation for InRhodes IT Improvements		54,877			
Unachieved Turnover				101,392	
Other Operating and Contracts				185	
Total	3,649,018	80,000	(133,542)	60,145	3,655,621
Individual and Family Support	25,166,091				
FY2007 Personnel Savings Distribution			(852,923)		
Personnel adjustments and turnover/ reappropriation for retro		583,700		(623,503)	
Reallocate Food Stamp Admin to Bonus Funds				(319,532)	
Caseload and benefits IT contracts				(175,313)	
Statewide Health Benefit Rate Adjustment				(299,478)	
Statewide Retiree Health Rate Adjustment				33,184	
Reallocation of Rate Adjustments from federal funds				(177,188)	
Reallocation of IN Rhodes client IT contracts to Health Care Quality				(187,417)	
Rehabilitation client services				89,445	
Field Office rentals				65,877	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Reallocation of operating offsets to Health Care Quality				(124,577)	
Reprocurement for Statewide Records				(11,400)	
Other Operating				(26,698)	
	25,166,091	583,700	(852,923)	(1,756,600)	23,140,268
Veterans' Affairs	17,300,207				
FY2007 Personnel Savings Distribution			(703,927)		
Reappropriation for Retroactive COLAs		289,481			
Reversal of Kitchen supplies reallocated to DOA centralizations				65,000	
Overtime Reallocated to DOA centralization				(120,000)	
Statewide Health Benefit Rate Adjustment				(291,428)	
Statewide Retiree Health Rate Adjustment				26,808	
Reallocation of Rate Adjustments from federal funds				(108,226)	
Unachieved Turnover				286,666	
Veterans' Home Food				24,855	
Medical Services Contracts				80,770	
Other Operating				(6,135)	
	17,300,207	289,481	(703,927)	(41,690)	16,844,071
Health Care Quality, Financing and Purchasing	21,178,701				
FY2007 Personnel Savings Distribution			(567,329)		
Retroactive COLAs from FY 2005 and FY 2006				248,722	
Unachieved Turnover				42,244	
Statewide Health Benefit Rate Adjustment				(210,128)	
Statewide Retiree Health Rate Adjustment				23,882	
Reprocurement of Records Storage				(3,000)	
Reallocation of IN Rhodes client IT contracts from program IFS				187,417	
DRA Documentation Contractor				125,000	
Other contract services				150,795	
Reallocation of operating offsets from program IFS				124,577	
Nurses Aide Registry				60,000	
Start up Contract for assuming DCYF Health Care Costs				150,000	
Other Operating				2,960	
	21,178,701	-	(567,329)	902,469	21,513,841
Medical Benefits	569,325,931				
Reallocation to Central Management for Head Start				(1,600,000)	
Add CIS to Managed Care, April Start				(176,760)	
Hospital Emergency Care at Flat rate, Fee-for-Service, April Start				(119,125)	
Reduce Hospital Outpatient Rate by Ten Percent, April Start				(351,688)	
Enact Fee-for-Service Co-Pay into Statute				(150,157)	
DRA Documentation Savings				(939,348)	
November Caseload Estimating Conference				(5,225,931)	
	569,325,931	-	-	(8,563,009)	560,762,922
S.S.I. Program- Caseload Conference	28,201,184	183,792			
November Caseload Estimating Conference				220,234	
	28,201,184	183,792	-	220,234	28,605,210
Family Independence Program	47,594,952				
November Caseload Estimating Conference- FIP				1,932,240	
Reallocate FIP Cash Assistance to TANF Grant				(675,000)	
November Caseload Estimating Conference- Child Care				(1,826,931)	
	47,594,952	-	-	(569,691)	47,025,261

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
State Funded Programs	3,860,294				
November Caseload Estimating Conference				26,624	
	3,860,294	-	-	26,624	3,886,918
Total	725,054,386	1,610,321	(2,280,848)	(7,957,801)	716,426,058
Mental Health, Retardation, & Hospitals					
Central Management	2,251,063				
FY2007 Personnel Savings Distribution			(113,777)		
Unachieved Turnover				113,777	
Shift 6.0 FTEs to Central Management				256,530	
Operating				16,621	
Computer Equipment				20,000	
Statewide Health Benefit Rate Adjustment				(42,976)	
Statewide Retiree Health Rate Adjustment				5,704	
	2,251,063		(113,777)	369,656	2,506,942
Hosp. & Community System Support	4,574,961				
FY2007 Personnel Savings Distribution			(220,007)		
Turnover Savings				(178,708)	
Purchased Services				(36,487)	
Operating				(51,985)	
Furniture and equipment				11,500	
Statewide Health Benefit Rate Adjustment				(64,185)	
Statewide Retiree Health Rate Adjustment				8,964	
Total	4,574,961	-	(220,007)	(310,901)	4,044,053
Services. for the Developmentally Disabled	119,315,406	197,151			
FY2007 Personnel Savings Distribution			(926,192)		
Payroll				108,138	
Retroactive COLAs from FY 2005 and FY 2006				346,613	
Reduce Overtime 26 Percent				(454,751)	
Other RICLAS Payroll Benefits				210,124	
Contract Medical Services				128,761	
Projection of Provider Payments				(97,359)	
Operating				196,190	
Shift 7.0 Centralizations FTE back to RILCAS				199,134	
Equipment Lease				4,342	
Statewide Health Benefit Rate Adjustment				(373,941)	
Statewide Retiree Health Rate Adjustment				34,764	
	119,315,406	197,151	(926,192)	302,015	118,888,380
Integrated Mental Health Services	43,579,541	4,626			
FY2007 Personnel Savings Distribution			(70,991)		
Turnover				(144,681)	
Retroactive COLAs from FY 2005 and FY 2006				4,626	
Operating				(3,758)	
Butler Contract (Usage and Rate Increases)				439,093	
CMAP Savings Due to Medicaid Part D				(600,000)	
Statewide Health Benefit Rate Adjustment				(20,396)	
Statewide Retiree Health Rate Adjustment				2,820	
	43,579,541	4,626	(70,991)	(322,296)	43,190,880
Hosp. & Community Rehab. Services	52,576,725				
FY2007 Personnel Savings Distribution			(2,106,595)		
Retroactive COLAs from FY 2005 and FY 2006				531,123	
Unachieved Turnover				1,160,136	
Reduce Overtime in Hospital				(847,129)	
Other Payroll Benefits				670,942	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Shift Eligible Forensic Unit Costs to Medicaid				(423,000)	
Contract Services - Hospital Assessmnt Study				139,715	
Contract Medical Services				820,278	
Other Purchased Services				920,998	
Operating				210,881	
Medical Supplies				308,005	
Pharmaceuticals				166,725	
Hospital Licensing Fee				8,658	
Shift Computer Equipment to Cent Mgt				(14,427)	
Medicaid Settlement-Final Year-end Settlement				(264,437)	
Statewide Health Benefit Rate Adjustment				(802,413)	
Statewide Retiree Health Rate Adjustment				79,660	
	52,576,725	-	(2,106,595)	2,665,715	53,135,845
Substance Abuse	16,157,873				
FY2007 Personnel Savings Distribution			(101,431)		
Unachieved Turnover				101,431	
Payroll				36,538	
Underfunded Provider COLA				137,740	
Statewide Health Benefit Rate Adjustment				(44,780)	
Statewide Retiree Health Rate Adjustment				4,527	
	16,157,873	-	(101,431)	235,456	16,291,898
Total	238,455,569	201,777	(3,538,993)	2,939,645	238,057,998
Office of the Child Advocate	558,096	6,828			
FY2007 Personnel Savings Distribution			(26,211)		
Unachieved Turnover				30,000	
Operating				(1,167)	
Statewide Health Benefit Rate Adjustment				(9,975)	
Statewide Retiree Health Rate Adjustment				1,103	
Total	558,096	6,828	(26,211)	19,961	558,674
Commission on Deaf and Hard of Hearing	355,329				
FY2007 Personnel Savings Distribution			(13,873)	16,729	
Operating				3,327	
Interpreter Referral/CART Services				(13,500)	
Statewide Health Benefit Rate Adjustment				(6,067)	
Statewide Retiree Health Rate Adjustment				579	
Total	355,329		(13,873)	1,068	342,524
Governor's Commission on Disabilities	602,202				
FY2007 Personnel Savings Distribution			(27,623)		
Unachieved Turnover				(10,418)	
Contract Services				30,610	
Operating				(2,756)	
Grants and Benefits				(30,481)	
Capital Purchases and Equipment				(498)	
Statewide Adjustments				(8,364)	
Total	602,202	-	(27,623)	(21,907)	552,672
Commission for Human Rights	1,075,216				
FY2007 Personnel Savings Distribution			(47,441)		
Payroll				(13,241)	
Statewide Health Benefit Rate Adjustment				(19,420)	
Statewide Retiree Health Rate Adjustment				1,817	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Statewide Records Storage Contract				(301)	
Contract - Stenographic Services				15,000	
Other Operating				6,130	
Capital Outlay - Computer Server				9,000	
Operating - Federal Offsets				(37,130)	
Total	1,075,216	-	(47,441)	(38,145)	989,630
Office of the Mental Health Advocate	409,492				
FY2007 Personnel Savings Distribution			(19,591)		
Unachieved Turnover				16,113	
Contract Services				100	
Operating				1,652	
Statewide Health Benefit Rate Adjustment				(5,313)	
Statewide Retiree Health Rate Adjustment				960	
Total	409,492	-	(19,591)	13,512	403,413
Sub-Total Human Services	1,193,413,802	2,039,703	(9,931,525)	7,266,911	1,192,788,891
<u>Education</u>					
Elementary and Secondary Education					
State Education Aid	675,530,203				
FY2007 Personnel Savings Distribution			(18,242)		
Unachieved Turnover				8,971	
Group Home Aid				75,000	
Charter School Aid				349,222	
Speech Pathologists Salary Supplement				(265,000)	
Statewide Health Benefit Rate Adjustment				(4,100)	
Statewide Retiree Health Rate Adjustment				827	
	675,530,203	-	(18,242)	164,920	675,676,881
School Housing Aid	49,672,045				
Calculation Update				(2,857,063)	
	49,672,045	-	-	(2,857,063)	46,814,982
Teachers' Retirement	69,200,130				
Teachers' Retirement Adjustment				(1,940,220)	
	69,200,130	-	-	(1,940,220)	67,259,910
RI School for the Deaf	6,476,348	111,679			
FY2007 Personnel Savings Distribution			(301,211)		
Unachieved Turnover				276,654	
Other Personnel				126,370	
Contract Services				(28,072)	
Operating/Equipment				(17,565)	
Reappropriation Unnecessary				(111,679)	
Statewide Health Benefit Rate Adjustment				(104,654)	
Statewide Retiree Health Rate Adjustment				13,331	
	6,476,348	111,679	(301,211)	154,385	6,441,201
Central Falls School District	43,234,574				
State Appropriation				560,837	
	43,234,574	-	-	560,837	43,795,411

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Davies Career & Technical School	13,753,144	166,270			
FY2007 Personnel Savings Distribution			(605,942)		
Unachieved Turnover				483,316	
Operating/Equipment				57,241	
Contract Services				(60,500)	
Statewide Health Benefit Rate Adjustment				(199,030)	
Statewide Retiree Health Rate Adjustment				26,687	
	13,753,144	166,270	(605,942)	307,714	13,621,186
Met. Career & Tech. School	10,406,956				
	10,406,956	-	-	-	10,406,956
Administration of the Comp. Education Strategy	21,103,006				
FY2007 Personnel Savings Distribution			(459,733)		
Unachieved Turnover				102,311	
Contract Services				(405,733)	
Operating				20,710	
Local Aid/Grants				29,943	
Statewide Health Benefit Rate Adjustment				(124,143)	
Statewide Retiree Health Rate Adjustment				20,370	
	21,103,006	-	(459,733)	(356,542)	20,286,731
Total	889,376,406	277,949	(1,385,128)	(3,965,969)	884,303,258
Higher Education					
Board of Governors/Office of Higher Education	7,858,537				
FY2007 Personnel Savings Distribution			(94,842)		
Statewide Adjustments				(29,614)	
	7,858,537		(94,842)	(29,614)	7,734,081
University of Rhode Island	88,692,010				
FY2007 Personnel Savings Distribution			(2,740,662)		
Statewide Adjustments				(861,878)	
Debt Service Adjustment				811,296	
	88,692,010		(2,740,662)	(50,582)	85,900,766
Rhode Island College	49,238,557				
FY2007 Personnel Savings Distribution			(1,728,773)		
Statewide Adjustments				(601,436)	
Debt Service Adjustment				429,741	
	49,238,557		(1,728,773)	(171,695)	47,338,089
Community College of Rhode Island	50,942,246				
FY2007 Personnel Savings Distribution			(1,794,393)		
Statewide Adjustments				(629,287)	
	50,942,246		(1,794,393)	(629,287)	48,518,566
Total	196,731,350	-	(6,358,670)	(881,178)	189,491,502
RI Council On The Arts	2,841,466				
FY2007 Personnel Savings Distribution			(34,012)		
Reappropriation, grants and contract		16,600			
Personnel costs				7,616	
Statewide Health Benefit Rate Adjustment				(10,514)	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Statewide Retiree Health Rate Adjustment				1,425	
Operating, Film Commission				(45,392)	
Discretionary Grants				(12,224)	
Total	2,841,466	16,600	(34,012)	(59,089)	2,764,965
RI Atomic Energy Commission					
Atomic Energy Commission	836,702				
FY2007 Personnel Savings Distribution			(38,090)		
Unachieved Turnover				28,452	
Operating				(9,313)	
Statewide Adjustments				(7,220)	
Total	836,702	-	(38,090)	11,919	810,531
RI Higher Education Assistance Authority					
FY2007 Personnel Savings Distribution	6,747,402		(30,271)		
Personnel				106	
Operating				(106)	
Statewide Adjustments				(8,636)	
Total	6,747,402	-	(30,271)	(8,636)	6,708,495
RI Historical Preservation & Heritage Commission					
FY2007 Personnel Savings Distribution	1,705,676	19,944	(53,985)	30,174	
Seasonal - Eisenhower House				9,200	
Operating				(1,713)	
Eisenhower House - Current Year Maint. Requirements				(9,944)	
Statewide Health Benefit Rate Adjustment				(23,589)	
Statewide Retiree Health Rate Adjustment				2,161	
Total	1,705,676	19,944	(53,985)	6,289	1,677,924
RI Public Telecommunications Authority					
FY2007 Personnel Savings Distribution	1,388,669		(57,778)		
Statewide Health Benefit Rate Adjustment				(15,650)	
Statewide Retiree Health Rate Adjustment				2,545	
Total	1,388,669	-	(57,778)	(13,105)	1,317,786
Sub-Total Education	1,099,627,671	314,493	(7,957,934)	(4,909,769)	1,087,074,461
<u>Public Safety</u>					
Attorney General					
Criminal	13,077,675	77,425			
FY2007 Personnel Savings Distribution			(599,045)		
Unachieved Turnover				6,640	
Station Fire Investigation				3,622	
State Crime Lab					
Criminal - Other Contract Services				48,450	
Criminal - Operating				42,047	
Criminal - Capital Purchases and Equipment				-	
Statewide Health Benefit Rate Adjustment				(177,961)	
Statewide Retiree Health Rate Adjustment				26,720	
Statewide Records Center Contract				(19,650)	
Total	13,077,675	77,425	(599,045)	(70,132)	12,485,923
Civil	4,524,821				
FY2007 Personnel Savings Distribution			(186,128)		
Unachieved Turnover				248,254	
Insurance Rate Filing Experts				74,778	
Civil - Other Contract Services				15,500	
Civil - Operating Expenses and Capital Purchases				153	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Statewide Health Benefit Rate Adjustment				(59,397)	
Statewide Retiree Health Rate Adjustment				8,519	
Statewide Records Center Contract				(7,350)	
	4,524,821	-	(186,128)	280,457	4,619,150
Bureau of Criminal Identification	991,634				
FY2007 Personnel Savings Distribution			(47,489)		
Unachieved Turnover				(1,199)	
BCI - Contract Services				-	
BCI - Operating Expenses and Capital Purchases				17,007	
Statewide Health Benefit Rate Adjustment				(12,988)	
Statewide Retiree Health Rate Adjustment				1,988	
Statewide Records Center Contract				-	
	991,634	-	(47,489)	4,808	948,953
General	2,249,571				
FY2007 Personnel Savings Distribution			(111,468)		
Unachieved Turnover				146,204	
General - Contract Services				900	
General - Operating Expenses and Capital Purchases				4,244	
Statewide Health Benefit Rate Adjustment				(30,712)	
Statewide Retiree Health Rate Adjustment				5,266	
Statewide Records Center Contract				(4,500)	
	2,249,571	-	(111,468)	121,402	2,259,505
Total	20,843,701	77,425	(944,130)	336,535	20,313,531
Corrections					
Central Management	8,557,219	405,484			
FY2007 Personnel Savings Distribution			(342,135)		
Unachieved Turnover				200,555	
FY 2006 Retro Transfer to FY 2008				(119,270)	
Training Academy Overtime				306,000	
Reentry Policy Staff Transfer				158,603	
Community Corrections Dialogue				23,593	
Correctional Officer Class Costs				37,866	
Computer Service Contracts				446,753	
IT Software/Hardware Maintenance				190,041	
All Other				174	
Statewide Health Benefit Rate Adjustment				(106,816)	
Statewide Retiree Health Rate Adjustment				14,818	
	8,557,219	405,484	(342,135)	1,152,317	9,772,885
Parole Board	1,247,742	49,340			
FY2007 Personnel Savings Distribution			(57,925)		
Unachieved Turnover				14,458	
Statewide Record Center				(1,039)	
On-Line Warrant Charges				3,200	
Statewide Health Benefit Rate Adjustment				(17,619)	
Statewide Retiree Health Rate Adjustment				2,509	
	1,247,742	49,340	(57,925)	1,509	1,240,666
Institutional Corrections	139,084,180	6,565,955			
FY2007 Personnel Savings Distribution			(5,419,000)		
Unachieved Turnover				6,562,830	
State Criminal Alien Assistance Federal Funds Transfer				(343,000)	
FY 2005 Retro Transfer to FY 2008				(1,502,318)	
FY 2006 Retro Transfer to FY 2008				(4,954,587)	
FY 2007 Retro Transfer to FY 2008				(9,164,005)	
Inmate Population Increase-New Positions				198,846	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Inmate Population Increase-Overtime				2,849,035	
Inmate Population Increase-Per Capita Operating				520,202	
Reduce Inmate Visitation Days				(125,238)	
Bail Fund				(43,451)	
Reentry Policy Staff Transfer				(158,603)	
Nursing Incentives				415,097	
Ammunition Costs				185,462	
Visitor Lockers				90,000	
Other Contract Services				5,096	
Other Operating				5,835	
Residential Substance Abuse Grant				27,000	
Statewide Health Benefit Rate Adjustment				(2,311,180)	
Statewide Retiree Health Rate Adjustment				232,977	
	139,084,180	6,565,955	(5,419,000)	(7,510,002)	132,721,133
Community Corrections	13,553,170	148,158			
FY2007 Personnel Savings Distribution			(649,874)		
Unachieved Turnover				144,795	
FY 2006 Retro Transfer to FY 2008				(54,558)	
Home Confinement Overtime				103,676	
Statewide Health Benefit Rate Adjustment				(225,899)	
Statewide Retiree Health Rate Adjustment				27,178	
	13,553,170	148,158	(649,874)	(4,808)	13,046,646
Total	162,442,311	7,168,937	(6,468,934)	(6,360,984)	156,781,330
Judiciary					
Supreme Court	28,801,573				
FY2007 Personnel Savings Distribution			(738,693)		
Unachieved Turnover				664,522	
Judicial Technology Projects				(72,969)	
Energy/Utilities - New Courthouses				247,737	
Telephone - Centralize in Supreme				282,725	
Building Maintenance and Housekeeping				99,044	
McGrath Exterior Project Overrun				100,000	
Supreme - Other Contract Services				8,347	
Supreme - Other Operating Expenses				90,599	
Judicial Pensions				(5,709)	
Pretrial Services Unit Grant Shift to District Court				(60,000)	
Supreme - Other Grants and Benefits				(646)	
Supreme - Other Capital Purchases				11,573	
Statewide Health Benefit Rate Adjustment				(243,060)	
Statewide Retiree Health Rate Adjustment				31,860	
	28,801,573	-	(738,693)	1,154,023	29,216,903
Superior Court	20,659,206				
FY2007 Personnel Savings Distribution			(872,240)		
Unachieved Turnover				(64,425)	
Judicial Technology Projects				(98,096)	
Superior - Other Contract Services				18,000	
Juror Expenses				(135,000)	
Superior - Other Operating				(80,670)	
Telephone - Centralize in Supreme				(77,885)	
Judicial Pensions				(204,382)	
Superior - Other Grants and Benefits				(875)	
Statewide Health Benefit Rate Adjustment				(267,489)	
Statewide Retiree Health Rate Adjustment				38,491	
	20,659,206	-	(872,240)	(872,331)	18,914,635

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Family Court	17,271,175				
FY2007 Personnel Savings Distribution			(829,642)		
Unachieved Turnover				389,954	
Judicial Technology Projects				(107,589)	
Telephone - Centralize in Supreme				(59,340)	
Family - Other Operating				2,232	
Judicial Pensions				(8,462)	
Family - Other Grants and Benefits				(563)	
Statewide Health Benefit Rate Adjustment				(275,717)	
Statewide Retiree Health Rate Adjustment				39,900	
	17,271,175	-	(829,642)	(19,585)	16,421,948
District Court	9,923,880				
FY2007 Personnel Savings Distribution			(433,543)		
Unachieved Turnover				398,811	
Judicial Technology Projects				(41,019)	
Telephone - Centralize in Supreme				(55,662)	
Building Maintenance and Housekeeping				(2,402)	
District - Other Operating				(4,849)	
Judicial Pensions				(19,183)	
Pretrial Services Unit Grant Shift to District Court				60,000	
District - Other Grants and Benefits				(57)	
District - Other Capital Purchases and Equipment				2,402	
Statewide Health Benefit Rate Adjustment				(150,432)	
Statewide Retiree Health Rate Adjustment				19,851	
	9,923,880	-	(433,543)	207,460	9,697,797
Traffic Tribunal	7,318,155				
FY2007 Personnel Savings Distribution			(310,410)	-	
Unachieved Turnover				(94,493)	
Judicial Technology Projects				(47,149)	
Building Maintenance and Housekeeping				(3,222)	
Telephone - Centralize in Supreme				(89,838)	
Traffic - Other Operating				7,564	
Judicial Pensions				120,483	
Traffic - Other Capital Purchases and Equipment				3,222	
Harris Avenue Rent				(218,677)	
Statewide Health Benefit Rate Adjustment				(106,589)	
Statewide Retiree Health Rate Adjustment				12,505	
	7,318,155	-	(310,410)	(416,194)	6,591,551
Total	83,973,989	-	(3,184,528)	53,373	80,842,834
Military Staff					
National Guard	2,335,467				
FY2007 Personnel Savings Distribution			(36,641)		
Unachieved Turnover				53,807	
State Activation (Inauguration)				75,000	
Enhancements-5.0 Maintenance FTE's				37,500	
Contract Services					
Distance Learning Center				(5,000)	
Groundskeeping Services				(4,200)	
Operating					
Repairs				17,825	
Electricity				(46,794)	
Fuel Oil				6,426	
Inauguration Expenses Transfer to Payroll				(75,000)	
Vehicle Purchase/Repair				(6,474)	
Supplies				2,950	
Office/Other Operating				(8,000)	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Grants					
Life Insurance Subsidy-current service level				(78,770)	
Military Pay Equalization-program transfer				(215,000)	
Capital-Computer Equipment				(1,400)	
Statewide Health Benefit Rate Adjustment				(20,460)	
Statewide Retiree Health Rate Adjustment				1,550	
	2,335,467	-	(36,641)	(266,040)	2,032,786
Emergency Management					
FY2007 Personnel Savings Distribution	829,452		(36,571)		
Payroll Adjustments					
Transfer to Federal Funds				(74,116)	
Planning Value				(56)	
Temporary Contract Services				33,000	
Insurance				15,995	
Office/Computer Supplies & Equipment				17,500	
Programming Services-Server Hookup				25,000	
Central Mail Processing				7,000	
Vehicle Maintenance				7,000	
Repairs				3,400	
Telephone				(7,745)	
Travel				(2,200)	
Electricity				(17,585)	
Other				1,250	
Statewide Health Benefit Rate Adjustment				(9,365)	
Statewide Retiree Health Rate Adjustment				1,368	
	829,452	-	(36,571)	446	793,327
Total	3,164,919	-	(73,212)	(265,594)	2,826,113
E-911 Emergency Telephone System					
E-911 Emergency Telephone System	4,485,669				
FY2007 Personnel Savings Distribution			(205,774)		
Unachieved Turnover				80,432	
Shift HR Centralization FTE from DOA				54,016	
Shift Verizon Telephone Charge to Restricted				(242,836)	
Shift System Maint. Charge to Restricted				(28,500)	
Statewide Health Benefit Rate Adjustment				(75,959)	
Statewide Retiree Health Rate Adjustment				8,341	
Operating				22,972	
Total	4,485,669	-	(205,774)	(181,534)	4,098,361
Fire Safety Code Commission					
Fire Code Commission	289,299				
FY2007 Personnel Savings Distribution			(12,725)		
Unachieved Turnover				12,140	
Other Personnel				15,764	
Operating				(3,686)	
Statewide Health Benefit Rate Adjustment				(4,043)	
Statewide Retiree Health Rate Adjustment				619	
Total	289,299	-	(12,725)	20,794	297,368
State Fire Marshal					
State Fire Marshal	2,838,049	12,860			
FY2007 Personnel Savings Distribution			(121,691)		
Additional Turnover				(160,605)	
Operating				(2,061)	
Vehicles/Equipment				57,845	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Statewide Health Benefit Rate Adjustment				(32,296)	
Statewide Retiree Health Rate Adjustment				4,724	
Total	2,838,049	12,860	(121,691)	(132,393)	2,596,825
Commission on Judicial Tenure and Discipline	114,772				
FY2007 Personnel Savings Distribution			(4,243)		
Unachieved Turnover				4,259	
Purchased Legal Services				(3,062)	
Statewide Health Benefit Rate Adjustment				(722)	
Statewide Retiree Health Rate Adjustment				212	
Total	114,772	-	(4,243)	687	111,216
Rhode Island Justice Commission					
Rhode Island Justice Commission	163,972				
FY2007 Personnel Savings Distribution			(6,167)		
Payroll - Reduced Federal Admin Funds				46,612	
Statewide Health Benefit Rate Adjustment				(3,661)	
Statewide Retiree Health Rate Adjustment				338	
Other Operating				1,948	
Statewide Records Storage Contract				(239)	
Grants - Neighborhood Crime Watch Grants Conversion				(48,500)	
Total	163,972	-	(6,167)	(3,502)	154,303
Municipal Police Training Academy	425,710				
FY2007 Personnel Savings Distribution			(18,229)	8,331	
In Service Training				(4,032)	
Operating				(2,065)	
Statewide Health Benefit Rate Adjustment				(5,872)	
Statewide Retiree Health Rate Adjustment				777	
Total	425,710	-	(18,229)	(2,861)	404,620
State Police					
State Police	49,047,151	1,151,068			
FY2007 Personnel Savings Distribution			(1,236,082)		
Unachieved Turnover				1,121,957	
Retro Payments for Troopers Contract				1,156,512	
Offsets to Airport				(137,380)	
Pay-as-you-go Pension, and Retiree medical				(32,397)	
COPS payments				(4,612)	
Unavailable Offsets to DOT				120,000	
Vehicle maint				200,000	
Outreach Diversity				26,353	
Contract Services				(12,432)	
Operating				68,235	
Expanded Retro Pays for Bonuses in final agreement				1,485,695	
Current Cost for Bonuses in final agreement				1,499,742	
Adjustment for Troopers percent of Premium health co-shares				59,281	
Statewide Adjustments				-442,955	
Total	49,047,151	1,151,068	(1,236,082)	5,107,999	54,070,136
Office Of Public Defender					
Public Defenders Office	9,326,545	10,044			
FY2007 Personnel Savings Distribution			(440,603)		
Payroll Adjustment				78,744	
Contract Services					
Educational Services				(10,000)	
Security Services				464	
Clerical Services				9,400	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Social Worker Contract				19,668	
Rental Lease Costs					
Rent				(23,646)	
Fuel Oil				12,000	
Electricity				11,646	
Other Travel				3,748	
Mileage Reimbursement				(3,000)	
Statewide Health Benefit Rate Adjustment				(131,378)	
Statewide Retiree Health Rate Adjustment				18,922	
Total	9,326,545	10,044	(440,603)	(13,432)	8,882,554
Sub-Total Public Safety	337,116,087	8,420,334	(12,716,318)	(1,440,912)	331,379,191
<u>Natural Resources</u>					
Environmental Management					
Office of the Director	6,475,699				
FY2007 Personnel Savings Distribution			(170,174)		
Unachieved Turnover				50,345	
Temporary Services				15,000	
Headquarters - Utilities/Operating				21,825	
Statewide Health Benefit Rate Adjustment				(50,138)	
Statewide Retiree Health Rate Adjustment				7,522	
Interns				8,500	
Other Operating				1,938	
	6,475,699	-	(170,174)	54,992	6,360,517
Natural Resources	18,708,114				
FY2007 Personnel Savings Distribution			(629,254)		
Unachieved Turnover				384,877	
Parks & Seasonal Overtime				70,000	
Parks Operating and Contracted Services				(67,000)	
All Other Operating				(7,636)	
Revenue Sharing - Saltwater Beach Host Communities				88,231	
Vehicles Lease/Straight Buys				93,116	
Vehicle Maintenance				56,250	
Interns				83,655	
Statewide Health Benefit Rate Adjustment				(249,433)	
Statewide Retiree Health Rate Adjustment				25,668	
	18,708,114	-	(629,254)	477,728	18,556,588
Environmental Protection	12,346,683				
FY2007 Personnel Savings Distribution			(533,626)		
Unachieved Turnover				995,296	
Offset to Water & Air Account				(474,686)	
\$1/Ton Solid Waste Surcharge				(500,000)	
Statewide Health Benefit Rate Adjustment				(185,596)	
Statewide Retiree Health Rate Adjustment				22,415	
Interns				44,845	
	12,346,683	-	(533,626)	(97,726)	11,715,331
Total	37,530,496	-	(1,333,054)	434,994	36,632,436
Coastal Resources Management Council					
FY2007 Personnel Savings Distribution	2,112,667				
Unachieved Turnover			(85,352)		
Turnover Adjustment				79,135	
				54,516	

Changes to FY 2007 Enacted Agency General Revenue Expenditures

	FY2007 Enacted Appropriation	Reappropriation Appropriation Transfer	Original July Redistribution Of Enacted Personnel Savings	Supplemental Changes	FY 2007 Projected Expenditures
Contract Services				(2,500)	
Other Operating				(5,000)	
Statewide Health Benefit Rate Adjustment				(26,732)	
Statewide Retiree Health Rate Adjustment				3,990	
Total	2,112,667	-	(85,352)	103,409	2,130,724
State Water Resources Board	1,937,302	152,323			
FY2007 Personnel Savings Distribution			(47,889)	39,089	
Tuition Payments - 2006/2007 Rebase				(28,592)	
Stream Gauging				5,100	
Water Allocation Plan - FY 2007 Requirements				(220,000)	
Statewide Health Benefit Rate Adjustment				(13,867)	
Statewide Retiree Health Rate Adjustment				2,206	
Total	1,937,302	152,323	(47,889)	(216,064)	1,825,672
Sub-Total Environment	41,580,465	152,323	(1,466,295)	322,339	40,588,832
Statewide General Revenue Total	3,221,527,107	17,381,365	-	(28,044,538)	3,210,863,934

Changes to FY 2007 Enacted Transportation Expenditures

	FY 2007 Enacted Appropriation	Carryforward from FY2006 into FY 2007	Supplemental Changes	FY 2007 Revised
Total ISTF Fund Revenue Collections	144,000,000			140,550,000
Transportation ISTEA Fund Changes		(2,408,675)		
Central Management	3,098,421			
Salaries & Benefits			251,577	
Purchased Services			136,153	
Operating			11,682	
Capital Improvements			15,448	
Statewide Health Benefit Rate Adjustment			(48,239)	
Statewide Retiree Health Benefit Rate Adjustment			7,357	
	3,098,421		373,978	3,472,399
Management & Budget	1,709,378			
Salaries & Benefits			974,552	
Purchased Services			898,010	
Operating			447,133	
Capital Improvements			536,621	
Statewide Health Benefit Rate Adjustment			(42,053)	
Statewide Retiree Health Benefit Rate Adjustment			4,711	
	1,709,378		2,818,974	4,528,352
Infrastructure-Engineering	49,053,371			
Salaries & Benefits			1,780,739	
Purchased Services			(73,243)	
Operating			(19,760)	
Debt Service			(9,600,000)	
Operating Transfers to Motor Fuel Bonds - debt service			9,370,000	
Operating Transfers to RIPTA			33,262,784	
Grants & Benefits			(34,096,534)	
Capital Improvements			(1,991,535)	
Statewide Health Benefit Rate Adjustment			(75,975)	
Statewide Retiree Health Benefit Rate Adjustment			11,016	
Statewide Records Center Contract			(25,326)	
	49,053,371		(1,457,834)	47,595,537
Infrastructure-Maintenance	41,065,043			
Salaries & Benefits			355,943	
Purchased Services			(5,013,547)	
Operating			1,861,692	
Grants & Benefits			355,731	
Capital Improvements			24,717	
Debt Service			(1,281,643)	
Operating Transfers			(20,000)	
Statewide Health Benefit Rate Adjustment			(422,090)	
Statewide Retiree Health Benefit Rate Adjustment			33,651	
	41,065,043		(4,105,546)	36,959,497
Total Transportation	94,926,213		(2,370,428)	92,555,785
Gas tax budgeted outside DOT				
DOT Debt service(est DOT refunding allocation)	36,695,660		(981,256)	35,714,404
RIPTA Debt Service	703,466		-	703,466

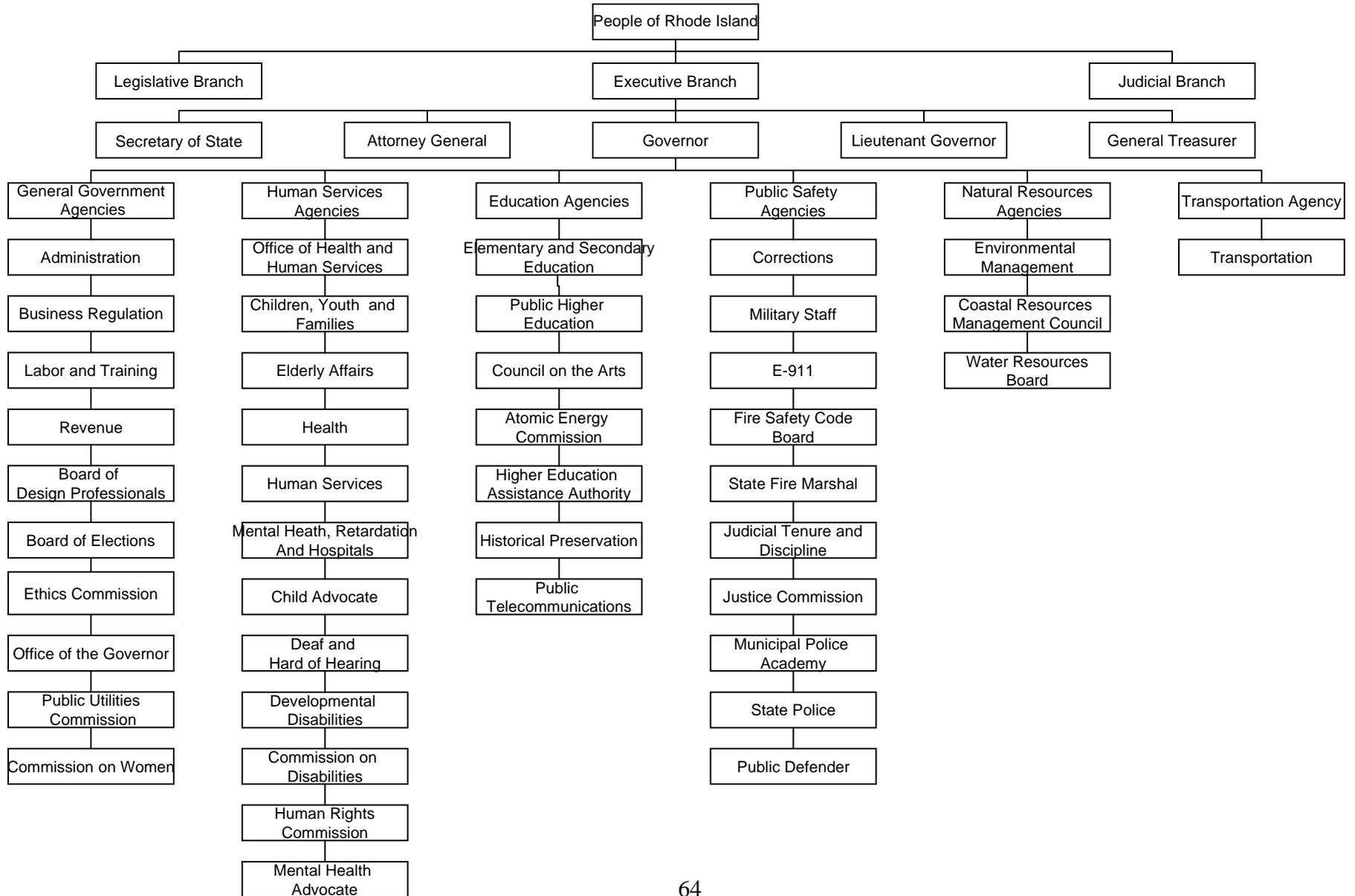
Changes to FY 2007 Enacted Transportation Expenditures

	FY 2007 Enacted Appropriation	Carryforward from FY2006 into FY 2007	Supplemental Changes	FY 2007 Revised
Total ISTF Fund Revenue Collections	144,000,000			140,550,000
Transportation ISTE A Fund Changes		(2,408,675)		
Gas tax budgeted in DOA-planning	37,399,126		(981,256)	36,417,870
Salary for Governor's Office Transfer	92,129		(3,333)	88,796
Consolidations Transfer	1,982,532		(1,195,655)	786,877
Total Transfers	2,074,661		(1,198,988)	875,673
Gas Tax Budgeted outside of DOT	39,473,787		(2,180,244)	37,293,543
DEA - Elderly Transportation	4,800,000		(115,000)	4,685,000
General Fund Transfer	4,800,000		(115,000)	4,685,000
Total ISTE A Fund	144,000,000		(4,780,672)	139,219,328
Change in Fund Balance				1,330,672
Beginning Balance		(2,408,675)		
Ending Balance				(1,078,003)

¹ Current Year Deficit to be resolved in FY 2008

² A reduction of \$192,409 represents overpayment of debt service in FY 2006

Rhode Island State Government Organizational Chart



General Government

Department of Administration

- Central Management
- Legal Services
- Accounts and Control
- Budgeting
- Municipal Affairs
- Purchasing
- Auditing
- Human Resources
- Personnel Appeal Board
- Taxation
- Registry of Motor Vehicles
- Child Support Enforcement
- Central Services
- Facilities Management
- Capital Projects and Project Management
- Office of Library and Information Services
- Information Technology
- Library Program
- Planning
- Sheriffs
- Security Services
- Energy Resources
- General
- Debt Service Payments
- Personnel Reform
- Internal Service Programs

Department of Business Regulation

- Central Management
- Banking Regulation
- Securities Regulation
- Banking and Securities Regulation
- Commercial Licensing and Regulation
- Commercial Licensing and Racing and Athletics
- Racing and Athletics
- Insurance Regulation
- Board of Accountancy

Department of Labor and Training

- Central Management
- Workforce Development Services
- Workforce Regulation and Safety
- Income Support
- Injured Workers Services
- Labor Relations Board

Department of Revenue

- Director of Revenue
- Revenue Analysis
- Lottery Division
- Property Valuation
- Taxation
- Registry of Motor Vehicles

Legislature

- General Assembly
- Fiscal Advisory Staff to House Finance Committee
- Legislative Council
- Joint Committee on Legislative Affairs
- Office of the Auditor General
- Special Legislative Commissions

Office of the Lieutenant Governor

Secretary of State

- Administration
- Corporations
- State Archives
- Elections and Civics
- State Library
- Office of Public Information
- Internal Service Programs

Office of the General Treasurer

- General Treasury
- State Retirement System
- Unclaimed Property
- Rhode Island Refunding Bond Authority
- Crime Victim Compensation

Boards for Design Professionals

- Board of Elections
- Rhode Island Ethics Commission
- Office of the Governor
- Public Utilities Commission
- Rhode Island Commission on Women

General Government Function Expenditures

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Expenditure by Object					
Personnel	178,076,314	187,666,836	200,172,037	224,993,528	228,192,506
Operating Supplies and Expenses	56,929,501	274,248,726	278,908,209	273,023,621	280,428,511
Aid To Local Units Of Government	206,116,025	238,057,173	261,766,055	262,520,723	266,017,969
Assistance, Grants and Benefits	431,713,142	415,893,724	445,613,656	432,356,040	442,466,382
Subtotal: Operating Expenditure:	\$872,834,982	\$1,115,866,459	\$1,186,459,957	\$1,192,893,912	\$1,217,105,368
Capital Improvements	6,433,445	16,153,243	8,734,713	12,961,694	29,436,232
Capital Debt Service	145,703,997	141,314,983	161,701,843	157,460,254	174,082,229
Operating Transfers	43,557,413	31,571,347	20,284,568	24,613,383	28,005,752
Total Expenditures	\$1,068,529,837	\$1,304,906,032	\$1,377,181,081	\$1,387,929,243	\$1,448,629,581
Expenditures by Funds					
General Revenue	476,538,956	493,285,906	549,789,082	559,032,559	613,813,740
Federal Funds	70,518,702	72,023,995	73,306,307	87,979,301	78,785,223
Restricted Receipts	62,202,111	52,614,950	62,938,473	64,571,131	81,325,859
Other Funds	459,270,068	686,981,181	691,147,219	676,346,252	674,704,759
Total Expenditures	\$1,068,529,837	\$1,304,906,032	\$1,377,181,081	\$1,387,929,243	\$1,448,629,581
FTE Authorization	2,487.9	2,463.6	2,668.9	2,677.8	2,498.0

The Agency

Department of Administration

Agency Operations

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters and is responsible for the statewide implementation of policy decisions affecting the organization and delivery of services administered and supported by the state.

The department, headed by the Director of Administration, has eighteen programmatic functions in the FY 2008 Budget. These include Central Management, Legal Services, Accounts and Control, Budgeting, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Facilities Management, Capital Projects and Property Management, Information Technology, Library and Information Services, Planning, Security Services, General Appropriations, Debt Service Payments, Energy Resources and various Internal Services Programs.

Agency Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

Agency Measures

Minorities as a Percentage of the Workforce	10.5%	9.9%	9.9%	9.9%
Females as a Percentage of the Workforce	48.5%	37.9%	37.9%	37.9%
Persons with Disabilities as a Percentage of the Workforce	2.1%	2.3%	2.3%	2.3%

The Budget

Department of Administration

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Central Management	5,868,786	2,204,825	1,904,999	1,957,953
Legal Services	-	2,803,937	2,796,673	2,701,613
Accounts and Control	3,888,822	4,522,344	3,352,471	3,292,968
Budgeting	2,207,544	2,264,131	2,373,845	2,195,476
Municipal Affairs	7,097,251	-	-	-
Purchasing	1,907,192	2,223,942	2,226,403	2,423,789
Auditing	1,499,365	1,613,485	1,846,692	1,889,339
Human Resources	6,304,011	6,302,871	13,422,473	13,767,954
Personnel Appeal Board	86,459	80,253	94,187	96,793
Child Support Enforcement	9,709,572	134	-	-
Central Services	32,950,339	487,608	-	-
Facilities Management	-	32,944,638	69,889,009	45,015,359
Capital Projects and Property Management	-	3,200,806	3,126,074	3,806,680
Office of Library & Information Services	5,681,182	7,080	-	-
Information Technology	-	4,761,564	28,556,045	28,162,492
Library Program	-	2,145,848	2,178,119	2,187,228
Planning	884,077	12,306,397	15,927,007	14,236,445
Sheriffs	13,785,833	-	-	-
Security Services	-	18,585,313	19,518,378	20,424,360
Energy Resources	-	-	-	29,725,344
General	242,313,868	261,439,589	277,552,353	298,940,561
Debt Service Payments	153,369,766	144,919,868	157,087,646	173,594,621
Personnel Reform	-	-	-	-
Internal Service Programs	[78,299,831]	[86,292,705]	[73,686,265]	[73,406,933]
Salary/Benefit Adjustments	-	-	(14,600,791)	(19,324,752)
Total Expenditures	\$487,554,067	\$502,814,633	\$587,251,583	\$625,094,223
Expenditures By Object				
Personnel	56,289,823	51,153,768	79,243,557	75,540,409
Operating Supplies and Expenses	24,417,366	20,155,022	32,424,552	36,543,408
Aid To Local Units Of Government	206,116,025	238,055,963	261,088,270	264,861,368
Assistance, Grants and Benefits	38,247,098	37,649,575	39,705,228	38,728,969
Subtotal: Operating Expenditures	\$325,070,312	\$347,014,328	\$412,461,607	\$415,674,154
Capital Purchases and Equipment	3,994,454	8,958,199	10,074,715	27,252,833
Debt Service	144,639,111	124,979,141	157,460,254	174,082,229
Operating Transfers	13,850,190	21,862,965	7,255,007	8,085,007
Total Expenditures	\$487,554,067	\$502,814,633	\$587,251,583	\$625,094,223
Expenditures By Funds				
General Revenue	382,458,648	393,743,816	451,453,511	504,432,741
Federal Funds	31,726,143	28,843,068	47,810,379	46,083,583
Restricted Receipts	7,544,690	1,374,500	6,536,747	12,342,311
Other Funds	65,824,586	78,853,249	81,450,946	62,235,588
Total Expenditures	\$487,554,067	\$502,814,633	\$587,251,583	\$625,094,223
FTE Authorization	877.7	777.9	1,065.2	882.2

The Program

Department of Administration Central Management

Program Operations

Central Management is comprised of three major functions: the Director's Office, the Central Business Office, and the Judicial Nominating Commission.

The Director's Office oversees the overall operation of the department and also provides administrative assistance to the Office of the Governor.

The Central Business Office provides financial management support in the areas of budgeting, financial management, accounting, and reporting to divisions within the department to ensure maximum use of state and federal resources. This unit also provides services to the Department of Administration's employees with personnel actions, payroll, employee orientation and other related employee relations functions.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. The commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

Program Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The central management and legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1, which also outlines the criteria for the selection of qualified judicial nominees. The Legal and Adjudication Services subprogram was moved to the new Legal Services program as part of the FY 2006 enacted budget.

The Budget

Department of Administration Central Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Director's Office	2,473,362	758,343	827,239	812,609
Financial Management	1,288,956	1,446,478	1,057,760	1,125,344
Legal and Adjudication Services	2,099,773	-	-	-
Judicial Nominating Committee	6,695	4	20,000	20,000
Total Expenditures	\$5,868,786	\$2,204,825	\$1,904,999	\$1,957,953
Expenditures By Object				
Personnel	5,617,970	2,091,569	1,791,586	1,885,477
Operating Supplies and Expenses	199,970	104,724	108,413	67,976
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,011	121	-	-
Subtotal: Operating Expenditures	\$5,818,951	\$2,196,414	\$1,899,999	\$1,953,453
Capital Purchases and Equipment	15,991	8,411	5,000	4,500
Debt Service	-	-	-	-
Operating Transfers	33,844	-	-	-
Total Expenditures	\$5,868,786	\$2,204,825	\$1,904,999	\$1,957,953
Expenditures By Funds				
General Revenue	5,606,075	1,746,712	1,501,906	1,719,780
Federal Funds	255,679	289,776	278,201	238,173
Restricted Receipts	7,032	168,337	124,892	-
Total Expenditures	\$5,868,786	\$2,204,825	\$1,904,999	\$1,957,953

Program Measures

The Program

Department of Administration Legal Services

Program Operations

Legal Services is responsible for providing legal services to the departments and agencies within the Executive Branch. The mission of the Division is to provide proactive legal assistance so the departments and agencies can achieve their statutory goals and objectives. The Division is comprised of five central practice groups including Administrative Law, Corporate Law, Labor/Employment, Legislative and Legal Support, Child Support and Administrative Adjudication. In addition, the Division manages attorneys resident at the departments and agencies that service specific programmatic areas.

Program Objectives

To provide in-house legal services to Executive Branch departments and agencies.

To provide legal risk management services.

To provide legislative and legal support services.

Statutory History

On August 24, 2004 Governor Carcieri issued Executive Order 04-09 creating a Division of Legal Services within the Department of Administration. In addition, several of the departments and agencies have independent statutory authority to establish and maintain legal resources.

The Budget

Department of Administration Legal Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	2,695,118	2,731,040	2,636,681
Operating Supplies and Expenses	-	104,277	62,122	61,421
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	1,011	1,011	1,011
Subtotal: Operating Expenditures	\$0	\$2,800,406	\$2,794,173	\$2,699,113
Capital Purchases and Equipment	-	3,531	2,500	2,500
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$2,803,937	\$2,796,673	\$2,701,613
Expenditures By Funds				
General Revenue	-	2,803,937	2,682,169	2,579,556
Operating Transfers	-	-	114,504	122,057
Total Expenditures	\$0	\$2,803,937	\$2,796,673	\$2,701,613

Program Measures

The Program

Department of Administration Accounts and Control

Program Operations

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of this office include the administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the maintenance of control accounts of assets for all departments and agencies; the operation of financial, accounting and cost systems for all departments and agencies; the preaudit of state receipts and expenditures; the approval of vouchers drawn on the General Treasurer; and the preparation of financial statements required by departments and agencies, the Governor or the General Assembly.

This office is also responsible for the preparation and/or coordination of several publications, including the Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

Program Objectives

To design, implement, and maintain a statewide accounting system in order to ensure that state funds are spent according to legislative intent, leading to the promotion of the fiscal integrity of the state.

To provide management on a timely basis with basic data required to measure and evaluate productivity and accountability of state government in order to make and/or revise strategic or operating plans.

Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

The Budget

Department of Administration Accounts and Control

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	2,371,961	2,943,390	3,106,198	3,216,550
Operating Supplies and Expenses	1,507,209	1,543,184	235,356	71,001
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	417	417	417	417
Subtotal: Operating Expenditures	\$3,879,587	\$4,486,991	\$3,341,971	\$3,287,968
Capital Purchases and Equipment	9,235	35,353	10,500	5,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,888,822	\$4,522,344	\$3,352,471	\$3,292,968
Expenditures By Funds				
General Revenue	3,888,822	4,522,344	3,352,471	3,292,968
Total Expenditures	\$3,888,822	\$4,522,344	\$3,352,471	\$3,292,968
Program Measures				
Percentage of Invoices Processed Within 30 Days	98.0%	95.0%	96.0%	98.0%
Number of Days after Fiscal Year End to Publication of CAFR	231	182	182	182
Number of Days to Fiscal Close	49	33	37	37

The Program

Department of Administration Budgeting

Program Operations

The Budget Office provides staff advice to the Governor relating to the financial management of state government, including evaluation of necessary resources, analysis of state programs, priorities, and alternatives, and the optimum allocation of resources to meet policy and management goals.

The Budget Office performs four key functions, of which the first is the formulation, preparation, and execution of the state budget. Included in this function is the analysis of departmental requests for financing, incorporation of priorities, and presentation and testimony on the executive budget before the General Assembly. During the legislative session, the Budget Office also prepares fiscal notes on legislation with fiscal impacts upon request.

The Budget Office is responsible for economic analysis and revenue estimating, including participation in the Revenue and Caseload Estimating Conferences and reporting on actual versus estimated receipts. The Budget Office also performs capital development program analysis and develops financing plans for execution. This includes presentations to bond rating agencies, preparation of debt offering circulars, tracking of expenditures against authorizations and debt management.

The FY 2007 Appropriations Act changed the Municipal Affairs subprogram into the Division of Property Valuation within the new Department of Revenue.

Program Objectives

To ensure that the performance of state programs and activities reflects accurately and effectively the policies of the Governor and the acts and appropriations established by the General Assembly; to ensure that the Governor and the General Assembly have the best possible information and analysis available in carrying out their respective constitutional duties; to use performance measures to strengthen program and financial management and accountability within departments and agencies.

Statutory History

R.I.G.L. 35-3 establishes Budget Office responsibility for the executive budget, including the preparation and submission of the Governor's budget to the state legislature; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. R.I.G.L. 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. R.I.G.L. 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures. R.I.G.L. 42-11-12 provides the authorization for the Municipal Affairs subprogram which became, with the passage of the FY 2007 Budget, the Division of Property Valuation within the Department of Revenue.

The Budget

Department of Administration Budgeting

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Budget Office	1,957,381	2,264,131	2,373,845	2,195,476
Strategic Planning	250,163	-	-	-
Total Expenditures	\$2,207,544	\$2,264,131	\$2,373,845	\$2,195,476
Expenditures By Object				
Personnel	2,027,548	2,050,725	2,263,631	2,099,079
Operating Supplies and Expenses	158,481	206,350	86,970	90,397
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$2,186,029	\$2,257,075	\$2,350,601	\$2,189,476
Capital Purchases and Equipment	18,377	7,056	23,244	6,000
Debt Service	3,138	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,207,544	\$2,264,131	\$2,373,845	\$2,195,476
Expenditures By Funds				
General Revenue	2,207,544	2,264,131	2,373,845	2,195,476
Total Expenditures	\$2,207,544	\$2,264,131	\$2,373,845	\$2,195,476

Program Measures

Budget Presentation Index	12	10	12	12
Bond Rating Index	11	11	10	10
Performance Measures Developed				

The Program

Department of Administration Municipal Affairs

Program Operations

Municipal Affairs responsibilities include the provision of technical support to municipalities and supervision of selected financial operations, distribution of state aid, and determination of community wealth for use in school aid formulas. Additionally, Municipal Affairs provides planning assistance in the areas of comprehensive planning, land use regulation, capital projects, and compliance with state law and the State Guide Plan.

As part of the FY 2006 Budget, the various units of the Municipal Affairs program were transferred to other programs in the Department. The Municipal Finance section was moved to the Budgeting program. The Community Development and Local Government Assistance units were moved to the new Planning program. As part of the FY 2007 Budget, the Municipal Finance section became the Division of Property Valuation with the Department of Revenue.

Program Objectives

To maintain and compute financial and equalized property value information for the benefit of municipalities and public policy decision-makers.

To encourage and assure compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To encourage cooperation between municipalities and the state by distributing information and by providing technical assistance to municipalities.

To provide guidance to public policy decision-makers on the equitable distribution of state aid to municipalities.

Statutory History

The Office of Municipal Affairs is established under R.I.G.L. 42-11-12. In the FY 2007 Budget, the office became the Division of Property Valuation within the Department of Revenue.

The Budget

Department of Administration Municipal Affairs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,113,658	-	-	-
Operating Supplies and Expenses	95,464	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,415,386	-	-	-
Subtotal: Operating Expenditures	\$3,624,508	\$0	\$0	\$0
Capital Purchases and Equipment	3,250	-	-	-
Debt Service	3,469,493	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$7,097,251	\$0	\$0	\$0
Expenditures By Funds				
General Revenue	1,007,654	-	-	-
Federal Funds	6,089,597	-	-	-
Total Expenditures	\$7,097,251	\$0	\$0	\$0

The Program

Department of Administration Purchasing

Program Operations

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management, vendor information and minority business. Procurement solicits bids or requests for proposal for services and supplies as well as price negotiations, and carries out affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. The standards and specifications staff work with agency representatives to develop statewide standard specifications for goods and services to be solicited. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public.

Program Objectives

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and a sense of responsibility to the taxpayers of Rhode Island. To assure that user agencies obtain goods and services in a quick and cost-effective manner, without sacrificing necessary quality and standards.

To establish and enforce rules, regulations, policies and procedures for the implementation of all laws and ethical standards relating to purchasing activities.

To improve, through ongoing evaluation, the effectiveness and efficiency of the procurement system.

To ensure public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program.

The Minority Business Enterprise function is responsible for supporting Rhode Island laws and policies to ensure the fullest participation of minority business enterprises in state funded and directed construction programs and projects and state purchases of goods and services.

Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 32-2.17 requires the institution of an electronic Vendor Information Program.

The Budget

Department of Administration Purchasing

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Purchasing	1,907,192	2,060,224	2,035,588	2,220,287
Minority Business Enterprise	-	163,718	190,815	203,502
Total Expenditures	\$1,907,192	\$2,223,942	\$2,226,403	\$2,423,789
Expenditures By Object				
Personnel	1,793,665	2,119,466	2,157,934	2,362,964
Operating Supplies and Expenses	93,624	82,102	62,632	54,988
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,146	837	837	837
Subtotal: Operating Expenditures	\$1,888,435	\$2,202,405	\$2,221,403	\$2,418,789
Capital Purchases and Equipment	18,757	21,537	5,000	5,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,907,192	\$2,223,942	\$2,226,403	\$2,423,789
Expenditures By Funds				
General Revenue	1,907,192	2,223,942	2,226,403	2,423,789
Total Expenditures	\$1,907,192	\$2,223,942	\$2,226,403	\$2,423,789

Program Measures

The Program

Department of Administration Auditing

Program Operations

The Bureau of Audits performs the auditing function for the executive branch of state government and provides the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational controls. The Bureau of Audits is led by a chief who supervises all activities. Daily activities are concentrated in four areas: audits of state departments and agencies, audits of human service providers, statutory auditing and accounting services for the Judicial Department, and management services.

The Bureau of Audits is required to audit the financial records and accounts of all state departments and agencies on a biennial basis and report all findings and recommendations relative to the financial affairs, the economy, and the efficiency of operations.

The bureau also audits contracts between the providers of human services and the Departments of Mental Health, Retardation and Hospitals, Human Services, and Children, Youth and Families.

The bureau audits the activities of the Judicial Department and conducts special nonrecurring audits and provides management services.

Program Objectives

To evaluate and test the internal control systems of various state departments and agencies.

To report on the financial affairs, the economy, and the efficiency of programs operated by various state departments and agencies and private providers of human services.

To provide accounting and auditing services to state departments and agencies.

To ensure compliance with state laws and regulations.

Statutory History

R.I.G.L. 35-7 establishes a Bureau of Audits to conduct all audits required by any department. This chapter specifies that biennial audits of the financial affairs, the economy and efficiency of programs, and the books and accounts of all state departments and agencies are required; authorizes audits of the Judicial Department and requires the bureau to furnish auditors and accountants to other state agencies.

The Budget

Department of Administration Auditing

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,443,889	1,554,156	1,727,094	1,803,839
Operating Supplies and Expenses	40,521	44,097	109,598	80,500
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,484,410	\$1,598,253	\$1,836,692	\$1,884,339
Capital Purchases and Equipment	14,955	15,232	10,000	5,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,499,365	\$1,613,485	\$1,846,692	\$1,889,339
Expenditures By Funds				
General Revenue	1,499,365	1,613,485	1,846,692	1,889,339
Total Expenditures	\$1,499,365	\$1,613,485	\$1,846,692	\$1,889,339
Program Measures				
Audit Acceptance	100.0%	96.0%	98.0%	99.0%

The Program

Department of Administration Human Resources

Program Operations

The Human Resources program is composed of two major functions.

Personnel Administration is charged with the recruitment, retention, and motivation of qualified employees within state government, as well as providing all citizens with a fair and reasonable opportunity for public service.

Training and Development staff is responsible for the development and implementation of training programs for all state employees, and for the administration of the state in-service training incentive program. Particular responsibilities include new course development, technical assistance, course presentation, and in-service incentive credit maintenance.

Program Objectives

To promote and maintain effective programs, policies and procedures in support of the human resource needs of the citizens, elected officials, managers and employees of the state.

Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law and establish a mechanism to provide training programs and incentive award training. Chapter 11 provides "The Chief Executive...to recognize an organization...as the collective bargaining agency for its employees."

The Budget

Department of Administration Human Resources

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Human Resources	144,309	255,323	255,415	271,505
Personnel Administration	5,407,803	4,987,105	3,906,738	3,996,731
Equal Opportunity/Outreach	450,531	646,481	672,280	716,775
Training and Development	301,368	210,253	210,454	-
DEM/DOT HR Service Center	-	94,682	1,212,230	1,259,423
General Gov. HR Service Center	-	109,027	1,249,693	1,310,902
Human Services HR Service Center	-	-	4,576,958	4,826,772
Public Safety HR Service Center	-	-	1,338,705	1,385,846
Total Expenditures	\$6,304,011	\$6,302,871	\$13,422,473	\$13,767,954
Expenditures By Object				
Personnel	4,725,574	4,645,042	12,735,369	13,186,494
Operating Supplies and Expenses	443,441	524,815	618,605	523,248
Aid To Local Units Of Government	1,096,885	1,097,932	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$6,265,900	\$6,267,789	\$13,353,974	\$13,709,742
Capital Purchases and Equipment	38,111	35,082	68,499	58,212
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$6,304,011	\$6,302,871	\$13,422,473	\$13,767,954
Expenditures By Funds				
General Revenue	6,209,654	6,302,871	10,150,434	10,331,244
Federal Funds	85,462	-	1,960,913	2,065,791
Rrestricted Receipts	-	-	547,950	577,637
Other	8,895	-	763,176	793,282
Total Expenditures	\$6,304,011	\$6,302,871	\$13,422,473	\$13,767,954

Program Measures

Percentage of Desk Audits Completed Within 60 Days	13.0%	15.0%	30.0%	30.0%
Percentage of Civil Service Examinations Completed Within 180 Days	80.0%	100.0%	100.0%	100.0%

The Program

Department of Administration Personnel Appeal Board

Program Operations

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

Program Objective

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged, and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

The Budget

Department of Administration Personnel Appeal Board

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	84,769	79,297	91,605	94,211
Operating Supplies and Expenses	1,690	956	2,582	2,582
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$86,459	\$80,253	\$94,187	\$96,793
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$86,459	\$80,253	\$94,187	\$96,793
Expenditures By Funds				
General Revenue	86,459	80,253	94,187	96,793
Total Expenditures	\$86,459	\$80,253	\$94,187	\$96,793
Program Measures				
Percentage of State Employee Appeals Resolved				
Within 270 days	89.0%	89.0%	90.0%	90.0%

The Program

Department of Administration Child Support Enforcement

Program Operations

Child Support Enforcement is a program within the Division of Taxation of the Rhode Island Department of Administration. This agency was established to help strengthen families through financial support and to reduce welfare dependence by ensuring that parents honor their obligation to support their offspring. The concern for the well being of children who live with only one parent and the desire to promote self-sufficiency for these single parent families prompted both the state and federal governments to establish Child Support Enforcement Programs nationwide.

As part of the FY 2006 Budget, the Child Support Enforcement program was moved to the Department of Human Services.

Program Objectives

Child Support Enforcement was established to help strengthen families through financial support and to reduce welfare dependency by ensuring that parents are responsible for supporting their children.

Statutory History

R.I.G.L. 15-11.1 outlines the duties and responsibilities of the Child Support Enforcement Program.

The Budget

Department of Administration Child Support Enforcement

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	7,625,758	-	-	-
Operating Supplies and Expenses	1,947,995	134	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	672	-	-	-
Subtotal: Operating Expenditures	\$9,574,425	\$134	\$0	\$0
Capital Purchases and Equipment	135,147	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$9,709,572	\$134	\$0	\$0
Expenditures By Funds				
General Revenue	3,299,974	-	-	-
Federal Funds	6,409,598	134	-	-
Total Expenditures	\$9,709,572	\$134	\$0	\$0

Program Measures

Current Child Support Collected as a Percentage
of Current Child Support Owed

The Program

Department of Administration Central Services

Program Operations

The Central Services Program provides Capitol Police security, maintenance, and operations services to nineteen buildings under the direct jurisdiction of the Department of Administration. The program is also responsible for building code and plan review, energy conservation and management, state surplus property, and property management. The State Properties Committee is responsible for all property leased to or by the State of Rhode Island. The responsibility for building operations includes grounds maintenance, general maintenance, building cleaning, janitorial services, and maintenance and operation of boilers and mechanical equipment.

The Building Code Commission oversees approval of state projects, accessibility programs, building code standards, training programs, continuing education, and registration of building contractors.

The Rhode Island State Energy Office administers a variety of federal and state program grants which provide a broad spectrum of energy assistance, energy conservation, and weatherization services to end-users in all sectors.

As part of the FY 2006 Budget, the various units of the Central Services program moved to other programs within the Department of Administration. The Capitol Police unit moved to the Security Services program. Maintenance and surplus property functions and the State Energy Office moved to the new Facilities program. Property management functions, the State Properties Committee and the Building Code Commission moved to the new Capital Projects and Property Management program.

Program Objectives

To provide a clean, safe, healthy, secure, and pleasant work environment conducive to worker productivity. To provide building code guidelines, and monitor for compliance all applicable structures within the private and public sector. To provide security and protection to the State House, court facilities, and other state offices.

Statutory History

R.I.G.L. 37-6 establishes the duties, authority, and responsibilities of the State Properties Committee. R.I.G.L. 23-27.2, R.I.G.L. 23-27.3, and R.I.G.L. 5-65 establish the duties, authority, and responsibilities of the State Building Commissioner's Office. R.I.G.L. 37-8, R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority, and responsibilities of the property management and buildings and grounds functions. R.I.G.L. 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

The Budget

Department of Administration Central Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Associate Director's Office	487,978	487,608	-	-
Building and Grounds Maintenance	7,396,762	-	-	-
Building Code Commission	2,469,579	-	-	-
Capitol Police	3,079,152	-	-	-
Energy and Conservation	19,516,868	-	-	-
Total Expenditures	\$32,950,339	\$487,608	\$0	\$0
Expenditures By Object				
Personnel	10,351,280	-	-	-
Operating Supplies and Expenses	4,126,101	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	17,958,367	-	-	-
Subtotal: Operating Expenditures	\$32,435,748	\$0	\$0	\$0
Capital Purchases and Equipment	26,983	-	-	-
Debt Service	487,608	487,608	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$32,950,339	\$487,608	\$0	\$0
Expenditures By Funds				
General Revenue	16,080,239	-	-	-
Federal Funds	16,301,377	-	-	-
Restricted Receipts	568,723	487,608	-	-
Total Expenditures	\$32,950,339	\$487,608	\$0	\$0

Program Measures

Motor Vehicle Claims

The Program

Department of Administration Facilities Management

Program Operations

Facilities Management was created by Executive Order 04-04 as a result of recommendations of the Governor's Fiscal Fitness Program.

The Executive Order states: "There shall be established within the Department of Administration ("DOA") a Division of Facilities Management, to be headed by an Associate Director of Facilities Management designated from time to time by the Director of the DOA. The Division will perform coordinated facilities management for state departments and agencies, including but not limited to: operation, maintenance and repair of buildings, grounds, central HVAC, power plants and other facilities. The Division may contract or delegate such services as it deems appropriate."

Facilities Management will initially provide the facilities management services to the following state departments: Human Services, Labor and Training, Children, Youth and Families, Mental Health Retardation and Hospitals, and Administration. In future years, this division will expand to provide facilities management services to other state agencies.

Program Objectives

To coordinate and consolidate the facilities operations, maintenance and repair functions of several state departments under one centralized facilities management organization.

To improve the efficiency and effectiveness of facility services.

To deliver cost effective facility management services to the state departments under the division's purview.

To protect and preserve Rhode Island's facility assets.

Statutory History

R.I.G.L. 42-11-2 establishes authority for the surplus state property program, the repair and operation of the State House, the State Office building and other premises, and the control and supervision of the acquisition, operation, maintenance, repair and replacement of state-owned motor vehicles.

R.I.G.L. 45-56.2 establishes the Federal Surplus Property program.

Executive Order 95-24 defines the duties and responsibilities of the State Energy Office

Executive Order 04-04 establishes and defines the duties of the Division of Facilities Management.

The Budget

Department of Administration Facilities Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Operations and Maintenance	-	9,593,541	9,430,132	8,007,033
Energy and Conservation	-	23,351,097	26,390,827	-
Facilities Centralization	-	-	34,068,050	37,008,326
Total Expenditures	\$0	\$32,944,638	\$69,889,009	\$45,015,359
Expenditures By Object				
Personnel	-	5,200,481	16,496,562	14,665,668
Operating Supplies and Expenses	-	4,913,131	25,687,130	28,799,434
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	22,805,680	25,550,563	5,056
Subtotal: Operating Expenditures	\$0	\$32,919,292	\$67,734,255	\$43,470,158
Capital Purchases and Equipment	-	25,346	1,667,146	1,057,593
Debt Service	-	-	487,608	487,608
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$32,944,638	\$69,889,009	\$45,015,359
Expenditures By Funds				
General Revenue	-	12,758,017	39,299,322	36,332,501
Federal Funds	-	20,044,805	28,793,925	6,990,065
Restricted Receipts	-	141,816	1,285,339	1,137,677
Other Funds	-	-	510,423	555,116
Total Expenditures	\$0	\$32,944,638	\$69,889,009	\$45,015,359

Program Measures

Percentage of Days with no Interruption or Loss of Service from the Utility Systems	99.9%	99.8%	99.9%	99.9%
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The Program

Department of Administration Capital Projects and Property Management

Program Operations

The Division of Capital Projects and Property Management was established by Executive Order on April 1, 2004. The Division is responsible for the planning, design and construction of new state facilities and the major renovation of existing buildings. It also solicits, negotiates and administers real estate leases. The Division conducts ongoing assessments of the State's space needs for governmental activities, allocates space and oversees capital planning and development. In addition, the Division is responsible for a variety of related activities including the administration of the Building Code Commission, the Contractor Registration Board and the State Properties Committee.

The Building Code Commission oversees the approval of state projects for conformance to ADA and accessibility standards and building codes. The Commission also conducts a variety of training programs for code officials and building trades people.

The Contractors' Registration Board is responsible for registering building contractors and licensing Home Inspectors and Commercial Roofers. The Board investigates complaints and conducts consumer protection activities by mediating disputes between property owners and contractors.

The State Properties Committee is a public entity charged with reviewing and approving all contracts, leases and purchases related to State's property interests.

Program Objectives

To facilitate services to the public by providing healthy, safe, secure and accessible service environments and employee workplaces.

To assure public welfare by interpreting and enforcing building code standards on state properties.

To protect the public from unscrupulous building contractors and shoddy workmanship.

To assure fairness, transparency and good value in developing and securing property for the State's use.

Statutory History

Executive Order 04-04 establishes the Division of Capital Projects and Property Management. RIGL 37-6 establishes the duties, authority and responsibility of the State Properties Committee. RIGL 23-27.3-108.2 et. seq. and RIGL 5-65-15.1 establish the duties and authority and responsibility of the State Building Commission. R.I.G. L. 5-65-1 et. seq. establishes the duties, authority and responsibility of the Contractors' Registration Board: as well as RIGL 5-65.1 et. Seq. and RIGL 5-73 et. Seq.

The Budget

Department of Administration Capital Projects and Project Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Capital Projects	-	913,933	983,342	1,062,364
Property Management	-	496,510	271,389	240,732
State Building Code Commission	-	1,790,363	1,871,343	2,503,584
Total Expenditures	-	\$3,200,806	\$3,126,074	\$3,806,680
Expenditures By Object				
Personnel	-	3,015,094	2,913,655	3,383,416
Operating Supplies and Expenses	-	175,554	207,419	419,014
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$0	\$3,190,648	\$3,121,074	\$3,802,430
Capital Purchases and Equipment	-	10,158	5,000	4,250
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$3,200,806	\$3,126,074	\$3,806,680
Expenditures By Funds				
General Revenue	-	3,200,806	3,126,074	3,806,680
Total Expenditures	-	\$3,200,806	\$3,126,074	\$3,806,680

Program Measures

The Program

Department of Administration Office of Library and Information Services

Program Operations

The Office of Library and Information Services is comprised of four subprograms under the jurisdiction of the Chief Information Officer.

Library Services coordinates inter-library cooperation, maintains and develops the Rhode Island Library Network, operates the Regional Library for the Blind and Physically Handicapped, and promotes overall library development through various grant-in-aid programs for public and institutional libraries.

Statewide Planning was established to prepare, adopt, and amend strategic plans for the physical, economic, and social development of the state and to recommend these to the Governor, the General Assembly, and all others concerned.

Central Mail services include the collection and delivery of all interoffice mail, processing envelopes for welfare checks, tax refunds, and zip code presorting of general mail for state agencies.

Information Technology is responsible for information policy development and implementation, operation and maintenance of the state information resource management system, and improving access to state government information for state departments and the general public.

As part of the FY 2006 Budget, the various units of the OLIS program have been moved to other programs in the Department of Administration. The Library Services unit has been moved to the new Library and Information Services program. Statewide Planning has been moved to the new Planning program. Information Technology and Central Mail services have been moved to the new Information Technology program.

Program Objectives

To maintain and improve library and information services to state government and to the residents of the state. To develop and implement a state government information policy, and coordinate information resources throughout state government. To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management. To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

Statutory History

Title 29 Chapters 3 through 8 of the Rhode Island General Laws establish the statutory basis for the Office of Library and Information Services. R.I.G.L. 42-11 includes provisions relative to the state planning program. Functions are further prescribed in Titles 1, 16, 22, 23, 34, 37, 42, 44, 45 and 46.

The Budget

Department of Administration Office of Library and Information Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Executive Director - OLIS	2,147,507	-	-	-
Library Services	1,928,543	-	-	-
Systems Planning	1,403,501	7,080	-	-
Central Mail Services	201,631	-	-	-
Total Expenditures	\$5,681,182	\$7,080	\$0	\$0
Expenditures By Object				
Personnel	4,220,027	7,080	20,800	20,800
Operating Supplies and Expenses	512,341	-	-	-
Aid To Local Units Of Government	15,279	-	-	-
Assistance, Grants and Benefits	306,585	-	-	-
Subtotal: Operating Expenditures	\$5,054,232	\$7,080	\$20,800	\$20,800
Capital Purchases and Equipment	626,950	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$5,681,182	\$7,080	\$20,800	\$20,800
Expenditures By Funds				
General Revenue	4,288,024	-	-	-
Federal Funds	1,392,619	7,080	-	-
Restricted Receipts	539	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$5,681,182	\$7,080	\$0	\$0
Program Measures				
Percentage of Certification Reviews in Compliance		-	-	

The Program

Department of Administration Information Technology

Program Operations

Information Technology, headed by the state's Chief Information Officer (CIO), is responsible for oversight, coordination, and development of all information technology (IT) resources within the executive branch of state government. All hiring and spending decisions involving IT may only be made with the advice and approval of the CIO.

Information Technology defines and maintains the architectural standards for hardware, software, networks and services that guide state investments in IT. Information Technology defines and enforces statewide policies and procedures pertaining to project management, operations and the effective use of security of IT resources.

Information Technology is responsible for planning all IT investments and activities throughout the executive branch departments and agencies

Program Objectives

Finalize implementation plans for a statewide-integrated financial management system and begin implementation.

Maintain and improve IT services to executive branch departments and agencies, including technical support to end users and networks, help desk services, and application development, delivery and maintenance.

Complete integration of all executive branch agency and departmental IT organizations into the Information Technology program, including personnel and hardware consolidation

Implement and test a disaster recovery capability for Rhode Island's critical systems

Further expand and improve e-government services through Rhode Island's portal, RI.gov.

Publish an integrated Executive Branch five-year Information Technology Plan.

Provide state agencies and departments with improved transparency and clarity in their services through an improved billing process.

Statutory History

Executive Order 04-06 establishes and defines the duties and responsibilities of the Information Technology Division within the Department of Administration.

The Budget

Department of Administration Information Technology

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Executive Director - CIO	-	437,661	319,319	332,099
Information Technology	-	4,051,842	6,395,936	5,443,126
Central Mail Services	-	272,061	-	-
IT Centralization	-	-	21,840,790	22,387,267
Total Expenditures	\$0	\$4,761,564	\$28,556,045	\$28,162,492
Expenditures By Object				
Personnel	-	1,416,765	23,272,292	22,060,290
Operating Supplies and Expenses	-	426,855	3,516,047	3,032,853
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	1,004	804
Subtotal: Operating Expenditures	\$0	\$1,843,620	\$26,789,343	\$25,093,947
Capital Purchases and Equipment	-	2,917,944	1,766,702	3,068,545
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$4,761,564	\$28,556,045	\$28,162,492
Expenditures By Funds				
General Revenue	-	4,564,151	18,334,141	17,946,621
Federal Funds	-	197,413	7,488,645	7,389,800
Restricted Receipts	-	-	1,461,126	1,422,572
Other Funds	-	-	1,272,133	1,403,499
Total Expenditures	\$0	\$4,761,564	\$28,556,045	\$28,162,492

The Program

Department of Administration Library Program

Program Operations

Library and Information Services promotes overall development of library and information services in Rhode Island; participates in the planning and design of access to electronic information for other state agencies and the public. To carry out its mission, the priorities and policies of Library and Information Services are developed in conjunction with the Library Board of Rhode Island, which also authorizes public library regulations.

Program Objectives

To maintain and improve library services to the residents of the state and to state government; to facilitate the development of a multi-type library system and interlibrary cooperation; to maintain and develop the Library of Rhode Island (LORI) Network; to encourage electronic networking; to maintain information websites for libraries and the public; to operate the Talking Books Plus program for the blind and physically handicapped; to administer the federal Library Services and Technology Act (LSTA) program; to administer the annual Grant-In-Aid and the Public Library Construction Reimbursement Programs; to promote overall development of library services through various programs such as Children and Young Adult programming and to support the development of and ensure compliance with Minimum Standards for Rhode Island Public Libraries.

Statutory History

Title 29 Chapters 3.1 through 6 of the Rhode Island General Laws establish the statutory basis for Library and Information Services.

The Budget

Department of Administration Library Program

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	1,832,293	1,873,070	1,936,513
Operating Supplies and Expenses	-	147,729	122,049	114,901
Aid To Local Units Of Government	-	102,472	-	-
Assistance, Grants and Benefits	-	51,000	175,000	135,314
Subtotal: Operating Expenditures	\$0	\$2,133,494	\$2,170,119	\$2,186,728
Capital Purchases and Equipment	-	12,354	8,000	500
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$2,145,848	\$2,178,119	\$2,187,228
Expenditures By Funds				
General Revenue	-	1,006,260	1,092,018	1,114,166
Federal Funds	-	1,139,588	1,081,601	1,071,062
Restricted Receipts	-	-	4,500	2,000
Total Expenditures	-	\$2,145,848	\$2,178,119	\$2,187,228

The Program

Department of Administration Planning

Program Operations

The Division of Planning is comprised of four subprograms, Statewide Planning, Strategic Planning, Housing & Community Development and Local Government Assistance

Statewide Planning is responsible for preparing, adopting, and amending strategic plans for the physical, economic, and social development of the state. The Statewide Planning subprogram includes the State Planning Council, which is comprised of elected officials, state and local government officials, public members, and federal officials in an advisory capacity.

Strategic Planning is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. This subprogram is also responsible for the development of program performance measures in cooperation with the various departments and agencies.

Housing & Community Development works to coordinate Rhode Island's efforts in the areas of housing and community development. It provides support to the Housing Resources Commission in the administration of programs to promote stability and quality of life in communities and neighborhoods and to provide opportunities for safe, sanitary, decent, adequate, and affordable housing.

Local Government Assistance provides planning assistance in the areas of comprehensive planning, land use regulation, capital projects, and compliance with state law and the State Guide Plan.

Program Objectives

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management.

To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

To use performance measures to strengthen program and financial management and accountability within departments and agencies.

To coordinate activities among state agencies, political subdivisions and private partners pertaining to housing and community development.

Statutory History

R.I.G.L. 42-11 includes provisions relative to the division of planning. Other legal references are provided in Titles 1, 16, 22, 23, 34, 35, 37, 42, 44, 45 and 46.

The Budget

Department of Administration Planning

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Statewide Planning	884,077	2,903,344	3,453,886	3,032,831
Local Government Assistance	-	261,437	305,414	327,308
Community Development	-	9,141,616	12,167,707	10,876,306
Total Expenditures	\$884,077	\$12,306,397	\$15,927,007	\$14,236,445
Expenditures By Object				
Personnel	825,374	3,520,487	4,119,644	3,948,992
Operating Supplies and Expenses	22,224	133,331	197,668	223,977
Aid To Local Units Of Government	-	4,660,166	6,630,983	6,705,000
Assistance, Grants and Benefits	25,500	3,991,719	4,932,282	3,329,296
Subtotal: Operating Expenditures	\$873,098	\$12,305,703	\$15,880,577	\$14,207,265
Capital Purchases and Equipment	10,979	694	26,430	29,180
Debt Service	-	-	-	-
Operating Transfers	-	-	20,000	-
Total Expenditures	\$884,077	\$12,306,397	\$15,927,007	\$14,236,445
Expenditures By Funds				
General Revenue	-	5,086,997	4,695,735	3,196,255
Federal Funds	-	5,808,277	9,402,696	9,330,126
Operating Transfers	884,077	1,411,123	1,828,576	1,710,064
Total Expenditures	\$884,077	\$12,306,397	\$15,927,007	\$14,236,445

Program Measures

Percentage of Actions Taken on Local Plan Updates within 255 Days from Date Plans are Accepted as Complete to Review	95.0%	90.0%	100.0%	100.0%
Performance Measures Developed	79.8%	76.7%	80.0%	80.0%
New Affordable Housing Units	201	374	180	200
Percentage of Children with Blood Levels Greater than 10ug/dl for the First Time in their Lives	621	520	420	320

The Program

Department of Administration Sheriffs

Program Operations

The Sheriffs attend all sessions of the Supreme, Superior, Family and District Courts, and the Workers' Compensation Court as requested by the Chief Judge. They execute all writs of process, both civil and criminal; summon witnesses to appear in court; transport prisoners and defendants to court and to state institutions; collect fees for services performed as officers of the court; and perform all other duties assigned to them by law.

As part of the FY 2006 Budget, the Sheriffs program has been moved to the new Security Services program within the Department of Administration.

Program Objectives

To maintain an effective court security program, writ processing, and defendant management system.

Statutory History

R.I.G.L. 42-29 established the appointment of county sheriffs by the Governor in 1939. R.I.G.L. 42-29-1 through R.I.G.L. 42-29-17 includes duties, residency, deputies and bond requirements. R.I.G.L. 42-29-18 through 42-29-26 include powers, attendance, and writ requirements. The 1998 session of the General Assembly increased the agency's responsibilities by requiring the Sheriffs to provide security for the Workers' Compensation Court (R.I.G.L. 42-29-20.1) R.I.G.L. 9-29 sets the fee structure of writs for Sheriffs. R.I.G.L. 42-11-21 merged the Sheriffs of the Several Counties with the State Marshals, and transferred the newly created Division of Sheriffs to the Department of Administration.

The Budget

Department of Administration Sheriffs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	12,936,422	-	-	-
Operating Supplies and Expenses	822,001	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	(51,397)	-	-	-
Subtotal: Operating Expenditures	\$13,707,026	\$0	\$0	\$0
Capital Purchases and Equipment	59,282	-	-	-
Debt Service	19,525	-	-	-
Operating Transfers				
Total Expenditures	\$13,785,833	\$0	\$0	\$0
Expenditures By Funds				
General Revenue	13,785,833	-	-	
Total Expenditures	\$13,785,833	\$0	\$0	\$0
Program Measures				
Escapes and Escape Attempts - Escapes	-	-	-	-
Escapes and Escape Attempts - Attempts	-	-	-	-
Suicides and Suicide Attempts - Suicides	-	-	-	-
Suicide and Suicide Attempts - Attempts	1	-	-	-
Percentage of Writs Served Within Five Business Days of Request	75.0%	-	-	-

The Program

Department of Administration Security Services

Program Operations

The Sheriffs are responsible for statewide activities assigned by law which relate to the duties and functions of the sheriffs of the several counties. The division is also responsible for all statewide activities assigned by law which relate to the duties and functions of state marshals. Other responsibilities include: courtroom security and cellblocks in all state courthouses, training of personnel, transportation of individuals charged with crimes, and special operations.

The Capitol Police are an uniformed security force with powers of arrest and trained in police work at the State Police Academy. They have security responsibilities at ten buildings and also patrol the grounds and parking areas at the State House and Capitol Hill complex. Their job is to protect property and ensure the safety of employees and the public that work and visit the buildings. At court buildings they provide door security which includes monitoring the passage of persons through the metal detectors installed at each entrance and confiscating weapons and illicit materials. At the State House they are charged with maintaining order during protests and demonstrations. They protect the Governor, members of the General Assembly and other State Officers. They maintain peace and order to that the General Assembly and other functions of government can operate without disruption.

Program Objectives

To provide and maintain security for judges at all state courts; to provide and maintain security in all courtrooms and other public areas within state courthouses; to provide and maintain security in the cellblocks in all state courts, and exercise all powers as required and prescribed in all other provisions of the general laws and public laws relating to the powers and duties of sheriffs and Capitol Police.

Statutory History

Chapter 42-11 of the Rhode General Laws 42-11-21 established a division of sheriffs within the Department of Administration. Title 12 Section 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

The Budget

Department of Administration Security Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Sheriffs	-	15,136,134	16,027,214	16,708,396
Capitol Police	-	3,449,179	3,491,164	3,715,964
Total Expenditures	\$0	\$18,585,313	\$19,518,378	\$20,424,360
Expenditures By Object				
Personnel	-	17,582,252	18,564,668	19,496,163
Operating Supplies and Expenses	-	957,293	941,210	915,697
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	42,930	-	-
Subtotal: Operating Expenditures	\$0	\$18,582,475	\$19,505,878	\$20,411,860
Capital Purchases and Equipment	-	2,838	12,500	12,500
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$18,585,313	\$19,518,378	\$20,424,360
Expenditures By Funds				
General Revenue	-	18,585,313	19,518,378	20,424,360
Total Expenditures	-	\$18,585,313	\$19,518,378	\$20,424,360
Program Measures				
Escapes and Escape Attempts - Escapes	-	-	-	-
Escapes and Escape Attempts - Attempts	-	-	-	-
Suicides and Suicide Attempts - Suicides	-	-	-	-
Suicide and Suicide Attempts - Attempts	-	1	-	-
Percentage of Writs Served Within Five Business Days of Request	-	80.0%	80.0%	85.0%

The Program

Office of Energy Resources

Program Operations

The Office of Energy Resources provides policy direction for executive leadership in a variety of energy matters and is responsible for the statewide implementation of policy decisions affecting the organization and delivery of energy services by state government.

The office is headed by the Commissioner of Energy Resources and performs five major functions: establishing energy policy, serving as the State Energy Office, promoting renewable energy, public education regarding energy, and low-income energy assistance.

Energy policy is established by the Commissioner, with the advice of the Rhode Island Energy Efficiency and Resource Management Council established in R.I.G.L. § 42-140, to which the Office provides staff support.

The Office secures federal State Energy Program funding and administers this grant to provide numerous small energy related programs.

The Office invests in renewable energy projects and administers the Renewable Energy Fund.

The Office conducts a public education program with outreach to other state departments, municipalities, institutions, and the general public, funded with federal Department of Energy grants.

The Office receives federal LIHEAP monies and administers these funds to provide heating assistance, emergency oil deliveries, and weatherization services to low-income families. In addition, the Office receives and allocates the State's Affordable Energy Fund monies to accomplish the same purposes.

Program Objectives

To establish and implement statewide energy policy.

To ensure that programs of the Office are efficiently organized and implemented.

Statutory History

The Office of Energy Resources was created in 2006 to consolidate energy policies of state government. R.I.G.L. 42-140 establishes and provides for the organization and functions of the Office of Energy Resources. Although established as an agency within the Executive Department, the Office has been assigned for administrative purposes to the Department of Administration and is reflected as a program within this Department.

The Budget

Department of Administration Energy Resources

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	-	2,088,824
Operating Supplies and Expenses	-	-	-	414,395
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	27,212,572
Subtotal: Operating Expenditures	-	-	-	\$29,715,791
Capital Purchases and Equipment	-	-	-	9,553
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	-	-	-	\$29,725,344
Expenditures By Funds				
General Revenue	-	-	-	2,236,989
Federal Funds	-	-	-	19,688,355
Restricted Receipts	-	-	-	7,800,000
Total Expenditures	-	-	-	\$29,725,344

The Program

Department of Administration General

Program Operations

The General program reflects funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Contingency funds reflect the amount made available to the executive branch through the legislative appropriation process to finance unforeseen unbudgeted expenditures at the discretion of the Governor.

The Property Tax Relief program reflects expenditures resulting from the property tax relief program for the elderly and disabled that was enacted in 1977. The purpose of the program is to provide relief, through a system of tax credits and refunds from appropriations in the general fund, to elderly and/or disabled persons who own or rent their homes. The statute provides that if the allowable credit exceeds a taxpayer's liability, then the amount not used to offset taxes will be treated as an overpayment. The funds appropriated reflect the obligation arising from these overpayments of personal income taxes.

Grants and benefits reflect grants made to certain organizations and funds provided to certain individuals in the form of retirement benefits, which are appropriated by the legislature. This includes grants made to the Rhode Island Economic Development Corporation and the Economic Policy Council.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state; provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sum."

Program Objectives

To maintain a statewide accounting for all expenditures which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Statutory History

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which fund various expenditures not allocated to other state agencies. The statutory provisions relating to the Property Tax Relief program are contained in R.I.G.L. 44-33. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

The Budget

Department of Administration General

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
General	2,459,590	1,437,052	2,674,705	2,645,997
Capital Projects	4,136,495	6,718,385	6,457,445	22,875,000
Grants and Other Payments	16,156,593	10,332,891	1,046,750	2,623,774
Economic Development	10,527,699	10,459,120	13,838,794	13,596,919
State Aid to Local Communities	200,742,231	232,195,393	253,499,790	257,198,871
Housing	8,291,260	296,748	34,869	-
Total Expenditures	\$242,313,868	\$261,439,589	\$277,552,353	\$298,940,561
Expenditures By Object				
Personnel	1,107,297	349,978	-	-
Operating Supplies and Expenses	14,385,807	10,308,572	351,751	1,671,024
Aid To Local Units Of Government	201,534,368	232,195,393	254,457,287	258,156,368
Assistance, Grants and Benefits	13,909,411	7,195,860	9,044,114	8,043,662
Subtotal: Operating Expenditures	\$230,936,883	\$250,049,803	\$263,853,152	\$267,871,054
Capital Purchases and Equipment	3,016,437	5,862,663	6,464,194	22,984,500
Debt Service	-	-	-	-
Operating Transfers	8,360,548	5,527,123	7,235,007	8,085,007
Total Expenditures	\$242,313,868	\$261,439,589	\$277,552,353	\$298,940,561
Expenditures By Funds				
General Revenue	237,472,013	254,340,547	269,764,042	274,769,564
Federal Funds	(17,396)	199,250	34,869	-
Restricted Receipts	1,081,426	216,627	1,295,997	1,295,997
Other Funds	3,777,825	6,683,165	6,457,445	22,875,000
Total Expenditures	\$242,313,868	\$261,439,589	\$277,552,353	\$298,940,561

The Program

Department of Administration Debt Service Payments

Program Operations

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation issued by the state. Moreover, this program encompasses leases or trust agreements securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations that are part of the state's net tax supported debt.

Program Objectives

To maintain a statewide accounting of all general obligation debt service and other long term obligations.

Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

The Budget

Department of Administration Debt Service Payments

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
General Obligation Bonds	86,217,152	85,904,110	83,648,358	83,579,413
Certificates of Participation	9,761,268	15,254,767	17,915,229	27,026,457
COPS - DLT Center General	2,045,866	2,040,154	2,002,560	2,005,567
COPS - Center General Furniture	325,843	(348)	-	-
COPS - Pastore Center Telecommunications	723,509	347	-	-
RIRBA Debt Service	13,093,361	16,709,488	19,670,298	19,807,008
Public Higher Education	8,170,286	-	-	-
Tax Anticipation/S T Borrowing	741,349	970,205	2,920,762	-
Other Debt Service	32,291,132	24,041,145	30,930,439	41,176,176
Total Expenditures	\$153,369,766	\$144,919,868	\$157,087,646	\$173,594,621
Expenditures By Object				
Personnel	44,631	50,575	-	-
Operating Supplies and Expenses	60,497	481,918	115,000	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	3,680,000	3,560,000	-	-
Subtotal: Operating Expenditures	\$3,785,128	\$4,092,493	\$115,000	\$0
Capital Purchases and Equipment	-	-	-	-
Debt Service	144,128,840	140,827,375	156,972,646	173,594,621
Operating Transfers	5,455,798	-	-	-
Total Expenditures	\$153,369,766	\$144,919,868	\$157,087,646	\$173,594,621
Expenditures By Funds				
General Revenue	85,119,800	72,644,050	80,171,174	135,470,119
Federal Funds	1,209,207	1,156,745	1,177,854	1,177,854
Restricted Receipts	5,886,970	360,112	2,249,779	392,446
Other Funds	61,153,789	70,758,961	73,488,839	36,554,202
Total Expenditures	\$153,369,766	\$144,919,868	\$157,087,646	\$173,594,621

The Program

Department of Administration Personnel Reform

Program Objectives

This Department of Administration program was created to record proposed statewide savings in FY 2008 attributable to cost reductions both in the payment of benefits and in reductions of personnel. The following statewide savings adjustments are shown as five separate sub-programs within the Department of Administration.

- **Assessed Fringe Benefit/Workers' Compensation** - The Assessed Fringe Benefit Fund is funded through a rate of payroll charged to agencies, and is used to pay for workers' compensation claims and administration, severance pay, and unemployment insurance. The Administration budget includes (all funds) savings of \$4.965 million in FY 2007, (general revenue \$2.758 million) reflecting a reduction in the rate which will reduce the surplus carried forward from FY 2006. The FY 2008 all funds savings of \$993,251 (general revenue \$540,589) reflects anticipated savings from outsourcing.
- **Shut Down Days** - The Governor recommends the shut down of all non-essential operations for four days in FY 2007 and three days in FY 2008. For FY 2007, employees will take leave without pay on one day per month in March, April, May and June. For FY 2008, employees will take leave without pay on Friday, November 23rd, Monday, December 24th, and Monday December 31st.
- **Limited Service Positions** - The state currently employs individuals funded from certain federal funds and other sources through an outside vendor. The vendor charges the state an additional 22.5 percent fee of the hourly rate to pay FICA and administrative costs. A new class of position would be created by legislation to allow for individuals to be hired as "limited service" employees thereby avoiding the administrative costs.
- **Statewide Reduction in Force** - The Governor recommends instituting layoffs for 168 non-union and newly hired union employees. In order to effect this change by July 1, 2007, State agency directors will be asked to identify low priority activities or areas which have excess management or line staff. It is projected that this reduction will save \$9.3 million in general revenues in FY 2008. These savings are budgeted in the Department of Administration, and would be allocated to agencies.
- **Medical Savings** - The Governor recommends the following changes in medical benefits to mitigate the rising cost of this category of expenditure: 1) Discontinue COBRA for retirees by moving retirees directly to the retiree plan. This is estimated to save \$0.3 from general revenue sources, and \$0.6 million from all fund sources. 2) Carve Out Pharmacy from the State's medical insurance contract. This is estimated to save \$1.1 million from general revenue sources and \$2.2 million from all fund sources; and 3) Lower the cost of budgeted medical insurance based upon medical claims trend.

The Budget

Department of Administration Personnel Reform

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Assessed Fringe Benefit Savings	-	-	(4,965,532)	(993,251)
Shut Down Days	-	-	(9,635,259)	(7,677,292)
Limited Service Positions	-	-	-	(1,357,536)
Layoff Savings	-	-	-	(9,296,673)
Total Expenditures	-	-	(14,600,791)	(19,324,752)
Expenditures By Object				
Personnel	-	-	(14,600,791)	(19,324,752)
Operating Supplies and Expenses	-	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	-	-	(14,600,791)	(19,324,752)
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	-	-	(14,600,791)	(19,324,752)
Expenditures By Funds				
General Revenue	-	-	(8,775,480)	(15,393,459)
Federal Funds	-	-	(2,408,325)	(1,867,643)
Restricted Receipts	-	-	(432,836)	(286,018)
Other Funds	-	-	(2,984,150)	(1,777,632)
Total Expenditures	-	-	(14,600,791)	(19,324,752)

The Program

Department of Administration Internal Service Programs

Program Operations

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, information technology services, utility services, automotive services (repair and replacement), facilities management, human resource service centers and the assessed fringe benefit fund.

Information technology is responsible for operation and maintenance of the mainframe computer system, which supports all financial and personnel records for the state. In addition, special programs are developed, operated, and maintained on behalf of various state agencies. Services include programming, technical assistance, data entry, and report generation. The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Central utilities provides for the administration and fiscal management of electricity and gas services. State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state. Facilities management is responsible operations, maintenance and repair functions of various state buildings.

Human Resource Service Centers provide centralized, coordinated human resource functions, including payroll, employee relations, employee orientation, and recruiting and hiring.

The Assessed Fringe Benefit Fund provides funding for state employees workers' compensation, severance pay, unemployment pay and employee assistance programs. Funds are raised through an assessment on payroll expenses of all state departments and agencies.

Program Objectives

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

The Budget

Department of Administration Internal Service Programs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Workers' Compensation Fund	27,950,237	27,627,790	30,733,029	29,966,436
Central Utilities Fund	16,837,322	21,972,780	19,367,568	19,490,769
Energy Revolving Loan Fund	(188,699)	-	-	-
Information Processing Rotary	14,025,222	16,300,941	-	-
Central Mail Rotary	4,555,759	5,200,304	5,416,627	5,683,450
Telecommunications Fund	1,777,835	1,821,669	3,002,712	3,020,022
Automotive Fleet Rotary	12,935,176	12,871,455	14,606,571	14,649,606
Surplus Property	10,021	2,958	17,715	15,715
Capitol Police Rotary	396,958	494,808	542,043	580,935
Health Insurance Fund	-	-	237,046,389	258,553,614
Total Expenditures	\$78,299,831	\$86,292,705	\$310,732,654	\$331,960,547
Expenditures By Object				
Personnel	39,215,194	40,849,711	269,428,668	290,527,668
Operating Supplies and Expenses	37,872,620	44,428,310	41,025,160	41,093,001
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,774	40,877	737	100,737
Subtotal: Operating Expenditures	\$77,089,588	\$85,318,898	\$310,454,565	\$331,721,406
Capital Purchases and Equipment	928,919	420,777	167,300	170,000
Debt Service	277,374	553,030	110,789	69,141
Operating Transfers	3,950	-	-	-
Total Expenditures	\$78,299,831	\$86,292,705	\$310,732,654	\$331,960,547
Expenditures By Funds				
Internal Service Funds	78,299,831	86,292,705	310,732,654	331,960,547
Total Expenditures	\$78,299,831	\$86,292,705	\$310,732,654	\$331,960,547

The Agency

Department of Business Regulation

Agency Operations

The Department of Business Regulation's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of three divisions and Central Management, which includes budget, personnel, and legal. The respective divisions are: Banking and Securities, Commercial Licensing and Regulation, Racing and Athletics, and Insurance.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations, and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy, and Racing and Athletics Hearing Board. The department issues approximately 125,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

Agency Objectives

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities, while recognizing the need to foster a sound business environment.

Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I. General Laws §§ 42-14-1 et seq.

The Budget

Department of Business Regulation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Central Management	1,656,769	1,629,015	1,297,954	1,363,012
Banking Regulation	1,659,020	1,698,924	-	-
Securities Regulation	775,277	843,559	-	-
Commercial Licensing and Regulation	1,234,895	1,150,809	-	-
Racing and Athletics	477,445	475,734	-	-
Insurance Regulation	3,818,608	4,497,363	5,610,635	5,965,923
Board of Accountancy	132,813	141,726	146,208	155,449
Banking and Securities	-	-	2,857,649	3,083,499
Commercial Licensing , Racing & Athletics	-	-	1,750,667	1,933,059
Total Expenditures	\$9,754,827	\$10,437,130	\$11,663,113	\$12,500,942
Expenditures By Object				
Personnel	8,402,300	9,094,513	10,330,315	11,155,352
Operating Supplies and Expenses	1,271,352	1,293,180	1,210,898	1,249,256
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	105,000	80,000
Subtotal: Operating Expenditures	\$9,673,652	\$10,387,693	\$11,646,213	\$12,484,608
Capital Purchases and Equipment	81,175	49,437	16,900	16,334
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$9,754,827	\$10,437,130	\$11,663,113	\$12,500,942
Expenditures By Funds				
General Revenue	9,278,429	9,768,222	10,812,564	11,627,571
Federal Funds	-	-	43,291	51,742
Restricted Receipts	476,398	668,908	807,258	821,629
Total Expenditures	\$9,754,827	\$10,437,130	\$11,663,113	\$12,500,942
FTE Authorization	109.0	110.0	103.0	103.0
Agency Measures				
Minorities as Percentage of Workforce	3.0%	4.0%	4.0%	4.0%
Females as Percentage of Workforce	56.0%	54.0%	54.0%	54.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-

The Program

Department of Business Regulation Central Management

Program Operations

Central Management (Director's office) is composed of budget, personnel, legal and computer operations. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Associate Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses, approve or disapprove rates; and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

Program Objectives

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

Statutory History

R.I.G.L. §42-14-1 establishes the Director as head of the department. R.I. General Law §42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

The Budget

Department of Business Regulation Central Management

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Expenditures By Object				
Personnel	1,342,884	1,228,247	1,056,341	1,114,460
Operating Supplies and Expenses	310,140	397,230	238,013	243,952
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,653,024	\$1,625,477	\$1,294,354	\$1,358,412
Capital Purchases and Equipment	3,745	3,538	3,600	4,600
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,656,769	\$1,629,015	\$1,297,954	\$1,363,012
Expenditures By Funds				
General Revenue	1,656,769	1,629,015	1,297,954	1,363,012
Total Expenditures	\$1,656,769	\$1,629,015	\$1,297,954	\$1,363,012
Program Measures	NA	NA	NA	NA

The Program

Department of Business Regulation Banking Regulation

Program Operations

Banking Regulation provided regulatory oversight of state-chartered financial institutions, credit unions and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations.

Banking Regulation was responsible for regulating, monitoring and examining twenty-nine (29) state-chartered financial institutions and credit unions and approximately 1,300 licensees. The division accomplished its program objectives through the process of licensing, chartering and examining financial institutions and licensees. The purposes of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public interest. The division reviewed and conducted hearings on applications filed by financial institutions and credit unions for charters, branches and other pertinent financial institution and credit union business. Licensees included lenders, loan brokers, loan lenders, foreign exchange transactions, sale of check and electronic money transfers, check cashers, and debt poolers. Additionally, Banking Regulation enforced statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities included investigating and resolving several hundred consumer complaints and inquiries each year.

The division was made part of the Banking and Securities Regulation division beginning in FY 2007.

Program Objectives

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated institutions and licensees in order to protect the public interest.

Statutory History

R.I. General Laws 19-1 to 19-14.2 charge the division with the regulation of Financial Institutions and Lenders, Small Loan Lenders, and Loan Broker Licensees. R.I. General Laws 6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I. General Laws 34-23 to 34-27 relate to Mortgages. R.I. General Laws 19-14.3, 19-14.4 and 19-14.5 relate to the Sale of Checks and Electronic Money Transfers, Check Cashing, and Foreign Exchange Transactions, respectively. R.I. General Law 5-66 relates to Debt Pooling.

The Budget

Department of Business Regulation Banking Regulation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,435,438	1,541,338	-	-
Operating Supplies and Expenses	223,650	148,790	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,659,088	\$1,690,128	-	-
Capital Purchases and Equipment	(68)	8,796	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,659,020	\$1,698,924	-	-
Expenditures By Funds				
General Revenue	1,659,020	1,698,924	-	-
Total Expenditures	\$1,659,020	\$1,698,924	-	-
Program Measures				
Percentage of State-Chartered Institutions Examined in Substantial Compliance with the Banking Code	97.5%	100.0%	-	-
Percentage of Other Lending Licensees Examined in Substantial Compliance with the Banking Code	85.0%	85.0%	-	-

The Program

Department of Business Regulation Securities Regulation

Program Operations

Securities Regulation was responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division was also responsible for enforcing compliance with the State's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act. The division processed licenses registrations, conducted on-site examinations of broker-dealers and investment advisers, investigated complaints, and instituted enforcement actions, pursuant to applicable state and federal laws and regulations.

The division was made part of the Banking and Securities Regulation division beginning in FY 2007.

Program Objectives

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Statutory History

The division was charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), R.I. General Law 7-11; the Franchise Investment Act, R.I. General Law 19-28.1; the Charitable Solicitation Act, R.I. General Law 5-53.1; and the Real Estate Time-Share Act, R.I. General Law 34-41.

The Budget

Department of Business Regulation Securities Regulation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	663,845	725,742	-	-
Operating Supplies and Expenses	104,882	112,901	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$768,727	\$838,643	-	-
Capital Purchases and Equipment	6,550	4,916	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$775,277	\$843,559	-	-
Expenditures By Funds				
General Revenue	775,277	843,559	-	-
Total Expenditures	\$775,277	\$843,559	-	-
Program Measures				
Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act	100.0%	94.0%	-	-

The Program

Department of Business Regulation Banking and Securities Regulation

Program Operations

Banking and Securities Regulation provides regulatory oversight of state-chartered financial institutions, credit unions, Rhode Island bank holding companies and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency, and safety and soundness operations. The division is also responsible for the registration of certain securities, the licensing and regulation of broker-dealers, sales representatives, certain investment advisers and certain investment adviser representatives and also for enforcing compliance with the state's Franchise Investment Act, the registration of charitable organizations and fundraising groups, and the state's Real Estate Time-Share Act.

With respect to Banking, the division is responsible for regulating, monitoring and examining thirty-four (34) state-chartered financial institutions, Rhode Island bank holding companies, credit unions and one thousand nine hundred ninety three (1,993) banking licensee locations as of July 2006. The division also processed approximately sixty-six thousand seven hundred (66,700) securities licenses and nine thousand five hundred (9,500) securities registrations.

Program Objectives

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated financial institutions, Rhode Island bank holding companies, credit unions and licensees in order to protect the public interest.

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

Statutory History

R.I. General Laws Chapters §§19-1 to 19-14.7 charge the Division with the regulation of financial institutions, Rhode Island bank holding companies, credit unions as well as lender, loan broker, small loan lender, foreign exchange transaction businesses, sellers of checks, electronic money transmitters, check cashers, and debt management company licensees. RI General Laws §§6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I. General Laws §§34-23 to 34-27 relate to Mortgages. R.I. General Laws §§19-14.3, 19-14.4, 19-14.6 and 19-14.7 relate to the Sale of Checks, Electronic Money Transfers, Check Cashing, and Foreign Exchange Transactions and Debt Management Companies. The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), Rhode Island General Laws §7-11; the Franchise Investment Act, R.I. General Law §19-28.1; the Charitable Solicitation Act, R.I. General Law §5-53.1; and the Real Estate Time-Share Act, R.I. General Law §34-41.

The Budget

Department of Business Regulation Banking and Securities Regulation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	2,567,139	2,790,380
Operating Supplies and Expenses	-	-	286,910	291,685
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	-	-	\$2,854,049	\$3,082,065
Capital Purchases and Equipment	-	-	3,600	1,434
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	-	-	\$2,857,649	\$3,083,499
Expenditures By Funds				
General Revenue	-	-	2,857,649	3,083,499
Total Expenditures	-	-	\$2,857,649	\$3,083,499
Program Measures				
Percentage of State-Chartered Institutions Examined in Substantial Compliance with the Banking Code	-	-	100.0%	100.0%
Percentage of Other Lending Licensees Examined in Substantial Compliance with the Banking Code	-	-	92.0%	92.0%
Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act	-	-	100.0%	100.0%

The Program

Department of Business Regulation Commercial Licensing and Regulation

Program Operations

Commercial Licensing and Regulation was responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, travel agencies and travel agents, upholsterers, alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks. The enforcement of unit pricing, motor fuel advertising and health club pre-opening laws are also activities of this program. Administrative hearings are held to consider revocations and suspensions of licenses, including appeals from the decisions of local licensing boards that issue retail liquor licenses. The division also provided administrative services for various boards and commissions involving licensing programs. They include the Real Estate Commission, Real Estate Appraisers Board and Travel Commission.

The division was responsible for the regulation of licenses to insure compliance with statutory provisions of law and to promote the continued welfare of the general public. This included recommending approval, denial, suspension or revocation of licenses, or the imposition of sanctions or penalties and conducting of related administrative hearings.

The program provided the general public with application and licensing information for various occupations, businesses and professions. It investigated complaints received from the general public in all areas of licensing. Hearings were also held when required in order to resolve complaints.

The division was made part of the Commercial Licensing, Racing and Athletics division beginning in FY 2007.

Program Objective

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

Statutory History

R.I. General Law 5-58 relates to auctioneers; R.I. General Law 5-20.5 relates to real estate; R.I. General Law 5-20.7 relates to real estate appraisers; R.I. General Law 5-38 relates to automobile body repair shops; R.I. General Law 5-50 relates to pre-opening of health club sales campaigns; R.I. General Law 5-52 relates to travel agencies; R.I. General Law 5-57 relates to burglar and hold-up alarm businesses; R.I. General Law 6-31 relates to unit pricing; R.I. General Law 23-26 relates to bedding and upholstered furniture; R.I. General Laws 31-44 & 31-44.1 relate to mobile and manufactured homes; R.I. General Law 42-14.2 relates to auto wrecking and salvage yards; R.I. General Law 31-37 relates to advertising and sale of motor fuel at retail, R.I. General Law 31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Law 3-1 relates to alcoholic beverages.

The Budget

Department of Business Regulation Commercial Licensing and Regulation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,013,063	938,983	-	-
Operating Supplies and Expenses	198,861	198,405	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,211,924	\$1,137,388	-	-
Capital Purchases and Equipment	22,971	13,421	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,234,895	\$1,150,809	-	-
Expenditures By Funds				
General Revenue	1,218,595	1,133,459	-	-
Restricted Receipts	16,300	17,350	-	-
Total Expenditures	\$1,234,895	\$1,150,809	-	-
Program Measures				
Percentage of Real Estate Licensees in Substantial Compliance with Real Estate Code	98.0%	100.0%	-	-
Percentage of Auto Body Shops, Auto Wrecking Yards, and Auto Salvage Re-builders in Substantial Compliance with the Code	88.9%	93.0%	-	-
Percentage of Liquor Licensees in Substantial Compliance with the Code	88.3%	83.6%	-	-

The Program

Department of Business Regulation Commercial Licensing, Racing and Athletics

Program Operations

Commercial Licensing and Racing and Athletics is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body and salvage re-builder shops, auto wrecking and salvage yards, glass installation, travel agencies and travel agents, upholsterers, alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroad) license holders, line-cleaners, and mobile and manufactured homes and parks and the enforcement of unit pricing, motor fuel advertising and health club pre-opening laws. The division is also responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering and the accounting and collection of racing taxes and fees as specified by state law.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. The division also oversees simulcast wagering operations at Lincoln Park and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

Program Objective

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

To enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling and kickboxing events in the state.

Statutory History

R.I. General Laws §5-58 relates to auctioneers; R.I. General Laws §5-20.5 relates to real estate; R.I. General Laws §5-20.7 relates to real estate appraisers; R.I. General Laws §5-38 relates to automobile body repair shops; R.I. General Laws §5-50 relates to pre-opening of health club sales campaigns; R.I. General Laws §5-52 relates to travel agencies; R.I. General Laws §5-57 relates to burglar and hold-up alarm businesses; R.I. General Laws §6-31 relates to unit pricing; R.I. General Laws §§23-26 relate to bedding and upholstered furniture; R.I. General Laws §§31-44 & §31-44.1 relate to mobile and manufactured homes; R.I. General Laws §42-14.2 relates to auto wrecking and salvage yards; R.I. General Laws §31-37 relates to advertising and sale of motor fuel at retail, R.I. General Laws §31-46-7 relates to auto body salvage re-builders' licenses; and R.I. General Laws §3-1 relates to alcoholic beverages. R.I. General Laws §41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating The Division of Racing and Athletics within the Department of Business Regulation.

The Budget

Department of Business Regulation Commercial Licensing, Racing & Athletics

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	1,377,509	1,538,505
Operating Supplies and Expenses	-	-	288,958	311,254
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	80,000	80,000
Subtotal: Operating Expenditures	\$0	\$0	\$1,746,467	\$1,929,759
Capital Purchases and Equipment	-	-	4,200	3,300
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$0	\$1,750,667	\$1,933,059
Expenditures By Funds				
General Revenue	-	-	1,650,667	1,833,059
Restricted Receipts	-	-	100,000	100,000
Total Expenditures	\$0	\$0	\$1,750,667	\$1,933,059
Program Measures				
Percentage of Real Estate Licensees in Substantial Compliance with Real Estate Code	-	-	98.0%	98.0%
Percentage of Auto Body Shops, Auto Wrecking Yards, and Auto Salvage Re-builders in Substantial Compliance with the Code	-	-	100.0%	100.0%
Percentage of Liquor Licensees in Substantial Compliance with the Code	-	-	85.0%	85.0%
Percentage of Tested Greyhounds Testing Negative for Chemical Substances	-	-	100.0%	100.0%

The Program

Department of Business Regulation Racing and Athletics

Program Operations

The Racing and Athletics division was responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, boxing, wrestling, kickboxing and simulcast wagering. It was also responsible for the accounting and collection of racing taxes and fees as specified by state law.

The division promulgates rules and regulations governing pari-mutuel wagering, boxing, wrestling and kickboxing; issues specified operating dates to licensees; monitors all wagering; monitors commissions for the state, licensees, and cities and towns; handles licensing, and finger printing and photo badging of all personnel and licensees; ensures that security is furnished by licensees of pari-mutuel facilities, boxing, wrestling and kickboxing events; and provides aid and assistance to the public, as requested, including forms, printing, photocopying, and rules and regulations.

The division also oversaw simulcast wagering operations at Lincoln Park and Newport Grand facilities and conducted and participated in hearings, collects tax revenue and issues occupational licenses.

The division was made part of the Commercial Licensing, Racing and Athletics division beginning in FY 2007.

Program Objectives

To enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling and kickboxing events in the state.

Statutory History

R.I. General Law 41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating the Division of Racing and Athletics within the Department of Business Regulation.

The Budget

Department of Business Regulation Racing and Athletics

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	385,658	385,316	-	-
Operating Supplies and Expenses	85,736	85,806	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$471,394	\$471,122	\$0	\$0
Capital Purchases and Equipment	6,051	4,612	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$477,445	\$475,734	\$0	\$0
Expenditures By Funds				
General Revenue	477,445	475,734	-	-
Total Expenditures	\$477,445	\$475,734	\$0	\$0
Program Measures				
Percentage of Tested Greyhounds Testing Negative for Chemical Substances	99.8%	99.6%	-	-

The Program

Department of Business Regulation Insurance Regulation

Program Operations

The Insurance Division is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it received in June of 1993. The division was once again accredited in December 1998.

The 2004 General Assembly established The Office of the Health Insurance Commissioner (OHIC) within the Department of Business Regulation. OHIC staff is engaged in policy and legislative development, rate hearing administration, regulatory development and promulgation, consumer affairs and provider affairs. It is also responsible for activities performed by the DBR staff related to the regulation of Health Insurers and Hospital/Medical Service Corporations. These include but are not limited to company licensure, form and rate filing for regulatory compliance, and financial and market conduct examinations.

Program Objectives

To monitor effectively the financial condition and market conduct of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

To guard the solvency of health insurers; protect the interests of consumers; encourage fair treatment of health care providers; and to encourage policies and developments that improve the quality and efficiency of health care service delivery and outcomes.

Statutory History

All chapters of R.I. General Laws §§27-1,42-14, and 28-29 through 28-38, and all Insurance Division regulations.

The Budget

Department of Business Regulation Insurance Regulation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,437,336	4,145,550	5,196,231	5,569,743
Operating Supplies and Expenses	339,346	339,489	383,904	389,180
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	25,000	-
Subtotal: Operating Expenditures	\$3,776,682	\$4,485,039	\$5,605,135	\$5,958,923
Capital Purchases and Equipment	41,926	12,324	5,500	7,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,818,608	\$4,497,363	\$5,610,635	\$5,965,923
Expenditures By Funds				
General Revenue	3,358,510	3,845,805	4,860,086	5,192,552
Federal Funds	-	-	43,291	51,742
Restricted Receipts	460,098	651,558	707,258	721,629
Total Expenditures	\$3,818,608	\$4,497,363	\$5,610,635	\$5,965,923
Program Measures				
Percentage of Domestic Insurance Companies in Substantial Compliance with the Insurance Code (Market Conduct Examinations)	100.0%	75.0%	75.0%	75.0%

The Program

Department of Business Regulation Board of Accountancy

Program Operations

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues license certificates and annual permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel also provide the general public with application and licensing information for various occupations, businesses and professions, and provide copies of licensing laws and regulations upon request.

Program Objectives

The board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

Statutory History

R.I. General Laws §§5-3.1 et seq. (1956) relate to the Board of Accountancy.

The Budget

Department of Business Regulation Board of Accountancy

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	124,076	129,337	133,095	142,264
Operating Supplies and Expenses	8,737	10,559	13,113	13,185
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$132,813	\$139,896	\$146,208	\$155,449
Capital Purchases and Equipment	-	1,830	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$132,813	\$141,726	\$146,208	\$155,449
 Expenditures By Funds				
General Revenue	132,813	141,726	146,208	155,449
Total Expenditures	\$132,813	\$141,726	\$146,208	\$155,449
 Program Measures				
Percentage of CPA's and PA's who meet Continuing Professional Education Requirements in Accordance with R.I. General Law	98.0%	98.0%	98.0%	98.0%

The Agency

Department of Labor and Training

Agency Operations

The Department of Labor and Training's primary responsibilities are to provide a comprehensive array of employment and training services to Rhode Islanders, and to administer the laws governing workforce regulation, safety and labor law enforcement. The Department consists of the following six programs: Central Management, Income Support, Workforce Development Services, Injured Workers Services, Workforce Regulation and Safety and the Labor Relations Board.

The Central Management Program is responsible for the strategic planning, policy and oversight to promote all departmental functions and to ensure the efficient use of federal and state resources. Organized through the Director's office, the Central Management Program provides leadership, management, strategic planning, and program controls of departmental activities.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance, Temporary Disability Insurance, and the Police and Fire Relief Fund.

The Workforce Development Program consists of employment and training programs designed to help individuals find gainful employment and employers suitable workers. It includes the Governor's Workforce Board Rhode Island whose mission is to establish policies, goals, and guidelines to coordinate employment and training related programs in the state, and support efforts to link these activities with key economic development strategies.

The Injured Workers Services Program operates the State's Workers' Compensation System. The Donley Center provides rehabilitation services including evaluations, therapy and counseling for workers injured on the job. The Workers' Compensation Education unit provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for making bargaining unit determinations for the public sector, oversees collective bargaining elections and investigates charges of unfair practices.

Agency Objectives

To provide the public programs for workforce development, income support, injured workers services, and workforce regulation and safety that exceed customer expectations, lead to an improved economy, and enhance the quality of life for all residents.

Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

The Budget

Department of Labor and Training

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Central Management	798,571	788,198	757,061	678,804
Workforce Development Services	29,314,851	23,828,649	30,159,303	34,321,744
Workforce Regulation and Safety	3,333,986	3,267,708	3,145,762	2,805,594
Income Support	403,951,387	397,057,239	401,832,418	410,087,117
Injured Workers Services	10,083,246	11,221,741	11,163,310	11,087,418
Labor Relations Board	501,348	144,704	418,644	473,214
Total Expenditures	\$447,983,389	\$436,308,239	\$447,476,498	\$459,453,891
Expenditures By Object				
Personnel	39,042,036	41,669,180	42,235,202	41,744,141
Operating Supplies and Expenses	4,480,220	6,338,488	12,696,997	11,719,693
Aid To Local Units Of Government	-	-	1,431,453	1,155,401
Assistance, Grants and Benefits	388,475,642	374,243,213	383,793,694	397,911,146
Subtotal: Operating Expenditures	\$431,997,898	\$422,250,881	\$440,157,346	\$452,530,381
Capital Purchases and Equipment	853,429	2,256,317	1,632,735	864,074
Debt Service	1,042,571	-	-	-
Operating Transfers	14,089,491	11,801,041	5,686,417	6,059,436
Total Expenditures	\$447,983,389	\$436,308,239	\$447,476,498	\$459,453,891
Expenditures By Funds				
General Revenue	7,096,275	7,324,547	6,997,013	6,651,959
Federal Funds	31,826,292	33,831,504	34,373,400	28,124,845
Restricted Receipts	22,322,945	15,355,924	20,082,977	28,283,698
Other Funds	386,737,877	379,796,264	386,023,108	396,393,389
Total Expenditures	\$447,983,389	\$436,308,239	\$447,476,498	\$459,453,891
FTE Authorization	513.7	510.7	467.9	455.9
Agency Measures				
Minorities as a Percentage of the Workforce	12.5%	12.8%	13.2%	13.2%
Females as a Percentage of the Workforce	66.4%	67.4%	67.0%	68.2%
Persons with Disabilities as a Percentage of the Workforce	2.4%	2.3%	2.5%	2.6%

The Program

Department of Labor and Training Central Management

Program Operations

The Central Management Program is responsible for the strategic planning, policy and oversight of all department functions to ensure the efficient and effective use of federal and state resources. Organized through the Director's office, the Central Management Program provides leadership, management, planning and control of all departmental activities. An important aspect of the Central Management program is intergovernmental relations. This liaison function is maintained through the continued sharing of information between the Department and the Governor's staff, other department directors and agency heads, U.S. Department of Labor representatives, state and federal legislators, local employment and training officials and professional service organizations.

The Central Management Program also provides an array of administrative services for the Department including, legal services, purchasing, and financial management. The Legal Services unit represents the department in litigation matters, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues relating to departmental operations. The Facilities Management and Purchasing Units provide centralized management of all purchasing functions, operates a central stock and mail room, coordinates the maintenance and support of facilities and other ancillary services. The Financial Management unit prepares the department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Human Resources, Facilities Management and Information Systems functions are administered in collaboration with the Department of Administration. The Human Resources unit processes all personnel actions, maintains central personnel files and assists with the labor relations functions. The Facilities management unit provides the support and maintenance of the grounds and facilities. The Information Services unit is focused on meeting the department's information needs by providing the coordination, planning, technical evaluation and implementation of information systems within and external to the department.

Program Objectives

To provide leadership, management and strategic planning in the development and implementation of a cost efficient and productive system of service delivery.

To provide competent legal representation and consultation to all departmental staff requiring such service.

To provide comprehensive financial management, staff development and management information services to all divisions within the department.

Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

The Budget

Department of Labor and Training Central Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	667,869	604,141	541,274	479,112
Operating Supplies and Expenses	123,836	175,307	85,310	72,325
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	3,307	2,566	2,592	2,617
Subtotal: Operating Expenditures	\$795,012	\$782,014	\$629,176	\$554,054
Capital Purchases and Equipment	3,510	6,184	127,885	124,750
Debt Service	49	-	-	-
Total Expenditures	\$798,571	\$788,198	\$757,061	\$678,804
Expenditures By Funds				
General Revenue	275,181	231,643	228,698	195,297
Restricted Receipts	523,390	556,555	528,363	483,507
Total Expenditures	\$798,571	\$788,198	\$757,061	\$678,804
Program Measures	NC	NC	NC	NC

The Program

Department of Labor and Training Workforce Development Services

Program Operations

The Workforce Development Services Program consists of several sub-programs:

Employment Service sub-program provides employment counseling, occupational exploration, aptitude test and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs.

The Veterans' sub-program provides these same services to veterans provided through a specialized veteran staff. Veterans are given priority on referrals for all job orders.

The Workforce Investment Act sub-program prepares youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force, using vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training.

The Labor Market Information (LMI) sub-program collects, analyzes, and disseminates basic employment and unemployment data and projects by industry and occupation; industry staffing patterns; hourly wage rates; and the supply and demand of workers. It manages the State's Career Resource Network grant to help students make more informed career choices.

The Governor's Workforce Board Rhode Island establishes policies, goals and guidelines to coordinate employment and training related programs in the state, and supports efforts to link these activities with economic development strategies. The board oversees funding ensuring that strategic investments are made. A sub committee of the Governor's Workforce Board, the Human Resource Investment Council, under authority granted by R.I.G.L. 42-102, administers the Job Development Fund. These state dollars are used to support research, demonstration, coordination and training activities that help develop a productive work force and competitive business environment.

Program Objectives

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students and to help individuals find jobs.

Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. R.I.G.L. 42-102 created the Rhode Island Human Resource Investment Council programs.

The Budget

Department of Labor and Training Workforce Development Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Employment Services	5,715,972	7,852,091	6,984,453	7,842,513
JPTA & Other Training Programs	12,041,604	12,519,685	15,367,947	10,345,820
Labor Market Information	716,546	932,705	676,312	661,280
Human Resource Investment Council	10,352,370	1,979,363	6,630,507	14,952,134
Veteran Services	488,359	544,805	500,084	519,997
Total Expenditures	\$29,314,851	\$23,828,649	\$30,159,303	\$34,321,744
Expenditures By Object				
Personnel	11,650,550	14,101,891	14,324,318	14,238,945
Operating Supplies and Expenses	1,820,423	1,720,502	2,554,250	1,799,396
Aid To Local Units Of Government	-	-	1,213,247	932,656
Assistance, Grants and Benefits	14,959,548	7,404,902	11,659,146	17,209,308
Subtotal: Operating Expenditures	\$28,430,521	\$23,227,295	\$29,750,961	\$34,180,305
Capital Purchases and Equipment	170,765	601,354	408,342	141,439
Debt Service	251,441	-	-	-
Operating Transfers	462,124	-	-	-
Total Expenditures	\$29,314,851	\$23,828,649	\$30,159,303	\$34,321,744
Expenditures By Funds				
General Revenue	-	293,000	35,699	2,500
Federal Funds	15,170,583	16,234,132	17,867,354	13,368,113
Restricted Receipts	10,352,370	1,979,363	6,630,507	14,952,134
Other Funds	3,791,898	5,322,154	5,625,743	5,998,997
Total Expenditures	\$29,314,851	\$23,828,649	\$30,159,303	\$34,321,744
Program Measures				
Adult Dislocated Worker Retention Rate	88.5%	82.8%	90.0%	90.0%

The Program

Department of Labor and Training Workforce Regulation and Safety

Program Operations

Workforce Regulation & Safety is a regulatory division charged with enforcing the safety laws that protect the states' workforce for fair collection of wages, child labor laws, safety in public buildings, trade licensing, apprenticeships, hazardous substance exposure, weights and measures, and prevailing wage laws. This is accomplished through licensing, inspection programs, educational programs, workshops and labor laws enforcement.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety Unit safeguards public and private sectors workplace environments by enforcing laws relating to safety compliance, elevators, boilers, and hazardous substances.

The Trade Licensing unit licenses trade members, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections to safeguard the health, safety, and welfare of the general public.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

Program Objectives

To impartially administer the labor laws designed to protect consumers, employees, and employers and to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

The Budget

Department of Labor and Training Workforce Regulation and Safety

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Labor Standards	924,362	590,183	1,375,305	1,114,235
Occupational Safety	977,820	1,475,421	921,289	842,794
Professional Regulations	1,431,804	1,202,104	849,168	848,565
Total Expenditures	\$3,333,986	\$3,267,708	\$3,145,762	\$2,805,594
Expenditures By Object				
Personnel	2,713,453	2,789,580	2,464,015	2,599,801
Operating Supplies and Expenses	292,324	458,042	193,968	196,270
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	297,235	3,306	481,689	3,372
Subtotal: Operating Expenditures	\$3,303,012	\$3,250,928	\$3,139,672	\$2,799,443
Capital Purchases and Equipment	30,656	16,780	6,090	6,151
Debt Service	318	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,333,986	\$3,267,708	\$3,145,762	\$2,805,594
Expenditures By Funds				
General Revenue	3,333,986	3,267,708	3,145,762	2,805,594
Total Expenditures	\$3,333,986	\$3,267,708	\$3,145,762	\$2,805,594
Program Measures				
Percentage of Limited Work Permits Assigned for Investigation Which Were Denied	35.0%	33.0%	35.0%	35.0%
Percentage of Boilers and Pressure Vessels Compliant with Code upon Initial Inspection	76.0%	97.6%	98.5%	98.5%
Percentage of Elevators and Escalators Compliant with Applicable Codes	70.0%	72.0%	80.0%	80.0%

The Program

Department of Labor and Training Income Support

Program Operations

The mission of the Income Support Program is to provide customers with income support services in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the state and federal laws, policies, and regulations in a fair and consistent manner for all customers; and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary income support to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work, be actively seeking employment, and be willing to accept suitable work when it is offered. Applicants must meet a minimum earnings standard and state statute requirements in order to qualify for benefits. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a qualified healthcare provider (QHP) and the disabled worker must meet a minimum earnings standard in order to qualify for benefits. The Temporary Disability Insurance Program is financed entirely from employee contributions.

Police and Fire Relief provides financial compensation to police officers, firefighters, and crash rescue personnel and correctional officers and/or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at Rhode Island state colleges.

Program Objectives

To administer the income support programs in a timely, efficient, and courteous manner with concentration on continuous improvement.

To comply with the regulations and guidelines established by the United States Department of Labor.

Statutory History

Title 28 Chapters 39-44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Police and Fire Funds.

The Budget

Department of Labor and Training Income Support

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Unemployment Insurance	232,538,639	222,446,307	225,353,260	229,276,807
Temporary Disability Insurance Fund	168,259,306	171,454,824	173,310,948	177,634,956
Fire and Police	3,153,442	3,156,108	3,168,210	3,175,354
Total Expenditures	\$403,951,387	\$397,057,239	\$401,832,418	\$410,087,117
Expenditures By Object				
Personnel	16,885,176	17,106,847	16,997,839	16,090,051
Operating Supplies and Expenses	2,005,615	3,177,695	9,425,795	9,217,915
Aid To Local Units Of Government	-	-	218,206	222,745
Assistance, Grants and Benefits	370,667,460	364,127,865	368,758,014	378,053,573
Subtotal: Operating Expenditures	\$389,558,251	\$384,412,407	\$395,399,854	\$403,584,284
Capital Purchases and Equipment	502,759	843,791	746,147	443,397
Debt Service	263,010	-	-	-
Operating Transfers	13,627,367	11,801,041	5,686,417	6,059,436
Total Expenditures	\$403,951,387	\$397,057,239	\$401,832,418	\$410,087,117
Expenditures By Funds				
General Revenue	3,153,442	3,156,108	3,168,210	3,175,354
Federal Funds	16,488,027	17,828,756	16,506,046	14,756,732
Restricted Receipts	1,363,939	1,598,265	1,760,797	1,760,639
Other Funds	382,945,979	374,474,110	380,397,365	390,394,392
Total Expenditures	\$403,951,387	\$397,057,239	\$401,832,418	\$410,087,117
Program Measures				
Initial Unemployment Insurance Claims Promptly Paid	95.9%	96.2%	95.0%	95.0%
Initial Unemployment Insurance Claims Accurately Paid	94.2%	96.0%	96.0%	96.1%
Percentage of Wage Information Transferred to Other States on a Timely Basis	86.9%	85.0%	85.0%	85.0%
Percentage of Temporary Disability Claims Filed that are Either Authorized or Disallowed within 21 Days of their Receipt	80.1%	78.5%	80.0%	80.0%
Percentage of Nonmonetary Determinations Receiving an Acceptable Grade for Completeness of Fact-Finding and Correctness	87.5%	89.7%	93.0%	94.0%

The Program

Department of Labor and Training Injured Workers Services

Program Operations

The Injured Workers Services Division monitors procedures and payments made by insurance carriers to employees unable to work because of job related injury and collects and disseminates statistical data. This division also provides vocational and physical rehabilitation assistance, as well as educational seminars, which are available to employers, employees, insurers, attorneys, and medical professionals and responds to compliance and fraud issues. The division is made up of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center and the Fraud and Prevention Compliance unit.

The Workers' Compensation (administrative) unit monitors all claim filings to ensure proper payment by the insurance carrier and maintains all corresponding records and statistical data. It creates a self-insured program for large companies who meet certain criteria.

The Education unit provides services throughout Rhode Island pertaining to all aspects of workplace safety and Workers' Compensation system information and education.

The Rehabilitation Unit, housed at the Dr. John E. Donley Rehabilitation Center provides broad-based rehabilitation programs for injured workers with the Workers' Compensation System. Services include complete evaluations and treatment programs.

The Fraud Prevention and Compliance unit's mission is to detect, prevent, and refer for criminal prosecution and suspected fraudulent activity related to Workers' Compensation, as well as to ensure employer and insurer confidence with the requirements of the Workers' Compensation Act.

Program Objectives

To maintain a WC system that is fair to both employees and employers.

To maintain a WC system that emphasizes and rewards safety in the workplace.

To maintain a WC system that is cost-competitive with insurance coverage for employers available at a low cost.

To maintain a WC system that is free from fraud and ensures that all employers subject to the Act carry the proper insurance coverage.

Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

The Budget

Department of Labor and Training Injured Workers Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Workers' Compensation Compliance	5,567,828	6,318,210	6,431,146	6,301,369
Education and Rehabilitation	4,515,418	4,903,531	4,732,164	4,786,049
Total Expenditures	10,083,246	\$11,221,741	\$11,163,310	\$11,087,418
Expenditures By Object				
Personnel	6,762,512	6,889,120	7,513,815	7,887,721
Operating Supplies and Expenses	600,622	995,600	418,881	414,994
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,550,559	2,701,880	2,892,253	2,642,276
Subtotal: Operating Expenditures	\$9,913,693	\$10,586,600	\$10,824,949	\$10,944,991
Capital Purchases and Equipment	169,143	635,141	338,361	142,427
Debt Service	410	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$10,083,246	\$11,221,741	\$11,163,310	\$11,087,418
Expenditures By Funds				
Restricted Receipts	10,083,246	11,221,741	11,163,310	11,087,418
Total Expenditures	\$10,083,246	\$11,221,741	\$11,163,310	\$11,087,418
Program Measures				
Return to Work Rate	88.0%	96.0%	96.0%	96.0%
Percentage of Students Completing the Computer Skills Workshop who pass the Proficiency Exam	90.0%	82.0%	90.0%	95.0%

The Program

Department of Labor and Training Labor Relations Board

Program Operations

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and the employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation, and the formal hearing process.

Program Objectives

To provide for expeditious resolution of representation election petitions, requests for unit clarification/accretion, and charges of unfair labor practices, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

Statutory History

The statutory basis for the Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.7; and R.I.G.L. 36-11.

The Budget

Department of Labor and Training Labor Relations Board

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	362,476	177,601	393,941	448,511
Operating Supplies and Expenses	(362,600)	(188,658)	18,793	18,793
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	(2,467)	2,694	-	-
Subtotal: Operating Expenditures	(\$2,591)	(\$8,363)	\$412,734	\$467,304
Capital Purchases and Equipment	(23,404)	153,067	5,910	5,910
Debt Service	527,343	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$501,348	\$144,704	\$418,644	\$473,214
Expenditures By Funds				
General Revenue	333,666	376,088	418,644	473,214
Federal Funds	167,682	(231,384)	-	-
Total Expenditures	\$501,348	\$144,704	\$418,644	\$473,214
Program Measures				
Percentage of Cases Resolved	44.0%	52.0%	75.0%	75.0%

The Agency

Department of Revenue

Agency Operations

The Department of Revenue was established as a separate department within the executive branch of state government by the 2006 General Assembly and came into existence on July 1, 2006. The department is headed by the Director of Revenue, who is appointed by the Governor, with the advice and consent of the Senate. The Department has six programmatic functions, including Central Management (Director of Revenue), Taxation, State Lottery, Registry of Motor Vehicles, Revenue Analysis and Property Valuation.

Agency Objectives

To assess and collect all taxes, fees and revenues in the most efficient and cost-effective manner.

To administer and enforce all laws pertaining to the operation and registration of motor vehicles.

To administer a lottery system in the most efficient, ethical and cost-effective manner.

Statutory History

The Department of Revenue was created by the 2006 General Assembly through the enactment of Article 38 sub. A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

The Budget

Department of Revenue

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Program				
Director of Revenue	-	-	373,786	751,500
Revenue Analysis	-	-	333,892	750,003
Lottery Division	-	221,616,727	207,532,772	214,777,462
Property Valuation	-	617,041	652,033	849,819
Taxation	19,456,434	20,645,001	20,059,100	21,013,968
Registry of Motor Vehicles	16,777,675	17,514,149	18,215,620	18,688,022
Total Expenditures	\$36,234,109	\$260,392,918	\$247,167,203	\$256,830,774
Expenditures By Object				
Personnel	26,128,046	33,319,108	35,818,267	39,701,620
Operating Supplies and Expenses	9,557,461	226,825,287	210,686,755	216,441,750
Aid To Local Units Of Government	-	1,210	1,000	1,200
Assistance, Grants and Benefits	10,696	10,252	10,848	10,848
Subtotal: Operating Expenditures	\$35,696,203	\$260,155,857	\$246,516,870	\$256,155,418
Capital Purchases and Equipment	530,672	237,061	460,931	483,257
Debt Service	7,234	-	-	-
Operating Transfers	-	-	189,402	192,099
Total Expenditures	\$36,234,109	\$260,392,918	\$247,167,203	\$256,830,774
Expenditures By Funds				
General Revenue	33,543,709	35,740,586	35,773,913	38,962,530
Federal Funds	1,215,890	1,529,559	2,132,525	1,335,145
Restricted Receipts	740,854	691,134	790,838	845,506
Other Funds	733,656	222,431,639	208,469,927	215,687,593
Total Expenditures	\$36,234,109	\$260,392,918	\$247,167,203	\$256,830,774
FTE Authorization	425.5	492.0	473.0	482.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	11.3%	11.3%	11.3%
Females as a Percentage of the Workforce	-	59.1%	59.1%	59.1%
Persons with Disabilities as a Percentage of the Workforce	-	2.4%	2.4%	2.4%

The Program

Department of Revenue Director of Revenue

Program Operations

The Director's Office oversees the overall operation of the department. The Office of Legal Services provides legal advice and support to the Director and the programs within the department.

Program Objectives

To oversee the collection of taxes, fees and revenues in conformance with legislative mandates.

To ensure that programs of the department are efficiently organized and implemented.

Statutory History

The Director of Revenue position was created upon establishment of the Department of Revenue in Article 38 sub A of the FY 2007 Appropriations Act (R.I.G.L. 42-142).

The Budget

Department of Revenue Director of Revenue

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	343,786	721,500
Operating Supplies and Expenses	-	-	30,000	30,000
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$0	\$0	\$373,786	\$751,500
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$0	\$0	\$0
Expenditures By Funds				
General Revenue	-	-	373,786	751,500
Total Expenditures	\$0	\$0	\$373,786	\$751,500
Program Measures	NA	NA	NA	NA

The Program

Department of Revenue Revenue Analysis

Program Operations

The Office of Revenue Analysis is responsible for analyzing, evaluating, and appraising the tax system of the State of Rhode Island, and for making recommendations for its revision in accordance with the best interests of the economy of Rhode Island. The Office is responsible for preparing the tax expenditures report and for preparing cost benefit analyses of all tax proposals.

Program Objectives

To analyze, evaluate and appraise the tax system of the State.

To make recommendations for revisions to the tax system.

To prepare the tax expenditures report.

Statutory History

The Office of Revenue Analysis is established under R.I.G.L. 42-142-3. The requirement to prepare the tax expenditures report is established under R.I.G.L. 44-48.1-1.

The Budget

Department of Revenue Revenue Analysis

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	158,892	450,003
Operating Supplies and Expenses	-	-	50,000	50,000
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$0	\$0	\$208,892	\$500,003
Capital Purchases and Equipment	-	-	125,000	250,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$0	\$333,892	\$750,003
Expenditures By Funds				
General Revenue	-	-	333,892	750,003
Total Expenditures	\$0	\$0	\$333,892	\$750,003
Program Measures	NA	NA	NA	NA

The Program

Department of Revenue Lottery Division

Program Objectives

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 operate lottery games to generate revenues for the state. On July 1, 2005, the Lottery was made a division of the Department of Administration and on July 1, 2006, it was transferred to the new Department of Revenue. The Lottery operates as an enterprise fund and is included in the State Comprehensive Annual Financial Report.

The Lottery sells tickets for on-line games (e.g. Daily Numbers), for Keno, and for instant games (e.g. scratch tickets). Tickets are sold through licensed lottery retailers. The Lottery sells Powerball tickets, collects all revenues, and remits prize funds to the Multi-State Lottery Association net of low tier prize awards

R.I.G.L. 42-61-15 stipulates that the Lottery award prizes in an amount not less than 45 percent nor more than 65 percent of the total lottery ticket revenue. For Keno, however, prize awards shall not be less than 45 percent nor more than 72 percent of the total Keno ticket revenue. The Lottery is required to transfer its net income from on-line games to the state's general fund in an amount not less than 25 percent of total lottery ticket revenue. The amount transferred into the general fund from Keno shall equal no less than 15 percent of the total Keno ticket sales. Transfers are made on a monthly basis in an amount equal to estimated net income.

R.I.G.L. 42-61.2 authorizes the Lottery to conduct video lottery games at Lincoln Park and Newport Grand. Video lottery terminals are electronically linked to a central computer facility at Lottery Headquarters. As of July 1, 2004, the net terminal income generated at these facilities is divided among the licensed video lottery retailers, the technology providers, the host municipalities, and the State General Fund. In 2005, the General Assembly enacted legislation that allocates a percentage of the net new revenue from the operation of 1,750 additional authorized video lottery terminals at Lincoln Park to the Narragansett Indian Tribe.

The State General Fund's share of net terminal income was no less than 52 percent in FY 2003, no less than 59.1 percent in FY 2004, no less than 60.525 percent in FY 2005, and no less than 60.4 percent in FY 2006. In 2005, the General Assembly enacted legislation that maintained the State General Fund's share of net terminal income from existing authorized video lottery terminals at no less than 60.4 percent but lowered the State General Fund's share of net new net terminal income from additional authorized video lottery terminals to be no less than 58.0 percent.

Statutory History

R.I.G.L. 42-60 provides the general authority for the State Division of Lottery. R.I.G.L. 42-142 entitled "Department of Revenue" establishes the State lottery as a division of the Department of Revenue.

The Budget

Department of Revenue Lottery Division

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	4,360,369	4,761,394	5,155,239
Operating Supplies and Expenses	-	217,198,993	202,506,825	209,354,973
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	151	151	151
Subtotal: Operating Expenditures	\$0	\$221,559,513	\$207,268,370	\$214,510,363
Capital Purchases and Equipment	-	57,214	125,000	125,000
Debt Service	-	-	-	-
Operating Transfers	-	-	139,402	142,099
Total Expenditures	\$0	\$221,616,727	\$207,532,772	\$214,777,462
Expenditures By Funds				
Other	-	221,616,727	207,532,772	214,777,462
Total Expenditures	\$0	\$221,616,727	\$207,532,772	\$214,777,462
Program Measures	NA	NA	NA	NA

The Program

Department of Revenue Property Valuation

Program Operations

The Division of Property Valuation (Municipal Affairs) responsibilities include the provision of technical support to municipalities and supervision of selected financial operations, distribution of state aid, and determination of community wealth for use in school aid formulas. Additionally, the Office provides planning assistance in the areas of comprehensive planning, land use regulation, capital projects, and compliance with state law and the State Guide Plan.

Program Objectives

To maintain and compute financial and equalized property value information for the benefit of municipalities and public policy decision-makers.

To encourage and assure compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To encourage cooperation between municipalities and the state by distributing information and by providing technical assistance to municipalities.

To provide guidance to public policy decision-makers on the equitable distribution of state aid to municipalities.

Statutory History

The Division of Property Valuation is established under R.I.G.L. 42-142-4.

The Budget

Department of Revenue Property Valuation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	567,903	626,877	824,419
Operating Supplies and Expenses	-	47,928	24,156	24,200
Aid To Local Units Of Government	-	1,210	1,000	1,200
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	-	\$617,041	\$652,033	\$849,819
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	-	\$617,041	\$652,033	\$849,819
Expenditures By Funds				
General Revenue	-	617,041	652,033	849,819
Total Expenditures	-	\$617,041	\$652,033	\$849,819
Program Measures				
Percentage of Equalization Study Procedure Recommendations Implemented				
	-	31.0%	31.0%	31.0%
Percentage of Municipalities Transmitting Real Estate Sales Data Electronically to the Division of Taxation				
	-	85.0%	87.0%	87.0%

The Program

Department of Revenue Taxation

Program Operations

The Division of Taxation includes several subprograms encompassing many activities. Tax Processing performs all activities relating to the receipt of cash payments and the processing of tax returns. This unit registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered. The Office of Assessment and Review assesses and collects taxes as prescribed by the Rhode Island General Laws. The office holds preliminary reviews of requests for administrative hearings. Field Audit services audits businesses, corporations and individuals for compliance with existing state tax laws and regulations for all of the taxes for which the Tax Administrator is responsible. Tax Compliance and Collection has responsibility for the compliance, collection, and enforcement actions to collect all overdue taxes administered by the Division of Taxation.

Program Objectives

To assess and collect all taxes and revenues that the legislature places under the control of the Tax Administrator in the most efficient and cost-effective manner.

Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Division of Taxation within the Department of Revenue.

The Budget

Department Of Revenue Taxation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Tax Administrator	475,653	503,461	505,778	554,346
Tax Processing Division	6,376,684	6,668,569	4,985,170	4,875,846
Compliance and Collection	3,112,277	3,483,540	3,560,898	3,936,185
Field Audit	4,380,877	4,866,153	5,441,158	5,747,701
Assessment and Review	2,721,996	2,652,398	2,780,407	2,923,899
Employer Tax	2,388,947	2,470,880	2,785,689	2,975,991
Total Expenditures	\$19,456,434	\$20,645,001	\$20,059,100	\$21,013,968
Expenditures By Object				
Personnel	15,245,081	16,525,484	17,633,048	18,869,308
Operating Supplies and Expenses	3,904,116	4,035,625	2,326,320	2,069,443
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	5,459	4,864	5,460	5,460
Subtotal: Operating Expenditures	\$19,154,656	\$20,565,973	\$19,964,828	\$20,944,211
Capital Purchases and Equipment	294,544	79,028	94,272	69,757
Debt Service	7,234	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$19,456,434	\$20,645,001	\$20,059,100	\$21,013,968
Expenditures By Funds				
General Revenue	17,037,631	18,105,384	17,188,850	18,037,977
Federal Funds	958,814	1,048,104	1,157,357	1,235,454
Restricted Receipts	726,333	676,601	775,738	830,406
Other Funds	733,656	814,912	937,155	910,131
Total Expenditures	\$19,456,434	\$20,645,001	\$20,059,100	\$21,013,968
Program Measures				
Percentage of Personal Income Tax Refunds Mailed Within Thirty Days	-	96.5%	100.0%	100.0%

The Program

Department of Revenue Registry of Motor Vehicles

Program Operations

The Registry of Motor Vehicles is responsible for administering and enforcing all laws pertaining to the operation and registration of all motor vehicles, the issuance of licenses, the enforcement of all laws relating to the issuance, suspension and revocation of motor vehicle registrations and licenses, inspection of motor vehicles and the study of motor vehicle accidents. The Vehicle Value Commission establishes the presumptive value of motor vehicles subject to excise tax for the use of municipalities in levying taxes.

Program Objectives

To administer and enforce all laws pertaining to the operation and registration of motor vehicles. To collect all fees mandated by state statutes in the most efficient and timely manner, to bring an expanded, more efficient level of service to the public.

Statutory History

R.I.G.L. 42-142 entitled "Department of Revenue" establishes the Registry of Motor Vehicles as a division within the Department of Revenue.

The Budget

Department of Revenue Registry of Motor Vehicles

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Registry of Motor Vehicles	16,748,525	17,485,083	18,185,420	18,657,822
Vehicle Value Commission	29,150	29,066	30,200	30,200
Total Expenditures	\$16,777,675	\$17,514,149	\$18,215,620	\$18,688,022
Expenditures By Object				
Personnel	10,882,965	11,865,352	12,294,270	13,681,151
Operating Supplies and Expenses	5,653,345	5,542,741	5,749,454	4,913,134
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	5,237	5,237	5,237	5,237
Subtotal: Operating Expenditures	\$16,541,547	\$17,413,330	\$18,048,961	\$18,599,522
Capital Purchases and Equipment	236,128	100,819	116,659	38,500
Debt Service	-	-	-	-
Operating Transfers	-	-	50,000	50,000
Total Expenditures	\$16,777,675	\$17,514,149	\$18,215,620	\$18,688,022
Expenditures By Funds				
General Revenue	16,506,078	17,018,161	17,225,352	18,573,231
Federal Funds	257,076	481,455	975,168	99,691
Restricted Receipts	14,521	14,533	15,100	15,100
Total Expenditures	\$16,777,675	\$17,514,149	\$18,215,620	\$18,688,022
Program Measures	NA	NA	NA	NA

The Agency

Legislature

Agency Operations

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for enactment of laws, formation of state policy, and evaluation of programs through the appropriation process. The Joint Committee on Legislative Affairs, the Legislative Council, the Fiscal Advisory Staff, the Office of the Auditor General, and the Special Legislative Commissions assist the General Assembly in executing its constitutional role.

Statutory History

The Legislature is one of the three departments of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

The Budget

Legislature

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
General Assembly	4,647,712	4,919,150	5,271,407	5,316,151
Fiscal Advisory Staff To House Finance	1,265,522	1,302,246	1,531,736	1,645,494
Legislative Council	3,364,395	3,729,514	4,023,476	4,420,569
Joint Committee on Legislative Affairs	14,932,808	16,476,936	19,336,689	19,216,096
Office of the Auditor General	3,806,040	4,266,608	4,695,753	5,078,084
Special Legislative Commissions	15,227	12,311	22,688	22,688
Total Expenditures	\$28,031,704	\$30,706,765	\$34,881,749	\$35,699,082
Expenditures By Object				
Personnel	23,244,402	26,329,458	28,777,263	30,798,502
Operating Supplies and Expenses	2,029,000	2,340,576	2,495,816	2,352,780
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,518,769	1,958,432	3,389,070	-
Subtotal: Operating Expenditures	\$27,792,171	\$30,628,466	\$34,662,149	\$33,151,282
Capital Purchases and Equipment	224,005	78,299	219,600	247,800
Debt Service	15,528	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$28,031,704	\$30,706,765	\$34,881,749	\$33,399,082
Expenditures By Funds				
General Revenue	26,933,113	29,355,262	33,472,897	34,175,361
Restricted Receipts	1,098,591	1,351,503	1,408,852	1,523,721
Total Expenditures	\$28,031,704	\$30,706,765	\$34,881,749	\$35,699,082

FTE Authorization	280.0	289.0	294.0	298.2
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Agency Measures

Minorities as a Percentage of the Workforce	NS	NS	NS	NS
Females as a Percentage of the Workforce	NS	NS	NS	NS
Persons with Disabilities as a Percentage of the Workforce	NS	NS	NS	NS

The Program

Legislature General Assembly

Program Operations

The General Assembly consists of two chambers. The Senate is composed of 38 members. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process.

Statutory History

The legislative power of the State is vested in the Legislature as set forth in Article VI of the State Constitution, and the composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

The Budget

Legislature General Assembly

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,421,609	3,559,001	3,880,381	4,009,125
Operating Supplies and Expenses	1,142,355	1,340,163	1,342,326	1,274,326
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$4,563,964	\$4,899,164	\$5,222,707	\$5,283,451
Capital Purchases and Equipment	68,220	19,986	48,700	32,700
Debt Service	15,528	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$4,647,712	\$4,919,150	\$5,271,407	\$5,316,151
Expenditures By Funds				
General Revenue	4,647,712	4,919,150	5,271,407	5,316,151
Total Expenditures	\$4,647,712	\$4,919,150	\$5,271,407	\$5,316,151
Program Measures	NA	NA	NA	NA

The Program

Legislature Fiscal Advisory Staff to House Finance Committee

Program Operations

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. The major function of the unit is to perform fiscal research and analysis for the Legislature, its committees, and individual legislators. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the State's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

Statutory History

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

The Budget

Legislature Fiscal Advisory Staff to House Finance Committee

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,159,216	1,197,143	1,432,888	1,543,946
Operating Supplies and Expenses	89,042	103,703	97,648	99,148
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,248,258	\$1,300,846	\$1,530,536	\$1,643,094
Capital Purchases and Equipment	17,264	1,400	1,200	2,400
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,265,522	\$1,302,246	\$1,531,736	\$1,645,494
Expenditures By Funds				
General Revenue	1,265,522	1,302,246	1,531,736	1,645,494
Total Expenditures	\$1,265,522	\$1,302,246	\$1,531,736	\$1,645,494
	NA	NA	NA	NA
Program Measures				

The Program

Legislature Legislative Council

Program Operations

The Legislative Council collects factual information and advises the General Assembly on bill drafting by assisting legislators in the preparation of technically correct bills for introduction in the General Assembly; conducts research on specific topics at the request of legislators, committees, commissions, legislative staff, and informational requests originating in other states; and, maintains library and reference materials on model legislation and files on bills introduced and passed.

Statutory History

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.

The Budget

Legislature Legislative Council

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,305,645	3,675,021	3,950,300	4,355,493
Operating Supplies and Expenses	52,110	50,381	71,676	63,576
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$3,357,755	\$3,725,402	\$4,021,976	\$4,419,069
Capital Purchases and Equipment	6,640	4,112	1,500	1,500
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,364,395	\$3,729,514	\$4,023,476	\$4,420,569
Expenditures By Funds	3,364,395	3,729,514	4,023,476	4,420,569
General Revenue	\$3,364,395	\$3,729,514	\$4,023,476	\$4,420,569
Total Expenditures				
	NA	NA	NA	NA
Program Measures				

The Program

Legislature

Joint Committee on Legislative Affairs

Program Operations

The Joint Committee on Legislative Affairs is responsible for all administrative matters affecting the operations of the General Assembly. The Joint Committee prepares legislative payrolls and the annual operating budget for the General Assembly, manages the House and Senate appropriations to include all committees unless otherwise specified in law, procures all supplies, materials and technical services, and provides for all printing requirements. Also, the Joint Committee on Legislative Affairs coordinates the Legislative Data Services and the Telecommunications - Cable TV activities.

Statutory History

The Joint Committee on Legislative Affairs was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Affairs are contained in R.I.G.L. 22-11.

The Budget

Legislature Joint Committee on Legislative Affairs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	11,909,142	14,039,276	15,373,157	16,378,484
Operating Supplies and Expenses	427,761	460,418	481,262	416,412
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,518,769	1,958,432	3,389,070	2,300,000
Subtotal: Operating Expenditures	\$14,855,672	\$16,458,126	\$19,243,489	\$19,094,896
Capital Purchases and Equipment	77,136	18,810	93,200	121,200
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$14,932,808	\$16,476,936	\$19,336,689	\$19,216,096
Expenditures By Funds	14,932,808	16,476,936	19,336,689	19,216,096
General Revenue	\$14,932,808	16,476,936	\$19,336,689	\$19,216,096
Total Expenditures				
Program Measures	NA	NA	NA	NA

The Program

Legislature Office of the Auditor General

Program Operations

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits, which encompass the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the State; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally-funded programs administered by the State.

Statutory History

The Office of the Auditor General was established as a separate agency in 1973. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13.

The Budget

Legislature Office of the Auditor General

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,448,790	3,859,017	4,140,537	4,511,454
Operating Supplies and Expenses	302,505	373,600	480,216	476,630
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$3,751,295	\$4,232,617	\$4,620,753	\$4,988,084
Capital Purchases and Equipment	54,745	33,991	75,000	90,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,806,040	\$4,266,608	\$4,695,753	\$5,078,084
Expenditures By Funds	2,707,449	2,915,105	3,286,901	3,554,363
General Revenue	1,098,591	1,351,503	1,408,852	1,523,721
Restricted Receipts	\$3,806,040	\$4,266,608	\$4,695,753	\$5,078,084
Total Expenditures				
Program Measures	NA	NA	NA	NA

The Program

Legislature **Special Legislative Commissions**

Program Operations

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional and national concern. Included in this category are: the Commission on Uniform State Laws, the Criminal Justice Commission, and the Martin Luther King Commission.

Statutory History

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

The Budget

Legislature Special Legislative Commissions

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	-	-
Operating Supplies and Expenses	15,227	12,311	22,688	22,688
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$15,227	\$12,311	\$22,688	\$22,688
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$15,227	\$12,311	\$22,688	\$22,688
Expenditures By Funds				
General Revenue	15,227	12,311	22,688	22,688
Total Expenditures	\$15,227	\$12,311	\$22,688	\$22,688
Program Measures	NA	NA	NA	NA

The Agency

Office of the Lieutenant Governor

Agency Operations

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of Lieutenant Governor is an organizational unit within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees and commissions established by the General Assembly. As well, the Lieutenant Governor chairs and serves on various commissions and advisory boards. The office initiates legislation and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, small business development, the environment, long-term health care and elderly affairs. The office also serves as a liaison between citizens and state agencies.

Agency Objectives

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governor's elected executive power, duties in the case of death, resignation, impeachment of the Governor, responsibility in the case of a vacancy of position, and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor.

The Budget

Office of the Lieutenant Governor

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Expenditures By Object				
Personnel	796,777	845,160	814,121	871,336
Operating Supplies and Expenses	51,229	54,254	79,726	52,576
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$848,006	\$899,414	\$893,847	\$923,912
Capital Purchases and Equipment	-	640	2,569	1,200
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$848,006	\$900,054	\$896,416	\$925,112
 Expenditures By Fund				
General Revenue	848,006	900,054	896,416	925,112
Total Expenditures	\$848,006	\$900,054	\$896,416	\$925,112
 FTE Authorization				
	10.0	10.0	9.5	9.5
 Agency Measures				
Minorities as a Percentage of the Workforce	10.0%	10.0%	30.0%	30.0%
Females as a Percentage of the Workforce	50.0%	40.0%	40.0%	40.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
 Program Measures				
	NA	NA	NA	NA

The Agency

Secretary of State

Agency Operations

The Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Secretary of State has a vital role in providing the public with basic information about the workings of state government. The Office of the Secretary of State consists of six programs and one internal service fund.

Agency Objectives

The objective of the Office of the Secretary of State is to provide the highest possible level of service to the public, while effectively administering all activities of the Secretary of State prescribed by the Rhode Island Constitution and state law. The Secretary of State also seeks to improve public access to government information by disseminating information as widely as possible and making information readily-available electronically.

Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 17 Chapters 14, 15 and 22 also refer to elections; Title 19 Chapter 1 refers to corporations; Title 29 establishes the state library and the Legislative Reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents.

The Budget

Secretary of State

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Expenditures by Program				
Administration	1,612,193	1,739,923	1,587,971	1,685,414
Corporations	1,618,262	1,815,330	1,838,674	1,798,880
State Archives	485,428	564,785	508,840	572,506
Elections and Civics	3,720,879	6,665,551	2,428,183	1,129,833
State Library	712,314	705,620	698,279	722,998
Office of Public Information	444,388	382,135	203,806	190,131
Internal Service Programs	[1,124,598]	[1,011,830]	[1,175,731]	[1,177,788]
Total Expenditures	\$8,593,464	\$11,873,344	\$7,265,753	\$6,099,762
Expenditures By Object				
Personnel	5,536,578	5,497,121	4,358,655	4,478,377
Operating Supplies and Expenses	2,238,822	1,740,630	2,479,388	1,198,545
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	399,447	367,012	370,030	395,030
Subtotal: Operating Expenditures	\$8,174,847	\$7,604,763	\$7,208,073	\$6,071,952
Capital Purchases and Equipment	418,849	4,268,581	57,680	27,810
Debt Service	(232)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$8,593,464	\$11,873,344	\$7,265,753	\$6,099,762
Expenditures By Funds				
General Revenue	5,288,648	5,218,298	6,106,546	5,069,542
Federal Funds	2,913,387	6,196,305	942,687	586,744
Restricted Receipts	391,429	458,741	216,520	443,476
Internal Service Funds	[1,124,598]	[1,011,830]	[1,175,731]	[1,177,788]
Total Expenditures	\$8,593,464	11,873,344	\$7,265,753	\$6,099,762
FTE Authorization	59.0	59.0	56.0	56.0
Agency Measures				
Minorities as a Percentage of the Workforce	21.7%	25.0%	17.0%	25.0%
Females as a Percentage of the Workforce	62.3%	62.5%	61.0%	61.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	1.7%	1.7%

The Program

Secretary of State Administration

Program Operations

The Administration Program provides support functions for the Office of the Secretary of State. The program has six functions, with the responsibilities of each described below.

Personnel maintains personnel records and provides administrative support for payroll and personnel matters.

Finance monitors accounts payable and accounts receivable for the department and prepares the budget for the Office of the Secretary of State.

E-government and Information Technology develops and implements model e-government solutions to distribute information to the public and provides support for the department's computer systems.

Constituent Relations provides information to the public and coordinates responses to inquiries of the public to the Office of the Secretary of State.

Communications communicates the operations and accomplishments of the Office of the Secretary of the State and serves as liaison with the media.

Policy and Legislative Affairs researches, plans and develops innovative policy initiatives for the Office of the Secretary of State that serve as models for the country and develops legislation for passage that moves those policy initiatives forward.

Program Objective

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution as one of the five general offices subject to voter election. R.I.G.L. 42-8 established the Department of State under the Secretary of State. The Secretary of State is also responsible for the duties contained in R.I.G.L. 22-3-14 and 36-1-4.

The Budget

Secretary of State Administration

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,494,703	1,651,846	1,500,610	1,601,896
Operating Supplies and Expenses	109,271	82,846	84,645	78,732
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,157	976	976	976
Subtotal: Operating Expenditures	\$1,605,131	\$1,735,668	\$1,586,231	\$1,681,604
Capital Purchases and Equipment	7,294	4,255	1,680	3,810
Debt Service	(232)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,612,193	\$1,739,923	\$1,587,911	\$1,685,414
Expenditures By Funds				
General Revenue	1,612,193	1,739,923	1,587,911	1,685,414
Total Expenditures	\$1,612,193	\$1,739,923	\$1,587,911	\$1,685,414
Program Measures	NC	NC	NC	NC

The Program

Secretary of State Corporations

Program Operations

The Corporations Division administers all business-related responsibilities for the Office of the Secretary of State including the registering of new businesses, filing of commercial liens, issuance of trademarks, commissioning of notaries public and acceptance of summonses.

The Business Section is responsible for the examination, custody and maintenance of the legal documents filed by more than 57,000 business corporations, professional service corporations, non-profit corporations, consumers' and producers' cooperatives, limited liability companies, limited partnerships and limited liability partnerships. The duty of the Secretary of State is to ensure uniform compliance with the statutes governing the creation of these entities, record the information required to be kept as a public record, and provide that information to the public.

The Uniform Commercial Code Section processes and tracks the liens placed on tangible property in the State of Rhode Island.

Notary/Trademark Section is responsible for registering all new notaries and for processing renewal applications of existing notaries upon commission expiration. This program is also responsible for registering trademarks and service marks in the State of Rhode Island.

The Business Information Center, under its First Stop Program, serves as a referral and information center for small business owners. The Business Fast Start Program provides prospective business owners with an online single source site to obtain state licensing and filing information.

Program Objective

The Office of the Secretary of State is committed to making it easier to start and run businesses in Rhode Island. The Corporations Program is implementing technology solutions that automate manual processes thereby making accessing records easier for the public. These improvements will allow for: Uniform Commercial Code online search of existing files and filing of new records, enhanced corporations database access and e-filing of corporate documents including annual reports.

Statutory History

The functions of the Corporations Division are outlined in R.I.G.L. Title 7 Chapters 1, 1.2, 5.1 through 8, 56 through 59, and 13 and 16. The division's functions regarding Notaries Public and Justices of the peace are outlined by R.I.G.L. 42-30. R.I.G.L. 6-2 requires fees associated with the registration of trademarks, and 6A-9-501 outlines the Uniform Commercial Code. Administration of filing for games of chance is required by R.I.G.L. 11-50-1. Additional duties are established by R.I.G.L. Title 9 Chapter 29, Title 23 Chapter 34.1 Section 14, and Title 34 Chapter 18 Section 22.3. Business Fast Start is required in R.I.G.L. 42-132.

The Budget

Secretary of State Corporations

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,314,802	1,403,249	1,322,060	1,433,276
Operating Supplies and Expenses	286,399	323,864	516,614	365,604
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,601,201	\$1,727,113	\$1,838,674	\$1,798,880
Capital Purchases and Equipment	17,061	88,217	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,618,262	\$1,815,330	\$1,838,674	\$1,798,880
Expenditures By Funds				
General Revenue	1,618,262	1,815,330	1,838,674	1,798,880
Total Expenditures	\$1,618,262	\$1,815,330	\$1,838,674	\$1,798,880
Program Measures	NC	NC	NC	NC

The Program

Secretary of State State Archives

Program Operations

The State Archives and Public Records Administration is responsible for providing comprehensive archives and records management services for all public records in the State. The State Archives holds the historical records of the colony and State of Rhode Island dating from 1638 to modern times. The division operates a public reading room for the research, inspection and duplication of public records. The Public Records Administration works closely with state and local government agencies to provide technical assistance and advise on records management, the development of records retention schedules, and the preservation of historical records. An annual survey is undertaken to evaluate records management efforts at state agencies and remind agency directors of their legal requirement to apply or develop records retention schedules and properly manage their records for storage, destruction or their eventual transfer to the State Archives.

Additionally, the State Archives Division operates the Local Government Records Program, which was established by a federal grant in 1992. The program provides records management advice and consultation to all departments of municipal government and actively works with municipal clerks to better preserve and administer the historical records in their care. In 1993, the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program. In FY 2004, to reduce the accumulated balance, the enacted Budget enabled the use of funds from this restricted receipts account for funding of the operations of the State Archives. In FY 2007, the State Archives Division obtained a two-year, \$130,000 grant from the National Historical Publications and Records Commission to the RI Historical Records Advisory Board for a historical records re-grant and training project.

Program Objectives

To provide comprehensive archives and records management services for all public records in the State and to provide information on the preservation of historical records.

Statutory History

The authority of the State Archives is granted from Rhode Island General Laws sections 42-8.1 and 38-3. Functions of the State Archives are also outlined in the Public Law 1989, Chapter 341, Public Law 1991, Chapter 263, and Public Law 1992, Chapter 252.

The Budget

Secretary of State State Archives

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	251,364	318,083	342,070	316,682
Operating Supplies and Expenses	213,615	225,641	131,770	195,824
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	19,275	14,400	35,000	60,000
Subtotal: Operating Expenditures	\$484,254	\$558,124	\$508,840	\$572,506
Capital Purchases and Equipment	1,174	6,661	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$485,428	\$564,785	\$508,840	\$572,506
Expenditures By Funds				
General Revenue	93,999	101,165	207,320	88,909
Federal Funds	-	4,879	85,000	40,121
Restricted Receipts	391,429	458,741	216,520	443,476
Total Expenditures	\$485,428	\$564,785	\$508,840	\$572,506
Program Measures	NC	NC	NC	NC

The Program

Secretary of State Elections and Civics

Program Operations

The Elections and Civics Division is responsible for the preparation, printing and distribution of all official mail and sample ballots for all federal, statewide and municipal elections conducted in Rhode Island under R.I.G.L. Title 17. Additionally, the Elections and Civics Division prepares and provides Braille or tactile ballots to Rhode Island voters who are blind or visually impaired. The Elections and Civics Division has the responsibility of certifying all federal and state candidates for ballot placement, tracking and determining the language of state questions appearing on the ballot, and receiving and maintaining files from the local canvassing authorities for local questions for ballot placement. Additionally, the Elections and Civics Division prepares and distributes all necessary forms to federal and state candidates and local canvassing authorities. The Elections and Civics Division is responsible for maintaining the statewide central voter registration systems (CVRS). This system is a comprehensive real-time elections management system which maintains voter registration and all related information such as mail ballot requests, and polling place locations.

The Elections and Civics Division also provides assistance with the in-house development of guides for candidates, election officials and voters. Guides are provided in English and Spanish, and Braille. Under HAVA mandates and ensuing state law, the Elections and Civics Division serves as the single state contact for all uniformed and overseas citizens wishing to vote as covered under the Uniformed and Overseas Citizens Absentee Voting Act. (UOCAVA)

In FY 2006 the Civics Division assumed responsibility of HAVA Voter Education. Programs have been developed to educate Rhode Island voters about the electoral process and requirements mandated by HAVA. Voter education efforts include the CIVICS 101 which promotes civic education in partnership with high schools in the State and the publication and distribution of electoral information.

Program Objective

To create and provide voters with an efficient, user-friendly and technologically advanced elections system and non-discriminatory electoral process. To prepare and provide for the conduct of fair and accurate elections – federal, statewide and municipal – as required by statute. To maintain and enhance the Central Voter Registration System (CVRS) and its components as mandated under HAVA and Rhode Island state law and to continue to meet the mandates of the National Voter Registration Act (NVRA) and state statutes with respect to voter registration and file maintenance. To improve civic education and continue to provide ballots and voter information booklets with respect to referenda and constitutional amendments. To provide handicapped accessible voting equipment to in accordance with the mandates of HAVA.

Statutory History

Elections operates under Title 17 of the R.I.G.L and applicable federal laws such as the HAVA. The Central Voter Registration operates under provision of R.I.G.L. Title 97 and 42.

The Budget

Secretary of State Elections and Civics

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,803,618	1,442,020	695,237	591,170
Operating Supplies and Expenses	1,560,222	1,061,605	1,702,946	538,663
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$3,363,840	\$2,503,625	\$2,398,183	\$1,129,833
Capital Purchases and Equipment	357,039	4,161,926	30,000	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,720,879	\$6,665,551	\$2,428,183	\$1,129,833
Expenditures By Funds				
General Revenue	807,492	474,125	1,570,496	583,210
Federal Funds	2,913,387	6,191,426	857,687	546,623
Total Expenditures	\$3,720,879	\$6,665,551	\$2,428,183	\$1,129,833
Program Measures	NC	NC	NC	NC

The Program

Secretary of State State Library

Program Operations

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. This division operates and maintains the Legislative Reference Bureau, which provides information on state law and legislation. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The library is open to the public.

Program Objectives

The program operates and maintains the library facilities in the State House and makes available timely reference and research services to the public. The program is developing educational materials on Rhode Island history and civic education for schools and community groups in cooperation with other divisions at the Office of the Secretary of State.

Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7. The Federal Publications Depository System dates back to an Act of Congress passed in 1813.

The Budget

Secretary of State State Library

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	278,547	304,864	325,185	352,350
Operating Supplies and Expenses	20,857	43,598	13,040	12,594
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	379,015	351,636	334,054	334,054
Subtotal: Operating Expenditures	\$678,419	\$700,098	\$672,279	\$698,998
Capital Purchases and Equipment	33,895	5,522	26,000	24,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$712,314	\$705,620	\$698,279	\$722,998
Expenditures By Funds				
General Revenue	712,314	705,620	698,279	722,998
Total Expenditures	\$712,314	\$705,620	\$698,279	\$722,998
Program Measures	NC	NC	NC	NC

The Program

Secretary of State Office of Public Information

Program Operations

The Public Information Division, formerly the Civics and Public Information Division, is a primary resource for the citizens of Rhode Island regarding the following activities of state government.

Rhode Island Government Owner's Manual: maintenance and distribution of contact information for state general officers, legislators, state departments and agencies, and boards and commissions.

GovTracker: maintenance of complete online database of all state and municipal entities including contact information, filings, rules and regulations, and staff listings.

LobbyTracker: online registration of lobbyists and maintenance of all lobbyist information for the legislative and executive branches of government.

Legislation Acceptance: Acceptance, logging, and filing all acts and resolves of the General Assembly, including certifying acts and distributing resolves at the request of the General Assembly.

eTownCrier: Operation and maintenance of an electronic database of all public meeting notices and minutes in the State of Rhode Island as required by the Open Meeting Law, R.I.G.L 42-46-1.

Consultant Reports: maintenance of consultant information for all state departments, commissions, boards, councils, and other agencies.

Boards and Commissions: Maintenance and dissemination of an electronic database of state boards and commissions, along with the meeting minutes of these bodies.

CIVICS at the State House: the State House tour program operated in partnership with Johnson & Wales University.

Program Objective

To enable the public to access the information they need to participate in government and hold government accountable.

Statutory History

R.I.G.L. 42-8 defines the Secretary of State's role as the custodian of state records charged with the collection, retention, and dissemination of these records for the citizens of the State of Rhode Island. R.I.G.L. 22-10-10 gives duties and powers to the Secretary of State to adopt rules and regulations in order to inform the public. R.I.G.L. 42-139 describes executive branch and public corporation lobbyists, charging the Office of the Secretary of State with all regulation.

The Budget

Secretary of State Office of Public Information

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	393,544	377,059	173,433	183,003
Operating Supplies and Expenses	48,458	3,076	30,373	7,128
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$442,002	\$380,135	\$203,806	\$190,131
Capital Purchases and Equipment	2,386	2,000	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$444,388	\$382,135	\$203,806	\$190,131
Expenditures By Funds				
General Revenue	444,388	382,135	203,806	190,131
Total Expenditures	\$444,388	\$382,135	\$203,806	\$190,131
Program Measures	NC	NC	NC	NC

The Program

Secretary of State Internal Service Programs

Program Operations

The Records Center is an Internal Service Program (ISP). ISPs provide services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

The Internal Service Program operated by the Secretary of State is the Records Center. This program, a central storage facility for state government records, serves all state agencies. The program also supports the administration of rules and regulations required to be filed with the Office of the Secretary of State by state agencies under the Administrative Procedures Act, Title 42 Chapter 35.

Program Objective

The program provides cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency.

The Budget

Secretary of State Internal Service Programs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	336,057	282,508	367,460	396,543
Operating Supplies and Expenses	786,375	729,322	808,271	779,845
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,122,432	\$1,011,830	\$1,175,731	\$1,176,388
Capital Purchases and Equipment	2,166	-	-	1,400
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,124,598	\$1,011,830	\$1,175,731	\$1,177,788
Expenditures By Funds				
Internal Service Funds	1,124,598	1,011,830	1,175,731	1,177,788
Total Expenditures	\$1,124,598	\$1,011,830	\$1,175,731	\$1,177,788
Program Measures	NC	NC	NC	NC

The Agency

Office of the General Treasurer

Agency Operations

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of State funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Rhode Island Refunding Bond Authority, the College Savings Plan Board, and the Rhode Island State Board of Banking.

Programs under the control and guidance of the General Treasurer include: the Employees' Retirement System, the Administrative Unit for participating public employee groups including state, teacher and municipal employees; the Unclaimed Property Program which collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments Division and Finance provide investment and cash management services to state government and issues and manages the State's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; and the Crime Victim Compensation Program which compensates crime victims for financial losses suffered as a result of a violent crime. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease Fund.

Agency Objectives

The Treasurer's Office will continue to focus its efforts and activities on enhancing the office's services to the public. The Treasurer's Office will achieve this objective and perform its functions in the most cost effective manner possible.

Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines for the investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36 and 45 of the Rhode Island General Laws. The sections that cover the Retirement System also cover the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the Rhode Island General Laws. Chapter 28 includes the Treasurer's responsibilities for the DET Program. Title 12 Chapter 25 of the Rhode Island General Laws governs the victims of Crime Victim Compensation Program.

The Budget

Office of the General Treasurer

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
General Treasury	2,851,857	2,863,820	3,053,530	3,183,847
State Retirement System	5,756,787	5,692,545	7,323,977	6,898,540
Unclaimed Property	25,201,545	26,774,621	19,558,292	22,164,410
Rhode Island Refunding Bond Authority	31,613	34,393	40,620	40,349
Crime Victim Compensation	2,506,359	1,978,696	3,478,685	3,561,491
Total Expenditures	\$36,348,161	\$37,344,075	\$33,455,104	\$35,848,637
Expenditures By Object				
Personnel	8,194,017	8,229,523	10,189,024	10,076,770
Operating Supplies and Expenses	10,211,660	12,990,561	8,706,822	8,878,276
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,059,279	1,664,904	2,964,662	3,038,052
Subtotal: Operating Expenditures	\$20,464,956	\$22,884,988	\$21,860,508	\$21,993,098
Capital Purchases and Equipment	265,688	215,904	112,039	186,329
Debt Service	(215)	-	-	-
Operating Transfers	15,617,732	14,243,183	11,482,557	13,669,210
Total Expenditures	\$36,348,161	\$37,344,075	\$33,455,104	\$35,848,637
Expenditures By Funds				
General Revenue	3,166,378	2,748,745	2,662,801	2,908,550
Federal Funds	1,713,752	594,152	1,959,592	1,916,146
Restricted Receipts	25,494,082	28,150,155	28,519,236	30,730,801
Other Funds	5,973,949	5,851,023	313,475	293,140
Total Expenditures	\$36,348,161	\$37,344,075	\$33,455,104	\$35,848,637
FTE Authorization	87.5	87.5	86.5	86.5
Agency Measures				
Minorities as a Percentage of the Workforce	15.0%	13.6%	14.8%	14.8%
Females as a Percentage of the Workforce	65.4%	65.4%	66.7%	66.7%
Persons with Disabilities as a Percentage of the Workforce	1.2%	1.2%	1.2%	1.2%

The Program

Office of the General Treasurer General Treasury

Program Operations

The General Treasury Program provides administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of four functional areas: Policy, Administration, Investments, and the Business Office.

Policy Division: This division is responsible for directing policy and legislative analysis, preparation and testimony for Treasury, including preparation and shepherding of all retirement and investment legislation through the General Assembly.

Administration Division: This division provides the administrative support to the entire Office in terms of overall leadership for matters dealing with personnel, budgeting, financial controls, and management information systems.

Investment Division: This division is charged with managing the state's borrowing and investment functions. The division provides daily fiduciary services to all of state government, manages state funds, monitors investment managers for compliance with state guidelines, is the interface for outside financial professionals on administrative matters and fund transfers, implements State Investment Commission policies, and oversees the issuance and management of State debt.

Business Office: This division is responsible for the validating and distributing of imprest/benefit checks along with replacement checks. The division is also responsible for the internal transfer of state funds for necessary payroll taxes and retirement contributions, as well as the reconciliation of a majority of state bank accounts both to the bank statement balances and to the controller's records. Additionally, this division laser prints vendor and non-vendor checks and electronically transmits issues to the appropriate banking institutions. Pension, payroll and benefit checks are also printed by the Business Office. The joint Office of the General Treasurer—Department of Labor and Training operations are conducted within this division. This unit performs services on behalf of the Temporary Disability Insurance Program and the Unemployment Insurance Program.

Program Objective

To continue to focus on improving services to the public and to provide leadership and direction to enable Treasury to perform its functions more effectively and efficiently. To expand the use of technology with more effective utilization of banking services; and to improve the management of cash collection, investment and disbursement of systems.

Statutory History

Chapter 35 gives the guidelines for the investment of State funds. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the DET Program.

The Budget

Office of the General Treasurer General Treasury

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Policy	627,842	659,148	729,514	733,659
Administrative Operations	164,951	67,416	59,302	70,044
Business Office	1,428,439	1,468,886	1,557,392	1,640,856
Treasury Miscellaneous	416	283	-	-
Investments	630,209	668,087	707,322	739,288
Total Expenditures	\$2,851,857	\$2,863,820	\$3,053,530	\$3,183,847
Expenditures By Object				
Personnel	2,223,632	2,332,959	2,326,240	2,615,828
Operating Supplies and Expenses	609,494	511,174	686,703	546,742
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	10,700	10,700
Subtotal: Operating Expenditures	\$2,833,126	\$2,844,133	\$3,023,643	\$3,173,270
Capital Purchases and Equipment	18,946	19,687	29,887	10,577
Debt Service	(215)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,851,857	\$2,863,820	\$3,053,530	\$3,183,847
Expenditures By Funds				
General Revenue	2,397,620	2,494,723	2,424,785	2,589,641
Federal Funds	237,075	210,619	305,270	291,066
Restricted Receipts	-	-	10,000	10,000
Other Funds	217,162	158,478	313,475	293,140
Total Expenditures	\$2,851,857	\$2,863,820	\$3,053,530	\$3,183,847
Program Measures				
Short Term Investment Return	0.09%	0.01%	0.20%	0.20%
Business Days Required to Issue Replacement Check	6	6	5	4

The Program

Office of the General Treasurer State Retirement System

Program Operations

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits to eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit Program for those teachers who are not covered by Social Security.

The system is divided into three main areas: Member Services, Finance and Administration. The Member services department is responsible for the coordinated delivery of services to the membership. It counsels members on a daily basis, provides outreach counseling from October to May at various sites across the state, and is responsible for group benefit presentations.

The Finance department is responsible for the accounting of the system's assets and is responsible for member bookkeeping. It calculates all retirement allowances and purchase requests and maintains the system's retiree pension payroll. The retiree unit within the Finance department handles all retiree inquiries. Finally, the Data Processing unit within that same division tracks all participant information and prepares yearly information for periodic actuarial valuations.

The Administration unit includes the Executive Director, Assistant Executive Director and The Communications unit. It is responsible for all relations with the system's 15-member retirement board. It also oversees the delivery of communication to the system's active and retired membership and all other interested parties.

Program Objectives

In addition to statutory mandates, the major program objectives of Employees' Retirement System of Rhode Island are the continued delivery of services to the active and retired membership. The system is also in the final stages of implementing a business automation project. The investment objective for the State Pension Fund is to meet or exceed an annual rate of return of 8.25 percent.

Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36 and 45 of the Rhode Island General Laws. The accounts were converted to restricted receipt accounts by the 2006 Legislature, and are not subject to the ten percent cost recovery provision.

The Budget

Office of the General Treasurer State Retirement System

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	4,759,993	4,649,137	6,466,268	5,979,364
Operating Supplies and Expenses	755,584	752,786	822,609	872,956
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	97,770	-	-
Subtotal: Operating Expenditures	\$5,515,577	\$5,499,693	\$7,288,877	\$6,852,320
Capital Purchases and Equipment	241,210	192,852	35,100	46,220
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$5,756,787	\$5,692,545	\$7,323,977	\$6,898,540
Expenditures By Funds				
Restricted Receipts	-	-	7,323,977	6,898,540
Other Funds	5,756,787	5,692,545	-	-
Total Expenditures	\$5,756,787	\$5,692,545	\$7,323,977	\$6,898,540
Program Measures				
Return on Pension Portfolio	12.20%	12.60%	8.30%	8.30%

The Program

Office of the General Treasurer Unclaimed Property

Program Operations

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible abandoned property. The mission of the division is to return this property to its rightful owners. This division maintains data on all escheated properties by the State since the inception of the program. The program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

Program Objectives

This program will continue its efforts to ensure holder compliance with the law, resulting in more property being received by the State and being returned to their rightful owners. This unit will also continue to implement an aggressive outreach program.

The State Unclaimed Money List is included in the new RI Treasury Internet Home Page. The Internet address is: <http://www.state.ri.us/treas/treas.htm>

Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program.

The Budget

Office of the General Treasurer Unclaimed Property

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	790,838	862,282	957,690	1,002,283
Operating Supplies and Expenses	8,789,193	11,667,276	7,112,045	7,366,917
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$9,580,031	\$12,529,558	\$8,069,735	\$8,369,200
Capital Purchases and Equipment	3,782	1,880	6,000	126,000
Debt Service	-	-	-	-
Operating Transfers	15,617,732	14,243,183	11,482,557	13,669,210
Total Expenditures	\$25,201,545	\$26,774,621	\$19,558,292	\$22,164,410
Expenditures By Funds				
Restricted Receipts	25,201,545	26,774,621	19,558,292	22,164,410
Total Expenditures	\$25,201,545	\$26,774,621	\$19,558,292	\$22,164,410
Program Measures				
Claims Paid	65.0%	65.0%	60.0%	60.0%
Timeliness of Payments	28	35	31	20

The Program

Office of the General Treasurer Rhode Island Refunding Bond Authority

Program Operations

The Authority was created in 1987 as a public corporation, having a distinct legal existence from the State and not constituting a department of State government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The Authority is authorized to issue bonds and notes, secured solely by its revenues, derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, subject to annual appropriation. In 1988, the State entered into a loan and trust agreement with the Rhode Island Refunding Bond Authority, which issued \$20.6 million of bonds for the advance refunding of \$18.6 million of the State's general obligation bonds.

In 1993, the Rhode Island Refunding Bond Authority (RIRBA) issued \$161.8 million the proceeds of which were used to effect the complete or partial refunding of six of the Rhode Island Public Buildings Authority's (RIPBA) revenue bonds.

On July 1, 1997, the RIPBA was merged into the RIRBA. Prior to the merger, RIPBA issued debt to finance the acquisition and construction of certain facilities to be leased by state agencies. The lease payments made by the State, subject to annual appropriations, secured debt service payments.

In June 1998, the Rhode Island Refunding Bond Authority refunded portions of four series of RIPBA Bonds with the issuance of the 1998 Series A State Public Project Revenue Bonds in the amount of \$39.9 million. The payment of such loans by the State is subject to and dependent upon annual appropriations being made by the General Assembly. As of June 30, 2004, two bonds in the amount of \$84.7 million were outstanding.

In April 2003, the authority issued \$67.6 million State Public Projects Revenue Bonds, Series 2003 A to refund the outstanding principal of State Public Projects Revenue Bonds, 1993 Series A. The Authority completed the advance refunding to reduce its total debt service payments by \$2.8 million and to obtain an economic gain (difference between the present values of the old and new debt service payments) of \$2.9 million.

Final redemption of one of RIRBA's bond issues was accomplished during FY 2003. All amounts have been redeemed for the original Refunding Bond Authority's 1988 Series A Bonds.

Program Objectives

To ensure prompt payment of outstanding debts of the Authority.

Statutory History

Title 35 Chapter 8.1 of the Rhode Island General Laws created the Authority.

The Budget

Office of the General Treasurer Rhode Island Refunding Bond Authority

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	24,071	26,862	29,630	29,359
Operating Supplies and Expenses	7,518	7,531	10,990	10,990
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$31,589	\$34,393	\$40,620	\$40,349
Capital Purchases and Equipment	24	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$31,613	\$34,393	\$40,620	\$40,349
Expenditures By Funds				
General Revenue	31,613	34,393	40,620	40,349
Total Expenditures	\$31,613	\$34,393	\$40,620	\$40,349
Program Measures	NA	NA	NA	NA

The Program

Office of the General Treasurer Crime Victim Compensation

Program Operations

The Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but is not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

Victims and/or families of victims of "The Station" nightclub fire may apply for assistance through the Rhode Island Crime Victim Compensation Program (RI CVC). Applicants can receive compensation up to \$25,000 to help pay expenses incurred in the aftermath of the tragedy. Covered expenses include those not already covered by insurance, such as lost wages and loss of support, medical bills for those injured, burial and funeral costs, and counseling expenses for victims and the immediate family of those lost in this tragedy.

Program Objectives

The Program instituted a pay-as-you go system under the 1999 amendment to the Act, which has shortened claim processing times. The debt reduction plan, targeting the accumulated unfunded liability of unpaid claims, is expected to conclude in FY 2006.

Statutory History

Title 12 Chapter 25 of the RIGL governs the victims of Violent Crimes Compensation Program, the most recent amendments going into effect on September 1, 1999.

The Budget

Office of the General Treasurer Crime Victim Compensation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	395,483	358,283	409,196	449,936
Operating Supplies and Expenses	49,871	51,794	74,475	80,671
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,059,279	1,567,134	2,953,962	3,027,352
Subtotal: Operating Expenditures	\$2,504,633	\$1,977,211	\$3,437,633	\$3,557,959
Capital Purchases and Equipment	1,726	1,485	41,052	3,532
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,506,359	\$1,978,696	\$3,478,685	\$3,561,491
Expenditures By Funds				
General Revenue	737,145	219,629	197,396	278,560
Federal Funds	1,476,677	383,533	1,654,322	1,625,080
Restricted Receipts	292,537	1,375,534	1,626,967	1,657,851
Total Expenditures	\$2,506,359	\$1,978,696	\$3,478,685	\$3,561,491
Program Measures				
Number of Business Days Required to Process and Pay Claims to Victims of Violent Crimes from Award Notice	70	35	30	28

The Agency

Boards for Design Professionals

Agency Operations

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each Board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulations; and hearing and acting upon complaints.

Agency Objectives

To examine, license, register and act upon complaints brought before the boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

Statutory History

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – the Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established by Chapters 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws in 1975.

The Budget

Boards for Design Professionals

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	291,534	282,411	295,189	318,722
Operating Supplies and Expenses	87,738	94,870	83,251	85,664
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$379,272	\$377,281	\$378,440	\$404,386
Capital Purchases and Equipment	-	3,916	1,800	1,800
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$379,272	\$381,197	\$380,240	\$406,186
Expenditures By Funds				
General Revenue	379,272	381,197	380,240	406,186
Total Expenditures	\$379,272	\$381,197	\$380,240	\$406,186
FTE Authorization	4.0	4.0	4.0	4.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Ratio of Complaint Cases Successfully Resolved to Cases Filed	0.80	1.52	0.80	0.80

The Agency

Board of Elections

Agency Operations

The Board of Elections supervises all elections and state and local referenda. The board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms and other supplies used at each polling place. The board instructs and certifies all election officials assigned to polls and prepares and distributes pollworker guides and related materials. The board also appoints, trains and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast, conducting recounts, certifying results to the Secretary of State, issuing certificates of election to candidates and providing an official tabulation of returns.

The board oversees voter registration and public education activities and provides all registration materials used in the State. The board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the State and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the “Act”). The agency oversees and monitors the campaign finance activities of candidates, political action committees and state vendors. The board’s responsibilities also include the development, printing and distribution of forms required for candidate, committee and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the State’s five general offices.

Agency Objectives

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

Statutory History

Chapter 825 of the Public Laws of 1901 created the “State Returning Board”, which was replaced by Chapter 1040 of the Public Laws of 1941 which created the “Board of Elections” as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

The Budget

Board of Elections

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,261,544	1,307,116	\$1,527,372	\$1,387,760
Operating Supplies and Expenses	1,293,408	1,077,303	\$733,343	\$636,348
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	\$2,015,171	-
Subtotal: Operating Expenditures	\$2,554,952	\$2,384,419	\$4,275,886	\$2,024,108
Capital Purchases and Equipment	14,116	8,516	26,680	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,569,068	\$2,392,935	\$4,302,566	\$2,024,108
Expenditures By Funds				
General Revenue	1,502,197	1,439,759	3,684,992	1,437,214
Federal Funds	1,066,871	953,176	617,574	586,894
Total Expenditures	\$2,569,068	\$2,392,935	\$4,302,566	\$2,024,108
FTE Authorization	15.0	15.0	14.0	14.0
Agency Measures				
Minorities as Percentage of the Workforce	14.0%	14.0%	14.0%	14.0%
Females as Percentage of the Workforce	50.0%	35.7%	42.9%	42.9%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures	NS	NS	NS	NS

The Agency

Rhode Island Ethics Commission

Agency Operations

The Rhode Island Ethics Commission came into existence on July 25, 1987, when its members were appointed pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The Commission formulates policies and regulations relating to the conduct of public officials and employees; investigates and adjudicates complaints alleging violations of the Code of Ethics; maintains files on more than 6,000 Financial Disclosure Statements per year; issues advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educates public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

Agency Objectives

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensure greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

The Budget

Rhode Island Ethics Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	797,836	993,415	1,099,741	1,378,721
Operating Supplies and Expenses	169,883	157,732	162,195	156,275
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$967,719	\$1,151,147	\$1,261,936	\$1,534,996
Capital Purchases and Equipment	1,515	4,973	11,295	8,295
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$969,234	\$1,156,120	\$1,273,231	\$1,543,291
Expenditures By Funds				
General Revenue	969,234	1,156,120	1,273,231	1,543,291
Total Expenditures	\$969,234	\$1,156,120	\$1,273,231	\$1,543,291
FTE Authorization	12.0	12.0	12.0	14.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	55.5%	58.0%	58.0%	58.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Percentage of Investigations Completed within 180 Days of Filing	93.0%	100.0%	90.0%	90.0%
The Percentage of Advisory Opinion Requests Responded to within 30 Days of Receipt	26.0%	49.0%	50.0%	66.0%

The Agency

Office of the Governor

Agency Operations

The Office of the Governor is established under the Rhode Island Constitution as one of the general offices and is subject to election by the voters of the state. The Office of the Governor is responsible for the enactment of reform in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Governor's Office coordinates the services of a staff of professionals to include the Executive Counsel Office, Business Administrative Services, Policy Office, Legislative Affairs Office, Media Office, Constituent Affairs Office, and Municipal Affairs/Community Relations Office.

Governor Donald L. Carcieri was inaugurated on January 7, 2003 and re-elected in November 2006. Governor Carcieri has initiated the Fiscal Fitness Program to review and evaluate the proficiency of all RI State agencies to result in a fiscally sound budget for the State of Rhode Island.

Agency Objectives

To fulfill all responsibilities and duties in accordance with the Rhode Island Constitution and Laws of the State of Rhode Island.

To monitor all federal legislation and the federal budget for impact upon Rhode Island.

To process legislative and regulatory opportunities for the State of Rhode Island.

To obtain maximum federal funding for the State of Rhode Island.

To initiate reform in both government and economy for the betterment of all Rhode Island citizens.

Statutory History

Each Administration creates its own Office of the Governor. The first Governor's Office of the colony of Rhode Island was authorized by the Charter of 1663, with Benedict Arnold as its first Governor. Executive Order 91-27, signed August 2, 1991, reorganized the Executive Department to include nine divisions. The Governor's Office is one of these divisions. Under Article IX, Section 1 of the Constitution of the State of Rhode Island, the chief executive power of the State rests upon the elected Governor. Article IX, Section 2, enumerates Executive Power such that the Governor shall take care that the laws of the State be faithfully executed; Article IX, Section 3, that the Governor shall be the captain general and commander in chief of the military and naval forces of the State; Article IX, Section 4, that the Governor shall have the power to grant reprieves; Article IX, Section 5, that the Governor has the power to fill vacancies not otherwise provided by law; Article IX, Section 13, that the Governor may grant pardons; Article IX, Section 14, that the Governor has the power to approve or veto legislation; and Article IX, Section 15, that the Governor shall have prepared and presented to the General Assembly an annual state budget.

The Budget

Office of the Governor

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,980,047	4,496,936	4,363,599	4,588,025
Operating Supplies and Expenses	396,211	417,445	399,298	399,298
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	4,376,258	4,914,381	4,762,897	4,987,323
Capital Purchases and Equipment	(13,293)	15,579	7,500	7,500
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	4,362,965	4,929,960	4,770,397	4,994,823
Expenditures By Funds				
General Revenue	4,362,965	4,763,177	4,681,601	4,899,774
Restricted Receipts	-	117,777	-	-
Other Funds	-	49,006	88,796	95,049
Total Expenditures	\$4,362,965	\$4,929,960	\$4,770,397	\$4,994,823
FTE Authorization	47.5	49.5	46.0	46.0
Agency Measures				
Minorities as a Percentage of the Workforce	14.6%	18.0%	15.0%	15.0%
Females as a Percentage of the Workforce	62.0%	62.0%	51.0%	51.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures	NA	NA	NA	NA

The Agency

Public Utilities Commission

Agency Operations

The Public Utilities Commission comprises two distinct regulatory bodies: an authorized five-member Commission and the Division of Public Utilities and Carriers (Division). The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold investigations and hearings involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of ferry boats, gas, electric distribution, water and telephone public utilities, the control of railroad grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapters 42-98.

The division, which is headed by an Administrator, who is not a Commissioner, exercises the jurisdiction, supervision, powers and duties not specifically assigned to the commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the commission governing the conduct and charges of public utilities. The division has exclusive jurisdiction over the rates, tariffs, tolls and charges, and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to Chapters 39-12, 39-13, 39-14 and 39-14.1. Additionally, the division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; registers all non-regulated power producers; and has independent regulatory authority over the transactions between public utilities and affiliates; and all public utility equity and debt issuances.

Agency Objectives

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; and cooperate with other states and federal government agencies to coordinate efforts to meet the other previously-stated objectives.

Statutory History

The Public Utilities Commission and its predecessor agency, the Rhode Island Railroad Commission, have been regulating utilities in this state since 1839. The Railroad Commission was later abolished by an act of the legislature, leading to the creation of the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of the Administrator of the division and Chairperson of the commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers. RIGL 39-1-14 added two more commissioners effective January 1, 2004. These appointments are yet to take effect.

The Budget

Public Utilities Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	4,043,567	4,371,584	5,855,065	6,057,273
Operating Supplies and Expenses	715,346	753,183	853,715	703,937
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	336	336	337	337
Subtotal: Operating Expenditures	\$4,759,249	\$5,125,103	\$6,709,117	\$6,761,547
Capital Purchases and Equipment	58,581	54,118	337,250	339,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$4,817,830	\$5,179,221	\$7,046,367	\$7,100,547
Expenditures By Funds				
General Revenue	628,341	656,682	737,811	665,706
Federal Funds	56,367	76,231	99,853	100,124
Restricted Receipts	4,133,122	4,446,308	6,208,703	6,334,717
Total Expenditures	\$4,817,830	\$5,179,221	\$7,046,367	\$7,100,547
FTE Authorization	46.0	46.0	45.7	45.7
Agency Measures				
Minorities as a Percentage of the Workforce	14.6%	11.1%	11.1%	11.1%
Females as a Percentage of the Workforce	37.2%	35.5%	35.5%	35.5%
Persons with Disabilities as a Percentage of the Workforce	2.3%	2.2%	2.2%	2.2%
Program Measures				
Percentage of Consumer Services Offered that Meet Completion Schedules	88.0%	89.0%	89.0%	89.0%
Percentage of Formal Written Reports of Motor Carrier Applications Completed within 60 Business Days of Filing	94.0%	94.0%	92.0%	92.0%

The Agency

Rhode Island Commission on Women

Agency Operations

The Rhode Island Commission on Women was established by the General Assembly to improve opportunities for women and girls to achieve equity in all areas of life. The commission studies and makes recommendations for improvements, including legislative, regulatory, and administrative actions and issues related to equal rights for women. The commission works in cooperation with other governmental and private organizations to promote equity in areas including, but not limited to education, health, economic development, employment, legal rights, political participation and the quality of individual and family.

The commission consists of 26 members, including three each from the House and Senate, 12 public members appointed by the Governor, and eight members appointed by the State agency directors. State agency participation may vary from year to year, depending on identification of priorities by the commissioners. The commission has standing and ad hoc committees, the membership of which consists of appointed commissioners and numerous volunteers dedicated to the rights of women and girls.

Agency Objectives

To advise and submit recommendations to the Governor and the General Assembly on issues relating to women and girls;

To gather and disseminate information to women and girls and/or the general public on issues relating to women and girls;

To develop and/or support programs or services for women and girls consistent with the purpose of the commission;

To collaborate with concerned organizations, groups and State departments and agencies on issues of common concern;

To educate and encourage women to exercise the right to vote. To encourage candidacy for public office and promote the appointment of qualified women to boards, commissions and governmental positions at all levels;

To advise legislators by evaluating, monitoring and proposing Federal and State legislation promoting equity for women and girls.

Statutory History

In 1970 the General Assembly established a nonpartisan organization designated the Permanent Advisory Commission on Women in Rhode Island. After 22 years of status as an advisory commission, in 1992 the General Assembly amended the General Laws of Rhode Island (Title 42, Chapter 119) to restructure the membership of the Commission and to change its status from an advisory body to an agency of Rhode Island Government charged with policy and action responsibilities.

The Budget

Rhode Island Commission on Women

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	67,807	77,543	86,158	95,498
Operating Supplies and Expenses	9,805	10,195	10,865	10,705
Aid To Local Units Of Government	1,875	-	-	-
Assistance, Grants and Benefits	-	-	2,000	2,000
Subtotal: Operating Expenditures	\$79,487	\$87,738	\$99,023	\$108,203
Capital Purchases and Equipment	4,254	1,703	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$83,741	\$89,441	\$99,023	\$108,203
Expenditures By Funds				
General Revenue	83,741	89,441	99,023	108,203
Total Expenditures	\$83,741	\$89,441	\$99,023	\$108,203
FTE Authorization	1.0	1.0	1.0	1.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Annual Increase of Community Outreach Work Products as a Percentage of Baseline Year	133.0%	208.0%	140.0%	145.0%
Contacts Made to the RI Comm on Women's Website as a Percentage of Baseline Year	98.9%	62.0%	125.0%	130.0%

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Human Services

Office of Health and Human Services

Department of Children, Youth and Families

- Central Management
- Children's Behavioral Health Services
- Juvenile Correctional Services
- Child Welfare
- Higher Education Incentive Grants

Department of Elderly Affairs

Department of Health

- Central Management
- State Medical Examiner
- Family Health
- Health Services Regulation
- Environmental Health
- Health Laboratories
- Disease Prevention and Control

Department of Human Services

- Central Management
- Child Support Enforcement
- Individual and Family Support
- Veterans' Affairs
- Health Care Quality, Financing and Purchasing
- Medical Benefits
- Supplemental Security Income
- Family Independence
- State Funded Programs

Department of Mental Health, Retardation, & Hospitals

- Central Management
- Hospitals and Community System Support
- Services for the Developmentally Disabled
- Integrated Mental Health Services
- Hospitals and Community Rehabilitative Services
- Substance Abuse
- Internal Service Programs

Office of the Child Advocate

- Commission on the Deaf and Hard of Hearing
- Rhode Island Developmental Disabilities Council
- Governor's Commission on Disabilities
- Commission for Human Rights
- Office of the Mental Health Advocate

Human Services Function Expenditures

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Expenditure by Object					
Personnel	380,060,494	413,427,631	432,958,173	430,542,789	449,844,980
Other State Operations	99,684,632	105,239,085	70,034,627	75,186,168	78,285,153
Aid to Local Units of Government	-	11,413,435	28,291	12,586,724	10,907,038
Assistance, Grants, and Benefits	2,009,515,747	2,081,573,070	2,054,193,420	2,044,840,135	2,136,199,689
Subtotal: Operating Expenditures	\$2,489,260,873	\$2,611,653,221	\$2,557,214,511	\$2,563,155,816	\$2,675,236,860
Capital Improvements	10,843,885	4,265,989	11,371,807	11,617,231	14,263,244
Capital Debt Service	130,935	-	276,244	-	-
Operating Transfers	8,454,390	7,648,261	8,179,881	8,911,183	8,791,865
Total Expenditures	\$2,508,690,083	\$2,623,567,471	\$2,577,042,443	\$2,583,684,230	\$2,698,291,969
Expenditures by Funds					
General Revenue	1,138,181,815	1,200,739,177	1,193,413,802	1,192,788,891	1,246,825,701
Federal Funds	1,347,403,837	1,395,345,913	1,343,468,473	1,348,171,638	1,397,520,228
Restricted Receipts	15,551,722	18,600,933	22,527,207	31,615,481	38,999,864
Other Funds	7,552,709	8,881,448	17,632,961	11,108,220	14,946,176
Total Expenditures	\$2,508,690,083	\$2,623,567,471	\$2,577,042,443	\$2,583,684,230	\$2,698,291,969
FTE Authorization	4,498.1	4,603.7	4,274.0	4,278.5	4,040.3

The Agency

Office of Health and Human Services

Agency Operations

The Executive Office of Health and Human Services (EOHHS) was created by statute during the 2006 Legislative session. The office was initially created by Executive Order in 2004 and had operated under the parameters of that order and a successive order (signed in 2005 to clarify the role of the office) for just over two years before being granted statutory authority.

The EOHHS was established for the purpose of coordinating the delivery and financing of health and human service programs touching virtually all Rhode Islanders and providing direct services to over 248,000. The cost of providing these services is over \$2.7 billion per year, or over forty-two percent of the entire state budget, and includes programs administered by the state's five health and human service departments (Health; Human Services; Children, Youth and Families; Elderly Affairs; and, Mental Health, Retardation and Hospitals). The office is uniquely positioned to view health and social services from a more global perspective, enhancing its efforts to identify areas of duplication, overlap and opportunity.

The EOHHS serves as a mechanism for formalizing inter-departmental coordination and has taken steps to improve administrative efficiencies as well as the quality of health and human services policy and planning, budgeting and administration with the overall goal of improving service delivery across the social services system.

In addition, the office is responsible for coordinating the administration and financing of health care benefits, services and programs including those authorized by the Medicaid State Plan under Title XIX of the United States Social Security Act. This would include: serving as the Governor's chief advisor and liaison to federal policymakers on Medicaid issues and as the principal point of contact in the State on all related matters; reviewing any new departmental waiver requests and renewals as well as any initiatives and/or proposals requiring Medicaid State Plan amendments that may have an impact on Medicaid state-funded health care programs and, preparing an annual comprehensive overview of **all** Medicaid expenditures for the Governor and General Assembly.

Agency Objectives

To coordinate state health and human services to improve efficiencies and to enhance access and service delivery for those Rhode Islanders families, children, elderly, adults, adults with disabilities and others in need of assistance.

Statutory History

Title 42 Chapter 7.2 of the Rhode Island General Laws established the Executive Office of Health and Human Services within the Executive Branch of state government.

The Budget

Office of Health and Human Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	3,292,204	6,540,349
Operating Supplies and Expenses	-	-	11,452	37,202
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$0	\$0	\$3,303,656	\$6,577,551
Capital Purchases and Equipment	-	-	-	5,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$0	\$3,303,656	\$6,582,551
 Expenditures By Funds				
General Revenue	-	-	297,018	310,738
Federal Funds	-	-	2,590,789	5,826,265
Restricted Reciepts	-	-	415,849	445,548
Total Expenditures	\$0	\$0	\$3,303,656	\$6,582,551
 FTE Authorization				
	-	-	5.0	5.0
 Agency Measures				
Minorities as a Percentage of Workforce	NA	NA	-	-
Females as a Percentage of Workforce	NA	NA	80.0%	80.0%
Persons with Disabilities as a Percentage of the Workforce	NA	NA	-	-

The Agency

Department of Children, Youth and Families

Agency Operations

The Department of Children, Youth and Families is charged to mobilize the human, physical and financial resources available to plan, develop, implement, and evaluate a comprehensive and integrated statewide program of services designed to ensure the opportunity for children to reach their full potential.

It is the department's philosophy that parents have the primary responsibility for meeting the needs of their children. When parents are unable to do so, all services to the child and family are premised upon the safety and best interest of the child and the promotion of community-based responsibility/involvement in servicing its own children/families. When departmental support is necessary and appropriate, services are provided in the least intrusive, least restrictive manner possible.

The department addresses its responsibilities through its Child Welfare, Children's Behavioral Health and Education, Juvenile Correctional Services, and Higher Education Incentive Grant Programs, which are guided and assisted by a leadership support program identified as Central Management.

The Child Welfare Program consists of child protective investigations, community-based services, and residential services delivered to dependent, neglected or abused children or children at risk of abuse or neglect.

The Children's Behavioral Health and Education Program designs, implements and monitors a continuum of therapeutic services to seriously emotionally disturbed children and youth.

The Juvenile Correctional Services Program provides services to youngsters who have been adjudicated as wayward or delinquent by the Family Court and determined to be in need of placement at the Training School for Youth, placement in a less structured community residential setting, or supervision in the community while residing at home.

The Higher Education Incentive Grant is designed to encourage youth to pursue educational goals beyond high school and to provide added incentive to achieve a high school diploma.

Statutory History

In 1979 the General Assembly enacted Title 42 Chapter 72 of the Rhode Island General Laws. This legislation created the Department for Children and Their Families effective January 1, 1980. The enabling legislation transferred to the department certain functions from the Departments of Human Services, Corrections, Mental Health, Retardation and Hospitals, and Community Affairs. In 1991 the General Assembly amended Title 42 Chapter 72 to read "Department of Children, Youth and Families."

The Budget

Department of Children, Youth and Families

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Program				
Central Management	11,597,053	11,931,357	11,173,211	10,367,440
Children's Behavioral Health Services	58,524,782	68,157,923	78,508,098	32,654,362
Juvenile Correctional Services	31,480,889	34,861,085	34,199,048	27,542,614
Child Welfare	161,310,894	170,398,884	181,708,188	144,818,858
Higher Education Incentive Grants	200,000	200,000	200,000	200,000
Total Expenditures	\$263,113,618	\$285,549,249	\$305,788,545	\$215,583,274
Expenditures By Object				
Personnel	74,625,263	79,182,490	81,482,271	84,238,705
Operating Supplies and Expenses	8,579,249	9,512,735	7,732,262	7,594,954
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	179,601,876	195,945,169	215,981,009	121,680,905
Subtotal: Operating Expenditures	\$262,806,388	284,640,394	\$305,195,542	\$213,514,564
Capital Purchases and Equipment	227,617	908,855	593,003	2,068,710
Debt Service	79,613	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$263,113,618	\$285,549,249	\$305,788,545	\$215,583,274
Expenditures By Funds				
General Revenue	155,364,630	167,965,202	181,378,754	137,351,887
Federal Funds	106,338,985	114,995,327	121,926,350	74,712,446
Restricted Receipts	1,409,503	2,033,285	2,218,781	1,753,941
Other Funds	500	555,435	264,660	1,765,000
Total Expenditures	\$263,113,618	\$285,549,249	\$305,788,545	\$215,583,274

FTE Authorization	849.8	849.8	789.0	810.0
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Agency Measures

Minorities as a Percentage of the Workforce	13.2%	13.2%	13.5%	14.2%
Females as a Percentage of the Workforce	64.2%	64.2%	64.2%	65.1%
Persons with Disabilities as a Percentage of the Workforce	5.6%	5.6%	5.6%	5.0%

The Program

Department of Children, Youth and Families Central Management

Program Operations

The Central Management Program consists of a variety of sub-programs including executive functions and legal and administrative services.

The executive functions include administrative and operational direction, planning, management and evaluation of overall departmental operations.

Administrative services include financial management, personnel, staff development and training, management information systems, and licensing and regulation. A centralized on-line database system, the Statewide Automated Child Welfare Information System (SACWIS), supports all investigatory and case management functions of the department, and other critical administrative functions.

Legal services includes departmental representation in all Family Court proceedings relating to child abuse and neglect, commitment trials and termination of parental rights trials, as well as civil litigation in other state and federal courts, and administrative and labor tribunals. This service also provides on-going legal consultation to the Director, administrators and line staff involved in Family Court proceedings.

Program Objectives

Provide leadership and direction in the development and implementation of an efficient and productive system of service delivery to Rhode Island's children and families.

Provide consistent legal representation and consultation to all departmental staff involved in court proceedings.

Provide comprehensive, integrated management services to assure fiscal integrity and accurate data collection and interpretation.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families.

The Budget

Department of Children, Youth and Families Central Management

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Expenditures By Subprogram				
Support Services	4,827,802	5,438,300	5,061,029	3,922,879
Office of Budget	1,650,047	1,531,317	1,547,580	1,752,405
Information Systems	4,278,146	3,982,064	3,485,244	3,537,502
Office of the Director	841,058	979,676	1,079,358	1,154,654
Total Expenditures	\$11,597,053	\$11,931,357	\$11,173,211	\$10,367,440
Expenditures By Object				
Personnel	9,275,771	9,380,972	9,500,754	9,870,321
Operating Supplies and Expenses	1,854,817	2,079,915	1,381,810	1,422,874
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	341,814	213,646	1,147	(1,215,255)
Subtotal: Operating Expenditures	\$11,472,402	\$11,674,533	\$10,883,711	\$10,077,940
Capital Purchases and Equipment	124,803	256,824	289,500	289,500
Debt Service	(152)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$11,597,053	\$11,931,357	\$11,173,211	\$10,367,440
Expenditures By Funds				
General Revenue	8,238,181	8,447,079	7,125,792	6,018,148
Federal Funds	3,358,872	3,484,278	4,047,419	4,349,292
Total Expenditures	11,597,053	\$11,931,357	\$11,173,211	\$10,367,440
Program Measures	NA	NA	NA	NA

The Program

Department of Children, Youth and Families Children's Behavioral Health Services

Program Operations

The Children's Behavioral Health and Education Services Program is primarily responsible for the design, implementation and monitoring of a continuum of therapeutic services to seriously emotionally disturbed children, youth and families. Children placed in the custody of the department due to abuse, neglect or dependency, as well as children in the custody of their parents or legal guardians are eligible for these services. Most of these services, with the exception of psychiatric hospitalization, are provided by non-profit agencies and delivered in community-based settings. Coordination and local planning is facilitated through the Child and Adolescent Services System (Project/CASSP) which sponsors a Local Coordinating Council (LCC) representing all child/youth and serving agencies within each region of the State. Each LCC supports planning teams which coordinate children's behavioral health services and provides funding for non-traditional services on a case-by-case basis. Children's Intensive Services provide a comprehensive array of community-based mental health services designed to reduce the need for inpatient care and to support severely emotionally disturbed children and their families in community settings.

Project HOPE, an extension of the CASSP/LCC system of care, was a federally funded program until September of 2005. This statewide initiative that serves youth, who have serious emotional disturbances and are transitioning from the Rhode Island Training School for Youth (RITS) back to their own communities, is now state funded. A full range of project services including mental health services, case management, vocational training, family service coordinating and wrap-around are available in the four catchment areas of Providence, Pawtucket/Central Falls, Northern Rhode Island and Kent County.

In addition, the division is partnering with Contracts and Program Development and the community mental health centers, in convening Care Management Teams. These teams, composed of DCYF staff, community partners and parents, utilize the continuum of resources from community-based to residential treatment services in planning for the least-restrictive appropriate level of care for children with serious treatment needs.

Program Objectives

Design and implement a comprehensive array of therapeutic services to meet the needs of seriously emotionally disturbed children and youth; expand and enhance the capacity of local communities and regions to meet these needs within their geographic areas; provide services in the least restrictive environment utilizing inpatient psychiatric care and residential treatment only until a child can return to a community-based service.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Law defines the functions of the Department of Children, Youth and Families; Title 40.1 Chapter 5 describes the provisions relating to mental health laws. The act creating the LCC sub-program was 98-H-8122, Substitute A.

The Budget

Department of Children, Youth and Families Children's Behavioral Health Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Children's Mental Health	31,871,425	55,109,018	64,229,613	27,888,024
Psychiatric Services	22,429,198	8,826,126	9,543,129	-
Local Coordinating Council	2,472,056	2,727,484	3,170,775	3,239,961
CBH Educational Services	1,752,103	1,495,295	1,564,581	1,526,377
Total Expenditures	\$58,524,782	\$68,157,923	\$78,508,098	\$32,654,362
Expenditures By Object				
Personnel	3,382,711	3,760,083	3,009,037	3,176,711
Operating Supplies and Expenses	118,488	134,260	174,465	159,965
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	54,988,708	64,227,978	75,207,522	29,303,476
Subtotal: Operating Expenditures	\$58,489,907	\$68,122,321	\$78,391,024	\$32,640,152
Capital Purchases and Equipment	34,875	35,602	117,074	14,210
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$58,524,782	\$68,157,923	\$78,508,098	\$32,654,362
Expenditures By Funds				
General Revenue	27,373,536	33,832,952	39,712,368	19,093,004
Federal Funds	31,150,746	34,324,971	38,716,070	13,561,358
Other Funds	500	-	79,660	-
Total Expenditures	\$58,524,782	\$68,157,923	\$78,508,098	\$32,654,362

Program Measures

Percentage of Children Admitted into a Psychiatric Hospital and Remain for 21 Days or Less	76.1%	78.0%	78.5%	78.8%
Percentage of Children/Youth Readmitted into a Psychiatric Hospital within 60 Days of Discharge	10.5%	16.0%	15.0%	14.5%

The Program

Department of Children, Youth and Families Juvenile Correctional Services

Program Operations

The Juvenile Correctional Services Program consists of three sub-programs providing varying levels of service to wayward and delinquent youngsters.

Institutional programming is conducted at the Rhode Island Training School for Youth. The facility provides a secure, structured residential program for adjudicated delinquents and those awaiting trial on serious offenses. Residents undergo an assessment at intake into the facility and are programmed accordingly. Services include case management, education, recreation and a selection of contracted or purchased services (e.g. medical, drug and alcohol counseling, violent offender/sex offender therapy).

Community-based programming (Probation and Parole) includes both residential and non-residential services. Non-residential services are provided directly by departmental staff as well as through purchase of services or contracts. Community-based residential services are available for adjudicated youngsters who require residential care but not a setting as secure and structured as the Training School. These services are provided via contractual and purchase of service arrangements.

Educational services are provided to all Rhode Island Training School residents, both detained and adjudicated. Residents are grouped by academic levels and/or individual education plan specifications. The services adhere to all Rhode Island Department of Education regulations.

Program Objectives

Provide secure and structured residential programming for adjudicated juvenile offenders and those awaiting trial.

Increase the use of community-based programming thereby limiting the need for long-term placement in the Training School.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families; Title 42 Chapter 56 provides for the Training School for Youth; Title 14 Chapter 1 relates to the power of the court to order disposition of a juvenile.

The Budget

Department of Children, Youth and Families Juvenile Correctional Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Institutional Services	16,437,547	18,282,835	17,286,379	16,220,863
Juvenile Probation & Parole	10,778,649	12,415,236	12,558,443	7,777,118
RITS - Education Program	4,264,693	4,163,014	4,354,226	3,544,633
Total Expenditures	\$31,480,889	\$34,861,085	\$34,199,048	\$27,542,614
Expenditures By Object				
Personnel	22,503,799	23,816,946	23,769,068	22,891,570
Operating Supplies and Expenses	1,909,753	2,043,584	1,275,117	1,023,119
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	7,002,596	8,453,866	9,153,434	2,927,925
Subtotal: Operating Expenditures	\$31,416,148	\$34,314,396	\$34,197,619	\$26,842,614
Capital Purchases and Equipment	61,384	546,689	1,429	700,000
Debt Service	3,357	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$31,480,889	\$34,861,085	\$34,199,048	\$27,542,614
Expenditures By Funds				
General Revenue	28,419,693	30,773,296	30,635,773	26,225,777
Federal Funds	3,016,902	3,406,364	3,059,573	610,837
Restricted Receipts	44,294	189,153	503,702	6,000
Other Funds	-	492,272	-	700,000
Total Expenditures	\$31,480,889	\$34,861,085	\$34,199,048	\$27,542,614

Program Measures

Percentage of Adjudicated and Detained

Training School Youth Passing the General
Education Development Exam

82.0%	87.5%	83.0%	83.3%
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Percentage of Adjudicated Training

School Youth Admitted During the Fiscal Year
After Release within the Prior 12 months

29.9%	42.7%	42.2%	39.9%
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The Program

Department of Children, Youth and Families Child Welfare

Program Operations

The Child Welfare Program consists of several major sub-programs including Child Protective Services, Family Services, Community-Based Services and Residential Services.

Child Protective Services is responsible for receiving and investigating allegations of child abuse/neglect throughout Rhode Island. This division operates 24-hours a day, seven days a week. When allegations of abuse or neglect are substantiated, the investigator must determine what actions are necessary in order to assure the safety and well being of the child. Sometimes it is necessary to remove a child from the home, but much more frequently services are provided to the child and family in order to bring the family to a higher level of functioning. Sometimes these services are provided by department social workers who operate out of one of four regional offices and sometimes by private, non-profit community agencies.

When a child requires out of home care, every effort is made to place him/her with relatives or others known to him/her. When a child requires a level of care beyond foster care, there is an array of services available from community-based group homes to intensive residential treatment.

The department also supports prevention and early intervention programs to address the issues and problems which lead to child abuse/neglect and to provide services so that family functioning does not deteriorate to a point where DCYF intervention becomes necessary.

Program Objectives

Protect children through the timely investigation of child abuse and neglect complaints.

Maintain the family unit when possible through the provision of services, which improve family functioning.

Aggressively pursue permanency through the provision of reunification and adoption services for children who have to be removed from their home.

Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families; Title 40 Chapter 11 relates to abused and neglected children; Title 14 Chapter 1 relates to proceedings in Family Court.

The Budget

Department of Children, Youth and Families Child Welfare

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Protective Services	21,681,821	20,567,437	18,323,662	19,994,767
Family Services	13,825,908	14,245,669	16,801,844	17,267,317
Community Services	8,769,112	11,558,779	13,071,085	13,151,197
Prevention Services	2,514,890	2,966,783	697,921	742,921
Board & Care	86,963,092	93,168,966	103,714,012	60,928,085
Foster Care	27,556,071	27,891,250	29,099,664	32,734,571
Total Expenditures	\$161,310,894	\$170,398,884	\$181,708,188	\$144,818,858
Expenditures By Object				
Personnel	39,462,982	42,224,489	45,203,412	48,300,103
Operating Supplies and Expenses	4,696,191	5,254,976	4,900,870	4,988,996
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	117,068,758	122,849,679	131,418,906	90,464,759
Subtotal: Operating Expenditures	\$161,227,931	\$170,329,144	\$181,523,188	\$143,753,858
Capital Purchases and Equipment	6,555	69,740	185,000	1,065,000
Debt Service	76,408	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$161,310,894	\$170,398,884	\$181,708,188	\$144,818,858
Expenditures By Funds				
General Revenue	91,133,220	94,711,875	103,704,821	85,814,958
Federal Funds	68,812,465	73,779,714	76,103,288	56,190,959
Restricted Receipts	1,365,209	1,844,132	1,715,079	1,747,941
Other Funds	-	63,163	185,000	1,065,000
Total Expenditures	\$161,310,894	\$170,398,884	\$181,708,188	\$144,818,858
Program Measures				
Percentage of Children in Foster Care for Less than 12 Months Who Have Experienced Two or Fewer Placements	79.0%	86.1%	86.4%	86.7%
Percentage of Children Experiencing a Recurrence of Abuse and/or Neglect	11.1%	8.9%	8.4%	7.9%
Percentage of Children Reunified with Parents or Caretaker within 12 Months	71.0%	73.7%	74.0%	74.3%
Percentage of Children Re-entering Foster Care within 12 months of a Previous Placement	20.0%	17.3%	16.8%	16.3%
Percentage of Children Adopted within 24 Months of Removal from Home	50.3%	49.3%	49.8%	49.8%

The Program

Department of Children, Youth and Families Higher Education Incentive Grants

Program Operations

The Department of Children, Youth and Families is responsible for assisting older youth under its care and custody to become self-sufficient and be prepared for transition to independence.

One important means of assisting youth in becoming independent is to encourage education to the highest degree possible. Higher Education Incentive Grants have as their purpose to provide added incentive to achieve a high school diploma and to encourage youth to pursue educational goals beyond high school.

Program Objectives

The main objective is to encourage and assist more youngsters in departmental care to consider and perceive higher education as a viable option, and to allow them the same opportunities that are afforded youth from other families.

Statutory History

Chapter 511, PL 99-S 282, Substitute A amended Section 1, Title 42 provides funds to supplement financial aid to youth who are in the department's custody and who have been accepted at the University of Rhode Island, Community College of Rhode Island or Rhode Island College, to allow these students to pay essential educational costs without loans.

The Budget

Department of Children, Youth and Families Higher Education Incentive Grants

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	-	-
Operating Supplies and Expenses	-	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	200,000	200,000	200,000	200,000
Subtotal: Operating Expenditures	\$200,000	\$200,000	\$200,000	\$200,000
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$200,000	\$200,000	\$200,000	\$200,000
Expenditures By Funds				
General Revenue	200,000	200,000	200,000	200,000
Total Expenditures	200,000	200,000	\$200,000	\$200,000
Program Measures	NA	NA	NA	NA

The Agency

Department of Elderly Affairs

Agency Operations

The Department of Elderly Affairs is the designated State Agency on Aging for Rhode Island. As such, the department is responsible for the development and implementation of a comprehensive, coordinated system of community-based care for persons sixty years of age and older. The department is headed by a director who is appointed by the Governor. Departmental responsibilities include developing and implementing a State Plan on Aging and serving as the state's Single Planning and Service Area on Aging under the federal Older Americans Act. The primary mission of the department is to preserve the independence, dignity and capacity for choice of seniors, their families and caregivers and adults with disabilities. The department is the lead State Agency Advocate to protect and preserve the rights of older individuals, operates programs designed to assist seniors in remaining independent in the community and administers and funds a wide range of community activities and services. Most services are provided through a strong and coordinated community-based network of senior centers, adult day centers (which the department has statutory responsibility to license), nutrition programs, paratransit program, center on diversity, senior housing and assisted care facilities, home care, advocacy groups and others. The department's grants management and program staffs coordinate the efforts and activities of the State Aging Network through the allocation and monitoring of federal and state funds.

Programs for older Rhode Islanders, their families, caregivers and adults with disabilities include: customer information referral and assistance services designed to empower the best choices to ensure a senior's health and well-being; a Community Information Specialist network, pharmaceutical assistance, health promotion/disease prevention, health insurance counseling, protective services for community elders, ombudsman program for elders living in nursing homes or assisted living facilities, a volunteer guardianship program, legal counseling, heating assistance, housing planning, advocacy and security assistance, home based care, Senior Companion Program, case management services, respite care, a National Family Caregiver Support Program, Alzheimer support programs and an Older Workers Program, along with a flourishing Aging and Disability Resource Center ("the Point").

Agency Objectives

As outlined in our State Plan on Aging under the Older Americans Act, (OAA) and in the relevant laws and policies of the State of Rhode Island, the department is dedicated to providing leadership and advocacy in emerging elder issues. The department provides services that are consumer focused, high quality and easily accessible. The department intends to implement a comprehensive coordinated system of elder service delivery that expands the options for community based care for all older Rhode Islanders, their families, caregivers and adults with disabilities.

Statutory History

The Department of Elderly Affairs was created in 1977. R.I.G.L. 42-66 established and provides for the organization and functions of the department. Federal authority rests under the Older Americans Act of 1965 as amended.

The Budget

Department of Elderly Affairs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Administrative Services	1,512,565	1,376,294	1,919,896	1,604,233
Program Services	29,780,211	34,206,046	33,230,842	34,037,398
RIPAE	10,743,854	8,857,180	2,665,596	2,771,654
Total Expenditures	\$42,036,630	\$44,439,520	\$37,816,334	\$38,413,285
Expenditures By Object				
Personnel	4,432,875	4,301,866	4,472,510	4,657,298
Operating Supplies and Expenses	10,956,892	9,321,305	2,813,439	2,910,455
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	18,778,418	23,148,484	22,050,344	21,885,059
Subtotal: Operating Expenditures	\$34,168,185	\$36,771,655	\$29,336,293	\$29,452,812
Capital Purchases and Equipment	13,927	19,604	98,239	697,989
Debt Service	-	-	-	-
Operating Transfers	7,854,518	7,648,261	8,381,802	8,262,484
Total Expenditures	\$42,036,630	\$44,439,520	\$37,816,334	\$38,413,285
Expenditures By Funds				
General Revenue	25,660,265	22,123,914	19,364,571	19,381,354
Federal Funds	11,769,152	15,196,099	13,101,374	13,056,931
Restricted Receipts	-	2,362,357	665,389	690,000
Other Funds	4,607,213	4,757,150	4,685,000	5,285,000
Total Expenditures	\$42,036,630	\$44,439,520	\$37,816,334	\$38,413,285
FTE Authorization	52.0	52.0	48.0	47.0
Agency Measures				
Minorities as a Percentage of the Workforce	8.0%	8.5%	6.5%	6.5%
Females as a Percentage of the Workforce	80.0%	85.1%	89.0%	89.0%
Persons with Disabilities as a Percentage of the Workforce	12.0%	12.0%	15.0%	15.0%
Program Measures				
Percentage of Elderly Abuse Involving the Same Victim	38.1%	38.5%	38.9%	39.3%
Self-Neglect Percentage of Reports Involving the Same Victim	25.0%	28.0%	31.0%	33.0%

The Agency

Department of Health

Agency Operations

“Safe and Healthy Lives in Safe and Healthy Communities”! All the programs and services of the Rhode Island Department of Health contribute to this one vision. It organizes and prioritizes the department’s response to threats to public health and measures its accomplishments.

The Department of Health embraces multi-faceted and broad-ranging public health responsibilities at the state and local level. Its mission is “to prevent disease and to protect and promote the health and safety of the people of Rhode Island.” To reach its objectives the department employs services and policies based on the science of public health epidemiology.

The Department of Health includes seven programs: Central Management, Office of the State Medical Examiner, Family Health, Health Services Regulation, Environmental Health, Health Laboratories, and Disease Prevention and Control.

Program Objectives

The Rhode Island Department of Health consists of eight “core functions”, including:

- Assure safe food and water supply; respond to emergencies
- Control infectious and communicable disease; promote health and control chronic disease, injury, and disabilities; assure positive pregnancy outcomes
- Monitor the health of the population and maintain a public health knowledge-base; assure health care quality and minimum standards, and competency of health facilities and professional licensees
- Assist high-risk populations to needed health services; develop insights through research and lead the development of health policy and planning

Statutory History

The Department of Health was established by Section 23-1-1 of the General Laws of Rhode Island. This section states that “the Department of Health shall take cognizance of the interests of life and health among the peoples of the state; shall make investigations into the causes of disease, the prevalence of epidemics and endemics among the people, the sources of mortality, the effect of localities, employments and all other conditions, ascertain the causes and the best means for the prevention and control of diseases or conditions detrimental to the public health, and adopt proper and expedient measures to prevent and control such diseases and conditions in the State. It shall publish and circulate, from time to time, such information as the Director may deem to be important and useful for diffusion among people of the State, and shall investigate, and give advice in relation to such subjects relating to public health as may be referred to it by the General Assembly or by the Governor when the General Assembly is not in session, or when requested by any city or town. The department shall adopt and promulgate such rules and regulations as it deems necessary, not inconsistent with law, to carry out the purposes of this section.”

The Budget

Department of Health

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Central Management	12,378,885	15,472,264	13,592,931	12,683,628
State Medical Examiner	1,968,642	2,041,726	2,293,545	2,298,542
Family Health	36,699,758	36,176,889	45,941,452	50,626,252
Health Services Regulation	9,539,333	10,774,435	11,742,700	11,873,167
Environmental Health	9,659,268	9,446,238	12,685,793	12,680,188
Health Laboratories	8,429,598	9,373,721	8,980,746	10,334,452
Disease Prevention and Control	26,256,400	24,901,949	24,879,388	23,712,314
Total Expenditures	\$104,931,884	\$108,187,222	\$120,116,555	\$124,208,543
Expenditures By Object				
Personnel	47,553,737	51,094,887	54,246,060	56,026,737
Operating Supplies and Expenses	19,853,209	21,794,942	29,350,329	35,143,888
Aid To Local Units Of Government	-	11,399,741	12,533,324	10,853,638
Assistance, Grants and Benefits	35,333,463	22,533,414	22,713,398	21,012,630
Subtotal: Operating Expenditures	\$102,740,409	\$106,822,984	\$118,843,111	\$123,036,893
Capital Purchases and Equipment	2,181,984	1,364,238	1,273,444	1,171,650
Debt Service	9,491	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$104,931,884	\$108,187,222	\$120,116,555	\$124,208,543
Expenditures By Funds				
General Revenue	29,859,395	37,324,533	34,417,579	34,204,438
Federal Funds	64,504,296	59,869,026	66,437,481	65,079,387
Restricted Receipts	10,530,235	10,972,382	19,226,042	24,896,042
Other Funds	37,958	21,281	35,453	28,676
Total Expenditures	\$104,931,884	\$108,187,222	\$120,116,555	\$124,208,543
FTE Authorization	497.9	499.4	465.6	457.7
Agency Measures				
Minorities as a Percentage of the Workforce	13.5%	13.5%	13.7%	14.0%
Females as a Percentage of the Workforce	65.6%	66.7%	67.0%	67.0%
Persons with Disabilities as a Percentage of the Workforce	1.2%	1.2%	1.2%	1.3%

The Program

Department of Health Central Management

Program Operations

Central Management includes three sub-programs: Executive Functions, Management Services, and Health Information and Communication. The Executive Function, headed by the Director of Health, provides overall direction for the department and coordinates operations across program lines to carry out statutory mandates. The director and senior management establish critical policy issues intended to guide the development of public health policies, programs, and services including assuring that the quality and access to health care services is maintained in the face of rapid change; developing and maintaining the knowledge base of public health and use information to improve health; enhancing community capacity and structure so that communities will improve their health; eliminating disparities in health among populations; and developing support for public health.

Management Services administers Budget and Finance, Personnel, Information Systems, and the Office of Vital Records. Budget and Finance prepares and executes the departmental budget and performs allocation control and cash management of all accounts. Budget and Finance is also responsible for purchasing and support services, which manages all purchasing and facility maintenance functions in the department. The Personnel Office implements all personnel actions and labor relations functions. Information Systems plans, evaluates, implements and manages data processing and electronic communications systems.

Health Information and Communication consists of five units: Health Statistics, Performance Measurement and Reporting, Communications, Minority Health, and Worksite Wellness. Health Statistics collects, analyzes, and reports administrative, survey, and special study data. Performance Measurement and Reporting collects, analyzes and publicly reports data reflecting the health care quality of licensed health care facilities and certified health plans. Communications disseminates health data and information to the public via the mass media including the Department of Health website. Minority Health provides staff support to the Minority Health Advisory Council and develops Community-Based Minority Health Promotion Centers. Worksite Wellness promotes worksite wellness in the Department of Health and in the community. Health Information and Communication is also responsible for the establishment of Year 2010 Health Objectives, and the monitoring of Hospital Community Benefits.

Program Objectives

Prevent disease; protect and promote the health and safety of the people of Rhode Island; maintain an effective and efficient system for management of the department; maintain a knowledge base for public health policy, planning and program development; and inform and educate the public concerning the health of the state population.

Statutory History

General authority is provided in Title 23 Chapter 1 of the Rhode Island General Laws.

The Budget

Department of Health Central Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Executive Functions	517,738	2,173,154	4,379,661	2,870,986
Management Services	9,268,678	10,486,278	5,702,012	6,320,225
Health Policy and Planning	2,592,469	2,812,832	3,511,258	3,492,417
Total Expenditures	\$12,378,885	\$15,472,264	\$13,592,931	\$12,683,628
Expenditures By Object				
Personnel	7,772,346	8,425,611	7,645,990	8,492,363
Operating Supplies and Expenses	1,471,087	1,581,979	2,572,150	2,200,455
Aid To Local Units Of Government	-	1,510,332	287,403	300,000
Assistance, Grants and Benefits	2,837,844	3,708,004	2,847,747	1,543,310
Subtotal: Operating Expenditures	\$12,081,277	\$15,225,926	\$13,353,290	\$12,536,128
Capital Purchases and Equipment	297,608	246,338	239,641	147,500
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$12,378,885	\$15,472,264	\$13,592,931	\$12,683,628
Expenditures By Funds				
General Revenue	4,692,667	7,743,238	5,108,167	4,110,401
Federal Funds	4,074,442	3,696,385	4,923,519	4,856,361
Restricted Receipts	3,611,599	4,032,641	3,553,245	3,716,866
Other Funds	177	-	8,000	-
Total Expenditures	\$12,378,885	\$15,472,264	\$13,592,931	\$12,683,628
Program Measures	NA	NA	NA	NA

The Program

Department of Health State Medical Examiner

Program Operations

The Office of the State Medical Examiner investigates causes of death that involve injury, are sudden, unexpected, and unexplained; or causes of death that may, in any way, endanger public health and safety. Investigations cover all known or suspected homicides, suicides, accidents, sudden infant deaths, drug-related deaths, and medically unattended deaths. Investigation techniques include scene investigation, study of medical and police records, autopsy, body inspection, bodily fluid investigation and other tests as deemed necessary. The Medical Examiner's Office keeps complete records on all cases and provides expert testimony on criminal cases for the state law enforcement agencies and the courts. The Office of the State Medical Examiner is required by statute to approve all cremations performed in Rhode Island.

Other functions of the office include research in forensic pathology; education and training of resident and fellow physicians; training law enforcement personnel in techniques of homicide investigations; and disseminating public information about causes of death in the State.

Program Objectives

- Investigate and determine the manner and circumstance of death and to properly and accurately certify the cause of death in unnatural cases
- Promote safety and reduce untimely deaths through research and education
- Maintain a knowledge base for public health and use information to improve health status
- Control infectious and communicable disease

Statutory History

The Office of the State Medical Examiners is authorized under Title 23 Chapter 4 of the Rhode Island General Laws.

The Budget

Department of Health State Medical Examiner

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,787,421	1,842,735	2,100,394	2,085,981
Operating Supplies and Expenses	166,387	194,762	193,151	162,561
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,953,808	\$2,037,497	\$2,293,545	\$2,248,542
Capital Purchases and Equipment	14,834	4,229	-	50,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,968,642	\$2,041,726	\$2,293,545	\$2,298,542
Expenditures By Funds				
General Revenue	1,828,165	1,897,475	2,158,127	2,156,986
Federal Funds	140,477	144,251	135,418	141,556
Total Expenditures	\$1,968,642	\$2,041,726	\$2,293,545	\$2,298,542
Program Measures	NA	NA	NA	NA

The Program

Department of Health Family Health

Program Operations

Family Health assesses and addresses the health and developmental needs of children and their families by developing comprehensive family-centered systems of care. Functions include development, coordination, and evaluation of children's and women's health programs within the Department of Health. The Maternal and Child Health sub-program includes the Children's Preventive Services and Adolescent and Young Adult Health Services, including Newborn Screening, Lead Poisoning Prevention, Childhood Immunization, Family Planning, Home Visiting Risk Response, and Men 2B Role Model Support Programs. The Children with Special Health Needs sub-program offers family-centered, community-based services for children with, or at risk of, chronic health conditions and disabilities, the Hearing Assessment, the Child Development Center, and the Disability and Health programs. The Women, Infants, and Children (WIC) sub-program provides supplemental nutritious foods and nutrition education for infants, young children, and pregnant women who are at medical or nutritional risk. Expert data, evaluation, and communications capacity, as well as a statewide public health tracking system through KIDSNET support all Family Health programs.

Program Objectives

- Assure the positive outcome of pregnancies and promote optimal child development
- Prevent death, disease, and disability among children
- Encourage life-long patterns of healthy behavior
- Support effective parenting in present and future generations
- Improve access to and quality of health care for children and families at high risk
- Promote humane, effective and efficient health services for children with chronic disease and/or developmental disabilities
- Maintain a knowledge base for public health, particularly for health risks and needs among mothers and children in Rhode Island
- Eliminate disparities in health among population groups
- Define the role of community in our mission and implement strategies to enhance community and individual participation in improving health status
- Invest in safe and healthy communities through family-neighborhood and school-health partnerships

Statutory History

Authorization for programs within Family Health is contained in Title 23 Chapter 13, Title 40.1 Chapter 22, and Title 42 Chapter 12.3 of the Rhode Island General Laws; the Rhode Island Childhood Lead Program is mandated by Title 23 Chapter 24.6; the authorization for the WIC Program is under Rhode Island General Law Title 23, Chapter 13-17 and PL 102-518; and the authorization for the Immunization Program and the Central Registry of Traumatic Head and Spinal Cord Injuries under Disabilities Prevention Program is in R.I.G.L. Title 23, Chapter 1.

The Budget

Department of Health Family Health

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Office of the Medical Director	5,232,119	4,419,830	5,564,264	5,166,728
Women, Infants and Children	28,307,700	28,324,755	36,687,823	42,071,683
Children's Preventive Health Services	1,641,849	1,810,873	1,595,928	1,386,584
Family, Youth and School Success	1,518,090	1,621,431	2,093,437	2,001,257
Total Expenditures	\$36,699,758	\$36,176,889	\$45,941,452	\$50,626,252
Expenditures By Object				
Personnel	7,301,226	7,201,215	9,015,521	9,265,212
Operating Supplies and Expenses	6,032,366	7,697,519	13,538,327	18,653,280
Aid To Local Units Of Government	-	4,809,832	6,489,489	5,964,295
Assistance, Grants and Benefits	23,184,175	16,529,281	16,864,615	16,714,965
Subtotal: Operating Expenditures	\$36,517,767	\$36,237,847	\$45,907,952	\$50,597,752
Capital Purchases and Equipment	172,500	(60,958)	33,500	28,500
Debt Service	9,491	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$36,699,758	\$36,176,889	\$45,941,452	\$50,626,252
Expenditures By Funds				
General Revenue	2,897,679	2,204,661	2,933,625	2,588,535
Federal Funds	29,490,705	28,840,962	30,207,990	29,851,256
Restricted Receipts	4,311,374	5,131,266	12,799,837	18,186,461
Total Expenditures	\$36,699,758	\$36,176,889	\$45,941,452	\$50,626,252
Program Measures				
Number of Births per 1,000 Teens Aged 15-17	38.6	38.5	36.9	35.5
Percent of Children with Blood Lead Levels Greater than 10 ug/dl for the first time in their lives	621.0	520.0	420.0	320.0
Percentage of Infants of Low Income Women Ever Breastfed	65.0%	66.5%	68.0%	69.5%
Percent of Preschool Children with Complete Immunization	81.5%	80.1%	82.0%	83.0%
Number of Times Pediatric Providers Access KIDSNET	38,522	140,000	180,000	190,000

The Program

Department of Health Health Services Regulation

Program Operations

The Division of Health Services Regulation licenses, certifies, and enforces regulations relating to health care professionals and facilities, managed care organizations and health systems development. Also, complaints from any source are investigated and, if substantiated, appropriate compliance action is initiated. The division is organized by responsibilities for licensure, oversight, and regulatory functions by category of licensure.

The Office of Facilities Regulation is responsible for ensuring that all federally certified and state-licensed health care facilities or providers meet the applicable conditions and regulations of the law; that compliance is formally recognized through the licensure and certification process, which involves survey visits and other inspections for continuing compliance.

The Office of Health Professionals Regulation oversees the licensure, certification, registration, and discipline of more than 70,000 individuals in 65 health occupations and 2,600 facilities. The office also oversees the administrative and regulatory functions of 35 licensing boards comprising 325 members representing the various professions and consumers.

The Office of Managed Care Regulation is responsible for ensuring that all certified Health Maintenance Organizations, Utilization Review Agencies, and Health Plans meet the conditions and regulations of the applicable laws. Survey data is reported, compiled, and analyzed as required. Staff conducts on-site surveys and inspections and administers certification processes.

The Office of Health Systems Development administers the State's "Certificate of Need" Program designed to prevent unnecessary duplication of expensive medical services and equipment; and reviews proposed hospital conversions, proposed changes in ownership of existing health care facilities, and requests for initial health facility licensure.

Program Objectives

- Direct and coordinate the department's health services regulatory activities; Assure minimum standards and competency of health facilities, managed care organizations, and professional licensees; promote high quality health care services through licensed health care facilities, licensed health care professionals, certified managed care organizations, and health plans
- Assure the appropriate use of drugs and other controlled substances through enforcement, compliance, and regulatory activities; assure that the quality of health care services is maintained in the face of rapid change
- Inform and educate the public of licensee information and nursing home quality information through the department's web site

Statutory History

Authorization for programs within Health Services Regulation is contained in Titles 5, 21, 23, 31 and 42 of the Rhode Island General Laws.

The Budget

Department of Health Health Services Regulation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Medical Licensure and Discipline	618,752	683,802	734,559	778,493
Professional Regulation	2,558,225	2,568,146	3,334,435	3,294,162
Facilities Regulations	4,089,242	4,572,972	4,964,968	5,137,301
Managed Care	2,273,114	2,949,515	2,708,738	2,663,211
Total Expenditures	\$9,539,333	\$10,774,435	\$11,742,700	\$11,873,167
Expenditures By Object				
Personnel	7,500,229	8,545,295	9,867,657	10,024,857
Operating Supplies and Expenses	1,081,267	1,499,082	1,205,803	1,179,276
Aid To Local Units Of Government	-	440,044	255,000	255,000
Assistance, Grants and Benefits	428,083	-	75,000	75,000
Subtotal: Operating Expenditures	\$9,009,579	\$10,484,421	\$11,403,460	\$11,534,133
Capital Purchases and Equipment	529,754	290,014	339,240	339,034
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$9,539,333	\$10,774,435	\$11,742,700	\$11,873,167
Expenditures By Funds				
General Revenue	4,235,148	4,994,304	6,485,853	6,522,612
Federal Funds	5,010,066	5,437,278	4,853,216	4,913,651
Restricted Receipts	294,119	342,853	403,631	436,904
Total Expenditures	\$9,539,333	\$10,774,435	\$11,742,700	\$11,873,167
Program Measures	NA	NA	NA	NA

The Program

Department of Health Environmental Health

Program Operations

The Environmental Health Program protects and promotes the public's health in the areas of drinking water quality, food protection, occupational and radiological health, and environmental lead.

The Environmental Health Risk Assessment sub-program provides technical support to environmental and occupational health programs. This office conducts risk assessments; provides expert consultation on environmental hazards; responds to complaints about environmental tobacco smoke; conducts surveillance of chemical spills and releases; responds to calls from the public concerning environmental health issues; and provides emergency response planning for the Department of Health.

The Drinking Water Quality sub-program protects public health by assuring that public drinking water supplies comply with the standards of the Safe Drinking Water Act. It reviews and approves projects for financial assistance and plans for public water system infrastructure maintenance and repair.

The Food Protection sub-program protects and promotes health and prevents disease by assuring the safety and quality of the food supply from harvest to consumer. Food Protection regulates over 8,500 food businesses; responds to more than 1,100 complaints annually; investigates the causes of food-borne outbreaks; and administers the Food Manager Certification Program. It also is **responsible** for assuring water quality at Rhode Island's public bathing beaches.

The Occupational and Radiological Health sub-program protects and promotes the health of Rhode Islanders through programs regarding the control of health and safety hazards at the worksite, environmental lead hazards, and radiation hazards. The office provides free safety and health consultation services to employers and licensees; inspects x-ray equipment; administers a licensing and inspection program for by-product radioactive materials; and protects Rhode Island's children from lead hazards by enforcing regulations to ensure these hazards are abated.

Program Objectives

- Identify, prevent, and remediate environmental and occupational health hazards to protect the public's health; develop and maintain the knowledge base for public health and use it to improve health status
- Define the role of community development in our mission and implement strategies to enhance community and individual participation in improving health status; reduce disparities in health status among sub-populations

Statutory History

Authorization for the Environmental Health program is contained in Title 2, Title 21, Title 23, Title 28, and Title 46 of the Rhode Island General Laws.

The Budget

Department of Health Environmental Health

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Drinking Water Quality	4,382,105	4,010,800	7,121,249	7,203,596
Food Protection	2,605,463	2,666,967	2,824,281	2,984,219
Occupational and Radiological Health	1,685,525	1,781,527	1,668,074	1,422,990
Environmental Health Risk Assessment	986,175	986,944	1,072,189	1,069,383
Total Expenditures	\$9,659,268	\$9,446,238	\$12,685,793	\$12,680,188
Expenditures By Object				
Personnel	7,567,975	8,050,405	8,496,719	8,532,940
Operating Supplies and Expenses	1,055,756	948,687	2,403,548	2,402,722
Aid To Local Units Of Government	-	159,531	20,000	20,000
Assistance, Grants and Benefits	435,298	133,869	1,260,410	1,219,410
Subtotal: Operating Expenditures	\$9,059,029	\$9,292,492	\$12,180,677	\$12,175,072
Capital Purchases and Equipment	600,239	153,746	505,116	505,116
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$9,659,268	\$9,446,238	\$12,685,793	\$12,680,188
Expenditures By Funds				
General Revenue	4,394,820	4,418,225	4,300,670	4,199,516
Federal Funds	3,789,001	3,545,589	5,915,794	5,924,861
Restricted Receipts	1,475,447	1,482,424	2,469,329	2,555,811
Total Expenditures	\$9,659,268	\$9,446,238	\$12,685,793	\$12,680,188
Program Measures				
Population Served by Public Water Systems	76.0%	86.0%	85.0%	85.0%
Number of Food Borne Illnesses per 100k pop.	35.3	31.4	33.0	33.0

The Program

Department of Health Health Laboratories

Program Operations

The Health Laboratories monitor and protect public health and safety through modern scientific laboratory services. These services include surveillance testing for early detection of diseases such as tuberculosis, rabies, West Nile Virus, HIV and other sexually transmitted diseases, Lyme disease, pertussis, rubella, measles, and other emerging diseases as well as pathogens which may represent a bioterrorism threat; surveillance and testing for lead poisoning; analysis of food products, drinking and waste water, soil and air for the presence of potentially toxic environmental contaminants; analysis of evidence obtained during the investigation of crimes such as homicide, sexual assault, drug trafficking, and drunk driving. Staff from the Health Laboratories presents expert testimony in court; and respond to public health emergencies such as man-made and natural disasters and epidemics.

The Health Laboratories analyze approximately 250,000 specimens each year. In addition to the Department of Health, the laboratory serves the Attorney General, the Department of Environmental Management, state and municipal law enforcement agencies, hospitals, private laboratories, and health care professionals. Health Laboratories offer public services to individuals, primarily on a fee-for-service basis.

Program Objectives

- Protect the public health and safety through the application of modern scientific methods
- Assure that the quality of health care services is maintained in the face of rapid changes
- Develop and maintain the knowledge base for public health and use it to improve health status
- Develop support for public health

Statutory History

Authorization for the Laboratories Program is contained in Title 23 Chapters 1, 11 and 13; Title 31 Chapter 27; and Title 41 chapter 3.1 of the Rhode Island General Laws.

The Budget

Department of Health Health Laboratories

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Administrative and Support Services	1,500,970	1,758,310	1,578,512	2,921,856
Forensic Sciences	1,755,094	1,995,231	2,076,446	2,053,881
Environmental Sciences	3,618,924	4,040,161	3,401,757	3,304,103
Biological Sciences	1,554,610	1,580,019	1,924,031	2,054,612
Total Expenditures	\$8,429,598	\$9,373,721	\$8,980,746	\$10,334,452
Expenditures By Object				
Personnel	6,025,325	6,849,444	7,121,197	7,249,066
Operating Supplies and Expenses	1,888,399	1,841,461	1,758,702	3,038,986
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	755	365	400	400
Subtotal: Operating Expenditures	\$7,914,479	\$8,691,270	\$8,880,299	\$10,288,452
Capital Purchases and Equipment	515,119	682,451	100,447	46,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$8,429,598	\$9,373,721	\$8,980,746	\$10,334,452
Expenditures By Funds				
General Revenue	5,843,795	6,234,298	6,605,105	8,270,513
Federal Funds	2,585,803	3,139,423	2,375,641	2,063,939
Total Expenditures	\$8,429,598	\$9,373,721	\$8,980,746	\$10,334,452
Program Measures				
Percentage of Proficiency Test Results				
Found Acceptable	96.0%	99.0%	99.1%	99.2%
Percentage of Human Specimen Test Results				
Found Acceptable	99.6%	98.8%	99.0%	99.0%

The Program

Department of Health Disease Prevention and Control

Program Operations

Disease Prevention and Control promotes and protects community and individual health through the detection, surveillance, control, and eradication of infectious, communicable, and vector-borne diseases, and through the surveillance and control of chronic diseases.

The Communicable Diseases sub-program conducts surveillance, outbreak control, follow-up, case investigations, and public education regarding infectious and reportable diseases. Lyme disease, Hepatitis A and B, rabies, Hantavirus, and mosquito-borne disease currently threaten public health. The Tuberculosis (TB) Control Program assures TB clinical services, provides outreach and daily, directly observed therapy to active cases, and conducts surveillance activities. The AIDS/STD Program monitors the epidemics of HIV infection, AIDS and STDs, and targets prevention strategies to high-risk groups.

The Chronic Disease Prevention and Health Promotion sub-program seeks to promote healthy lifestyles by reducing smoking, poor nutrition, sedentary lifestyles, injury causing behaviors and the incidence of chronic diseases and their attendant complications.

The Primary Care sub-program works with health care professionals, institutions and other state and voluntary agencies to improve the availability, accessibility and quality of primary care. Programs focus on prevention and early detection of disease and on maintenance of health.

Program Objectives

- Improve access to timely, high quality, cost-effective primary health care for all Rhode Islanders; detect, control and eradicate communicable diseases, including AIDS and sexually transmitted diseases (STDs)
- Educate the public about the control of infectious and communicable diseases; monitor chronic diseases and educate the public about how to adopt healthy lifestyles
- Develop standards and monitor the provision of appropriate, quality, primary care; assure that the quality of health care services (particularly primary care) is maintained in the face of rapid change
- Develop and maintain the knowledge base for public health and use it to improve health status; define the role of community development in our mission and implement strategies to enhance community and individual participation in improving health status
- Reduce disparities in health status among sub-populations; develop support for public health

Statutory History

The programs and duties of Disease Prevention and Control are defined in Title 23 Chapters 1, 3, 6, 12, 14.1, 20, 20.6, 20.7, 20.9, 23 and 24; Title 5 Chapter 31.1; and Title 15 Chapter 1 to 3.

The Budget

Department of Health Disease Prevention and Control

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Primary Care	748,540	955,484	909,482	974,957
Chronic Disease Prevention	10,157,779	8,072,970	8,510,859	8,478,505
Communicable Diseases	15,350,081	15,873,495	15,459,047	14,258,852
Total Expenditures	\$26,256,400	\$24,901,949	\$24,879,388	\$23,712,314
Expenditures By Object				
Personnel	9,599,215	10,180,182	9,998,582	10,376,318
Operating Supplies and Expenses	8,157,947	8,031,452	7,678,648	7,506,608
Aid To Local Units Of Government	-	4,480,002	5,481,432	4,314,343
Assistance, Grants and Benefits	8,447,308	2,161,895	1,665,226	1,459,545
Subtotal: Operating Expenditures	\$26,204,470	\$24,853,531	\$24,823,888	\$23,656,814
Capital Purchases and Equipment	51,930	48,418	55,500	55,500
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$26,256,400	\$24,901,949	\$24,879,388	\$23,712,314
Expenditures By Funds				
General Revenue	5,967,121	9,832,332	6,826,032	6,355,875
Federal Funds	19,413,802	15,065,138	18,025,903	17,327,763
Restricted Receipts	837,696	(16,802)	-	-
Other Funds	37,781	21,281	27,453	28,676
Total Expenditures	\$26,256,400	\$24,901,949	\$24,879,388	\$23,712,314
Program Measures				
Percentage of RI Adults Age 18+ who Smoke	21.0%	19.8%	19.8%	19.8%
Percentage of Students Grades 9-12 who Smoke	19.3%	15.9%	17.0%	18.0%
Percentage of Active Tuberculosis Cases Completing Therapy	90.0%	89.5%	90.0%	90.0%
Percentage of Women Age 40+ Receiving Annual Mammograms	32.0%	34.0%	36.0%	38.0%
Percentage of Women Age 40+ Receiving Annual Pap Smears	62.0%	64.0%	66.0%	68.0%
Percentage of Diabetic Patients of RI Chronic Care Collaborative Participants (RICCC) who have received at Least One Hemoglobin A1c in the Past Twelve Months	66.0%	70.0%	75.0%	80.0%
Average Hemoglobin A1c for Diabetic Patients of RICCC Participants	7.5	7.6	7.4	7.3
Number of Aids/HIV Deaths per Year	12	17	19	21

The Agency

Department of Human Services

Agency Operations

The Department of Human Services (DHS) operates various programs in support of the agency goal of assisting those persons in Rhode Island in need. These programs and services extend well beyond the vital financial support services historically provided to poor and low income individuals and families, and include redesigned and innovative programs which provide quality and accessible health care, child care, supportive services and options to working parents, individuals and families. These programs are all designed: to help families become strong, productive, healthy and independent; to help adults achieve their maximum potential; to ensure that children are safe, healthy, ready to learn, and able to reach their maximum potential; to honor and care for our State's veterans; and, to assist elderly and persons with disabilities in order to enhance their quality of life and sustain their independence. The department operates on a population-based structure for its program policy and service delivery, reflecting the department's focus on clients' needs.

Major state and federal reforms in the mid-1990s provided unprecedented flexibility in how the State may utilize funds to accomplish its goals. Rhode Island's Family Independence Program (FIP) allowed DHS to design and implement a variety of services to assist those families transitioning from cash assistance to employment. These critical "work supports" are provided as a means of easing the transition into the labor force, and include vital child care assistance and health care benefits. These work supports are seen as a crucial element of the FIP program, which replaced the former Aid to Families with Dependent Children (AFDC) program, and which imposed a 60 month lifetime limit for adults receiving cash assistance.

The department continues to be one of the largest purchasers of health care benefits in the State, providing health coverage, including managed care, fee-for-service care, long-term care, and community-based care services to approximately 186,000 Rhode Islanders.

The department also provides services to veterans through the Veterans' Affairs Program, which serves Rhode Island's 93,000 veterans and their families. An additional priority of DHS is to provide assistance to persons with disabilities seeking to achieve economic independence and integration with society, through its Office of Rehabilitation Services.

Agency Objectives

To provide a full continuum of high quality and accessible programs and services to those Rhode Island families, children, adults, individuals with disabilities, veterans, and the elderly in need of assistance.

Statutory History

Title 40 Chapter 1 and Title 42 Chapter 12 of the Rhode Island General Laws established the Department of Human Services within the executive branch of state government.

The Budget

Department of Human Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Central Management	12,142,249	14,450,507	19,285,630	15,568,758
Child Support Enforcement	-	10,444,726	10,891,216	11,450,430
Individual and Family Support	70,407,261	72,279,751	74,606,952	79,435,827
Veterans' Affairs	22,349,065	24,282,928	26,263,982	25,010,872
Health Care Quality, Financing & Purchasing	55,521,032	57,310,784	64,755,310	66,956,947
Medical Benefits	1,207,929,030	1,254,450,391	1,175,419,095	1,396,654,945
Supplemental Security Income	27,313,914	27,036,724	28,605,210	29,075,430
Family Independence	152,911,591	145,030,860	133,638,380	102,672,771
State Funded Programs	80,632,937	82,920,304	87,219,938	89,696,416
Total Expenditures	1,629,207,079	\$1,688,206,975	\$1,620,685,713	\$1,816,522,396
Expenditures By Object				
Personnel	106,156,697	122,667,508	130,893,640	137,241,245
Operating Supplies and Expenses	18,035,703	20,285,808	18,059,636	17,047,499
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,498,467,087	1,544,405,766	1,468,632,447	1,659,166,140
Subtotal: Operating Expenditures	\$1,622,659,487	\$1,687,359,082	\$1,617,585,723	1,813,454,884
Capital Purchases and Equipment	6,544,224	847,893	3,099,990	3,067,512
Debt Service	3,368	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,629,207,079	\$1,688,206,975	\$1,620,685,713	\$1,816,522,396
Expenditures By Funds				
General Revenue	694,484,822	720,809,672	716,426,058	813,501,934
Federal Funds	931,182,907	964,188,277	895,386,699	993,596,741
Restricted Receipts	3,539,350	3,159,075	8,872,956	8,123,721
Other Funds	-	49,951	-	1,300,000
Total Expenditures	\$1,629,207,079	\$1,688,206,975	\$1,620,685,713	\$1,816,522,396
FTE Authorization	1,069.6	1,173.4	1,111.0	1,083.0
Agency Measures				
Minorities as a Percentage of the Workforce	14.0%	14.0%	14.0%	14.0%
Females as a Percentage of the Workforce	76.0%	76.0%	76.0%	76.0%
Persons with Disabilities as a Percentage of the Workforce	3.0%	3.0%	3.0%	3.0%

The Program

Department of Human Services Central Management

Program Operations

Central Management supervises, coordinates, and monitors all departmental functions: to assure efficient and effective use of state and federal resources for the purpose of providing services to poor, disabled, or aged individuals and families; to assist them in reaching their highest potential for self-sufficiency; and, to support the achievement of the department's mission. Central Management, organized through the office of the director, provides leadership, management, strategic planning, direction, and control of departmental activities. A principal function is intergovernmental liaison with the Governor's staff, other department directors and agency heads, federal government representatives, state and federal legislators, local welfare directors, and national and local human service professionals and organizations.

The establishment and maintenance of community relations with consumers and service providers, and the provision of information to the general public, are core responsibilities of this program area. Additionally, all field operation activities are direct functions of Central Management, although the associated costs are budgeted in two programs, Individual and Family Support, and Health Care Quality, Financing and Purchasing. Central Management is responsible for the Electronic Benefits Transfer System, which electronically distributes cash assistance and food stamp benefits to clients.

Within Central Management, the Office of Policy Analysis, and Research and Development provides planning support for the department in the development and implementation of revised programs and initiatives. The Office of Legal Services represents the department in litigation, and provides counsel to the director and staff on legal issues relating to departmental operations. The Operations Management Unit develops and maintains departmental information systems, performs quality control for various programs, and operates the central mail room. Other centralized functions include support for financial management, information systems, collections and fraud investigations, and contract management.

Program Objective

To provide leadership, management, strategic planning, and central support for the department.

Statutory History

Title 40 Chapter 1 of the Rhode Island General Laws establishes that all functions, services, and duties of the Department of Human Services will be organized by the Director of the department.

The Budget

Department of Human Services Central Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,835,214	3,829,446	2,930,537	2,734,973
Operating Supplies and Expenses	334,228	406,799	91,523	75,773
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	7,855,155	10,169,499	16,251,570	12,751,012
Subtotal: Operating Expenditures	\$12,024,597	\$14,405,744	\$19,273,630	\$15,561,758
Capital Purchases and Equipment	113,661	44,763	12,000	7,000
Debt Service	3,991	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$12,142,249	\$14,450,507	\$19,285,630	\$15,568,758
Expenditures By Funds				
General Revenue	5,952,378	7,987,847	10,991,946	9,570,925
Federal Funds	3,790,888	4,463,345	6,594,987	4,251,568
Restricted Receipts	2,398,983	1,999,315	1,698,697	1,746,265
Total Expenditures	\$12,142,249	\$14,450,507	\$19,285,630	\$15,568,758
Program Measures				
Percentage of Homeless Families Placed in Permanent Housing Which Do Not Return for Services	95.0%	96.0%	93.0%	93.0%

The Program

Department of Human Services Child Support Enforcement

Program Operations

Child Support Enforcement was transferred from the Department of Administration to the Department of Human Services, effective July 1, 2005. This program is established to strengthen families through financial support and to reduce welfare dependence by ensuring that parents honor obligations to support their children. The concern for the well being of children who live with only one parent, and the desire to promote self-sufficiency for these single parent families, prompted both the state and federal governments to establish Child Support Enforcement Programs nationwide.

Program Objectives

Child Support Enforcement was established to strengthen families through financial support and to reduce welfare dependency by ensuring that parents are responsible for supporting their children.

Statutory History

R.I.G.L. 15-11.1 outlines the duties and responsibilities of the Child Support Enforcement Program. R.I.G.L. 42-12-28 effectuates the transfer of the program from the Department of Administration.

The Budget

Department of Human Services Child Support Enforcement

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	8,292,732	8,994,827	9,520,523
Operating Supplies and Expenses	-	2,128,259	1,845,718	1,879,236
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	672	50,671	50,671
Subtotal: Operating Expenditures	\$0	\$10,421,663	\$10,891,216	\$11,450,430
Capital Purchases and Equipment	-	23,063	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$0	\$10,444,726	\$10,891,216	\$11,450,430
Expenditures By Funds				
General Revenue	-	3,523,092	3,655,621	3,830,853
Federal Funds	-	6,920,969	7,185,595	7,569,577
Restricted Receipts	-	665	50,000	50,000
Total Expenditures	\$0	\$10,444,726	\$10,891,216	\$11,450,430
Program Measures				
Current Child Support Collected as a Percentage of Support Owed	61.8%	60.3%	61.0%	62.0%

The Program

Department of Human Services Individual and Family Support

Program Operations

The Individual and Family Support Program (IFS) has the primary responsibility within the department to implement state and federal welfare reform changes and the State's early care and education programs. The Individual and Family Support Program provides policy and program development and management, including monitoring and evaluation, systems development, and the processing and payment functions related to social services for populations served by the department. The Individual and Family Support Program is responsible for ensuring that the services affecting all populations are provided in accordance with state and federal mandates.

The Individual and Family Support Program has the responsibility for the operational planning, direction, coordination and implementation of programs such as the Family Independence Program (FIP), Child Care Development Fund, and the Head Start Collaboration Grant. Funding for the Title XX Block Grant, the Community Services Block Grant, the Refugee Assistance Program, and special funding for victims of domestic violence, the homeless, and the elderly are also within the IFS program. Funding for the administration of the General Public Assistance Program, Food Stamp Program, and Supplemental Security Income is recorded in the IFS Program.

The Individual and Family Support Program also contains comprehensive case management programs for teen mothers and their children and provides child care services for both FIP clients and low income families. Serving Rhode Island's disabled population is the Office of Rehabilitation Services (ORS) and Services for the Blind and Visually Impaired (SBVI). ORS continues to implement new technologies in its service delivery systems, which works in partnership with consumers to achieve meaningful employment outcomes.

Program Objective

To provide assistance and supports to clients so that they may transition to self-sufficiency.

Statutory History

Title 40 Chapter 5.1 of the Rhode Island General Laws establishes the Family Independence Program. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) replaces Title IV-A of the Federal Social Security Act. Title 42 Chapter 12 of the Rhode Island General Laws establishes the Vocational Rehabilitation Program; Title 40 Chapter 9 establishes the Services to the Blind and Visually Impaired Program; Title 40 Chapter 19 authorizes the Adolescent Pregnancy and Parenting Program; and Title 40 Chapter 6.2 authorizes the Child Care Services Program. Article 11 of the FY 1999 Appropriations Act establishes the Starting Right Initiative in Title 40, Chapters 5.1 and 6.2, Title 42, Chapters 12 and 72.1.

The Budget

Department of Human Services Individual and Family Support

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	40,653,740	45,878,193	44,707,927	47,439,199
Operating Supplies and Expenses	10,371,427	10,813,799	9,009,686	9,042,014
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	14,597,189	15,358,712	20,669,539	21,443,064
Subtotal: Operating Expenditures	\$65,622,356	\$72,050,704	\$74,387,152	\$77,924,277
Capital Purchases and Equipment	4,784,905	229,047	219,800	1,511,550
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$70,407,261	\$72,279,751	\$74,606,952	\$79,435,827
Expenditures By Funds				
General Revenue	21,303,519	22,383,947	23,140,268	25,118,002
Federal Funds	49,019,117	49,753,908	51,332,534	52,883,675
Restricted Receipts	84,625	91,945	134,150	134,150
Other Funds	-	49,951	-	1,300,000
Total Expenditures	\$70,407,261	\$72,279,751	\$74,606,952	\$79,435,827
Program Measures				
Persons with Individualized Plan for Employment Achieving an Employment Outcome	58.3%	57.3%	57.9%	58.5%
Accuracy of Disability Determination Adjudications - Office of Rehabilitation Services	94.1%	95.0%	95.6%	95.8%

The Program

Department of Human Services Veterans' Affairs

Program Operations

The Veterans' Affairs Program serves eligible Rhode Island Veterans, their surviving spouses, and dependents. Benefits include a comprehensive program of social, medical and rehabilitative services. The Veterans' Affairs Program is comprised of the Rhode Island Veterans' Home, the Rhode Island Veterans' Affairs Office, and the Rhode Island Veterans' Memorial Cemetery.

Veterans' Affairs is confronting a growing challenge as a result of a rapidly aging veteran's population. Rhode Island has a population of approximately 93,000 veterans. Although the total number of war service veterans is declining, the growth in the proportion of aging (World War II and Korean) veterans is escalating.

The purpose of the Veterans' Home is to provide quality nursing and domiciliary care to the veteran. Social, medical, nursing, and rehabilitative services for eligible Rhode Island veterans, their survivors, and/or dependents, are available to improve their physical, emotional, and economic well-being. The Rhode Island Veterans' Home has an operational bed capacity of 339 beds (260 nursing and 79 domiciliary/sheltered care beds). Within the 339 bed complement is a 36-bed unit for veterans who suffer from dementia type illnesses. The Veterans' Home admits war service veterans who have been honorably discharged and have resided in the State at least two years prior to admission and/or were inducted into the military service from the State. Residential care is available to eligible veterans who require a sheltered care environment. The Veterans' Transitional Supportive Program (VTSP) is a program operated in concert with the federal Veterans Administration. VTSP offers a multitude of psychological/social counseling, substance abuse treatment, and contract work therapy opportunities provided on a short-term basis to assist veterans with reintegration to their communities.

Program Objective

To continue to improve the physical, emotional, and economic well-being of Rhode Island veterans.

Statutory History

Title 30 Chapters 17, 24, 25, and 29 and Title 30 Chapter 25 of the Rhode Island General Laws established the Division of Veterans Affairs.

The Budget

Department of Human Services Veterans' Affairs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	18,670,006	20,073,632	19,360,735	20,476,500
Operating Supplies and Expenses	3,545,248	3,694,735	3,322,244	2,256,597
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	5,057	4,988	771,813	787,813
Subtotal: Operating Expenditures	\$22,220,311	\$23,773,355	\$23,454,792	\$23,520,910
Capital Purchases and Equipment	129,377	509,573	2,809,190	1,489,962
Debt Service	(623)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$22,349,065	\$24,282,928	\$26,263,982	\$25,010,872
Expenditures By Funds				
General Revenue	16,871,223	18,325,012	16,844,071	17,109,472
Federal Funds	4,888,561	5,338,808	6,616,312	6,384,850
Restricted Receipts	589,281	619,108	2,803,599	1,516,550
Total Expenditures	\$22,349,065	\$24,282,928	\$26,263,982	\$25,010,872
Program Measures				
Veterans' Home Compliance with Health Department Survey Standards	100.0%	100.0%	100.0%	100.0%
Persons Completing the Veteran Transitional Supportive Program Securing Housing by the End of Six Months	75.0%	84.0%	84.0%	85.0%

The Program

Department of Human Services Health Care Quality, Financing and Purchasing

Program Operations

The objectives of the Health Care Quality, Financing and Purchasing (HCQFP) Program are: to assure the availability of high quality health care services to consumers; to assure the efficiency and economy of services delivered to program recipients by monitoring providers of services; to coordinate service-delivery efforts with other state departments and agencies; to purchase medically necessary services covered by the Medicaid State Plan; and, to administer programs in a manner consistent with federal and state laws and regulations. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly.

DHS is the Single State Agency authorized by the Federal Centers for Medicare and Medicaid Services to administer the Medicaid program in Rhode Island. In this role, DHS supervises disbursements to a number of state agencies, as well as local school districts, for administrative and program activities in support of the Medicaid program. The HCQFP Program operates a claims processing system, secures financial recoveries from third parties for claims liability, and conducts utilization review of inpatient and outpatient hospital services. To encourage the utilization of community-based services rather than institutional programs, HCQFP administers home and community-based waivers – both directly and through interagency agreements with the Departments of Elderly Affairs and Mental Health, Retardation and Hospitals. HCQFP also administers a demonstration waiver to provide health services to families through a managed care delivery system, RIte Care, and is responsible for administration of a Section 1115 SCHIP waiver amendment to the current managed care program in order to implement the provisions of Health Reform RI 2000. This assures that the program serves either persons without access to affordable employer-sponsored insurance, or maintains persons in employer-based insurance, if more cost-effective. HCQFP administers the Early Intervention Program for at risk children up to age three and processes the payments to the local education authorities for Medicaid reimbursement for administrative costs.

Program Objective

To purchase health care services for consumers at a reasonable cost, while assuring quality and access, and to administer the Medical Assistance Benefits Program activities in a manner consistent with federal and state laws and regulations.

Statutory History

Title XIX of the Federal Social Security Act was enacted by Congress under the provisions of Public Law 89-97. Title 40 Chapter 8 of the Rhode Island General Laws establishes the Rhode Island Medical Assistance Program. Title 40 Chapter 16 of the Rhode Island General Laws authorizes the Health Centers and Visiting Nurse Grant Program. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorizes the Department of Human Services to establish and administer both the RIte Care Program and health care for certain child care providers, and Section 23-13-22 of the Rhode General Laws authorizes the department to administer the Early Intervention Program.

The Budget

Department of Human Services Health Care Quality, Financing and Purchasing

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	42,421,440	44,540,793	54,899,614	57,070,050
Operating Supplies and Expenses	3,925,769	3,403,934	3,790,465	3,793,879
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	7,657,542	9,324,610	6,006,231	6,034,018
Subtotal: Operating Expenditures	\$54,004,751	\$57,269,337	\$64,696,310	\$66,897,947
Capital Purchases and Equipment	1,516,281	41,447	59,000	59,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$55,521,032	\$57,310,784	\$64,755,310	\$66,956,947
Expenditures By Funds				
General Revenue	19,031,486	21,233,315	21,513,841	23,023,393
Federal Funds	36,028,522	35,640,168	42,954,959	43,746,840
Restricted Receipts	461,024	437,301	286,510	186,714
Total Expenditures	\$55,521,032	\$57,310,784	\$64,755,310	\$66,956,947
Program Measures				
Length of Stay				
Average Length of Stay for:				
Pneumonia	5.9	7.3	6.9	6.9
Angina Pectoris	2.8	2.8	2.8	2.8
Alcohol Dependency	5.0	4.8	5.3	5.5
Chest Pain	3.3	3.3	3.3	3.3
Congestive Heart Failure	6.1	6.3	6.5	6.6
Chronic Airway Obstructive Disease	6.4	5.1	5.0	4.5
Abdominal Pain	5.1	5.5	4.8	4.8
Acute Pancreatitis	11.4	4.5	7.0	7.0
Recurrent Depression	8.5	8.3	8.3	8.3

The Program

Department of Human Services Medical Benefits

Program Operations

The Medical Benefits Program assures quality and access to necessary medical services for approximately 186,000 consumers through the purchase of health care at a reasonable cost, primarily financed by Medicaid. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly. Medicaid is a federal and state matching entitlement program administered by states to provide medical benefits. The federal share of reimbursement, which is based on a state's per capita personal income, is 52.35 percent for federal fiscal year 2007 and 52.57 percent for federal fiscal year 2008.

DHS, in accordance with the federally-approved State Plan and a multitude of state and federal laws, specifies the scope of covered services, establishes fees for services and reimbursement rates for hospital and nursing facility services, adjudicates and pays claims for medical facility services, and adjudicates and pays claims for medical services submitted by providers. The Medical Benefits Program covers a broad scope of medical services provided to eligible individuals by service providers licensed by the Department of Health or other appropriate state or federal accrediting authority, and enrolled as service providers by the Medical Benefits Program.

The Medical Benefits Program provides health insurance to FIP families, children through age 18 with family incomes not in excess of 250 percent of the federal poverty limit and other low income families. Health care is provided to children with special needs under the Supplemental Security Income Program (SSI) or the Early Periodic Screening Diagnosis and Treatment (EPSDT) program. Acute and long-term care services are provided to adults with disabilities and the elderly. There are four home and community-based waiver programs administered directly by DHS or through the Departments of Elderly Affairs (DEA) and Mental Health, Retardation and Hospitals. A Section 1115 SCHIP waiver provides that families without access to employer-based insurance will have health insurance coverage, or be able to maintain their employer-sponsored insurance benefits, if more cost-effective. HCQFP administers the Early Intervention Program for at-risk children up to age three.

Program Objective

To assure the availability and accessibility of high quality health care services to program recipients.

Statutory History

Title XIX of the Social Security Act was enacted by Congress under the provision of Public Law 89-97 on July 30, 1965. The Rhode Island Medical Assistance Program was implemented under Title 40 Chapter 8 of the Rhode Island General Laws. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorized the Department of Human Services to establish and administer the RIte Care Program and health care for certain childcare providers. R.I.G.L. 23-13-22 authorizes the department to administer the Early Intervention Program.

The Budget

Department of Human Services Medical Benefits

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Hospitals	244,244,916	252,942,923	155,011,935	251,377,541
Nursing Facilities	292,757,265	298,497,105	307,800,000	310,691,177
Managed Care	378,272,973	422,804,806	453,104,045	555,755,453
Other Services	273,414,985	167,102,099	153,685,000	168,358,033
Special Education	19,238,891	20,129,953	20,733,240	20,733,240
Pharmacy	-	92,973,505	85,084,875	89,739,501
Total Expenditures	\$ 1,207,929,030	\$ 1,254,450,391	\$1,175,419,095	\$1,396,654,945
Expenditures By Object				
Personnel	514,636	-	-	-
Operating Supplies and Expenses	-	2,325	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,207,414,394	1,254,448,066	1,175,419,095	1,396,654,945
Subtotal: Operating Expenditures	\$1,207,929,030	\$1,254,450,391	\$1,175,419,095	\$1,396,654,945
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,207,929,030	\$1,254,450,391	\$1,175,419,095	\$1,396,654,945
Expenditures By Funds				
General Revenue	530,085,011	561,434,125	560,762,922	679,197,315
Federal Funds	677,838,582	693,005,525	610,756,173	712,967,588
Restricted Receipts	5,437	10,741	3,900,000	4,490,042
Total Expenditures	\$1,207,929,030	\$1,254,450,391	\$1,175,419,095	\$1,396,654,945
Program Measures				
Neonatal Intensive Care Unit Admissions Per One Thousand Live Births	89.5	90.0	90.0	90.0
Number of Physician's Office Visits per RItE Care Enrollee	5.1	5.2	5.2	5.2
Number of Emergency Room Visits per 1,000 RItE Care Enrollees	560	590	575	575
Number of Hospital Days per 1,000 Rite Care Enrollees	540	520	510	510

The Program

Department of Human Services Supplemental Security Income

Program Operations

The Supplemental Security Income (SSI) Program provides a floor of income for aged, blind and disabled persons who have little or no income or other resources. The basic federal SSI Cash Assistance Grant, annually adjusted for inflation, is funded in full by the federal government. Because the federal payment leaves many recipients below the federal poverty level, certain states have chosen to provide a supplement to the federal benefit; financed with state funds. Persons eligible for SSI are also eligible, under specified criteria, for in-state moving expenses, and for needs resulting from an emergency of a catastrophic nature.

Since the inception of SSI in 1974, the program caseload has grown each year. This reflects an increase in the aging population, new and emerging disabilities, less stringent disability requirements, and increases in allowable resource limits.

Program Objective

To provide financial aid to individuals who are aged, blind, or disabled and who do not have sufficient resources to maintain a reasonable standard of health and well-being.

Statutory History

Title XVI of the Federal Social Security Act in 1974 created a federally administered Supplemental Security Income Program. This program replaced the assistance program previously administered by the State, which provided aid to aged, blind, and disabled Rhode Islanders. Title 40 Chapter 6 of the Rhode Island General Laws established the Supplemental Security Income Program.

The Budget

Department of Human Services Supplemental Security Income

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	-	-	-	-
Operating Supplies and Expenses	-	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	27,313,914	27,036,724	28,605,210	29,075,430
Subtotal: Operating Expenditures	\$27,313,914	\$27,036,724	\$28,605,210	\$29,075,430
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$27,313,914	\$27,036,724	\$28,605,210	\$29,075,430
Expenditures By Funds				
General Revenue	27,313,914	27,036,724	28,605,210	29,075,430
Total Expenditures	\$27,313,914	\$27,036,724	\$28,605,210	\$29,075,430
Program Measures	NS	NS	NS	NS

The Program

Department of Human Services Family Independence

Program Operations

The Family Independence Program (FIP) provides support, including child care, health care, and cash payments to needy children and their families, along with a strong emphasis on requiring families who receive cash assistance to prepare for, and achieve, employment. Long-term assistance was replaced with a transitional and time-limited support system. Having replaced the Aid to Families with Dependent Children (AFDC) program, FIP provides for greater flexibility to the State in eligibility criteria, client responsibility, and types of benefits and services provided. TANF (Temporary Assistance for Needy Families) is the corresponding federal block grant, which is a major revenue source for FIP.

The emphasis in FIP is to achieve gainful employment and self-sufficiency, with the ultimate outcome of strong, healthy families. FIP promotes work as the source of family income and has a lifetime limit of 60 months for the receipt of cash assistance for adults. Child care, health care, and other supportive services are an entitlement to those families pursuing economic independence. Enhanced financial incentives in the form of income allowances encourage families to increase earned income. FIP beneficiaries may participate in education and training during the first 24 months of their employment, followed by a requirement to engage in paid or unpaid work.

Under FIP, child care and health care are considered an essential component of the long-range plan to move clients from dependence to independence. An effective, seamless system of child care and health care for both cash assistance recipients and low income working families is a critical program element in the total mix of services which are necessary to foster independence.

Program Objectives

To provide assistance to clients to aid the transition to self-sufficiency.

Statutory History

The Aid to Families with Dependent Children (AFDC) Program, which was initiated in the 1930s as Title IV of the Social Security Act, is replaced by the Temporary Assistance for Needy Families (TANF) in Title I of PRWORA. Child care funding is provided under Title VI of PRWORA. Title 40 Chapter 5.1 enacted the Rhode Island Family Independence Act (RI FIA).

The Budget

Department of Human Services Family Independence

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
TANF/Family Independence Program	73,345,262	67,245,158	60,100,388	54,342,000
Child Care	79,566,329	77,785,702	73,537,992	48,330,771
Total Expenditures	\$152,911,591	\$145,030,860	\$133,638,380	\$102,672,771
Expenditures By Object				
Personnel	-	-	-	-
Operating Supplies and Expenses	(140,969)	(164,043)	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	153,052,560	145,194,903	133,638,380	102,672,771
Subtotal: Operating Expenditures	\$152,911,591	\$145,030,860	\$133,638,380	\$102,672,771
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$152,911,591	\$145,030,860	\$133,638,380	\$102,672,771
Expenditures By Funds				
General Revenue	70,947,244	55,230,179	47,025,261	22,433,604
Federal Funds	81,964,347	89,800,681	86,613,119	80,239,167
Total Expenditures	\$152,911,591	\$145,030,860	\$133,638,380	\$102,672,771
Program Measures				
Family Independence Program Families with Earned Income	21.0%	21.0%	20.0%	21.0%
Job Retention Rate For Family Independence Program Families No Longer Receiving Cash	63.4%	63.6%	64.0%	64.0%

The Program

Department of Human Services State Funded Programs

Program Operations

The program “State Funded Programs” is comprised of assistance programs with discrete appropriation lines described below.

The General Public Assistance (GPA) Program is designed to meet the health care needs of incapacitated and unemployable persons who are suffering from an illness, injury, or medical condition and do not qualify for other public assistance programs. The program provides for limited medical assistance, consisting of physician services and a limited formulary (list) of prescription medications. The program also provides burials for indigent persons. Limited cash assistance is available from two special contingency funds. Under state welfare reform statutes, two parent families who had received services from GPA became eligible under FIP.

Program Objectives

To provide for the medical needs for ill or disabled individuals who do not qualify for other federal programs, limited emergency cash assistance for individuals who experience extreme financial hardship, and, interim cash assistance for totally disabled individuals who are accepted for Title XIX Medical Assistance pending eligibility application for federal Social Security benefits.

Statutory History

Title 40 Chapter 6 of the Rhode Island General Laws (RIGL) established the General Public Assistance Program. R.I.G.L 40-6-8(d) established the State Food Stamp Program.

The Budget

Department of Human Services State Funded Programs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
General Public Assistance	3,031,987	3,652,907	3,971,918	4,227,940
SSI for Immigrants - State Programs	50,000	50,000	-	-
Food Stamps - Benefits	77,550,950	79,217,397	83,248,020	85,468,476
Total Expenditures	\$80,632,937	\$82,920,304	\$87,219,938	\$89,696,416
Expenditures By Object				
Personnel	61,661	52,712	-	-
Operating Supplies and Expenses	-	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	80,571,276	82,867,592	87,219,938	89,696,416
Subtotal: Operating Expenditures	\$80,632,937	\$82,920,304	\$87,219,938	\$89,696,416
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$80,632,937	\$82,920,304	\$87,219,938	\$89,696,416
Expenditures By Funds				
General Revenue	2,980,047	3,655,431	3,886,918	4,142,940
Federal Funds	77,652,890	79,264,873	83,333,020	85,553,476
Total Expenditures	\$80,632,937	\$82,920,304	\$87,219,938	\$89,696,416
	NS	NS	NS	NS

The Agency

Department of Mental Health, Retardation and Hospitals

Agency Operations

It is the mission of the Department of Mental Health, Retardation and Hospitals (MHRH) to fulfill its statutory relationships to fund, plan, design, develop, administer and coordinate a system of services for citizens of Rhode Island with specific disabilities (i.e. mental illness, physical illness, developmental disability) and citizens of Rhode Island with substance abuse or addiction problems; and, to accomplish this mission within its legislated, annual budget. This mission is carried out through a system of contractual, community-based service delivery with the exceptions of direct services provided through the Eleanor Slater Hospital and Rhode Island Community Living and Supports (RICLAS).

In the last fiscal year, over 480 licensed MHRH programs delivered services to approximately 46,000 consumers within three priority populations: developmental disabilities, behavioral healthcare (mental illness and substance abuse) and hospital level of care for chronic illness. The bulk of these services are offered through contracted and MHRH-licensed programs. MHRH currently has contracts or leases with 165 private/non-profit hospitals, programs and agencies. Direct services to MHRH consumers are offered through the Eleanor Slater Hospital (bed capacity 495) a JCAHO-accredited hospital and through RICLAS within developmental disabilities for approximately 320 consumers. Typical MHRH programs and services include; individualized treatment and recovery plans, housing, vocational programs, inpatient and outpatient treatment for mental health and substance abuse, inpatient psychiatric forensic services, hospital level care for physical illness and prevention services for substance abuse.

In order to fulfill its mission, the Department is organized to provide services to distinct priority populations of consumers who represent the most vulnerable citizens of Rhode Island. The Director of MHRH provides leadership, overall policy direction, resource management and guidance for the Department in pursuit of its mission. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Office of Operations and the Division of: Behavioral Healthcare Services (Mental Health, Substance Abuse), Developmental Disabilities and the Eleanor Slater Hospital.

MHRH provides for the maintenance of support and utility infrastructures for the Pastore Center that houses several State departments and their services (DHS, DLT, DOC, DEA, DCYF) along with MHRH buildings including the Eleanor Slater Hospital.

Statutory History

R.I.G.L. 42-12.1-1 *et.seq.*, established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative and Forensic Services, and Substance Abuse Services under R.I.G.L 40.1-1-1 *et.seq.* A number of other functions are also assigned by statute.

The Budget

Department of Mental Health, Retardation and Hospitals

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Program				
Central Management	2,090,128	2,294,422	2,637,449	807,686
Hospital & Community System Support	26,800,124	30,610,674	6,257,488	6,664,343
Service for the Developmentally Disabled	230,680,859	243,600,160	254,995,553	259,020,939
Intergrated Mental Health Services	76,230,452	78,657,462	80,717,640	82,861,698
Hospital & Community Rehabilitation Services	101,410,781	109,292,163	115,775,989	114,851,438
Substance Abuse	28,752,937	29,152,294	31,499,755	28,578,376
Internal Service Porgrams	[10,827,257]	[10,674,639]	[11,443,419]	[11,540,859]
Total Expenditures	\$465,965,281	\$493,607,175	\$491,883,874	\$492,784,480
Expenditures By Object				
Personnel	144,829,963	153,336,912	152,998,591	157,788,772
Operating Supplies and Expenses	41,827,364	43,915,812	16,800,561	15,159,302
Aid To Local Units Of Government	-	13,694	53,400	53,400
Assistance, Grants and Benefits	276,923,315	295,333,942	315,168,891	312,204,819
Subtotal: Operating Expenditures	\$463,580,642	\$492,600,360	\$485,021,443	\$485,206,293
Capital Purchases and Equipment	1,846,176	1,006,815	6,333,050	7,048,806
Debt Service	38,463	-	-	-
Operating Transfers	500,000	-	529,381	529,381
Total Expenditures	\$465,965,281	\$493,607,175	\$491,883,874	\$492,784,480
Expenditures By Funds				
General Revenue	230,338,122	249,868,277	238,057,998	239,233,568
Federal Funds	232,779,398	240,348,677	247,712,769	244,143,412
Restricted Receipts	50,000	6,776	190,000	3,040,000
Other Funds	2,797,761	3,383,445	5,923,107	6,367,500
Total Expenditures	\$465,965,281	\$493,607,175	\$491,883,874	\$492,784,480
FTE Authorization	1,992.7	1,992.7	1,824.3	1,603.0
Agency Measures				
Minorities as a Percentage of Workforce	16.9%	18.6%	18.6%	19.0%
Females as a Percentage of Workforce	65.0%	65.0%	65.5%	65.5%
Persons with Disabilities as a Percentage of the Workforce	1.0%	1.0%	1.0%	1.0%

The Program

Department of Mental Health, Retardation and Hospitals Central Management

Program Operations

The Director provides leadership, overall policy direction, resource management, and guidance for the Department in pursuit of its mission. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Office of Operations and the Divisions of Behavioral Health Care Services, Developmental Disabilities, and Hospitals.

The Office of the Director performs the functions of departmental administration, legislative affairs, constituent affairs, policy administration, hospital governance, strategic planning, and promotion of the department's mission through public education and community forums. The Office of the Director supports the entire department by providing: coordination and management of initiatives and projects that cross all departmental program and operational units, information and systems technology management, emergency management, performance improvement, funds development, and planning and overseeing of construction and renovation of buildings which support departmental functions on the Pastore Center grounds.

Program Objectives

Provide leadership, policy direction and management guidance to assure the department's mission meets the needs of Rhode Island citizens with disabilities and those with substance abuse or addiction problems.

Redesign critical and often cross-cutting functions so that they become more responsive, efficient and effective.

Identify priority population's trends and service needs so that new and emerging needs together with established programs share existing, budgeted resources.

Expand public awareness and knowledge of the mission of the department through community forums and through advocacy, emphasizing consumer choice, consumer relations and family involvement.

Statutory History

Rhode Island General Laws 42-12.1-1 *et seq.* established the organization and functions of the Department. The Department's statutory functions are identified as Mental Health, Mental Retardation and Developmental Disabilities, Curative Services, Forensic Services, and Substance Abuse services under Rhode Island General Laws 40.1-1-1 *et seq.* A number of other functions are also assigned by statute.

The Budget

Department of Mental Health, Retardation and Hospitals Central Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,857,905	1,970,793	2,400,952	2,619,006
Operating Supplies and Expenses	224,077	319,113	109,847	102,966
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,200	1,660	1,200	(2,030,295)
Subtotal: Operating Expenditures	\$2,083,182	\$2,291,566	\$2,511,999	\$691,677
Capital Purchases and Equipment	6,946	2,856	125,450	116,009
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,090,128	\$2,294,422	\$2,637,449	\$807,686
Expenditures By Funds				
General Revenue	2,090,128	2,294,422	2,506,942	740,606
Federal Funds	-	-	130,507	67,080
Total Expenditures	\$2,090,128	\$2,294,422	\$2,637,449	\$807,686
Program Measures	NA	NA	NA	NA

The Program

Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support

Program Operations

The Office of Operations (Hospitals and Community System Support Program) provides operational support functions to both the hospital and the community patient care systems.

Financial Management provides the administrative and financial support to the entire department to insure its operational efficiency and fiscal integrity. The major functional areas include: Budget Development/Program Analysis; Business Services; Accounting and Financial Control; Federal Grants; Contract Management; Central Laundry; Revenue Collection; Billing and Accounts Receivable; Patient Resources and Benefits; and Hospital Cost/Rate Setting/Revenue Forecasting.

Program Objectives

Maintain numerous operational support functions to both the hospital and community patient care systems for Financial Management.

Statutory History

Rhode Island General Laws Title 40.1 includes provisions relating to Hospitals and Community System Support.

The Budget

Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Human Resources Management	1,719,833	1,673,873	-	-
Facilities & Maintenance	21,859,070	25,665,295	2,698,367	2,728,030
Financial Management	3,221,221	3,271,506	3,559,121	3,936,313
Total Expenditures	\$26,800,124	\$30,610,674	\$6,257,488	\$6,664,343
Expenditures By Object				
Personnel	10,239,672	10,800,399	3,813,233	4,146,536
Operating Supplies and Expenses	16,026,762	19,095,985	199,290	235,373
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,378	3,915	1,930	1,930
Subtotal: Operating Expenditures	\$26,270,812	\$29,900,299	\$4,014,453	\$4,383,839
Capital Purchases and Equipment	478,809	710,375	2,243,035	2,280,504
Debt Service	50,503	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$26,800,124	\$30,610,674	\$6,257,488	\$6,664,343
Expenditures By Funds				
General Revenue	26,229,236	29,458,597	4,044,053	4,413,439
Federal Funds	-	-	87,000	373,404
Other Funds	570,888	1,152,077	2,126,435	1,877,500
Total Expenditures	\$26,800,124	\$30,610,674	\$6,257,488	\$6,664,343
Program Measures	NA	NA	NA	NA

The Program

Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled

Program Operations

The Division of Developmental Disabilities funds a statewide network of community supports for adults with developmental disabilities. These services are both privately-operated and publicly-operated. The Division is responsible for planning, providing, and administering supports for adults with developmental disabilities by ensuring equitable access to, and allocation of, available resources; enhancing the quality of supports so that people can move toward personal futures of inclusion and participation in community life, like any other citizen; and safeguarding them from abuse, neglect and mistreatment.

The Division's goals include: (a) providing more opportunities for individuals with developmental disabilities and their families to have more control over supports and services that they purchase within the funding available from the Division, (b) providing access to information that enables them to make informed decisions, (c) assisting providers in implementing innovative and flexible supports and services that address the individual needs of a person, (d) ensuring quality services that protect the rights of individuals with developmental disabilities, (e) providing the appropriate structure within the Division to respond to the changing needs of individuals and their families, (f) providing a safe environment that assists individuals to meet their fullest potential and supports them in being meaningful participants in their community, and (g) providing a competent, caring stable workforce to provide needed supports and services for individuals with developmental disabilities.

The Division provides community day and residential services through a publicly operated program, Rhode Island Community Living and Supports (RICLAS). RICLAS supports approximately 300 people in various settings throughout Rhode Island.

Program Objectives

As the Division strives to meet the goals noted above, the following are specific program objectives for FY 2007: (a) develop a work plan for the adult DD service system that continues to move the service network toward the goals outlined above; (b) develop strategies to meet the needs of individuals who require residential supports (c) convert the service system to individualized funding so that all individuals will be aware of the level of funding that they receive from the Division, and providers will be paid through one payment system; (d) revise and update the Division's licensing regulations, and (e) continue to operate publicly-operated day and residential supports through RICLAS.

Statutory History

Titles 40.1 and 43.1 of the Rhode Island General Laws including provisions relating to Developmental Disabilities.

The Budget

Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Private Community D.D. Services	187,334,267	198,774,478	211,496,086	212,508,514
State Operated Res & Comm Svcs	43,346,592	44,825,682	43,499,467	46,512,425
Total Expenditures	\$230,680,859	\$243,600,160	\$254,995,553	\$259,020,939
Expenditures By Object				
Personnel	43,092,573	44,284,484	44,536,374	47,172,713
Operating Supplies and Expenses	2,018,910	3,198,681	1,754,227	1,750,659
Aid To Local Units Of Government	-	13,694	25,000	25,000
Assistance, Grants and Benefits	183,859,787	195,965,307	207,019,194	206,680,538
Subtotal: Operating Expenditures	\$228,971,270	\$243,462,166	\$253,334,795	\$255,628,910
Capital Purchases and Equipment	1,228,394	137,994	1,131,377	2,862,648
Debt Service	(18,805)	-	-	-
Operating Transfers	500,000	-	529,381	529,381
Total Expenditures	\$230,680,859	\$243,600,160	\$254,995,553	\$259,020,939
Expenditures By Funds				
General Revenue	102,527,356	109,403,426	118,888,380	120,100,068
Federal Funds	126,002,189	132,093,854	134,988,444	136,070,871
Other Funds	2,151,314	2,102,880	1,118,729	2,850,000
Total Expenditures	\$230,680,859	\$243,600,160	\$254,995,553	\$259,020,939
Program Measures				
Service Satisfaction - Parents and Friends for Alternative Living	89.3%	88.6%	90.0%	95.0%
Percentage of Persons Surveyed Indicated that they Received all Services that they Needed	66.3%	59.1%	65.0%	65.0%
Percentage of Persons with Developmental Disabilities Who Like Living in Their Home	90.5%	87.6%	85.0%	85.0%
Percentage of Disabled who Understand their Basic Human Rights	90.0%	90.0%	95.0%	95.0%
Percentage of Disabled who know what to do if they are a Victim of Abuse	90.0%	90.0%	95.0%	95.0%
Percentage of the Disabled who have had an Annual Physical Exam	80.9%	82.9%	85.0%	85.0%
Percentage of the Disabled who have seen a Dentist within Six Months	49.0%	57.8%	60.0%	60.0%

The Program

Department of Mental Health, Retardation and Hospitals Integrated Mental Health Services

Program Operations

Integrated Mental Health Services (IMHS) is responsible for planning, coordinating, and administering a comprehensive and integrated statewide system of mental health services. The service system that accomplishes this purpose is best described as a managed care system. The Division provides leadership, guidance, and oversight of mental health programs in the state through a series of administrative procedures including performance contracting, and continuous quality assessment and improvement programs. It is assisted by a monitoring program that involves professional accrediting organizations, staff, families, and consumers of mental health services. State law requires the Division of Behavioral Healthcare – Integrated Mental Health Services to propose, review and/or approve, as appropriate, proposals, policies, or plans involving insurance or managed care systems for mental health services in Rhode Island.

Integrated Mental Health Services is comprised of: a Clinical Advisory Committee on Mental Health, a unit for Prevention of Mental Illness and Mental Health Treatment Unit.

The planning, finance and contracting, and data management functions, which previously existed both in Integrated Mental Health and Substance Abuse have been merged and provide Division wide support in these functional areas. The Planning Unit conducts research, administers the agency's request for proposal process for prevention, treatment and planning initiatives; coordinates the development and implementation of the Substance Abuse and Mental Health Block Grants; and provides administrative support and resources for the Governor's Council on Behavioral Health. The Finance and Contract Unit provides budget, financial, contract administration and payment, and operational support to the Division. The Research, Data and Compliance Unit supports the development of decision support systems; research and data analysis; and utilization review of mental health programs.

Program Objectives

Completely implement the integrated behavioral health licensing standards through community monitoring.

Continue system-wide review of substance abuse prevention, treatment and mental health Information System needs to better respond to emerging federal performance partnership outcomes-based funding requirements.

Review residential services and revise service levels based on intensity of clinical care required.

Statutory History

Title 40, Chapters 3 and Chapter 5.4, Title 36, Chapter and Title 40.3 and Title 40.1 of the Rhode Island General Laws and the Federal Budget Reconciliation Act of 1982 all contain provisions relating to mental health services.

The Budget

Department of Mental Health, Retardation and Hospitals Integrated Mental Health Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,529,669	1,568,299	1,630,958	1,826,088
Operating Supplies and Expenses	4,516,535	4,481,310	4,094,699	4,278,914
Aid To Local Units Of Government	-	-	28,400	28,400
Assistance, Grants and Benefits	70,178,161	72,601,198	74,963,583	76,078,296
Subtotal: Operating Expenditures	\$76,224,365	\$78,650,807	\$80,717,640	\$82,211,698
Capital Purchases and Equipment	6,087	6,655	-	650,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$76,230,452	\$78,657,462	\$80,717,640	\$82,861,698
Expenditures By Funds				
General Revenue	40,340,842	42,091,175	43,190,880	43,996,721
Federal Funds	35,889,610	36,566,287	37,526,760	38,214,977
Other Funds	-	-	-	650,000
Total Expenditures	\$76,230,452	\$78,657,462	\$80,717,640	\$82,861,698
Program Measures				
System Quality: Client Ability to Control Life	77.5%	80.0%	82.0%	82.0%
Percentage of People Served who are Somewhat Satisfied with their Housing	77.5%	80.0%	82.0%	82.0%
Percentage of People who have had an Annual Exam within Twelve Months	79.6%	79.0%	80.0%	81.0%

The Program

Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

Program Operations

The Division of Hospitals and Community Rehabilitative Services provides long-term hospital care services that are licensed by the Department of Health (DOH) and accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO).

The Eleanor Slater Hospital's licensed bed capacity is 495 (Zambarano Unit 189, Regan Building 77, Virks Building 63, Mathias Building 50 and AM/Pinel 116). The Eleanor Slater Hospital is comprised of two sites, the Cranston site, with 306 beds, and the Zambarano Unit site in Burrillville, with 189 beds. The Zambarano Hospital unit of the Eleanor Slater Hospital is a long-term care hospital unit that is fully integrated into the mainstream of the hospital system. The Zambarano Unit is an important provider of long-term and specialty care services and is a vital and integral part of the Eleanor Slater Hospital, as well as the total continuum of health care in the State of Rhode Island. Hospital funding levels and full-time equivalent (FTE) position authorization dictate actual bed utilization and census.

Program Objectives

Plan, coordinate, and manage programs and services associated with the Eleanor Slater Hospital, the Dr. U.E. Zambarano Memorial Unit, and the Central Pharmacy Services Unit.

Ensure that all associated programs and services meet Joint Commission on Accreditation of Healthcare Organizations (JCAHO), Centers for Medicaid and Medicare Services (CMS), and third party standards to achieve full accreditation status and to maximize reimbursement.

Develop a continuum of residential options for psychiatric and developmentally disabled, psychogeriatric, and adult psychiatric clients.

Statutory History

Title 40, Chapter 3 of the Rhode Island General Laws and the Public Laws of 1969, Chapter 134, Section 6a, includes provisions related to the General Hospital; Title 40.1, Chapter 3 includes provisions related to Zambarano; Titles 40.1, 5.19, 21.28, 21.30 and 21.31 include provisions relative to the Central Pharmacy.

The Budget

Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Eleanor Slater Hospital	67,360,872	70,843,815	77,928,119	78,680,036
Zambarano Hospital	28,555,539	32,419,937	31,863,594	30,225,147
Central Pharmacy Services	5,494,370	6,028,411	5,984,276	5,946,255
Total Expenditures	\$101,410,781	\$109,292,163	\$115,775,989	\$114,851,438
Expenditures By Object				
Personnel	86,217,233	92,489,178	98,103,871	99,702,783
Operating Supplies and Expenses	14,865,256	16,466,299	10,437,883	8,616,366
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	220,687	218,087	4,614,875	5,807,644
Subtotal: Operating Expenditures	\$101,303,176	\$109,173,564	\$113,156,629	\$114,126,793
Capital Purchases and Equipment	100,840	118,599	2,619,360	724,645
Debt Service	6,765	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$101,410,781	\$109,292,163	\$115,775,989	\$114,851,438
Expenditures By Funds				
General Revenue	44,533,038	51,621,598	53,135,845	53,734,252
Federal Funds	56,855,689	57,624,846	60,161,029	57,577,186
Restricted Receipts	-	-	-	2,950,000
Other Funds	22,054	45,719	2,479,115	590,000
Total Expenditures	\$101,410,781	\$109,292,163	\$115,775,989	\$114,851,438
Program Measures				
Medication Error Incidents Per 10,000 Orders Filled by the Pharmacy	3.1	2.8	3.0	3.0
Pressure Ulcers as a Percent of the Total Patient Population	1.0%	1.0%	1.0%	1.0%

The Program

Department of Mental Health, Retardation and Hospitals Substance Abuse

Program Operations

Within the Division of Behavioral Healthcare Services, Substance Abuse is responsible for planning, coordinating, and administering a comprehensive statewide system of substance abuse treatment and prevention activities through contracts with community-based providers.

Specific responsibilities include: developing comprehensive statewide policies, plans and programs; assessing treatment and prevention needs and capacity; evaluating and monitoring state grants and contracts; providing technical assistance and guidance to programs, chemical dependency professionals, and the general public; and researching and recommending alternative funding and service delivery strategies to enhance system efficiency and effectiveness.

Substance Abuse is comprised of the following units: a Prevention Unit which plans and provides technical assistance, contract oversight, program development and evaluation of primary prevention and intervention services; a Treatment Unit which is responsible for the provision, availability and monitoring of contract treatment services; and the Treatment Accountability for Safer Communities (TASC) program which provides case management and intervention services to clients principally referred from the court system.

The planning, finance and contracting, and data management functions, which previously existed both in Integrated Mental Health and Substance Abuse, have been merged and provide Division wide support in these functional areas. The Planning Unit conducts research, administers the agency's request for proposal process for prevention, treatment, and planning related initiatives; coordinates the development and implementation of the Substance Abuse and Mental Health Block Grants; and provides administrative support and resources for the Governor's Council on Behavioral Health. The Finance Contract Unit provides budget, financial, contract administration and payment and operational support to the Division. The Research, Data and Compliance Unit supports the development of decision support systems, research and data analysis, and utilization review.

Program Objective

Completely implement the integrated behavioral health licensing standards through community monitoring.

Implement Prevention Program Standards for all MHRH funded and contracted prevention services.

Develop levels of care in residential services.

Statutory History

Title 40 Chapter 1 of the Rhode Island General Laws establishes the Division of Substance Abuse within the Department of Mental Health, Retardation and Hospitals.

The Budget

Department of Mental Health, Retardation and Hospitals Substance Abuse

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,892,911	2,223,759	2,513,203	2,321,646
Operating Supplies and Expenses	4,175,824	354,424	204,615	175,024
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	22,659,102	26,543,775	28,568,109	25,666,706
Subtotal: Operating Expenditures	\$28,727,837	\$29,121,958	\$31,285,927	\$28,163,376
Capital Purchases and Equipment	25,100	30,336	213,828	415,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$28,752,937	\$29,152,294	\$31,499,755	\$28,578,376
Expenditures By Funds				
General Revenue	14,617,522	14,999,059	16,291,898	16,248,482
Federal Funds	14,031,910	14,063,690	14,819,029	11,839,894
Restricted Receipts	50,000	6,776	190,000	90,000
Other Funds	53,505	82,769	198,828	400,000
Total Expenditures	\$28,752,937	\$29,152,294	\$31,499,755	\$28,578,376
Program Measures				
Percentage of People on Methadone who have had an Annual Exam within Twelve Months	100.0%	100.0%	100.0%	100.0%
Surveyed Tobacco Outlets Selling Tobacco Products to Youth Under 18	9.1%	9.0%	9.0%	9.0%
Surveyed Sites Selling Alcohol to Youth Under 21	18.2%	17.0%	16.0%	16.0%

The Program

Department of Mental Health, Retardation and Hospitals Internal Service Programs

Program Operations

The Internal Service Programs include those services needed by state operated programs and activities which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency, both on an actual and budget basis.

Internal Service Programs which are operated by the Department of Mental Health, Retardation and Hospitals include the Central Pharmacy and the Central Laundry. The Central Laundry serves patients at the Eleanor Slater Hospital. The Central Pharmacy provides services to the Eleanor Slater Hospital including the Zambarano Unit, the Department of Corrections, and the Rhode Island Veterans' Home, 43 group homes operated by the Department and 13 Community Pharmacies.

Program Objective

Provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by Title 35 Chapter 5 of the Rhode Island General Laws to establish a system of rotary or rotating funds in any state department or agency.

The Budget

Department of Mental Health, Retardation and Hospitals Internal Service Programs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
MHRH Drug Rotary	9,423,559	9,276,097	10,244,087	10,274,366
MHRH Laundry Rotary	1,403,698	1,398,542	1,199,332	1,266,493
Total Expenditures	\$10,827,257	\$10,674,639	\$11,443,419	\$11,540,859
Expenditures By Object				
Personnel	1,239,045	1,233,925	1,305,468	1,402,908
Operating Supplies and Expenses	9,468,572	9,341,672	10,137,951	10,137,951
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$10,707,617	\$10,575,597	\$11,443,419	\$11,540,859
Capital Purchases and Equipment	106,634	99,042	-	-
Debt Service	13,006	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$10,827,257	\$10,674,639	\$11,443,419	\$11,540,859
Expenditures By Funds				
Internal Service Funds	10,827,257	10,674,639	11,443,419	11,540,859
Total Expenditures	\$10,827,257	\$10,674,639	\$11,443,419	\$11,540,859

The Agency

Office of the Child Advocate

Agency Operations

The Office of the Child Advocate is charged with fulfilling a statutory mandate to protect the legal, civil and special rights of all children and youth involved in the care of the Department of Children, Youth, and Families (DCYF). In so doing, the Office strives to improve conditions and circumstances through monitoring, compliance, and advocacy.

The office is staffed with 5.8 authorized full-time equivalent (FTE) positions. The office is responsible for oversight of DCYF care for 5,300 children and yearly monitoring site visits to monitor their care at 120 group homes and residential programs in the state. The office also provides direct legal representation for at least 35-40 children voluntarily placed in state care.

The office reviews and monitors systematic and individual issues related to 250 residents at the Rhode Island Training School. It provides direct oversight and on-site visits on a weekly basis. The Office of the Child Advocate also files Victim Compensation claims for children who have been physically and/or sexually assaulted and are in the care of the State.

Agency Objectives

To monitor the Department of Children, Youth and Families to ensure that it offers children in its care adequate protection and quality services, while affording these children respect for their individual rights and dignity. These activities include, but are not limited to, public education, legislative advocacy, investigation, and litigation.

To review and make recommendations regarding the Department of Children, Youth and Families procedures; to investigate institutional abuse allegations and child fatalities; to provide information and referral on matters relating to children; participate in voluntary admissions procedures; to monitor child placements; to conduct annual site visits at residential/group care programs; and to review the Department of Children, Youth and Families' compliance with day-care licensing laws.

Statutory History

In 1980, the General Assembly created the Office of the Child Advocate. Its powers and duties are codified in R.I.G.L. 42-73. The office of the Child Advocate possesses a statutory right of access, including subpoena power, to all providers, the Family Court, Department of Children, Youth and Families, and law enforcement records. There is also a right of physical access to all child-care programs and children in care.

In 1992, the office of the Child Advocate's responsibilities with respect to children in state care were statutorily expanded to include review of child fatality cases and representation of child victims of crime pursuant to the Criminal Injuries Compensation Act.

The Budget

Office of the Child Advocate

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	285,679	375,427	513,972	540,102
Operating Supplies and Expenses	80,632	76,008	75,874	20,655
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	54,563	-	-	-
Subtotal: Operating Expenditures	\$420,874	\$451,435	\$589,846	\$560,757
Capital Purchases and Equipment	-	4,975	6,828	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$420,874	\$456,410	\$596,674	\$560,757
Expenditures By Funds				
General Revenue	339,721	445,877	558,674	520,757
Federal Funds	81,153	10,533	38,000	40,000
Total Expenditures	\$420,874	\$456,410	\$596,674	\$560,757
FTE Authorization	5.8	6.1	5.8	5.8
Agency Measures				
Minorities as a Percentage of the Workforce	10.0%	10.0%	10.0%	10.0%
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures	NS	NS	NS	NS

The Agency

Commission on the Deaf and Hard of Hearing

Agency Operations

The Commission on the Deaf and Hard of Hearing advocates and coordinates the promotion of an environment in which deaf and hard of hearing persons in Rhode Island are afforded equal opportunity in all aspects of their lives. The commission develops policy and recommends appropriate programs and legislation to enhance cooperation and coordination among agencies and organizations now serving, or having the potential to serve, the deaf and hard of hearing. The commission also provides direct services in its operation of the Sign Language Interpreter Referral Service, and general information and referrals. Beginning in FY 2006, it also administers an Emergency Interpreter Referral Service for hours when the commission is closed. The commission is composed of 13 members, of whom nine are deaf and hard of hearing consumers.

Agency Objectives

To promote greater accessibility to services for the deaf and hard of hearing by developing awareness programs.

To conduct an ongoing needs assessment to identify and prioritize the needs of the deaf and hard of hearing populations in Rhode Island.

To provide centralized sign language interpreter referral services, including emergency referrals.

To advocate for the enactment of legislation that will promote accessibility of services.

To develop a statewide coordinating council to implement the comprehensive statewide strategic plan for children who are deaf or have hearing loss.

To oversee state agency compliance with the Americans with Disabilities Act regulations related to deaf and hard of hearing access issues through monitoring, training, teletypewriters, and interpreters.

To work with federal, state, and local organizations and agencies to improve the quality of life for deaf and hard of hearing persons in Rhode Island. To coordinate sign language and equipment interpreter services between agencies and organizations with the goal of centralizing services.

Statutory History

R.I.G.L. 23-1.8 includes provisions relating to the Commission on the Deaf and Hard of Hearing. The current commission results from the 1992 restructure of the former Commission on the Deaf and Hearing Impaired, originally established in 1977.

The Budget

Commission on the Deaf and Hard of Hearing

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	231,881	270,800	340,209	367,839
Operating Supplies and Expenses	19,454	29,590	18,815	18,815
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$251,335	\$300,390	\$359,024	\$386,654
Capital Purchases and Equipment	10,985	-	1,000	1,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$262,320	\$300,390	\$360,024	\$387,654
Expenditures By Funds				
General Revenue	262,320	300,390	342,524	370,154
Federal Funds	-	-	17,500	17,500
Total Expenditures	\$262,320	\$300,390	\$360,024	\$387,654
FTE Authorization	3.0	3.0	2.8	3.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	50.0%	33.0%	33.0%	33.0%
Persons with Disabilities as a Percentage of the Workforce	67.0%	67.0%	67.0%	67.0%
Program Measures				
Percentage of Interpreter Requests Filled with at Least 72 Hours Notice	95.0%	88.0%	90.0%	90.0%
Percentage of Information Requests Responded to with Relevant Information or Referral within One Week	91.0%	97.0%	95.0%	95.0%
Percentage of Legislation Affecting Deaf and Hard of Hearing Citizens Favorably Disposed	67.0%	67.0%	75.0%	75.0%

The Agency

Rhode Island Developmental Disabilities Council

Agency Operations

The Rhode Island Developmental Disabilities Council is the official planning and advisory body created to promote and increase opportunities and alternatives for persons with developmental disabilities and their families. The overall purpose of the council is to advocate on behalf of persons with developmental disabilities so that they have access to a comprehensive, coordinated system that offers a variety of services. Through a comprehensive planning process, the council develops a five-year state plan with annual goals and objectives. The activities of the council are designed to improve the quality of life of individuals, thus enabling them to become more independent, productive, and integrated into community life. The council is made up of 24 voting members who are appointed by the Governor and serve three-year terms. The majority of the members are individuals with developmental disabilities, their relatives or guardians. Other members include representatives of state and private service agencies. Each state and territory has a Developmental Disabilities Council. Councils are fully funded each year by a grant from the U.S. Department of Health and Human Services, Administration on Developmental Disabilities.

Agency Objectives

Develop and initiate methods to identify needs and to analyze the effectiveness and efficiency of the service system for persons with developmental disabilities and their families; and develop policy and/or program design recommendations based on needs assessment information and service system analyses/evaluation.

Develop and implement public education activities that illustrate and promote valued social roles for persons with developmental disabilities; and provide and evaluate human rights training, employment training, leadership training, and educational opportunities for persons with disabilities and their families so that they will become effective advocates in influencing public policy at the state and national level.

Promote paid work opportunities with appropriate support within community businesses and organizations to reduce reliance on segregated vocational options; and develop opportunities for inclusion and interdependent relationships between people with disabilities and their neighbors, co-workers and schoolmates to reduce social isolation.

Statutory History

Title 40.1, Chapter 1 of the Rhode Island General Laws charges the council with sole responsibility for developing a plan which addresses the care, treatment, diagnosis, rehabilitation, training or related services for individuals with developmental disabilities. The plan is now or may hereafter be required as a condition to eligibility for benefits pursuant to the provisions of an act entitled "Developmental Disabilities Assistance and Bill of Rights Act" (42 U.S.C. section 6000 et seq.).

The Budget

Rhode Island Developmental Disabilities Council

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	209,032	228,936	245,925	264,685
Operating Supplies and Expenses	32,281	36,017	32,827	33,728
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	357,381	203,445	182,541	162,698
Subtotal: Operating Expenditures	\$598,694	\$468,398	\$461,293	\$461,111
Capital Purchases and Equipment	-	-	100	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$598,694	\$468,398	\$461,393	\$461,111
Expenditures By Funds				
Federal Funds	598,694	468,398	461,393	461,111
Total Expenditures	\$598,694	\$468,398	\$461,393	\$461,111
FTE Authorization	2.0	2.0	2.0	2.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Cumulative Percentage Increase in the Number of Persons or Organizations Receiving Council Newsletter from FY 1997 Levels	58.9%	62.9%	64.5%	66.1%

The Agency

Governor's Commission on Disabilities

Agency Operations

The commission advocates for the concerns of people with disabilities, expands economic opportunities for businesses owned by or employing people with disabilities, increases the general awareness of the rights and concerns of individuals with disabilities and their families, and ensures that people with disabilities have access to their government.

The commission is responsible for coordinating compliance by state agencies with federal and state disability rights laws. It also approves or modifies state and local government agency's Open Meeting Accessibility for persons with disabilities transition plans. The commission assists local boards of canvassers to ensure all polling places are accessible and approves or rejects requests to waive the state building code's standards for accessibility at facilities to be leased by state agencies. The agency investigates disability discrimination complaints involving physical barriers at public or private facilities and ordering corrective action. Small disadvantaged businesses owned and controlled by persons with disabilities or where sixty percent (60%) of the employees are persons with disabilities, or non-profit rehabilitation facilities with state funded and state-directed public construction contracts and state contracts for goods and services are assisted. Recommendations are made for improvements for enhancing enforcement of disability parking laws.

The Commission consists of 24 gubernatorial appointed Commissioners, a staff of 6.6 persons, several college fellows and many volunteers. The Commission's volunteers produce a weekly cable TV program **ABLE TOO...**, which airs Sunday afternoons at 2:30 and Friday evenings at 8:00 on RI's Interconnect Channel C.

The Commission's Mary Brennan Fellowship Program for college students with disabilities provides career experiences for students including editing the "Legislative Letter," (a weekly newsletter on legislation affecting people with disabilities), developing and updating the Commission's website: www.disabilities.ri.gov, and providing information regarding rights and services.

Agency Objectives

The Commission's objective is to ensure that all people with disabilities are afforded the opportunities to exercise all the rights and responsibilities accorded to citizens of this State and each person with a disability is able to reach his/her maximum potential in independence, human development, productivity and self-sufficiency.

Statutory History

The Commission's responsibilities are defined in RI General Laws: 42-51; 42-87; 37-8-15 & 15.1; 42-46-13(f); 23-6-22; 37-2.2; 30-15-6; 28-5.1-9; 31-28-7.3; 42-102-2(e) and 17-9.1-31, Article I § 2, RI Constitution and federal laws: Section 504 of the Rehabilitation Act, Nondiscrimination on the Basis of Disability (29 USC 794); the Americans with Disabilities Act (42 USC 12101 et. seq.); and Section 261 of the Help America Vote Act, Election Assistance to Individuals with Disabilities (42 USC 15461).

The Budget

Governor's Commission on Disabilities

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	566,575	646,136	613,910	624,208
Operating Supplies and Expenses	28,773	30,013	27,444	54,552
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	(356)	2,850	111,505	87,438
Subtotal: Operating Expenditures	\$594,992	\$678,999	\$752,859	\$766,198
Capital Purchases and Equipment	11,455	113,817	201,881	201,881
Debt Service	-	-	-	-
Operating Transfers	99,872	-	-	-
Total Expenditures	\$706,319	\$792,816	\$954,740	\$968,079
Expenditures By Funds				
General Revenue	535,199	539,667	552,672	535,775
Federal Funds	39,209	71,905	175,604	181,692
Restricted Receipts	22,634	67,058	26,464	50,612
Operating Transfers	109,277	114,186	200,000	200,000
Total Expenditures	\$706,319	\$792,816	\$954,740	\$968,079
FTE Authorization	6.6	6.6	6.6	5.6
Agency Measures				
Minorities as a Percentage of the Workforce	35.1%	35.7%	35.7%	35.7%
Females as a Percentage of the Workforce	42.9%	28.5%	28.6%	28.6%
Persons with Disabilities as a Percentage of the Workforce	59.7%	100.0%	100.0%	100.0%
Program Measures				
Percentage of State Legislation Affecting Persons with Disabilities that is Favorably Disposed	55.8%	70.8%	50.0%	50.0%
Amount of Contracts Awarded to Disability Business Enterprises and Rehabilitation Facilities	\$127,730	\$126,730	\$133,066	\$139,720

The Agency

Commission for Human Rights

Agency Operations

The Rhode Island Commission for Human Rights enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodation statutes prohibit discrimination based on race, color, sex, disability, ancestral origin, religion, age, sexual orientation and gender identity/expression. The housing and credit statutes also prohibit discrimination based on marital status, familial status and association with members of a protected class. The housing statute additionally prohibits discrimination on the basis of status as a victim of domestic abuse. The delivery of service statute prohibits discrimination on the basis of disability.

The commission's major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a *prima facie* case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner ("PIC"). The PIC makes a formal ruling as to whether there is "Probable Cause" or "No Probable Cause" in respect to the allegations of the charge. Upon a "Probable Cause" ruling, the commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The commission renders a formal decision and order following an administrative hearing.

Agency Objective

To enforce state and federal anti-discrimination laws.

Statutory History

The commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq.; R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act); R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places); R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the commission assists the federal government in enforcing the following federal laws: Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended.

The Budget

Commission for Human Rights

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	840,073	957,731	1,055,016	1,144,574
Operating Supplies and Expenses	260,111	224,419	248,597	243,670
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,100,184	\$1,182,150	\$1,303,613	\$1,388,244
Capital Purchases and Equipment	7,278	(208)	9,696	696
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,107,462	\$1,181,942	\$1,313,309	\$1,388,940
Expenditures By Funds				
General Revenue	997,419	984,271	989,630	984,197
Federal Funds	110,043	197,671	323,679	404,743
Total Expenditures	\$1,107,462	\$1,181,942	\$1,313,309	\$1,388,940
FTE Authorization	15.0	15.0	14.5	14.5
Agency Measures				
Minorities as a Percentage of the Workforce	40.0%	40.0%	40.0%	40.0%
Females as a Percentage of the Workforce	60.0%	60.0%	66.7%	66.7%
Persons with Disabilities as a Percentage of the Workforce	33.3%	33.3%	33.3%	33.3%
Program Measures				
Average Number of Business Days from Receipt of Intake Questionnaire to Official Charge	50	55	50	46

The Agency

Office of the Mental Health Advocate

Agency Operations

The Office of the Mental Health Advocate is directed by statute to ensure the legal, civil, and special rights of people with mental illness in Rhode Island. This includes providing legal representation at involuntary commitment proceedings, monitoring procedures and policies at in-patient treatment facilities and community-based mental health programs, proposing and evaluating legislation, and litigating civil rights and treatment rights disputes. The office counsels clients of the mental health system who bring complaints involving mental health issues and quality of life issues, such as housing and protection from creditors. The office is also charged to protect the treatment rights of criminally insane persons (patients who are under criminal process) and prison inmates in the forensic hospital and to provide legal representation for indigent persons receiving in-patient substance abuse treatment. The office monitors the care of and protect the civil rights of residents of the 29 licensed mental health group homes in the State and represents residents of in-patient substance abuse facilities. In 2002, the federal government enacted new confidentiality legislation, the Healthcare Information Privacy Protection Act (HIPPA). The office is responsible for protecting the rights of patients under that statute.

Agency Objectives

Involuntary Commitment - To protect the liberty interests and treatment rights of individuals subjected to involuntary commitment and to the involuntary administration of medication in psychiatric facilities, including penal inmates.

Direct Representation and Referral of Clients of the Mental Health System - To protect the legal rights of clients of the mental health system by means of direct legal representation and/or referral to appropriate resources.

Advocacy for Improvements in the Mental Health System – To monitor and evaluate the quality of services available to clients of the mental health system, and to investigate incidents. To address shortcomings and gaps in the services and programs provided by the mental health system.

Elimination of Stigma Associated with Mental Illness – To address stigmatizing legal inequities and social barriers that impact individuals with mental illness with legislative advocacy, litigation, education, support for the mental health consumer movement, and outreach to the public at large.

Statutory History

The Office of the Mental Health Advocate was created in 1975 when the legislature re-wrote and reformed the Mental Health Law of Rhode Island. The Mental Health Advocate is codified at the R.I.G.L. 40.1-5-13, 40.1-5-22 and 24 (Public Laws 1974, Ch. 119).

The Budget

Office of the Mental Health Advocate

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	328,719	364,938	391,949	419,665
Operating Supplies and Expenses	10,964	12,436	11,464	11,234
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$339,683	\$377,374	\$403,413	\$430,899
Capital Purchases and Equipment	239	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$339,922	\$377,374	\$403,413	\$430,899
Expenditures By Funds				
General Revenue	339,922	377,374	403,413	430,899
Total Expenditures	\$339,922	\$377,374	\$403,413	\$430,899
FTE Authorization	3.7	3.7	3.7	3.7
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	75.0%	75.0%	75.0%	75.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Percentage of Treatment Rights Cases Favorably Disposed	62.7%	73.1%	70.0%	70.0%
Percentage of Involuntary Petitions Filed that are Withdrawn or Dismissed	21.3%	30.0%	30.0%	30.0%
Percentage of Confidentiality and Medical Records Cases Favorably Disposed	68.8%	91.0%	80.0%	80.0%

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Education

Department of Elementary and Secondary Education
Administration of the Comprehensive Education Strategy
Davies Career and Technical School
Rhode Island School for the Deaf
Metropolitan Career and Technical School
Education Aid
Central Falls School District
Housing Aid
Teachers' Retirement

Public Higher Education
Board of Governors/Office of Higher Education
University of Rhode Island
Rhode Island College
Community College of Rhode Island

Rhode Island Council on the Arts
Rhode Island Atomic Energy Commission
Rhode Island Higher Education Assistance Authority
Rhode Island Historical Preservation and Heritage Commission
Rhode Island Public Telecommunications
Authority-WSBE/Channel 36

Education Function Expenditures

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Expenditure by Object					
Personnel	414,228,887	437,623,578	491,478,626	475,376,498	526,938,963
Other State Operations	120,188,155	125,173,285	127,743,697	130,949,895	142,703,250
Aid to Local Units of Government	915,704,458	931,039,014	1,002,565,806	992,259,251	1,036,282,640
Assistance, Grants, and Benefits	144,398,218	153,630,663	162,373,998	169,772,292	184,978,881
Subtotal: Operating Expenditures	\$1,594,519,718	\$1,647,466,540	\$1,784,162,127	\$1,768,357,936	\$1,890,903,734
Capital Improvements	19,905,018	38,097,022	22,735,861	23,158,999	25,780,033
Capital Debt Service	2,582,404	8,033,792	24,927,351	19,651,890	28,125,738
Operating Transfers	25,362,920	25,678,580	24,961,122	27,234,223	26,437,503
Total Expenditures	\$1,642,370,060	\$1,719,275,934	\$1,856,786,461	\$1,838,403,048	\$1,971,247,008
Expenditures by Funds					
General Revenue	982,112,192	1,029,362,456	1,099,627,671	1,087,074,461	1,152,443,674
Federal Funds	194,646,164	171,917,916	200,317,124	197,421,921	196,128,476
Restricted Receipts	3,619,048	4,406,217	6,981,561	6,360,907	5,846,383
Other Funds	461,992,656	513,589,345	549,860,105	547,545,759	616,828,475
Total Expenditures	\$1,642,370,060	\$1,719,275,934	\$1,856,786,461	\$1,838,403,048	\$1,971,247,008
FTE Authorization	3,991.0	4,031.0	3,981.1	3,987.8	4,003.8
Sponsored Research Positions	781.0	785.0	785.0	785.0	785.0
FTE Total	4,772.0	4,816.0	4,766.1	4,772.8	4,788.8

The Agency

Department of Elementary and Secondary Education

Agency Operations

The Commissioner, under the direction of the Board of Regents for Elementary and Secondary Education, heads the R.I. Department of Elementary and Secondary Education (RIDE). He makes certain that RIDE pursues its mission: to lead and support schools and communities in ensuring that all students achieve at the high levels needed to lead fulfilling and productive lives, to compete in academic and employment settings, and to contribute to society. This mission statement has been included in the Governor's Comprehensive Education Strategy, and it has been given legal and fiscal force through the Governor and the Legislature's passage in 1997 of The Rhode Island Student Investment Initiative (R.I.G.L. 16-7.1).

Agency Objectives

To fulfill this mission, RIDE, led by the Commissioner of Education, under the direction of the Board of Regents for Elementary and Secondary Education, has adopted these seven priorities:

- **Alignment:** Aligning curriculum, teaching, and testing for all learners to agreed-upon expectations or standards
- **Educator Quality:** Continuously improving the skills and performance of the education workforce in Rhode Island
- **Information Systems:** Promoting information-based decisions to improve public-education outcomes
- **Accountability:** Through support and intervention, holding adults and schools accountable for student results
- **Equity and Efficiency:** Making our education system more equitable, effective, and efficient
- **Family Engagement:** Engaging families and communities in the improvement of public schools
- **School Safety and Personalization:** Providing safe and supportive environments for students and staff members in schools

Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization of RIDE.

The Budget

Department of Elementary and Secondary Education

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Admin. of Comprehensive Educ. Strategy	196,767,247	179,395,697	201,537,928	200,675,505
Davies Career and Technical Center	13,578,616	14,295,359	15,082,332	16,700,738
Rhode Island School for the Deaf	6,519,906	6,453,261	6,843,670	7,175,715
Metropolitan Career and Technical School	7,261,968	8,814,528	10,406,956	11,487,734
Education Aid	628,374,440	646,511,826	678,250,221	702,424,452
Central Falls School District	37,804,405	41,240,905	43,795,411	46,808,046
Housing Aid	42,179,853	46,623,676	46,814,982	52,861,510
Teacher Retirement	48,503,125	56,113,048	67,259,910	78,071,710
Total Expenditures	\$980,989,560	\$999,448,300	\$1,069,991,410	\$1,116,205,410
Expenditures By Object				
Salary/Wages and Benefits	40,169,332	45,414,178	49,906,610	51,561,730
Operating Supplies and Expenses	15,077,024	12,019,478	12,715,772	12,762,727
Aid To Local Units Of Government	915,704,458	931,039,014	992,259,251	1,036,282,640
Assistance, Grants and Benefits	8,161,314	10,045,989	14,566,157	14,171,268
Subtotal: Operating Expenditures	\$979,112,128	\$998,518,659	\$1,069,447,790	1,114,778,365
Capital Purchases and Equipment	1,843,486	929,641	543,620	1,427,045
Debt Service	33,946	-	-	-
Operating Funds	-	-	-	-
Total Expenditures	\$980,989,560	\$999,448,300	\$1,069,991,410	\$1,116,205,410
Expenditures By Funds				
General Revenue	793,955,132	835,821,659	884,303,258	932,174,601
Federal Funds	183,084,922	160,082,349	181,033,147	178,333,355
Restricted Receipts	2,873,249	3,335,832	4,484,864	4,456,569
Other Funds	1,076,257	208,460	170,141	1,240,885
Total Expenditures	\$980,989,560	\$999,448,300	\$1,069,991,410	\$1,116,205,410
FTE Authorization	333.1	339.1	332.2	335.2
Agency Measures				
Minorities as a Percentage of the Workforce	10.0%	10.0%	11.0%	11.0%
Females as a Percentage of the Workforce	74.6%	74.6%	74.6%	74.6%
Persons with Disabilities as a Percentage of the Workforce	6.3%	6.5%	6.5%	6.5%

The Program

Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

Program Operations

Through its Administration of the Comprehensive Education Strategy (ACES), RIDE provides leadership and support for the entire elementary and secondary education system in Rhode Island and ensures that the mission established by the Board of Regents, the Governor, and the Legislature is implemented. RIDE provides this leadership and support through its various offices: Adult Education, Assessment & Accountability, Finance, Instruction, Middle & High School Reform, Network & Information Systems, Policy (Commissioner's Office), Progressive Support & Intervention, Integrated Support for Diverse Learners, and Educator Quality & Certification. All RIDE offices work in concert to advocate for a coherent public policy on education, enhance local capacity to improve teaching and learning, sustain an effective accountability system, and build innovative partnerships that create positive change.

Program Objectives

Increase the ability of schools to use information and analyses as part of the school-improvement planning process; work with schools and districts to improve the quality and timeliness of all data collection; maintain a comprehensive education-information system that integrates local and state data; increase professional development and other learning opportunities for all educators.

Ensure that all schools and districts meet all annual measurable objectives in both English language arts and mathematics. Work directly with districts that fail to do so, offering support and, if necessary, intervention strategies, with particular attention to the urban school districts and to the student population of English-language learners.

In consort with other New England states, develop and implement grade-level and grade-span expectations for core subjects and develop appropriate assessments to measure student proficiency; improve the attendance rates, high-school graduation rate, and rate of participation on state assessments so as to meet all annual measurable objectives.

Develop, in consort with other state agencies and working through the Adult Literacy Task Force, a plan for improved adult education and workforce readiness and help schools and districts to develop personal literacy plans for all students who have not attained proficiency appropriate to their grade level.

Implement the State Improvement Plan for children with disabilities and their families; implement the new Rhode Island Diploma System, with its proficiency-based graduation requirements.

Statutory History

Title 16, Chapter 1, of the Rhode Island General Laws establishes and provides for the organization and functions of RIDE.

The Budget

Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Commissioner's Office	1,992,712	2,312,033	2,249,943	2,651,386
Finance	37,634,024	37,140,955	40,207,451	41,273,868
Network and Information System	1,958,242	2,467,900	2,354,104	2,411,488
Progressive Support and Intervention	58,003,979	50,382,919	57,289,443	55,984,946
Teacher Certification	19,522,965	16,020,400	16,793,430	16,425,059
Assessment & Accountability	8,052,264	8,648,144	9,826,695	8,978,531
Instruction	9,193,999	7,190,969	8,632,666	7,972,867
Special Populations	47,351,621	42,022,578	48,404,618	49,008,740
Middle/High School Reform	7,410,523	5,873,240	7,327,857	6,844,795
Adult Basic Education	5,646,918	7,336,559	8,451,721	9,123,825
Total Expenditures	\$196,767,247	\$179,395,697	\$201,537,928	\$200,675,505
Expenditures By Object				
Salary/Wages and Benefits	22,966,981	25,956,367	29,427,328	29,658,123
Operating Supplies and Expenses	9,773,393	7,342,723	7,695,661	7,839,235
Aid To Local Units Of Government	155,541,271	135,904,002	149,994,689	149,117,136
Assistance, Grants and Benefits	7,798,358	9,779,500	14,251,340	13,656,451
Subtotal: Operating Expenditures	\$196,080,003	\$178,982,592	\$201,369,018	\$200,270,945
Capital Purchases and Equipment	678,436	413,105	168,910	404,560
Debt Service	8,808	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$196,767,247	\$179,395,697	\$201,537,928	\$200,675,505
Expenditures By Funds				
General Revenue	15,166,510	19,985,254	20,286,731	21,720,823
Federal Funds	179,758,760	157,493,509	178,176,245	175,671,609
Restricted Receipts	1,705,117	1,871,494	3,029,066	2,996,573
Other Funds	136,860	45,440	45,886	286,500
Total Expenditures	\$196,767,247	\$179,395,697	\$201,537,928	\$200,675,505
Program Measures				
Percent of Adults Enrolled in Workforce Investment Act Funded Adult Ed Classes Who Achieve Competency at the Next Literacy Level				
Level Completion Rates Adult Basic Ed	45.0%	20.0%	46.0%	47.0%
Adult Secondary Education	70.0%	16.0%	63.0%	64.0%
English for Speakers of Other Languages	52.0%	30.0%	52.0%	53.0%
Percent of High School Parents Reporting that the School Engages Parents on School Committees such as Curriculum, Budget & School Improvement				
	34.0%	34.0%	35.0%	37.0%
High School Students Reporting that they Experience Integrated & Interdisciplinary Instruction				
	2.5	2.5	2.6	2.7

The Program

Department of Elementary and Secondary Education Davies Career-Technical High School

Program Operations

With respect to career and technical education, the Davies M. Davies Jr. Career-Technical High School provides a high-school education program in occupational areas for youths in grades 9-12. The school provides integrated academic and vocational curricula, up-to-date technology programs to meet the varying needs of all students, and strong links to business, industry, postsecondary education, and the community. Davies operates as a local education agency and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

Program Objectives

Improve student performance by providing students with the career preparation they need to compete and succeed in today's world and the world of the future and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the varying needs of students.

Establish strong partnerships with business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

The Budget

Department of Elementary and Secondary Education Davies Career and Technical School

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Salary/Wages and Benefits	11,196,927	12,176,033	12,715,490	13,767,285
Operating Supplies and Expenses	1,518,075	1,605,104	1,823,087	1,722,135
Aid To Local Units Of Government	228,721	219,989	198,433	198,433
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	12,943,723	14,001,126	14,737,010	\$15,687,853
Capital Purchases and Equipment	634,893	294,233	345,322	1,012,885
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$13,578,616	\$14,295,359	\$15,082,332	\$16,700,738
Expenditures By Funds				
General Revenue	11,951,361	12,985,228	13,621,186	14,571,572
Federal Funds	1,194,182	1,286,746	1,335,391	1,174,781
Restricted Receipts	731	10,360	1,500	-
Other Funds	432,342	13,025	124,255	954,385
Total Expenditures	\$13,578,616	\$14,295,359	\$15,082,332	\$16,700,738
Program Measures				
Percentage of Davies Students Who Drop-Out	2.1%	2.1%	2.1%	2.1%

The Program

Department of Elementary and Secondary Education Rhode Island School for the Deaf

Program Operations

The primary purpose of the Rhode Island School for the Deaf is to make the benefits of public education accessible to the hearing- and/or speech-impaired children of Rhode Island. The Board of Regents, which is responsible for the educational and internal affairs of the school, appoints a Board of Trustees. Services through the school are open to children who are hearing- and/or speech-impaired and thus require special services. Children are eligible for services from birth to age 21.

Program Objectives

Improve learning outcomes for hearing- and/or speech-impaired students, including those with additional disabilities, by providing diagnostic and educational services for attending students as well as through a Hearing/Screening Center for students in public and private schools.

Provide support services to the families of hearing- and/or speech-impaired students.

Statutory History

Title 16 Chapter 24, Section 1-11 of the Rhode Island General Laws refers to the Rhode Island School for the Deaf.

The Budget

Department of Elementary and Secondary Education Rhode Island School for the Deaf

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Salary/Wages and Benefits	5,542,020	5,824,993	6,314,946	6,664,008
Operating Supplies and Expenses	301,270	343,573	384,519	387,290
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	142,956	66,489	114,817	114,817
Subtotal: Operating Expenditures	\$5,986,246	\$6,235,055	\$6,814,282	\$7,166,115
Capital Purchases and Equipment	508,522	218,206	29,388	9,600
Debt Service	25,138	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$6,519,906	\$6,453,261	\$6,843,670	\$7,175,715
Expenditures By Funds				
General Revenue	5,747,462	6,064,415	6,441,201	6,807,792
Federal Funds	265,389	238,851	402,469	367,923
Other Funds	507,055	149,995	-	-
Total Expenditures	\$6,519,906	\$6,453,261	\$6,843,670	\$7,175,715
Program Measures				
Percentage of Deaf Students who Drop-Out	3.5%	0.0%	1.0%	0.0%

The Program

Department of Elementary and Secondary Education Metropolitan Career & Technical School

Program Operations

The Metropolitan Regional Career and Technical Center (the "Met School") is now in its 10th year of operation. The school provides students in grades 9-12 with comprehensive educational support to explore career opportunities in a real-world setting and prepares them for either further education or immediate employment upon graduation. The Metropolitan Regional Career and Technical Center operates as a local education agency, with campuses in Providence and in Newport, and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner, and the Board of Regents, the Board of Trustees has the powers and duties of a school committee.

Program Objectives

Improve performance and equip students to compete in today's world by providing them with the work and community-based opportunities they need for career and college preparation and by decreasing the number of students who drop out of school.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the needs of each student.

Establish strong partnerships with families, business, industry, postsecondary education, and the community in order to enhance students' postsecondary success by providing real-world work experience.

Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

The Budget

Department of Elementary and Secondary Education Metropolitan Career and Technical School

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Salary/Wages and Benefits	-	-	-	-
Operating Supplies and Expenses	-	-	-	-
Aid To Local Units Of Government	7,261,968	8,814,528	10,406,956	11,487,734
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$7,261,968	\$8,814,528	\$10,406,956	\$11,487,734
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$7,261,968	\$8,814,528	\$10,406,956	\$11,487,734
Expenditures By Funds				
General Revenue	7,261,968	8,814,528	10,406,956	11,487,734
Total Expenditures	\$7,261,968	\$8,814,528	\$10,406,956	\$11,487,734
Program Measures				
Percentage of Metropolitan School				
Students Who Drop-Out	2.5%	2.2%	2.5%	2.5%

The Program

Department of Elementary and Secondary Education Education Aid

Program Operations

The State of Rhode Island provides direct support to public schools and public-school students through state aid. State aid consists of ten categories of funds distributed to school districts on a monthly basis: General Aid (16-7.1-15); Student Equity Investment Fund (16-7.1-8); Early Childhood Investment Fund (16-7.1-11); Student Technology Investment Fund (16-7.1-12); Language Assistance Investment Fund (16-7.1-9); Targeted Aid (16-7.1-16); Full Day Kindergarten Aid (16-7.1-11.1); Vocational Equity Investment Fund (16-7.1-19); Group Home Aid (16-64-1.1); and the Professional Development Investment Fund (16-7.1-10).

Additional state aid is distributed to districts once a year for specific purposes, e.g., textbooks and school breakfast. Education Aid also includes aid to support charter schools as well as funding used at the state level in support of schools for accountability (e.g., SALT visits), state professional-development activities, and progressive support and intervention. In addition, money is provided to Hasbro Children's Hospital on an annual basis. A major component of education aid is the funds provided to districts and schools that are in Corrective Action or Intervention Status, under the terms of the federal No Child Left Behind Act. These funds are used for Progressive Support & Intervention activities (16-7.1-5) and are used primarily in the urban districts in the state.

Program Objectives

State aid will be linked through school-district strategic planning efforts to activities that increase student performance in reading, language arts, and mathematics.

School districts with schools in need of improvement will work with the Department of Elementary and Secondary Education to use state aid in conjunction with local and federal resources to increase student and school performance.

Statutory History

Title 16 of the Rhode Island General Laws establishes and provides for the organization and functions of the department. Title 16, Chapters 7 through 47 of the Rhode Island General Laws refers to education aid.

The Budget

Department of Elementary and Secondary Education Education Aid

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Salary/Wages and Benefits	463,404	1,456,785	1,448,846	1,472,314
Operating Supplies and Expenses	3,484,286	2,728,078	2,812,505	2,814,067
Aid To Local Units Of Government	624,185,115	642,122,866	673,788,870	697,738,071
Assistance, Grants and Benefits	220,000	200,000	200,000	400,000
Subtotal: Operating Expenditures	\$628,352,805	\$646,507,729	\$678,250,221	\$702,424,452
Capital Purchases and Equipment	21,635	4,097	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$628,374,440	\$646,511,826	\$678,250,221	\$702,424,452
Expenditures By Funds				
General Revenue	625,340,448	643,994,605	675,676,881	699,845,414
Federal Funds	1,866,591	1,063,243	1,119,042	1,119,042
Restricted Receipts	1,167,401	1,453,978	1,454,298	1,459,996
Total Expenditures	\$628,374,440	\$646,511,826	\$678,250,221	\$702,424,452
Program Measures				
Average Score - English Language Arts - High School - Urban Districts	75.3	76.9	79.0	81.0
Average Score - English Language Arts - High School - All Other Districts	86.7	87.4	89.5	91.5
Average Score - Mathematics - High School Level - Urban Districts	60.3	58.3	60.3	62.3
Average Score - Mathematics - High School - All Other Districts	78.0	76.2	78.3	80.3
Average Score - English Language Arts - Middle Level - Urban Districts	-	72.4	75.4	79.0
Average Score - English Language Arts - Middle Level - All Other Districts	-	88.4	91.5	96.0
Average Score - Mathematics - Middle School Level - Urban Districts	-	64.7	68.0	71.0
Average Score - Mathematics - Middle School Level - All Other Districts	-	83.2	86.5	89.5
Percentage of RI High School Students who Graduate from the 12th Grade	85.0%	85.0%	86.0%	86.0%
Average Annual Attendance Rate for Elementary Schools	94.7%	94.9%	95.0%	95.0%
Average Annual Attendance Rate for Middle Schools	93.0%	93.9%	94.0%	94.0%

The Program

Department of Elementary and Secondary Education Central Falls School District

Program Operations

The Central Falls School District provides a comprehensive educational program for students in grades pre-K–12. The system, which is fully funded by the State, operates under the governance of a Board of Trustees appointed by the Board of Regents. A very high percentage of students are eligible for free lunch; there is broad language, cultural, and racial diversity; a large number of students are eligible for English-as-a-Second-Language (ESL) services. Special Education services are provided to approximately 30 percent of the school population, with the majority of the students receiving services in the least-restrictive environment. The district's mobility rate is very high, and the expenditure for general instruction is below the state average.

Program Objectives

Improve student performance by providing a comprehensive educational program for students in grades pre-K–12 in the Central Falls School District and by decreasing the number of students who drop out of school.

Improve student performance by promoting a standards-based curriculum and setting high expectations for each student and every kind of learner.

Provide teachers with ongoing professional-development opportunities geared to school improvement.

Continue to promote community linkages and to engage families, with a focus on their children's learning.

Collaborate with the Children's Cabinet to expand early-childhood care and education programs and services.

Statutory History

Title 16, Chapter 16, Section 11 of the Rhode Island General Laws refers to the powers of the department after taking over town schools.

The Budget

Department of Elementary and Secondary Education Central Falls School District

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Salary/Wages and Benefits	-	-	-	-
Contracted Professional Services	-	-	-	-
Aid To Local Units Of Government	37,804,405	41,240,905	43,795,411	46,808,046
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$37,804,405	\$41,240,905	\$43,795,411	\$46,808,046
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$37,804,405	\$41,240,905	\$43,795,411	\$46,808,046
Expenditures By Funds				
General Revenue	37,804,405	41,240,905	43,795,411	46,808,046
Total Expenditures	\$37,804,405	\$41,240,905	\$43,795,411	46,808,046
Program Measures				
Percentage of Central Falls Students Who Drop-Out	26.0%	37.1%	25.0%	25.0%

The Program

Department of Elementary and Secondary Education Housing Aid

Program Operations

The Department of Elementary and Secondary Education calculates and disburses funding for school housing aid. This aid is provided in order to guarantee adequate school housing for all public-school children in the State and to prevent the cost of school housing from interfering with the effective operation of the schools. The program proposes support of school-district building and infrastructure needs based on reimbursement of successfully completed locally funded capital projects. The reimbursement is linked to district wealth, with poorer communities receiving a higher reimbursement than wealthy districts. The minimum reimbursement is 30 percent, with bonuses for regional school districts and for projects involving asbestos abatement, handicapped accessibility, and energy-conservation work. Capital repairs, renovations, and new construction are all covered by this program.

Program Objectives

The State will successfully support the capital needs of school districts in an equitable fashion.

Statutory History

Title 16, Chapter 7, Sections 35 through 47 established and describes the operation of the School Housing Aid Reimbursement Program.

The Budget

Department of Elementary and Secondary Education Housing Aid

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Salary/Wages and Benefits	-	-	-	-
Operating Supplies and Expenses	-	-	-	-
Aid To Local Units Of Government	42,179,853	46,623,676	46,814,982	52,861,510
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$42,179,853	\$46,623,676	\$46,814,982	\$52,861,510
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$42,179,853	\$46,623,676	\$46,814,982	\$52,861,510
Expenditures By Funds				
General Revenue	42,179,853	46,623,676	46,814,982	52,861,510
Total Expenditures	\$42,179,853	\$46,623,676	\$46,814,982	\$52,861,510
 Program Measures	 NS	 NS	 NS	 NS

The Program

Department of Elementary and Secondary Education Teachers' Retirement

Program Operations

Membership in the State Employees' Retirement System for teachers began on July 1, 1949. All persons who became teachers on or after that date became members of the state retirement system as a condition of their employment. Funds for the State's contribution to teachers' retirement are appropriated to the Department of Elementary and Secondary Education for transfer to the state retirement fund. The State pays 40 percent of the employer's (i.e., district's) share of the retirement contribution due each year. The employer's share is determined annually, based on actuarial reports produced by the State Employees' Retirement System.

Program Objectives

Fund the State's contribution to the state retirement system for teachers.

Statutory History

Title 16, Chapter 13 of the Rhode Island General Laws refers to the teachers' retirement program.

The Budget

Department of Elementary and Secondary Education Teacher Retirement

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Salary/Wages and Benefits	-	-	-	-
Operating Supplies and Expenses	-	-	-	-
Aid To Local Units Of Government	48,503,125	56,113,048	67,259,910	78,071,710
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$48,503,125	\$56,113,048	\$67,259,910	\$78,071,710
Capital Purchases and Equipment	-	-	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$48,503,125	\$56,113,048	\$67,259,910	\$78,071,710
Expenditures By Funds				
General Revenue	48,503,125	56,113,048	67,259,910	78,071,710
Total Expenditures	\$48,503,125	\$56,113,048	\$67,259,910	\$78,071,710
Program Measures	NS	NS	NS	NS

The Agency

Public Higher Education

Agency Operations

The mission of the Rhode Island Board of Governors for Higher Education is to provide an excellent, efficient, accessible and affordable system of higher education designed to improve the overall educational attainment of Rhode Islanders and thereby enrich the intellectual, economic, social and cultural life of the state, its residents and its communities.

The Board consists of twelve public members appointed by the Governor and the Chair of the Board of Regents for Elementary/Secondary Education. The Board is staffed by the Office of Higher Education under the direction of the Commissioner who also serves as Chief Executive Officer of the Board.

The Office of Higher Education is the policy development, research and monitoring arm of the Board of Governors. The Office is organized into four units: Academic and Student Affairs, External Affairs, Legal and Labor Relations, and Finance and Management. Its principal responsibilities include: the preparation of a public higher education budget and capital development program and the development of policies in the pursuit of the primary goal of improving overall educational attainment in the state through a commitment to excellence, opportunity and access, diversity and responsiveness, coordination, and accountability in public higher education.

Statutory History

In 1981, The Rhode Island Legislature reorganized the governance of education in Rhode Island in the effect dividing the Board of Regents, then responsible for education from kindergarten through the graduate level, into two governing units -- the Board of Regents, which retained responsibility for Elementary/Secondary Education, and the Board of Governors, which was given responsibility for higher education. The legislature held that the Board of Governors not be a Department of State government, but rather an independent public corporation which acts in concert and cooperation with the executive and legislative branches in the best interest of the higher education needs of Rhode Islanders. This legal independence applies not only to matters of educational policy, but also to fiscal and budgetary matters, personnel administration and property ownership and control.

The Budget

Public Higher Education

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Board of Governors/OHE	8,410,721	9,745,086	11,572,433	11,817,354
University of Rhode Island	413,144,967	460,364,203	484,461,747	527,721,752
Rhode Island College	110,720,656	116,058,473	126,299,337	148,803,068
Community College of Rhode Island	97,196,939	101,292,430	107,432,102	119,511,876
Total Expenditures	\$629,473,283	\$687,460,192	\$729,765,619	807,854,050
Expenditures By Object				
Personnel	363,740,265	381,410,862	411,333,829	457,919,530
Operating Supplies and Expenses	102,513,138	110,074,540	113,572,555	124,605,705
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	118,879,312	126,624,762	136,284,650	146,989,886
Subtotal: Operating Expenditures	\$585,132,715	\$618,110,164	\$661,191,034	\$729,515,121
Capital Purchases and Equipment	16,429,035	35,637,656	21,688,472	23,775,688
Debt Service	2,548,613	8,033,792	19,651,890	28,125,738
Operating Transfers	25,362,920	25,678,580	27,234,223	26,437,503
Total Expenditures	\$629,473,283	\$687,460,192	\$729,765,619	\$807,854,050
Expenditures By Funds				
General Revenue	173,432,404	180,371,797	189,491,502	197,605,320
Federal Funds	2,527,352	1,347,949	3,146,976	3,526,446
Restricted Receipts	532,955	763,276	1,384,896	893,520
Other Funds	452,980,572	504,977,170	535,742,245	605,828,764
Total Expenditures	\$629,473,283	\$687,460,192	\$729,765,619	\$807,854,050
FTE Authorization	3,556.7	3,589.7	3,554.8	3,567.8
Sponsored Research	781.0	785.0	785.0	785.0
Total	4,337.7	4,374.7	4,339.8	4,352.8
Agency Measures				
Minorities as a Percentage of the Workforce	10.6%	10.6%	10.6%	15.4%
Females as a Percentage of the Workforce	57.1%	57.1%	57.1%	69.2%
Persons with Disabilities as a Percentage of the Workforce	3.6%	-	-	-

The Program

Public Higher Education Board of Governors/Office of Higher Education

Program Operations

The Board of Governors for Higher Education was established by the General Assembly in 1981.

Program Objective

To ensure that public higher education remains economically and geographically accessible to all qualified residents in Rhode Island; deliver public higher education programs on a cost-effective basis; remain coordinated in program offerings, responsive in services and accountable in operations; and to pursue the major goal of excellence in education.

Statutory History

The Board of Governors is established under Title 16, Chapter 59 of the Rhode Island General Laws, and is successor to the authority previously exercised over public higher education by the Board of Regents for Education until 1981. At that time, the Board of Regents was abolished and its authority vested in three new entities: The Board of Governors for Higher Education; the Board of regents for Elementary/Secondary Education as designated in Title 26, Chapter 60; and the Rhode Island Public Telecommunications Authority as designated in Title 16, Chapter 61 of the Rhode Island General Laws.

The Budget

Public Higher Education Board of Governors/Office of Higher Education

	FY 2006 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,814,988	1,850,078	2,847,806	2,544,407
Operating Supplies and Expenses	1,747,430	1,651,112	1,815,983	1,521,167
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,839,395	5,029,139	6,908,644	7,732,780
Subtotal: Operating Expenditures	\$8,401,813	\$8,530,329	\$11,572,433	\$11,798,354
Capital Purchases and Equipment	8,908	3,550	-	19,000
Debt Service	-	1,211,207	-	-
Total Expenditures	\$8,410,721	\$9,745,086	\$11,572,433	\$11,817,354
Expenditures By Funds				
General Revenue	5,883,369	8,246,513	7,734,081	8,090,908
Federal Funds	2,527,352	1,347,949	3,146,976	3,526,446
Restricted Receipts	-	150,624	691,376	200,000
Total Expenditures	\$8,410,721	\$9,745,086	\$11,572,433	\$11,817,354
Program Measures				
Public Higher Education Enrollees Aged 18-24 as a Percentage of State Population Aged 18-24	22.7%	19.2%	19.2%	19.2%

The Program

Public Higher Education University of Rhode Island

Program Operations

The University of Rhode Island is the principal public research and graduate institution in the State of Rhode Island with responsibilities for expanding knowledge, for transmitting it, and for fostering its application. Its status as a land grant, sea grant, and urban grant institution highlights its traditions of natural resource, marine, and urban-related research. The University is committed to providing strong undergraduate programs to promote students' ethical development and capabilities as critical and independent thinkers. To meet student and societal needs, it offers undergraduate professional education programs in a wide range of disciplines. Graduate programs provide rigorous advanced study and research opportunities for personal and professional development. With undergraduate and graduate programs in the liberal arts and sciences and focus programs in the areas of marine and environmental studies; health; children, families, and communities; and enterprise and advanced technology, the University strives to meet the rapidly changing needs of the State, the country, and the world.

Program Objective

Fulfill the education mission of the University by providing traditional and non-traditional opportunities for education at the undergraduate and graduate levels, conduct research, and support other scholarly activities.

Statutory History

Title 16, Chapters 31 and 32 of the Rhode Island General Laws relate to the University of Rhode Island.

The Budget

Public Higher Education University of Rhode Island

	FY 2006 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	218,115,728	227,959,036	244,919,278	266,871,357
Operating Supplies and Expenses	69,808,229	75,695,010	78,792,430	84,628,274
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	89,784,257	98,494,793	105,395,405	113,065,882
Subtotal: Operating Expenditures	\$377,708,214	\$402,148,839	\$429,107,113	\$464,565,513
Capital Purchases and Equipment	9,487,835	29,066,546	15,392,890	16,662,082
Debt Service	2,308,107	5,153,819	14,622,593	22,127,173
Operating Transfers	23,640,811	23,994,999	25,339,151	24,366,984
Total Expenditures	\$413,144,967	\$460,364,203	\$484,461,747	\$527,721,752
Expenditures By Funds				
General Revenue	81,841,071	82,378,400	85,900,766	89,150,084
Other Funds	331,303,896	377,985,803	398,560,981	438,571,668
Total Expenditures	\$413,144,967	\$460,364,203	\$484,461,747	\$527,721,752
Program Measures				
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	8.9%	7.9%	7.4%	6.0%
Minority Enrollment - African Americans	4.2%	4.4%	4.4%	4.9%
Minority Enrollment - Hispanics	4.1%	4.1%	4.1%	4.6%
Minority Enrollment - Native Americans	0.3%	0.3%	0.3%	0.4%
Minority Enrollment - Asians	2.9%	2.7%	2.7%	2.4%
Percentage Nursing Students Passing State Licensing Exams	87.8%	87.8%	87.8%	88.0%
Six-Year Graduation Rates	55.8%	55.8%	56.9%	56.9%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	79.1%	80.2%	80.6%	80.6%

The Program

Public Higher Education Rhode Island College

Program Operations

Rhode Island College is the State's comprehensive public institution of higher education. Its primary mission is to make its academic programs available to any qualified resident of Rhode Island who can benefit from its educational services. The College offers programs of study in that array of disciplines traditionally considered as the liberal arts, including humanities, social sciences, sciences, and fine arts, and a broad array of professional offerings in the health and helping professions, education, management, and technology. Selected curricula are offered at the graduate level within the liberal arts and in applied and practitioner oriented fields within the service sector. Liberal education refers to learning opportunities designed to ensure that students have a breadth of educational experiences, which foster the development of insights and multiple perspectives on ideas, issues, and events, as well as an appreciation of the interconnectedness of fields of knowledge, and the value dimensions of the human condition.

Program Objective

The College is dedicated to making a high quality education accessible to a diverse group of students. Through its educational, social and cultural programs and activities, the College seeks to contribute to the professional mobility and advancement of its students and alumni, to the economic development of the State of Rhode Island, and to the enrichment of the larger community.

Statutory History

Title 16, Chapters 31 and 33 of the Rhode Island General Laws relate to Rhode Island College.

The Budget

Public Higher Education Rhode Island College

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	76,851,333	81,134,339	87,568,200	102,595,834
Operating Supplies and Expenses	16,879,141	17,223,831	16,976,975	21,657,483
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	11,960,392	11,965,293	12,588,630	13,718,590
Subtotal: Operating Expenditures	\$105,690,866	\$110,323,463	\$117,133,805	\$137,971,907
Capital Purchases and Equipment	3,204,030	4,011,604	4,008,252	4,520,229
Debt Service	240,506	232,093	3,447,717	4,415,167
Operating Transfers	1,585,254	1,491,313	1,709,563	1,895,765
Total Expenditures	\$110,720,656	\$116,058,473	\$126,299,337	\$148,803,068
Expenditures By Funds				
General Revenue	43,892,352	44,301,506	47,338,089	49,358,114
Other Funds	66,828,304	71,756,967	78,961,248	99,444,954
Total Expenditures	\$110,720,656	\$116,058,473	\$126,299,337	\$148,803,068
Program Measures				
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	8.6%	7.7%	6.2%	6.0%
Minority Enrollment - African Americans	3.5%	4.9%	4.3%	5.0%
Minority Enrollment - Hispanics	4.4%	4.9%	4.7%	5.0%
Minority Enrollment - Native Americans	0.3%	0.2%	0.3%	0.3%
Minority Enrollment - Asians	1.6%	1.8%	1.6%	1.6%
Percentage Nursing Students Passing State Licensing Exams	89.3%	88.1%	95.7%	90.0%
Six-Year Graduation Rates	42.6%	43.7%	45.0%	45.0%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	77.7%	77.5%	75.7%	77.0%

The Program

Public Higher Education Community College of Rhode Island

Program Operations

The Community College of Rhode Island is the largest public, two-year degree-granting college in New England. The Community College provides a variety of vocational, technical and academic programs at campuses in Warwick, Lincoln and Providence.

As a community-based college, the Community College is also committed to providing a wide range of programs, workshops and seminars to benefit area students, businesses and governmental agencies. In every sense, the Community College of Rhode Island strives to meet the educational needs of the commuters and the people of the state.

Program Objective

Fulfill the education mission of the Community College by providing academic transfer and career-oriented training of the highest caliber giving special attention to the quality of offerings and effectiveness of instruction, and offering students support services necessary to achieve their educational goals.

Statutory History

Title 16, Chapters 31, 33.1, and 44 of the Rhode Island General Laws relate to the Community College of Rhode Island.

The Budget

Public Higher Education Community College of Rhode Island

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	66,958,216	70,467,409	75,998,545	85,907,932
Operating Supplies and Expenses	14,078,338	15,504,587	15,987,167	16,798,781
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	12,295,268	11,135,537	11,391,971	12,472,634
Subtotal: Operating Expenditures	\$93,331,822	\$97,107,533	\$103,377,683	\$115,179,347
Capital Purchases and Equipment	3,728,262	2,555,956	2,287,330	2,574,377
Debt Service	-	1,436,673	1,581,580	1,583,398
Operating Transfers	136,855	192,268	185,509	174,754
Total Expenditures	\$97,196,939	\$101,292,430	\$107,432,102	\$119,511,876
Expenditures By Funds				
General Revenue	41,815,612	45,445,378	48,518,566	51,006,214
Restricted Receipts	532,955	612,652	693,520	693,520
Other Funds	54,848,372	55,234,400	58,220,016	67,812,142
Total Expenditures	\$97,196,939	\$101,292,430	\$107,432,102	\$119,511,876
Program Measures				
Percentage Change in Tuition and Mandatory Fees (In-State) from Previous Year	9.0%	6.9%	8.7%	6.0%
Minority Enrollment - African Americans	6.9%	8.1%	8.1%	8.1%
Minority Enrollment - Hispanics	9.3%	10.2%	10.6%	10.6%
Minority Enrollment - Native Americans	0.6%	0.6%	0.6%	0.6%
Minority Enrollment - Asians	2.7%	2.7%	2.7%	2.7%
Percentage Nursing Students Passing State Licensing Exams (RN)	80.2%	86.2%	86.2%	86.2%
Percentage Nursing Students Passing State Licensing Exams (LPN)	76.4%	95.0%	95.0%	95.0%
Student Success Rate	28.0%	32.0%	32.0%	32.0%
First-Year Retention Rates of First-Time, Degree-Seeking Freshman	58.0%	57.0%	57.0%	58.0%

The Agency

Rhode Island Council on the Arts

Agency Operations

The Rhode Island State Council on the Arts was established in 1967. It provides grants, technical assistance and support to arts organizations, schools, community centers, social service organizations, and local governments to bring the arts into the lives of Rhode Islanders. For effective delivery of services to the field, the agency's activities are guided by three core functions: grant-making, partnerships, and facilitation.

Funding within the agency's various grant categories allows the council to address its primary function by awarding grants to non-profit organizations and providing arts programming to support non-profit organizations, schools and individual artists.

The second core function refers to developing strategic partnerships with major institutions and entities from the public and private sectors in order to broaden support for the arts. Current partners are: the National Endowment for the Arts, the New England Foundation for the Arts, the Rhode Island Foundation, the Alliance for Arts Education, Business Volunteers for the Arts, and the State Department of Elementary and Secondary Education.

The third core function of the council includes providing technical assistance and information services. These services include management assistance to individual artists and arts organizations, workshops and general dissemination of information through a wide range of publications.

Motion pictures and television play a significant role in the cultural life of the state. Film and TV has grown during the past several decades into an important art form, and film and TV on the national and international level is a formidable economic engine. The combination of the Rhode Island Film & Television Office with the Rhode Island State Council on the Arts reinforces state support for these art forms, enhances the attractiveness of the state to national and international productions, and facilitates economic progress for the state.

The Art for Public Facilities Program allows the council to acquire works of art for state buildings regularly accessible to the general public in order to expand the public experience of art. The council coordinates the process for commissioning art in public facilities in compliance with the Allocation for Art for Public Facilities Act.

Agency Objectives

To ensure that the arts in Rhode Island communities continue to grow and play an increasing role in the welfare and educational experience of Rhode Islanders.

Statutory History

R.I.G.L. 42-75 defines the role of the council.

The Budget

Rhode Island Council on the Arts

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Operating Support	1,178,651	2,043,964	2,714,968	4,969,188
Grants	1,328,547	582,199	1,281,801	1,266,801
Film Commission	-	-	263,532	273,464
Total Expenditures	\$2,507,198	\$2,626,163	\$4,260,301	\$6,509,453
Expenditures By Object				
Personnel	513,120	595,632	1,501,930	3,757,562
Operating Supplies and Expenses	70,709	103,705	122,031	113,798
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,917,294	1,880,491	2,636,340	2,638,093
Subtotal: Operating Expenditures	\$2,501,123	\$2,579,828	\$4,260,301	\$6,509,453
Capital Purchases and Equipment	6,075	46,335	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,507,198	\$2,626,163	\$4,260,301	\$6,509,453
Expenditures By Funds				
General Revenue	1,791,045	1,987,619	2,764,965	2,803,000
Federal Funds	677,768	584,039	695,336	706,453
Restricted Receipts	38,385	-	-	-
Other Funds	-	54,505	800,000	3,000,000
Total Expenditures	\$2,507,198	\$2,626,163	\$4,260,301	\$6,509,453
FTE Authorization	7.0	8.0	8.6	8.6
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	71.4%	75.0%	69.8%	69.8%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Number of Artists Participating in Council-Assisted Programs	12,500	10,478	10,250	10,000
Individuals Benefiting from Council-Assisted Programs	2,000,000	1,872,146	1,873,646	1,873,646

The Agency

Rhode Island Atomic Energy Commission

Agency Operations

The Rhode Island Nuclear Science Center (RINSC) is used for medical, biological, environmental, and materials research, education and commercial activities. The staff runs the Radiation Safety Program for the University of Rhode Island. The Director serves on the State Radiation Advisory Commission and has taken over responsibility for low-level radioactive waste disposal activities.

The center's state-of-the-art analytic laboratories and equipment are currently being used for several environmental monitoring programs sponsored by the Department of Environmental Management, the Narragansett Bay Commission and other agencies. Several years ago, the facility completed a multi-year, \$3 million dollar reactor upgrade program financed through Department of Energy Grants. In 1993, the reactor was converted to a new low enriched uranium fuel system that has greatly reduced security requirements and associated costs while providing a significant improvement in performance. Subsequent grants have resulted in the addition of required mechanical and electronic equipment necessary to substantially increase reactor capability. These improvements will permit the RINSC to compete successfully for production of medical isotopes and will provide the necessary neutron flux to conduct Neutron Capture Therapy that is a promising new method of curing brain cancer and skin cancer. Engineering, design and fabrication work is currently in progress for the construction of a cancer treatment facility and researchers at Brown University, and the RINSC has received a grant to develop new compounds for use at this facility. This multi-year grant supports a collaborative effort with the Massachusetts Institute of Technology (MIT) to develop a successful treatment for one of the most deadly forms of brain cancer.

A laboratory for the development of new radio-pharmaceuticals has been completed by R.I. Consultants. This company recently developed a new method of utilizing radio-isotopes to prevent clogging of the arteries after angioplasty and they are currently developing new products for several research groups. BioPAL Incorporated is making extensive use of the reactor to conduct analysis of medical samples for a variety of treatment and research purposes. They have developed a new method of using medical isotopes that eliminate the dose to patients during diagnostic treatments. SubChem Systems Inc. has just completed a new laboratory building on the South Lab Wing for the development of underwater sensors for weapons of mass destruction. RINSC is located at the University of Rhode Island, Bay Campus, in Narragansett. The center contains a state-of-the-art nuclear counting system, laboratories, a mass spectrometer, a class-100 clean room and facilities for handling and storage of radioactive material. The Rhode Island Nuclear Science Center has operated on a daily basis without incident since 1962.

Agency Objectives

To operate and maintain the facilities at the RINSC, to support projects in all areas and to actively seek commercial projects, and to provide assistance to other state agencies in their radiation and emergency response programs.

Statutory History

R.I.G.L. 42-27 establishes the commission for matters relating to nuclear power.

The Budget

Rhode Island Atomic Energy Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	782,131	867,928	907,640	949,759
Operating Supplies and Expenses	57,556	213,907	378,844	455,764
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	20,705	20,940
Subtotal: Operating Expenditures	\$839,687	\$1,081,835	\$1,307,189	\$1,426,463
Capital Purchases and Equipment	136,755	13,526	18,247	55,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$976,442	\$1,095,361	\$1,325,436	\$1,481,463
Expenditures By Funds				
General Revenue	716,418	799,460	810,531	819,869
Federal Funds	64,899	136,215	340,705	420,940
Other Funds	195,125	159,686	174,200	240,654
Total Expenditures	\$976,442	\$1,095,361	\$1,325,436	\$1,481,463
FTE Authorization	8.6	8.6	8.6	8.6
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	33.3%	33.3%	33.3%	33.3%
Persons with Disabilities as a Percentage of the Workforce	11.0%	11.0%	11.0%	11.0%
Program Measures				
Actual Operational Hours Spent as a Percentage of Operational Hour Goal of 1,820	34.9%	26.5%	36.0%	38.0%
Actual Irradiations Provided as a Percentage of Irridation Goal of 20,000 Sample Hours	47.0%	46.2%	50.0%	52.0%

The Agency

Rhode Island Higher Education Assistance Authority

Agency Operations

The Higher Education Assistance Authority administers three major programs. The Scholarship and Grant Program includes the State Grant Program, the scholar recognition program, the campus-based work opportunity program, a student intern program, and a student community service program. The Loan Program includes the Federal Family Education Loan program (FFELP), and the Health Professions Contract Program. The CollegeBound*fund*® Program, a “qualified state tuition program” under section 529 of the IRS code, encourages qualified students, parents, and others responsible for paying the cost of higher education to plan/save for college by investing contributions that will grow tax free each year until applied toward college costs.

The Authority is governed by an eleven (11) member Board of Directors, five (5) of whom are appointed by the Governor for staggered terms; two (2) who represent the finance committees of the House and Senate (one each, currently removed), two (2) representing the Board of Governors for Higher Education, the State General Treasurer or his/her designee, and the Chairman of the Rhode Island Independent Higher Education Association or his/her designee. The Board of Directors elects its own chairperson and other officers and appoints an Executive Director to head the authority. The authority receives its funding from the general fund and from several non-state sources, including the federal government. State general revenues support the scholarship, grant, and student employment programs, as well as the administrative costs for these programs. A small amount of federal funds (LEAP/SLEAP) is also received for direct grants for students. Revenues from program operations and cost reimbursements from the federal government fund the entire Loan Program. Proceeds from the CollegeBound*fund*® program operations support the administrative and marketing expenses associated with the program, and provide supplemental funds to the State Scholarship and Grant Program. Additionally, the CollegeBound*fund*® revenues provide funding for the Academic Promise Scholarship Program and 5 & 10 Matching Grant Program.

Agency Objectives

The Higher Education Assistance Authority was created to provide a system of financial assistance programs, consisting of the Scholarship and Grant Program, Loan Guarantees, College Savings Program, and other aids, which promote opportunity for post-secondary education by providing financial assistance to qualified students, parents, and others responsible for paying the cost of education, who are restricted from participating in post-secondary education because of insufficient financial resources, to help them meet their educational/career goals beyond the high school level.

Statutory History

The Higher Education Assistance Authority was created in 1977 to administer the grant, scholarship, and loan programs for the State of Rhode Island, replacing an existing loan entity and assuming some functions of the Department of Education. Title 16 Chapter 56 and 57 of the Rhode Island General Laws establish and provide for the organization, functions, and statutory award formula of the Authority.

The Budget

Rhode Island Higher Education Assistance Authority

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Scholarship and Grants	10,389,089	8,151,056	7,067,272	16,378,461
Loans	6,928,415	8,763,678	11,241,794	12,253,427
Tuition Savings	5,970,346	6,059,137	9,536,504	5,718,516
Total Expenditures	\$23,287,850	\$22,973,871	\$27,845,570	\$34,350,404
Expenditures By Object				
Personnel	6,249,544	6,247,187	8,441,206	9,234,043
Operating Supplies and Expenses	1,981,984	2,182,975	3,412,841	4,015,584
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	15,056,477	14,543,709	15,489,523	20,598,777
Subtotal: Operating Expenditures	\$23,288,005	\$22,973,871	\$27,343,570	\$33,848,404
Capital Purchases and Equipment	-	-	502,000	502,000
Debt Service	(155)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$23,287,850	\$22,973,871	\$27,845,570	\$34,350,404
Expenditures By Funds				
General Revenue	9,956,900	7,729,028	6,708,495	16,019,684
Federal Funds	7,360,604	9,185,706	11,600,571	12,612,204
Other Funds	5,970,346	6,059,137	9,536,504	5,718,516
Total Expenditures	\$23,287,850	\$22,973,871	\$27,845,570	\$34,350,404
FTE Authorization	46.0	46.0	46.0	46.0
Agency Measures				
Minorities as a Percentage of the Workforce	6.7%	7.0%	9.5%	9.5%
Females as a Percentage of the Workforce	73.3%	71.6%	73.8%	73.8%
Persons with Disabilities as a Percentage of the Workforce	6.7%	7.0%	7.2%	7.2%
Program Measures				
Percentage of Eligible Students Receiving Grants	58.6%	52.9%	56.6%	58.1%
Average Grant Award	\$1,079	\$1,104	\$1,104	\$1,100
State Grant as a Percentage of Unmet Need Prior to State Grants	14.1%	13.4%	12.6%	11.8%

The Agency

Historical Preservation and Heritage Commission

Agency Operations

The Rhode Island Historical Preservation and Heritage Commission is the state office for historic preservation and for sponsorship of state heritage activities. It is Rhode Island's only statewide preservation program to identify and protect historic buildings, districts, and archeological sites. The commission is responsible for developing a state historical preservation plan, and for survey and planning activities which provide for in-depth studies of each city and town to identify, evaluate, and record sites, structures, districts, and objects of historical, architectural, cultural, or archeological significance to the State.

The commission sponsors and/or coordinates heritage festivals, heritage seminars, conferences, and symposia, and publishes scholarly and popular works relating to the social, political, and cultural development of the State. It also coordinates programs with other private or public groups or agencies which will meet the cultural needs of Rhode Island's citizens. The commission also organizes the operation of the Eisenhower House at Fort Adams State Park in Newport.

The commission develops and implements programs for the preservation of historic resources. Federal and state projects are reviewed by the commission to assess their effect on specific cultural resources. The commission administers programs of financial assistance, which include grants, loans, and tax credits for restoration projects. A wide range of information and technical assistance is provided to citizens who own historic properties or are interested in preserving the heritage of their neighborhood and community. The commission certifies municipal historic district zoning programs, which meet minimum standards, and annually administers funds to these "certified local governments."

Agency Objectives

Identify and protect historic and prehistoric sites, buildings, and districts throughout the State of Rhode Island and commemorate the history and culture of the State; promote and preserve the State's ethnic and cultural traditions; and provide a better understanding of the various ethnic cultures, which comprise the State's population.

Statutory History

Title 42, Chapter 45 of the Rhode Island General Laws established the Rhode Island Historical Preservation Commission and defined the duties of the Executive Director and commission members. Executive Order Number 10, issued July 1, 1977, initially created the Heritage Commission. In 1981, the General Assembly enacted Title 42, Chapter 79 of the Rhode Island establishing the commission as a permanent state agency. In the 1994 legislative session the General Assembly repealed Title 42, Chapter 79 and amended Title 42, Chapter 45 to add Heritage Commission responsibilities creating the Rhode Island Historical Preservation & Heritage Commission.

The Budget

Historical Preservation and Heritage Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,264,330	1,388,166	1,475,059	1,575,354
Operating Supplies and Expenses	294,315	347,292	501,781	503,601
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	383,821	535,712	774,917	559,917
Subtotal: Operating Expenditures	\$1,942,466	\$2,271,170	\$2,751,757	\$2,638,872
Capital Purchases and Equipment	22,816	12,935	22,500	20,300
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,965,282	\$2,284,105	\$2,774,257	\$2,659,172
Expenditures By Funds				
General Revenue	1,221,109	1,395,338	1,677,924	1,633,800
Federal Funds	569,714	581,658	605,186	529,078
Restricted Receipts	174,459	307,109	491,147	496,294
Total Expenditures	\$1,965,282	\$2,284,105	\$2,774,257	\$2,659,172
FTE Authorization	17.6	17.6	17.6	17.6
Agency Measures				
Minorities as a Percentage of the Workforce	11.4%	11.4%	11.4%	-
Females as a Percentage of the Workforce	66.6%	66.6%	66.6%	62.5%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Cumulative Percentage of the Estimated 2,500 Historic Properties Nominated to the National Registry Annually	90.9%	191.2%	216.0%	226.0%
Public Attendance at Heritage Program Assisted Events Stated as a Percentage of the Base Year	116.5%	132.1%	113.2%	132.0%
Percentage of Projects Reviewed Within Fifteen Business Days of Review Request	81.6%	80.7%	80.0%	80.0%
Percentage of Completed Tax Credit Applications Reviewed within Thirty Business Days from Time of Submission	43.0%	31.0%	30.0%	30.0%

The Agency

Rhode Island Public Telecommunications Authority WSBE-TV/Channel 36

Agency Operations

The Rhode Island Public Telecommunications Authority is the owner and license holder for WSBE-TV/Channel 36, Rhode Island's public television station, and its corresponding digital television license, WSBE – DT. WSBE-TV broadcasts 111 hours per week and is headed by the President and Chief Executive Officer who is responsible for all activities, including private fundraising, which partially supports the authority's budget.

WSBE-TV provides life-long learning opportunities to all Rhode Islanders, regardless of their ability to pay, through its programming and outreach services. Approximately 98,000 K-12 school children are served by 4.5 hours daily of in-school instructional programming that enhances and augments classroom learning. Other educational programming includes science and nature, documentaries, performing arts, history and music. A wide range of how-to programs enable adult viewers to develop skills in computers, painting, automotive repair, sewing, cooking, home improvement and other practical topics. The mandate to provide training in telecommunications is fulfilled through the internship programs in the production and technical areas. There are currently seven student interns employed at WSBE-TV.

WSBE-TV also administers "Learning Link," an interactive, computer-based telecommunications system providing forums, national and international gateways, databases and Internet access to a network of schools and libraries across the State. WSBE-TV has launched a program with 150 K-12 schools around the State to bring inter-active educational video into the classrooms via the Internet. This program is a full-fledged educational offering and is underwritten with private funding.

WSBE-TV is helping to ensure that children are prepared to learn when they enter school, through pre-school program services and related outreach programs. Outreach programs include literacy, AIDS education, domestic violence and other topics of community concern.

Public affairs programs are aired to increase awareness of community problems and issues, and to help our citizens learn about and cope with an increasingly complex world.

Agency Objectives

Educate, inform, enlighten and entertain. Provide educational services to all citizens regardless of their ability to pay for services.

Statutory History

Title 16, Chapter 21 of the Rhode Island General Laws established the Rhode Island Public Telecommunications Authority and defines the duties of its President and Chief Executive Officer.

The Budget

Rhode Island Public Telecommunications Authority WSBE - TV/Channel 36

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,510,165	1,699,625	1,810,224	1,940,985
Operating Supplies and Expenses	193,429	231,388	246,071	246,071
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,703,594	\$1,931,013	\$2,056,295	\$2,187,056
Capital Purchases and Equipment	1,466,851	1,456,929	384,160	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,170,445	\$3,387,942	\$2,440,455	\$2,187,056
Expenditures By Funds				
General Revenue	1,039,184	1,257,555	1,317,786	1,387,400
Federal Funds	360,905	-	-	-
Other Funds	1,770,356	2,130,387	1,122,669	799,656
Total Expenditures	\$3,170,445	\$3,387,942	\$2,440,455	\$2,187,056
FTE Authorization	22.0	22.0	20.0	20.0
Agency Measures				
Minorities as a Percentage of the Workforce	15.8%	19.1%	20.0%	20.0%
Females as a Percentage of the Workforce	31.6%	28.6%	25.0%	25.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Average Annual Household Viewership of WSBE-TV/Rhode Island PBS Programs				
Weekday Daytime	147	141	155	171
Primetime	127	155	171	188
All Day	241	296	326	358

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Public Safety

Attorney General
Criminal
Civil
Bureau of Criminal Identification
General

Department of Corrections
Central Management
Parole Board
Institutional Corrections
Community Corrections
Internal Service Programs

Judicial Department
Supreme Court
Superior Court
Family Court
District Court
Traffic Tribunal
Workers' Compensation Court

Military Staff
National Guard
Emergency Management

E-911 Emergency Telephone System
Fire Safety Code Board of Appeal and Review
Rhode Island State Fire Marshal
Commission on Judicial Tenure and Discipline
Rhode Island Justice Commission
Municipal Police Training Academy
State Police
Office of the Public Defender

Public Safety Function Expenditures

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Expenditure by Object					
Personnel	263,754,253	277,657,871	310,641,665	297,726,828	331,572,857
Other State Operations	32,880,728	37,396,641	39,269,675	41,511,922	37,744,083
Aid to Local Units of Government	-	-	-	-	-
Assistance, Grants, and Benefits	32,946,386	44,287,601	42,332,735	46,429,470	40,501,669
Subtotal: Operating Expenditures	\$329,581,367	\$359,342,113	\$392,244,075	\$385,668,220	\$409,818,609
Capital Improvements	10,185,936	14,030,503	20,681,533	27,605,952	20,077,603
Capital Debt Service	260,560	-	898,688	-	-
Operating Transfers	-	-	-	-	-
Total Expenditures	\$340,027,863	\$373,372,616	\$413,824,296	\$413,274,172	\$429,896,212
Expenditures by Funds					
General Revenue	294,313,425	312,031,572	337,116,087	331,379,191	367,664,521
Federal Funds	31,412,033	44,386,922	51,133,386	51,974,362	33,549,803
Restricted Receipts	9,045,374	10,529,949	12,408,602	12,778,229	10,241,971
Other Funds	5,257,031	6,424,173	13,166,221	17,142,390	18,439,917
Total Expenditures	\$340,027,863	\$373,372,616	\$413,824,296	\$413,274,172	\$429,896,212
FTE Authorization	3,119.1	3,154.1	3,008.8	3,046.6	3,059.6

The Agency

Attorney General

Agency Operations

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the State's central legal agency, the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the State, is operated and maintained by the Attorney General.

The Office of Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

Agency Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, 24-hours a day.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Expenditures by Program				
Criminal	12,230,739	13,651,257	14,049,951	14,650,414
Civil	4,074,975	5,004,258	5,204,230	5,531,568
Bureau of Criminal Identification	1,171,751	1,178,394	985,658	1,017,634
General	2,151,529	2,391,568	2,670,772	2,647,200
Total Expenditures	\$19,628,994	\$22,225,477	\$22,910,611	\$23,846,816
Expenditures By Object				
Personnel	17,832,103	20,385,851	20,760,690	21,963,219
Operating Supplies and Expenses	1,632,359	1,461,322	1,639,260	1,597,665
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$19,464,462	\$21,847,173	\$22,399,950	\$23,560,884
Capital Purchases and Equipment	164,532	378,304	510,661	285,932
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$19,628,994	\$22,225,477	\$22,910,611	\$23,846,816
Expenditures By Funds				
General Revenue	17,141,822	19,895,944	20,313,531	21,335,305
Federal Funds	1,585,909	1,390,960	1,245,515	1,322,964
Restricted Receipts	698,341	719,263	940,298	973,547
Other Funds	202,922	219,310	411,267	215,000
Total Expenditures	\$19,628,994	\$22,225,477	\$22,910,611	\$23,846,816
FTE Authorization	230.5	234.5	234.8	234.8
Agency Measures				
Minorities as a Percentage of the Workforce	12.3%	14.9%	14.9%	13.8%
Females as a Percentage of the Workforce	55.3%	55.9%	56.9%	57.3%
Persons with Disabilities as a Percentage of the Workforce	6.1%	4.0%	5.0%	3.0%

The Program

Attorney General Criminal

Program Operations

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The Narcotics and Organized Crime Prosecution Unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The Forfeiture Unit handles all asset forfeiture cases. The Information-Charging Unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The Victim/Witness Unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The Diversion Unit provides alternatives to court prosecution for selected first-time, non-violent felony offenders. The Juvenile Unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The Appellate Unit represents the State in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid Fraud Control Unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the Welfare Fraud Unit investigates and prosecutes all cases of welfare fraud.

Program Objectives

To represent the State with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General Criminal

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	11,206,846	12,652,129	12,880,738	13,553,484
Operating Supplies and Expenses	973,080	966,559	1,091,219	1,049,386
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$12,179,926	\$13,618,688	\$13,971,957	\$14,602,870
Capital Purchases and Equipment	50,813	32,569	77,994	47,544
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$12,230,739	\$13,651,257	\$14,049,951	\$14,650,414
Expenditures By Funds				
General Revenue	10,750,031	12,270,525	12,485,923	12,988,267
Federal Funds	1,241,583	1,160,927	1,208,810	1,322,964
Restricted Receipts	239,125	219,805	355,218	339,183
Total Expenditures	\$12,230,739	\$13,651,257	\$14,049,951	\$14,650,414
Program Measures				
Percentage of Cases Dismissed	8.8%	7.4%	7.8%	7.8%

The Program

Attorney General Civil

Program Operations

The Civil Program is comprised of six units, each with very different responsibilities. The General Litigation Unit handles most lawsuits brought against the State and its employees. There are approximately 1,500 active cases, ranging from small claims to issues involving millions of dollars and constitutional claims. Additionally, this unit acts as the legal advisor for 11 boards and commissions, state departments, and agencies. The Regulatory Unit represents the interests of consumers before the Federal Energy Regulatory Commission, the Rhode Island Public Utilities Commission, and the Rhode Island Insurance Commissioner. The Charitable Trusts Unit maintains records relating to the registration of charitable trusts in Rhode Island. The Environmental Advocacy Unit enforces civil and criminal environmental laws of the State and acts as an environmental advocate on public policy issues. The Attorney General's Office provides specialized legal counsel to the Department of Human Services. The Consumer Protection Unit monitors activity in the marketplace and represents the consumer's interest where patterns of fraud or deception have been identified. The unit investigates allegation of improper business operation and initiates settlements and enforcement actions designed to provide restitution, if required. Attempts are made to resolve consumer complaints through a mediation process.

Program Objectives

To provide cost effective legal representation to the State, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-47 relates to weapons.

The Budget

Attorney General Civil

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,778,547	4,749,346	4,847,953	5,171,035
Operating Supplies and Expenses	295,330	254,480	338,477	341,245
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$4,073,877	\$5,003,826	\$5,186,430	\$5,512,280
Capital Purchases and Equipment	1,098	432	17,800	19,288
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$4,074,975	\$5,004,258	\$5,204,230	\$5,531,568
Expenditures By Funds				
General Revenue	3,615,759	4,504,800	4,619,150	4,897,204
Restricted Receipts	459,216	499,458	585,080	634,364
Total Expenditures	\$4,074,975	\$5,004,258	\$5,204,230	\$5,531,568
Program Measures	NA	NA	NA	NA

The Program

Attorney General Bureau of Criminal Identification

Program Operations

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates 24-hours a day, seven days a week. This unit receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

Program Objectives

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, 24-hours a day, seven days a week.

Statutory History

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Office of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.

The Budget

Attorney General Bureau of Criminal Identification

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,055,053	959,996	879,944	911,175
Operating Supplies and Expenses	114,720	91,873	104,114	104,359
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,169,773	\$1,051,869	\$984,058	\$1,015,534
Capital Purchases and Equipment	1,978	126,525	1,600	2,100
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,171,751	\$1,178,394	\$985,658	\$1,017,634
Expenditures By Funds				
General Revenue	827,425	948,367	948,953	1,017,634
Federal Funds	344,326	230,027	36,705	-
Total Expenditures	\$1,171,751	\$1,178,394	\$985,658	\$1,017,634
Program Measures	NA	NA	NA	NA

The Program

Attorney General General

Program Operations

The General Program provides administrative support to ensure that the department operates efficiently. This program consists of six units.

The Executive Unit consists of the Attorney General, the Deputy Attorney General and support staff. The function of the Executive Unit is to coordinate the efforts of all parts of the department.

The Fiscal Unit is responsible for all financial operations of the department. This includes the preparation of the departmental budget, monitoring and controlling expenditures, and processing all financial paperwork.

The Personnel Unit processes all paperwork regarding hiring, transfers, promotions, and terminations, and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The Operations Unit is responsible for purchasing, maintenance and repair of the department's buildings and automobiles, and monitoring the department's telephone and mail systems.

The Management Information System Unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and 30 printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The Public Information/Legislation Unit handles all communication with the media, submits legislation on the Attorney General's behalf, and coordinates the Attorney General's education, and community outreach efforts.

Program Objectives

To provide the infrastructure necessary for the efficient operation of the Office of the Attorney General.

Statutory History

Article IV, Section 1 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

The Budget

Attorney General General

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,791,657	2,024,380	2,152,055	2,327,525
Operating Supplies and Expenses	249,229	148,410	105,450	102,675
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$2,040,886	\$2,172,790	\$2,257,505	\$2,430,200
Capital Purchases and Equipment	110,643	218,778	413,267	217,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,151,529	\$2,391,568	\$2,670,772	\$2,647,200
Expenditures By Funds				
General Revenue	1,948,607	2,172,258	2,259,505	2,432,200
Other Funds	202,922	219,310	411,267	215,000
Total Expenditures	\$2,151,529	\$2,391,568	\$2,670,772	\$2,647,200
Program Measures	NA	NA	NA	NA

The Agency

Department of Corrections

Agency Operations

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate, safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community.

Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision-making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are eight separate, occupied facilities, which have a total current capacity of 3,830 beds. In FY 2006, the average institutionalized population was 3,510. The department also provides supervision of offenders in the community (home confinement, probation and parole). By the end of FY 2006, the number of probation and parole cases serviced totaled 26,661. The average number of offenders on home confinement was 282. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provide work opportunities for inmates and services to governmental agencies and non-profit organizations, ranging from printing to modular workstation furniture construction and installation.

Agency Objectives

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

Statutory History

R.I.G.L. 42-56 established the Department of Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1992 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities, formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

The Budget

Department of Corrections

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Central Management	10,247,909	10,431,874	10,168,187	10,825,568
Parole Board	1,026,608	1,177,955	1,273,668	1,292,058
Institutional Corrections	128,240,510	137,322,408	150,227,397	171,014,362
Community Corrections	12,225,035	12,926,959	14,421,994	16,037,934
Internal Service Program	[11,666,534]	[13,021,893]	[13,807,524]	[13,510,495]
Total Expenditures	\$151,740,062	\$161,859,196	\$176,091,246	\$199,169,922
Expenditures By Object				
Personnel	133,227,638	133,956,667	137,357,689	169,133,476
Operating Supplies and Expenses	13,568,182	15,161,036	14,614,954	14,938,734
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,293,782	3,882,676	7,964,639	7,544,101
Subtotal: Operating Expenditures	\$148,089,602	\$153,000,379	\$159,937,282	\$191,616,311
Capital Purchases and Equipment	3,500,019	8,858,817	16,153,964	7,553,611
Debt Service	150,441	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$151,740,062	\$161,859,196	\$176,091,246	\$199,169,922
Expenditures By Funds				
General Revenue	146,860,786	151,117,192	156,781,330	189,214,299
Federal Funds	2,889,866	9,064,114	11,440,165	2,807,500
Restricted Receipts	217,362	2,423	-	-
Other Funds	1,772,048	1,675,467	7,869,751	7,148,123
Total Expenditures	\$151,740,062	\$161,859,196	\$176,091,246	\$199,169,922
FTE Authorization	1,586.0	1,589.0	1,498.6	1,508.6
Agency Measures				
Minorities as a Percentage of the Workforce	12.8%	13.0%	13.2%	13.2%
Females as a Percentage of the Workforce	24.3%	25.1%	25.1%	25.1%
Persons with Disabilities as a Percentage of the Workforce	0.8%	0.8%	1.0%	1.0%

The Program

Department of Corrections Central Management

Program Operations

The Central Management Program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive-level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Logistical and materials management is the responsibility of the Physical Resources Unit within Financial Resources. Human Resources (all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program) will be transferred to Administration. Management Information Services (departmental record keeping functions, the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and departmental liaison to the statewide Justice Link Program) has been transferred to Administration. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues.

Program Objectives

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; and develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

Statutory History

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the Director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

The Budget

Department of Corrections Central Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Subprogram				
Executive	1,433,965	1,626,781	1,993,730	2,098,948
Administration	8,813,944	8,805,093	8,174,457	8,726,620
Total Expenditures	\$10,247,909	\$10,431,874	\$10,168,187	\$10,825,568
Expenditures By Object				
Personnel	8,428,482	8,074,345	8,426,796	9,348,007
Operating Supplies and Expenses	1,215,135	2,047,741	1,392,086	1,310,066
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	226	33,662	83,305	82,076
Subtotal: Operating Expenditures	\$9,643,843	\$10,155,748	\$9,902,187	\$10,740,149
Capital Purchases and Equipment	596,081	276,126	266,000	85,419
Debt Service	7,985	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$10,247,909	\$10,431,874	\$10,168,187	\$10,825,568
Expenditures By Funds				
General Revenue	10,057,018	10,215,907	9,772,885	10,466,116
Federal Funds	190,891	215,967	395,302	359,452
Total Expenditures	\$10,247,909	\$10,431,874	\$10,168,187	\$10,825,568
Program Measures	NA	NA	NA	NA

The Program

Department of Corrections Parole Board

Program Operations

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven, by their behavior and actions while incarcerated that they are capable of returning to the community as law-abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2006, the board granted parole in 548 cases and denied parole in 840 cases.

The Parole Board consists of a full-time Chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre-parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program. In FY 2006, the program made 175 adult and juvenile referrals.

Program Objectives

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

Statutory History

R.I.G.L. 13-8 establishes the Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time Chair, expand the board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services agency provide findings and recommendations to the Parole Board. Legislation established the Parole Board in 1915. R.I.G.L. 11-37.1-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.

The Budget

Department of Corrections Parole Board

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	897,431	1,073,208	1,186,328	1,226,087
Operating Supplies and Expenses	106,175	75,489	87,340	65,971
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$1,003,606	\$1,148,697	\$1,273,668	\$1,292,058
Capital Purchases and Equipment	23,002	29,258	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$1,026,608	\$1,177,955	\$1,273,668	\$1,292,058
Expenditures By Funds				
General Revenue	1,001,953	1,146,024	1,240,666	1,259,056
Federal Funds	24,655	31,931	33,002	33,002
Total Expenditures	\$1,026,608	\$1,177,955	\$1,273,668	\$1,292,058
Program Measures	NS	NS	NS	NS

The Program

Department of Corrections Institutional Corrections

Program Operations

The Institutional Corrections Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes eight institutions providing the various security levels for both men and women. Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes a number of support units. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings. The Special Investigations and Security Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services. Inmate Accounts serves as custodian of inmate funds, and the Inmate Commissary sells toiletries, non-prescription health care items, postage, and snack items to inmates. The program also is responsible for rehabilitative services. The Health Care unit provides medical, dental and mental health services to the inmate population. Other program services include educational and vocational training, drug treatment, sex-offender treatment, health education, conflict management training, and general reading and law libraries for use by prisoners. Under Transitional Services, the Reintegration Unit will operate a 200-bed facility for sentenced males who are within six months of release, but who have not qualified for lower custody status or parole.

Program Objectives

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public. To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies. To support the facilities and inmates with such necessities as food, maintenance, counseling, case management, investigative and other technical services. To provide vitally important rehabilitative services, such as health, education, counseling, and life skills/ re-entry training.

Statutory History

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18.

The Budget

Department of Corrections Institutional Corrections

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Institutions	86,831,194	95,248,016	103,684,537	122,072,796
Support Operations	17,687,918	17,839,004	19,167,936	19,787,459
Institutional Rehabilitative Services	23,303,567	23,647,511	26,547,844	27,626,845
Transitional Services	417,831	587,877	827,080	1,527,262
Total Expenditures	\$128,240,510	\$137,322,408	\$150,227,397	\$171,014,362
Expenditures By Object				
Personnel	112,281,898	112,494,860	115,131,232	144,618,329
Operating Supplies and Expenses	11,689,983	12,464,446	12,456,134	11,933,700
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,284,599	3,840,086	6,858,881	7,001,955
Subtotal: Operating Expenditures	\$125,256,480	\$128,799,392	\$134,446,247	\$163,553,984
Capital Purchases and Equipment	2,841,574	8,523,016	15,781,150	7,460,378
Debt Service	142,456	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$128,240,510	\$137,322,408	\$150,227,397	\$171,014,362
Expenditures By Funds				
General Revenue	124,648,723	127,822,323	132,721,133	161,831,410
Federal Funds	1,602,377	7,822,195	9,636,513	2,034,829
Restricted Receipts	217,362	2,423	-	-
Other Funds	1,772,048	1,675,467	7,869,751	7,148,123
Total Expenditures	\$128,240,510	\$137,322,408	\$150,227,397	\$171,014,362
Program Measures				
Escapes, Attempted Escapes and Acts of Absconding per 1,000 Inmates in the Average Daily Population	3.3	1.4	1.6	1.6
Violent Incidents per 1,000 Inmates in the Average Daily Population	14.1	11.6	14.1	14.1
Substance Abuse Treatment Program Completers Drug Free within 6 Months	98.9%	99.0%	99.0%	99.0%
Percentage of Tests for Illegal Substances that are Positive	1.05%	1.59%	1.59%	1.59%

The Program

Department of Corrections Community Corrections

Program Operations

The Community Corrections Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has two components:

Probation and Parole: The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders.

Community Programs: Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include the Victim services program including notification and advocacy services. The Community Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration.

Program Objective

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

Statutory History

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. R.I.G.L. 12-18 establishes the probation and parole system. R.I.G.L. 12-29 (1997) requires that all domestic violence offenders attend an intervention program. R.I.G.L. 13-8-30 (1999) requires lifetime supervision of 1st and 2nd degree sex offenders. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

The Budget

Department of Corrections Community Corrections

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Probation and Parole	9,081,052	10,021,325	11,418,567	12,754,062
Community Programs	3,143,983	2,905,634	3,003,427	3,283,872
Total Expenditures	\$12,225,035	\$12,926,959	\$14,421,994	\$16,037,934
Expenditures By Object				
Personnel	11,619,827	12,314,254	12,613,333	13,941,053
Operating Supplies and Expenses	556,889	573,360	679,394	1,628,997
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	8,957	8,928	1,022,453	460,070
Subtotal: Operating Expenditures	\$12,185,673	\$12,896,542	\$14,315,180	\$16,030,120
Capital Purchases and Equipment	39,362	30,417	106,814	7,814
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$12,225,035	\$12,926,959	\$14,421,994	\$16,037,934
Expenditures By Funds				
General Revenue	11,153,092	11,932,938	13,046,646	15,657,717
Federal Funds	1,071,943	994,021	1,375,348	380,217
Total Expenditures	\$12,225,035	\$12,926,959	\$14,421,994	\$16,037,934
Program Measures				
Percentage of Closed Cases Successfully Completing Terms of Home Confinement or Electronic Monitoring Parole	67.2%	65.7%	67.5%	67.5%

The Program

Department of Corrections Internal Service Programs

Program Operations

There are various services required by state-operated programs and activities, which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis. Internal Service Programs operated by the Department of Corrections include the Central Distribution Center, Correctional Industries, and Pastore Center Telephone Operations.

The Central Distribution Center purchases and inventories \$5.5 million in food and a variety of cleaning, household, and office supplies for distribution to state institutions, other state and local governments, and non-profit agencies. Correctional Industries provides goods and services to other state, municipal, and non-profit entities to include printing, furniture restoration, license plate production, auto maintenance, clothing and linen production, grounds keeping, janitorial, office moving, and a variety of other services. Total FY 2006 sales volume was \$6.8 million. Total employment was 216 inmates. Pastore Services Telephone Operations operates and maintains the Central Telephone System for all agencies at the Pastore Government Center, as well as, memoranda of agreement, with the Emergency Management Agency, Vocational Rehabilitation, Zambarano Hospital, and other state agencies, on administrative and maintenance functions of certain Avaya switches.

Program Objective

To provide the most cost-effective delivery of goods and services to other state programs.

Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 35-5-8 and 9 identify services and procedures for the State General Store. R.I.G.L. 13-7-1, the State Use Law, allows prison-made goods and services to be sold to state agencies, municipalities and non-profit organizations.

The Budget

Department of Corrections Internal Service Programs

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	2,976,810	3,201,627	2,709,752	2,890,790
Operating Supplies and Expenses	8,069,734	9,276,782	10,310,133	10,362,316
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	226,673	198,498	211,276	211,276
Subtotal: Operating Expenditures	\$11,273,217	\$12,676,907	\$13,231,161	\$13,464,382
Capital Purchases and Equipment	333,337	312,986	256,113	46,113
Debt Service	59,980	32,000	320,250	-
Operating Transfers	-	-	-	-
Total Expenditures	\$11,666,534	\$13,021,893	\$13,807,524	\$13,510,495
Expenditures By Funds				
Internal Service Funds	11,666,534	13,021,893	13,807,524	13,510,495
Total Expenditures	\$11,666,534	\$13,021,893	\$13,807,524	\$13,510,495
Program Measures	NA	NA	NA	NA

The Agency

Judicial Department

Agency Operations

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are trial courts of special jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the state court system and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Under the direction of the Chief Justice, the Court Administrator has control of judicial appropriations for all state courts, except those as provided otherwise by law. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

The state budget officer's duties and powers relating to budgetary controls and personnel requests of the judicial department are purely ministerial, concerned only with the availability of the funds. Under current law, itemized estimates of the financial needs of the Judiciary are submitted, without revision, by the budget officer to the Governor on or before October 1 for inclusion in the budget. In turn, under current law, the Governor includes in the budget recommendation to the General Assembly the financial needs of the Judiciary without revision.

Statutory History

The Judicial is one of the three departments of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 8, District Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8.2, Traffic Tribunal; and Title 28 Chapter 30, Workers' Compensation Court.

The budgetary and finance controls of the Judiciary are identified in the Rhode Island General Laws as follows: Title 8 Section 8-15-4, Appointment of Court Administrator and Assistants; Title 35 Section 35-3-1, Budget Officer – General Powers and Duties; Title 36 Section 36-4-2.1, Exemption from Merit System; Title 36 Section 36-4-16.4, Salaries of Directors, Judges, and Workers' Compensation Judges; Title 36 Section 36-6-1, Controller – Duties in General; and Title 37 Section 37-8-1, State House – State Office Building – Courthouses.

The Budget

Judicial Department

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Expenditures by Program				
Supreme Court	27,635,559	29,552,327	31,751,861	31,535,243
Superior Court	17,338,089	18,732,920	19,557,840	19,889,819
Family Court	16,267,256	18,116,051	18,368,022	19,070,772
District Court	8,289,058	9,352,764	9,697,797	10,331,649
Traffic Tribunal	6,219,620	6,741,082	6,591,551	6,879,070
Workers' Compensation Court	5,832,619	6,601,063	7,140,436	7,387,455
Total Expenditures	\$81,582,201	\$89,096,207	\$93,107,507	\$95,094,008
Expenditures By Object				
Personnel	64,744,298	68,796,190	73,760,572	77,059,190
Operating Supplies and Expenses	8,412,256	9,722,443	8,908,505	7,229,867
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	5,186,967	7,547,651	5,602,692	5,710,959
Subtotal: Operating Expenditures	\$78,343,521	\$86,066,284	\$88,271,769	\$90,000,016
Capital Purchases and Equipment	3,141,305	3,029,923	4,835,738	5,093,992
Debt Service	97,375	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$81,582,201	\$89,096,207	\$93,107,507	\$95,094,008
Expenditures By Funds				
General Revenue	71,715,433	76,968,262	80,842,834	83,526,524
Federal Funds	2,604,779	3,026,152	2,879,609	1,578,692
Restricted Receipts	6,730,331	7,631,617	8,346,584	8,518,792
Other Funds	531,658	1,470,176	1,038,480	1,470,000
Total Expenditures	\$81,582,201	\$89,096,207	\$93,107,507	\$95,094,008
FTE Authorization	743.5	742.0	732.5	728.5
Agency Measures				
Minorities as a Percentage of the Workforce	9.0%	9.9%	10.9%	11.5%
Females as a Percentage of the Workforce	66.0%	66.0%	66.0%	66.0%
Persons with Disabilities as a Percentage of the Workforce	0.6%	0.6%	0.6%	0.6%

The Program

Judicial Department Supreme Court

Program Operations

The Supreme Court Program includes the budget for the Supreme Court itself, the Administrative Office of State Courts, and the Law Library.

The Supreme Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk program, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the final court of review for the state courts. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission and discipline of members of the Rhode Island Bar.

Administrative services include all budget, personnel and purchasing functions; computer services; facility management, which includes the maintenance of courthouse facilities; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; and research and statistical analysis.

The Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence prevention, mandatory continuing legal education, victim rights information, alternative dispute resolution, disciplinary counsel, the interpreter program.

Program Objectives

Provide timely review of all decisions appealed from the state courts.

Provide overall administrative direction to the state courts.

Regulate the admission of attorneys to the Rhode Island Bar.

Statutory History

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapters 3 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department Supreme Court

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Supreme Court Operations	24,980,850	26,367,562	28,484,202	28,169,554
Defense of Indigents	2,654,709	3,184,765	3,267,659	3,365,689
Total Expenditures	\$27,635,559	\$29,552,327	\$31,751,861	\$31,535,243
Expenditures By Object				
Personnel	18,248,675	16,901,673	19,597,440	20,389,647
Operating Supplies and Expenses	5,051,221	6,307,195	5,997,436	4,709,802
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,223,848	3,506,218	1,393,579	1,404,134
Subtotal: Operating Expenditures	\$24,523,744	\$26,715,086	\$26,988,455	\$26,503,583
Capital Purchases and Equipment	3,060,814	2,837,241	4,763,406	5,031,660
Debt Service	51,001	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$27,635,559	\$29,552,327	\$31,751,861	\$31,535,243
Expenditures By Funds				
General Revenue	25,456,768	26,830,351	29,216,903	28,812,316
Federal Funds	749,421	221,246	290,330	121,590
Restricted Receipts	897,712	1,030,554	1,206,148	1,131,337
Other Funds	531,658	1,470,176	1,038,480	1,470,000
Total Expenditures	\$27,635,559	\$29,552,327	\$31,751,861	\$31,535,243
Program Measures				
Disposition Rate of Appeal Cases	98.0%	94.0%	98.0%	100.0%

The Program

Judicial Department Superior Court

Program Operations

The program budget for Superior Court includes the operation of the Superior Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence/Bristol, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$10,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The Superior Court has concurrent original jurisdiction with the District Court in all other actions at law in which the amount in controversy exceeds \$5,000 yet does not exceed \$10,000. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

Each court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect court proceedings and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, court-annexed arbitration, court ordered restitution, and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses involving guns and the planning process began for the Adult Drug Court. In FY 2002, the Adult Drug Court was established preceded by the FY 2001 establishment of the Business Calendar.

Program Objectives

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court.

Maintain a complete and accurate record for all cases that are filed with the Superior Court.

Provide a written transcript of any proceeding or appeal.

Statutory History

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapters 2 through 7 of the Rhode Island General Laws.

The Budget

Judicial Department Superior Court

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Superior Court Operations	15,901,095	17,290,731	17,905,514	18,192,921
Jury Operations	1,436,994	1,442,189	1,652,326	1,696,898
Total Expenditures	\$17,338,089	\$18,732,920	\$19,557,840	\$19,889,819
Expenditures By Object				
Personnel	14,429,210	15,883,780	16,860,742	17,161,085
Operating Supplies and Expenses	1,183,016	1,143,352	1,014,207	1,006,160
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,693,006	1,674,613	1,682,891	1,722,574
Subtotal: Operating Expenditures	\$17,305,232	\$18,701,745	\$19,557,840	\$19,889,819
Capital Purchases and Equipment	14,631	31,175	-	-
Debt Service	18,226	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$17,338,089	\$18,732,920	\$19,557,840	\$19,889,819
Expenditures By Funds				
General Revenue	17,150,564	18,397,716	18,914,635	19,889,819
Federal Funds	187,525	335,204	643,205	-
Total Expenditures	\$17,338,089	\$18,732,920	\$19,557,840	\$19,889,819
Program Measures				
Percentage of Felony Cases Annually Disposed of Within 180 Days	72.0%	70.0%	72.0%	75.0%
Disposition Rate of Civil Cases	110.0%	111.0%	100.0%	100.0%

The Program

Judicial Department Family Court

Program Operations

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Counseling Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the court. All papers are filed with the clerk's office, and which sets up a file for each case and maintains an up-to-date record of what has happened in court and the papers that have been filed.

Because of the special nature of the Family Court, it provides a variety of services to families. For example, the Family Counseling Unit provides alcohol counseling and supervises visitation, in addition to providing investigative and mediation services to the court. Likewise, the Child Support Collections Unit handles the collection and disbursement of child support payments. Specialty courts include the Juvenile Drug Court, the Family Treatment Drug Court, the Domestic Violence Court, the Truancy Court, and the Juvenile Re-entry Court.

Program Objectives

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide counseling and other assistance to families involved in litigation before the court.

Statutory History

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

The Budget

Judicial Department Family Court

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	15,136,706	16,969,853	17,491,058	18,184,371
Operating Supplies and Expenses	639,687	580,166	405,450	404,953
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	458,303	464,063	471,514	481,448
Subtotal: Operating Expenditures	\$16,234,696	\$18,014,082	\$18,368,022	\$19,070,772
Capital Purchases and Equipment	16,336	101,969	-	-
Debt Service	16,224	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$16,267,256	\$18,116,051	\$18,368,022	\$19,070,772
Expenditures By Funds				
General Revenue	14,601,073	15,646,349	16,421,948	17,613,670
Federal Funds	1,666,183	2,469,702	1,946,074	1,457,102
Total Expenditures	\$16,267,256	\$18,116,051	\$18,368,022	\$19,070,772
Program Measures				
Percentage of Wayward/Delinquent Cases Suitable for Non-Judicial Processing				
Diverted or Referred to Court Within 45 Days	36.0%	75.0%	75.0%	78.0%
Percentage of Wayward/Delinquent Cases Requiring Court Involvement Adjudicated Within 180 Days				
	70.0%	72.0%	75.0%	75.0%
Percentage of D/N/A Cases Adjudicated Within 180 Days of Filing				
	72.0%	70.0%	72.0%	75.0%
Percentage of Juvenile Termination of Parental Rights Cases Adjudicated Within 180 Days				
	63.0%	58.0%	60.0%	65.0%
Percentage of Domestic Cases Disposed of Within 365 Days				
	99.5%	99.5%	100.0%	100.0%

The Program

Judicial Department District Court

Program Operations

The District Court consists of a chief judge, and administrative judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejection cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$2,500. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Pretrial Services Unit was established in FY 2002 to provide pre-arraignment and post-arraignment services to defendants.

Program Objectives

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

Statutory History

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.

The Budget

Judicial Department District Court

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	7,157,522	8,188,559	8,623,652	9,193,243
Operating Supplies and Expenses	316,183	329,617	191,606	237,934
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	806,437	808,963	880,137	898,070
Subtotal: Operating Expenditures	\$8,280,142	\$9,327,139	\$9,695,395	\$10,329,247
Capital Purchases and Equipment	1,602	25,625	2,402	2,402
Debt Service	7,314	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$8,289,058	\$9,352,764	\$9,697,797	\$10,331,649
Expenditures By Funds				
General Revenue	8,287,408	9,352,764	9,697,797	10,331,649
Federal Funds	1,650	-	-	-
Total Expenditures	\$8,289,058	\$9,352,764	\$9,697,797	\$10,331,649
Program Measures				
Percentage of Misdemeanor Cases Disposed of Within 60 Days	88.0%	88.0%	90.0%	90.0%

The Program

Judicial Department Traffic Tribunal

Program Operations

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of associate judges and magistrates. It is supervised by the Chief Judge and the Administrative Magistrate of the District Court.

The Traffic Tribunal hears and determines civil traffic violations. It has exclusive jurisdiction over certain offenses and concurrent jurisdiction with the municipal courts over others. The Traffic Tribunal hears certain administrative appeals for the Registry of Motor Vehicles. The appeals panel of the Traffic Tribunal hears appeals from the Traffic Tribunal and the municipal courts. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, or criminal motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of liquor and drugs, which, which state law specifies will be heard under the jurisdiction of other courts. The Traffic Tribunal currently sits at its mail facility at 345 Harris Avenue, Providence but expects to relocate to its new facility in Cranston in January 2007; the Traffic Tribunal also sits in Wakefield and Woonsocket.

Program Objectives

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

Maintain a complete and accurate record for every case.

Statutory History

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed S932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

The Budget

Judicial Department Traffic Tribunal

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	5,215,045	5,511,342	5,515,523	6,154,379
Operating Supplies and Expenses	636,048	834,510	624,525	261,643
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	354,376	379,145	446,573	458,118
Subtotal: Operating Expenditures	\$6,205,469	\$6,724,997	\$6,586,621	\$6,874,140
Capital Purchases and Equipment	9,855	16,085	4,930	4,930
Debt Service	4,296	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$6,219,620	\$6,741,082	\$6,591,551	\$6,879,070
Expenditures By Funds				
General Revenue	6,219,620	6,741,082	6,951,551	6,879,070
Total Expenditures	\$6,219,620	\$6,741,082	\$6,951,551	\$6,879,070
Program Measures				
Percentage of Summonses Disposed of within 60 Days	98.0%	98.0%	98.0%	98.0%

The Program

Judicial Department Workers' Compensation Court

Program Operations

The Workers' Compensation Court is a member of the unified judicial system and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, hearing reporters, and clerical staff. It is supported from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a full evidentiary hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. If the matter can not be resolved informally the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all litigation is concluded at this point).

Those cases not resolved at pretrial are heard by the same judge for trial. At the trial, a judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From this decision a decree is entered. At this stage either party may appeal to the Appellate Division which consists of three judges, none of whom is the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellant's reasons for appeal and such other briefs and memoranda of law. The Appellate Division then decides the matter and a final decree of the Appellate Division is entered. If either party is aggrieved by the decision of the Appellate Division, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

Program Objectives

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

Statutory History

Title 28, Chapters 29 through 38 of the Rhode Island General Laws include provisions relating to the Workers' Compensation Court.

The Budget

Judicial Department Workers' Compensation Court

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	4,557,140	5,340,983	5,672,157	5,976,465
Operating Supplies and Expenses	586,101	527,603	675,281	609,375
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	650,997	714,649	727,998	746,615
Subtotal: Operating Expenditures	\$5,794,238	\$6,583,235	\$7,075,436	\$7,332,455
Capital Purchases and Equipment	38,067	17,828	65,000	55,000
Debt Service	314	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$5,832,619	\$6,601,063	\$7,140,436	\$7,387,455
Expenditures By Funds				
Restricted Receipts	5,832,619	6,601,063	7,140,436	7,387,455
Total Expenditures	\$5,832,619	\$6,601,063	\$7,140,436	\$7,387,455
Program Measures				
Percentage of Workers' Compensation Cases that are Disposed of at Pretrial Within 90 Days	88.0%	87.0%	90.0%	90.0%
Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 360 Days	83.0%	82.0%	84.0%	84.0%

The Agency

Military Staff

Agency Operations

The mission of the Executive Military Staff is to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the State in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs.

The agency is directed by the Adjutant General who is appointed by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The National Guard Program is financed with approximately 28 percent general revenue and state capital funds and 72 percent federal funds.

In FY 1997, the Rhode Island Emergency Management Agency merged with the Military Staff. Merging the two entities placed similar operations under one command, providing more efficient command and control in the event of an emergency and eliminating duplication of effort. The Emergency Management Program is funded with 97 percent federal funds and 3 percent state (general and restricted) revenue.

Agency Objectives

To maintain both the Rhode Island National Guard and the Rhode Island Emergency Management Agency as organizations capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests.

To enable the State to assist local governments in disaster response and recovery operations.

To increase public awareness of natural hazards, risks, and actions to minimize loss of life or property.

Statutory History

R.I.G.L. Title 30, Chapters 1-14, and 28-30 and the Military Code prescribe the Military Staff's duties and functions. R.I.G.L. 30-15 created the Emergency Management function.

The Budget

Military Staff

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
National Guard	7,380,689	8,641,588	14,596,690	12,736,748
Emergency Management	12,888,027	18,634,949	21,660,575	13,933,923
Total Expenditures	\$20,268,716	\$27,276,537	\$36,257,265	\$26,670,671
Expenditures By Object				
Personnel	5,341,688	7,495,772	8,412,570	9,120,928
Operating Supplies and Expenses	3,583,120	4,824,948	9,059,888	6,954,679
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	8,828,821	14,644,736	14,852,020	9,149,314
Subtotal: Operating Expenditures	\$17,753,629	\$26,965,456	\$32,324,478	\$25,224,921
Capital Purchases and Equipment	2,515,255	311,081	3,932,787	1,445,750
Debt Service	(168)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$20,268,716	\$27,276,537	\$36,257,265	\$26,670,671
Expenditures By Funds				
General Revenue	2,336,253	2,982,039	2,826,113	2,680,355
Federal Funds	17,651,183	24,001,335	29,157,641	22,157,534
Restricted Receipts	156,049	264,966	363,824	407,532
Other Funds	125,231	28,197	3,909,687	1,425,250
Total Expenditures	\$20,268,716	\$27,276,537	\$36,257,265	\$26,670,671
FTE Authorization	98.0	105.0	109.0	110.0
Agency Measures				
Minorities as a Percentage of the Workforce	7.0%	4.0%	5.0%	5.0%
Females as a Percentage of the Workforce	19.0%	21.0%	20.0%	20.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-

The Program

Military Staff National Guard

Program Operations

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the State, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard Reserve (State Security Brigade) and the State Militia. Sub-programs include Administration (Adjutant General, fiscal support, military funerals, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, military vehicle repairs), Army Guard (operations, maintenance, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards).

State funds are utilized primarily for employees' salaries, and maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, equipment and construction projects. The Rhode Island National Guard is authorized in excess of 4,200 members (2,500 in the Army National Guard, the rest in the Air National Guard). National Guard installations located in Rhode Island include 14 armories, three air bases, two training sites, and ten support buildings and shops including one Army Aviation Support Facility (AASF), four Organizational Maintenance Shops (OMS) and one Combined Support Maintenance Shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the State attributed to National Guard programs exceeds \$100 million dollars.

Program Objectives

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests.

To provide peacetime responses to state emergencies as ordered by the Governor. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities.

Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code.

The Budget

Military Staff National Guard

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Adjutant-General	(232,951)	1,221,446	1,208,556	1,087,931
State Military Prop Officer	1,459,090	1,116,463	5,819,472	3,437,897
Federal Army	2,394,874	2,091,136	2,608,600	2,971,870
Federal Air	3,759,676	4,212,543	4,960,062	5,239,050
Total Expenditures	\$7,380,689	\$8,641,588	\$14,596,690	\$12,736,748
Expenditures By Object				
Personnel	3,290,235	4,558,503	5,626,933	6,203,032
Operating Supplies and Expenses	2,878,818	3,351,522	4,506,450	4,766,966
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	143,900	569,738	530,520	321,000
Subtotal: Operating Expenditures	\$6,312,953	\$8,479,763	\$10,663,903	\$11,290,998
Capital Purchases and Equipment	1,067,904	161,825	3,932,787	1,445,750
Debt Service	(168)	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$7,380,689	\$8,641,588	\$14,596,690	\$12,736,748
Expenditures By Funds				
General Revenue	1,643,337	1,869,081	2,032,786	1,877,623
Federal Funds	5,573,901	6,591,070	8,509,217	9,288,875
Restricted Receipts	38,220	153,240	145,000	145,000
Other Funds	125,231	28,197	3,909,687	1,425,250
Total Expenditures	\$7,380,689	\$8,641,588	\$14,596,690	\$12,736,748
Program Measures				
Percentage of National Guard Facilities Compliant with Code	15.0%	15.0%	17.6%	25.0%
Percentage of Army National Guard Facilities that Meet or Exceed Army Standards	40.0%	45.0%	47.0%	48.0%
Percentage of Authorized Strength (Air National)	90.5%	92.0%	94.0%	94.0%
Percentage of Authorized Strength (Army National)	85.0%	85.0%	82.0%	85.0%

The Program

Military Staff Emergency Management

Program Operations

The Rhode Island Emergency Management Agency (RIEMA) is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Federal Emergency Management Agency (FEMA) and annually receives federal funds under a Cooperative Agreement, which is part of a Performance Partnership Agreement. The program channels federal matching funds to 16 communities in the State to support similar programs at the local level.

Emergency Management personnel maintain the State Emergency Operating Center in the Command Readiness Center, National Guard Headquarters, 645 New London Avenue, Cranston, RI. Complete with telephone and radio systems and an automatic start power generator, which provides emergency electric power to the Operations Center, the Command Readiness Center, the Governor's Office and the office of the Speaker of the House. There is a request for Capital Funding to including a new Command Readiness Center into a new State Police headquarters on the Pastore Campus to adequately house all of Emergency Management and its equipment.

Emergency Management staff operates a mobile radio system which expedites phone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the State using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. The RIEMA also has developed an emergency radio communications system that allows all hospitals, the Department of Health, and Emergency Management to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests. A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters or manmade disasters.

Program Objectives

To maintain a high state of readiness for any disaster or major emergency through the State Emergency Operations Center.

To sustain qualified personnel ready to respond to emergencies and to assist local governments in disaster response and recovery operations.

To increase public awareness of natural hazard risks and actions that can be taken to prevent or minimize loss of life and property.

Statutory History

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 changed the official name to the Rhode Island Emergency Management Agency.

The Budget

Military Staff Emergency Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	2,051,453	2,937,269	2,785,637	2,917,896
Operating Supplies and Expenses	704,302	1,473,426	4,553,438	2,187,713
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	8,684,921	14,074,998	14,321,500	8,828,314
Subtotal: Operating Expenditures	\$11,440,676	\$18,485,693	\$21,660,575	\$13,933,923
Capital Purchases and Equipment	1,447,351	149,256	-	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$12,888,027	\$18,634,949	\$21,660,575	\$13,933,923
Expenditures By Funds				
General Revenue	692,916	1,112,958	793,327	802,732
Federal Funds	12,077,282	17,410,265	20,648,424	12,868,659
Restricted Receipts	117,829	111,726	218,824	262,532
Total Expenditures	\$12,888,027	\$18,634,949	\$21,660,575	\$13,933,923
Program Measures				
Percentage of CDSTARS Remote Stations Responding	47.0%	75.0%	85.0%	85.0%

The Agency

E-911 Emergency Telephone System

Agency Operations

The agency operates a 24-hour, statewide Public Safety Answering Point (PSAP), which receives wireline and wireless 9-1-1 calls, and then transfers them to the appropriate public safety response agency in the caller's area, whether it be police, fire, or rescue. The agency's operations are funded from general revenues and restricted receipt revenues. The general revenue expenditures are backed by a \$1.00 per month surcharge on wireline and wireless telephone subscribers. Effective July 1, 2004, an additional \$0.26 surcharge was levied on wireless subscribers to fund the costs of the Geographic Information System (GIS) database, improve system redundancy, and maintain state-of-the-art technology in the PSAP.

The agency is equipped with sophisticated telephone answering equipment, computers, and software, which are operated by trained 9-1-1 telecommunicators. When a telecommunicator receives a 9-1-1 call from a wireline telephone caller, the equipment displays the caller's street address, telephone number, and map displaying the location of the call. The agency is acquiring additional location capabilities using GIS mapping and other data being collected on a community-by-community basis, as funding becomes available. To date, 19 communities have been coded and 8 more are scheduled for completion in FY 2007. Until the GIS data development project is completed, the agency must rely on voice communication with the wireless caller, supplemented by some limited location information provided by the wireless carriers under Federal Communications Commission requirements. Approximately 62 percent of the 562,517 calls received by the agency in calendar year 2006 were from wireless phones.

Agency Objectives

The agency's objective is to maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate responding public safety and rescue agencies utilizing call location technology.

Statutory History

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. In 1996, the system became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the system. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. In July 2004, a new \$0.26 wireless GIS and Technology Fund surcharge was created. Statutory references for the agency are Title 39, Chapters 21 and 21.1.

The Budget

E-911 Emergency Telephone System

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	4,155,636	4,692,012	5,617,619	4,177,911
Operating Supplies and Expenses	753,327	936,138	909,445	594,323
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$4,908,963	\$5,628,150	\$6,527,064	\$4,772,234
Capital Purchases and Equipment	3,599	630,949	225,255	-
Debt Service	6,300	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$4,918,862	\$6,259,099	\$6,752,319	\$4,772,234
Expenditures By Funds				
General Revenue	3,881,544	4,341,442	4,098,361	4,772,234
Federal Funds	66,625	171,162	170,768	-
Restricted Receipts	970,693	1,746,495	2,483,190	-
Total Expenditures	\$4,918,862	\$6,259,099	\$6,752,319	\$4,772,234
FTE Authorization	50.6	53.6	53.6	53.6
Agency Measures				
Minorities as a Percentage of the Workforce	20.6%	21.5%	22.7%	22.7%
Females as a Percentage of the Workforce	44.5%	45.9%	49.6%	49.6%
Persons with Disabilities as a Percentage of the Workforce	2.6%	2.9%	3.4%	3.4%
Program Measures				
Average Number of Seconds Required to Answer and Transfer Incoming Wireless Calls to Secondary Public Service Answering Points	51	43	53	53

The Agency

Fire Safety Code Board of Appeal and Review

Agency Operations

The Fire Safety Code Board of Appeal & Review and the Joint Committee on the Rehabilitation Code for Existing Buildings and Structures are charged with the development and administrative review of comprehensive construction and safety codes covering the State of Rhode Island. Both Boards provide interpretations of, and hear all variance requests, under their respective codes.

The State Fire Code, developed and administered by the board, covers specialized fire protection requirements for hazardous materials and chemicals, flammable and combustible liquids, liquefied petroleum gases, liquefied natural gases, fireworks, model rocketry, heating appliances, spray application of flammable and combustible liquids, welding, use of torches, dust explosion prevention, industrial ovens and furnaces, mechanical refrigeration, combustible fibers and airport refueling operations. In addition, the Fire Safety Code outlines the fire safety requirements of all assembly, educational, day-care, health-care, residential board and care, regulatory health care, detention, correctional, hotel, dormitory, apartment, rooming houses, mercantile, business, industrial, storage and airport occupancies in the State of Rhode Island. Finally, the Fire Code addresses electrical safety, smoking, outdoor fires, fire lanes, commercial cooking equipment, combustible waste, tar kettles, Christmas trees, blasting and explosives.

The State Rehabilitation Code is developed and administered by the Joint Committee. The Rehabilitation Code sets specialized standards, under both the building and fire code, for the repair, renovation, alteration, and reconstruction within, along with additions to and changes of use within, existing buildings and structures. Likewise, all appeals and requests for interpretation or variances under the Rehabilitation Code will be heard and determined by the joint board.

Agency Objectives

To develop and maintain a comprehensive fire safety code to protect the citizens of Rhode Island; to review and approve all state-of-the-art fire protection systems installed in Rhode Island; and to review the overall fire safety of each facility to come before the board and to grant relief in cases of practical difficulty. To develop and maintain a comprehensive rehabilitation building and fire code to encourage the repair, renovation, alteration, and reconstruction of existing buildings in a cost efficient manner while assuring public safety.

Statutory History

The Fire Safety Code Commission was established in 1966 by Title 23, Chapter 28.3 of the Rhode Island General Laws. The statute was amended in 1975 to rename the commission as the Fire Safety Code Board of Appeal and Review. The Joint Committee on the Rehabilitation Code for Existing Buildings and Structures was established in 2000 by Title 23, Chapter 29.1 of the Rhode Island General Laws.

The Budget

Fire Safety Code Board of Appeal and Review

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	216,674	252,007	263,231	285,254
Operating Supplies and Expenses	19,851	40,337	34,137	15,381
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$236,525	\$292,344	\$297,368	\$300,635
Capital Purchases and Equipment	960	2,776	-	2,800
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$237,485	\$295,120	\$297,368	\$303,435
Expenditures By Funds				
General Revenue	237,485	295,120	297,368	303,435
Total Expenditures	\$237,485	\$295,120	\$297,368	\$303,435
FTE Authorization	3.0	3.0	3.0	3.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	66.7%	66.7%	66.7%	66.7%
Persons with Disabilities as a Percentage of the Workforce	66.7%	66.7%	66.7%	66.7%
Program Measures				
Fire Fatalities in Rhode Island	8	5	4	4

The Agency

Rhode Island State Fire Marshal

Agency Operations

The State Fire Marshal Division is responsible for enforcing and performing the duties required by the Fire Safety Code and all other provisions of the general and public laws as they relate to fires, and fire prevention, protection, inspection and investigation. The division enforces all laws regarding the keeping, storage, use, manufacturing, sale, handling, transportation, or other disposition of explosives and inflammable materials as well as conducting and supervising fire safety inspections of all buildings regulated by the code, and certifying whether or not buildings satisfy these requirements.

The division is divided into units specified in law: The Bomb Disposal Unit assists local police and fire departments in the proper handling and disposition of all hazardous materials suspected to be of explosive or incendiary nature. It also conducts training programs of local fire departments.

The Investigation Unit investigates suspicious or attempted fires to determine the cause, origin and circumstances where property has been damaged or destroyed. It also investigates fires where a fatality has occurred in so far as it is possible to determine the cause. Local government officials must report such fires immediately so as not to delay the start of the investigation.

The Enforcement Unit is responsible for the criminal prosecution of any person(s) in violation of the State Fire Safety Code and any general and public laws as they relate to fires, fire prevention, fire inspection, and fire investigations.

The Fire Education and Training Unit administers the Fire Academy by providing education and training to all fire departments in the State, public and private. The Fire Education and Training Coordinating Board develops the training program and the cost is reimbursed by the participating community.

The Plan Review Unit reviews architectural drawings of proposed construction plans for compliance with the Fire Safety Code. All fees collected for review of the plans are deposited as general revenue for the State.

The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code.

Agency Objectives

To reduce the number of fire losses in Rhode Island through prosecution of arson related crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile firesetters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

Statutory History

R.I.G.L.23-28.2 establishes the Rhode Island State Fire Marshal and defines its duties.

The Budget

Rhode Island State Fire Marshal

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,682,100	1,835,738	2,304,244	2,569,985
Operating Supplies and Expenses	480,698	369,853	465,606	299,903
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	8,115	235	91,835	24,890
Subtotal: Operating Expenditures	\$2,170,913	\$2,205,826	\$2,861,685	\$2,894,778
Capital Purchases and Equipment	43,582	167,618	162,304	61,500
Debt Service	6,612	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,221,107	\$2,373,444	\$3,023,989	\$2,956,278
Expenditures By Funds				
General Revenue	2,099,879	2,243,866	2,596,825	2,728,306
Federal Funds	121,228	129,578	414,565	227,972
Operating Transfers	-	-	12,599	-
Total Expenditures	\$2,221,107	2,373,444	3,023,989	\$2,956,278
FTE Authorization	32.0	38.0	36.0	36.0
Agency Measures				
Minorities as a Percentage of the Workforce	3.1%	2.6%	3.1%	3.1%
Females as a Percentage of the Workforce	21.9%	23.7%	21.7%	21.7%
Persons with Disabilities as a Percentage of the Workforce	-	3.8%	3.1%	3.1%
Program Measures				
Fire Determination Rate	85.5%	79.0%	76.0%	76.0%
Fire Fatalities in Rhode Island	8	5	4	4

The Agency

Commission on Judicial Tenure and Discipline

Agency Operations

The Commission on Judicial Tenure and Discipline is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, and the Traffic Tribunal, Probate Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Canons of Judicial Ethics, or of willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held. The commission may, on its own initiative, make such a preliminary investigation, without receiving a verified statement, to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time fixed for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After the hearing, the Supreme Court may affirm, modify or reject the commission's recommendation(s).

Agency Objectives

Ensure the integrity of the Rhode Island Court System.

Investigate reasonable allegations of wrongdoing by Rhode Island judges.

Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

Statutory History

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Law 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family, District Courts, and Workers' Compensation Commission. Subsequent enactments in P.L.1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, sec. 13 and P.L. 1991, Ch. 132, sec. 2 as well as P.L. 1991, Ch. 205, sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the new courts of Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate Courts in any city or town in the State of Rhode Island.

The Budget

Commission on Judicial Tenure and Discipline

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	123,419	105,685	103,443	111,932
Operating Supplies and Expenses	4,276	3,187	4,575	4,575
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$127,695	\$108,872	\$108,018	\$116,507
Capital Purchases and Equipment	1,413	2,632	3,198	3,198
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$129,108	\$111,504	\$111,216	\$119,705
Expenditures By Funds				
General Revenue	129,108	111,504	111,216	119,705
Total Expenditures	\$129,108	\$111,504	\$111,216	\$119,705
FTE Authorization	1.0	1.0	1.0	1.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Percentage of Verified Complaints Disposed of within 90 Days of Docketing	94.7%	96.4%	95.0%	95.0%

The Agency

Rhode Island Justice Commission

Agency Operations

The Rhode Island Justice Commission (RIJC) develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues. The RIJC was established in 1969 to improve the effectiveness and efficiency of system-wide criminal justice responses to the problem of crime. The commission pursues a variety of activities authorized by statute and executive designation. The support of these efforts is primarily obtained through the following federal grants: The Edward Byrne Memorial Justice Assistance Grant Program; the Juvenile Justice Formula, Block and other related grants; the Victims of Crime Act Assistance Formula Grant; the S.T.O.P. Violence Against Women Act Formula Grant and other related grants; the Residential Substance Abuse Treatment for State Prisoners Grant; the National Criminal Histories Improvement Grant Program; the Statistical Analysis Center Grant; the National Forensic Sciences Improvement Act Program; Project Safe Neighborhoods, and other appropriate discretionary grant programs. In addition, the RIJC administers the state Neighborhood Crime Prevention Act Grant program.

The agency's primary responsibility is to plan and apply for, receive and administer federal grant programs. The RIJC makes over 200 grants/sub-grants totaling approximately six million dollars annually.

Agency Objectives

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

To ensure that projects receiving funding utilize their funds consistent with federal and state grant regulations.

To initiate and support programs designed to impact crime and/or improve the criminal and juvenile justice system.

To continue to produce studies/reports describing the status of particular crime problems.

To perform detailed monitoring, evaluation, and analysis.

Statutory History

In 1969, Title 42, Chapter 62 of the Rhode Island General Laws established the Rhode Island Justice Commission as a state criminal justice agency. The RIJC's legal re-authorization can be found in the state's General Laws, specifically Chapter 26, Sections 42-26.1 through 42-26-13 and 42-26-17.

The Budget

Rhode Island Justice Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	809,884	833,797	627,102	660,084
Operating Supplies and Expenses	59,526	42,738	45,686	52,042
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,423,361	4,517,196	3,780,000	3,630,000
Subtotal: Operating Expenditures	\$5,292,771	\$5,393,731	\$4,452,788	\$4,342,126
Capital Purchases and Equipment	3,110	-	200	200
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$5,295,881	\$5,393,731	\$4,452,988	\$4,342,326
Expenditures By Funds				
General Revenue	253,856	253,280	154,303	160,815
Federal Funds	5,017,915	5,140,113	4,268,685	4,151,511
Restricted Receipts	24,110	338	30,000	30,000
Total Expenditures	\$5,295,881	\$5,393,731	\$4,452,988	\$4,342,326
FTE Authorization	9.0	8.5	7.6	7.6
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	66.6%	66.6%	66.6%	62.5%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Percentage of Municipal Police Departments with the Records Management System Software that are Interfaced with Justice Link	55.0%	82.0%	95.0%	100.0%
Percentage of Competitive Grant Applicants Provided Official Responses within 75 Work Days of Completed Application Date	43.0%	46.0%	100.0%	100.0%
Percentage of Noncompetitive Formula Grant Applicants Provided Official Responses within Five Work Days of Completed Applications	68.5%	83.0%	95.0%	100.0%

The Agency

Municipal Police Training Academy

Agency Operations

The Rhode Island Municipal Police Training Academy provides basic training to all new police officers throughout Rhode Island with the exception of the Providence Police and the Rhode Island State Police. Additionally, new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others attend the Police Academy.

The Police Academy's training program includes instruction in criminal, constitutional, juvenile and motor vehicle code law; breathalyzer/standard field sobriety testing; police patrol operations; CPR/AED; criminalistics; police ethics; physical fitness training; use of force training; basic water rescue; emergency vehicle operator's course training (EVOC); community policing; firearms training and role-play operations training. Additionally, the recruits receive instruction on hate crimes, diversity and racial profiling, as well as weapons of mass destruction and terrorism awareness.

In addition to providing basic police training, the Police Academy also conducts extensive police in-service and specialized training programs in many areas. Some of these subject areas include: First Line Supervision; Field Training Officers Course; Criminal Investigation; Community/Problem Oriented Policing Programs; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training.

The basic police recruit training operations are funded totally from the State General Fund. Most classroom instruction for police recruits is conducted at the Community College of Rhode Island's Flanagan Campus, in Lincoln. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by staff.

Agency Objectives

Evaluate and screen police officer candidates to ascertain required minimum qualifications.

Provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks.

Provide in-service and specialized training courses.

Statutory History

In 1969, Title 42 Chapter 28.2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.

The Budget

Municipal Police Training Academy

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	299,081	297,768	406,269	410,561
Operating Supplies and Expenses	52,073	35,652	76,530	68,691
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$351,154	\$333,420	\$482,799	\$479,252
Capital Purchases and Equipment	37,987	2,810	1,821	-
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$389,141	\$336,230	\$484,620	\$479,252
Expenditures By Funds				
General Revenue	342,853	331,008	404,620	429,252
Federal Funds	46,288	5,222	80,000	50,000
Total Expenditures	\$389,141	\$336,230	\$484,620	\$479,252
FTE Authorization	4.0	4.0	4.0	4.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	25.0%	25.0%	25.0%	25.0%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Cumulative Grade Point Average for Recruit Classes	92.7%	92.4%	93.3%	93.3%

The Agency

State Police

Agency Operations

The Rhode Island State Police (RISP) ensures citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. The agency is organized into three divisions: the Patrol Division, the Detective Division, and Central Management.

The Patrol Division prevents crime and investigates criminal and noncriminal activities. The division establishes highway safety through enforcement of motor vehicle laws with emphasis on hazardous moving violations, i.e. drunk driving and speeding.

The Detective Division is the primary investigative unit. It assists the Attorney General's Office in investigating organized crime and white collar crime, and provides support to police agencies throughout the State. It is composed of several bureaus: the Area Detectives, the Intelligence Bureau, the Narcotics Bureau, the Auto Theft Unit, the Bureau of Criminal Identification, a Financial Crimes Unit, and a Major Crimes Unit. Further, the RISP assumed control of the Judicial Branch's Warrant Squad to consolidate statewide operations in the apprehension of fugitives.

The Central Management Division consists of the Command Officers, the Inspection Division, the Training Academy, Planning and Research, the Business/Supply Office, and a Communications section. The Communications and Technology Unit supports all users of its local and wide area networks, microwave and radio systems, and electronic surveillance and communications equipment located in the agency's fleet. The State Police retirement costs are entirely funded through the annual general fund appropriation, including widow and disability pensions, cost of living adjustments and health insurance benefits.

Agency Objectives

To recruit and train troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to the state of the art level; to maintain national accreditation; and to increase public awareness of the Rhode Island State Police mission.

Statutory History

R.I.G.L. 42-28 established the Rhode Island State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, public accident reports and special crime systems.

The Budget

State Police

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Support	4,769,639	4,077,544	5,186,218	9,857,270
Detectives	6,606,333	8,135,142	9,640,868	9,571,750
Patrol	18,358,092	20,150,735	27,295,616	23,722,537
Pension	14,554,736	15,208,061	15,837,192	16,138,052
Communications and Technology	2,171,787	2,176,665	2,702,964	3,254,694
Total Expenditures	\$46,460,587	\$49,748,147	\$60,662,858	\$62,544,303
Expenditures By Object				
Personnel	28,656,210	31,338,223	39,838,325	37,315,762
Operating Supplies and Expenses	3,855,949	4,105,945	4,953,669	5,180,916
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	13,205,340	13,695,107	14,138,284	14,442,405
Subtotal: Operating Expenditures	\$45,717,499	49,139,275	\$58,930,278	\$56,939,083
Capital Purchases and Equipment	743,088	608,872	1,732,580	5,605,220
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$46,460,587	\$49,748,147	\$60,662,858	\$62,544,303
Expenditures By Funds				
General Revenue	42,443,124	45,221,617	54,070,136	52,958,743
Federal Funds	1,143,803	1,330,660	2,077,783	1,091,916
Restricted Receipts	248,488	164,847	614,333	312,100
Other Funds	2,625,172	3,031,023	3,900,606	8,181,544
Total Expenditures	\$46,460,587	\$49,748,147	\$60,662,858	\$62,544,303
FTE Authorization	274.0	282.0	273.0	280.0
Agency Measures				
Minorities as a Percentage of the Workforce	8.2%	8.2%	8.2%	8.2%
Females as a Percentage of the Workforce	15.2%	15.2%	14.9%	14.5%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Persons Ejected from Vehicles	56	56	50	50
Safety Violations Found for Every One Hundred Vehicles Inspected	15.7	17.8	25.0	25.0
Overweight Violations per One Hundred Vehicles Weighed	1.2	25.5	10.0	1.5

The Agency

Office of the Public Defender

Agency Operations

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney for representation in the appropriate court.

The office carries out a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters, and with termination of parental rights and dependency and neglect petitions filed by the DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpreters, information technology staff, and clerical staff.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six-year term.

Agency Objectives

To provide high quality representation to all indigents in criminal, juvenile and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to endeavor to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the Nation. Title 12, Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency, and mandates it to represent those who are without financial resources to retain private counsel. Title 14, Chapter 1 describes the referral process by the Family Court, and Title 40, Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the office by the Family Court.

The Budget

Office of the Public Defender

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	6,665,522	7,668,161	8,275,074	8,764,555
Operating Supplies and Expenses	459,111	693,042	799,667	807,307
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$7,124,633	\$8,361,203	\$9,074,741	\$9,571,862
Capital Purchases and Equipment	31,086	36,721	47,444	25,400
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$7,155,719	\$8,397,924	\$9,122,185	\$9,597,262
Expenditures By Funds				
General Revenue	6,871,288	8,270,298	8,882,554	9,435,548
Federal Funds	284,431	127,626	239,631	161,714
Total Expenditures	\$7,155,719	\$8,397,924	\$9,122,185	\$9,597,262
FTE Authorization	87.5	93.5	93.5	92.5
Agency Measures				
Minorities as a Percentage of the Workforce	14.0%	13.0%	15.0%	16.0%
Females as a Percentage of the Workforce	60.0%	62.0%	62.0%	62.0%
Persons with Disabilities as a Percentage of the Workforce	10.0%	9.0%	9.0%	9.0%
Program Measures				
Percentage by which Attorney Caseload Exceeds National Standards - Felonies	56.0%	57.0%	57.0%	57.0%
Percentage by which Attorney Caseload Exceeds National Standards - Misdemeanors	225.0%	202.0%	202.0%	202.0%
Average Percentage of the Continuing Legal Education Requirement Fulfilled with Public Defender Sponsored Courses (All Attorneys)	71.0%	82.9%	80.0%	80.0%

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Natural Resources

Department of Environmental Management
Office of the Director
Bureau of Natural Resources
Bureau of Environmental Protection

Coastal Resources Management Council
State Water Resources Board

Natural Resources Function Expenditures

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Expenditure by Object					
Personnel	49,929,351	57,824,488	57,915,490	59,234,969	56,603,114
Other State Operations	8,007,737	9,029,887	9,099,685	9,315,235	9,460,709
Aid to Local Units of Government	242	300	-	-	300
Assistance, Grants, and Benefits	4,020,938	2,700,954	10,615,443	10,953,411	9,466,211
Subtotal: Operating Expenditure	\$61,958,268	\$69,555,629	\$77,630,618	\$79,503,615	\$75,530,334
Capital Improvements	9,008,739	5,098,966	23,451,801	25,006,543	16,950,754
Capital Debt Service	235,654	-	193,261	-	-
Operating Transfers	(7,933)	-	-	-	-
Total Expenditures	\$71,194,728	\$74,654,595	\$101,275,680	\$104,510,158	\$92,481,088
Expenditures by Funds					
General Revenue	35,782,349	41,130,111	41,580,465	40,588,832	39,920,542
Federal Funds	19,303,754	20,974,492	35,385,827	39,416,297	29,354,197
Restricted Receipts	10,154,430	10,814,969	21,147,240	22,063,677	17,523,463
Other Funds	5,954,195	1,735,023	3,162,148	2,441,352	5,682,886
Total Expenditures	\$71,194,728	\$74,654,595	\$101,275,680	\$104,510,158	\$92,481,088
FTE Authorization	576.7	570.3	540.3	544.3	528.4

The Agency

Department of Environmental Management

Agency Operations

The mission of the Department of Environmental Management is to enhance the quality of life for this and future generations by protecting, managing, and restoring the natural resources of the State; enhancing outdoor recreation opportunities; protecting public health; preventing environmental degradation; guiding the utilization of the State's natural resources to provide for sustainable economic opportunity while sustaining the natural environment; and motivating the citizens of the State to practice an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

Agency Objectives

To ensure Rhode Islanders have equal access to environmental benefits; to prevent any segment of Rhode Island's population from bearing a disproportionate share of environmental risks and pollution.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it; to ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic and accessible facilities and outdoor recreation opportunities.

To educate citizens so that all Rhode Islanders prevent pollution and minimize waste at the source.

To ensure natural habitats are managed to maintain species biodiversity.

To promote economic opportunity that preserves the State's resources and maintains Rhode Island's high quality of life for a sound economy.

To revitalize, protect and restore urban areas for reuse while conserving ecologically sensitive urban areas.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Office of the Director	15,617,651	9,216,664	9,708,324	8,478,674
Bureau of Natural Resources	30,222,970	31,963,059	44,474,996	45,096,587
Bureau of Environmental Protection	18,888,076	27,017,894	40,584,679	32,738,832
Total Expenditures	\$64,728,697	\$68,197,617	\$94,767,999	\$86,314,093
Expenditures By Object				
Personnel	45,081,194	52,820,553	53,880,805	51,700,539
Operating Supplies and Expenses	7,621,267	8,615,630	8,790,796	9,088,764
Aid To Local Units Of Government	242	300	-	300
Assistance, Grants and Benefits	3,231,034	2,233,867	10,791,251	9,390,836
Subtotal: Operating Expenditures	\$55,933,737	\$63,670,350	\$73,462,852	\$70,180,439
Capital Purchases and Equipment	8,567,239	4,527,267	21,305,147	16,133,654
Debt Service	235,654	-	-	-
Operating Transfers	(7,933)	-	-	-
Total Expenditures	\$64,728,697	\$68,197,617	\$94,767,999	\$86,314,093
Expenditures By Funds				
General Revenue	33,277,951	38,089,653	36,632,436	36,131,647
Federal Funds	16,417,852	18,684,016	37,292,430	27,747,197
Restricted Receipts	9,171,245	9,817,745	18,497,716	16,844,363
Other Funds	5,861,649	1,606,203	2,345,417	5,590,886
Total Expenditures	\$64,728,697	\$68,197,617	\$94,767,999	\$86,314,093
FTE Authorization	538.7	531.3	505.3	489.4
Agency Measures				
Minorities as a Percentage of the Workforce	5.8%	5.8%	5.6%	5.6%
Females as a Percentage of the Workforce	33.5%	32.8%	32.8%	36.7%
Persons with Disabilities as a Percentage of the Workforce	8.5%	8.5%	8.5%	9.2%

The Program

Department of Environmental Management Office of the Director

Program Operations

The Office of the Director includes the following five offices: Policy, Communications, and Customer Service; Financial Management; Human Resources; Legal Services; and Management Information Systems.

The Office of Policy, Communications, and Customer Service coordinates overarching policy and strategic planning initiatives, legislation and intergovernmental affairs, media relations, public information and education, and frontline customer service operations.

The Office of Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately 200 separate accounts for the department's programs, as well as the issuance of 38,000 boat registrations and all hunting and fishing licenses.

The Office of Human Resources provides administrative human resources support for over 520 full-time employees and approximately 475 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

The Office of Legal Services represents the department in hearings and enforcement, and provides legal guidance on the development and administration of regulatory programs.

The Management Information Systems (MIS) Office provides department-wide computer/technology services including the environmental permit database, e-government services, and a help desk, and administers major federal grants for information reporting.

Administrative Adjudication has been relocated to the legal division at the Department of Administration where appeals of department permitting, regulatory and enforcement decisions are heard.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Office of the Director

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Administration	5,221,319	3,842,775	4,213,268	4,016,311
Environmental Coordination	857,241	285,663	1,466,829	1,226,097
Management Services	3,108,084	3,334,945	2,625,293	2,568,042
Legal Services	417,145	436,383	499,233	424,444
Employee Relations/Human Resources	420,470	467,139	18,454	17,831
Planning and Development	4,499,046	(6,095)	-	-
Administrative Adjudication	923,391	625,675	684,882	25,584
Permit Streamlining	170,955	230,179	200,365	200,365
Total Expenditures	\$15,617,651	\$9,216,664	\$9,708,324	\$8,478,674
Expenditures By Object				
Personnel	8,081,844	5,998,425	6,517,523	4,783,279
Operating Supplies and Expenses	3,010,978	2,750,098	2,697,797	2,713,491
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	292,293	377,222	397,404	932,904
Subtotal: Operating Expenditures	\$11,385,115	\$9,125,745	\$9,612,724	\$8,429,674
Capital Purchases and Equipment	4,215,852	90,919	95,600	49,000
Debt Service	16,684	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$15,617,651	\$9,216,664	\$9,708,324	\$8,478,674
Expenditures By Funds				
General Revenue	8,064,300	6,851,335	6,360,517	5,396,004
Federal Funds	710,373	285,663	1,466,829	506,097
Restricted Receipts	3,499,534	2,084,289	1,880,978	2,576,573
Other Funds	3,343,444	(4,623)	-	-
Total Expenditures	\$15,617,651	\$9,216,664	\$9,708,324	\$8,478,674

Program Measures

Cumulative Percentage of Land Acquisition				
Goal of 17,850 Acres Actually Acquired	75.0%	83.0%	85.0%	85.0%

The Program

Department of Environmental Management Bureau of Natural Resources

Program Operations

The Bureau of Natural Resources is organized into six divisions:

The Division of Fish and Wildlife manages the State's marine and freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; to enhance non-consumptive uses of wildlife; and to protect the State's rare, and endangered species. The division maintains state-owned management areas, fishing areas, and boat ramps, and ports facilities and commercial fishing piers in Galilee, Jerusalem, and Newport.

The Division of Agriculture and Marketing manages the State's agriculture programs, including farm viability and protection; licensing and permitting; farm-best management practices; animal health, mosquito and rabies disease control; and pesticide management and regulation.

The Division of Enforcement enforces state laws and regulations governing hunting and fishing, public safety in state parks, and recreational boating. The division maintains a 24-hour hotline and dispatch center for the department, conducts search and rescue activities on both land and the bay, and responds to animal complaints, particularly those associated with rabies. The division oversees the Criminal Investigation Unit, which investigates solid, medical, and hazardous waste violations.

The Division of Parks and Recreation manages eight major state parks, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres. The division oversees facilities and land management and coordinates recreational activities at the Narragansett Bay Estuarine Research Reserve.

The Division of Forest Environment manages 40,000 acres of state-owned forests. It coordinates forest fire protection plans, the Urban Forestry Grant Program, and it assists rural volunteer fire departments.

The Division of Planning and Development is responsible for state land acquisitions, engineering/design work for improvements to state parks and facilities, and local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants.

Program Objective

The objectives of this bureau are to provide stewardship of the State's finite water, air, land, agricultural, forest resources, and the conservation of the State's aquatic resources; and provide people with well-maintained, scenic, and accessible outdoor recreational opportunities.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Bureau of Natural Resources

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Fish, Wildlife & Estuarine Resources	8,686,678	7,799,557	10,923,888	10,492,753
Agriculture	2,172,248	2,348,548	4,967,322	5,155,266
Enforcement	3,966,970	4,805,915	5,710,890	5,781,763
Natural Resources Administration	649,028	3,513,298	8,600,397	7,299,443
Parks and Recreation	9,881,049	9,929,615	10,659,274	11,334,415
Forest Environment	2,555,347	3,492,037	3,538,225	3,682,947
Coastal Resources	2,311,650	74,089	75,000	1,350,000
Total Expenditures	\$30,222,970	\$31,963,059	\$44,474,996	\$45,096,587
Expenditures By Object				
Personnel	19,741,336	22,218,764	24,333,040	24,542,062
Operating Supplies and Expenses	4,023,518	5,008,108	4,913,004	5,023,517
Aid To Local Units Of Government	242	300	-	300
Assistance, Grants and Benefits	2,562,376	1,322,673	5,682,383	3,650,964
Subtotal: Operating Expenditures	\$26,327,472	\$28,549,845	\$34,928,427	\$33,216,843
Capital Purchases and Equipment	3,711,022	3,413,214	9,546,569	11,879,744
Debt Service	192,409	-	-	-
Operating Transfers	(7,933)	-	-	-
Total Expenditures	\$30,222,970	\$31,963,059	\$44,474,996	\$45,096,587
Expenditures By Funds				
General Revenue	16,094,045	18,649,959	18,556,588	18,536,481
Federal Funds	8,641,175	8,593,494	19,518,325	17,139,404
Restricted Receipts	2,969,545	3,108,780	4,054,666	3,829,816
Other Funds	2,518,205	1,610,826	2,345,417	5,590,886
Total Expenditures	\$30,222,970	\$31,963,059	\$44,474,996	\$45,096,587
Program Measures				
Quahog Biomass in Metric Tons as a Percentage of Biomass Required for Stock to be Self-Sustaining	52.3%	63.0%	75.0%	87.0%
Percentage of Rhode Island Agricultural Samples Meeting State and Federal Standards	94.3%	94.9%	97.0%	99.0%
Percentage of RI Communities on Designated Levels in the Urban Forestry Program				
Percentage of RI Communities on at least:				
Formative Level	80.0%	77.5%	75.0%	80.0%
Developmental Level	55.0%	55.0%	55.0%	55.0%
Sustained Level	27.5%	22.5%	25.0%	27.5%

The Program

Department of Environmental Management Bureau of Environmental Protection

Program Operations

The Bureau of Environmental Protection is composed of regulatory and assistance programs.

The Office of Water Resources operates the following regulatory programs: Pollutant Discharge Elimination System; Pretreatment; Underground Injection Control; Groundwater & Surface Water Quality Certification; Individual Septic Disposal Systems; Freshwater Wetlands; Water Quality Restoration Studies; Total Maximum Daily Loading (TDML); Wastewater Treatment Facility and Sludge Programs, and Sustainable Watershed Initiatives.

The Office of Compliance and Inspection centralizes response to citizen complaints and regulatory enforcement activities in Air Resources, Waste Management, and Water Resources, to ensure that the department has consistent enforcement policies to address the most serious issues. It also investigates suspected violations and takes enforcement actions based on a coordinated effort with the respective receiving offices.

The Office for Permitting Coordination and Innovations assists the public by coordinating review of multiple applications and permits and tracking the status of permitting activities throughout the bureau. The office assists businesses to prevent pollution by adhering to regulations made more effective by the Environmental Results Program in priority sectors.

The Office of Air Resources is responsible for the protection and improvement of Rhode Island's air resources through monitoring and regulating the emission of air pollutants from stationary and mobile sources.

The Office of Waste Management regulates the transportation and disposal of solid, medical and hazardous wastes and the investigation and remediation of unpermitted releases of those materials. It includes the Site Remediation Program; Brownfield initiatives; Superfund and Department of Defense site programs; Waste Facility Management Program; and Underground Storage Tank Program.

The Emergency Response Program responds to emergency spills of oil and chemicals that present an immediate threat to public health and the environment, including events related to terrorism and natural disasters.

Program Objective

To ensure the quality of Rhode Island's air, water, and land resources through regulating activities that compromise public health and impact the environment; prevent further degradation of environmental resources; restore existing features and a bureau-wide ethic of customer assistance.

Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

The Budget

Department of Environmental Management Bureau of Environmental Protection

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Subprogram				
Water Resources	6,479,930	7,449,582	9,113,739	8,673,094
Environmental Protection Administration	126,958	143,456	159,715	141,067
Compliance & Inspection	2,306,490	5,299,482	4,723,488	4,882,733
Technical & Customer Assistance	658,926	847,095	308,721	435,598
RIPDES	803,550	900,917	893,274	1,016,121
Air Resources	3,670,048	3,291,422	4,068,716	3,368,122
Waste Management	3,217,098	7,400,882	12,006,171	6,838,395
Environmental Response	1,625,076	1,685,058	9,310,855	7,383,702
Total Expenditures	\$18,888,076	\$27,017,894	\$40,584,679	\$32,738,832
Expenditures By Object				
Personnel	17,258,014	24,603,364	23,030,242	22,375,198
Operating Supplies and Expenses	586,771	857,424	1,179,995	1,351,756
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	376,365	533,972	4,711,464	4,806,968
Subtotal: Operating Expenditures	\$18,221,150	\$25,994,760	\$28,921,701	\$28,533,922
Capital Purchases and Equipment	640,365	1,023,134	11,662,978	4,204,910
Debt Service	26,561	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$18,888,076	\$27,017,894	\$40,584,679	\$32,738,832
Expenditures By Funds				
General Revenue	9,119,606	12,588,359	11,715,331	12,199,162
Federal Funds	7,066,304	9,804,859	16,307,276	10,101,696
Restricted Receipts	2,702,166	4,624,676	12,562,072	10,437,974
Total Expenditures	\$18,888,076	\$27,017,894	\$40,584,679	\$32,738,832
Program Measures				
Percentage of Sites Suspected or Identified as Contaminated that are Cleaned Up	46.0%	46.6%	45.0%	46.0%
Percentage of Operating Permit Programs that are Inspected Annually for Compliance with Air Quality Standards	32.0%	43.0%	50.0%	50.0%
Percentage of Emission Caps that are Inspected Annually	14.0%	21.0%	25.0%	25.0%
Average Number of Days Required to Process Wetlands Permits from Receipt of Application to Final Decision Date	84	108	120	100

The Agency

Coastal Resources Management Council

Agency Operations

Rhode Island law mandates the Coastal Resources Management Council (CRMC) to preserve, protect, develop and, where possible, restore the coastal resources of the State. The council is administered by 16 appointed representatives from the public, state, and local governments and staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on how the coastal resources of the State should be managed. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the State, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the State’s coastal resources. In 1996, legislation authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. Legislation in 2001 established the Coastal Habitat Restoration Program to include program development and specific projects. Legislation in 2004 charged the council with developing a Marine Resources Development Plan.

Agency Objectives

To preserve, protect, develop and, where possible, restore the coastal resources of the State. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits. To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse. To protect and promote public access to the shore and provide high quality recreational opportunities to all whom come to the Rhode Island shore. Provide suitable waterfront sites for industries and businesses needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas. To establish a working partnership among the public and local, state, and federal governments.

Statutory History

R.I.G.L. 46-23 (1972) establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

The Budget

Coastal Resources Management Council

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,341,808	3,549,098	3,457,103	3,216,920
Operating Supplies and Expenses	164,369	172,010	293,866	223,639
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	134,912	219,397	-	-
Subtotal: Operating Expenditures	3,641,089	3,940,505	3,750,969	3,440,559
Capital Purchases and Equipment	189,738	542,523	3,610,461	725,100
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$3,830,827	\$4,483,028	\$7,361,430	\$4,165,659
Expenditures By Funds				
General Revenue	1,416,887	1,681,767	2,130,724	1,879,559
Federal Grants	2,279,028	2,086,791	2,059,745	1,607,000
Restricted Receipts	134,912	669,970	3,165,961	679,100
Other	-	44,500	5,000	-
Total Expenditures	\$3,830,827	\$4,483,028	\$7,361,430	\$4,165,659
FTE Authorization	29.0	30.0	30.0	30.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	36.7%	36.7%	36.7%	36.7%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Cumulative Percentage of Shoreline Miles with Designated Right-of-Way Sites	52.4%	52.4%	53.1%	53.8%

The Agency

State Water Resources Board

Agency Operations

The Rhode Island Water Resources Board is a water supply development and regulatory agency, which promotes the planning, development, and conservation of water supplies to ensure sufficient water resources are available for present and future generations of Rhode Islanders. The board accomplishes this broad program responsibility through the 39 municipal water supply systems located around the State. The board oversees the planning, coordination, development and allocation of both surface and ground water supplies by the municipal systems to ensure the availability and quality of water. The Board may additionally acquire sites, dams, water rights, rights of way, easements and other property for reservoirs, groundwater wells, well sites, pumping stations and filtration plants for the treatment and distribution of water as well as construction of water systems.

The Board is directed by a General Manager who is appointed by the Board to carry out its policy and direction. The Board is comprised of ten members. Five members represent the public and are appointed by the Governor of which two are affiliated with the public water supply systems; one appointed by the agriculture council; the four remaining members are the Director of the Department of Environmental Management, the Director of the Economic Development Corporation, the Director of the Department of Health, the Director of the Department of Administration.

Agency Objectives

Promote the planning, development, allocation and conservation of the State's water resources.

Statutory History

The Rhode Island Water Resources Board was established in 1967. Chapter 15 of Title 46 of the Rhode Island General Laws authorizes the organization and functions of the board.

The Budget

State Water Resources Board

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	1,506,349	1,454,837	1,897,061	1,685,655
Operating Supplies and Expenses	222,101	242,247	230,573	148,306
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	654,992	247,690	162,160	75,375
Subtotal: Operating Expenditures	\$2,383,442	\$1,944,774	\$2,289,794	\$1,909,336
Capital Purchases and Equipment	251,762	29,176	90,435	92,000
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,635,204	\$1,973,950	\$2,380,229	\$2,001,336
Expenditures By Funds				
General Revenue	1,087,511	1,358,691	1,825,672	1,909,336
Federal Funds	606,874	203,685	64,122	-
Restricted Receipts	848,273	327,254	400,000	-
Other Funds	92,546	84,320	90,435	92,000
Total Expenditures	\$2,635,204	\$1,973,950	\$2,380,229	\$2,001,336
FTE Authorization	9.0	9.0	9.0	9.0
Agency Measures				
Minorities as a Percentage of the Workforce	-	22.2%	22.2%	22.2%
Females as a Percentage of the Workforce	50.0%	55.6%	55.6%	55.6%
Persons with Disabilities as a Percentage of the Workforce	-	-	-	-
Program Measures				
Number of Houses Remaining at the Big River Management Area	39	36	36	35
Emergency Water Connections Established per Year	0	1	3	2
Cummulative Percentage of Draft Water Studies Received	89.0%	82.0%	91.0%	93.0%

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Transportation

Department of Environmental Management
Office of the Director
Bureau of Natural Resources
Bureau of Environmental Protection

Coastal Resources Management Council
State Water Resources Board

Transportation Function Expenditures

	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Enacted	FY 2007 Revised	FY 2008 Recommended
Expenditure by Object					
Personnel	94,880,067	106,229,519	99,627,100	96,477,840	104,133,958
Other State Operations	41,684,243	25,807,765	18,717,065	21,467,635	22,333,211
Aid to Local Units of Government	0	0	0	0	0
Assistance, Grants, and Benefits	1,813,016	16,767,565	37,421,360	18,501,648	32,289,595
Subtotal: Operating Expenditures	138,377,326	148,804,849	155,765,525	136,447,123	158,756,764
Capital Improvements	142,243,027	153,897,651	126,020,838	146,477,311	128,326,504
Capital Debt Service	796,479	0	55,738,078	0	0
Operating Transfers	88,102,302	92,299,137	1,315,000	87,602,472	89,750,362
Total Expenditures	369,519,134	395,001,637	338,839,441	370,526,906	376,833,630
Expenditures by Funds					
General Revenue	-	-	-	-	-
Federal Funds	227,723,125	250,816,104	237,746,529	272,559,520	275,263,422
Restricted Receipts	636,146	451,005	3,066,699	644,560	661,834
Other Funds	141,159,863	143,734,528	98,026,213	97,322,826	100,908,374
Total Expenditures	369,519,134	395,001,637	338,839,441	370,526,906	376,833,630
FTE Authorization	811.7	809.7	779.7	786.7	786.7

The Agency

Department of Transportation

Agency Operations

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for the maintenance and construction of a quality infrastructure which reflects the transportation needs of the citizens of the State. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The department has three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994, funding was provided through general revenue, dedicated receipts, and federal aid. In FY 1994, the Intermodal Surface Transportation Fund (ISTF) was established to finance all of the Department's personnel, operating, and capital improvement expenditures, as well as highway debt service, Rhode Island Public Transit Authority (RIPTA) operating funds and elderly transportation services. State funding for transportation services is provided by earmarking 29 cents of the state per gallon gasoline tax in FY 2006.

The Department of Transportation is responsible for the maintenance of approximately 3,000 lane miles of highway and 834 bridges, as well as the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. Other responsibilities include planning, development, and implementation of transit, rail, water, and bicycle/pedestrian transportation projects. Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of 80 percent), and the remaining share is financed by state bond issues. The Infrastructure Program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991.

Agency Objectives

To maintain and provide a safe, efficient, environmentally, aesthetically and culturally sensitive intermodal transportation network that offers a variety of convenient, cost-effective mobility opportunities for people and the movement of goods supporting economic development and improved quality of life.

Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The Director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L. 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 establishes the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration.

The Budget

Department of Transportation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Program				
Central Management	5,411,966	6,828,239	20,520,379	20,783,518
Management and Budget	2,313,750	6,488,410	4,528,352	3,010,397
Infrastructure - Engineering	319,701,204	339,242,813	308,127,346	312,648,060
Infrastructure - Maintenance	42,092,214	42,442,175	37,350,829	40,391,655
Total Expenditures	\$369,519,134	\$395,001,637	\$370,526,906	\$376,833,630
Expenditures By Object				
Personnel	94,880,067	106,229,519	96,477,840	104,133,958
Operating Supplies and Expenses	41,684,243	25,807,765	21,467,635	22,333,211
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,813,016	16,767,565	18,501,648	32,289,595
Subtotal: Operating Expenditures	\$138,377,326	\$148,804,849	\$136,447,123	\$158,756,764
Capital Purchases and Equipment	142,243,027	153,897,651	146,477,311	128,326,504
Debt Service	796,479	-	-	-
Operating Transfers	88,102,302	92,299,137	87,602,472	89,750,362
Total Expenditures	\$369,519,134	\$395,001,637	\$370,526,906	\$376,833,630
Expenditures By Funds				
Federal Funds	227,723,125	250,816,104	272,559,520	275,263,422
Restricted Receipts	636,146	451,005	644,560	661,834
Other Funds	141,159,863	143,734,528	97,322,826	100,908,374
	\$369,519,134	\$395,001,637	\$370,526,906	\$376,833,630
FTE Authorization	811.7	809.7	786.7	786.7
Agency Measures				
Minorities as a Percentage of the Workforce	9.2%	9.3%	9.4%	9.5%
Females as a Percentage of the Workforce	20.5%	20.6%	20.7%	20.8%
Persons with Disabilities as a Percentage of the Workforce	2.0%	1.9%	1.3%	1.2%

The Program

Department of Transportation Central Management

Program Operations

The program consists of seven functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation in order to ensure that the State's public transportation services are safe, efficient, environmentally prudent, and consumer oriented.

The Legal Office is responsible for providing advice and representation and other assistance in relation to program development, property management and acquisition, contract finalization, litigation, and arbitration for the department.

The Office of Human Resources is responsible for administering labor relations, human resource development and training, equal opportunity programs, and payroll and fringe benefits programs.

The Office on Highway Safety, through funding provided by the National Highway Traffic Safety Administration (NHTSA), develops and coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information.

The Office of Communications is the spokesperson for the department handling all media inquiries, speaking engagements, legislative correspondence and special events and managing the department of Transportation website: www.dot.state.ri.us.

The Real Estate Section acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance.

Internal Audit is responsible for internal controls and independent departmental audits

Program Objective

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

Statutory History

R.I.G.L. 42-13 governs the Department of Transportation.

The Budget

Department of Transportation Central Management

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	3,822,952	4,003,726	4,384,169	4,684,777
Operating Supplies and Expenses	693,497	1,397,864	1,259,675	1,179,091
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	701,429	756,334	4,857,087	4,890,087
Subtotal: Operating Expenditures	\$5,217,878	\$6,157,924	\$10,500,931	\$10,753,955
Capital Purchases and Equipment	(58,094)	501,314	10,019,448	10,029,563
Debt Service	-	-	-	-
Operating Transfers	252,182	169,001	-	-
Total Expenditures	\$5,411,966	\$6,828,239	\$20,520,379	\$20,783,518
Expenditures By Funds				
Federal Funds	2,270,101	2,699,951	17,047,980	17,166,840
Other Funds	3,141,865	4,128,288	3,472,399	3,616,678
Total Expenditures	\$5,411,966	\$6,828,239	\$20,520,379	\$20,783,518
Program Measures	NA	NA	NA	NA

The Program

Department of Transportation Management and Budget

Program Operations

The Management and Budget Program consists of seven functional units:

The Office of Contracts and Specifications develops and monitors all preliminary engineering and construction contracts, and negotiates consultant engineering fees and staff hours for engineering projects. Management Information Services (MIS) is responsible for the automated telephone system and computer related services which includes network communication, custom programming, software installation, computer purchases, inventory maintenance, on-line help desk, Geographic Informational Systems (GIS) data integration/mapping and the management of consultants developing custom applications. Financial Management is responsible for the preparation and processing of all fiscal materials. The Assistant Director serves as the Chief Financial Officer (CFO) with oversight over all financial transactions. The Office is charged with developing overall agency policies, guidelines, and procedures for budget administration, financial reporting, and financial management systems. Fleet Operations is responsible for development and enhancement of the department's vehicle fleet. Specific functions include continual monitoring and review of vehicle maintenance costs and records for improved vehicle history and analysis, and vehicle maintenance and inspections. Other functions include the supervision of the Vehicle & Safety Program. The Office of Business and Community Resources is charged with overseeing the department's role in all Disadvantaged Business Enterprise (DBE) programs, which includes supportive services, On-The-Job Training (OJT), the Transportation and Civil Engineering program (TRAC), and other educational, training, and outreach programs targeted to underrepresented groups in the Rhode Island Transportation Industry. External Audit performs routine and special audits as required in such areas as consultant fringe benefits, overhead rates, and final payments. The Federal Programs Section is chiefly responsible for managing all federally reimbursable costs attributed to the Highway Program and the Federal Highway Administration (FHWA). It also administers the annual Federal Highway Program as it relates to the Transportation Improvement Program (TIP).

Program Objective

To provide the necessary support staff and systems needed to ensure efficient program services.

Statutory History

R.I.G.L. 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.

The Budget

Department of Transportation Management and Budget

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	2,093,724	2,318,636	3,507,747	2,527,502
Operating Supplies and Expenses	556,203	1,241,626	829,863	292,152
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
Subtotal: Operating Expenditures	\$2,649,927	\$3,560,262	\$4,337,610	\$2,819,654
Capital Purchases and Equipment	(336,177)	2,928,148	190,742	190,743
Debt Service	-	-	-	-
Operating Transfers	-	-	-	-
Total Expenditures	\$2,313,750	\$6,488,410	\$4,528,352	\$3,010,397
Expenditures By Funds				
Other Funds	2,313,750	6,488,410	4,528,352	3,010,397
Total Expenditures	\$2,313,750	\$6,488,410	\$4,528,352	\$3,010,397
Program Measures	NA	NA	NA	NA

The Program

Department of Transportation Infrastructure Engineering

Program Operations

Infrastructure Engineering is headed by the Transportation Development Division through the Office of the Chief Engineer and consists of Construction Management, Design, Traffic Management, Environmental and Intermodal Planning, and Capital Programming. Transportation Development is responsible for the development of projects, from initial scoping through construction and is charged with the complete design of capital projects undertaken by the Department. The Construction Management Section includes the Materials and Survey Units and is responsible for overseeing the statewide highway reconstruction and bridge rehabilitation/replacement program totaling over \$100 million. The Materials Unit is responsible for testing and verifying that construction materials are in compliance with established standards. The Central Surveying functions include construction layout and the dissemination of survey information. The Engineering Section or Design is responsible for maintaining a comprehensive transportation program, as well as environmental assessments and other preliminary engineering required for receipt of federal funds for the construction and reconstruction of Rhode Island's state-owned and maintained highways, bridges, intersections, and bicycle and pedestrian paths. Traffic Management is responsible for managing the department's database and information management systems as they relate to traffic research. The Environmental and Intermodal Planning Unit is charged with developing an integrated transportation system that provides convenient transition between mode shifts through the development and implementation of planning projects in the areas of rail, water, bicycle/pedestrian transportation, and transit. The unit is also responsible for strengthening the department's compliance efforts with respect to environmental issues. The Capital Programming Unit develops and monitors the Capital Transportation Program to meet the transportation needs of the State's citizens and ensure successful implementation of the Transportation Improvement Program (TIP).

Program Objectives

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. To maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light/freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform to the requirements of the Clean Air Act.

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

The Budget

Department of Transportation Infrastructure Engineering

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	73,061,317	83,070,642	70,363,100	77,027,294
Operating Supplies and Expenses	15,969,898	3,302,537	2,284,162	2,285,938
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,050,221	15,779,462	13,238,830	27,299,508
Subtotal: Operating Expenditures	\$90,081,436	\$102,152,641	\$85,886,092	\$106,612,740
Capital Purchases and Equipment	142,092,115	145,580,606	135,238,782	116,884,958
Debt Service	253,293	-	-	-
Operating Transfers	87,274,360	91,509,566	87,002,472	89,150,362
Total Expenditures	\$319,701,204	\$339,242,813	\$308,127,346	\$312,648,060
Expenditures By Funds				
Federal Funds	225,453,024	248,116,153	255,511,540	258,096,582
Restricted Receipts	636,146	451,005	644,560	661,834
Other Funds	93,612,034	90,675,655	51,971,246	53,889,644
Total Expenditures	\$319,701,204	\$339,242,813	\$308,127,346	\$312,648,060
Program Measures				
Vehicle Crash Fatalities per 100 Million Vehicle Miles Traveled	1.04	1.01	1.00	1.00
Linear Feet of State Sidewalk Retrofitted to Conform to Americans with Disabilities Act Regulations	110,400	127,600	110,000	110,000
Vehicle Crash Injuries per 100 Million Vehicle Miles Traveled	19.13	16.51	15.00	15.00
Percentage of State Roadways and Sidewalks Swept Annually	80%	85%	90%	90%
Percentage of State Roadway Miles Whose Pavement is Rated Good or Excellent	65%	60%	55%	50%
Number of Rhode Island Bridges Listed as Structurally Deficient	197	194	200	180

The Program

Department of Transportation Infrastructure Maintenance

Program Operations

The Division of Maintenance is responsible for the routine maintenance of approximately 3,000 lane miles of state highways, 834 bridges, and associated roadsides and highway appurtenances. The Highway and Bridge Maintenance Section is comprised of several units that address the various aspects of the highway system. The units include Administration, Automotive, Business Office, Employee Relations Office, Engineering and Support, and Field Operations. Administration is responsible for supervision, planning, and administration for the section. The Automotive section is responsible for the maintenance and repair of the section's fleet of heavy trucks and other equipment. The Business Office administers purchasing, invoicing, and payroll activities and coordinates with contract administration to ensure proper recording of subcontractor documentation. The Employee Relations Office is responsible for all personnel matters of the Highway and Bridge Maintenance Section including, but not limited to, labor relations, Workers' Compensation, and training. The Engineering and Support Office is multi-faceted and is responsible for the following: review and resolution of drainage problems, issuance and inspection of work permits, contract preparation, maintenance of guardrails, fences, and highway attenuators along the state highway, maintenance of highway appurtenances including signs, traffic signals, and highway illumination, processing of pothole, state fleet and other tort claims, and state-wide beautification projects. Field Operations is comprised of a field staff which operates from satellite facilities state-wide and is involved with sweeping, litter collection, snow plowing, and bridge, pothole, guardrail repairs, and other roadway maintenance tasks.

Program Objectives

To provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the State's highway system. Our objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic-related appurtenances.

Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works Division and defines their roles. R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads. R.I.G.L. 24-9 establishes an emergency storm account and authorizes the Director to take the action necessary to respond to emergency situations.

The Budget

Department of Transportation Infrastructure Maintenance

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures By Object				
Personnel	15,902,074	16,836,515	18,222,824	19,894,385
Operating Supplies and Expenses	24,464,645	19,865,738	17,093,935	18,576,030
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	61,366	231,769	405,731	100,000
Subtotal: Operating Expenditures	\$40,428,085	\$36,934,022	\$35,722,490	\$38,570,415
Capital Purchases and Equipment	545,183	4,887,583	1,028,339	1,221,240
Debt Service	543,186	-	-	-
Operating Transfers	575,760	620,570	600,000	600,000
Total Expenditures	\$42,092,214	\$42,442,175	\$37,350,829	\$40,391,655
Expenditures By Funds				
Other Funds	42,092,214	42,442,175	37,350,829	40,391,655
Total Expenditures	\$42,092,214	\$42,442,175	\$37,350,829	\$40,391,655
Program Measures	NA	NA	NA	NA

Quasi-Public Agencies

Rhode Island Airport Corporation
Capital Center Commission
Rhode Island Clean Water Finance Agency
Rhode Island Convention Center Authority
Rhode Island Economic Development Corporation
Rhode Island Health and Educational Building Corporation
Rhode Island Housing and Mortgage Finance Corporation
Housing Resources Commission
Rhode Island Industrial Facilities Corporation
Rhode Island Industrial-Recreational Building Authority
Narragansett Bay Commission
Rhode Island Public Transit Authority
Quonset Development Corporation
Rhode Island Refunding Bond Authority
Rhode Island Resource Recovery Corporation
Rhode Island Student Loan Authority
Rhode Island Turnpike and Bridge Authority
Rhode Island Underground Storage Tank Financial Responsibility
Fund Review Board
Rhode Island Water Resources Board Corporate

The Agency

Rhode Island Airport Corporation

Agency Operations

The Rhode Island Airport Corporation (Corporation) was created by the Rhode Island Economic Development Corporation (formerly the Port Authority) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the five general aviation airports: North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members, one member appointed by the Mayor of the City of Warwick and serving at his pleasure, the remaining six appointed by the Governor for four-year terms. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Economic Development Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT). Under the lease agreement, the State and DOT have assigned all rights to airport revenues, the proceeds of the State general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the State for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation was established as a subsidiary of the Rhode Island Port Authority for the purpose of assuming operating responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) on a matching fund basis to improve the state's airport system and finance various airport operations and improvements based on the following table:

Program	FAA portion	Corporation portion
Land Acquisition	80%	20%
T.F. Green projects	75%	25%
Outlying Airport projects	95%	5%

The Agency

Rhode Island Airport Corporation

The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital program relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived from airport operations. The only "State" funds utilized by the Corporation are general obligation bonds which were issued by the State of Rhode Island for airport related projects. The debt service on these general obligation bonds is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

The Budget

Rhode Island Airport Corporation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Budget	FY 2008 (a) Recommended
Revenue: (T.F. Green)				
Landing Fees	9,652,775	10,898,714	11,205,800	11,485,945
Fuel Flowage Fees	902,463	1,062,056	1,168,600	1,197,815
Tiedown & Hanger Fees	1,108,658	1,019,361	1,012,800	1,038,120
Aircraft Registration	23,785	24,455	25,000	25,000
Concessions	2,660,663	2,621,130	2,433,500	2,494,338
Miscellaneous Revenues	849,182	555,587	555,500	572,165
Utilities Reimbursement	362,842	498,085	504,820	519,965
Airline Equipment Charge	578,676	574,785	573,132	573,132
Terminal Rent-Airlines	7,249,108	7,241,317	7,488,119	7,712,763
Terminal Rent-Non Airlines	989,743	1,227,911	842,057	867,319
Automobile Parking	14,020,764	13,477,337	12,543,831	13,171,023
Rental Car Revenues	6,375,414	6,593,580	6,681,610	6,848,650
Off Airport Courtesy Fees	717,454	725,394	788,900	808,623
Bad Debt Expenses	(949,709)	(988,204)	-	-
Audit & Finance Charge	648,445	1,152,967	-	-
Federal Grants - FAA	120,500	147,568	150,500	150,500
Airport Support Fund - Revenue A65	683,094	606,469	658,000	658,000
Total Revenue	\$45,993,857	\$47,438,512	\$46,632,169	\$48,123,358
Personnel Expenses: (T.F. Green)				
Payroll	10,518,130	10,791,178	12,226,896	12,593,703
Payroll - Overtime	656,547	780,538	435,768	448,841
Snow Removal Overtime	436,588	186,447	196,823	202,728
Overtime-Holiday	351,113	323,019	201,093	207,126
Workers' Comp Leave	(1,042)	-	-	-
Unemployment Compensation	13,283	31,320	-	-
Employee Retirement	723,161	717,009	945,324	992,590
FICA Tax	902,879	929,977	971,525	1,020,101
Long Term Disability & Life Insurance	145,835	144,528	195,455	205,228
Workers' Comp Insurance	349,908	274,254	373,000	391,650
Health Insurance	1,865,544	1,948,665	2,117,887	2,329,676
Employee Relocation	-	4,472	20,000	-
Miscellaneous Employee Benefits	271,657	331,297	489,789	514,278
Temporary Employee Help \ Outsource	4,969	87,040	-	-
Total Personnel Expenses	\$16,238,572	\$16,549,744	\$18,173,560	\$18,905,921
Total Expenses - Operating	10,833,713	10,685,562	11,863,735	12,219,647
Total Expenditures	\$27,072,285	\$27,235,306	\$30,037,295	\$31,125,568
Net Income from Operations	\$18,921,572	\$20,203,206	\$16,594,874	\$16,997,790

The Budget

Rhode Island Airport Corporation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Budget	FY 2008 (a) Recommended
Outlying Airports				
Revenues	1,335,811	1,565,922	1,854,028	1,909,649
Payroll Expenses	(1,257,491)	(1,335,757)	(1,528,279)	(1,574,127)
Operating Expenses	(837,496)	(904,904)	(883,168)	(909,663)
Airport Management Fee	(65,000)	(65,000)	(172,573)	(177,750)
Net Gain (Loss) Outlying Airport	(\$824,176)	(\$739,739)	(\$729,992)	(\$751,891)
Depreciation & Amortization	14,379,816	14,965,749	15,500,000	16,000,000
Net Income(Loss) After Depreciation and Amortization	\$3,717,580	\$4,497,718	\$364,882	\$245,899
Other Income & Expenses				
Interest Income	169,465	1,892,503	4,039,000	2,000,000
Interest Expense	(169,858)	(200,887)	(400,000)	(400,000)
Interest Expense - All Bonds	(10,199,038)	(9,986,413)	(11,508,346)	(14,000,000)
Gain (Loss) on Sale of Assets	7,743	-	-	-
Miscellaneous Income (Expense)	(596,729)	(3,228,559)	-	-
Bad Debt Expense	-	-	-	-
Interest Income - CFC	289,360	605,991	521,100	534,128
Customer Facility Charge (CFC)	4,881,098	4,942,472	5,291,000	5,423,275
Interest Income - PFC	347,305	578,084	632,300	648,108
Passenger Facility Charge	7,797,539	7,442,029	11,576,000	11,865,400
Federal Grants - FAA	11,021,687	15,228,800	32,673,088	12,493,985
Federal Grants-TSA	1,703,694	592,246	-	-
Miscellaneous Grants & Contributions	345,798	42,000	-	-
Noise Mitigation Program	-	-	-	-
Land Acquisition Program	(5,550,965)	(8,666,465)	(14,614,000)	(5,250,000)
Total Non-Operating Income & Exp	\$10,047,099	\$9,241,801	\$28,210,142	\$13,314,896
Income from Continuing Operations	-	-	-	-
Loss Discontinued Business Airport Support	-	-	-	-
Net Income	\$13,764,679	\$13,739,519	\$28,575,024	\$13,560,795

(a) The information presented for FY 2008 has not been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change, pending the aforementioned review.

The Agency

Capital Center Commission

Agency Operations

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a fifteen (15) member board created by state legislation, is funded primarily by the State of Rhode Island through the Economic Development Corporation, and by the City of Providence, with each providing \$50,000 grants annually.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. To date, the following projects have been completed or are under construction: over 1.2 million square feet of retail space, 754,000 square feet of office space, 1,052 hotel rooms and guest suites, 781 residential units, 7,800 structured parking spaces, over 5,800 permanent jobs, and over \$953 million in private development.

Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establishes the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

The Budget

Capital Center Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Operating Revenues				
State Grants	50,000	50,000	\$50,000	\$50,000
City Grants	50,000	50,000	\$50,000	\$50,000
Management Fees	123,700	600	-	-
Total Operating Revenues	\$223,700	\$100,600	\$100,000	\$100,000
Expenditures				
Salaries	77,756	80,822	83,291	86,206
Fringes	15,428	15,205	25,136	26,016
Rent and Utilities	7,702	12,989	15,900	16,457
Telephone	711	646	1,400	1,449
Print/Supplies	2,424	2,198	3,850	3,985
Postage	2,339	1,897	2,000	2,070
Meetings	1,298	3,210	3,000	3,105
Miscellaneous	1,265	2,575	5,206	5,388
Travel/Prof. Dev.	-	3,615	3,000	3,105
Consultants	957	129	10,000	10,000
Legal & Audit Fees	1,654	14,550	11,500	11,500
Total	\$111,534	\$137,836	\$164,283	\$169,281
Less Non Operating Revenue				
Interest Income	195	247	-	-
Net Income(Loss)	\$112,361	(\$36,989)	(\$64,283)	(\$69,281)

Note: FY 2006 are unaudited figures.

Budget numbers for FY 2008 are preliminary and have not been approved or reviewed by the Capital Center Commission.

The Agency

Rhode Island Clean Water Finance Agency

Agency Operations

The Rhode Island Clean Water Finance Agency (the “Agency”) established in 1990, is an independent, public corporation having a distinct legal existence from the state. The purpose of the Agency is to provide low cost loans to Rhode Island cities, towns, sewer commissions, wastewater management districts and water suppliers to finance capital improvements to wastewater and drinking water infrastructure. The Agency operates three revolving loan funds. Two of the funds provide subsidized loans to finance wastewater infrastructure projects and are collectively referred to as the Clean Water State Revolving Fund (CWSRF). A third fund provides subsidized loans to finance drinking water infrastructure projects and is called the Drinking Water State Revolving Fund (DWSRF).

The CWSRF is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses.

The DWSRF is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. (The State of Rhode Island does not have any obligation for repayment of Agency revenue bonds.) DOH is reimbursed for administrative expenses via an administrative set-aside component of the capitalization grant.

Agency Objectives

The objective of the Agency is to provide low-cost loans to finance capital improvements to the wastewater and the drinking water infrastructure in Rhode Island.

Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 238 as amended by Chapter 303 and Chapter 434 authorized the state to issue General Obligation Bonds up to \$34 million for Capitalization Grants to the CWSRF. Chapter 12.8 of Title 46 established the DWSRF.

The Budget

Rhode Island Clean Water Finance Agency

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended ⁽²⁾
Revenue				
Interest and Investment Income	22,082,672	23,770,531	26,101,469	28,450,601
Operating Grant Income	2,349,948	2,478,586	2,400,000	2,496,000
Loan Service Fees ⁽¹⁾	2,533,440	3,067,435	2,884,615	3,173,077
Other Revenue	132,047	122,552	493,077	502,939
Total Revenues	\$27,098,107	\$29,439,104	\$31,879,161	\$34,622,617
Operating Expenses				
Interest and Finance Expenses	20,268,115	23,379,013	25,814,595	28,137,909
Administrative Expenses	985,212	1,085,404	897,470	942,344
Administrative Fees - DEM	149,244	299,388	300,000	300,000
Administrative Fees - DOH	111,525	98,267	110,000	120,000
DOH Set-Aside Programs	2,089,179	2,080,931	1,990,000	2,400,000
Total Operating Expenses	\$23,603,275	\$26,943,003	\$29,112,065	\$31,900,253
Other Revenues (Expenses)				
Federal & State Capitalization Grants	23,985,353	23,930,655	11,440,497	10,296,447
Gain (Loss) Sale of Assets	-	-	-	-
Excess Revenues over Expenses	\$27,480,185	\$26,426,756	\$14,207,593	\$13,018,811

⁽¹⁾ Service Fee portion of loan service fees is on a cash basis; loan origination fees are amortized over the life of the bonds/loan as per G.A.A.P.

⁽²⁾ The FY 2008 Budget information has not been presented to, or approved by the Agency's Board of Directors. The Board normally reviews and accepts the budgets in the quarter before the start of the fiscal year.

The Agency

Rhode Island Convention Center Authority

Agency Operations

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of state government.

The authority's original purpose was the construction, management and operation of a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities which are incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land to construct the complex. The authority was authorized to lease the convention center and the related facilities to the state and undergo a bond and note issuance for facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state, as sublessor and the authority, as sublessee (the "Sublease").

A "phased" approach for each entity of the complex occurred as follows: North Parking Facility: December 5, 1992, Convention Center and South Parking Facilities: December 2, 1993 and the Westin Hotel: December, 1994. The authority has operated its facilities with various management contracts since inception. During FY 2005 and FY 2006 major changes occurred altering the future direction and scope for the authority, including the sale of the Westin Hotel to the Procaccianti Group in April, 2005 and the authority's acquisition of the Dunkin' Donuts Center Providence in December 2005. The Westin Hotel sale enhanced the authority's ability to compete as a premier Northeast destination convention city by the addition of 200 hotel rooms to the de facto convention headquarters property; in addition to a significant statewide hotel room capacity increase. The completion of the renovation of the Dunkin' Donuts Center Providence is expected to take three years. The Center will remain operational throughout the renovation period.

Official renovation construction activity commenced in the summer of 2006, predominant activity during the first summer of the project includes: ceiling painting, scoreboard replacement, ice chiller replacement, asbestos abatement, and selective interior and exterior demolition. Major renovation will occur during the summer of 2007, with expected completion in December 2008.

Agency Objectives

Manage and operate convention center complex, parking facilities and continue renovation and ongoing operation of newly acquired Dunkin' Donuts Center Providence.

The Budget

Rhode Island Convention Center Authority

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Resources				
Opening Cash Balances	\$ 3,229,528	\$ 983,146	\$ 559,003	\$ 28,877
Operations	39,824,066	15,694,041	19,682,136	20,343,646
Investment Income and Swap Savings	54,927	102,568	297,015	305,925
Westin Hotel Room Tax	235,556	288,364	105,645	108,814
Net Bank Transfers	3,047,566	4,594,431	4,732,264	4,874,232
Total Resources	\$ 46,391,643	\$ 21,662,550	\$ 25,376,063	\$ 25,661,494
Expenditures				
Convention Center Authority	4,473,100	2,796,890	3,540,212	3,423,216
Convention Center Management	9,664,935	11,819,851	11,434,044	11,941,179
Dunkin Donuts Center	-	2,389,130	5,822,348	6,138,089
Hotel	20,551,785	-	-	-
Subtotal Operations	\$34,689,820	\$17,005,871	\$20,796,604	\$21,502,484
Debt Service	23,538,899	16,342,936	16,360,577	16,354,166
Dunkin Donuts Center Debt Service	-	-	5,163,997	6,906,436
Renewal and Replacement Fund - Hotel	1,164,842	-	-	-
Renewal and Replacement Fund - Conv. Ctr.	3,067,937	4,090,582	4,090,582	4,090,582
Renewal and Replacement Fund - D.D. Center	-	-	460,000	460,000
Grand Total Expenditures	\$62,461,498	\$37,439,389	\$46,871,760	\$49,313,668
Gross Debt Service	23,538,899	16,342,936	21,524,574	23,260,602
Less: Excess Debt Service Rental Payment Accrued to Prior Year	1,030,100	-	-	-
Less: Excess Debt Service Rental Payment	5,455,798	-	-	-
General Revenue Appropriation	18,083,101	16,335,842	21,524,574	23,260,602
Final Cash Balances	\$ 983,146	\$ 559,003	\$ 28,877	\$ (391,572)

The information presented above is based upon cash flow data provided by the authority and has not been approved by the authority's Board of Directors.

The Agency

Rhode Island Economic Development Corporation

Agency Operations

The Rhode Island Economic Development Corporation consolidates all economic development activities of the State of Rhode Island into one entity to enhance service delivery, performance, and accountability.

The Rhode Island Economic Development Corporation's Board of Directors consists of eight members, including the Governor, who serves as chair. The Governor appoints all seven members. The board oversees the implementation of all state-level economic development programs. Effective January 1, 2005, the Economic Development Corporation transferred oversight of the Quonset Point/Davisville Industrial Park in North Kingstown to the newly created Quonset Development Corporation.

Agency Objectives

The Rhode Island Economic Development Corporation works in partnership with the business community to enhance Rhode Island's business climate; provides direct assistance to Rhode Island businesses to retain and add jobs; identifies and works to attract new businesses and investment to Rhode Island; and serves as an advocate for economic development in Rhode Island.

The Rhode Island Economic Development Corporation's business development effort will be streamlined and refocused to incorporate both geographic and industry specialization for each account executive. These individual specialties will be grouped into teams as needed to respond to specific business development opportunities to provide the maximum level of expertise. Account executives will be responsible for both recruitment and retention within their portfolio. Each will be held accountable to performance metrics criteria in both areas.

Statutory History

The Corporation was created in 1995 by Title 42, Chapters 43 and 64, of the Rhode Island General Laws, replacing the former Department of Economic Development and the Rhode Island Port Authority.

The Budget

Rhode Island Economic Development Corporation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Estimated Opening Balance Revenues:	\$243,516	\$872,321	-	-
Resources				
State Appropriation	5,057,747	5,280,487	6,030,487	6,280,487
Legislative Grants	1,575,040	1,745,800	1,753,787	711,912
Airport Impact Aid	-	-	1,004,520	1,004,520
Community Economic Development Fund	375,000	-	-	-
Marine BioScience Park	184,307	34,497	-	-
Science and Technology Council	-	98,336	100,000	100,000
IT and Digital Media Center	-	-	-	600,000
STAC Research Alliance (EPScore)	-	-	1,500,000	1,500,000
Business Innovation Factory	-	-	100,000	100,000
Grants-HRIC	100,000	100,000	100,000	-
Subtotal	\$7,292,094	\$7,259,120	\$10,588,794	\$10,296,919
Revenue from Federal Government				
Grants (Procurement)	221,094	270,000	270,000	160,000
Subtotal	\$221,094	\$270,000	\$270,000	\$160,000
Revenues from Operations				
Bond Fees/Other Income/Financings	967,774	300,000	300,000	300,000
RI Partnership for Science & Technology	260,000	500,000	-	-
RI Industrial Facilities Corp.	250,000	250,000	150,000	150,000
Rental Income	1,750,145	-	-	-
Pier Income/Utility Sales	1,072,649	-	-	-
Other Income	140,506	-	-	-
Welcome Center (DOT)	600,000	600,000	620,000	620,000
QDC Allocation	100,000	600,000	600,000	250,000
Subtotal	\$5,141,074	\$2,250,000	\$1,670,000	\$1,320,000
Total Resources	\$12,897,778	\$10,651,441	\$12,528,794	\$11,776,919
Expenditures				
Personnel Expenses	4,871,597	4,324,848	4,400,000	4,290,487
Operating Expenses	4,270,158	2,992,194	2,800,487	2,850,000
Relocation Expense	-	-	250,000	-
Legislative Grants	1,575,040	1,745,800	1,753,787	711,912
EDC Grants	255,121	275,000	-	-
Airport Impact Aid	-	-	1,004,520	1,004,520
Office of City and Town Development	269,234	580,766	-	-
Marine BioScience Park	184,307	34,497	-	-
Science and Technology Council	-	98,336	100,000	100,000
Business Innovation Factory	-	-	100,000	100,000
IT and Digital Media Center	-	-	-	600,000
STAC Research Alliance (EPScore)	-	-	1,500,000	1,500,000
Welcome Center (DOT)	600,000	600,000	620,000	620,000
Total Expenditures	\$12,025,457	\$10,651,441	\$12,528,794	\$11,776,919
Closing Balance	\$872,321	-	-	-

The Agency

Rhode Island Health and Educational Building Corporation

Agency Operations

The Rhode Island Health and Educational Building Corporation is a non-business corporation and agency of the state that provides bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the corporation has assisted with over \$1 billion in financing for such institutions without obligating the state's credit.

Under the direction of a five member board of directors, appointed by the Governor, the corporation has assisted hospitals, nursing homes, mental health centers, health care providers, day care centers, visiting nurses associations, and colleges and universities in obtaining low-cost financing from the public bond market.

The corporation receives no state appropriations for its operations.

Agency Objectives

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

Statutory History

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38 (as amended).

The Budget

Rhode Island Health and Educational Building Corporation

	FY 2005 Actual	FY 2006 Actual	FY 2007 Projected	FY 2008 Proposed
Expenditure by Object				
Personnel	293,739	306,972	337,664	358,100
Other Operating Expenditures	334,936	374,350	426,300	451,500
Financing Services	353,934	534,308	650,000	650,000
Grants	237,250	584,300	270,000	270,000
Transfer to State	-	3,700,000	-	-
Total Expenditures	\$1,219,859	\$5,499,930	\$1,683,964	\$1,729,600
Expenditures by Fund				
Personnel	293,739	306,972	337,664	358,100
Other Operating Expenditures	334,936	374,350	426,300	451,500
Financing Services	353,934	534,308	650,000	650,000
Grants	237,250	584,300	270,000	270,000
Transfer to State	-	3,700,000	-	-
Total Expenditures	\$1,219,859	\$5,499,930	\$1,683,964	\$1,729,600

The information presented above was provided by the entity, and in most cases, the data provided for FY 2008 has not been approved by the Board of Directors.

The Agency

Rhode Island Housing and Mortgage Finance Corporation

Agency Operations

The Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. The Corporation is governed by a seven member board of commissioners. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator.

The Corporation has the power to issue negotiable notes and bonds to achieve its corporate purpose. The notes and bonds do not constitute a debt of the State of Rhode Island and the State is not liable for the repayment of such obligations.

Agency Objectives

To provide, improve and expand housing and housing-related services to, and address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless.

Statutory History

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

The Budget

Rhode Island Housing and Mortgage Finance Corporation

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Budgeted	Forecast
Expenditure Report				
Personnel Services	10,162,586	10,908,281	11,960,632	12,797,876
Other Administrative Expenses	4,183,320	3,333,326	4,096,139	4,382,869
Programmatic Expenses	6,383,135	7,060,164	8,590,000	9,191,300
Provision for Loan Loss	105,542	1,966,128	1,000,000	1,000,000
Arbitrage Rebate	1,563,780	(297,832)	1,000,000	1,000,000
Amortization and Depreciation	1,846,292	1,778,913	1,760,000	2,000,000
Total	\$24,244,655	\$24,748,980	\$28,406,771	\$30,372,045

The information for FY 2008 has not been reviewed nor approved by the Rhode Island Housing and Mortgage Finance Corporation Board and is subject to change pending review of the Corporation.

The Agency

Housing Resources Commission

Agency Operations

The Rhode Island Housing Resources Commission was established in 1998 with responsibility for establishing housing policy, planning and coordination for the State of Rhode Island. Funding was provided to establish a lead hazardous reduction program in conjunction with Rhode Island Housing. With the passage of the Lead Hazard Mitigation Act, the Commission's responsibilities included implementation of that law.

Additional responsibility was given to the Commission with the passage of the Comprehensive Housing and Rehabilitation Act of 2004. This law mandated the development of a strategic housing plan and ongoing tracking of twenty-nine local affordable housing plans.

The Commission administers the Neighborhood Opportunities Program, a housing production program that creates homes for individuals and families with very low incomes and/or disabilities, leveraging millions of dollars of federal and private funds. Coordination and funding of Rhode Island's homeless service system is also a major function of the Commission.

The Commission consists of the Office of Policy and Planning; the Office of Program Performance and Evaluation; the Office of Homelessness and Emergency Assistance; the Office of Community Development and Technical Assistance and the Office of Homeownership.

Agency Objectives

The objectives are to promulgate state policies and plans for housing and performance measures for housing programs established pursuant to state law. The Commission coordinates activities among state agencies and political subdivisions pertaining to housing and promotes quality of life within communities. This is accomplished by providing opportunities for safe, healthy, and affordable housing and economic development for all Rhode Islanders through encouraging public-private partnerships, fostering support for nonprofit organizations, and distributing funding for housing, shelter, and community development.

Statutory History

R.I.G.L. 42-128 established the Housing Resources Commission as of July 1, 1998. The Commission was appointed by the Governor and initiated a lead hazard reduction program in the fall of 1998.

The Budget

Housing Resources Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 ⁽¹⁾ Revised	FY 2008 ⁽¹⁾ Recommended
Expenditure by Object				
Administrative Expenses	543,849	250,000	350,292	-
Assistance, Grants, Benefits	3,097,029	3,629,496	2,636,000	1,520,000
Capital (Affordable Housing) ⁽²⁾	5,000,000	7,500,000	7,500,000	-
Total Operating Expenses	\$8,640,878	\$11,379,496	\$10,486,292	\$1,520,000
Expenditure by Funds				
State General Revenues	8,385,305	3,629,496	2,951,423	1,520,000
Federal Grants	255,573	250,000	34,869	-
Loan Proceeds	-	7,500,000	7,500,000	-
Total Expenditures	\$8,640,878	\$11,379,496	\$10,486,292	\$1,520,000
Closing Balance	\$0	\$0	\$0	\$0

⁽¹⁾ In FY 2007 and FY 2008, the budget recommendations withdraw \$500,000 and \$1.94 million, respectively, for the Lead Hazard Reduction Program, which will result in program expenditures being funded from revolving loan proceeds from previously granted loans and from proceeds from legal settlements.

⁽²⁾ In FY 2008, the capital budget recommendations include issuance of \$12.5 million for the Affordable Housing bond approved at the November 2006 election; no funds are recommended for the Neighborhood Opportunities Program.

The Agency

Rhode Island Industrial Facilities Corporation

Agency Operations

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island in accordance with Section 45-37 of the General Laws. The corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on the behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

Agency Objectives

The corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

Statutory History

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

The Budget

Rhode Island Industrial Facilities Corporation

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Receipts				
Bond Fees	134,560	120,380	120,000	90,000
Other	2,311	6,300	5,000	5,000
Interest	10,568	18,809	25,000	25,000
Total	\$147,439	\$145,489	\$150,000	\$120,000
Expenses				
Insurance	15,000	15,750	20,000	20,000
Professional Fees	15,219	17,456	20,000	20,000
Office	3,375	128	2,000	2,000
Bad Debt (Recovery)	(64,929)	0	3,000	3,000
Total	(\$31,335)	\$33,334	\$45,000	\$45,000
Net Gain/(Loss)	\$178,774	\$112,155	\$105,000	\$75,000

The information was provided by the entity, and the data provided for FY 2008 was approved by the Board on August 31, 2006.

The Agency

Rhode Island Industrial-Recreational Building Authority

Agency Operations

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. The Rhode Island Industrial-Recreational Building Authority, as required by statute, maintains a first security position in all projects. The total amount of mortgage insurance issued cannot exceed \$80,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

Agency Objectives

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

Statutory History

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

The Budget

Rhode Island Industrial - Recreational Building Authority

	FY 2005 Pre-Audit	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Receipts:				
Premiums	180,729	217,704	180,000	190,000
Interest	74,425	141,675	50,000	50,000
Rent	59,792	77,083	75,000	75,000
Other	20,299	3,176	5,000	5,000
Recovery of Bad Debt	-	99,969	100,000	100,000
Total Receipts	\$335,245	\$539,607	\$410,000	\$420,000
Expenses:				
Legal	88,432	53,680	75,000	75,000
Insurance	29,990	27,616	30,000	30,000
Interest Expense	125,723	86,083	-	-
Other	25,263	56,755	40,000	40,000
Total Expenses	\$269,408	\$224,134	\$145,000	\$145,000
Operating Income (Loss)	\$65,837	\$315,473	\$265,000	\$275,000
Est. Loss-Default	\$212,139	-	-	-
Net	(\$146,302)	\$315,473	\$265,000	\$275,000

The information was provided by the entity, and in most cases the data provided for FY 2008 was approved by the Board on September 8, 2006.

The Agency

Narragansett Bay Commission

Agency Operations

The Narragansett Bay Commission (Commission) was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the Commission's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the Commission assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The Commission owns and operates Rhode Island's two largest wastewater treatment facilities, 93 miles of sewer interceptors, 66 combined sewer overflows, 32 tide gates and 8 pump stations, and provides wastewater collection and treatment services to over 360,000 residents and approximately 7,700 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and portions of Cranston, Smithfield and East Providence.

The Commission has a \$193 million five-year capital improvement budget for fiscal years 2008-2012. The Commission is governed by a 19-member Board and is organized into four divisions: the Executive, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the Commission has been charged with the responsibility to reduce the amount of combined sewer overflows to local waterways within its service area.

Agency Objectives

The Narragansett Bay Commission's primary objective is to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal guidelines, thereby safeguarding the health and safety of the citizens of Rhode Island and protecting their environment.

Statutory History

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

The Budget

Narragansett Bay Commission

	FY 2005 Actual	FY 2006 Actual	FY 2007 Budget	FY 2008* Projected
Expenditures by Object				
Personnel	15,367,770	15,681,063	16,997,416	17,677,313
Operating Supplies & Expenses	7,701,873	7,058,042	8,057,200	8,379,488
Special Services	3,179,386	5,257,024	6,651,540	6,851,086
Subtotal Operating Expenditures	\$26,249,029	\$27,996,129	\$31,706,156	\$32,907,887
Capital Outlays	1,696,684	1,659,673	2,087,758	2,150,391
Debt Service	16,354,372	20,784,381	25,073,487	31,240,000
Total Expenditures	\$44,300,085	\$50,440,183	\$58,867,401	\$66,298,278
Expenditures by Funds				
NBC User Fees/Misc Revenues				
Personnel	15,367,770	15,681,063	16,997,416	17,677,313
Operating Supplies & Expenses	7,701,873	7,058,042	8,057,200	8,379,488
Special Services	3,179,386	5,257,024	6,651,540	6,851,086
Capital Outlays	1,696,684	1,659,673	2,087,758	2,150,391
Debt Service	16,354,372	20,784,381	25,073,487	31,240,000
Total Expenditures	\$44,300,085	\$50,440,183	\$58,867,401	\$66,298,278

* The information presented for FY 2008 has not been reviewed nor approved by the Narragansett Bay Commission and is subject to change pending review by the Commission.

Sources:

FY 2005 Actuals taken from the audited financial statements.

FY 2006 Actuals taken from the audited financial statements.

FY 2007 taken from NBC's approved budget.

The Agency

Rhode Island Public Transit Authority

Agency Operations

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of a seven-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program and the high-speed ferry demonstration project from Providence to Newport. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, elderly and disabled residents. RIPTA operates a fleet of 238 buses operated and maintained by 726 employees. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 140 vans currently operated by 3 carriers. In FY 2006, more than 23.8 million passengers were carried on RIPTA's fixed-route bus service and an additional 681,593 passengers were transported on the state's coordinated paratransit service.

Agency Objectives

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of alternatives to the single-occupant automobile. These key mobility strategies include: transit design and service strategies to improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

Statutory History

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

The Budget

Rhode Island Public Transit Authority

	FY 2005 Actual	FY 2006 Actual	FY 2007 Approved	FY 2008 Proposed
Revenue				
Passenger Revenue ^(A)	21,969,067	23,836,742	24,257,556	24,478,742
Special Revenue	507,789	429,102	566,857	598,860
Other Revenue	11,189,870	13,194,387	12,085,106	12,993,868
State Subsidy - Gasoline Tax ^(A)	30,218,664	34,840,725	34,800,000	33,966,250
Department of Elderly Affairs	1,213,000	1,259,411	1,292,000	1,201,150
Federal Subsidy	10,388,533	10,557,322	13,502,943	13,852,265
Total Revenue	\$75,486,923	\$84,117,689	\$86,504,462	\$87,091,135
Expenses				
Salaries and Wages	36,274,533	38,590,383	39,267,845	40,613,430
Employee Benefits	17,283,022	18,052,642	19,187,415	24,714,640
Special Services	1,008,597	1,219,089	1,112,732	1,207,693
Operating Expenses ^(B)	20,535,459	23,996,652	26,936,470	27,843,586
Total Expenses:	\$75,101,611	\$81,858,766	\$86,504,462	\$94,379,349
Management Savings Plan	-	-	-	(7,288,214)
Surplus/(Deficit):	\$385,312	\$2,258,923	\$0	\$0

^(A) Beginning March 2004 (FY 2004), a charge was made in the payment method from the Department of Human Services for the RIteCare Pass program increasing the reimbursement per pass. To accomplish this, the State reduced RIPTA's gasoline tax allocation from 6.85 cents to 6.25 cents in FY 2005 and used the funds to leverage additional federal funds for the RIteCare program. Beginning in FY 2006, RIPTA's gasoline tax allocation was changed from 6.25 cents to 7.25 cents. The allocation will cover a market study on non-transit users and a management audit of the agency.

^(B) Includes Debt service payable on general obligation bonds.

Sources:

FY 2005 and FY 2006 Actuals taken from the audited financial statements

Budget numbers for FY 2008 are preliminary and have not been approved by the RIPTA Board of Directors.

The Agency

Quonset Development Corporation

Agency Operations

The Quonset Development Corporation (QDC) is a real estate development and management company organized as a subsidiary of the Rhode Island Economic Development Corporation.

The Quonset Development Corporation's Board of Directors consists of eleven members. The Executive Director of the RI Economic Development Corporation is the chairperson. The Governor appoints six members, the Town of North Kingstown appoints two members, the Town of Jamestown appoints one member, and the Town of East Greenwich appoints one member.

Agency Objectives

The Corporation develops and manages the Quonset Business Park in accordance with the QDC Master Plan and in the best interests of the citizens of Rhode Island to attract and retain successful businesses that provide high quality jobs.

The Corporation insures quality infrastructure, a high standard of design and aesthetics within the Park to create a favorable work environment compatible with the natural setting of the land and Narragansett Bay.

The Corporation develops and operates the Park in an environmentally sound manner, maintains excellent relationships with Park tenants, the Town of North Kingstown, and surrounding communities.

Statutory History

The Corporation was created in 2004 by Title 42, Chapter 64.9 of the Rhode Island General Laws, as a subsidiary of the Rhode Island Economic Development Corporation.

The Budget

Quonset Development Corporation

	FY 2005 Actual ⁽¹⁾	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Estimated Opening Balance Revenues:	-	\$852	\$23,535	-
Revenues from Operations				
Rental Income	2,899,524	4,949,429	4,324,098	4,323,586
Pier Income	630,749	536,984	450,000	475,000
Utility Sales	864,245	1,858,296	1,914,045	1,952,326
PILOT	323,077	-	-	-
Other Income	135,579	198,118	30,000	20,000
	\$4,853,174	\$7,542,827	\$6,718,143	\$6,770,912
Total Resources	\$4,853,174	\$7,543,679	\$6,741,678	\$6,770,912
Expenditures				
Personnel Expenses	1,393,347	2,661,583	3,340,034	3,499,121
Operating Expenses	1,483,975	3,208,561	2,632,795	2,653,814
EDC Allocation	450,000	600,000	600,000	250,000
Capital Expenditures	1,525,000	1,050,000	168,849	367,977
Total Expenditures	\$4,852,322	\$7,520,144	\$6,741,678	\$6,770,912
Closing Balance	\$852	\$23,535	\$0	\$0

⁽¹⁾ FY 2005 actuals reflect one-half year of expenditures. The Quonset Development Corporation was formally separated from the RI Economic Development Corporation on January 1, 2005.

The Program

Rhode Island Refunding Bond Authority

Program Operations

The Rhode Island Refunding Bond Authority was created in 1987 as a public corporation, having a distinct legal existence from the state and not constituting a department of state government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The authority is authorized to issue bonds and notes secured solely by revenues derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, which are subject to annual appropriation.

On July 1, 1997, the Rhode Island Public Buildings Authority was merged into the authority. Prior to the merger, the Public Buildings Authority issued debt to finance the acquisition and construction of certain facilities to be leased by state agencies. Debt service payments are secured by the lease payments made by the state, subject to annual appropriations. Since 1998, the Refunding Bond Authority has refunded all of the outstanding principal of bonds issued by the Public Building Authority.

The payment of such loans by the state is subject to and dependent upon annual appropriations being made by the General Assembly. As of June 30, 2006, total net debt outstanding of the authority totals \$60,320,000.

Program Objectives

To ensure prompt payment of outstanding debts of the authority.

Statutory History

R.I.G.L. 35-8.1 created the authority.

The Budget

Rhode Island Refunding Bond Authority

The budget of the Refunding Bond Authority is reflected in the operating budget of the General Treasurer.

The Agency

Rhode Island Resource Recovery Corporation

Agency Operations

The Rhode Island Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide waste reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize the amount of waste generated and landfilled and maximize waste reduction and recycling.

The Corporation's materials recycling facility (MRF), in conjunction with grants and technical assistance provided to municipalities' supports the State's municipal recycling programs. Metals, plastic and glass containers, paper cartons, juice boxes, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold on the open market to be remanufactured.

The waste reduction program includes a number of initiatives including (but not limited to): two web-based materials exchange programs, an education program, promotion of leaf and yard debris composting and information on reducing household waste. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents. Other Corporation recycling initiatives target computer equipment and televisions, marine shrink wrap, plastic bags and provide assistance to schools interested in implementing paper recycling programs.

In August 2001, the Corporation assumed responsibility of the ECO-DEPOT program, previously administered by the R.I. Department of Environmental Management, to handle and dispose of household hazardous waste.

The Corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system. The Corporation operates a construction/demolition (C&D) debris processing facility, which converts certain types of C&D debris from solid waste to alternate cover material, thereby conserving valuable landfill capacity.

Agency Objectives

The Corporation's primary objectives are to develop and implement waste reduction programs, divert waste from the landfill, and provide cost-effective disposal alternatives. Since its initial roll-out, the Corporation's "Maximum Recycling Program," has increased recyclables diversion from the municipalities. The "Maximum Recycling Program" has been implemented in 37 of 39 municipalities throughout the state, providing the opportunity of these municipalities to reduce their waste disposal costs. Licensure of additional landfill capacity is being pursued to maintain disposal capacity as the current facility is depleted.

Statutory History

R.I.G.L. 23-19 defines the programs that are required of the Corporation.

The Budget

Rhode Island Resource Recovery Corporation

	FY 2005 Audited	FY 2006 Audited	FY 2007 Revised ⁽¹⁾	FY 2008 Recommended ⁽²⁾
Revenues:	\$68,520,248	\$70,876,861	\$73,378,600	\$73,969,644
Expenses:				
Personnel Costs	13,140,180	13,210,667	14,608,065	15,176,300
Contractual Services	9,047,870	9,217,513	13,925,702	15,973,431
Utilities	1,168,122	1,429,870	1,215,300	1,316,416
Repairs and Maintenance	4,497,959	4,947,080	5,255,033	5,106,744
Other Supplies and Expenses	3,481,983	3,693,744	6,221,571	6,538,450
Grants to Municipalities for Recycling	869,993	1,495,264	1,820,867	2,350,500
Bad Debts	175,362	119,440	120,000	120,000
Provision for landfill closure and post closure care and Superfund clean-up costs	14,313,467	17,712,579	9,684,360	9,400,456
Depreciation, depletion, and amortization	10,652,819	14,322,407	13,005,964	13,077,668
Other non-operating (revenues) expenses, net	2,671,565	724,843	733,307	1,344,628
Total Expenses	\$60,019,320	\$66,873,407	\$66,590,169	\$70,404,593
Income (Loss) from Operations	\$8,500,928	\$4,003,454	\$6,788,431	\$3,565,051
Transfers to State of Rhode Island	(4,300,000)	(7,500,000)	(3,300,000) ⁽³⁾	
Net Income (Loss) for the Year	\$4,200,928	(\$3,496,546)	\$3,488,431	\$3,565,051
Assets:				
Cash, Cash Equivalents & Investments	7,580,030	6,293,373	4,327,879	4,454,982
Accounts Receivable, Net	7,040,703	7,620,443	7,514,652	7,514,652
Property, Plant and Equipment, Net	100,679,488	98,414,476	98,958,345	100,769,065
Assets Held in Trust	60,322,086	68,737,734	70,373,409	71,719,124
Other Assets	2,471,003	3,305,325	3,558,603	3,541,992
Total Assets	\$178,093,310	\$184,371,351	\$184,732,888	\$187,999,815
Liabilities:				
Accounts Payable	10,730,020	10,521,977	7,757,297	7,757,297
Accrued Expenses & Interest Payable	\$846,631	1,061,411	4,400,808	4,151,174
Due State of Rhode Island	-	3,000,000	-	-
Bonds/ Notes Payable	19,611,881	20,447,676	18,033,472	16,639,268
Superfund Cleanup, Closure & Post-Closure Costs	65,930,684	71,862,742	73,548,410	74,894,126
Total Liabilities	\$97,119,216	\$106,893,806	\$103,739,987	\$103,441,865
Retained Earnings	\$80,974,094	\$77,477,545	\$80,992,901	\$84,557,950
Total Liabilities and Retained Earnings	\$178,093,310	\$184,371,351	\$184,732,888	\$187,999,815

(1) The FY 2007 Budget reflects board approved budget revisions as of 9/30/06.

(2) The FY 2008 recommended budget reflects management's FY 2008 budget projections and has not been approved by the Corporation's Board of Commissioners. The final budget numbers may be significantly different than the preliminary numbers reflected herein.

(3) The FY 2006 transfer to State of Rhode Island reflects the \$7.5 million payable to the state's general fund as provided for in the State's FY 2006 Annual Budget. For FY 2007, the amount to be transfer amount is \$3.3 million.

The Agency

Rhode Island Student Loan Authority

Agency Operations

The authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The authority originates and acquires over \$130,000,000 of federally guaranteed loans pursuant to the Higher Education Act. The authority is permitted to finance the origination and acquisitions of non-federal guaranteed student loans under its Rhode Island Family Education Loan Program. In February 2001 RISLA started the CollegeBound Loan Program.

As of September 30, 2006, the authority holds \$388,176,577 in Federal Family Education Loans serving 138,650 students and parents. Rhode Island Family Education Loans and CollegeBound Loans are held by the authority with a principal of \$266,663,514 and have served 23,924 student loan borrowers. The authority may issue bonds to further its corporate purpose which are not an obligation of the State of Rhode Island and are solely an obligation of the authority. As of September 30, 2006, the authority has \$893,940,000 in bonds outstanding.

Agency Objectives

Under the Higher Education Act, the authority provides Federal Family Education Loans consisting of subsidized and unsubsidized Stafford Loans, Parent Loans and Consolidated Loans. The authority has a long history of assisting student and parent borrowers by lowering their cost of borrowing. The authority paid from 1994 through 2002 the one percent guarantee fee charged by the Rhode Island Higher Education Assistance Authority. Between 2002 and 2003, the authority reduced the cost of student Stafford Loan borrowing by paying one percent of the loan origination fee charged by the U.S. Department of Education and paid the entire three percent fee for the 2004-2005 academic year, saving Rhode Island students \$3.3 million for the year. RISLA offers a similar savings for the Stafford Loan origination fee incentive for the 2006-07 academic year. Since 1994, the Authority has also reduced the cost of borrowing to parent PLUS borrowers by reducing the interest rate one percent less than other lenders, saving Rhode Island parents over \$8.0 million in interest payments over the life of the loans. RISLA also offers an interest free Stafford loan for qualified students studying teaching or nursing. RISLA started a scholarship program in FY 2004 named in honor of former state representative and Rhode Island College professor of special education, Paul Sherlock. RISLA awarded need based scholarships of \$2,000 to twenty-five students in FY 2004, in FY 2005 and in FY 2006.

Statutory History

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a six-member board of directors, five of which are appointed by the Governor for staggered terms and the general treasurer (ex-officio).

The Budget

Rhode Island Student Loan Authority

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Revised	Recommended
Expenditures by Program				
Federal Family Education Loan	25,936,620	32,736,278	33,108,581	34,608,581
Rhode Island Family Education Loan	9,755,725	15,653,332	23,054,624	24,554,624
Transfer to State for RIHEAA Scholarship Program	5,000,000	-	3,000,000	-
College Planning Center	299,025	307,837	340,817	340,817
Representative Paul Sherlock Scholarship Program	50,000	50,000	50,000	50,000
Total Expenditures	\$41,041,370	\$48,747,447	\$59,554,022	\$59,554,022
Expenditures by Category				
Interest & Bond Expenses	20,432,845	28,947,863	39,168,643	39,168,643
Loan Servicing	5,187,445	5,425,519	5,835,533	5,835,533
Loan Origination Expenses	7,688,881	7,626,728	7,568,233	7,568,233
Transfer to State for RIHEAA Scholarship Program	5,000,000	-	3,000,000	3,000,000
Provision for Risk Share	2,085,179	6,162,984	3,311,334	3,311,334
Bond Amortization	252,348	245,169	253,310	253,310
Personnel	344,672	275,258	353,944	353,944
Depreciation	-	13,926	13,025	13,025
Representative Paul Sherlock Scholarship Program	50,000	50,000	50,000	50,000
Total Expenditures	\$41,041,370	\$48,747,447	\$59,554,022	\$59,554,022
Expenditures by Funds				
Sale of Loan Assets and Licensing Revenue	5,000,000	-	-	-
Bond Indentures	35,991,370	48,697,447	59,504,022	59,504,022
Dedicated Revenue from Licensing	50,000	50,000	50,000	50,000
Total Expenditures	\$41,041,370	\$48,747,447	\$59,554,022	\$59,554,022

The Agency

Rhode Island Turnpike and Bridge Authority

Agency Operations

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The Authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969. The Turnpike and Bridge Authority is responsible for the operation and maintenance of the Pell Bridge between Newport and Jamestown and the Mount Hope Bridge between Portsmouth and Bristol, structures integral to travel in the coastal area of Rhode Island and neighboring states.

On July 31, 2003 Taxable Refunding Revenue Bonds – Series 2003 were issued in the amount of \$35,650,000 to refund the outstanding 1997 Refunding Revenue Bonds. The maturity date of December 1, 2017 remains the same. At June 30, 2006, \$27,765,000 in principal remains to be paid. Principal and interest payments are made annually and semi-annually, respectively. The toll for the Mount Hope Bridge has been eliminated and the upkeep remains the responsibility of the Authority from proceeds of toll revenues levied on the Claiborne Pell Bridge and interest earning on investments. Bond covenants provide for non-system projects to the extent that revenues in excess of the obligations of the system allow.

Agency Objectives

To facilitate vehicular traffic over waters of the Mount Hope Bay and the East Passage of the Narragansett Bay by operation and maintenance of its two suspension bridges - the Claiborne Pell Bridge built in 1969 and the Mount Hope Bridge built in 1929.

Statutory History

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997, the legislature passed article 36 amending the law adding certain provisions in section 24-12-9.

The Budget

Rhode Island Turnpike and Bridge Authority

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Revenue				
Tolls	12,293,061	12,150,000	12,155,000	12,150,000
Interest on Investments	1,219,169	1,181,000	1,544,000	1,500,000
Other	30,494	11,000	10,300	12,000
Total Revenue	\$13,542,724	\$13,342,000	\$13,709,300	\$13,662,000
Expenses				
Current Operating				
Wages - Contingencies	2,263,405	2,096,944	2,216,000	2,272,000
Operating Maintenance & Supplies	983,150	607,921	756,800	760,000
Insurance	842,116	690,473	725,000	1,075,000
Professional	286,194	207,530	275,000	280,000
All Other	393,269	99,704	115,000	130,000
Debt Service and Reserves				
Bond Interest	1,374,564	1,330,000	1,294,200	1,234,917
Bond Principal	1,970,000	1,972,000	2,010,000	2,075,833
Renewal/Replacement Fund	3,538,000	5,215,000	6,279,500	5,834,250
Insurance Reserve	725,000	250,000	-	-
General Fund	450,000	450,000	-	-
Total Expenses and Funding	\$12,825,698	\$12,919,572	\$13,671,500	\$13,662,000
Net	717,026	422,428	37,800	-

The information presented above was provided by the entity and in most cases the data provided for FY 2008 has not been approved by the Authority's management or board of directors.

The Renewal and Replacement Fund is used for maintenance and capital improvements of the Authority's two bridges and support system properties. Amounts in this fund have been pledged to the bondholders.

The Agency

Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board

Agency Operations

The Rhode Island Underground Storage Tank (UST) Financial Responsibility Fund Review Board was created by the Rhode Island General Assembly in 1994 as a stand alone quasi-public agency, and merged into the Department of Environmental Management in 2006. The Board continues as a functioning body to provide a mechanism for UST owners, including city, town and state facilities, to comply with the financial responsibility requirements established by the US Environmental Protection Agency. The board also helps to insure that environmental and public health impacts of underground storage tank leaks are addressed in an effective and timely manner.

The board is comprised of ten members who are responsible for reviewing submissions and claims received from eligible parties, and approving, modifying or denying claims to eligible parties.

Funding for the UST Financial Responsibility Fund Review Board is derived from a one cent (\$0.01) per gallon surcharge on motor fuel sold to owners and/or operators of underground storage tanks, and application fees. The fees are deposited in a restricted receipt account within the Department of Environmental Management.

Agency Objectives

The objective of the Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board, now part of the Department of Environment Management, is to facilitate the clean-up of leaking underground storage tanks or underground storage tank systems to protect drinking water supplies and the public health.

Statutory History

R.I.G.L. 46-12.9 established the Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board. The 2006 General Assembly enacted changes to this section and to R.I.G.L. 42-17.1-2 and 42-17.1-23.1, to transfer the resources, duties and employees to the Department of Environmental Management and the classified service.

The Budget

Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board

	FY 2005	FY 2006	FY 2007	FY 2008
	Actual	Actual	Recommended	Recommended
Expenditures by Object				
Personnel	282,397	338,737	-	-
Operating Supplies and Expenses	145,089	132,057	-	-
Special Services	55,000	50,559	-	-
Subtotal: Operating Expenses	\$482,486	\$521,353	-	-
Non-recurring Capital Outlays	-	-	-	-
Capital Outlays	-	-	-	-
UST Remediation	4,000,000	2,494,398	-	-
Total Expenditures	\$4,482,486	\$3,015,751	-	-

⁽¹⁾Legislation enacted by the 2006 General Assembly limits the Board's function to oversight of applications and approval of payments only. All personnel, operating and remediation payments are transferred to the Waste Division of the Department of Environmental Management, effective July 1, 2006.

The Agency

Rhode Island Water Resources Board Corporate

Agency Operations

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply Board; the Water Quality Protection Fund secures revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

Agency Objective

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

Statutory History

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

The Budget

Rhode Island Water Resources Board Corporate

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Expenditures by Object				
Personnel	69,514	28,392	29,244	56,835
Other State Operating	9,050	2,581	2,658	3,000
Assistance, Grants and Benefits	2,400,000	2,408,101	2,486,826	-
Subtotal: Operating Expenditure	\$2,478,564	\$2,439,074	\$2,518,728	\$59,835
Capital Projects Debt Service	1,087,156	1,087,193	1,084,843	1,082,918
Total Expenditures	\$3,565,720	\$3,526,267	\$3,603,571	\$1,142,753
Expenditures by Funds				
Personnel	69,514	28,392	29,244	56,835
Other Operating Expenses	9,050	2,581	2,658	3,000
Assistance, Grants and Benefits	2,400,000	2,408,101	2,486,826	-
Capital Debt Service	1,087,156	1,087,193	1,084,843	1,082,918
Subtotal: Water Quality Protection Charge	\$3,565,720	\$3,526,267	\$3,603,571	\$1,142,753
Other Funds				
Capital Debt Service-Prov Project	1,071,794	1,071,656	1,079,381	1,070,325
Subtotal: Water Quality	\$1,071,794	\$1,071,656	\$1,079,381	\$1,070,325
Total Expenditures	\$3,565,720	\$3,526,267	\$3,603,571	\$1,142,753

Component Units

Rhode Island Children's Crusade for Higher Education
Rhode Island Economic Policy Council

The Agency

Rhode Island Children's Crusade for Higher Education

Agency Operations

The Rhode Island Children's Crusade for Higher Education offers a range of age-appropriate supports for students as they progress from grades 3-12. Through its direct services and grant-making programs, it partners with over 50 schools and community agencies to serve Crusaders – with special emphasis on youth from the five RI cities and towns with the greatest numbers of low-income families. Currently, there are approximately 5,000 Crusaders in grades 4-12. We now have graduated six cohorts of Crusaders – those who enrolled in 1991-1996. Through fiscal year 2006, we have provided cash and pledged scholarships to approximately 2,250 Crusaders valued at \$13.6M. The Crusade has a \$6.6M scholarship fund as well as pledges of donated scholarships from 61 colleges, universities and trade schools. The Crusade is supported by an annual allocation from the State of Rhode Island and by federal and private grants and gifts from individuals.

Agency Objectives

The Rhode Island Children's Crusade for Higher Education is an early intervention, college access program seeking to reduce the dropout rate among low-income students and to encourage them to continue on to higher education. Children enroll in the third grade by making a pledge to work hard in school, to avoid alcohol, drugs, early parenthood and trouble with the law. Crusaders who graduate high school, earn admission to higher education and financially qualify will receive scholarship support.

Statutory History

The Crusade was founded as an independent 501(c)(3) organization in November of 1989 by the Board of Governors for Higher Education as a long-range strategy to increase high school graduation and college going rates for low-income students. It is governed by a 19-member Board of Directors. Legislation enacted in 1990 (see R.I.G.L. 16-70-2) established a mechanism for state support of the Crusade as a line item in the Office of Higher Education's budget.

The Budget

Rhode Island Children's Crusade for Higher Education

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommended
Carry Forward Funds	374,681	139,348	114,010	12,851
Scholarship Fund/Cash & Pledges	2,096,378	2,489,635	1,995,940	1,326,000
Support & Revenue				
State Appropriation/BOG Support	1,565,049	1,408,545	1,408,545	1,408,545
Private Donations/Miscellaneous Grants	170,263	174,349	240,000	240,000
Special Events	87,556	59,690	64,300	80,000
Public/Private In-Kind Contributions	973,684	693,835	737,000	737,000
Federal Grant Funds	2,501,935	2,220,403	2,956,867	3,180,000
Carnegie Foundation Grant	1,597,102	1,607,452	1,502,217	-
Investment Income	24,145	21,976	30,000	30,000
Prior Year Grant Adjustments	6,545	16,035	-	-
Subtotal	\$6,926,279	\$6,202,285	\$6,938,929	\$5,675,545
			-	-
Total Resources	\$9,397,338	\$8,831,268	\$9,048,879	\$7,014,396
Expenses				
Personnel Cost	2,954,716	2,737,038	3,356,170	3,456,855
Special Services	202,587	188,353	264,732	206,500
Special Events	59,405	18,078	41,300	40,800
Program Support Services	3,022,042	2,668,737	2,851,439	1,409,222
Operating Expenses	528,988	486,574	526,447	540,500
Cost of Scholarships	2,096,378	2,397,635	1,995,940	1,326,000
Total Expenses	\$8,864,116	\$8,496,415	\$9,036,028	\$6,979,877
Transfer to Scholarship Fund	393,874	220,843	-	-
Closing Fund Balance	\$139,348	\$114,010	\$12,851	\$34,519

The information presented for FY 2008 is preliminary and has not been reviewed nor approved by the Rhode Island Children's Crusade Board of Directors and is subject to change.

The Agency

Rhode Island Economic Policy Council

Agency Operations

The Rhode Island Economic Policy Council (Council) is a private-public partnership founded in March 1995, by an Executive Order of the Governor. The Council was re-established and expanded by Executive Orders 98-2 and Executive Order 03-06. The Council is organized as a non-profit 501(c)(3) corporation for tax purposes. The Council consists of the Governor, the Speaker of the House, the Majority Leader of the Senate, the Mayor of Providence and representatives from higher education, business, labor and the community, chosen by the Governor. The private sector and the State of Rhode Island equally fund the Council.

Agency Objectives

The Council's function is to focus the state's economic development efforts on game-changing initiatives that enhance the business climate, improve quality of place and increase capacity for innovation. As a cross-sector network, the Council is particularly fertile ground for new ideas, both original and borrowed, which it converts to strategy and takes to practical application by telling stories with data, creating the organizational capacity for catalytic change, and forming successful legislative coalitions. The aim of these efforts is to unleash the creative potential of Rhode Island and its citizens in ways that increase median household income and preserve and enhance the State's distinctive quality of place. Working in close partnership with the Rhode Island Economic Development Corporation, the Council is helping to make Rhode Island a test bed for business and government innovation.

The Budget

Rhode Island Economic Policy Council

	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Requested
Opening Balance:	\$15,347	1,377	\$52,147	\$2,147
Revenues				
State Operating Transfers	300,000	300,000	300,000	300,000
Private Contributions	313,429	290,106	300,000	300,000
Interest Earnings	430	279	-	-
Other Support	176,227	198,303	30,000	30,000
	790,086	788,688	\$630,000	\$630,000
Total Resources	\$805,433	790,065	\$682,147	\$632,147
Expenditures				
Personnel Expenses	307,016	376,880	340,000	330,000
Operating Expenses	124,870	119,185	132,000	136,000
Consulting Expenses	352,142	239,786	193,000	149,000
Capital Outlay	20,028	2,067	15,000	15,000
Total Expenditures	\$804,056	\$737,918	\$680,000	\$630,000
Closing Balance	\$1,377	\$52,147	\$2,147	\$2,147

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Special Reports

Formula Aid to Cities and Towns

The Governor's FY 2008 budget recommends formula aid to cities and towns totaling \$284.9 million. This represents a 1.8 percent, or \$5.1 million, increase from the FY 2007 revised level of funding. The tables on the following pages display FY 2007 and FY 2008 recommended levels of funding for formula aid to cities and towns by community. In general, all formula state aid programs were level funded with the exception of the motor vehicle excise tax reimbursement program which was increased by 3.2% to reflect increased valuation of exempted vehicles. The narrative below describes each of the programs included on the tables.

General Revenue Sharing - Beginning in FY 1994, one percent of total state tax revenues from the second prior fiscal year was earmarked for general state aid to cities and towns. Beginning in FY 1999, this percentage was to increase annually until reaching 4.7 percent by FY 2009. These funds are distributed based on a legislated formula encompassing per-capita income and the taxes imposed by each city or town for public purposes, excluding amounts allocated to education expenses. The Governor proposes that funding for this program in FY 2008 be set at \$65.1 million, the same amount as in FY 2007 and to fix future distributions at three percent (3.0%) of tax revenues in FY 2009 and thereafter. For FY 2008, the entitlements by community have been fixed at the same amount as in FY 2007.

Payment in Lieu of Taxes - Legislation creating this program requires the State of Rhode Island to reimburse cities and towns for property taxes that would have been due on certain types of real property that are exempted from taxation by state law. This includes property owned by nonprofit educational institutions, nonprofit hospitals, or any state owned hospital, veteran's facility, or correctional facility. Reimbursement is made for twenty-seven percent of all tax that would have been collected had the property been taxable, prorated to the amount appropriated for a given year. The program has been level funded for FY 2008 and the entitlements by community have been fixed at the same amount as in FY 2007.

Distressed Communities Relief Fund - This program provides state assistance to those Rhode Island communities with the highest property tax burdens relative to the wealth of taxpayers. The Governor proposes to set the amount of funding distributed under this program to the amount enacted in the annual appropriations act, as opposed to the amounts collected from the Real Estate Conveyance Tax. Also, entitlements for FY 2008 by community have been fixed at the same amount as in FY 2007.

State Library Aid - This program provides financial support for local public library services and for the construction and capital improvement of any free public library. A portion of library aid is disbursed directly to local libraries, including private libraries, while other aid is disbursed to the individual cities and towns. Entitlements for FY 2008 by community have been fixed at the same amount as in FY 2007.

Motor Vehicle Excise Tax - Legislation enacted during the 1999 General Assembly provides for a phase-out of the local excise tax on motor vehicles and trailers. This legislation was amended in 2002 to make the full phase out of the tax subject to the annual review and appropriation of the General Assembly. For Fiscal Year 2007, the value of the exemption from local taxes was increased to \$6,000 per vehicle. The FY 2008 budget maintains that \$6,000 exemption and assumes a 3.2% growth in the value of exempted vehicles which will be reimbursed by the state.

Formula Aid to Cities and Towns

Public Service Corporation Tax - The tangible personal property of telegraph, cable and telecommunications corporations is exempt from local taxation, but is subject to taxation by the state. Funds collected from this tax are distributed to cities and towns within the state on the basis of the ratio of the city or town population to the population of the state as a whole.

Meals and Beverage Local Sales and Use Tax – During the January 2003 session, the General Assembly enacted a one percent gross receipts tax on retail sales of meals and beverages in or from eating and/or drinking establishments. The taxes are collected by the Division of Taxation and distributed at least quarterly to the city or town where the meals and beverages were delivered. This budget assumes that this pass through aid will increase by 3% for each community.

Summary of Formula Aid to Cities and Towns

	FY 2004 Actual	FY 2005 Actual	FY 2006 Actual	FY 2007 Revised	FY 2008 Recommend
Municipal Police Incentive Pay	732,050	730,760	729,509	450,000	450,000
Municipal Fire Incentive Pay	366,025	366,255	368,423	225,000	225,000
Public Service Corporation Tax	16,325,261	14,611,755	12,206,492	10,347,757	10,347,757
Meals and Beverage Tax	13,509,178	17,800,720	17,620,607	18,568,791	19,125,855
Payment In Lieu of Taxes (PILOT)	21,716,117	22,716,117	26,975,194	27,766,967	27,766,967
Total Miscellaneous Aid	\$52,648,631	\$56,225,607	\$57,900,225	\$57,358,515	\$57,915,579
General Revenue Sharing	51,438,532	52,438,532	64,974,003	65,111,876	65,111,876
Total State Aid to Cities and Towns	\$51,438,532	\$52,438,532	\$64,974,003	\$65,111,876	\$65,111,876
Dist. Comm. - General Appropriation ¹	7,533,333	9,533,333	10,640,190	10,154,186	10,384,458
Total Distressed Communities Aid	\$7,533,333	\$9,533,333	\$10,640,190	\$10,154,186	\$10,384,458
Motor Vehicle Tax Phase-out Program ²	104,987,142	104,987,142	117,649,193	136,229,443	140,574,031
Total Motor Vehicle Tax Phase-out Prog.	\$104,987,142	\$104,987,142	\$117,649,193	\$136,229,443	\$140,574,031
Subtotal Forumla Aid - All Sources	\$216,607,638	\$223,184,614	\$251,163,611	\$268,854,020	\$273,985,944
Percent Change from prior year	12.10%	3.04%	12.54%	7.04%	1.91%
Resource Sharing & Library Aid ³	7,552,610	8,050,566	8,367,516	8,668,733	8,773,398
Library Construction Aid	2,128,601	2,491,654	2,651,643	2,849,447	2,813,141
Total Library Aid	\$9,681,211	\$10,542,220	\$11,019,159	\$11,518,180	\$11,586,539
Property Revaluation Program	2,484,835	648,368	931,623	2,000,000	1,100,000
Total Other Aid	\$2,484,835	\$648,368	\$931,623	\$2,000,000	\$1,100,000
Total Aid	\$228,773,684	\$234,375,202	\$263,114,393	\$282,372,200	\$286,672,483
Percent Change from prior year	12.50%	2.45%	12.26%	7.32%	1.52%

¹ The August 2006 payment from the Distressed Communities Relief Fund, which is supposed to be based on Real Estate Conveyance Tax revenues for the period of January to June, was instead based upon the enacted appropriation and resulted in an overpayment to communities of \$230,272.

² Amounts for the Motor Vehicle Excise Tax represent final payments due each community based upon the exemption amounts in effect for the given fiscal year. Actual cash payments may have occurred over multiple fiscal years.

³ Resource Sharing and Library Aid for state institutions is included in these totals.

Fiscal Year 2004 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	Public Service Corporation Tax	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2004 Total State Aid
Barrington	231,191	71,029	-	261,919	276,378	2,197,524	3,038,041
Bristol	805,463	421,492	-	349,905	75,665	1,118,422	2,770,947
Burrillville	610,930	76,977	-	245,988	61,881	2,053,956	3,049,732
Central Falls	1,346,691	20,649	182,474	294,762	63,470	1,208,411	3,116,457
Charlestown	346,452	-	-	122,387	39,137	374,379	882,355
Coventry	925,367	-	-	524,305	138,258	2,147,241	3,735,171
Cranston	3,293,868	2,611,611	-	1,234,440	479,014	9,485,112	17,104,045
Cumberland	1,067,249	81	-	495,838	183,570	2,048,308	3,795,046
East Greenwich	170,999	4,592	-	201,637	77,310	1,041,805	1,496,343
East Providence	2,200,038	63,139	-	758,208	430,627	4,994,050	8,446,062
Exeter	86,974	-	-	94,138	8,495	718,053	907,660
Foster	231,403	266	-	66,558	37,500	578,603	914,330
Glocester	442,690	-	-	154,918	57,839	868,250	1,523,697
Hopkinton	190,356	-	-	122,028	40,759	597,217	950,360
Jamestown	181,533	5	-	87,550	56,930	317,721	643,739
Johnston	2,006,020	-	-	439,075	117,925	4,114,297	6,677,317
Lincoln	577,113	-	-	325,440	151,390	2,195,453	3,249,396
Little Compton	89,499	-	-	55,953	22,962	214,723	383,137
Middletown	826,214	-	-	269,939	118,971	881,663	2,096,787
Narragansett	681,586	-	-	254,787	91,730	957,099	1,985,202
Newport	1,778,150	450,882	-	412,290	291,129	1,455,950	4,388,401
New Shoreham	71,860	-	-	15,728	67,411	65,343	220,342
North Kingstown	821,676	8,265	-	409,969	214,401	2,179,062	3,633,373
North Providence	1,897,449	385,144	-	504,730	155,319	3,941,255	6,883,897
North Smithfield	618,281	43,886	-	165,352	50,928	1,501,993	2,380,440
Pawtucket	4,490,377	311,780	1,324,945	1,136,160	309,373	8,006,234	15,578,869
Portsmouth	553,213	10,206	-	267,058	92,657	1,180,727	2,103,861
Providence	12,352,585	15,427,635	4,624,560	2,703,718	1,392,690	18,908,768	55,409,956
Richmond	162,490	426	-	112,467	24,792	578,451	878,626
Scituate	320,753	-	-	160,774	72,783	1,155,251	1,709,561
Smithfield	1,268,058	514,316	-	321,002	192,547	2,641,772	4,937,695
South Kingstown	928,824	123,224	-	434,808	148,885	1,578,608	3,214,349
Tiverton	523,660	-	-	237,641	59,477	1,022,440	1,843,218
Warren	416,220	-	-	176,907	43,788	854,507	1,491,422
Warwick	4,034,001	845,581	-	1,336,271	553,600	10,654,567	17,424,020
Westerly	447,184	149,941	-	357,645	98,381	2,238,068	3,291,219
West Greenwich	144,375	-	-	79,188	21,264	395,962	640,789
West Warwick	1,246,456	-	730,173	460,659	162,328	2,432,650	5,032,266
Woonsocket	3,051,285	174,990	671,181	673,119	190,936	4,207,412	8,968,923
Subtotal	\$51,438,532	\$21,716,117	\$7,533,333	\$16,325,261	\$6,672,500	\$103,111,305	\$206,797,048
Statewide Reference Library Resource Grant (Providence)					880,110		880,110
Library Construction Reimbursement					2,128,601		2,128,601
Motor Vehicle Excise Tax Reimbursement - Fire Districts						1,875,837	1,875,837
Total	\$51,438,532	\$21,716,117	\$7,533,333	\$16,325,261	\$9,681,211	\$104,987,142	\$211,681,596

Fiscal Year 2005 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2005 Total Appropriated State Aid
Barrington	234,285	47,886	-	295,313	2,197,524	2,775,008
Bristol	825,753	420,601	-	69,440	1,118,422	2,434,216
Burrillville	606,174	78,522	-	65,836	2,053,956	2,804,487
Central Falls	1,372,871	20,106	225,249	62,193	1,208,411	2,888,830
Charlestown	372,229	-	-	42,213	374,379	788,821
Coventry	917,864	-	-	147,975	2,147,241	3,213,080
Cranston	3,199,670	3,371,038	-	488,229	9,485,112	16,544,048
Cumberland	1,168,720	81	-	212,069	2,048,308	3,429,178
East Greenwich	189,331	7,242	-	85,191	1,041,805	1,323,569
East Providence	2,276,071	64,838	-	460,448	4,994,050	7,795,407
Exeter	85,686	-	-	8,495	718,053	812,235
Foster	252,920	255	-	34,756	578,603	866,534
Glocester	476,816	-	-	58,732	868,250	1,403,798
Hopkinton	184,276	-	-	39,184	597,217	820,676
Jamestown	162,060	5	-	62,279	317,721	542,066
Johnston	2,045,018	-	-	121,700	4,114,297	6,281,015
Lincoln	811,406	-	-	158,808	2,195,453	3,165,667
Little Compton	89,057	-	-	23,524	214,723	327,303
Middletown	842,795	-	-	129,464	881,663	1,853,922
Narragansett	703,202	-	-	99,601	957,099	1,759,902
Newport	1,728,739	511,083	-	325,323	1,455,950	4,021,095
New Shoreham	73,257	-	-	76,634	65,343	215,234
North Kingstown	806,625	8,301	-	224,789	2,179,062	3,218,776
North Providence	1,949,426	395,607	-	162,852	3,941,255	6,449,140
North Smithfield	698,892	44,215	-	51,913	1,501,993	2,297,012
Pawtucket	4,579,132	278,920	1,619,050	342,428	8,006,234	14,825,764
Portsmouth	547,679	10,147	-	102,070	1,180,727	1,840,623
Providence	12,592,728	15,573,005	5,936,091	1,383,493	18,908,768	54,394,084
Richmond	145,825	433	-	22,069	578,451	746,778
Scituate	372,523	-	-	79,690	1,155,251	1,607,464
Smithfield	1,346,867	544,555	-	212,038	2,641,772	4,745,233
South Kingstown	820,517	125,597	-	175,691	1,578,608	2,700,413
Tiverton	471,479	-	-	68,270	1,022,440	1,562,189
Warren	385,456	-	-	40,858	854,507	1,280,821
Warwick	4,050,212	855,013	-	590,589	10,654,567	16,150,381
Westerly	576,458	182,085	-	248,761	2,238,068	3,245,372
West Greenwich	161,935	-	-	20,301	395,962	578,198
West Warwick	1,278,641	-	908,956	178,696	2,432,650	4,798,943
Woonsocket	3,035,938	176,582	843,985	198,538	4,207,412	8,462,454
Subtotal	\$52,438,532	\$22,716,117	\$9,533,333	\$7,170,456	\$103,111,305	\$194,969,739
Statewide Reference Library Resource Grant (Providence)				880,110		880,110
Library Construction Reimbursement				2,491,654		2,491,654
Motor Vehicle Excise Tax Reimbursement - Fire Districts					1,875,837	1,875,837
Total	\$52,438,532	\$22,716,117	\$9,533,333	\$10,542,220	\$104,987,142	\$200,217,340

Fiscal Year 2005 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2005 Total Shared Taxes State Aid	FY 2005 Total Shared & Appropriated Aid
Barrington	234,428	106,413	340,841	3,115,849
Bristol	313,179	277,900	591,079	3,025,295
Burrillville	220,169	157,725	377,894	3,182,382
Central Falls	263,824	103,390	367,214	3,256,044
Charlestown	109,541	110,818	220,359	1,009,180
Coventry	469,274	302,861	772,135	3,985,215
Cranston	1,104,873	1,194,919	2,299,792	18,843,840
Cumberland	443,795	309,860	753,655	4,182,834
East Greenwich	180,473	379,684	560,157	1,883,727
East Providence	678,627	734,984	1,413,611	9,209,019
Exeter	84,257	49,573	133,830	946,064
Foster	59,572	16,658	76,230	942,764
Glocester	138,658	63,783	202,441	1,606,239
Hopkinton	109,220	25,486	134,706	955,383
Jamestown	78,361	66,561	144,922	686,988
Johnston	392,990	367,479	760,469	7,041,484
Lincoln	291,282	386,929	678,211	3,843,878
Little Compton	50,080	31,459	81,539	408,843
Middletown	241,606	458,586	700,192	2,554,114
Narragansett	228,044	409,340	637,384	2,397,286
Newport	369,016	1,508,947	1,877,963	5,899,058
New Shoreham	14,078	191,142	205,220	420,454
North Kingstown	366,939	373,774	740,713	3,959,489
North Providence	451,753	385,552	837,305	7,286,445
North Smithfield	147,997	173,443	321,440	2,618,452
Pawtucket	1,016,908	610,637	1,627,545	16,453,308
Portsmouth	239,027	161,268	400,295	2,240,918
Providence	2,419,935	3,740,096	6,160,031	60,554,115
Richmond	100,662	123,656	224,318	971,095
Scituate	143,899	34,556	178,455	1,785,920
Smithfield	287,310	443,428	730,738	5,475,971
South Kingstown	389,170	481,000	870,170	3,570,583
Tiverton	212,698	119,891	332,589	1,894,778
Warren	158,339	236,290	394,629	1,675,450
Warwick	1,196,015	2,170,615	3,366,630	19,517,011
Westerly	320,106	606,892	926,998	4,172,370
West Greenwich	70,876	81,072	151,948	730,146
West Warwick	412,308	377,803	790,111	5,589,054
Woonsocket	602,468	426,250	1,028,718	9,491,172
Subtotal	\$14,611,755	\$17,800,720	\$32,412,475	\$227,382,214
Statewide Reference Library Resource Grant (Providence)				880,110
Library Construction Reimbursement				2,491,654
Motor Vehicle Excise Tax Reimbursement - Fire Districts				1,875,837
Total	\$14,611,755	\$17,800,720	\$32,412,475	\$232,629,815

Fiscal Year 2006 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2006 Total Appropriated State Aid
Barrington	259,555	51,885	-	306,140	2,519,485	3,137,065
Bristol	1,039,674	541,562	-	65,294	1,110,327	2,756,857
Burrillville	768,637	76,004	-	74,370	2,395,501	3,314,512
Central Falls	1,693,857	21,449	317,021	63,103	1,347,205	3,442,635
Charlestown	413,891	-	-	44,392	438,774	897,057
Coventry	909,356	-	-	153,472	2,517,677	3,580,505
Cranston	5,644,004	3,590,332	-	528,702	10,692,387	20,455,425
Cumberland	1,287,982	88	-	227,806	2,271,104	3,786,980
East Greenwich	215,603	7,772	-	96,606	1,202,496	1,522,477
East Providence	2,801,112	57,965	-	462,377	5,473,931	8,795,385
Exeter	123,532	-	-	8,495	846,775	978,802
Foster	274,156	259	-	32,927	709,101	1,016,443
Glocester	573,692	-	-	61,275	1,013,902	1,648,869
Hopkinton	217,544	-	-	36,259	707,122	960,925
Jamestown	160,979	9	-	66,151	372,550	599,689
Johnston	2,543,347	-	-	113,932	4,468,120	7,125,399
Lincoln	743,316	-	-	178,322	2,565,312	3,486,950
Little Compton	108,622	-	-	24,385	257,450	390,457
Middletown	1,028,122	-	-	142,579	969,834	2,140,535
Narragansett	862,695	-	-	105,684	1,088,662	2,057,041
Newport	1,959,634	632,176	-	338,300	1,714,712	4,644,822
New Shoreham	91,107	-	-	81,499	77,757	250,363
North Kingstown	1,014,310	6,631	-	231,438	2,478,693	3,731,072
North Providence	2,316,767	443,308	606,290	166,022	4,376,518	7,908,905
North Smithfield	641,962	37,392	-	60,484	1,726,578	2,466,416
Pawtucket	5,573,666	314,165	1,707,021	370,173	8,935,002	16,900,027
Portsmouth	680,619	-	-	105,447	1,356,792	2,142,858
Providence	15,536,990	19,609,384	6,056,115	1,321,747	20,834,614	63,358,850
Richmond	170,980	468	-	22,998	684,237	878,683
Scituate	440,227	-	-	85,358	1,332,368	1,857,953
Smithfield	1,807,118	415,240	-	229,928	3,089,250	5,541,536
South Kingstown	1,041,896	111,380	-	186,603	1,831,926	3,171,805
Tiverton	604,647	-	-	83,613	1,214,359	1,902,619
Warren	482,593	-	-	40,908	882,509	1,406,010
Warwick	4,911,046	758,470	-	620,165	11,852,255	18,141,936
Westerly	631,967	125,744	-	286,605	2,572,359	3,616,675
West Greenwich	187,739	-	-	20,216	476,870	684,825
West Warwick	1,484,272	-	1,026,315	189,057	2,667,910	5,367,554
Woonsocket	3,726,785	173,509	927,431	210,568	4,700,931	9,739,224
Subtotal	64,974,003	26,975,194	\$10,640,192	\$7,443,400	\$115,773,356	225,806,142
Statewide Reference Library Resource Grant (Providence)				924,116		924,116
Library Construction Reimbursement				2,651,643		2,651,643
Motor Vehicle Excise Tax Reimbursement - Fire Districts					1,875,837	1,875,837
Total	\$64,974,003	\$26,975,195	\$10,640,192	\$11,019,159	\$117,649,193	\$231,257,738

Fiscal Year 2006 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2006 Total Shared Taxes State Aid	FY 2006 Total Shared & Appropriated Aid
Barrington	195,838	95,312	291,150	3,428,215
Bristol	261,626	282,931	544,557	3,301,414
Burrillville	183,927	149,728	333,655	3,648,167
Central Falls	220,395	88,214	308,609	3,751,244
Charlestown	91,509	102,848	194,357	1,091,414
Coventry	392,026	287,949	679,975	4,260,480
Cranston	922,998	1,247,979	2,170,977	22,626,402
Cumberland	370,741	293,785	664,526	4,451,506
East Greenwich	150,765	374,357	525,122	2,047,599
East Providence	566,917	697,351	1,264,268	10,059,653
Exeter	70,387	50,577	120,964	1,099,766
Foster	49,766	17,619	67,385	1,083,828
Glocester	115,833	58,500	174,333	1,823,202
Hopkinton	91,241	39,249	130,490	1,091,415
Jamestown	65,462	90,423	155,885	755,574
Johnston	328,299	418,955	747,254	7,872,653
Lincoln	243,334	449,887	693,221	4,180,171
Little Compton	41,836	29,287	71,123	461,580
Middletown	201,835	465,466	667,301	2,807,836
Narragansett	190,505	382,235	572,740	2,629,781
Newport	308,272	1,461,560	1,769,832	6,414,654
New Shoreham	11,760	209,516	221,276	471,639
North Kingstown	306,537	379,141	685,678	4,416,750
North Providence	377,390	364,116	741,506	8,650,411
North Smithfield	123,635	165,785	289,420	2,755,836
Pawtucket	849,514	609,567	1,459,081	18,359,108
Portsmouth	199,681	153,159	352,840	2,495,698
Providence	2,021,586	3,626,046	5,647,632	69,006,482
Richmond	84,092	98,706	182,798	1,061,481
Scituate	120,211	35,354	155,565	2,013,518
Smithfield	240,015	442,296	682,311	6,223,847
South Kingstown	325,109	485,652	810,761	3,982,566
Tiverton	177,686	139,341	317,027	2,219,646
Warren	132,274	220,603	352,877	1,758,887
Warwick	999,138	2,134,685	3,133,823	21,275,759
Westerly	267,413	594,258	861,671	4,478,346
West Greenwich	59,209	68,069	127,278	812,103
West Warwick	344,437	354,866	699,303	6,066,857
Woonsocket	503,295	455,234	958,529	10,697,753
Subtotal	\$12,206,492	\$17,620,607	\$29,827,098	\$255,633,240
Statewide Reference Library Resource Grant (Providence)				924,116
Library Construction Reimbursement				2,651,643
Motor Vehicle Excise Tax Reimbursement - Fire Districts				1,875,837
Total	\$12,206,492	\$17,620,607	\$29,827,098	\$261,084,836

Fiscal Year 2006 VLT Supplement Breakout

City or Town	General Revenue Sharing	VLT Supplement	Total	Distressed Communities Aid	VLT Supplement	Total
Barrington	257,495	2,060	259,555	-	-	-
Bristol	1,031,421	8,253	1,039,674	-	-	-
Burrillville	762,535	6,102	768,637	-	-	-
Central Falls	1,693,857	-	1,693,857	226,989	90,032	317,021
Charlestown	410,605	3,286	413,891	-	-	-
Coventry	902,137	7,219	909,356	-	-	-
Cranston	5,599,200	44,804	5,644,004	-	-	-
Cumberland	1,277,757	10,225	1,287,982	-	-	-
East Greenwich	213,891	1,712	215,603	-	-	-
East Providence	2,778,876	22,236	2,801,112	-	-	-
Exeter	122,551	981	123,532	-	-	-
Foster	271,980	2,176	274,156	-	-	-
Glocester	569,138	4,554	573,692	-	-	-
Hopkinton	215,817	1,727	217,544	-	-	-
Jamestown	159,701	1,278	160,979	-	-	-
Johnston	2,523,157	20,190	2,543,347	-	-	-
Lincoln	737,415	5,901	743,316	-	-	-
Little Compton	107,760	862	108,622	-	-	-
Middletown	1,019,960	8,162	1,028,122	-	-	-
Narragansett	855,847	6,848	862,695	-	-	-
Newport	1,944,078	15,556	1,959,634	-	-	-
New Shoreham	90,384	723	91,107	-	-	-
North Kingstown	1,006,258	8,052	1,014,310	-	-	-
North Providence	2,316,767	-	2,316,767	516,258	90,032	606,290
North Smithfield	636,866	5,096	641,962	-	-	-
Pawtucket	5,573,666	-	5,573,666	1,616,989	90,032	1,707,021
Portsmouth	675,216	5,403	680,619	-	-	-
Providence	15,536,990	-	15,536,990	5,966,083	90,032	6,056,115
Richmond	169,623	1,357	170,980	-	-	-
Scituate	436,732	3,495	440,227	-	-	-
Smithfield	1,792,772	14,346	1,807,118	-	-	-
South Kingstown	1,033,625	8,271	1,041,896	-	-	-
Tiverton	599,847	4,800	604,647	-	-	-
Warren	478,762	3,831	482,593	-	-	-
Warwick	4,872,060	38,986	4,911,046	-	-	-
Westerly	626,950	5,017	631,967	-	-	-
West Greenwich	186,249	1,490	187,739	-	-	-
West Warwick	1,484,272	-	1,484,272	936,283	90,032	1,026,315
Woonsocket	3,726,785	-	3,726,785	837,399	90,032	927,431
Total	\$64,699,003	\$275,000	\$64,974,003	\$10,100,002	\$540,190	\$10,640,192

Fiscal Year 2007 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2007 Total Appropriated State Aid
Barrington	243,363	53,865	-	314,971	2,963,073	3,575,271
Bristol	991,817	560,835	-	57,181	1,528,419	3,138,252
Burrillville	706,306	78,891	305,033	79,855	2,801,257	3,971,342
Central Falls	1,693,857	-	298,278	66,132	1,539,089	3,597,356
Charlestown	407,811	-	-	45,568	528,671	982,050
Coventry	1,014,646	-	-	167,177	2,973,702	4,155,525
Cranston	5,428,521	3,583,905	-	548,485	12,438,045	21,998,956
Cumberland	1,560,119	139	-	240,606	2,501,966	4,302,831
East Greenwich	176,808	7,940	-	102,399	1,372,315	1,659,462
East Providence	2,681,452	61,629	-	499,380	6,540,646	9,783,107
Exeter	90,542	-	-	28,066	1,000,288	1,118,896
Foster	310,304	270	-	29,271	841,121	1,180,966
Glocester	567,421	-	-	64,709	1,200,899	1,833,028
Hopkinton	225,882	-	-	31,238	830,371	1,087,491
Jamestown	146,604	-	-	70,379	433,765	650,749
Johnston	2,554,218	-	-	121,452	5,181,863	7,857,533
Lincoln	959,290	-	-	172,879	2,965,033	4,097,202
Little Compton	105,828	-	-	25,425	296,598	427,851
Middletown	979,346	-	-	149,936	1,117,039	2,246,321
Narragansett	882,212	-	-	111,496	1,258,557	2,252,265
Newport	1,846,695	658,326	-	346,433	1,875,054	4,726,508
New Shoreham	91,497	-	-	88,246	86,694	266,437
North Kingstown	890,042	6,836	-	234,608	2,891,033	4,022,518
North Providence	2,404,365	533,146	1,070,479	174,550	5,051,642	9,234,182
North Smithfield	656,282	38,817	-	60,768	2,014,607	2,770,474
Pawtucket	5,476,767	330,377	1,527,880	395,734	10,214,303	17,945,060
Portsmouth	654,697	-	-	109,462	1,573,181	2,337,339
Providence	15,536,990	20,124,158	5,398,068	1,285,939	23,360,791	65,705,946
Richmond	148,322	627	-	22,769	816,384	988,102
Scituate	452,695	-	-	93,610	1,572,931	2,119,235
Smithfield	1,867,355	437,602	-	240,145	3,576,955	6,122,057
South Kingstown	1,015,804	121,138	-	194,843	2,165,338	3,497,122
Tiverton	646,245	-	-	87,145	1,417,030	2,150,420
Warren	502,159	-	-	46,087	1,138,943	1,687,189
Warwick	4,872,914	862,977	-	666,767	14,083,144	20,485,802
Westerly	757,697	132,288	-	287,135	3,006,991	4,184,111
West Greenwich	223,293	-	-	21,394	567,247	811,934
West Warwick	1,473,614	-	945,155	196,189	3,170,604	5,785,562
Woonsocket	3,868,095	173,199	839,562	219,987	5,458,017	10,558,859
Subtotal	\$65,111,876	\$27,766,967	10,384,458	\$7,698,411	134,353,606	\$245,315,315
Statewide Reference Library Resource Grant (Providence)				970,322		970,322
Library Construction Reimbursement				2,849,447		2,849,447
Motor Vehicle Excise Tax Reimbursement - Fire Districts					1,875,837	1,875,837
Overpayment in FY 2006 ⁽¹⁾			(230,272)			
Total	\$65,111,876	\$27,766,967	\$10,154,186	\$11,518,180	\$136,229,443	\$251,010,921

⁽¹⁾ The August 2006 payment from the Distressed Communities Relief Fund, which is supposed to be based on Real Estate Conveyance Tax revenues for the period of January to June, was instead based upon the enacted appropriation and resulted in an overpayment to communities of \$230,272.

Fiscal Year 2007 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2007 Total Shared Taxes State Aid	FY 2007 Total Shared & Appropriated Aid
Barrington	165,956	105,637	271,593	3,846,863
Bristol	221,816	274,633	496,449	3,634,701
Burrillville	156,192	156,989	313,181	4,284,523
Central Falls	186,716	113,474	300,190	3,897,547
Charlestown	77,318	111,379	188,697	1,170,747
Coventry	332,049	315,339	647,388	4,802,913
Cranston	782,494	1,284,439	2,066,933	24,065,889
Cumberland	313,933	326,472	640,405	4,943,236
East Greenwich	127,677	377,465	505,142	2,164,604
East Providence	481,518	789,309	1,270,827	11,053,934
Exeter	59,589	48,806	108,395	1,227,291
Foster	42,243	19,349	61,592	1,242,559
Glocester	98,122	60,781	158,903	1,991,931
Hopkinton	77,193	27,158	104,351	1,191,843
Jamestown	55,400	91,209	146,609	797,357
Johnston	278,198	401,472	679,670	8,537,203
Lincoln	205,799	416,001	621,800	4,719,002
Little Compton	35,441	29,518	64,959	492,811
Middletown	171,805	495,171	666,976	2,913,298
Narragansett	161,330	396,317	557,647	2,809,912
Newport	262,039	1,483,439	1,745,478	6,471,986
New Shoreham	9,937	173,696	183,633	450,071
North Kingstown	259,512	367,946	627,458	4,649,976
North Providence	320,185	409,055	729,240	9,963,422
North Smithfield	104,890	178,408	283,298	3,053,772
Pawtucket	720,845	644,647	1,365,492	19,310,552
Portsmouth	169,383	166,318	335,701	2,673,040
Providence	1,712,408	3,909,455	5,621,863	71,327,810
Richmond	70,903	123,387	194,290	1,182,392
Scituate	101,885	37,065	138,950	2,258,185
Smithfield	203,327	473,137	676,464	6,798,522
South Kingstown	275,088	478,915	754,003	4,251,125
Tiverton	150,556	111,686	262,242	2,412,662
Warren	112,258	248,157	360,415	2,047,604
Warwick	847,803	2,334,495	3,182,298	23,668,101
Westerly	226,600	613,081	839,681	5,023,792
West Greenwich	49,855	81,587	131,442	943,376
West Warwick	292,223	398,058	690,281	6,475,843
Woonsocket	427,271	495,342	922,613	11,481,472
Subtotal	\$10,347,757	\$18,568,791	\$28,916,551	\$274,231,866
Statewide Reference Library Resource Grant (Providence)				970,322
Library Construction Reimbursement				2,849,447
Motor Vehicle Excise Tax Reimbursement - Fire Districts				1,875,837
Total				\$279,927,472

Fiscal Year 2007 VLT Supplement Breakout

City or Town	General Revenue Sharing	VLT Supplement	Total	Distressed Communities Aid	VLT Supplement	Total
Barrington	240,404	2,959	243,363	-	-	-
Bristol	979,756	12,061	991,817	-	-	-
Burrillville	706,306	-	706,306	192,968	112,065	305,033
Central Falls	1,693,857	-	1,693,857	186,213	112,065	298,278
Charlestown	402,852	4,959	407,811	-	-	-
Coventry	1,002,307	12,339	1,014,646	-	-	-
Cranston	5,362,507	66,014	5,428,521	-	-	-
Cumberland	1,541,147	18,972	1,560,119	-	-	-
East Greenwich	174,658	2,150	176,808	-	-	-
East Providence	2,648,844	32,608	2,681,452	-	-	-
Exeter	89,441	1,101	90,542	-	-	-
Foster	306,531	3,773	310,304	-	-	-
Glocester	560,521	6,900	567,421	-	-	-
Hopkinton	223,135	2,747	225,882	-	-	-
Jamestown	144,821	1,783	146,604	-	-	-
Johnston	2,523,157	31,061	2,554,218	-	-	-
Lincoln	947,625	11,665	959,290	-	-	-
Little Compton	104,541	1,287	105,828	-	-	-
Middletown	967,437	11,909	979,346	-	-	-
Narragansett	871,484	10,728	882,212	-	-	-
Newport	1,824,238	22,457	1,846,695	-	-	-
New Shoreham	90,384	1,113	91,497	-	-	-
North Kingstown	879,219	10,823	890,042	-	-	-
North Providence	2,404,365	-	2,404,365	958,414	112,065	1,070,479
North Smithfield	648,301	7,981	656,282	-	-	-
Pawtucket	5,476,767	-	5,476,767	1,415,815	112,065	1,527,880
Portsmouth	646,736	7,961	654,697	-	-	-
Providence	15,536,990	-	15,536,990	5,286,003	112,065	5,398,068
Richmond	146,518	1,804	148,322	-	-	-
Scituate	447,190	5,505	452,695	-	-	-
Smithfield	1,844,647	22,708	1,867,355	-	-	-
South Kingstown	1,003,451	12,353	1,015,804	-	-	-
Tiverton	638,386	7,859	646,245	-	-	-
Warren	496,052	6,107	502,159	-	-	-
Warwick	4,813,657	59,257	4,872,914	-	-	-
Westerly	748,483	9,214	757,697	-	-	-
West Greenwich	220,578	2,715	223,293	-	-	-
West Warwick	1,473,614	-	1,473,614	833,090	112,065	945,155
Woonsocket	3,868,095	-	3,868,095	727,497	112,065	839,562
Total	\$64,699,004	\$412,872	\$65,111,876	9,600,000	784,455	10,384,455

Fiscal Year 2008 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2008 Total Appropriated State Aid
Barrington	243,363	53,865	-	314,971	3,057,891	3,670,089
Bristol	991,817	560,835	-	57,181	1,577,328	3,187,161
Burrillville	706,306	78,891	305,033	79,855	2,890,897	4,060,982
Central Falls	1,693,857	-	298,278	66,132	1,588,340	3,646,607
Charlestown	407,811	-	-	45,568	545,588	998,968
Coventry	1,014,646	-	-	167,177	3,068,860	4,250,684
Cranston	5,428,521	3,583,905	-	548,485	12,836,062	22,396,974
Cumberland	1,560,119	139	-	240,606	2,582,029	4,382,894
East Greenwich	176,808	7,940	-	102,399	1,416,229	1,703,376
East Providence	2,681,452	61,629	-	499,380	6,749,947	9,992,407
Exeter	90,542	-	-	28,066	1,032,297	1,150,905
Foster	310,304	270	-	29,271	868,037	1,207,882
Glocester	567,421	-	-	64,709	1,239,328	1,871,457
Hopkinton	225,882	-	-	31,238	856,943	1,114,063
Jamestown	146,604	-	-	70,379	447,645	664,629
Johnston	2,554,218	-	-	121,452	5,347,683	8,023,352
Lincoln	959,290	-	-	172,879	3,059,914	4,192,083
Little Compton	105,828	-	-	25,425	306,089	437,342
Middletown	979,346	-	-	149,936	1,152,784	2,282,067
Narragansett	882,212	-	-	111,496	1,298,831	2,292,538
Newport	1,846,695	658,326	-	346,433	1,964,711	4,816,165
New Shoreham	91,497	-	-	88,246	89,468	269,212
North Kingstown	890,042	6,836	-	234,608	2,983,546	4,115,031
North Providence	2,404,365	533,146	1,070,479	174,550	5,213,295	9,395,835
North Smithfield	656,282	38,817	-	60,768	2,094,692	2,850,559
Pawtucket	5,476,767	330,377	1,527,880	395,734	10,541,161	18,271,918
Portsmouth	654,697	-	-	109,462	1,623,523	2,387,681
Providence	15,536,990	20,124,158	5,398,068	1,285,939	24,108,336	66,453,492
Richmond	148,322	627	-	22,769	842,508	1,014,226
Scituate	452,695	-	-	93,610	1,623,265	2,169,569
Smithfield	1,867,355	437,602	-	240,145	3,691,418	6,236,520
South Kingstown	1,015,804	121,138	-	194,843	2,234,629	3,566,413
Tiverton	646,245	-	-	87,145	1,462,375	2,195,765
Warren	502,159	-	-	46,087	1,175,389	1,723,636
Warwick	4,872,914	862,977	-	666,767	14,533,805	20,936,463
Westerly	757,697	132,288	-	287,135	3,103,215	4,280,335
West Greenwich	223,293	-	-	21,394	585,399	830,086
West Warwick	1,473,614	-	945,155	196,189	3,272,063	5,887,022
Woonsocket	3,868,095	173,199	839,562	219,987	5,632,674	10,733,515
Subtotal	\$65,111,876	\$27,766,967	10,384,455	\$7,698,411	138,698,194	\$249,659,903
Statewide Reference Library Resource Grant (Providence)				1,074,987		1,074,987
Library Construction Reimbursement				2,813,141		2,813,141
Motor Vehicle Excise Tax Reimbursement - Fire Districts					1,875,837	1,875,837
Total	\$65,111,876	\$27,766,967	\$10,384,455	\$11,586,539	\$140,574,031	\$255,423,868

Fiscal Year 2008 Pass Through Aid to Cities & Towns

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	FY 2008 Total Shared Taxes State Aid	FY 2008 Total Shared & Appropriated Aid
Barrington	165,956	108,806	274,762	3,944,851
Bristol	221,816	282,872	504,688	3,691,849
Burrillville	156,192	161,699	317,891	4,378,873
Central Falls	186,716	116,878	303,595	3,950,202
Charlestown	77,318	114,720	192,038	1,191,006
Coventry	332,049	324,799	656,848	4,907,532
Cranston	782,494	1,322,972	2,105,466	24,502,440
Cumberland	313,933	336,266	650,199	5,033,093
East Greenwich	127,677	388,789	516,466	2,219,842
East Providence	481,518	812,988	1,294,506	11,286,914
Exeter	59,589	50,270	109,859	1,260,764
Foster	42,243	19,929	62,173	1,270,055
Glocester	98,122	62,604	160,727	2,032,183
Hopkinton	77,193	27,973	105,166	1,219,229
Jamestown	55,400	93,945	149,345	813,974
Johnston	278,198	413,516	691,714	8,715,066
Lincoln	205,799	428,481	634,280	4,826,363
Little Compton	35,441	30,404	65,845	503,187
Middletown	171,805	510,026	681,832	2,963,898
Narragansett	161,330	408,207	569,537	2,862,075
Newport	262,039	1,527,942	1,789,981	6,606,146
New Shoreham	9,937	178,907	188,844	458,056
North Kingstown	259,512	378,984	638,496	4,753,527
North Providence	320,185	421,327	741,511	10,137,346
North Smithfield	104,890	183,760	288,650	3,139,209
Pawtucket	720,845	663,986	1,384,831	19,656,749
Portsmouth	169,383	171,308	340,691	2,728,372
Providence	1,712,408	4,026,739	5,739,147	72,192,639
Richmond	70,903	127,089	197,992	1,212,218
Scituate	101,885	38,177	140,062	2,309,631
Smithfield	203,327	487,331	690,659	6,927,178
South Kingstown	275,088	493,282	768,370	4,334,784
Tiverton	150,556	115,037	265,593	2,461,357
Warren	112,258	255,602	367,860	2,091,495
Warwick	847,803	2,404,530	3,252,333	24,188,796
Westerly	226,600	631,473	858,074	5,138,408
West Greenwich	49,855	84,035	133,890	963,976
West Warwick	292,223	410,000	702,222	6,589,244
Woonsocket	427,271	510,202	937,473	11,670,989
Subtotal	\$10,347,757	\$19,125,855	\$29,473,615	\$279,133,518
Statewide Reference Library Resource Grant (Providence)				1,074,987
Library Construction Reimbursement				2,813,141
Motor Vehicle Excise Tax Reimbursement - Fire Districts				1,875,837
Total				\$284,897,483

Fiscal Year 2008 VLT Supplement Breakout

City or Town	General Revenue Sharing	VLT Supplement	Total	Distressed Communities Aid	VLT Supplement	Total
Barrington	240,404	2,959	243,363	-	-	-
Bristol	979,756	12,061	991,817	-	-	-
Burrillville	706,306	-	706,306	192,968	112,065	305,033
Central Falls	1,693,857	-	1,693,857	186,213	112,065	298,278
Charlestown	402,852	4,959	407,811	-	-	-
Coventry	1,002,307	12,339	1,014,646	-	-	-
Cranston	5,362,507	66,014	5,428,521	-	-	-
Cumberland	1,541,147	18,972	1,560,119	-	-	-
East Greenwich	174,658	2,150	176,808	-	-	-
East Providence	2,648,844	32,608	2,681,452	-	-	-
Exeter	89,441	1,101	90,542	-	-	-
Foster	306,531	3,773	310,304	-	-	-
Glocester	560,521	6,900	567,421	-	-	-
Hopkinton	223,135	2,747	225,882	-	-	-
Jamestown	144,821	1,783	146,604	-	-	-
Johnston	2,523,157	31,061	2,554,218	-	-	-
Lincoln	947,625	11,665	959,290	-	-	-
Little Compton	104,541	1,287	105,828	-	-	-
Middletown	967,437	11,909	979,346	-	-	-
Narragansett	871,484	10,728	882,212	-	-	-
Newport	1,824,238	22,457	1,846,695	-	-	-
New Shoreham	90,384	1,113	91,497	-	-	-
North Kingstown	879,219	10,823	890,042	-	-	-
North Providence	2,404,365	-	2,404,365	958,414	112,065	1,070,479
North Smithfield	648,301	7,981	656,282	-	-	-
Pawtucket	5,476,767	-	5,476,767	1,415,815	112,065	1,527,880
Portsmouth	646,736	7,961	654,697	-	-	-
Providence	15,536,990	-	15,536,990	5,286,003	112,065	5,398,068
Richmond	146,518	1,804	148,322	-	-	-
Scituate	447,190	5,505	452,695	-	-	-
Smithfield	1,844,647	22,708	1,867,355	-	-	-
South Kingstown	1,003,451	12,353	1,015,804	-	-	-
Tiverton	638,386	7,859	646,245	-	-	-
Warren	496,052	6,107	502,159	-	-	-
Warwick	4,813,657	59,257	4,872,914	-	-	-
Westerly	748,483	9,214	757,697	-	-	-
West Greenwich	220,578	2,715	223,293	-	-	-
West Warwick	1,473,614	-	1,473,614	833,090	112,065	945,155
Woonsocket	3,868,095	-	3,868,095	727,497	112,065	839,562
Total	\$64,699,004	\$412,872	\$65,111,876	9,600,000	784,455	10,384,455

Changes in Formula Aid - FY 2008 vs. FY 2007

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	State Library Aid	Motor Vehicle Excise Tax Reimbursement	Total Appropriated Difference
Barrington	-	-	-	-	94,818	94,818
Bristol	-	-	-	-	48,909	48,909
Burrillville	-	-	-	-	89,640	89,640
Central Falls	-	-	-	-	49,251	49,251
Charlestown	-	-	-	-	16,917	16,917
Coventry	-	-	-	-	95,158	95,158
Cranston	-	-	-	-	398,017	398,017
Cumberland	-	-	-	-	80,063	80,063
East Greenwich	-	-	-	-	43,914	43,914
East Providence	-	-	-	-	209,301	209,301
Exeter	-	-	-	-	32,009	32,009
Foster	-	-	-	-	26,916	26,916
Glocester	-	-	-	-	38,429	38,429
Hopkinton	-	-	-	-	26,572	26,572
Jamestown	-	-	-	-	13,880	13,880
Johnston	-	-	-	-	165,820	165,820
Lincoln	-	-	-	-	94,881	94,881
Little Compton	-	-	-	-	9,491	9,491
Middletown	-	-	-	-	35,745	35,745
Narragansett	-	-	-	-	40,274	40,274
Newport	-	-	-	-	89,657	89,657
New Shoreham	-	-	-	-	2,774	2,774
North Kingstown	-	-	-	-	92,513	92,513
North Providence	-	-	-	-	161,653	161,653
North Smithfield	-	-	-	-	80,085	80,085
Pawtucket	-	-	-	-	326,858	326,858
Portsmouth	-	-	-	-	50,342	50,342
Providence	-	-	-	-	747,545	747,545
Richmond	-	-	-	-	26,124	26,124
Scituate	-	-	-	-	50,334	50,334
Smithfield	-	-	-	-	114,463	114,463
South Kingstown	-	-	-	-	69,291	69,291
Tiverton	-	-	-	-	45,345	45,345
Warren	-	-	-	-	36,446	36,446
Warwick	-	-	-	-	450,661	450,661
Westerly	-	-	-	-	96,224	96,224
West Greenwich	-	-	-	-	18,152	18,152
West Warwick	-	-	-	-	101,459	101,459
Woonsocket	-	-	-	-	174,657	174,657
Subtotal	\$0	\$0	\$0	\$0	\$4,344,585	\$4,344,589
Statewide Reference Library Resource Grant (Providence)				104,665	-	104,665
Library Construction Reimbursement				(36,306)	-	(36,306)
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-	-	-
Total	\$0	\$0	\$0	\$68,359	\$4,344,585	\$4,412,948

Changes in Pass Through and All Aid - FY 2008 vs. FY 2007

City or Town	Public Service Corporation Tax	Meals and Beverage Tax	Total Pass Through State Aid Difference	Total All State Aid Difference
Barrington	-	3,169	3,169	97,987
Bristol	-	8,239	8,239	57,148
Burrillville	-	4,710	4,710	94,350
Central Falls	-	3,404	3,404	52,655
Charlestown	-	3,341	3,341	20,259
Coventry	-	9,460	9,460	104,619
Cranston	-	38,533	38,533	436,551
Cumberland	-	9,794	9,794	89,857
East Greenwich	-	11,324	11,324	55,238
East Providence	-	23,679	23,679	232,980
Exeter	-	1,464	1,464	33,473
Foster	-	580	580	27,496
Glocester	-	1,823	1,823	40,252
Hopkinton	-	815	815	27,387
Jamestown	-	2,736	2,736	16,617
Johnston	-	12,044	12,044	177,864
Lincoln	-	12,480	12,480	107,361
Little Compton	-	886	886	10,377
Middletown	-	14,855	14,855	50,600
Narragansett	-	11,890	11,890	52,163
Newport	-	44,503	44,503	134,160
New Shoreham	-	5,211	5,211	7,985
North Kingstown	-	11,038	11,038	103,551
North Providence	-	12,272	12,272	173,924
North Smithfield	-	5,352	5,352	85,437
Pawtucket	-	19,339	19,339	346,197
Portsmouth	-	4,990	4,990	55,331
Providence	-	117,284	117,284	864,829
Richmond	-	3,702	3,702	29,826
Scituate	-	1,112	1,112	51,446
Smithfield	-	14,194	14,194	128,657
South Kingstown	-	14,367	14,367	83,658
Tiverton	-	3,351	3,351	48,696
Warren	-	7,445	7,445	43,891
Warwick	-	70,035	70,035	520,695
Westerly	-	18,392	18,392	114,616
West Greenwich	-	2,448	2,448	20,600
West Warwick	-	11,942	11,942	113,401
Woonsocket	-	14,860	14,860	189,517
Subtotal	-	\$557,062	\$557,064	\$4,901,652
Statewide Reference Library Resource Grant (Providence)				104,665
Library Construction Reimbursement				(36,306)
Motor Vehicle Excise Tax Reimbursement - Fire Districts				-
Total		\$557,062	\$557,064	\$4,970,011

Education Aid to Local Governments

Education Aid to Local Governments totals \$910.5 million in FY 2008, a \$46.4 million increase in total state funding relative to the FY 2007 revised budget, and a 5.4 percent increase in the state's commitment to financing public education within Rhode Island. Total Education Aid is defined to include local public schools, the School for the Deaf, Davies Career and Technical School, the Charter Schools, the Central Falls School District and the Metropolitan Career and Technical School, as well as support for teacher retirement and school housing aid. The following sections summarize changes to the various aid categories that comprise total FY 2008 Education Aid.

Distributed Aid- A Uniform 3 Percent Increase with Continued Support for Central Falls

In FY 2008, the Governor reaffirms his commitment to Local Education Agencies (LEAs) by proposing a uniform general aid increase of three percent from enacted aid allocations for each community. This totals \$19.4 million in additional aid for Rhode Island's locally and regionally operated school districts. Further, to resolve a structural operating deficit while leveraging municipal fiscal participation, state support of the Central Falls school district increases by 6.9 percent, or \$3.0 million, relative to the revised FY 2007 level. Combining these two increases yields total FY 2008 distributed aid appropriations of \$712.2 million, a \$22.3 million increase from the revised FY 2007 level.

State Schools

The State Schools- Davies Career and Technical School, Metropolitan Career and Technical School, and the Rhode Island School for the Deaf are collectively financed at \$32.9 million in general revenue, which constitutes a \$2.4 million increase from the revised FY 2007 Budget. This reflects financing of current services at each school, and continued support of the expansion of the Metropolitan School to an East Bay campus on Aquidneck Island. This campus has commenced operations during the current (2006-2007) school year with an enrollment of 31 students.

Non-Distributed Aid

Expansions for non-distributed categories of state education aid are also recommended for FY 2008. Notable among these is the advent of the Comprehensive Education Fund, with \$2.0 million in financing that is specifically earmarked for the support of innovations arising from a new Comprehensive Education Strategy panel under the joint direction of the Governor, the Speaker of the House and the Senate President. The Progressive Support and Intervention (PS&I) Fund, which finances corrective intervention activities in the State's underperforming districts, is maintained at \$2.9 million.

In addition to PS&I and the Comprehensive Education Fund, professional development funds utilized at the RIDE administrative level are increased by \$200,000 as a result of the Governor's *Advanced Placement Initiative*, a program that provides training workshops specifically designed for teachers of advanced placement courses in the sciences and mathematics. Direct Charter School Aid, which finances operations at the state's eleven charter schools, rises 10.9 percent above the revised FY 2007 level to \$27.0 million.

For both FY 2007 and FY 2008, the Governor recommends the elimination of the salary supplement for speech pathologists. Enacted by the 2006 general assembly, this measure provides an annual stipend of \$1,750 for all public school-based speech pathologists holding a Certificate of Clinical Competence. The Governor believes that salary schedules for school personnel should be set at the local level.

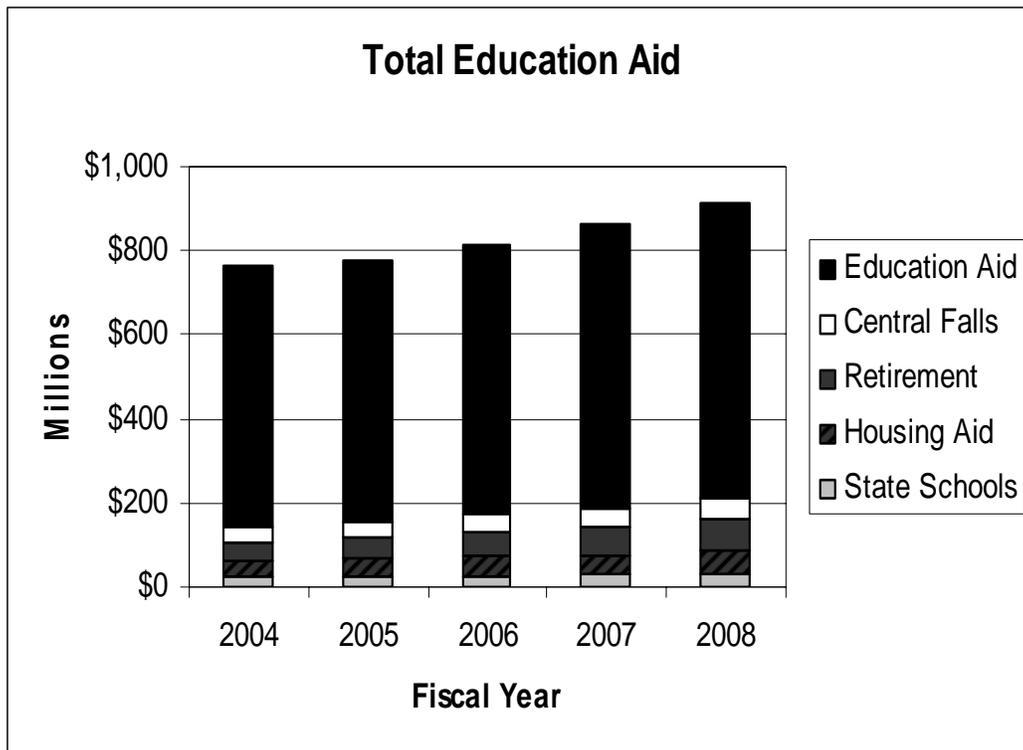
Other Aid

The FY 2008 budget also includes increases in other aid of \$16.9 million; \$6.0 million in school housing aid reimbursements and \$10.8 million in state contributions for teachers' retirement.

FY 2008 Education Aid Increases (Decreases) From the Revised FY 2007 Budget

- General Aid: \$19,379,107
- Group Home Funding: \$(75,000)
- Central Falls School District: \$3,012,635
- Professional Development: \$200,000
- Direct Charter School Aid: \$2,645,103
- Progressive Support and Intervention: \$19,332
- Comprehensive Education Fund: \$2,000,000
- Metropolitan Career and Technical School: \$1,080,778
- School for the Deaf: \$366,591
- Davies Career and Technical School: \$950,386
- Teachers' Retirement: \$10,811,800
- School Housing Aid: \$6,046,528

The following graph displays total school aid from FY 2004 to FY 2008. The "Education Aid" component is comprised of all aid categories under the standard Education Aid program. This includes, but is not limited to, General Aid, Targeted Aid, Charter School Aid, Progressive Support and Intervention, and the Student Investment Initiatives. Other components of total aid include: State Contributions for Teachers' Retirement; School Housing Aid; Central Falls School District; and State Schools (Davies, Deaf, and the Metropolitan School).



Housing Aid

The School Housing Aid Program reimburses a community for eligible construction expenditures beginning in the fiscal year after the project is completed. The reimbursement rate is based on the cost of the project over the life of the bonds issued for the project. The Commissioner and the Board of Regents review each local community's request for reimbursement through the program. Pursuant to recent legislation, the Department of Education and the Board of Regents are currently engaged in the promulgation of new regulations governing both this process as well as the oversight of all projects eligible for School Construction Aid. In support of this initiative, the Governor recommends the addition of three FTE to the Department of Elementary and Secondary Education in FY 2008.

It should be noted that although the reimbursement reference for completed projects is one year, there is a two-year reference for formula factors. For example, FY 2006 allocations were based on 2004 wealth and enrollment levels. The housing aid share ratio calculation is based on a district's income adjusted per-pupil property wealth compared to aggregate state per-pupil property wealth. The average state housing aid share ratio is thirty-eight percent, adjusted to ensure a minimum of thirty percent in each community. A four percent bonus is awarded when a minimum of seventy-five percent of a project's cost is for energy conservation, asbestos removal, or handicapped access. Regional districts receive a two percent bonus for each regionalized grade for new construction projects, and an additional four percent bonus for renovation projects. The current calculation also includes a debt service adjustment for heavily burdened districts.

Reimbursement is based on total expended project cost, plus related bond interest cost, not on the amount of the original bond issuance. For example, if a community issued \$6.5 million for ten years for a capital improvement to a school, but only spent \$6.0 million, the department would reimburse the community for the State's appropriate share of the \$6.0 million spent on the completed project, plus the bond interest payments over a ten year period. This statute was amended to include the use of lease revenue bonds, financial leases, capital reserve funding, and similar financial instruments to finance school construction. Communities used this amendment to receive project reimbursements commencing in FY 1999. The FY 2004 Appropriation Act amended the housing aid statute to require that all future school construction debt be issued through the Rhode Island Health and Education Building Corporation.

The revised FY 2007 and FY 2008 budgets propose general revenue expenditures of \$46.8 million and \$52.9 million, respectively, for the School Housing Aid program.

Teachers' Retirement

RIGL §16-16-22 requires the State to make contributions to the teacher retirement system in Rhode Island. The State shall contribute a percentage of the employer's share, with the school districts contributing the balance. The State's share has varied over the years based upon the total actuarially determined rate of payroll, but since FY 1993 it has been fixed at forty percent, with the municipalities contributing sixty percent. Each district receives the same percentage, regardless of wealth.

The Governor and the General Assembly deferred the State's contributions to Teachers' Retirement in both FY 1991 and FY 1992. Most municipalities also deferred the local portion of teacher retirement contributions for this period. The state deferrals, valued at \$22.4 million in FY 1991 and \$22.2 million in FY 1992, will be financed over twenty years, as will the local deferral. The annual calculation applied to the state contribution to Teachers' Retirement includes an adjustment to accommodate the deferral liability.

Furthermore, the municipalities of Burrillville, East Greenwich, Little Compton, New Shoreham and North Smithfield did not participate in the 1990 early retirement window for teachers and therefore contribute a slightly smaller percentage of teachers' salaries.

Contribution Rates for Teachers' Retirement Fund

	Actuarial Contribution Rate of Payroll	Employer Share			Teacher Share
		Local (60%)*	State (40%)*	Sub Total	
1999	21.02%	6.62%	4.90%	11.52%	9.5%
2000	24.14%	8.43%	6.21%	14.64%	9.5%
2001	21.51%	6.86%	5.15%	12.01%	9.5%
2002	19.45%	5.73%	4.22%	9.95%	9.5%
2003	21.47%	6.93%	5.04%	11.97%	9.5%
2004	23.22%	7.99%	5.73%	13.72%	9.5%
2005	24.34%	8.72%	6.12%	14.84%	9.5%
2006	25.97%	9.72%	6.75%	16.47%	9.5%
2007	29.14%	11.62%	8.02%	19.64%	9.5%
2008	31.51%	13.04%	8.97%	22.01%	9.5%

* Adjusted for deferral liability

The following table displays the state contributions to Teacher Retirement since FY 1999. The State Retirement Board uses the districts' retirement contribution data to calculate the state obligation each month, and contributions are accrued to the appropriate fiscal period.

State Contributions for Teacher Retirement

<u>Fiscal Year</u>	<u>State Share</u>
1999 Actual	\$30,202,943
2000 Actual	\$40,719,407
2001 Actual	\$35,365,234
2002 Actual	\$30,652,207
2003 Actual	\$38,242,690
2004 Actual	\$45,039,269
2005 Actual	\$48,503,125
2006 Unaudited	\$56,113,048
2007 Revised	\$67,259,910
2008 Recommended	\$78,071,710

The FY 2007 revised recommendation reflects full state appropriations for the actuarial determined contribution rate as certified by the actuary and approved by the Retirement Board but reflects a downward revision from enacted appropriations to reflect an adjusted payroll base.

The FY 2008 state contribution recommendation also reflects full state appropriations for the actuarial determined contribution rate as certified by the actuary and approved by the Retirement Board.

Explanation of Tables- by Category and LEA

The following two tables display education aid first by category, and then by apportionment among the state's local and regional education agencies (LEAs). "Distributed LEA Aid" consists of the various categories of aid that are directly distributed to school districts on a regular (usually monthly) basis, such as General Aid, Targeted Aid, and funds for Student Equity. For completeness, financing of the Central Falls School District (plus an allocation for indirect Charter School Aid on the LEA table only) is also displayed in this section. "State Schools" include the Metropolitan School, Davies, and School for the Deaf. "Non-Distributed Aid" includes several categories of aid that are either utilized at the departmental level or are not distributed regularly to school districts. "Other Aid" consists of allocations for School Housing Aid and state contributions to the Teachers' Retirement Fund. For the purposes of these tables, direct aid to charter schools is categorized as non-distributed.

Education Aid by Category of Aid

Category of Education Aid	FY 2004 Actual	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Revised	FY 2008 Recommend
Distributed LEA Aid					
General Aid	\$457,980,414	\$458,608,114	\$458,868,334	\$488,592,372	\$507,971,470
Student Technology	3,397,689	3,397,693	3,397,691	3,397,692	3,397,692
Student Equity *	63,799,997	63,800,000	73,800,000	73,800,000	73,800,000
Early Childhood *	6,800,002	6,800,000	6,799,996	6,800,000	6,800,000
Student Language Assistance	31,715,460	31,715,462	31,715,459	31,715,459	31,715,459
Professional Development	3,325,501	3,325,503	5,825,501	5,825,502	5,825,502
Targeted Aid	20,000,000	20,000,000	20,000,001	20,000,000	20,000,000
Charter School-Indirect Aid	695,888	985,837	1,297,666	1,242,006	1,242,006
Full Day Kindergarten	4,428,500	4,660,000	4,163,000	4,163,000	4,163,000
Vocational Equity	1,535,000	1,535,000	1,512,500	1,512,500	1,512,500
Group Home Funding	8,310,000	8,910,000	9,838,264	9,075,000	9,000,000
Central Falls School District	35,635,332	37,804,405	41,240,905	43,795,411	46,808,046
Subtotal	\$637,623,783	\$641,542,014	\$658,459,317	\$689,918,942	\$712,235,675
Non-Distributed Aid					
On-Site Visits	\$408,842	\$403,486	\$406,641	\$407,935	\$407,935
Textbook Expansion	332,980	604,226	499,906	240,000	240,000
Hasbro Children's Hospital	100,000	100,000	100,000	100,000	100,000
School Breakfast	647,872	642,860	600,000	600,000	600,000
Professional Development	120,573	102,137	113,135	670,000	870,000
Charter School-Direct Aid	12,645,076	17,040,204	21,956,238	24,339,885	26,984,988
Progressive Support & Intervention	1,101,309	2,106,961	2,923,308	2,917,565	2,936,897
Comprehensive Education Fund	-	-	-	-	2,000,000
Telecommunications Access	-	602,965	176,965	277,965	277,965
Subtotal	\$15,356,652	\$21,602,839	\$26,776,193	\$29,553,350	\$34,417,785
State Schools					
Metropolitan School	\$6,051,609	\$7,261,968	\$8,814,528	\$10,406,956	\$11,487,734
School for the Deaf	5,750,000	5,747,462	6,064,415	6,441,201	6,807,792
Davies School	11,043,748	11,951,361	12,985,225	13,621,186	14,571,572
Subtotal	\$22,845,357	\$24,960,791	\$27,864,168	\$30,469,343	\$32,867,098
Other Aid					
Teachers' Retirement	\$45,039,269	\$48,503,125	\$56,113,048	\$67,259,910	\$78,071,710
School Housing Aid	40,740,607	42,179,853	46,623,676	46,814,982	52,861,510
Subtotal	\$85,779,876	\$90,682,978	\$102,736,724	\$114,074,892	\$130,933,220
Total Aid	\$761,605,668	\$778,788,622	\$815,836,402	\$864,016,527	\$910,453,778

* The Student Equity Fund and the Early Childhood Fund both have required set asides for Literacy of three percent.

Education Aid to Local Units of Government

	FY 2004 Actual	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Revised	FY 2008 Recommend
Distributed LEA Aid					
Barrington	\$2,393,375	\$2,398,581	\$2,479,907	\$2,599,526	\$2,677,512
Burrillville	13,076,186	13,076,186	13,150,857	13,779,743	14,193,135
Charlestown	1,852,720	1,852,720	1,910,676	2,002,838	2,062,923
Coventry	18,881,202	18,881,202	19,151,316	20,075,081	20,677,333
Cranston	32,907,994	33,029,208	33,943,639	35,580,911	36,648,338
Cumberland	12,594,809	12,594,809	12,654,786	13,257,009	13,654,719
East Greenwich	1,796,345	1,810,042	1,860,042	1,949,761	2,008,254
East Providence	25,009,458	25,064,677	25,530,776	26,762,254	27,565,122
Foster	1,311,926	1,311,926	1,351,283	1,416,463	1,458,957
Glocester	2,995,087	2,995,087	3,065,960	3,213,847	3,310,262
Hopkinton	5,902,911	5,902,911	5,954,153	6,241,352	6,428,593
Jamestown	486,504	492,652	507,431	531,908	547,865
Johnston	10,137,270	10,188,342	10,413,716	10,915,364	11,242,825
Lincoln	6,955,618	7,012,603	7,064,696	7,403,268	7,625,366
Little Compton	325,831	341,592	351,839	368,810	379,874
Middletown	9,916,122	9,916,122	10,014,086	10,497,116	10,812,029
Narragansett	1,709,968	1,725,404	1,809,860	1,897,159	1,954,074
Newport	11,060,746	11,060,746	11,253,278	11,796,080	12,149,962
New Shoreham	93,128	93,128	101,451	106,345	109,535
North Kingstown	11,317,305	11,384,463	11,434,463	11,986,005	12,345,585
North Providence	12,449,559	12,511,050	12,624,509	13,232,872	13,629,858
North Smithfield	4,540,392	4,541,694	4,616,141	4,834,237	4,979,264
Pawtucket	61,074,964	61,615,712	63,784,560	66,858,559	68,864,316
Portsmouth	5,811,300	5,854,978	6,632,443	6,250,042	6,437,543
Providence	181,224,584	181,224,594	185,143,415	193,974,756	199,793,999
Richmond	5,829,987	5,829,987	5,903,843	6,188,615	6,374,273
Scituate	3,200,400	3,200,400	3,250,400	3,407,183	3,509,398
Smithfield	5,306,854	5,332,948	5,483,207	5,668,568	5,838,625
South Kingstown	9,766,904	9,766,904	9,948,816	10,428,698	10,741,559
Tiverton	5,553,102	5,553,102	5,659,091	5,932,058	6,110,020
Warwick	34,941,323	35,195,465	35,894,621	37,626,000	38,754,780
Westerly	6,284,205	6,386,546	6,528,189	6,843,077	7,048,369
West Warwick	19,275,597	19,341,994	19,499,965	20,440,547	21,053,763
Woonsocket	43,813,046	43,913,617	45,455,694	47,616,613	49,045,111
Bristol/Warren	19,183,751	19,267,184	19,554,956	20,498,190	21,113,136
Exeter/W Greenwich	7,216,180	7,227,202	7,308,493	7,661,019	7,890,849
Chariho District	360,305	368,936	380,004	398,334	410,284
Foster/Glocester	5,395,937	5,395,937	5,466,199	5,729,861	5,901,757
Central Falls	35,670,888	37,881,363	41,320,556	43,873,873	46,886,508
Smithfield- FY 2007 Supplemental	-	-	-	75,000	-
LEA Subtotal	\$637,623,783	\$641,542,014	\$658,459,317	\$689,918,942	\$712,235,675

Education Aid to Local Units of Government

	FY 2004 Actual	FY 2005 Actual	FY 2006 Unaudited	FY 2007 Revised	FY 2008 Recommend
Non-Distributed Aid					
On-Site Visits	\$408,842	\$403,486	\$406,641	\$407,935	\$407,935
Textbook Expansion	332,980	604,226	499,906	240,000	240,000
Hasbro Children's Hospital	100,000	100,000	100,000	100,000	100,000
School Breakfast	647,872	642,860	600,000	600,000	600,000
Professional Development	120,573	102,137	113,135	670,000	870,000
Charter School-Direct Aid	12,645,076	17,040,204	21,956,238	24,339,885	26,984,988
Progressive Support & Intervention	1,101,309	2,106,961	2,923,308	2,917,565	2,936,897
Comprehensive Education Fund	-	-	-	-	2,000,000
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Metropolitan School	\$6,051,609	\$7,261,968	\$8,814,528	\$10,406,956	\$11,487,734
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Total Aid	\$761,605,668	\$778,788,622	\$815,836,402	\$864,016,527	\$910,453,778

Internal Service Fund Accounts

Internal Service Fund accounts are established to finance and account for the operations of certain state programs that provide services to other state agencies, institutions and other governmental units on a cost reimbursement basis. The purpose of such accounts is to ensure that the activities of the respective programs are managed in a businesslike manner. They are intended to promote efficient use of services by making agencies pay the full costs associated with providing the service and allocate the costs of central administrative functions across all fund types, particularly so that federal and other non-general revenue funded programs share in the costs.

Each Internal Service Fund develops its own billing structure, which is reviewed on an annual basis prior to the start of each fiscal year. In most cases, direct charges associated with a service being provided to a user agency are billed to that agency, along with an overhead charge for the administrative costs of operating the respective fund.

Section 16 of Article 1 of the FY 2007 Appropriations Act requires the Governor to include as part of the FY 2008 Budget descriptions of the various Internal Service Fund accounts, with an explanation of their purpose and the efficacy of continuing them under an Internal Service Fund structure. The FY 2008 Budget includes financing recommendations for twelve (12) Internal Service Fund accounts.

On the following pages are descriptions of each of the Internal Service Fund accounts requested as part of the FY 2008 Budget, with explanations of their purpose and the rationale for utilizing this structure for funding the respective program.

Department of Administration

Internal Service Fund: Health Insurance Fund

Description:

This Health Insurance Fund is used to account for the cost of health insurance coverage for employees and retirees. Historically, the state has accounted for employee health insurance costs in a series of clearing accounts related to payroll, i.e., state and employee (if any) contributions would be posted as additions to a clearing account and payments to health insurance companies would be posted as deductions. However, sometimes there wasn't a direct correlation between the "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees and determining the financial position of the state relative to provision of this benefit was time-consuming. Also, any retroactive settlements with health insurance companies required the direct allocation of the settlement back to several thousand agency budget accounts.

Purpose

This internal service was created to account for employee and retiree health insurance transactions because the state's financial position associated with providing this employee/retiree benefit will be more readily determinable due to the sometimes indirect correlation between the amount of "premiums" or claims paid to health insurance companies and rates assessed to state agencies (employer share) and/or employees. Also, any retroactive settlements with health insurance companies can be incorporated into a future rate charged to agency budget accounts and employees.

Efficacy

This Internal Service Fund is used to account for the cost of health insurance coverage for employees and retirees. The current rationale for utilizing this methodology is to gain greater accounting efficiency by (1) avoiding the prior practice of allocating retroactive settlements among several thousand agency

accounts and (2) being able to quickly determine the state financial position associated with providing this benefit to employees and retirees.

Internal Service Fund: Central Utilities

Description:

The Central Utilities Internal Service Fund is responsible for the administration and fiscal management of electricity services provided to the state.

Purpose

This unit processes all monthly payments for over 1,600 electric accounts to the state's primary supplier of electricity, National Grid. Expenditures are then billed to the respective agencies based upon the electricity usage determined by the meters assigned to that agency. In addition, this unit's overhead costs are allocated to user agencies based upon the number of meters assigned to the agency.

Efficacy

Without this Internal Service Fund, each state agency would be independently responsible for paying electric bills for the meters assigned to that agency. This would require an internal distribution of costs to all applicable accounts within the agency, a service that is now provided by the central unit.

Internal Service Fund: Automotive Fleet

Description:

The State Automotive Fleet Internal Service Fund provides for the administration and fiscal management of state-owned vehicles and operates several fuel depots throughout the state

Purpose

This program oversees the procurement, maintenance and allocation of 2,900 vehicles, including heavy-duty vehicles, boats, trailers, and maintenance equipment. Agencies are billed biweekly for expenses including repairs, fuel, and a surcharge (per gallon) for the operation and maintenance of fuel depots. The administrative costs for the Office of State Fleet Operations (OSFO) are charged to agencies per vehicle on an annual basis. All agencies share these overhead costs in an equitable manner.

Efficacy

Decentralization of automotive fleet functions would result in duplication of efforts, inconsistent policies and procedures between agencies, loss of control over new vehicle purchases, and the absence of a central comprehensive database, which would make it difficult to meet mandated federal reporting requirements on alternative fuel vehicles. In further efforts to enhance this process, the Department of Administration intends to review the benefits of outsourcing certain fleet operations, primarily maintenance and repairs.

Internal Service Fund: Surplus Property

Description

This internal service fund oversees the acquisition and distribution of federal surplus property, as well as the storage and disposal of state surplus property.

Purpose

Section 42-56.2-1 of the RI General Laws requires the appointment of a Federal Surplus Property Officer by the Director of Administration. This position is authorized to acquire from the federal government surplus property that may be of benefit to the state, local governments or non-profit educational or charitable institutions. This position oversees the Surplus Property Internal Service Fund. Although the Fund will acquire federal surplus property on behalf of state agencies or other eligible recipients, they do not bill for this service. The program is not currently generating revenues. It had been charging state agencies for storage space at the Cranston Street Armory, but was required to vacate this facility during its use as a film stage. The program is in the process of developing a web site that can be used to sell surplus property. Any revenues generated from the sale of property would be used to defray the cost of the program, including building maintenance, forklift rental and supplies.

Efficacy

The staff responsible for overseeing the surplus property program is funded with General Revenues and thus these costs are not allocated to user agencies. As stated above, the only billings made by this internal service fund are currently for space rental at a state warehouse. Without the existence of an internal service funds, these costs could be billed to the user agencies using an alternative accounting method or the costs to operate the warehouse could be fully funded with general revenues, thereby eliminating the need to bill any agency for storage.

Internal Service Fund: Central Mail**Description:**

The Central Mail unit provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Purpose

Aggregation of mail functions constitutes a major source of cost savings and cost avoidance for government operations statewide. Costs are allocated to agencies via a billing system that applies the internal and external costs to agency budgets.

Efficacy

This Internal Service Fund is used to allocate the cost of mail processing to various agencies. The current rationale for utilizing this methodology is to gain greater efficiency from the central management of mail processing services.

Internal Service Fund: Telecommunications/Centrex**Description**

The Telecommunications Internal Service Fund supports all voice and data communications infrastructure throughout state government, as well as the public information operators. Voice services include planning, procurement, provision, installation and maintenance of all voice telephone devices, lines and extensions, billing and vendor liaison. Data services include planning, procurement, provision, installation, addressing, routing and maintenance of all WAN data lines and data connections, access to the Internet, security and filtering, billing, and vendor liaison throughout state government.

Purpose

This Internal Service Fund, as with the Central Utilities Fund, processes all payments for voice communication services to the providers of these services (primarily Verizon). Agencies are then billed monthly for their usage, as well as an overhead charge allocated based upon the number of phone lines in each agency.

Efficacy

This Internal Service Fund is used to allocate the cost of telephone services to the various agencies. The current rationale for utilizing this methodology is to gain efficiencies from central management of direct and indirect telecom costs. Without the existence of this fund, each agency would be responsible for receiving and paying invoices for telecommunication services provided to their agency.

Internal Service Fund: Assessed Fringe Benefit**Description**

The Assessed Fringe Benefit Internal Service Fund makes payments for various employee fringe benefits. The fund generates revenue by assessing a charge against the amount of salaries and wages paid to state employees.

Purpose

The assessment charged by this fund pays for the following employee fringe benefits: services provided by the Donley Center; services of the Workers' Compensation Court and the Division of Workers' Compensation administrative costs related to workers' compensation activities; payments to Workers' Compensation providers; Workers' Compensation benefit payments to employees; Unemployment Compensation payments; and payments to employees for unused leave upon termination from state service.

Efficacy

This fund was established in part to eliminate the fluctuations in agency budgets that result from workers' compensation claims, unemployment claims, and termination costs. The use of the statewide assessment also meets federal cost allocation requirements.

Mental Health, Retardation and Hospitals**Internal Service Fund: Central Pharmacy****Description**

The Central Pharmacy provides medications and medical/surgical supplies to institutional level pharmacies within MHRH, as well as other state agencies and thirteen community based pharmacies that participate in the Community Mental Health Medication Assistance Program. In addition, fifty group homes and three skilled nursing facilities, operated by the Division of Developmental Disabilities, receive services, non-legend medications and medical/surgical supplies from this service.

Purpose

The purpose of the Central Pharmacy is to provide agencies with a stable source of medication and medical/surgical supplies. This warehouse distribution center obtains its supplies through volume purchasing and distributes them in bulk. Billing is done monthly on a cost plus markup basis, which provides for service expenses.

Efficacy

This Internal Service Fund is used to allocate the cost of services to various agencies. The current rationale for utilizing this methodology is to gain efficiencies from central management of medication and medical/surgical supply procurement and distribution and to gain better pricing through volume purchasing.

Internal Service Fund: Central Laundry**Description**

The Central Laundry is a production facility that processes soiled linens for the Eleanor Slater Hospital (MHRH), the Medical Examiner's Office (DOH) and the Welcome Arnold Center (DHS operated homeless shelter).

Purpose

The Central Laundry assures that clean linen is available for hospital patients, the Medical Examiner's staff and the homeless. This helps maintain a clean and healthy environment in areas that utilize Central Laundry services. Laundry services are billed to agencies using a predetermined rate per pound for linen processed and per pound rate for linen replacement.

Efficacy

This Internal Service Fund is used to allocate the cost of services to various agencies. The current rationale for utilizing this methodology is to improve efficiency from central management of laundry services.

Department of Corrections**Internal Service Fund: Corrections Warehouse / Central Distribution****Description**

The Central Distribution Center is responsible for the central purchase of food staples, janitorial items, and other supplies for warehousing and redistribution/sale to state agencies and state school districts. The unit also administers the US Department of Agriculture Food Distribution Program. During FY 2005, the CDC incorporated a program to utilize inmates working under the supervision of warehouse staff.

Purpose

This Internal Service Fund was created in order to provide for the most cost-effective procurement and delivery of goods to other state programs.

Efficacy

The current rationale for this Internal Service Fund is to improve efficiency by providing a centralized mechanism for the purchase and distribution of goods.

Internal Service Fund: Correctional Industries

Description

Correctional Industries are the work programs in correctional facilities that provide real world work experience to inmates, teaching them transferable job skills and work ethic to help them prepare for post-release reentry and employment.

Purpose

Correctional Industries employees 218 inmates who manufacture various products or provide services to state agencies, cities and towns and non-profit organizations. This is the only self-funded reentry support program in corrections - no appropriated funds are required for its operation since it relies on revolving funds from the income generated by the sale of products and services produced through the program.

Efficacy

Because Correctional Industries' customer base not only includes state agencies, but also municipal and non-profit agencies, it is virtually impossible to have any mechanism other than an Internal Services Fund to allow for the continuation of this program.

Secretary of State

Internal Service Fund: Record Center

Description

The State Records Center stores and manages the nonpermanent records of state agencies and funds the Public Records Administration office. This program, a central storage facility for inactive state government records, serves all state agencies.

Purpose

This Internal Service Fund ensures that state agencies pay only for actual records stored and services received. Most states and the federal government operate their programs through the same or similar means. A Master Price Agreement with Capital Records Management (CRMC) governs overall records storage costs and services. Although CRMC transports and stores the records, state agencies' service requests are channeled through the Public Records Administration office. On a monthly basis, CRMC provides the PRA with a master invoice for all charges to the state, and also individual agency accounts.

Efficacy

The State Records Center could receive a direct appropriation of funds to cover the entire cost of record storage and other services for all agencies instead of each agency being allotted their own requested amount, but this method would not be as efficient as the current rotary account. A rotary account ensures that agencies pay for actual records stored and services received. A direct appropriation would be an estimate that would have to be revised at the end of the fiscal year.

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Definition of Categories of Expenditures

Definition of Categories of Expenditures

Generally, the amounts reflected in the categories of expenditures in the *FY 2008 Executive Summary* and in the *FY 2008 Budget* were derived by identifying amounts spent or budgeted in certain budget object codes. The transition from the Rhode Island State Automated Information Link system (RISAIL) to the Rhode Island Financial Accounting Network System (RIFANS) on July 1, 2006, provided opportunity to classify expenditures in hierarchical order of major categories. Each category is described in the following sections with the associated natural codes listed below.

Salary/Wages and Other Compensation and Employee Benefit Programs:

Includes expenditures for salaries, wages, fringe benefits, and workers' compensation costs.

The personnel category includes all payments for all individuals employed by the state.

RIFANS

Natural

611000	Regular Wages
611999	Contract Reserve
612000	Seasonal/Special Salaries/Wages
614001	Overtime
614100	Overtime (1.5)
614200	Overtime (2.0)
614300	Overtime (Straight Time)
614400	Holiday Pay
614500	Correctional Officers' Briefing Time
614600	Overtime: Other (i.e. Seasonal)
616001	Stipend Payments
616100	Cash Bonuses for HMO Participation
616200	Family Medical Insurance Coverage Waiver Bonus
616300	Contractual Stipend Payments
616400	Accrued Leave Severance Pay
619000	Payroll and Employee Benefits Accrual
620100	Employees' Retirement - State Contribution
620200	Retirement: State Police Troopers (hired after 7-1
620300	Retirement: Judges (hired after 12-31-89)
620400	Retirement: Teachers
620700	Retirement: Laborers' International Union of North
621110	Social Security (FICA) Old Age, Sickness and Disab
621120	Medicare (FICA) Hospital Insurance Tax
621130	FICA on Severance Pay
621600	Retirement: Federal Retirement System
624110	Employer Cost of Employee Medical Insurance
624120	Employer Cost of Employee Dental Insurance

RIFANS

Natural

624130	Employer Cost of Employee Vision Insurance
624140	Employer Cost of Employee Prescription Insurance
624210	Medical Care Insurance - Retirees
624230	Vision Care Insurance - Retirees
624300	Health Insurance - Retired Employees (1986 Window)
624400	Insurance Settlement Account
624500	Disability Insurance (TIAA)
624600	Life Insurance
625100	Workers' Compensation Payments - Regular Cases
625200	Workers' Compensation Payments - Assault Cases
625300	Workers' Compensation Payments - Specific Injury
625310	Workers' Compensation Payments - Lump Sum
625320	Injured Workers' Incentive Payments
625400	Workers' Compensation Payments - Weekly Payments
625410	Workers' Compensation Payments - Dependency
625420	Workers' Compensation Payments - Post Maximum
625500	Workers' Compensation Payments - Hospital Charges/
625510	Workers' Compensation Payments - Medicine, Drugs,
625520	Workers' Compensation Payments - Alternative Care
625600	Administrative Costs - Worker's Compensation Divis
625700	Workers' Compensation - Attorney and Witness Fees
625800	Workers' Compensation - Practitioners Charges
626100	Assessed Fringe Benefits Fund Assessment
626200	Unemployment Compensation - State Employees
626300	Retiree Health Insurance
626400	Miscellaneous Benefits

Contracted Professional Services:

Expenses include non-personnel expenditures for all consulting and professional services received by State Government.

RIFANS

Natural

631001	Financial Services
631010	Financial Services: Accounting/Auditing
631020	Financial Services: Investment/Banking
631030	Financial Services: Actuary
631040	Financial Services: Economists
631050	Financial Services: Other
631100	Public Relations Services
631200	Management Consultants
632001	Information Technology Services

RIFANS

Natural

635140	Legal Services: Expert Witnesses
635150	Legal Services: General/Other
635200	Constable Services/Process Servers
636001	Medical Services (non-client based)
636100	Doctors, Specialists, Medical Consultants, Dentist
636200	Hospital Treatment
636300	Veterinary Services
636400	Laboratory Testing, X-Rays, MRI's
636500	Nursing/Convalescent Care and/or Treatment

Definition of Categories of Expenditures

632140	Information Technology: Programming	636600	Other Medical Services
632150	Information Technology: System Design	637001	Temporary Services
632160	Information Technology: System Support	637100	Clerical Services
632170	Information Technology: Database Administration	637200	Stenographic Services for Court or Public Hearings
632180	Information Technology Services: General	637210	Rental / Lease RI CNV Center
633001	Training and Educational Services	637300	Other Temporary Services
633100	Training and Educational Services	638001	Buildings and Groundskeeping Services
633200	Seminars and Conferences	638100	Cleaning of Buildings/Offices (Janitorial Services
633300	Lecturers and Training Consultants	638200	Extermination Services
634001	Design, Engineering, Surveying and Environmental S	638300	Lawn Maintenance and Groundskeeping
634100	Engineering Services	638400	Other Building and Grounds Services
634200	Architectural Services	639001	Other Contracted Professional Services
634300	Surveyor Services	639100	Honorariums
634400	Environmental Services	639200	Interpreters/Translators
634500	Other Design, Engineering, Survey and Environ. Ser	639300	Appraisers/Title Examiners
634510	Fuel: Oil #1 - Kerosene	639400	Records Management
635001	Legal Services	639500	Security Services
635110	Legal Services: Special Counsel	639600	Fire Protection Services
635120	Legal Services: Contracts	639700	University/College Services
635130	Legal Services: Labor Relations	639800	Communications and Media Related Services

Operating Supplies and Expenses:

Expenses include non-personnel expenditures for operations of state government including maintenance and non-fixed equipment (capital outlay). One exception is the treatment of medicine and drug expenditures for the pharmaceutical assistance program, which are reflected as grants and benefits.

RIFANS Natural

640001	Building-Relating Maintenance and Repairs
640100	Building Maintenance and Repairs
640200	Grounds Maintenance
640300	Energy Conservation Expenses
640400	Waste Disposal
641001	Non-Building Related Maintenance and Repairs
641100	Maintenance/Repairs: Vehicles
641200	Maintenance/Repairs: Computer Equipment
641300	Agreemen
641400	Maintenance/Repairs: Office Equipment
641500	Maintenance/Repairs: Medical Equipment
641600	Maintenance/Repairs: Other Equipment
642001	Roads/Bridges/Parking Lot Expenses
642100	Snowplowing and Sanding Expenses
642200	Road Maintenance and Repairs Expenses
642300	Striping Expenses
642400	Safety Expenses
642500	Paving Supplies/Expenses
642600	Signage/Sign Painting/Lettering
643010	Clothing and Accessories
643011	Staff Clothing, Uniforms, and Clothing Accessories
643012	Client Clothing, Uniforms and Clothing Accessories
643020	Linen and Laundry Expenses
643021	Central Laundry (MHRH ISF - for ISF use only)
643030	Food
643110	Office Supplies and Equipment (less than \$5000)

RIFANS Natural

644120	Fuel: Oil #2 - Home Heating Oil
644130	Fuel: Oil #4
644140	Fuel: Oil #6 - Bunker 'C'
644200	Fuel: Coal (used for heating purposes)
644300	Fuel: Natural Gas (used for heating purposes)
644400	Fuel: Gasoline/Diesel Fuel
644510	Electricity - Direct Payments to Utilities
644520	Electricity - Central Utilities Fund (for ISF use)
644521	Electricity - Payments to Utilities
644522	ISF Overhead/Service Charges
644600	Steam
644700	Water - Expenditures for Water Consumption
644800	Sewer Use Charges
645100	Rental: Clothing and Linens
645200	Rental/Lease: Equipment
645300	Rental/Lease: Property
645310	Rental of Outside Property
645320	Rental of State Owned Property
645400	Rental/Lease: Vehicles
645510	Lease Financing - Principal
645520	Lease Financing - Interest
646100	Expenses for Travel and Transportation of State Wa
646200	Mileage Allowance - Personally Owned Vehicles
646301	Out-of-State Travel
646310	Out-of-State Travel: Transportation
646320	Out-of-State Travel: Lodging

Definition of Categories of Expenditures

643120	Computer Supplies and Software and Equipment (less	646330	Out-of-State Travel: Registrations
643130	Janitorial Supplies	646340	Out-of-State Travel: Other
643140	Kitchen/Household Supplies and Equipment (less tha	646400	Other Travel-related Costs
643150	Program Supplies and Equipment (less than \$5000)	647100	Information Technology Charges (DOIT ISF)
643160	Security/Safety Supplies	647110	ISF Overhead/Service Charges
643170	Military Supplies	647120	Direct Services (Programming, etc.)
643180	Building/Plant/Machinery Supplies and Equipment	647130	Seat License (flat fee per staff member)
643190	Landscaping Supplies and Equipment (less than \$500	647140	Maintenance Contracts
643200	Dues and Fees	647150	Computer Replacement
643300	Subscriptions	647160	Hardware
643401	Postal, Freight and Delivery Services	647200	Human Resources Service Centers
643410	Postage and Postal Services	647300	Facilities Management Charges (Facilities ISF)
643420	Express Delivery	647310	ISF Overhead/Service Charges
643430	Freight	647320	Lease Payments (based on square footage)
643440	Central Mail Processing ISF (for ISF use only)	647330	Special Services
643441	Postage Charges	647401	State Fleet (ISF)
643442	ISF Overhead/Service Charges	647600	Legal Services (Central Legal Office - for central
643500	Records Storage/Retrieval Costs (Records Center Ch	647700	Correctional Industries (for ISF use only)
643510	Records Storage and Retrieval Costs	647800	Central Warehouse (DOC - for ISF use only)
643520	ISF Overhead/Service Charges	647900	Internal Agency IT Charges
643610	Advertising	648100	Telephone and Telegraph Services
643611	Print Advertising	648110	Central Telephone Services (CENTREX)
643612	Radio Advertising	648111	Telephone Charges
643613	Television Advertising	648112	ISF Overhead/Service Charges
643614	Other Advertising	648200	Telephone - Cellular and Mobile
643615	Agent's Materials - Lottery	648300	Pager (Beeper) Systems
643620	Printing - Outside Vendors	648500	Maintenance/Repairs: Communication Systems
643621	Printing Services Provided by State Agencies	649110	Fees: Notary Public
643700	Miscellaneous Expenses	649120	Fees: Single Audit
643710	Staff Training	649130	Fees: Bonds and Notes (Cost of Issuance)
643720	Lottery Commission Payments	649140	Fees: Food Stamp Transaction Costs
643801	Insurance	649150	Fees: Credit Card Processing Fee
643810	Insurance: Property/Casualty/Liability	649160	Fees: Miscellaneous
643820	Insurance: Professional and Occupational	649320	Interest: Late Payments
643910	Pharmaceuticals	649330	Interest: Earnings
643920	Medical Supplies (non-Rx)	649400	Refunds, Bad Debt and Other Non-Expense Items
643930	Central Pharmacy (MHRH ISF - for ISF use only)	649500	Indirect Cost Assessments on Federal Accounts
643931	Pharmaceuticals	649600	Inventory Purchases for Internal Service Funds (Rot
643932	Medical Supplies (non-Rx)	649700	Retiree Health Subsidy
643933	ISF Overhead/Service Charges	649800	Rate Reimbursement Charge
644101	Fuel: Oil		

Assistance and Grants:

Includes all grants and benefits to individuals or organizations without taxing authority, either direct or through reimbursements to cities and towns for public assistance, and grants to other entities, such as private non-profit providers. Certain retirement pensions for retired employees are also included. This category includes expenditures in the medicaid program for services and supplies, direct public assistance and payments for client services in the human service agencies.

RIFANS

Natural

651101	Direct Public Assistance
651110	Public Assistance: Medical
651120	Public Assistance: Subsistence
651201	Indirect Public Assistance

RIFANS

Natural

653210	Dental Services
653220	Nursing/Convalescent Services
653230	Veterinary Services
653240	Disability Determination

Definition of Categories of Expenditures

651210	Payment of Provider Assessment	653250	Outpatient Services
651220	Interfund Transfer/Provider Assessment	653260	Inpatient Services
651230	Taxable Medicaid Payments via MMIS	653270	Testing Services
651240	Non-Taxable Medicaid Payments via MMIS	653280	Habilitative/Rehabilitative Services
651250	Subsidy Programs: State Dependents and Delinquents	653290	Counseling Services
651260	Subsidy Program: RI Pharmaceutical Assistance	653301	Legal Services for Clients
652110	Retirement Pensions: State Police	653310	Guardian Ad Litem Services
652120	Pensions: Judges	653320	Defense of Indigents
652130	Retirement Pensions: Teachers	654110	Legislative Grants (Letters of Intent)
652140	Retirement Pensions: Municipal Police and Fire Pen	654120	Other Grants
652150	Supplemental Pension - Early Retirement (83H-5149,	654200	Public Finance of Election Campaigns
653101	Client Services	654300	Non-State SDA Payments (Dept. of Labor and Training)
653110	Social Services for Clients	654400	Non-Taxable Claims, Settlements, Judgements
653120	Substance Abuse Services	654500	Taxable Claims, Settlements, Judgements and Torts
653130	Education Services		
653201	Medical Services for Clients		

Capital Purchases and Equipment:

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds

RIFANS

Natural

660001	Capital Budget (RICAP and Bond Accounts)
660010	Building Renovations and repairs
660020	Plumbing Renovations and repairs
660030	Electrical Renovations and repairs
660040	Land Improvements
660050	Architectural and Engineering Services
660095	Other
660101	Lawn, Landscape and Grounds Maintenance Equipment
661101	Land
661131	Non-Depreciable Land Improvements
661141	Depreciable Land Improvements
661201	Buildings and Other Structures
661202	Architecttiture and Engineering - Capital Improveme
661211	Building Renovations and Improvements - Minimum \$2
661221	Leasehold Improvements - Minmum \$250,000
661231	Historic Buildings

RIFANS

Natural

661241	For Accounts and Control Use Only
661251	Buildings and Other Structures (Less than \$250,000(
661302	Construction in Progress
661351	Architecture and Engineering - CIP
661361	Consultant Services - Computer Systems Development
661402	Works of Art, Historic Treasures and Memorabilia
661501	Motor Vehicles
661521	Trailers
661522	Heavy Equipment
661601	Aircraft, Boats and Related Equipment
661605	Furniture and Equipment
661701	Computer Equipment (\$500 to \$4,999)
661801	Land Rights/Development of Rights/Rights of Way
661821	Computer User Licenses (\$1,000,000 or more)
661910	Infrastructure (DOT Use Only)
662150	Capital Lease

Aid to Local Units of Government

Includes expenditure codes for building renovations and repairs, land acquisition, construction in progress, motor vehicle and equipment purchases, computer equipment and leaseholds.

671100	Community Aid	671120	Pass-Thru Aid
671110	Appropriated Aid	671200	Education Aid

Debt Service:

Includes fixed chqarges for principal and interest on general obligation bonds, revenue bonds, bonds of the colleges and universities and all other state borrowing

681100	Principal: General Obligation: Serial Bonds	682100	Interest: Interest: Serial Bonds
681200	Principal: General Obligation: CABS	682200	Interest: Interest: CABS

Definition of Categories of Expenditures

681300	Principal: General Obligation: Revenue Bonds - RIR	682300	Interest: Interest: Revenue Bonds - RIRBA
681400	Principal: College/University Debt Service	682400	Interest: College/University Debt Service
681500	Principal: Certificates of Participation	682500	Interest: Certificates of Participation
681600	Principal: Short Term Borrowing	682600	Interest: Short Term Borrowing
681700	Principal: Non-G.O. Debt Service	682700	Interest: Non-G.O. Debt Service
		682800	Accreted Interest: Other Debt Service Charges

Operating Transfers and Other Financing Uses:

Reflects the transfer of funds between different funds and to component units of state government.

RIFANS

Natural

691000	Operating Transfers
691110	Operating Transfers Out to: General Fund
691120	Operating Transfers Out to: ISTEAFund
691140	Operating Transfers Out to: TDI Fund
691150	Operating Transfers Out to: Permanent School Fund
691160	Operating Transfers Out to: Debt Service Fund
691170	Operating Transfers Out to: Bond Capital Fund
691180	Operating Transfers Out to: Clean Water Trust Fund
691190	Operating Transfers Out to: COPS Fund
691200	Operating Transfers Out to: Lottery Fund
691210	Operating Transfers Out to: Correctional Industries
691220	Operating Transfers Out to: ERS Fund
691230	Operating Transfers Out to: State Police Retirement
691240	Operating Transfers Out to: Judicial Retirement
691250	Operating Transfers Out to: MERS Fund
691260	Operating Transfers Out to: Employment Security
691280	Operating Transfers Out to: Economic Policy Council
691290	Operating Transfers Out to: Convention Center Authority
691300	Operating Transfers Out to: GARVEE Fund
691310	Operating Transfers Out to: Assessed Fringe Benefits
691320	Operating Transfers Out to: Tobacco Trust Fund

RIFANS

Natural

691330	Operating Transfers Out to: Tobacco Settlement
691340	Operating Transfers Out to: Surplus Property Fund
692110	Operating Transfers to: URI
692120	Operating Transfers to: RIC
692130	Operating Transfers to: CCRI
692140	Operating Transfers to: Central Falls School District
692150	Operating Transfers to: Economic Development Corp.
692170	Operating Transfers to: Higher Education Assistance
692180	Operating Transfers to: RIPTA
692190	Operating Transfers to: RI Public Telecommunications
692200	Operating Transfers to: RI Children's Crusade for
692210	Operating Transfers to: Narragansett Bay Commission
692220	Operating Transfers to: RIHMFC
692230	Operating Transfers to: RICWFA
692250	Operating Transfers to: RIAC
692260	Operating Transfers To: RI Water Resources Board
692270	Operating Transfers to: Quonset Development Corp
692280	Operating Transfers to: RI Resource Recovery Corp
699100	Payments to Bond Refunding Escrow Agent
699400	Unemployment Insurance Paid to Other States
699999	Loss on Disposition of Capital Assets

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Glossary of Budget Terms

Glossary of Budget Terms

Actual Expenditures: Amounts certified by the Division of Accounts and Control that have been spent in past fiscal periods. Actual expenditures are distinguished from budgeted amounts for incomplete fiscal periods which are planned or projected expenditures. Actual expenditures for the prior fiscal year are based upon the State Controller's preliminary closing and do not reflect any post-audit adjustments.

Appropriation: An act of the General Assembly authorizing expenditures of designated amounts of public funds for specific state budget programs within a state fiscal year. In recent appropriation acts, appropriations are equated with line items within the act.

Federal Funds: Amounts collected and made available to the state usually in the form of categorical or block grants and entitlements.

Fiscal Note: An estimate of the fiscal impact of legislative bills either drafted, introduced, or at a later stage of consideration.

Fiscal Year (FY): A twelve-month state accounting period, which varies from a calendar year and federal fiscal year. The fiscal year for Rhode Island State government begins on July 1 and ends on June 30. The federal fiscal year begins on October 1 and ends on September 30.

Five-Year Forecast: Financial projections of anticipated revenues and expenditures, including detail of principal revenue sources and expenditures by major program areas over five fiscal years. Such projections are required by state law to be included in the Governor's annual budget submitted to the General Assembly.

FTE Authorization: The number of full-time equivalent positions allowed to each agency and department as set forth in the Appropriation Act or the Supplemental Appropriation Act passed by the General Assembly. Departments and agencies are expected to keep within these FTE caps. Should an adjustment to the full time equivalent positions authorized for a department or agency be required for an urgent reason, a change in the FTE cap may be authorized with the approval of the Governor, the Speaker of the House and Senate Majority Leader.

Full-Time Equivalent Positions (FTE): A personnel measurement of the workforce, which constitutes a common denominator between full and part-time employment. To illustrate, an employee working full-time is counted as 1.0 full time equivalent position while an employee working half-time would be counted as a 0.5 full time equivalent position. If a state agency hired these two employees only, the total number of full-time equivalent positions for that agency would be 1.5. For a technical description of the part-time positions included in the full-time equivalent position count, see the glossary in the Personnel Supplement.

Fund Balance: See general fund free surplus.

General Fund: The operating fund of the State, to which all revenues not specifically directed by statute to another fund, is deposited. This includes state general revenue, federal, restricted, and other funds, which support state operations.

General Fund Free Surplus: The undesignated fund balance, which is available for appropriation and expenditures in subsequent fiscal years.

Glossary of Budget Terms

General Revenues: State tax and departmental receipts which are credited for discretionary appropriation as distinguished from receipts collected for restricted purposes and federal grants.

Internal Service Program: A program that aggregates certain services needed by state operated programs and activities, which are provided on a centralized basis. The costs of these services are borne by the user agencies through a charge system, which allocates the cost of delivery of the goods or services. The operations of this program are shown in the state budget for display purposes only, since the costs are reflected in the budgets of the user agencies both on an actual and budget basis.

Medical Assistance and Public Assistance Caseload Estimating Conference: Similar to the Revenue Estimating Conference, it adopts consensus welfare and medical assistance caseload estimates. It consists of the Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor who meet in May and November of each year.

Modified Accrual: The basis upon which the Rhode Island state budget is prepared wherein the obligations of the state are classified as expenditures if the goods and/or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30th of that year. Revenues are estimated by the members of the Revenue Estimating Conference on the same basis as the financial statements are prepared, which is also a modified accrual basis.

Operating Deficit: The amount by which the state's current revenues from general revenue sources is less than its expenditures for a fiscal year. The operating deficit calculation excludes any beginning year surplus.

Operating Surplus: The amount by which the state's current revenues from general revenue sources exceed its expenditures for a fiscal year. The operating surplus calculation excludes any beginning year surplus which may be available to fund expenditures.

Other Funds: Resources that are expended in the budget, which are not considered general revenues, restricted receipts, or federal grants are identified as "Other Funds". This includes, for example tuition and fees at the University and Colleges and the dedicated gas tax in the ISTEAFund.

Program Measure: A management tool that measures empirically the outcome of a budget program's efforts to achieve an objective. The outcome is measured against a standard established by each agency and department and should indicate not merely what a program does but how well it does it.

Purchased Services: Contracts with non-state entities providing services for state agencies consistent with their objectives. The contract could be established between a state agency and a private person, firm or non-profit agency or it could be established with another governmental agency such as a federal agency.

Glossary of Budget Terms

Reappropriation: The authority of the Governor in accordance with Section 35-3-15 of the General Laws, to reappropriate funds for the same purpose which are unexpended at the close of the fiscal year. All changes are reflected in the supplemental appropriations act.

Restricted Receipts: State receipts which are collected to be expended for purposes confined to those specified in the General Laws of the State.

Revenue Estimating Conference: A three-person forecasting committee whose objective is to reach consensus on state revenue projections that are used as a basis for the state budget. The Committee consists of the State Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor. This Committee is required, by law, to meet in November and May of each year.

Quasi-Public Agency: An agency, the legal basis for which is established in state law but which nevertheless, enjoys a degree of independence from state government in its governance and policy making.

Subprogram: Two or more integral components of a budget program that can be separately analyzed to get a better understanding of the program.

Supplemental Appropriation: An act of the General Assembly appropriating additional funds beyond the amounts allocated in the original appropriation act to state agencies that are expected to incur a deficiency. In practice, supplemental requests are expected to identify any proposed decreases to the original amounts appropriated as well as proposed increases. (See 35-3-8. of the Rhode General Laws). Supplemental appropriations provide additional budget authority beyond the original estimates for budget programs (including new programs authorized after the date of the original appropriation act) where the need for funds is too urgent to be postponed until enactment of the appropriation bill for the next fiscal year.

Technical Appendix: A budget document that provides detailed information in support of the data reflected in *The Budget* and the *Executive Summary*. The allocations for each appropriation account are listed for a convenient reference. Detailed descriptions of the program measures used by the various agencies and departments are also provided.

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