

Department of Business Regulation

Agency Summary

	FY 2006		FY 2007	
	<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Distribution by Category				
Classified	96.0	5,699,736	94.0	5,755,217
Unclassified	14.0	930,044	14.0	974,748
Turnover		(429,083)		(252,500)
Total Salaries	110.0	\$6,200,697	108.0	\$6,477,465
Benefits				
Retirement		1,062,961		1,352,897
Medical		1,016,459		1,087,290
Medical Benefits Salary Disbursemen		16,016		16,016
FICA		471,064		503,552
Payroll Accrual		30,509		32,439
Total Salaries and Benefits	110.0	\$8,797,706	108.0	\$9,469,659
Cost Per FTE Position		79,979		87,682
Temporary and Seasonal		103,975		104,173
Statewide Benefit Assessment		238,324		250,362
Retroactive Payment		80,140		-
Payroll Costs	110.0	\$9,220,145	108.0	\$9,824,194
Purchased Services				
Security Services		2,400		2,400
Legal Services		9,600		9,600
Management/Audit Services		575,300		615,300
Special Clerical Services		8,650		1,800
Miscellaneous Special Services		3,615		3,615
Total		\$599,565		\$632,715
Total Personnel	110.0	\$9,819,710	108.0	\$10,456,909

Department of Business Regulation

Agency Summary

	<u>FY 2006</u>		<u>FY 2007</u>	
	<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Distribution by Source of Funds				
General Revenue	108.0	9,128,694	106.0	9,754,631
Restricted Receipts	2.0	691,016	2.0	702,278
Total: All Funds	110.0	\$9,819,710	108.0	\$10,456,909

Department of Business Regulation

Central Management

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Classified					
Deputy Director	0144 A	1.0	116,629	-	- ⁽¹⁾
Chief of Legal Services	0139 A	1.0	80,062	1.0	82,464
Deputy Chief of Legal Services	0137 A	2.0	149,640	2.0	154,130
Senior Legal Counsel	0134 A	1.0	59,148	1.0	63,502
Department Budget Administrator	0134 A	1.0	62,039	1.0	69,048
Human Resource Analyst III (General)	0133 A	1.0	70,147		- ⁽²⁾
Systems Analyst	0124 A	1.0	48,730	1.0	51,870
Licensing Aide - Bus. Reg.	0015 A	1.0	37,926	1.0	39,044
Paralegal Aide	0014 A	1.0	33,243	1.0	34,240
Subtotal		10.0	\$657,564	8.0	\$494,298
Unclassified					
Director, Dept. of Business Regulation	0945 K	1.0	109,888	1.0	113,185
Executive Counsel	0839 A	1.0	84,221	1.0	86,748
Principal Planning & Program Specialist	0828 A	1.0	57,633	1.0	59,363
Administrative Officer	0822 A	1.0	49,788	1.0	51,496
Executive Secretary	0821 A	1.0	40,507	1.0	41,722
Subtotal		5.0	342,037	5.0	352,514
Turnover			(39,996)		(25,820)
Cost Allocations to Other Programs			(2,057)		(2,343) ⁽⁹⁾
Total Salaries		15.0	\$957,548	13.0	\$818,649
Benefits					
Retirement			163,641		171,205
Medical			134,372		126,267
Medical Benefits Salary Disbursement			2,002		2,002
FICA			70,890		62,590
Payroll Accrual			4,707		4,085
Total Salaries and Benefits		15.0	\$1,333,160	13.0	\$1,184,798

Department of Business Regulation

Central Management

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Cost Per FTE Position			88,877		91,138
Statewide Benefit Assessment			36,384		31,090
Retroactive Payment			7,667		-
Payroll Costs		15.0	\$1,377,211	13.0	\$1,215,888
Purchased Services					
Security Services			2,400		2,400
Special Clerical Services			6,950		100
Miscellaneous Special Services			1,915		1,915
Total			\$11,265		\$4,415
Total Personnel		15.0	\$1,388,476	13.0	\$1,220,303
Distribution by Source of Funds					
General Revenue		15.0	1,388,476	13.0	1,220,303
Total: All Funds		15.0	\$1,388,476	13.0	\$1,220,303

Department of Business Regulation

Banking Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u> ⁽³⁾	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Classified					
Assoc Dir. & Superintendent of Banking	0141 A	1.0	101,633		
State Chief Bank Examiner	0139 A	1.0	92,367		
Supervisor of Examinations	0037 A	1.0	89,370		
Assistant Supervisor of Examinations	0035 A	4.0	309,542		
Principal License Exam-Banking	0031 A	2.0	131,919		
Principal Bank Examiner	0031 A	1.0	64,155		
Senior Bank Examiner	0028 A	2.0	101,591		
Bank Examiner	0024 A	3.0	127,118		
Systems Analyst	0024 A	1.0	52,316		
Licensing Aide-Business Regulation	0015 A	1.0	35,663		
Subtotal		17.0	\$1,105,674	0.0	\$0
Unclassified					
Administrative Officer	0822 A	1.0	52,358		
Turnover			(9,203)		
Total Salaries		18.0	\$1,148,829	0.0	\$0
Benefits					
Retirement			196,335		
Medical			171,037		
Medical Benefits Salary Disbursement			6,006		
FICA			87,370		
Payroll Accrual			5,623		
Total Salaries and Benefits		18.0	\$1,615,200	0.0	\$0
Cost Per FTE Position			89,733		
Statewide Benefit Assessment			43,656		
Retroactive Payment			16,892		
Payroll Costs		18.0	\$1,675,748	0.0	\$0

Department of Business Regulation

Banking Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u> ⁽³⁾	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Purchased Services					
Special Clerical Services			900		
Miscellaneous Special Services			700		
Total			\$1,600		\$0
Total Personnel		18.0	\$1,677,348	0.0	\$0
Distribution by Source of Funds					
General Revenue		18.0	1,677,348		
Total: All Funds		18.0	\$1,677,348	0.0	\$0

Department of Business Regulation

Securities Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u> ⁽⁴⁾	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Classified					
Assoc. Dir. & Superintendent of Securities	0141 A	1.0	96,621		
Chief Securities Examiner	0137 A	1.0	75,866		
Securities Examiner	0024 A	5.0	218,989		
Assistant Administrative Officer	0021 A	1.0	46,529		
Licensing Aide-Business Regulation	0015 A	2.0	70,933		
Subtotal		10.0	\$508,938	0.0	\$0
Turnover			(7,680)		
Total Salaries		10.0	\$501,258	0.0	\$0
Benefits					
Retirement			85,668		
Medical			104,360		
Medical Benefits Salary Disbursement			-		
FICA			38,141		
Other			-		
Payroll Accrual			2,454		
Total Salaries and Benefits		10.0	\$731,881	0.0	\$0
Cost Per FTE Position			73,188		
Statewide Benefit Assessment			19,047		
Retroactive Payment			6,151		
Payroll Costs		10.0	\$757,079	0.0	\$0
Purchased Services					
Special Clerical Services			-		
Miscellaneous Special Services			500		
Total			\$500		\$0
Total Personnel		10.0	\$757,579	0.0	\$0

Department of Business Regulation

Securities Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u> ⁽⁴⁾	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Distribution by Source of Funds					
General Revenue		10.0	757,579		
Total: All Funds		10.0	\$757,579	0.0	\$0

Department of Business Regulation

Banking and Securities Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u> ⁽⁵⁾	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Classified					
Assoc Dir. & Superintendent of Banking	0141 A			1.0	104,658
Assoc. Dir. & Superintendent of Securities	0141 A			1.0	99,520
State Chief Bank Examiner	0139 A			1.0	95,112
Supervisor of Examinations	0037 A			1.0	91,943
Chief Securities Examiner	0137 A			1.0	80,697
Assistant Supervisor of Examinations	0035 A			4.0	324,096
Principal License Exam-Banking	0031 A			2.0	135,733
Principal Bank Examiner	0031 A			1.0	66,666
Senior Bank Examiner	0028 A			2.0	109,556
Bank Examiner	0024 A			3.0	133,478
Systems Analyst	0024 A			1.0	53,875
Securities Examiner	0024 A			5.0	229,517
Assistant Administrative Officer	0021 A			1.0	47,918
Licensing Aide	0015 A			2.0	74,133
Licensing Aide-Business Regulation	0015 A			1.0	36,862
Subtotal		0.0	\$0	27.0	\$1,683,764
Unclassified					
Administrative Officer	0822 A			1.0	53,917
Turnover					(44,488)
Total Salaries		0.0	\$0	28.0	\$1,693,193
Benefits					
Retirement					352,356
Medical					284,251
Medical Benefits Salary Disbursement					6,006
FICA					129,529
Payroll Accrual					8,455
Total Salaries and Benefits		0.0	\$0	28.0	\$2,473,790
Cost Per FTE Position					88,350
Statewide Benefit Assessment					64,340

Department of Business Regulation

Banking and Securities Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u> ⁽⁵⁾	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Retroactive Payment					-
Payroll Costs		0.0	\$0	28.0	\$2,538,130
Purchased Services					
Special Clerical Services					900
Miscellaneous Special Services					1,200
Total			\$0		\$2,100
Total Personnel		0.0	\$0	28.0	\$2,540,230
Distribution by Source of Funds					
General Revenue				28.0	2,540,230
Total: All Funds		0.0	\$0	28.0	\$2,540,230

Department of Business Regulation

Commercial Licensing and Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>		(6)
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>	
Classified						
Associate Dir., Division of Comm. Lic & Reg	0141 A	1.0	102,613			
Administrator - Real Estate	0135 A	1.0	79,941			
Chief License Examiner Div Comm Lic & Reg	0033 A	1.0	74,097			
Chief, Auto Body/Wrecking & Salvage Oper	0033 A	1.0	71,650			
Chief Public Protection Inspector	0132 A	1.0	71,601			
Systems Analyst	0124 A	1.0	38,597			
Licensing Aide-Business Regulation	0015 A	6.0	219,168			
Field Investigator - Real Estate	0016 A	1.0	33,720			
Subtotal		13.0	\$691,387	0.0	\$0	
Total Salaries		13.0	691,387	0.0	0.0	
Turnover			(48,454)			
Benefits						
Retirement			109,876			
Medical			131,075			
FICA			47,544			
Other			-			
Payroll Accrual			3,147			
Total Salaries and Benefits		13.0	\$934,575	0.0	\$0	
Cost Per FTE Position			71,890			
Statewide Benefit Assessment			24,432			
Retroactive Payment			7,489			
Payroll Costs		13.0	\$966,496	0.0	\$0	
Purchased Services						
Special Clerical Service			600			
Miscellaneous Special Services			400			
Total			\$1,000		\$0	

Department of Business Regulation

Commercial Licensing and Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>		⁽⁶⁾
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>	
Total Personnel		13.0	\$967,496	0.0	\$0	
Distribution by Source of Funds						
General Revenue		13.0	967,496			
Total: All Funds		13.0	\$967,496	0.0	\$0	

Department of Business Regulation

Commercial Licensing and Racing and Athletics

Classified	<u>Grade</u>	FY 2006		FY 2007 ⁽⁷⁾	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Associate Dir., Division of Comm. Lic & Reg	0141 A			1.0	105,575
Administrator - Real Estate	0135 A			1.0	82,329
Chief Licensing Examiner Racing & Athletics	0133A			1.0	62,309
Chief License Examiner Div Comm Lic & Reg	0033 A			1.0	76,320
Chief, Auto Body/Wrecking & Salvage Oper	0033 A			1.0	76,157
Chief Public Protection Inspector	0132 A			1.0	73,732
Pari-Mutuel Operations Specialist	0326A			3.0	161,299
Systems Analyst	0124 A			1.0	41,005
Licensing Aide-Business Regulation	0015 A			6.0	227,652
Field Investigator - Real Estate	0016 A			1.0	35,653
Subtotal		0.0	\$0	17.0	\$942,031
Turnover					(17,156)
Benefits					
Retirement					196,465
Medical					197,224
FICA					78,779
Payroll Accrual					4,619
Total Salaries and Benefits		0.0	\$0	17.0	\$1,401,962
Cost Per FTE Position					54,404
Temporary and Seasonal					104,173
Statewide Benefit Assessment					39,104
Retroactive Payment					-
Payroll Costs		0.0	\$0	17.0	\$1,545,239
Purchased Services					
Special Clerical Service					800
Miscellaneous Special Services					500
Total			\$0		\$1,300

Department of Business Regulation

Commercial Licensing and Racing and Athletics

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Total Personnel		0.0	\$0	17.0	\$1,546,539
Distribution by Source of Funds					
General Revenue				17.0	1,546,539
Total: All Funds		0.0	\$0	17.0	\$1,546,539

(7)

Department of Business Regulation

Racing and Athletics

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>		(8)
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>	
Classified						
Chief Licensing Examiner Racing & Athletics	0133A	1.0	58,362			
Pari-Mutuel Operations Specialist	0326A	3.0	155,120			
Subtotal		4.0	\$213,482	0.0	\$0	
Turnover			(22,318)			
Benefits						
Retirement			36,670			
Medical			48,252			
FICA			22,582			
Payroll Accrual			935			
Total Salaries and Benefits		4.0	\$299,603	0.0	\$0	
Cost Per FTE Position			74,901			
Temporary and Seasonal			103,975			
Statewide Benefit Assessment			11,212			
Retroactive Payment			2,673			
Payroll Costs		4.0	\$417,463	0.0	\$0	
Purchased Services						
Special Clerical Service			200			
Miscellaneous Special Services			100			
Total			\$300		\$0	
Total Personnel		4.0	\$417,763	0.0	\$0	
Distribution by Source of Funds						
General Revenue		4.0	417,763			
Total: All Funds		4.0	\$417,763	0.0	\$0	

Department of Business Regulation

Insurance Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Classified					
Associate Director & Superintendent of Ins.	0141 A	1.0	100,571	1.0	103,945
Chief Insurance Examiner	0139 A	2.0	181,833	2.0	189,331
Chief, Life, Accident & Health Ins. Analyst	0137 A	1.0	86,706	1.0	89,271
Chief Property & Casualty Insurance Analyst	0137 A	1.0	85,226	1.0	87,738
Licensing Coord. (Ins Producers, Appr & Adj)	0128 A	1.0	53,919	1.0	56,226
Insurance Examiner-In-Charge	0036 A	7.0	551,248	7.0	580,512
Principal Market Conduct Examiner	0031 A	1.0	71,267	1.0	73,336
Principal Insurance Examiner	0031 A	2.0	118,040	2.0	125,666
Senior Insurance Rate Analyst	0031 A	3.0	200,737	3.0	210,411
Principal Licensing Insurance Examiner	0031 A	1.0	68,757	1.0	70,771
Principal Insurance Exam - EDP & Auto Sys	0031 A	1.0	65,250	1.0	68,769
Senior Market Conduct Examiner	0028 A	2.0	116,821	2.0	121,643
Senior Insurance Examiner	0028 A	4.0	229,445	4.0	238,825
Insurance Rate Analyst (Health)	0028 A	2.0	111,701	2.0	114,977
Administrative Officer	0024 A	1.0	52,316	1.0	53,875
Market Conduct Examiner	0024 A	1.0	38,454	1.0	40,866
Insurance Examiner	0021 A	2.0	79,208	2.0	84,032
Licensing Aide-Business Regulation	0015 A	5.0	166,524	5.0	174,057
Clerk Secretary	0016 A	1.0	38,157	1.0	39,564
Telephone Operator	0010 A	1.0	27,362	1.0	28,602
Subtotal		40.0	\$2,443,542	40.0	\$2,552,417
Unclassified					
Senior Field Auditor	0822 A	1.0	47,623	1.0	50,510
Accountant	0818 A	1.0	39,236	1.0	40,412
Administrative Secretary	0816 A	1.0	43,199	1.0	44,466
Health Insurance Commissioner	0854 A	1.0	148,749	1.0	158,242
Executive Asst for Policy and Program Review	0843 A	1.0	90,211	1.0	96,926
Administrative Officer	0822 A	1.0	38,169	1.0	40,577
Chief Business Office Manager	0833 A	1.0	59,783	1.0	63,826
Principal Policy Associate	0837 A	1.0	68,679	1.0	73,358
Subtotal		8.0	\$535,649	8.0	\$568,317
Turnover			(301,432)		(165,036)
Cost Allocation			2,057		2,343 ⁽¹⁰⁾
Total Salaries		48.0	\$2,679,816	48.0	\$2,958,041

Department of Business Regulation

Insurance Regulation

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Benefits					
Retirement			457,244		615,660
Medical			400,461		451,977
Medical Benefits Salary Disbursement			8,008		8,008
FICA			198,482		226,327
Payroll Accrual			13,256		14,867
Total Salaries and Benefits		48.0	\$3,757,267	48.0	\$4,274,880
Cost Per FTE Position			78,276		89,060
Statewide Benefit Assessment			100,586		112,685
Retroactive Payment			37,937		-
Payroll Costs		48.0	\$3,895,790	48.0	\$4,387,565
Purchased Services					
Legal Services			3,600		3,600
Management/Audit Services			575,300		615,300
Special Clerical Services					
Total			578,900		618,900
Total Personnel		48.0	\$4,474,690	48.0	\$5,006,465
Distribution by Source of Funds					
General Revenue		46.0	3,783,674	46.0	4,304,187
Restricted Receipts		2.0	691,016	2.0	702,278
Total: All Funds		48.0	\$4,474,690	48.0	\$5,006,465

Department of Business Regulation

Board of Accountancy

	<u>Grade</u>	<u>FY 2006</u>		<u>FY 2007</u>	
		<u>FTE</u>	<u>Cost</u>	<u>FTE</u>	<u>Cost</u>
Classified					
Administrative Officer	0822 A	1.0	45,459	1.0	46,823
Administrative Aide	0814 A	1.0	33,690	1.0	35,884
Subtotal		2.0	\$79,149	2.0	\$82,707
Total Salaries		2.0	\$79,149	2.0	\$82,707
Benefits					
Retirement			13,527		17,211
Medical			26,902		27,571
FICA			6,055		6,327
Payroll Accrual			387		413
Total Salaries and Benefits		2.0	\$126,020	2.0	\$134,229
Cost Per FTE Position			63,010		67,115
Statewide Benefit Assessment			3,007		3,143
Retroactive Payment			1,331		-
Payroll Costs		2.0	\$130,358	2.0	\$137,372
Purchased Services					
Legal Services			6,000		6,000
Total			\$6,000		\$6,000
Total Personnel		2.0	\$136,358	2.0	\$143,372
Distribution by Source of Funds					
General Revenue		2.0	136,358	2.0	143,372
Total: All Funds		2.0	\$136,358	2.0	\$143,372