

# **The Budget**



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# **Introduction**

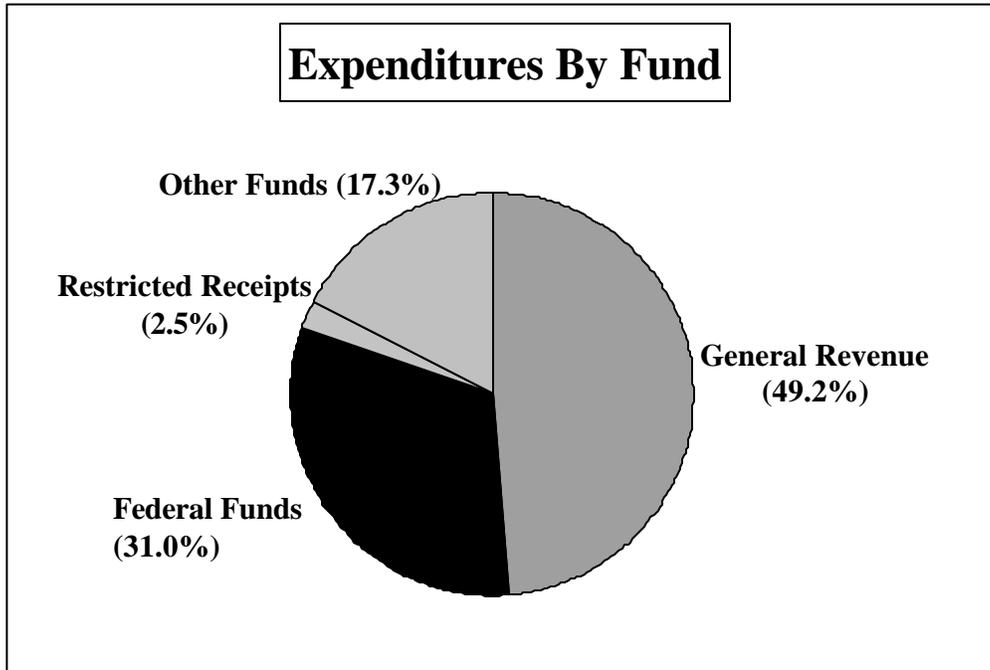


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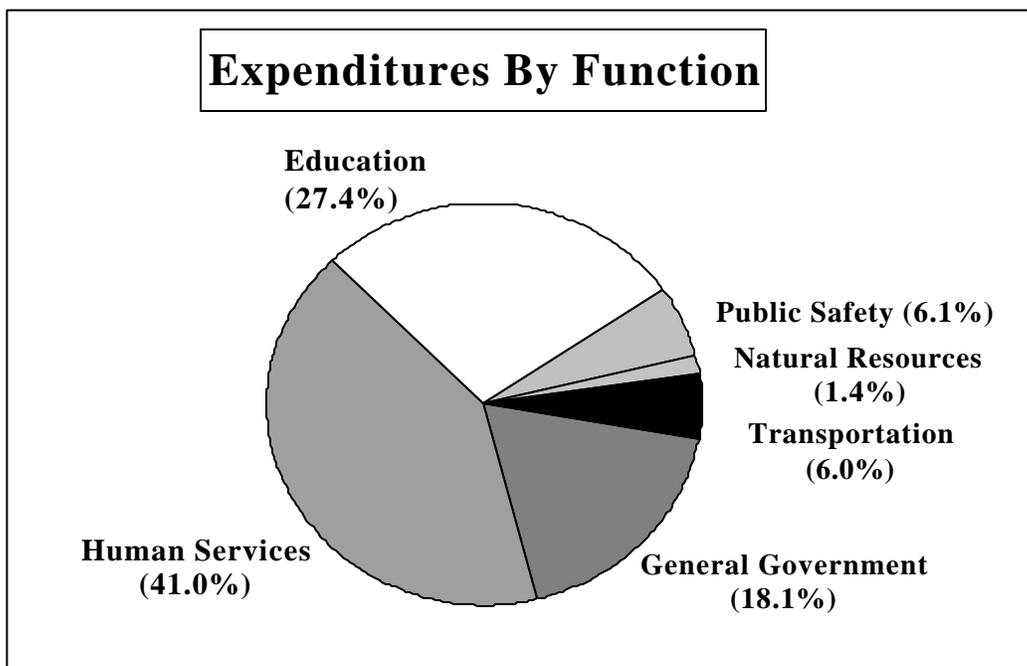
# Introduction

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All funds expenditures for FY 2005 are \$5.946 billion. Of this total, \$2.899 billion or 48.7 percent is from general revenue, \$1.876 billion, or 31.6 percent, from federal grant funds, \$1.047 billion, or 17.6 percent, from other sources, and \$123.4 million, or 2.1 percent, is from restricted or dedicated fee funds.



On a functional basis, the largest percentage of expenditures are made in the Human Services area, which comprise \$2.470 billion, or 41.5 percent of the total budget. This is followed by spending for Education of \$1.635 billion, which comprises 27.5 percent of all spending, and expenditures for General Government of \$1.092 billion, equaling 18.4 percent. Public Safety, Natural Resources and Transportation expenditures make up the balance, totaling \$748.9 million, or 12.6 percent of the total budget.

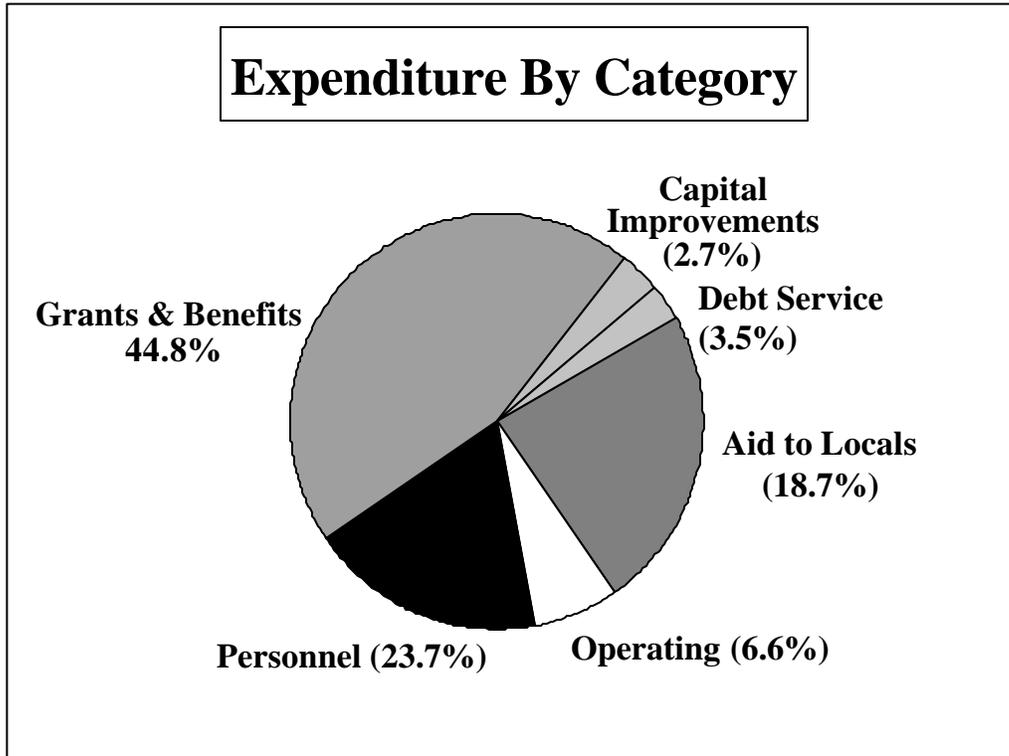


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## Introduction

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The second way to view expenditures is by major category. On this basis, the largest share of the budget goes towards assistance, grants and benefits and equals \$2.665 billion or 44.8 percent of the total. This is followed by personnel expenditures, which comprise 23.7 percent, or \$1.410 billion, and local aid expenditures, which make up 18.7 percent, or \$1.113 billion of the total budget. Expenditures for capital expenditures total \$368.2 million or 6.2 percent, with the balance of spending used to finance operating expenditures of \$391.1 million, or 6.6 percent of the total.



Expenditures from general revenue total \$2.899 billion for FY 2005. By function, spending by Human Services agencies represents the largest share with expenditures, totaling \$1.117 billion, or 38.5 percent of the budget. This is followed by spending for Education, which totals \$978.2 million, or 33.7 percent. General revenue expenditures for General Government and Public Safety comprise \$478.2 million (16.5 percent), and \$290.1 million (10.0 percent), respectively. Finally, expenditures from general revenues for Natural Resources comprise \$35.4 million, or 1.2 percent of total spending. Transportation expenditures are financed by dedicated gasoline taxes and are not a component of general revenue spending.

General revenue expenditures by category are primarily devoted to financing grants, local aid and personnel. Local aid expenditures of \$956.4 million comprise 33.0 percent of total expenditures, while grant based expenditures of \$956.4 also represents 33.0 percent of total spending. Personnel expenditures of \$743.1 million comprise 25.7 percent of the budget. Operations totals \$153.9 million, or 5.3 percent of the budget. Capital expenditures total \$87.2 million, or 3.0 percent of the total budget.

Governor Carcieri's FY 2005 Budget is the second volume in a six-volume set. The first volume, the *Executive Summary*, contains the summaries of revenue and expenditures on a statewide, functional, and departmental basis. The *Executive Summary* also presents statewide expenditure data by *category* or object of expenditure. This same data is presented in this document in more detail by program.

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# Introduction

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Specific recommendations for FY 2005 for the departments are presented in the *Executive Summary*, and further detail is provided in the *Technical Appendix*. The five-year financial projection is contained in the *Executive Summary* and is submitted concurrently with *The Budget* as provided by law.

*The Budget* provides an overview of state expenditures, as well as an in-depth presentation of the State Budget by program with special reports on Education Aid and State Aid to provide a historical perspective on these state expenditures. *The Budget* also contains a "Budget Primer" which is intended to assist the reader of the budget documents in understanding the budget process in Rhode Island.

The financial data presented for state agencies in *The Budget* for the past two actual fiscal years (FY 2002 and FY 2003) is generally derived from the appropriation accounting and receipt accounting files of the State Controller as of the time of year-end closing. To the extent possible, the controller's post closing adjustments have also been included. In the case of the accounts under the jurisdiction of the Board of Governor's of Higher Education, these columns reflect independently audited records.

The financial data for state agencies for the current fiscal year is from the enacted budget, modified in some cases to reflect recommended supplemental appropriations or withdrawals, revised expenditure estimates by category of expenditure or program, and revised estimates of federal grant awards or restricted receipts. In this document, the general revenue balance forward is included at the account level. The proposed changes to the enacted FY 2004 budget are included in the financial data by program for FY 2004. Totals and subtotals often appear to be inaccurate by small amounts or may disagree by small amounts with other budget and financial documents; this is due to differences in rounding procedures. The annual Appropriations Act is the absolute reference for state appropriation amounts.

*The Budget* also contains both narrative descriptions of the State's quasi-public agencies, authorities and entities, which are a component unit of state government for financial reporting purposes, and presents financial data provided by these entities. The Budget Office requests that quasi-public agencies and authorities submit information in the format used by the agency; no attempt is made to conform the financial presentation of the agencies data. In most cases, the FY 2004 and FY 2005 information has not been officially approved by the entities' governing bodies.

The *FY 2005 Budget* also continues to report performance measurements for most programs, as required by legislative mandate to develop performance measurements for use in the budget process. Measurements are reflected on each finance page of the *FY 2005 Budget* and are explained in detail in the *Technical Appendix*.

The *Personnel Supplement* contains information relating to personnel costs by program, which are included in the Governor's FY 2005 Budget. The *Budget as Enacted* will be prepared after final enactment by the 2004 General Assembly. The *Capital Budget* contains information on the Governor's recommended capital improvement plan, and contains individual project expenditures. *The Budget* generally contains the debt service component relating to capital improvements and any "pay as you go" capital, which is financed from current revenues.

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# The Budget Process: A Primer

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The purpose of this primer is to clarify the annual budget and appropriations process.

**Appropriation Process.** According to Article IX Section 16 of the Rhode Island Constitution, and the Rhode Island General Laws Section 35-3-7, the Governor must present spending recommendations to the Legislature. *The Budget* reflects expenditures for both the current and upcoming fiscal year and identifies the sources of financing for those expenditures.

On or before the third Wednesday in February, unless delayed by act of the Legislature, the Governor must submit to the General Assembly a budget containing a complete plan of estimated revenues and proposed expenditures, with a personnel supplement detailing number and titles of positions of each agency, and estimates of personnel costs for the next fiscal year.

The budget is proposed by the Governor and considered by the General Assembly, which may increase, decrease, alter, or strike out any item in the budget, provided that the action would not cause an excess of appropriations over anticipated revenue receipts. No appropriation in excess of budget recommendations may be made by the General Assembly unless it provides the necessary additional revenue to cover such appropriation. The Governor may veto legislative appropriations. The Legislature may override any veto by a two-thirds majority vote. Supplemental appropriations measures must be submitted by the Governor to the General Assembly on or before the second Tuesday in January. Supplemental appropriations by the General Assembly must also be supported by additional revenues.

The general laws of the state provide that if the General Assembly fails to pass the annual appropriation bill, amounts equal to those appropriated in the prior fiscal year shall be automatically available for expenditure, subject to monthly or quarterly allotments as determined by the Budget Officer. Expenditures for general obligation bond indebtedness of the state shall be made as required regardless of the passage of the annual budget or the amount provided for in the prior fiscal year.

**Fiscal Years.** It is important when reading the budget to consider which fiscal year is being discussed. The state *fiscal year* runs from July 1 to the following June 30 and is numbered for the calendar year in which it ends. The *current fiscal year* is the one which ends the coming June. The *actual fiscal years* are the years which concluded June of the two previous years. The *budget year* refers to the next fiscal year, which begins the July following the Legislature's adjournment. Finally, *out-year* refers to the years beyond the budget year.

By law, *The Budget* must also record two actual years of spending, as well as the Governor's revised spending recommendations for the current fiscal year, and the Governor's full recommendations for the budget year.

**Revenue Estimates and Caseload Estimates.** Receipt estimates for the current year and budget year are those adopted by the State Revenue Estimating Conference, as adjusted by any changes recommended by the Governor.

The State Revenue Estimating Conference was created by the 1990 General Assembly to provide the Governor and the Assembly with estimates of general revenues. It is composed of the State Budget Officer, the House Fiscal Advisor, and the Senate Fiscal Advisor, with the chair rotating between the three. It must meet no less than two times per year, in November and May, can be convened at any other

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# The Budget Process: A Primer

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time by call of any member, and must reach consensus on revenues. The 1991 Assembly created a Medical Assistance and Public Assistance Caseload Estimating Conference similar to the Revenue Estimating Conference to adopt cash assistance entitlement caseload estimates. The 1998 Assembly amended the Medical Assistance and Public Assistance Caseload Estimating Conference to estimate medical assistance expenditures, upon which the Governor's expenditures budget shall be based, and the appropriations by the assembly shall be made.

The consensus revenue estimate is the official revenue projection for general revenue. Estimates of revenues for federal funds, restricted receipts, and other funds are prepared by individual state agencies, reviewed by the Budget Office, and included in *The Budget*.

**Classification of State Spending.** The State of Rhode Island classifies state spending by function of government and by category of expenditure.

Function of government classifies expenditures by grouping agencies which make expenditures for similar programs and purposes. There are six functions of government defined in *The Budget*: General Government, Human Services, Education, Public Safety, Natural Resources, and Transportation.

The following explains the six functions of government included in *The Budget*.

*General Government* includes the administrative and regulatory functions of state government. Certain elected officials (Governor, Lieutenant Governor, General Treasurer, Secretary of State), the Legislature, and the Department of Administration are agencies that perform an administrative function. The Department of Business Regulation, the Department of Labor and Training, and the Public Utilities Commission are examples of agencies that perform a regulatory function.

*Human Services* is the function that provides services to individuals. Services provided include: the care of the disabled by the Department of Mental Health, Retardation and Hospitals; child protective and social services provided by the Department of Children, Youth and Families; health programs at the Department of Health and the Department of Human Services; financial assistance and social services provided by the Department of Human Services; and, pharmaceutical assistance and home health care at the Department of Elderly Affairs.

The *Education* function provides education services to Rhode Islanders. The State Board of Regents for Elementary and Secondary Education and the Board of Governors for Higher Education provide direct education services, while services provided by the Rhode Island Telecommunications Authority are indirect in nature.

*Public Safety* is the function that provides safety and security services to Rhode Island citizens. Agencies in this function include the Department of Corrections, the Judiciary, the Attorney General and various law enforcement agencies, including the State Police.

The *Natural Resources* function protects the natural and physical resources of the state and regulates the use of those resources. Agencies included in this function are the Department of Environmental Management, the Coastal Resources Management Council, and the Water Resources Board.

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# The Budget Process: A Primer

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*Transportation* includes the Department of Transportation, which is the only agency in this function. It is responsible for maintaining and constructing highways in Rhode Island, and for planning and financing all surface transportation modes.

Categories of expenditures classify expenditures by budgeting and accounting objects of expenditure: state operations; aid to local units of government; assistance, grants, and benefits; and capital. Objects of expenditures define how funds are encumbered and expended.

*State Operations* include expenses incurred while conducting the day-to-day business of state government. This category includes "personnel" and "operating." Personnel includes expenditures for salaries and wages, fringe benefits, consultant services, and workers' compensation costs. Operating expenses comprise non-personnel expenditures for operations of state government, including maintenance and non-fixed equipment (capital outlay).

*Aid to Local Units of Government* consists of payments made to governmental units which provide services at the local level, and in most cases have taxing authority. Education Aid to local school districts is an example.

*Other Assistance, Grants and Benefits* constitutes payments to individuals and agencies which are not governmental units. Drugs, medicine and nursing facilities for the medicaid programs, the pharmaceutical assistance program for the elderly, and cash assistance payments for Family Independence Program entitlements are a few examples.

*Capital* includes capital debt service and capital improvements. While the debt service component of capital improvements is reflected in the operating budget, the majority of capital improvements are found in the *Capital Budget*. Debt service includes payments on short term tax anticipation notes, long term general obligation bonds, Rhode Island Refunding Bond Authority lease payments (formerly Rhode Island Public Buildings Authority lease payments), certificates of participation payments for the Intake Service Center, Attorney General's administrative office, and Shepard's building and lease payments to the Convention Center Authority.

**State Employees.** A major part of the state operations category of expenditures is salary and wage payments to employees. Public service in state government is divided into the classified service, unclassified service and non-classified service. The classified service comprises all positions in state service, now existing or to be created, except as specifically provided under R.I.G.L. 36-4-2 for unclassified employees and R.I.G.L. 16-59-7 for Higher Education non-classified employees. The classified service is divided into a competitive branch and a non-competitive branch. Employees hired to fill positions in the classified service must be hired on the basis of merit and fitness and usually upon the basis of competitive examinations. The non-competitive branch includes positions that require the performance of routine tasks, or those that require licenses, certificates, or registrations. These employees are also promoted and discharged on the basis of rules and regulations established and administered by the Rhode Island merit system.

Certain positions are specifically designated for inclusion in the unclassified service. These positions are defined to include those in specific agencies, or types of agencies for specific purposes. Examples are employees of the Legislature, elected officials, and employees of the courts. Compensation for unclassified

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# The Budget Process: A Primer

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positions is governed by the Unclassified Pay Board and other matters are governed by rules and regulations of the unclassified system.

State service also includes special types of positions. In addition to regular full time positions, there are *seasonal* positions in the classified service. Such positions require the employee to work an irregular schedule such that the employee is on call when needed, or for only a portion of the year, and only for a maximum of 925 hours in a 12-month period. Employees of the Department of Environment Management who staff the state's parks, beaches, and wildlands in the summer are an example of seasonal employees.

**Financing of State Spending.** Frequent reference is made in *The Budget* to "general revenue" expenditures and expenditures from "all funds". Expenditures from all funds include both general revenue expenditures and expenditures from federal funds, restricted receipts, and other or special revenue funds.

General revenue receives the most attention in the budget because it is the largest of the "uncommitted" revenue sources available to the state. It is also the fund to which most general tax receipts are credited. The Legislature may spend general revenue dollars for any purpose.

Federal funds, restricted receipts, and other funds, by contrast, are dedicated to a specific purpose. Other funds include the University and College Funds, the Transportation Fund, the Unemployment Insurance Fund and the Temporary Disability Insurance Fund. For example, the Legislature may not spend monies from the Unemployment Insurance Fund to build new prisons.

Within the budget documents, schedules contain expenditure data for two actual fiscal years, the current fiscal year and the budget year. The schedules display agency data by fund source for All Funds, General Revenue, Federal Funds, Restricted Receipts, and Other funds.

**Rhode Island Capital Plan Funds.** The 1990 Assembly instituted a limit on state expenditures commencing in fiscal year 1992 such that appropriations do not result in general fund expenditures exceeding 99.5 percent of general fund revenues in FY 1993, 98.5 percent in FY 1994 and 98.0 percent thereafter. The remaining balance is to be deposited into a budget reserve account, capped at 3.0 percent of general revenues. Once the cap is reached, the excess is deposited in a Capital Account, entitled the Rhode Island Capital Plan Fund, to be used for capital projects, debt reduction, and/or debt service. The 1992 General Assembly approved placement of the spending limits on the ballot as a constitutional requirement, and the voters approved the item on November 3, 1992.

**Budget Basis.** *The Budget* is prepared on the same basis that the state's year end financial statements, which is a modified accrual basis. Briefly, this means that obligations of the state are budgeted as expenditures if the goods or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30<sup>th</sup> of that year. Revenues are estimated on the basis of when they are "earned" by the state. For example, the estimate of gas tax revenues reflects twelve months of revenues. However, due to a one month difference in the time the liability is incurred and the actual cash payment is made by the taxpayer, the revenue estimate reflects cash received from August through July.

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# The Budget Process: A Primer

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The Comprehensive Annual Financial Report (CAFR) shows the status of the state's finances on the basis of "generally accepted accounting principles" (GAAP). Every attempt is made in preparing the budget to ensure that it is consistent with the auditing standards upon which the state's financial position will be determined.

**Program Performance Measures.** The administration continues in its effort, initiated in FY 1998, to develop and track measures of the performance of state agencies and departments. The performance measures included in the FY 2005 budget are derived from the on-going process to identify and refine measures for state decision-makers to evaluate on an annual basis. With few exceptions, all executive branch agencies, and most other government offices, have developed a mature set of program performance measures. These measures are included on the agency and program financing pages in *The Budget* and are described further in the *Technical Appendix*. In some cases where no measures are identified, the process of developing measures continues. These cases are identified as NS (not supplied) or NC (not complete). In other areas, as explained in the Forward, for general officers and agency central management units, NA (not applicable) is indicated.

**The Budget Process.** Production of a budget is a continuous process. It does, however, have certain discrete phases. In the Executive Branch, the budget process begins as soon as the legislative session ends. At that time the budget staff prepares the *Budget As Enacted* which reflects the budget enacted by the Legislature.

In the spring and early summer, budget instructions and allocations are distributed by the Budget Office to state agencies. At those times, agencies are instructed by the Budget Office to prepare a capital and operating budget. The capital budget contains a five-year capital improvement plan.

Agencies are requested to prepare operating budgets at specified target levels for submission on October 1. Agencies may also be allowed the opportunity to request additional funding through special white papers, separate from the agency's budget request.

The individual budgets submitted by the state agencies show program expenditures, with appropriate funding sources for each program within the agency. These data are shown for the actual year, the current year, and the target for the budget year. Further, the documents show "outcome" performance measures associated with each target level of spending. These performance levels provide a means for comparing budget alternatives, and are a significant tool used in the determination of agency budgets.

Beginning October 1, analysts in the Budget Office review agency budget requests. The Budget Office staff prepares recommendations for the Governor. An analysis of items added or reduced from the target request is presented to the Governor. The analysis includes the agency's request and the basis for it, the Budget Office recommendation and the basis for it. The Governor then makes a budget determination. This process is repeated for all issues in all agencies. These decisions form the Governor's recommendations. The Budget Office compiles the individual recommendations, aggregates them, and prepares *The Budget*. *The Budget* reflects the revised current year funding plan submitted to the Legislature on January 8<sup>th</sup>, as well as the budget year funding plan.

During this same period between October 1 and the start of the legislative session, House and Senate fiscal staffs also have the agency budget requests for analysis. Following receipt of the Governor's

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# The Budget Process: A Primer

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recommendations, the legislative fiscal analysts begin their analysis of the recommendations of the Governor.

The Governor's budget recommendations traditionally are incorporated in one omnibus appropriations bill, which is introduced in the Legislature on the third Wednesday of February. This piece of legislation normally includes any statutory changes which would be required to implement the Governor's budget.

The following table summarizes the **budget calendar** during the budget cycle.

Mid-July	Capital Budget Requests due to Budget Office.
July 25	Budget Instructions and Funding Targets distributed to Agencies.
September 15	Budget requests of Agencies with enacted budget of \$5.0 million or less due to Budget Office.
September 22	Revenue Estimates/Enhancements submitted to Budget Office.
October 1	Budget requests of Agencies with enacted budget of over \$5.0 million due to Budget Office.
2 <sup>nd</sup> Tuesday January	Statutory date for current-year Governor's Supplemental Appropriation bill submitted to Legislature.
3 <sup>rd</sup> Wednesday in February	Statutory date for budget-year Governor's Budget Recommendation bill submitted to Legislature.

**Consideration by House of Representatives and Senate.** Appropriation bills are introduced into the House and are first considered by the Finance Committee in the House. The Senate Finance Committee also begins hearings on the budget bill, as introduced by the Governor. The chairpersons of the committees appoint subcommittees to consider appropriations for various agencies. Subcommittees vary in size, usually between two and five persons. After reviewing the budget requests and the Governor's budget, the House subcommittees recommend adjustments that the subcommittees wish to make to the full House committee for consideration. A committee may adjust the recommendations of its subcommittee. Appropriation bills are reprinted to reflect the recommendations of the full committee. The bill is then presented to the full House, and upon passage is transmitted to the Senate. The process for review of an appropriation bill in the Senate repeats the steps followed in the house of origin. Usually, consideration by the second house occurs in a much shorter span of time. Once the bill is presented and approved by the full Senate, the Governor has three options: 1) sign the bill into law, 2) veto the bill, or 3) allow the bill to become law without signature. (After seven days without the Governor's signature, the bill automatically becomes law.)

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# **Schedules**



## Total Statewide Expenditures

	FY 2002 Audited	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditure by Function</b>				
General Government	1,040,640,039	1,041,792,302	1,094,538,582	1,091,689,005
Human Services	2,116,478,257	2,239,647,126	2,417,335,580	2,470,301,389
Education	1,379,177,105	1,470,530,162	1,608,719,842	1,635,218,238
Public Safety	301,014,791	306,142,957	379,978,795	348,778,519
Natural Resources	63,070,357	65,910,724	83,865,056	80,424,804
Transportation	289,476,131	274,804,504	319,542,788	319,745,347
<b>Total Expenditures</b>	<b>\$5,189,856,680</b>	<b>\$5,398,827,775</b>	<b>\$5,903,980,643</b>	<b>\$5,946,157,302</b>
<b>Expenditure by Object</b>				
Personnel	1,227,337,476	1,299,219,547	1,374,426,366	1,409,757,636
Other State Operations	362,379,917	388,852,515	394,580,247	391,088,900
Aid to Local Units of Government	945,349,275	1,005,720,873	1,102,194,602	1,112,592,358
Assistance, Grants, and Benefits	2,324,921,411	2,424,834,315	2,673,577,957	2,664,553,783
<b>Subtotal: Operating Expenditures</b>	<b>\$4,859,988,079</b>	<b>\$5,118,627,250</b>	<b>\$5,544,779,172</b>	<b>\$5,577,992,677</b>
Capital Improvements	150,143,541	143,492,569	206,967,747	158,352,878
Capital Debt Service	179,725,060	136,707,956	152,233,724	209,811,747
<b>Total Expenditures</b>	<b>\$5,189,856,680</b>	<b>\$5,398,827,775</b>	<b>\$5,903,980,643</b>	<b>\$5,946,157,302</b>
<b>Expenditures by Funds</b>				
General Revenue	2,651,654,648	2,682,028,719	2,795,630,004	2,898,964,410
Federal Funds	1,465,428,350	1,587,467,667	1,926,679,041	1,876,366,902
Restricted Receipts	92,738,341	114,254,861	126,393,060	123,411,391
Other Funds	980,035,341	1,015,076,528	1,055,278,538	1,047,414,599
<b>Total Expenditures</b>	<b>\$ 5,189,856,680</b>	<b>\$ 5,398,827,775</b>	<b>\$ 5,903,980,643</b>	<b>\$ 5,946,157,302</b>
<b>Total FTE Complement</b>	<b>15,856.3</b>	<b>15,401.0</b>	<b>15,259.4</b>	<b>15,397.2</b>
<b>Higher Education Sponsored Research</b>	<b>335.4</b>	<b>321.8</b>	<b>355.5</b>	<b>361.8</b>
<b>Total Personnel</b>	<b>16,191.7</b>	<b>15,722.8</b>	<b>15,614.9</b>	<b>15,759.0</b>

# Expenditures from All Funds

	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY2005</b>
	<b>Actual</b>	<b>Unaudited</b>	<b>Recommend</b>	<b>Recommend</b>
<b>General Government</b>				
Administration	515,415,898	472,327,028	519,930,617	542,741,309
Business Regulation	8,850,190	9,522,833	10,534,860	10,223,006
Labor and Training	443,918,521	481,683,937	477,861,916	448,819,107
Legislature	26,929,068	27,929,128	29,876,478	27,364,045
Lieutenant Governor	756,501	790,404	844,486	865,343
Secretary of State	4,997,096	6,384,689	7,611,495	7,953,497
General Treasurer	27,119,112	27,902,074	33,526,073	39,237,807
Boards for Design Professionals	347,865	437,247	408,371	413,929
Board of Elections	2,453,874	3,106,424	2,572,044	2,610,178
Rhode Island Ethics Commission	873,597	875,624	946,840	950,328
Governor's Office	4,525,550	6,178,510	4,454,314	4,345,275
Public Utilities Commission	4,315,014	4,526,002	5,903,006	6,081,542
Rhode Island Commission on Women	137,753	128,402	68,082	83,639
<b>Subtotal - General Government</b>	<b>\$ 1,040,640,039</b>	<b>\$ 1,041,792,302</b>	<b>\$ 1,094,538,582</b>	<b>\$ 1,091,689,005</b>
<b>Human Services</b>				
Children, Youth, and Families	227,311,304	235,627,463	245,642,138	243,666,982
Elderly Affairs	38,697,258	42,593,632	49,370,345	46,350,573
Health	90,289,770	102,092,534	120,201,077	114,650,813
Human Services	1,336,974,968	1,417,877,411	1,542,527,396	1,598,882,871
Mental Health, Retardation, & Hospitals	419,953,703	437,880,307	455,446,108	462,547,450
Office of the Child Advocate	835,387	880,250	559,889	555,340
Commission on Deaf & Hard of Hearing	165,141	215,334	269,183	272,198
RI Developmental Disabilities Council	406,272	497,722	558,785	570,511
Governor's Commission on Disabilities	328,536	524,158	1,172,906	1,249,239
Commission for Human Rights	1,225,200	1,151,072	1,270,686	1,223,744
Office of the Mental Health Advocate	290,718	307,243	317,067	331,668
<b>Subtotal - Human Services</b>	<b>\$ 2,116,478,257</b>	<b>\$ 2,239,647,126</b>	<b>\$ 2,417,335,580</b>	<b>\$ 2,470,301,389</b>
<b>Education</b>				
Elementary and Secondary	816,337,013	871,468,183	972,258,177	978,886,998
Higher Education - Board of Governors	543,522,588	578,426,870	601,998,167	623,581,288
RI Council on the Arts	2,198,247	2,212,388	3,111,403	2,852,564
RI Atomic Energy Commission	789,477	804,847	1,179,783	1,264,213
Higher Education Assistance Authority	12,879,955	14,380,467	23,681,391	23,417,692
Historical Preservation and Heritage Commission	1,770,824	1,606,150	1,886,803	1,867,573
Public Telecommunications Authority	1,679,001	1,631,257	4,604,118	3,347,910
<b>Subtotal - Education</b>	<b>\$ 1,379,177,105</b>	<b>\$ 1,470,530,162</b>	<b>\$ 1,608,719,842</b>	<b>\$ 1,635,218,238</b>

## Expenditures from All Funds

	FY 2002 Actual	FY 2003 Unaudited	FY 2004 Recommend	FY2005 Recommend
<b>Public Safety</b>				
Attorney General	16,385,077	17,696,225	19,408,387	19,275,784
Corrections	142,342,187	142,760,255	161,456,401	162,182,986
Judicial	72,732,817	73,273,311	80,430,739	79,988,834
Military Staff	10,971,209	12,988,141	53,470,077	18,949,216
E-911 Emergency Telephone System	3,893,219	3,935,390	4,411,499	5,471,423
Fire Safety Code Board of Appeal & Review	210,518	240,105	235,045	241,338
State Fire Marshal	1,606,579	1,497,690	2,098,367	2,315,314
Commission on Judicial Tenure & Discipline	101,764	111,692	128,352	102,842
Rhode Island Justice Commission	4,488,302	4,831,555	6,156,580	6,026,087
Municipal Police Training Academy	418,870	360,158	428,357	401,327
State Police	41,917,527	42,186,609	44,734,801	46,681,763
Office Of Public Defender	5,946,722	6,261,826	7,020,190	7,141,605
<b>Subtotal - Public Safety</b>	<b>\$ 301,014,791</b>	<b>\$ 306,142,957</b>	<b>\$ 379,978,795</b>	<b>\$ 348,778,519</b>
<b>Natural Resources</b>				
Environmental Management	59,152,776	57,367,577	72,157,648	72,771,088
Coastal Resources Management Council	2,322,182	6,595,624	9,242,297	4,537,618
Water Resources Board	1,595,399	1,947,523	2,465,111	3,116,098
<b>Subtotal - Natural Resources</b>	<b>\$ 63,070,357</b>	<b>\$ 65,910,724</b>	<b>\$ 83,865,056</b>	<b>\$ 80,424,804</b>
<b>Transportation</b>				
Transportation	289,476,131	274,804,504	319,542,788	319,745,347
<b>Subtotal - Transportation</b>	<b>\$ 289,476,131</b>	<b>\$ 274,804,504</b>	<b>\$ 319,542,788</b>	<b>\$ 319,745,347</b>
<b>Total</b>	<b>\$ 5,189,856,680</b>	<b>\$ 5,398,827,775</b>	<b>\$ 5,903,980,643</b>	<b>\$ 5,946,157,302</b>

## Expenditures from General Revenues

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>General Government</b>				
Administration	383,413,506	365,404,946	\$393,612,428	416,714,431
Business Regulation	8,609,251	9,120,775	9,911,780	9,593,349
Labor and Training	7,291,073	6,801,323	7,459,059	6,633,002
Legislature	26,037,482	26,672,594	28,992,175	26,414,680
Lieutenant Governor	756,501	790,404	844,486	865,343
Secretary of State	4,718,796	5,941,549	4,616,881	4,794,814
General Treasurer	5,079,346	3,451,764	3,639,234	5,037,957
Boards for Design Professionals	347,865	437,247	408,371	413,929
Board of Elections	2,453,874	3,106,424	1,412,294	1,608,350
Rhode Island Ethics Commission	873,597	875,624	946,840	950,328
Governor's Office	4,525,550	6,178,510	4,454,314	4,345,275
Public Utilities Commission	702,507	657,016	687,100	670,154
Rhode Island Commission on Women	137,753	128,402	68,082	83,639
<b>Subtotal - General Government</b>	<b>\$ 444,947,101</b>	<b>\$ 429,566,578</b>	<b>\$ 457,053,044</b>	<b>478,125,251</b>
<b>Human Services</b>				
Children, Youth, and Families	137,678,216	141,104,522	140,840,694	143,880,648
Elderly Affairs	25,363,463	27,296,583	29,534,792	29,154,609
Health	34,028,273	34,446,598	34,085,909	31,267,460
Human Services	603,152,771	602,799,235	631,158,773	685,070,023
Mental Health, Retardation, & Hospitals	221,123,456	219,909,933	214,893,245	225,141,155
Office of the Child Advocate	491,878	514,005	478,889	501,168
Commission on Deaf & Hard of Hearing	165,141	215,334	269,183	272,198
RI Developmental Disabilities Council	-	-	-	-
Governor's Commission on Disabilities	303,540	513,501	541,217	533,865
Commission for Human Rights	733,075	806,591	1,037,645	984,444
Office of the Mental Health Advocate	290,718	307,243	317,067	331,668
<b>Subtotal - Human Services</b>	<b>\$ 1,023,330,531</b>	<b>\$ 1,027,913,545</b>	<b>\$ 1,053,157,414</b>	<b>1,117,137,238</b>
<b>Education</b>				
Elementary and Secondary	707,302,342	742,377,641	777,183,510	788,702,547
Higher Education - Board of Governors	174,472,786	169,439,718	172,061,647	174,530,556
RI Council on the Arts	1,638,620	1,679,661	2,300,441	1,972,064
RI Atomic Energy Commission	631,147	664,107	702,450	727,045
Higher Education Assistance Authority	6,934,216	6,024,022	11,058,022	9,955,589
Historical Preservation and Heritage Commission	777,395	1,018,675	1,090,084	1,068,027
Public Telecommunications Authority	1,158,308	1,113,655	1,245,395	1,238,482
<b>Subtotal - Education</b>	<b>\$ 892,914,814</b>	<b>\$ 922,317,479</b>	<b>\$ 965,641,549</b>	<b>978,194,310</b>

## Expenditures from General Revenues

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>Public Safety</b>				
Attorney General	14,673,107	15,471,318	16,400,797	16,452,441
Corrections	128,169,352	136,041,022	146,053,491	146,860,150
Judicial	60,688,095	63,812,515	67,653,785	68,186,641
Military Staff	2,460,657	2,190,828	2,140,287	2,135,898
E-911 Emergency Telephone System	3,893,219	3,935,390	4,411,499	3,821,407
Fire Safety Code Board of Appeal & Review	210,518	240,105	235,045	241,338
State Fire Marshal	1,471,137	1,346,179	1,736,470	1,977,834
Commission on Judicial Tenure and Discipline	101,764	111,692	128,352	102,842
Rhode Island Justice Commission	177,298	165,173	163,220	248,367
Municipal Police Training Academy	359,824	341,623	355,485	361,327
State Police	37,999,881	38,198,641	39,862,739	43,012,525
Office Of Public Defender	5,544,192	5,888,550	6,491,537	6,722,438
<b>Subtotal - Public Safety</b>	<b>\$ 255,749,044</b>	<b>\$ 267,743,036</b>	<b>\$ 285,632,707</b>	<b>290,123,208</b>
<b>Natural Resources</b>				
Environmental Management	32,484,833	32,005,256	31,716,609	32,695,029
Coastal Resources Management Council	1,156,179	1,450,970	1,488,995	1,460,351
Water Resources Board	1,072,146	1,031,855	939,686	1,229,023
<b>Subtotal - Natural Resources</b>	<b>\$ 34,713,158</b>	<b>\$ 34,488,081</b>	<b>\$ 34,145,290</b>	<b>35,384,403</b>
<b>Transportation</b>				
Transportation	-	-	-	-
<b>Subtotal - Transportation</b>	<b>\$ -</b>	<b>\$ -</b>	<b>\$ -</b>	<b>-</b>
<b>Total</b>	<b>\$ 2,651,654,648</b>	<b>\$ 2,682,028,719</b>	<b>\$ 2,795,630,004</b>	<b>2,898,964,410</b>

## Expenditures from Federal Funds

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>General Government</b>				
Administration	29,252,557	32,429,416	\$37,762,440	\$40,434,150
Business Regulation	-	-	-	-
Labor and Training	33,591,666	35,584,044	36,366,062	28,735,191
Legislature	-	-	-	-
Lieutenant Governor	-	-	-	-
Secretary of State	17,236	28,638	2,505,520	2,635,250
General Treasurer	1,997,878	342,005	4,544,975	5,437,666
Boards for Design Professionals	-	-	-	-
Board of Elections	-	-	1,159,750	1,001,828
Rhode Island Ethics Commission	-	-	-	-
Governor's Office	-	-	-	-
Public Utilities Commission	36,638	64,116	70,076	73,038
Rhode Island Commission on Women	-	-	-	-
<b>Subtotal - General Government</b>	<b>\$ 64,895,975</b>	<b>\$ 68,448,219</b>	<b>\$ 82,408,823</b>	<b>\$ 78,317,123</b>
<b>Human Services</b>				
Children, Youth, and Families	88,177,105	91,894,382	102,476,820	98,087,733
Elderly Affairs	8,839,258	10,341,332	15,105,553	12,395,964
Health	49,253,249	59,027,502	74,472,263	71,766,291
Human Services	729,611,752	811,463,633	907,161,878	909,187,887
Mental Health, Retardation, & Hospitals	197,281,194	216,675,898	239,116,903	232,481,295
Office of the Child Advocate	343,509	366,245	63,000	54,172
Commission on Deaf & Hard of Hearing	-	-	-	-
RI Developmental Disabilities Council	406,272	497,722	558,785	570,511
Governor's Commission on Disabilities	10,180	1,405	88,286	180,208
Commission for Human Rights	492,125	344,481	233,041	239,300
Office of the Mental Health Advocate	-	-	-	-
<b>Subtotal - Human Services</b>	<b>\$ 1,074,414,644</b>	<b>\$ 1,190,612,600</b>	<b>\$ 1,339,276,529</b>	<b>\$ 1,324,963,361</b>
<b>Education</b>				
Elementary and Secondary	107,749,220	127,804,162	191,424,918	187,188,704
Higher Education - Board of Governors	2,550,660	2,829,215	3,021,372	2,807,354
RI Council on the Arts	519,827	575,300	610,962	680,500
RI Atomic Energy Commission	8,881	(2,558)	325,000	325,000
Higher Education Assistance Authority	4,782,837	4,988,960	7,286,592	8,048,830
Historical Preservation and Heritage Commission	559,257	536,718	522,827	592,746
Public Telecommunications Authority	-	-	555,601	-
<b>Subtotal - Education</b>	<b>\$ 116,170,682</b>	<b>\$ 136,731,797</b>	<b>\$ 203,747,272</b>	<b>\$ 199,643,134</b>

## Expenditures from Federal Funds

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>Public Safety</b>				
Attorney General	1,174,112	1,649,252	2,299,240	2,106,318
Corrections	4,850,061	3,126,899	8,333,884	9,627,911
Judicial	2,550,317	2,869,173	4,627,118	3,578,972
Military Staff	8,001,637	10,626,043	50,216,458	15,828,911
E-911 Emergency Telephone System	-	-	-	-
Fire Safety Code Board of Appeal & Review	-	-	-	-
State Fire Marshal	135,442	151,511	341,897	317,480
Commission on Judicial Tenure & Discipline	-	-	-	-
Rhode Island Justice Commission	4,262,082	4,654,786	5,903,360	5,687,720
Municipal Police Training Academy	59,046	18,535	72,872	40,000
State Police	1,040,654	1,768,724	2,208,474	1,270,334
Office Of Public Defender	402,530	373,276	528,653	419,167
<b>Subtotal - Public Safety</b>	<b>\$ 22,475,881</b>	<b>\$ 25,238,199</b>	<b>\$ 74,531,956</b>	<b>\$ 38,876,813</b>
<b>Natural Resources</b>				
Environmental Management	15,365,640	14,710,059	26,336,366	24,500,017
Coastal Resources Management Council	1,166,003	1,646,691	2,370,998	2,145,000
Water Resources Board	-	172,500	327,500	500,000
<b>Subtotal - Natural Resources</b>	<b>\$ 16,531,643</b>	<b>\$ 16,529,250</b>	<b>\$ 29,034,864</b>	<b>\$ 27,145,017</b>
<b>Transportation</b>				
Transportation	170,939,525	149,907,602	197,679,597	207,421,454
<b>Subtotal - Transportation</b>	<b>\$ 170,939,525</b>	<b>\$ 149,907,602</b>	<b>197,679,597</b>	<b>207,421,454</b>
<b>Total</b>	<b>\$ 1,465,428,350</b>	<b>\$ 1,587,467,667</b>	<b>\$ 1,926,679,041</b>	<b>\$ 1,876,366,902</b>

## Expenditures From Restricted Receipts

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>General Government</b>				
Administration	6,295,231	9,624,326	\$10,623,906	\$11,167,747
Business Regulation	240,939	402,058	623,080	629,657
Labor and Training	22,076,440	20,315,829	23,752,805	26,017,487
Legislature	891,586	1,256,534	884,303	949,365
Lieutenant Governor	-	-	-	-
Secretary of State	261,064	414,502	489,094	523,433
General Treasurer	9,886,168	16,474,921	19,208,839	21,051,265
Boards for Design Professionals	-	-	-	-
Board of Elections	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-
Governor's Office	-	-	-	-
Public Utilities Commission	3,575,869	3,804,870	5,145,830	5,338,350
Rhode Island Commission on Women	-	-	-	-
<b>Subtotal - General Government</b>	<b>\$ 43,227,297</b>	<b>\$ 52,293,040</b>	<b>\$ 60,727,857</b>	<b>\$ 65,677,304</b>
<b>Human Services</b>				
Children, Youth, and Families	1,253,356	2,494,922	2,267,033	1,518,941
Elderly Affairs	-	-	-	-
Health	6,802,105	8,577,588	11,536,819	11,518,083
Human Services	4,209,593	3,474,543	4,206,745	4,239,961
Mental Health, Retardation, & Hospitals	61,913	43,760	75,000	75,000
Office of the Child Advocate	-	-	18,000	-
Commission on Deaf & Hard of Hearing	-	-	-	-
RI Developmental Disabilities Council	-	-	-	-
Governor's Commission on Disabilities	14,816	9,252	43,403	35,166
Commission for Human Rights	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-
<b>Subtotal - Human Services</b>	<b>\$ 12,341,783</b>	<b>\$ 14,600,065</b>	<b>\$ 18,147,000</b>	<b>\$ 17,387,151</b>
<b>Education</b>				
Elementary and Secondary	874,511	1,214,292	1,572,622	2,885,339
Higher Education - Board of Governors	-	-	-	44,685
RI Council on the Arts	39,800	(42,573)	200,000	200,000
RI Atomic Energy Commission	-	-	-	-
Higher Education Assistance Authority	-	-	-	-
Historical Preservation and Heritage Commission	434,172	50,757	273,892	206,800
Public Telecommunications Authority	-	-	-	-
<b>Subtotal - Education</b>	<b>\$ 1,348,483</b>	<b>\$ 1,222,476</b>	<b>\$ 2,046,514</b>	<b>\$ 3,336,824</b>

## Expenditures From Restricted Receipts

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>Public Safety</b>				
Attorney General	537,858	575,655	708,350	717,025
Corrections	5,367,901	2,456,374	2,108,140	1,916,250
Judicial	5,851,785	6,517,408	7,683,717	7,173,221
Military Staff	112,257	114,010	176,768	228,157
E-911 Emergency Telephone System	-	-	-	1,650,016
Fire Safety Code Board of Appeal & Review	-	-	-	-
State Fire Marshal	-	-	-	-
Commission on Judicial Tenure & Discipline	-	-	-	-
Rhode Island Justice Commission	48,922	11,596	90,000	90,000
Municipal Police Training Academy	-	-	-	-
State Police	239,326	193,184	590,023	298,089
Office Of Public Defender	-	-	-	-
Sheriffs of Several Counties	-	-	-	-
<b>Subtotal - Public Safety</b>	<b>\$ 12,158,049</b>	<b>\$ 9,868,227</b>	<b>\$ 11,356,998</b>	<b>\$ 12,072,758</b>
 <b>Natural Resources</b>				
Environmental Management	7,768,695	9,206,936	9,651,573	10,928,878
Coastal Resources Management Council	-	3,352,963	5,210,304	932,267
Water Resources Board	94,004	596,555	984,000	895,000
<b>Subtotal - Natural Resources</b>	<b>\$ 7,862,699</b>	<b>\$ 13,156,454</b>	<b>\$ 15,845,877</b>	<b>\$ 12,756,145</b>
 <b>Transportation</b>				
Transportation	15,800,030	23,114,599	18,268,814	12,181,209
<b>Subtotal - Transportation</b>	<b>\$ 15,800,030</b>	<b>\$ 23,114,599</b>	<b>18,268,814</b>	<b>12,181,209</b>
<b>Total</b>	<b>\$ 92,738,341</b>	<b>\$ 114,254,861</b>	<b>\$ 126,393,060</b>	<b>\$ 123,411,391</b>

## Expenditures From Other Funds

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>General Government</b>				
Administration	96,454,604	64,868,340	\$77,931,843	\$74,424,981
Business Regulation	-	-	-	-
Labor and Training	380,959,342	418,982,741	410,283,990	387,433,427
Legislature	-	-	-	-
Lieutenant Governor	-	-	-	-
Secretary of State	-	-	-	-
General Treasurer	10,155,720	7,633,384	6,133,025	7,710,919
Boards for Design Professionals	-	-	-	-
Board of Elections	-	-	-	-
Rhode Island Ethics Commission	-	-	-	-
Governor's Office	-	-	-	-
Public Utilities Commission	-	-	-	-
Rhode Island Commission on Women	-	-	-	-
<b>Subtotal - General Government</b>	<b>\$ 487,569,666</b>	<b>\$ 491,484,465</b>	<b>\$ 494,348,858</b>	<b>\$ 469,569,327</b>
<b>Human Services</b>				
Children, Youth, and Families	202,627	133,637	57,591	179,660
Elderly Affairs	4,494,537	4,955,717	4,730,000	4,800,000
Health	206,143	40,846	106,086	98,979
Human Services	852	140,000	-	385,000
Mental Health, Retardation, & Hospitals	1,487,140	1,250,716	1,360,960	4,850,000
Office of the Child Advocate	-	-	-	-
Commission on Deaf & Hard of Hearing	-	-	-	-
RI Developmental Disabilities Council	-	-	-	-
Governor's Commission on Disabilities	-	-	500,000	500,000
Commission for Human Rights	-	-	-	-
Office of the Mental Health Advocate	-	-	-	-
<b>Subtotal - Human Services</b>	<b>\$ 6,391,299</b>	<b>\$ 6,520,916</b>	<b>\$ 6,754,637</b>	<b>\$ 10,813,639</b>
<b>Education</b>				
Elementary and Secondary	410,940	72,088	2,077,127	110,408
Higher Education - Board of Governors	366,499,142	406,157,937	426,915,148	446,198,693
RI Council on the Arts	-	-	-	-
RI Atomic Energy Commission	149,449	143,298	152,333	212,168
Higher Education Assistance Authority	1,162,902	3,367,485	5,336,777	5,413,273
Historical Preservation and Heritage Commission	-	-	-	-
Public Telecommunications Authority	520,693	517,602	2,803,122	2,109,428
<b>Subtotal - Education</b>	<b>\$ 368,743,126</b>	<b>\$ 410,258,410</b>	<b>\$ 437,284,507</b>	<b>\$ 454,043,970</b>

## Expenditures From Other Funds

	FY 2002 Actual	FY 2003 Unaudited	FY2004 Recommend	FY2005 Recommend
<b>Public Safety</b>				
Attorney General	-	-	-	-
Corrections	3,954,873	1,135,960	4,960,886	3,778,675
Judicial	3,642,620	74,215	466,119	1,050,000
Military Staff	396,658	57,260	936,564	756,250
E-911 Emergency Telephone System	-	-	-	-
Fire Safety Code Board of Appeal & Review	-	-	-	-
State Fire Marshal	-	-	20,000	20,000
Commission on Judicial Tenure & Discipline	-	-	-	-
Rhode Island Justice Commission	-	-	-	-
Municipal Police Training Academy	-	-	-	-
State Police	2,637,666	2,026,060	2,073,565	2,100,815
Office Of Public Defender	-	-	-	-
<b>Subtotal - Public Safety</b>	<b>\$ 10,631,817</b>	<b>\$ 3,293,495</b>	<b>\$ 8,457,134</b>	<b>\$ 7,705,740</b>
<b>Natural Resources</b>				
Environmental Management	3,533,608	1,445,326	4,453,100	4,647,164
Coastal Resources Management Council	-	145,000	172,000	-
Water Resources Board	429,249	146,613	213,925	492,075
<b>Subtotal - Natural Resources</b>	<b>\$ 3,962,857</b>	<b>\$ 1,736,939</b>	<b>\$ 4,839,025</b>	<b>\$ 5,139,239</b>
<b>Transportation</b>				
Transportation	102,736,576	101,782,303	103,594,377	100,142,684
<b>Subtotal - Transportation</b>	<b>\$ 102,736,576</b>	<b>\$ 101,782,303</b>	<b>103,594,377</b>	<b>100,142,684</b>
<b>Total</b>	<b>\$ 980,035,341</b>	<b>\$ 1,015,076,528</b>	<b>\$ 1,055,278,538</b>	<b>\$ 1,047,414,599</b>

## Full-Time Equivalent Positions

	FY 2002	FY 2003	FY 2004 Enacted	FY 2004 Revised	FY 2005
<b>General Government</b>					
Administration	1,350.0	1,278.2	1,261.2	1,261.2	1,269.2
Business Regulation	111.0	106.0	109.0	109.0	106.0
Labor & Training	558.0	538.7	536.7	536.7	536.7
Legislature	280.0	280.0	280.0	280.0	280.0
Lieutenant Governor	10.0	10.0	10.0	10.0	10.0
Secretary of State	59.2	59.0	59.0	59.0	59.0
General Treasurer	87.5	84.5	84.5	87.5	87.5
Boards for Design Professionals	4.0	4.0	4.0	4.0	4.0
Board Of Elections	22.3	15.0	15.0	15.0	15.0
Rhode Island Ethics Commission	10.0	9.0	9.0	9.0	9.0
Office of the Governor	50.0	47.5	47.5	47.5	47.5
Public Utilities Commission	44.0	43.0	45.0	45.0	45.0
Rhode Island Commission on Women	2.0	2.0	2.0	1.0	1.0
<b>Subtotal - General Government</b>	<b>2,588.0</b>	<b>2,476.9</b>	<b>2,462.9</b>	<b>2,464.9</b>	<b>2,469.9</b>
<b>Human Services</b>					
Children, Youth, and Families	868.9	853.8	849.8	849.8	851.8
Elderly Affairs	60.6	52.6	52.6	52.6	52.0
Health	477.1	506.9	502.9	502.9	502.9
Human Services	1,139.9	1,069.6	1,058.6	1,058.6	1,064.6
Mental Health, Retardation, & Hospitals	2,138.0	2,067.7	2,021.7	2,021.7	1,999.7
Office of the Child Advocate	13.0	12.5	5.8	5.8	5.8
Commission On the Deaf & Hard of Hearing	3.0	3.0	3.0	3.0	3.0
RI Developmental Disabilities Council	3.0	2.0	2.0	2.0	2.0
Governor's Commission on Disabilities	4.6	6.6	6.6	6.6	6.6
Commission for Human Rights	17.0	17.0	15.0	15.0	15.0
Office of the Mental Health Advocate	4.3	3.7	3.7	3.7	3.7
<b>Subtotal - Human Services</b>	<b>4,729.4</b>	<b>4,595.4</b>	<b>4,521.7</b>	<b>4,521.7</b>	<b>4,507.1</b>
<b>Education</b>					
Elementary and Secondary	345.2	328.7	326.7	326.7	321.1
Higher Education - Board of Governors*	3,589.9	3,487.4	3,472.1	3,472.1	3,542.7
RI State Council On The Arts	6.0	6.0	7.0	7.0	7.0
RI Atomic Energy Commission	8.6	8.6	8.6	8.6	8.6
Higher Education Assistance Authority	46.6	45.6	45.6	45.6	46.0
Historical Preservation and Heritage Commission	17.6	17.6	17.6	17.6	17.6
Public Telecommunications Authority	22.0	22.0	22.0	22.0	22.0
<b>Subtotal - Education</b>	<b>4,035.9</b>	<b>3,915.9</b>	<b>3,899.6</b>	<b>3,899.6</b>	<b>3,965.0</b>

## Full-Time Equivalent Positions

	FY 2002	FY 2003	FY 2004 Enacted	FY 2004 Revised	FY 2005
<b>Public Safety</b>					
Attorney General	229.0	227.9	228.5	228.5	228.5
Corrections	1,550.6	1,538.0	1,522.0	1,492.0	1,539.0
Judicial	707.0	721.7	734.5	734.5	734.5
Military Staff	94.0	90.0	93.0	97.0	97.0
E-911	48.6	47.6	50.6	50.6	50.6
Fire Safety Code Board of Appeal and Review	3.0	3.0	3.0	3.0	3.0
RI State Fire Marshal	21.5	21.0	27.0	27.0	32.0
Commission on Judicial Tenure and Discipline	1.0	1.0	1.0	1.0	1.0
Rhode Island Justice Commission	9.0	9.0	9.0	9.0	9.0
Municipal Police Training Academy	4.0	4.0	4.0	4.0	4.0
State Police	267.0	259.0	257.0	251.0	281.0
Office of the Public Defender	79.5	83.2	86.2	86.2	86.2
<b>Subtotal - Public Safety</b>	<b>3,014.2</b>	<b>3,005.4</b>	<b>3,015.8</b>	<b>2,983.8</b>	<b>3,065.8</b>
<b>Natural Resources</b>					
Environmental Management	585.5	549.7	539.7	539.7	538.7
Coastal Resources Management Council	30.0	28.0	28.0	28.0	29.0
Water Resources Board	9.0	9.0	9.0	9.0	9.0
<b>Subtotal - Natural Resources</b>	<b>624.5</b>	<b>586.7</b>	<b>576.7</b>	<b>576.7</b>	<b>576.7</b>
<b>Transportation</b>					
Transportation	864.3	820.7	812.7	812.7	812.7
<b>Subtotal - Transportation</b>	<b>864.3</b>	<b>820.7</b>	<b>812.7</b>	<b>812.7</b>	<b>812.7</b>
<b>Total</b>	<b>15,856.3</b>	<b>15,401.0</b>	<b>15,289.4</b>	<b>15,259.4</b>	<b>15,397.2</b>
*Higher Education Exempt Sponsored Research	335.4	321.8	319.8	355.5	361.8
<b>Total All Positions</b>	<b>16,191.7</b>	<b>15,722.8</b>	<b>15,609.2</b>	<b>15,614.9</b>	<b>15,759.0</b>
<b>Statewide Adjustments</b>					
*Legislature Exempt		(280.0)			
*Judicial Exempt		(721.7)			
*Higher Education Exempt Sponsored Research		(321.8)	(319.8)	(355.5)	(361.8)
Balance of Vacant Positions/Hiring Freeze		(18.4)			
<b>Executive Branch Cap</b>	<b>16,191.7</b>	<b>14,380.9</b>	<b>15,289.4</b>	<b>15,259.4</b>	<b>15,397.2</b>
Legislature		280.0			
Judiciary		721.7			
<b>Total Personnel Authorizations</b>	<b>16,191.7</b>	<b>15,382.6</b>	<b>15,289.4</b>	<b>15,259.4</b>	<b>15,397.2</b>
*Higher Education Exempt Sponsored Research		321.8	319.8	355.5	361.8
<b>Total Personnel</b>	<b>16,191.7</b>	<b>15,704.4</b>	<b>15,609.2</b>	<b>15,614.9</b>	<b>15,759.0</b>
*Higher Education Total with Exempt Positions	3,589.9	3,809.2	3,791.9	3,827.6	3,904.5

## General Revenues as Recommended

	FY 2002 Actual	FY 2003 Preliminary	FY 2004 Revised	FY 2005 Recommended
<b>Personal Income Tax</b>	\$808,076,953	\$813,342,788	\$854,900,000	\$906,325,867
<b>General Business Taxes</b>				
Business Corporations*	24,222,910	63,861,292	68,500,000	77,628,676
Franchise	8,545,064			
Public Utilities Gross Earnings	80,813,972	75,091,288	77,800,000	79,000,000
Financial Institutions	3,385,254	9,804,211	(13,500,000)	8,500,000
Insurance Companies	32,351,132	51,287,425	50,600,000	51,400,000
Bank Deposits	1,138,120	1,697,630	1,730,000	1,760,000
Health Care Provider Assessment	27,843,707	28,140,784	41,200,000	45,100,000
<b>Sales and Use Taxes</b>				
Sales and Use	745,908,753	777,875,408	816,000,000	854,289,528
Motor Vehicle	46,108,282	47,043,144	48,900,000	50,500,000
Motor Fuel	812,579	1,022,168	700,000	720,000
Cigarettes	85,478,817	94,379,288	121,400,000	154,733,341
Alcohol	10,039,097	10,059,398	10,300,000	10,600,000
Controlled Substances	-	-	-	-
<b>Other Taxes</b>				
Inheritance and Gift	22,244,911	24,351,448	28,500,000	28,500,000
Racing and Athletics	5,470,031	5,309,872	4,900,000	4,800,000
Realty Transfer	2,691,142	9,481,801	10,800,000	10,900,000
<b>Total Taxes</b>	<b>1,905,130,724</b>	<b>2,012,747,945</b>	<b>2,122,730,000</b>	<b>2,284,757,412</b>
<b>Departmental Receipts</b>	243,364,829	283,393,263	298,164,360	310,842,557
<b>Taxes and Departmentals</b>	<b>2,148,495,553</b>	<b>2,296,141,208</b>	<b>2,420,894,360</b>	<b>2,595,599,969</b>
<b>Other Sources</b>				
Gas Tax Transfer	553,531	25,506,330	7,080,000	9,600,000
Other Miscellaneous	232,339,319	184,014,133	71,037,227	19,009,632
Lottery	214,141,576	236,636,756	287,183,200	325,553,686
Unclaimed Property	7,419,763	8,458,048	14,490,000	8,570,000
<b>Other Sources</b>	<b>454,454,189</b>	<b>454,615,267</b>	<b>379,790,427</b>	<b>362,733,318</b>
<b>Total General Revenues</b>	<b>\$2,602,949,742</b>	<b>\$2,750,756,475</b>	<b>\$2,800,684,787</b>	<b>\$2,958,333,287</b>

\* Business Corporations Taxes was merged with Franchise Taxes at the May 2003 Revenue Estimating Conference.

## Changes to FY 2004 Enacted Revenue Estimates

	Enacted	Revenue Estimating Conference Estimates	Changes to Adopted Estimates	Total
<b>Personal Income Tax</b>	853,310,000	1,590,000	-	854,900,000
<b>General Business Taxes</b>				
Business Corporations*	65,060,000	3,440,000	-	68,500,000
Public Utilities Gross Earnings	77,800,000	-	-	77,800,000
Financial Institutions	1,500,000	(15,000,000)	-	(13,500,000)
Insurance Companies	50,600,000	-	-	50,600,000
Bank Deposits	1,430,000	300,000	-	1,730,000
Health Care Provider Assessment	40,940,000	260,000	-	41,200,000
<b>Sales and Use Taxes</b>				
Sales and Use	812,205,533	3,794,467	-	816,000,000
Motor Vehicle	48,200,000	700,000	-	48,900,000
Motor Fuel	620,000	80,000	-	700,000
Cigarettes	119,220,000	2,180,000	-	121,400,000
Alcohol	10,300,000	-	-	10,300,000
Controlled Substances	-	-	-	-
<b>Other Taxes</b>				
Inheritance and Gift	30,700,000	(2,200,000)	-	28,500,000
Racing and Athletics	5,000,000	(100,000)	-	4,900,000
Realty Transfer	8,900,000	1,900,000	-	10,800,000
<b>Total Taxes</b>	<b>2,125,785,533</b>	<b>(3,055,533)</b>	<b>-</b>	<b>2,122,730,000</b>
<b>Departmental Receipts</b>	287,037,601	(37,601)	11,164,360	298,164,360
<b>Taxes and Departmentals</b>	<b>2,412,823,134</b>	<b>(3,093,134)</b>	<b>11,164,360</b>	<b>2,420,894,360</b>
<b>Other Sources</b>				
Gas Tax Transfer	6,608,000	-	472,000	7,080,000
Other Miscellaneous	71,341,582	(304,355)	-	71,037,227
Lottery	301,770,293	(18,670,293)	4,083,200	287,183,200
Unclaimed Property	12,900,000	1,590,000	-	14,490,000
<b>Other Sources</b>	<b>392,619,875</b>	<b>(17,384,648)</b>	<b>4,555,200</b>	<b>379,790,427</b>
<b>Total General Revenues</b>	<b>2,805,443,009</b>	<b>(20,477,782)</b>	<b>15,719,560</b>	<b>2,800,684,787</b>

\* Business Corporations Taxes was merged with Franchise Taxes at the May 2003 Revenue Estimating Conference.

## Changes to FY 2005 Adopted Revenue Estimates

	Revenue Estimating Conference Estimates	Changes to the Adopted Estimates	Total
<b>Personal Income Tax</b>	893,100,000	13,225,867	906,325,867
<b>General Business Taxes</b>			
Business Corporations*	70,000,000	7,628,676	77,628,676
Public Utilities Gross Earnings	79,000,000	-	79,000,000
Financial Institutions	8,500,000	-	8,500,000
Insurance Companies	51,400,000	-	51,400,000
Bank Deposits	1,760,000	-	1,760,000
Health Care Provider Assessment	45,100,000	-	45,100,000
<b>Sales and Use Taxes</b>			
Sales and Use	850,000,000	4,289,528	854,289,528
Motor Vehicle	50,500,000	-	50,500,000
Motor Fuel	720,000	-	720,000
Cigarettes	116,300,000	38,433,341	154,733,341
Alcohol	10,600,000	-	10,600,000
Controlled Substances	-	-	-
<b>Other Taxes</b>			
Inheritance and Gift	28,500,000	-	28,500,000
Racing and Athletics	4,800,000	-	4,800,000
Realty Transfer	10,900,000	-	10,900,000
<b>Total Taxes</b>	<b>2,221,180,000</b>	<b>63,577,412</b>	<b>2,284,757,412</b>
<b>Departmental Receipts</b>	227,800,000	83,042,557	310,842,557
<b>Taxes and Departmentals</b>	<b>2,448,980,000</b>	<b>146,619,969</b>	<b>2,595,599,969</b>
<b>Other Sources</b>			
Gas Tax Transfer	6,720,000	2,880,000	9,600,000
Other Miscellaneous	10,116,632	8,893,000	19,009,632
Lottery	315,000,000	10,553,686	325,553,686
Unclaimed Property	8,570,000	-	8,570,000
<b>Other Sources</b>	<b>340,406,632</b>	<b>22,326,686</b>	<b>362,733,318</b>
<b>Total General Revenues</b>	<b>2,789,386,632</b>	<b>168,946,655</b>	<b>2,958,333,287</b>

\* Business Corporations Taxes was merged with Franchise Taxes at the May 2003 Revenue Estimating Conference.

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## General Revenue Changes to Adopted Estimates

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### FY 2004

#### Departmental Revenues

##### *Sales and Services*

MHRH: Enhance Uncompensated Care Revenues at Slater Hospital 10,024,940

*Subtotal: Sales and Service \$10,024,940*

##### *Miscellaneous Departmental Revenues*

DEA: Increased RIPAE Pharmaceutical Rebates 710,856

DHS: Child Support Enforcement Parent Distribution 428,564

*Subtotal: Miscellaneous Departmental Revenues \$1,139,420*

**Subtotal: All Departmental Revenues \$11,164,360**

#### Other Sources

##### *Gas Tax*

RIPTA: Transfer \$0.006 of Gas Tax Allocation to General Fund 472,000

*Subtotal: Gas Tax \$472,000*

##### *Other Miscellaneous*

Lottery: Eliminate the Dog Kennel Owners Share of VLT NTI 4,083,200

*Subtotal: Other Miscellaneous \$4,083,200*

**Subtotal: Other Sources \$4,555,200**

**Total FY 2004 General Revenue Adjustments \$15,719,560**

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## General Revenue Changes to Adopted Estimates

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### FY 2005

#### Taxes

##### *Personal Income Tax*

Require Income Tax Withholding for Nonresident Shareholders	2,000,000
Repeal Enterprise Zone Wage Tax Credit	2,578,995
Repeal Enterprise Zone Donations Tax Credit	649,414
Repeal Enterprise Zone Interest Income Tax Credit	194,078
Repeal ISO Certification Tax Credit	6,718
Repeal SBA Loan Guaranty Fee Tax Credit	465,289
Fiscal Fitness: Require Tax Clearance for State Issued Licenses	6,500,000
Fiscal Fitness: Increase Division of Taxation Field Auditors by 6.0 FTE	831,373

***Subtotal: Personal Income Taxes*** **\$13,225,867**

##### *General Business Taxes*

Corporate Income Tax: Repeal Enterprise Zone Wage Tax Credit	426,370
Corporate Income Tax: Repeal Enterprise Zone Donations Tax Credit	26,306
Corporate Income Tax: Repeal Enterprise Zone Interest Income Tax Credit	7,000
Corporate Income Tax: Repeal ISO Certification Tax Credit	34,300
Corporate Income Tax: Repeal SBA Loan Guaranty Fee Tax Credit	91,700
Corporate Income Tax: Increase Corporate Minimum and Franchise Taxes	7,043,000

***Subtotal: General Business Taxes*** **\$7,628,676**

##### *Sales and Use Taxes*

Sales Tax: Collections on Increased Cigarette Tax	2,393,947
Cigarette Tax: Increase Cigarette Tax by \$0.75 per Pack	34,199,240
Cigarette Tax: Cigarette Floor Stock Tax from Higher Cigarette Tax	3,659,924
Cigarette Tax: Freeze Cigarette Stamping Discount at Current Level	574,177
Fiscal Fitness: Prepayment of Sales Tax on Cigarettes	1,895,581

***Subtotal: Sales and Use Taxes*** **\$42,722,869**

**Subtotal: All Taxes** **\$63,577,412**

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## General Revenue Changes to Adopted Estimates

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### FY 2005

#### Departmental Revenues

##### *Licenses and Fees*

DLT: Increase Licensing Exam Fees for Apprentice Trades	124,800
DHS: Reinstigate Hospital Licensing Fee	62,446,919
Fiscal Fitness: Increase Various Licenses and Fees for DEM	292,298
Fiscal Fitness: Increase Various Licenses and Fees for DBR	611,090
Fiscal Fitness: Implement Child Care Facility Licensing Fee for DCYF	386,300
Fiscal Fitness: Increase DOE Teacher Certification Fees	450,000
Fiscal Fitness: Institute Annual Fee for DOA Tobacco Dealers License	40,000
Fiscal Fitness: Increase DOH License Fees for Assisted Living Facilities	101,995
Fiscal Fitness: Increase DOH License Fees for Nursing Facilities	588,435
Fiscal Fitness: Increase DOT Fee for Physical Alteration Permits	211,700

***Subtotal: Licenses and Fees*** **\$65,253,537**

##### *Fines and Penalties*

Judiciary: Income Tax Refund Intercept for Fines Owed	3,996,761
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***Subtotal: Fines and Penalties*** **\$3,996,761**

##### *Sales and Services*

DEM: Sale of Surplus Land - Promet Property	1,000,000
MHRH: Enhance Uncompensated Care Revenues at Slater Hospital	10,551,250

***Subtotal: Sales and Services*** **\$11,551,250**

##### *Miscellaneous Departmental Revenues*

DEA: Increased RIPAE Pharmaceutical Rebates for Expanded Formulary	1,220,059
DEA: Decreased RIPAE Pharmaceutical Rebates for Medicare Drug Card	(679,050)
Fiscal Fitness: Eliminate Child Support Enforcement Parent Distribution	1,700,000

***Subtotal: Miscellaneous Departmental Revenues*** **\$2,241,009**

**Subtotal: All Departmental Revenues** **\$83,042,557**

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## General Revenue Changes to Adopted Estimates

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### FY 2005

#### Other Sources

##### *Gas Tax*

RIPTA: Transfer \$0.006 of Gas Tax Allocation to General Fund 2,880,000

*Subtotal: Gas Tax* **\$2,880,000**

##### *Other Miscellaneous*

Resource Recovery Corporation: Transfer of Retained Earnings 4,300,000

Sinking Fund: Transfer Bond Proceeds Earnings to General Fund 4,000,000

Sinking Fund: Transfer Other Earnings to General Fund 173,000

Sinking Fund: Transfer DEPCO Proceeds to General Fund 420,000

*Subtotal: Other Miscellaneous* **\$8,893,000**

##### *Lottery*

Eliminate Dog Kennel Owners Allocation of Lincoln Park NTI 10,553,686

*Subtotal: Lottery* **\$10,553,686**

**Subtotal: Other Sources** **\$22,326,686**

**Total FY 2005 General Revenue Adjustments** **\$168,946,655**

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## Other Revenue Enhancements

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### **FY 2005**

#### **Restricted Receipts**

DCYF: Implement Parental Contribution Program	72,000
PUC: Expand \$0.26 Wireline Charge to Wireless for E-911 GIS	1,650,016

*Subtotal: Restricted Receipts* **\$1,722,016**

**Total FY 2005 Other Revenue Adjustments** **\$1,722,016**

# General Revenue Budget Surplus Statement

	FY2002 Actual	FY2003 Unaudited	FY 2004		FY2005 Recommended
			Enacted	Revised	
<b>Surplus</b>					
Opening Surplus	\$ 131,182,473	\$ 31,038,882	\$ 35,708,894	\$ 43,950,395	\$ 104,422
Certain anticipated audit adjustments		42,024			
Reappropriated Surplus	11,056,417	7,770,428		7,991,948	
Subtotal	<b>142,238,890</b>	<b>38,851,334</b>	<b>35,708,894</b>	<b>51,942,343</b>	<b>104,422</b>
<b>General Taxes</b>	1,905,130,724	2,013,020,329	2,125,785,533	2,125,785,533	2,221,180,000
Revenue estimators' revision	-	-	-	(3,055,533)	-
Changes to adopted revenue estimates					63,577,412
Certain anticipated audit adjustments		(272,383)			
Subtotal	<b>1,905,130,724</b>	<b>2,012,747,946</b>	<b>2,125,785,533</b>	<b>2,122,730,000</b>	<b>2,284,757,412</b>
<b>Departmental Revenues</b>	243,364,829	283,308,490	287,037,601	287,037,601	227,800,000
Revenue estimators' revision	-	-	-	(37,601)	-
Changes to adopted revenue estimates				11,164,360	83,042,557
Certain anticipated audit adjustments		84,772			
Subtotal	<b>243,364,829</b>	<b>283,393,262</b>	<b>287,037,601</b>	<b>298,164,360</b>	<b>310,842,557</b>
<b>Other Sources</b>					
Gas Tax Transfers	553,531	25,506,330	6,608,000	6,608,000	6,720,000
Rev Estimators' revision-Gas Tax	-	-			-
Changes to adopted revenue estimates				472,000	2,880,000
Other Miscellaneous	232,339,319	184,014,133	71,341,582	71,341,582	10,116,632
Rev Estimators' revision-Miscellaneous	-	-	-	(304,355)	-
Changes to adopted revenue estimates					8,893,000
Lottery	214,141,576	236,636,756	301,770,293	301,770,293	315,000,000
Revenue Estimators' revision-Lottery	-	-	-	(18,670,293)	-
Changes to adopted revenue estimates				4,083,200	10,553,686
Unclaimed Property	7,419,763	8,458,048	12,900,000	12,900,000	8,570,000
Revenue Estimators' revision-Unclaimed	-	-	-	1,590,000	-
Subtotal	<b>454,454,189</b>	<b>454,615,267</b>	<b>392,619,875</b>	<b>379,790,427</b>	<b>362,733,318</b>
<b>Total Revenues</b>	<b>\$ 2,602,949,742</b>	<b>\$ 2,750,756,475</b>	<b>\$ 2,805,443,009</b>	<b>\$ 2,800,684,787</b>	<b>\$ 2,958,333,287</b>
<b>Budget Stabilization</b>	<b>(54,682,648)</b>	<b>(55,636,748)</b>	<b>(56,823,039)</b>	<b>(56,892,704)</b>	<b>(59,168,754)</b>
<b>Total Available</b>	<b>\$ 2,690,505,984</b>	<b>\$ 2,733,971,062</b>	<b>\$ 2,784,328,865</b>	<b>\$ 2,795,734,426</b>	<b>\$ 2,899,268,955</b>
Actual/Enacted Expenditures	\$ 2,651,654,648	\$ 2,682,753,719	\$ 2,783,776,459	\$ 2,783,776,459	\$ 2,898,964,410
Reappropriations	-	-	-	7,991,948	
Certain anticipated audit adjustments		(725,000)			
Budget Balancing Plan					
Recommended changes to appropriations	-	-	-	3,861,597	
<b>Total Expenditures</b>	<b>\$ 2,651,654,648</b>	<b>\$ 2,682,028,719</b>	<b>\$ 2,783,776,459</b>	<b>\$ 2,795,630,004</b>	<b>\$ 2,898,964,410</b>
<b>Free Surplus</b>	<b>\$ 31,038,882</b>	<b>\$ 43,950,395</b>	<b>\$ 552,406</b>	<b>\$ 104,422</b>	<b>\$ 304,545</b>
<b>Reappropriations</b>	<b>7,812,454</b>	<b>7,991,948</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Total Ending Balances</b>	<b>\$ 38,851,336</b>	<b>\$ 51,942,343</b>	<b>\$ 552,406</b>	<b>\$ 104,422</b>	<b>\$ 304,545</b>
<b>Budget Reserve and Cash</b>					
<b>Stabilization Account</b>	<b>\$ 82,023,966</b>	<b>\$ 83,455,121</b>	<b>\$ 85,234,557</b>	<b>\$ 85,339,055</b>	<b>\$ 88,753,131</b>

# **Changes to FY 2004**



# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b><u>General Government</u></b>				
<b>Administration</b>				
Central Management	2,131,791	47,616		
Personnel			(15,434)	
Blue Cross Settlement Adjustment			(4,248)	
Actuarial Study of Retirement System			30,000	
Fiscal Fitness - Spend Analysis			200,000	
Purchased Services			(5,312)	
Miscellaneous Operating			1,032	
Advertising Expenses Judicial Nom. Comm.			4,500	
	2,131,791	47,616	210,538	2,389,945
Accounts & Control	9,703,397	27,621		
Personnel			58,219	
Blue Cross Settlement Adjustment			(7,807)	
Information Processing Rotary Costs			(145,566)	
Miscellaneous Operating			24,143	
Shift Retiree Health Subsidy to General Prog.			(5,185,227)	
	9,703,397	27,621	(5,256,238)	4,474,780
Budgeting	2,304,799	90,011		
Personnel			9,008	
Blue Cross Settlement Adjustment			(3,737)	
Purchased Services			(3,000)	
Operating			(21,431)	
	2,304,799	90,011	(19,160)	2,375,650
Municipal Affairs	1,253,325			
Personnel			(40,021)	
Blue Cross Settlement Adjustment			(2,789)	
Purchased Services			22,940	
Operating			17,081	
	1,253,325		(2,789)	1,250,536
Purchasing	1,916,327	70,000		
Personnel			69,737	
Blue Cross Settlement Adjustment			(5,243)	
Purchased Services			20,600	
Operating/Grants			(25,843)	
	1,916,327	70,000	59,251	2,045,578
Auditing	1,677,216			
Personnel			(3,775)	
Blue Cross Settlement Adjustment			(2,962)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Operating	1,677,216		3,775 (2,962)	1,674,254
Human Resources	6,804,969	84,272		
Personnel			25,130	
Blue Cross Settlement Adjustment			(13,921)	
URI Healthcare Mgmt Center			105,000	
Blue Cross Settlement Audit			50,000	
Other Purchased Services			(43,322)	
Operating			114,963	
Grants			(15,935)	
	6,804,969	84,272	221,915	7,111,156
Personnel Appeal Board	116,182			
Personnel			3,905	
Blue Cross Settlement Adjustment			(80)	
Legal Services			(1,393)	
	116,182		2,432	118,614
Taxation	17,233,778	198,450		
Personnel			433,747	
Blue Cross Settlement Adjustment			(32,211)	
Purchased Services			(27,430)	
Operating			(209,956)	
Grants and Benefits			524	
	17,233,778	198,450	164,674	17,596,902
Registry	15,541,057	350,137		
Personnel			565,144	
Blue Cross Settlement Adjustment			(31,110)	
Security and Janitorial			83,885	
Operating Expenses			(199,760)	
Grants and Benefits			215	
	15,541,057	350,137	418,374	16,309,568
Child Support	3,294,395			
Personnel			80,987	
Blue Cross Settlement Adjustment			(6,660)	
Purchased Services			135,901	
Operating/Grants and Benefits			(216,888)	
	3,294,395		(6,660)	3,287,735
Central Services	14,291,837	1,894,491		
Energy Office Grants	356,407		20,126	
Personnel			(250,030)	
Blue Cross Settlement Adjustment			(22,901)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Purchased Services			(269,305)	
Operating			711,345	
Grants and Benefits			111	
	14,648,244	1,894,491	189,346	16,732,081
Office of Library & Information Services	3,430,001			
Personnel			(71,035)	
Blue Cross Settlement Adjustment			(4,880)	
Purchased Services			426,875	
Operating			(385,645)	
Rivers Council Grants			5,250	
	3,430,001		(29,435)	3,400,566
General	229,924,239	1,456,360		
Motor Vehicle Exise Tax Rev Estimate			649,257	
Library Construction Aid			(380,348)	
General Revenue Sharing			12,469	
Shift of Retiree Health Subsidy from A&C			5,185,227	
Retiree Health Subsidy Adjustment			(222,963)	
Traveler's Aid Project			1,900,000	
Housing Resources Commission			(1,800,000)	
Blue Cross Settlement Adjustment			(690)	
EDC- Tuscany delegation			(100,000)	
Rivers Council Grants			(5,250)	
	229,924,239	1,456,360	5,237,702	236,618,301
Debt Service Payments	84,998,150			
General Obligation Debt Service			(14,697,000)	
General Obligation Debt Service			(1,837,500)	
Convention Center Authority			(1,703,825)	
Interest on TANS			6,228	
COPS - DLT Center General Bldg			(27,357)	
COPS - Center General Furniture			3,567	
COPS - Telecommunications			(15,205)	
COPS - Kent County Courthouse			(1,554,300)	
Fidelity Job Rent Credits			(589,000)	
	84,998,150		(20,414,392)	64,583,758
Sheriffs	14,016,893	37,370		
Personnel			(561,892)	
Blue Cross Settlement Adjustment			(38,503)	
Security and Temporary Services			53,649	
Operating/Grants and Benefits			172,857	
Withdrawal of Reappropriated Funds			(37,370)	
	14,016,893	37,370	(411,259)	13,643,004

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b>Total</b>	<b>408,994,763</b>	<b>4,256,328</b>	<b>(19,638,663)</b>	<b>393,612,428</b>
<b>Business Regulation</b>				
Central Management	1,645,971			
Personnel			(302)	
Operating			46,086	
Blue Cross Settlement Adjustment			(2,440)	
	1,645,971		43,344	1,689,315
Banking Regulation	1,627,501			
Personnel			29,287	
Operating			14,270	
Blue Cross Settlement Adjustment			(2,666)	
	1,627,501		40,891	1,668,392
Securities Regulation	799,456			
Personnel			(5,365)	
Operating			1,836	
Blue Cross Settlement Adjustment			(1,787)	
	799,456		(5,316)	794,140
Commercial Licensing and Regulation	1,167,196			
Personnel			8,167	
Operating			4,733	
Blue Cross Settlement Adjustment			(2,009)	
	1,167,196		10,891	1,178,087
Racing and Athletics	718,056			
Personnel			(51,308)	
Jai Alai Administration			(39,995)	
Operating			5,426	
Blue Cross Settlement Adjustment			(871)	
	718,056		(86,748)	631,308
Insurance Regulation	3,598,012			
Personnel			(68,415)	
Contract Services			4,400	
Operating			5,884	
Gramm-Leach-Bliley		287,941		
Blue Cross Settlement Adjustment			(7,008)	
	3,598,012	287,941	(65,139)	3,820,814

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Board of Accountancy	124,713			
Personnel			5,301	
Contract Services				
Operating				
Blue Cross Settlement Adjustment			(290)	
	124,713		5,011	129,724
<b>Total</b>	<b>9,680,905</b>	<b>287,941</b>	<b>(57,066)</b>	<b>9,911,780</b>
<b>Labor and Training</b>				
Central Management	352,820			
Arbitration of Teacher Disputes			(20,068)	
Turnover Savings from Vacancy			(57,854)	
Blue Cross Settlement Adjustment			(875)	
Purchased Services			513	
Operating			523	
	352,820		(77,761)	275,059
Workforce Development Sevices	1,400,000			
	1,400,000			1,400,000
Workforce Regulation and Safety	2,872,587			
Personnel			2,443	
Operating			26,694	
Blue Cross Settlnent Adjustment			(6,511)	
Shift Expend. to Tardy and Interest Funds			(683,884)	
Grants and Benefits			36	
	2,872,587		(661,222)	2,211,365
Income Support	2,899,417			
Personnel and Operating			6,621	
Blue Cross Settlement Adjustment			(208)	
Police and Fire Relief Payments			257,542	
	2,899,417		263,955	3,163,372
Labor Relations Board	390,989			
Personnel			13,517	
Blue Cross Settlement Adjustment			(699)	
Operating			5,456	
	390,989		18,274	409,263

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b>Total</b>	<b>7,915,813</b>	<b>-</b>	<b>(456,754)</b>	<b>7,459,059</b>
<b>Legislature</b>				
Legislature	27,326,547			
General Assembly		225,000		
Capital TV		65,000		
Joint Committee on Legislative Affairs		1,444,898		
General Assembly			(48,267)	
Fiscal Advisory Staff			(47,932)	
Legislative Council			46,544	
Joint Committee on Legislative Affairs			45,090	
Auditor General			4,565	
Blue Cross Settlement Adjustment			(69,270)	
<b>Total</b>	<b>27,326,547</b>	<b>1,734,898</b>	<b>(69,270)</b>	<b>28,992,175</b>
<b>Office of the Lieutenant Governor</b>				
Lt. Governor's Office - General	860,138			
Reappropriation-Vehicle		3,203	(3,203)	
Salary & Fringe Costs			(19,200)	
Blue Cross Settlement Change			(1,401)	
DataLogic Request			5,827	
Computer Upgrades			6,622	
Vehicle Purchase-COPS			(7,500)	
<b>Total</b>	<b>860,138</b>	<b>3,203</b>	<b>(18,855)</b>	<b>844,486</b>
<b>Secretary of State</b>				
Administration	1,180,127			
Reallocate FTE's and Reorganization			222,372	
Blue Cross Settlement			(1,931)	
Maintenance Contract			3,500	
Legal Services			35,856	
Automotive Equipment/Maint.			(41,533)	
	1,180,127		218,264	1,398,391
Corporations	1,785,231			
Reallocate FTE's and Reorganization			(220,702)	
Blue Cross Settlement			(4,462)	
Temporary Personnel Services			23,703	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Operating Expenses			(220)	
	1,785,231		(201,681)	1,583,550
State Archives	97,823			
Shift Personnel Costs to G.R			69,575	
Security and Fire Protection Services			1,000	
Shift Operating Expense to Restricted			(73,973)	
	97,823		(3,398)	94,425
Elections	363,039			
Fringe Benefit Costs			8,871	
Blue Cross Settlement			(677)	
Translation Services - Pres. Pref. Prim.			5,000	
Presidential Preference Primary			27,205	
	363,039		40,399	403,438
State Library	680,008			
Reduce Turnover & Adj. Fringe Ben.			31,353	
Blue Cross Settlement			(594)	
Operating			350	
	680,008		31,109	711,117
Office of Public Information	519,461			
Reallocate FTE's and Reorganization			(87,296)	
Blue Cross Settlement			(1,144)	
Education and Special Clerical Services			9,922	
Telephone, Printing & Other Operating			(14,983)	
	519,461		(93,501)	425,960
<b>Total</b>	<b>4,625,689</b>	<b>-</b>	<b>(8,808)</b>	<b>4,616,881</b>
<b>Office of the General Treasurer</b>				
Treasury	2,550,213			
Payroll			18,776	
Operating			(34,995)	
Blue Cross Adjustment			(5,465)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
	2,550,213		(21,684)	2,528,529
RI Refunding Bond Authority	79,996			
Payroll			(14)	
Blue Cross Adjustment			(39)	
	79,996		(53)	79,943
Crime Victim Compensation Program	215,080			
Payroll			4,678	
Operating			11,555	
Station Fire Compensation Fund			800,000	
Blue Cross Adjustment			(551)	
	215,080		815,682	1,030,762
<b>Total</b>	<b>2,845,289</b>	-	<b>793,945</b>	<b>3,639,234</b>
<b>Boards For Design Professionals</b>				
Boards For Design Professionals	391,338			
Operating			6,091	
Personnel			(700)	
Purchased Services			1,000	
Legal Services			11,544	
Blue Cross Settlement Adjustment			(902)	
<b>Total</b>	<b>391,338</b>	-	<b>17,033</b>	<b>408,371</b>
<b>Board of Elections</b>				
Board Of Elections	1,365,467			
Salary and Fringe Benefits			22,723	
Blue Cross Settlement			(2,792)	
Purchased Legal Services			(20,000)	
Presidential Pref. Prim./Local Elections			38,875	
Building & Grounds/Misc. Operating			8,021	
<b>Total</b>	<b>1,365,467</b>	-	<b>46,827</b>	<b>1,412,294</b>
<b>RI Ethics Commissions</b>				
RI Ethics Commission	942,594			
Reappropriation- Program Operations		26,397		
Personnel Adjustments			(18,962)	
Operating Adjustments			(1,410)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Blue Cross Settlement Adjustment			(1,779)	
<b>Total</b>	<b>942,594</b>	<b>26,397</b>	<b>(22,151)</b>	<b>946,840</b>
<b>Office of the Governor</b>				
Office Of Governor	4,277,878			
Reappropriation		184,356		
Blue Cross Settlement Change			(7,920)	
<b>Total</b>	<b>4,277,878</b>	<b>184,356</b>	<b>(7,920)</b>	<b>4,454,314</b>
<b>Public Utilities Commission</b>				
Public Utilities Commission	693,237			
Payroll			(8,603)	
Blue Cross Settlement Adjustment			(887)	
Contract Services			1,880	
Other Operating			1,410	
Benefits - Postemployment			63	
<b>Total</b>	<b>693,237</b>	<b>-</b>	<b>(6,137)</b>	<b>687,100</b>
<b>Rhode Island Commission on Women</b>				
Rhode Island Commission on Women	143,393			
Personnel			(77,798)	
Operating			2,567	
Blue Cross Settlement Adjustment			(80)	
<b>Total</b>	<b>143,393</b>	<b>-</b>	<b>(75,311)</b>	<b>68,082</b>
<b>Sub-Total General Government</b>	<b>470,063,051</b>	<b>6,493,123</b>	<b>(19,503,130)</b>	<b>457,053,044</b>

## Human Services

### Children, Youth, and Families

Central Management	7,688,399			
Salaries and Fringe Benefits			300,652	
Consultants			88,220	
Operating			(255,343)	
Grants and Benefits			451	
Blue Cross Settlement Adjustment			(9,693)	
	7,688,399		124,287	7,812,686
Children's Behavioral Health	19,349,256			

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Salaries and Fringe Benefits			362,949	
Consultant Services			310,705	
Operating			30,980	
Grants and Benefits			1,527,873	
Blue Cross Settlement Adjustment			(2,311)	
	19,349,256		2,230,196	21,579,452
Juvenile Corrections	26,659,023			
Salaries and Fringe Benefits			162,426	
Consultant Services			116,310	
Operating			261,958	
Grants and Benefits			242,466	
Blue Cross Settlement Adjustment			(46,594)	
	26,659,023		736,566	27,395,589
Child Welfare	82,413,103			
Salaries and Fringe Benefits			(554,973)	
Consultant Services			(211,899)	
Operating			(349,093)	
Grants and Benefits			2,600,839	
Blue Cross Settlement Adjustment			(45,010)	
	82,413,103		1,439,864	83,852,967
Higher Education Opportunity Incentive Grant	200,000			
Total	200,000			200,000
<b>Total</b>	<b>136,309,781</b>	<b>-</b>	<b>4,530,913</b>	<b>140,840,694</b>

## Elderly Affairs

Elderly Affairs	27,694,995			
Personnel - Administrative Services			252,587	
Personnel - Program Services			(293,868)	
Operating			3,388	
Blue Cross Settlement Adjustment			(6,228)	
Rental of Outside Property			(31,982)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
RIPAE - Drug Cost			1,027,829	
RIPAE - Management Services			95,667	
Other Grants			-	
MMIS Medicaid Payments - Taxable			(9,096)	
Consultants			1,500	
Transportation- Paratransit Program			800,000	
All other				
<b>Total</b>	<b>27,694,995</b>	<b>-</b>	<b>1,839,797</b>	<b>29,534,792</b>
<b>Health</b>				
Central Management	2,646,349			
Payroll			(447,714)	
Blue Cross Settlement Adjustment			(5,256)	
Contract Services			13,086	
Other Operating			3,719	
	2,646,349		(436,165)	2,210,184
State Medical Examiner	1,810,305			
Payroll			(18,740)	
Blue Cross Settlement Adjustment			(2,788)	
Contract Services			10,295	
Other Operating			8,445	
	1,810,305		(2,788)	1,807,517
Family Health	8,636,010			
Payroll			(112,735)	
Blue Cross Settlement Adjustment			(3,233)	
Contract Services			71,886	
Other Operating			(2,999)	
Medicaid Adjustment			12,941	
Early Intervention Services			437,167	
	8,636,010		403,027	9,039,037
Health Services Regulation	4,487,878			
Payroll			(106,036)	
Blue Cross Settlement Adjustment			(9,755)	
Contract Services			180,551	
Other Operating			(11,446)	
Benefits - Postemployment			1,160	
	4,487,878		54,474	4,542,352
Environmental Health	4,384,145			
Payroll			(124,393)	
Blue Cross Settlement Adjustment			(9,902)	
Contract Services			104,775	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Other Operating			41,141	
Benefits - Retirees			1,040	
Medicaid Adjustment			(22,563)	
	4,384,145		(9,902)	4,374,243
Health Laboratories	6,179,326			
Payroll			71,392	
Blue Cross Settlement Adjustment			(12,421)	
Contract Services			3,570	
Other Operating			(73,310)	
Benefits - Retirees			(1,650)	
	6,179,326		(12,419)	6,166,907
Disease Prevention and Control	5,948,337			
Payroll			11,129	
Blue Cross Settlement Adjustment			(2,666)	
Contract Services			107,700	
Other Operating			3,724	
Grants Reallocation to Contract			(122,555)	
	5,948,337		(2,668)	5,945,669
<b>Total</b>	<b>34,092,350</b>	<b>-</b>	<b>(6,441)</b>	<b>34,085,909</b>

## Human Services

Central Management	6,473,722			
Forand Building Maintenance		31,791		
Blue Cross Settlement Adjustment			(4,465)	
Operating Costs			(47,862)	
Turnover and offsets to increased indirect cost recoveries			(132,391)	
Reallocation, grants to HQF, State Funded		(65,000)		
	6,473,722	(33,209)	(184,718)	6,255,795
Individual and Family Support	20,137,036			
Information Services			132,666	
Blue Cross Settlement Adjustment			(32,254)	
Reallocation, InRhodes to Health Care		(197,699)		
Net other personnel and operating			2,780	
Office Rentals			52,607	
	20,137,036	(197,699)	155,799	20,095,136
Veterans' Affairs	16,340,861			
Reduced federal reimbursents			43,687	
Blue Cross Settlement Adjustment			(51,076)	
Contract services			100,656	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Net other personnel and operating			(6,787)	
	16,340,861		86,480	16,427,341
Health Care Quality, Financing and Purchasing	23,746,254			
HIPAA Contracting		234,998		
Blue Cross Settlement Adjustment			(25,160)	
Record Local Special Education Match			1,023,658	
Personnel, including vacancies			(843,197)	
Reallocation grant from Central Management		60,000		
Reallocation, InRhodes from IFS		197,699		
Operating			(179,281)	
Contract Services			556,177	
	23,746,254	492,697	532,197	24,771,148
Medical Benefits	449,738,713			
Caseload Conference			6,661,287	
Rite Care- Enhanced reimbursements to RIPTA			558,677	
Increased Uncompensated Care, State Match			4,588,023	
Additional Offsets to federal S-CHIP			(500,000)	
Revenue Maximization Initiative			(1,160,371)	
	449,738,713		10,147,616	459,886,329
S.S.I. Program- Caseload Conference	26,824,008		239,772	
	26,824,008		239,772	27,063,780
Family Independence Program	77,040,192			
TANF/FIP Caseload Conference			(7,558)	
Child Care- Caseload Conference			1,356,163	
TANF Grant Penalty from Child Support Enforcement			708,749	
Child Care - Increased federal resources			(5,449,802)	
	77,040,192		(3,392,448)	73,647,744
State Funded Programs	2,974,132			
Food Stamps-Caseload Conference				
GPA- Caseload Conference			4,068	
Reallocate grant from Central Management		5,000		
GPA- Hardship (non-CEC)			28,300	
	2,974,132	5,000	32,368	3,011,500
<b>Total</b>	<b>623,274,918</b>	<b>266,789</b>	<b>7,617,066</b>	<b>631,158,773</b>

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b>Mental Health, Retardation, &amp; Hospitals</b>				
Central Management	2,260,725			
Turnover Savings			(239,113)	
Blue Cross Settlement			(4,627)	
Consulting Engineer			59,850	
Information Technology Services			21,893	
	2,260,725		(161,997)	2,098,728
Hosp. & Community System Support	20,075,397			
Turnover Savings			(1,230,046)	
Blue Cross Settlement			(29,748)	
Power Plant Operating Contract			1,831,436	
Energy Consultant			140,000	
Other Purchased Services			(5,787)	
Temporary Boilers and Generators			426,082	
Utilities - Oil			1,404,382	
Utilities - Gas			1,358,644	
Utilities - Electricity			(239,998)	
Campus Repairs			(600,000)	
Utilities - Sewer			125,000	
Other Operating Adjustments			(23,869)	
Total	20,075,397		3,156,096	23,231,493
Services. for the Developmentally Disabled	94,583,493			
RICLAS Overtime and Turnover Sav.			912,715	
Blue Cross Settlement			(49,108)	
Purchased Services			84,682	
Group Home Insurance & Auto. Exp			81,427	
Rebase Private Comm. Grant based Ser.			(1,032,609)	
Pirovano Trust		52,498		
	94,583,493	52,498	(2,893)	94,633,098
Integrated Mental Health Services	33,660,790			
Shift Personnel to Federal/Turnover			(66,066)	
Blue Cross Settlement			(1,984)	
Medicaid Utilization			731,915	
In-Patient Psy. Hosp. to DSH - Pool I			(1,343,506)	
Inpatient Psychiatric Hospitalization			1,216,796	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
	33,660,790		537,155	34,197,945
Hosp. & Community Rehab. Services	44,647,530			
Purch. Medical & Other Personnel Ser.			(16,949)	
Blue Cross Settlement			(100,210)	
Unachieved Ward Closures			1,750,729	
	44,647,530		1,633,570	46,281,100
Substance Abuse	14,339,975			
Shift Personnel to Federal/Turnover			(163,837)	
Blue Cross Settlement			(4,171)	
Information Processing & Other Oper.			27,184	
Medicaid Utilization			251,730	
	14,339,975		110,906	14,450,881
<b>Total</b>	<b>209,567,910</b>	<b>52,498</b>	<b>5,272,837</b>	<b>214,893,245</b>
<b>Office of the Child Advocate</b>	414,844			
Personnel Adjustments			59,911	
Personnel Cost Allocation				
Operating			5,200	
Blue Cross Settlement Adjustment			(1,066)	
<b>Total</b>	<b>414,844</b>	-	<b>64,045</b>	<b>478,889</b>
<b>Commission on Deaf and Hard of Hearing</b>	285,014			
Personnel Adjustments			(10,658)	
Blue Cross Settlement Adjustment			(423)	
Strategic Plan & Internal Database			5,000	
Operating Adjustments			6,250	
Adjustment to Interpreter Services			(16,000)	
<b>Total</b>	<b>285,014</b>		<b>(15,831)</b>	<b>269,183</b>
<b>Governor's Commission on Disabilities</b>	534,430			
Personnel			3,549	
Contract Services			(1,313)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Legal Services			(2,236)	
Operating			7,500	
Blue Cross Settlement Adjustment			(713)	
<b>Total</b>	<b>534,430</b>	<b>-</b>	<b>6,787</b>	<b>541,217</b>
<b>Commission for Human Rights</b>	895,306			
Net operating- rental increase			27,533	
Blue Cross Settlement Adjustment			(1,751)	
Irrecoverable Federal Costs, Prior Years			116,557	
<b>Total</b>	<b>895,306</b>	<b>-</b>	<b>142,339</b>	<b>1,037,645</b>
<b>Office of the Mental Health Advocate</b>	317,656			
			-	
Unrealized Turnover			4,281	
Blue Cross Settlement			(589)	
Purchased Medical Services			(1,939)	
Operating			(2,342)	
<b>Total</b>	<b>317,656</b>	<b>-</b>	<b>(589)</b>	<b>317,067</b>
<b>Sub-Total Human Services</b>	<b>1,033,387,204</b>	<b>319,287</b>	<b>19,450,923</b>	<b>1,053,157,414</b>

## Education

### Elementary and Secondary Education

State Education Aid	617,153,303			
Reappropriation-PSI		12,500		
Charter School Addition			233,168	
School Breakfast Surplus			(52,127)	
	617,153,303	12,500	181,041	617,346,844
School Housing Aid	40,747,193			
Housing Aid Adjustment			(113,077)	
	40,747,193		(113,077)	40,634,116
Teacher's Retirement	45,448,832			
Retirement Adjustment			763,774	
	45,448,832		763,774	46,212,606
RI School for the Deaf	5,631,171			
Personnel & Operating			83,201	

## Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Blue Cross Settlement Change			(12,751)	
Facility A & E Costs			10,000	
Vision Serv-Perkins School			130,425	
	5,631,171		210,875	5,842,046
Central Falls School District	35,635,332			
	35,635,332			35,635,332
Davies Career & Technical School	11,329,692			
Personnel Costs			(240,347)	
Blue Cross Settlement Change			(22,987)	
Repair of Heating Units			185,000	
Operating Costs			(101,078)	
	11,329,692		(179,412)	11,150,280
Met. Career & Tech. School	5,750,000			
	5,750,000			5,750,000
Administration of the Comp. Education Strategy	14,873,548			
Reappropriation-IT Upgrade		60,000		
Reallocation- Hope High School Grant to OHE		(75,000)		
Reallocation from Transportation Study		10,000		
Reallocation- Drivers Education to Higher Education			(357,640)	
Personnel Costs			53,924	
Blue Cross Settlement Change			(14,944)	
Contract Services			12,488	
Education Comm. Of the States Dues			45,000	
Operating Costs			54,910	
Amer-I-can Grant Reduction			(50,000)	
	14,873,548	(5,000)	(256,262)	14,612,286
Tranportation Study	10,000			
Reallocation to ACES		(10,000)		
	10,000	(10,000)		-
<b>Total</b>	<b>776,579,071</b>	<b>(2,500)</b>	<b>606,939</b>	<b>777,183,510</b>
<b>Higher Education</b>	172,088,777			
Reappropriation		176,149		
Reallocation- Hope High School Grant from RIDE		75,000		
Blue Cross Settlement Adjustment			(278,279)	
<b>Total</b>	<b>172,088,777</b>	<b>251,149</b>	<b>(278,279)</b>	<b>172,061,647</b>

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b>RI Council On The Arts</b>	2,314,223			
Film Office Restructuring			(3,748)	
Blue Cross Settlement Adjustment			(838)	
Restrict First Night grant			(8,000)	
Net other items			(1,196)	
<b>Total</b>	<b>2,314,223</b>	-	<b>(13,782)</b>	<b>2,300,441</b>
<b>RI Atomic Energy Commission</b>	646,012			
Atomic Energy Commission				
Personnel			54,655	
Operating			2,631	
Blue Cross Settlement Adjustment			(848)	
			-	
<b>Total</b>	<b>646,012</b>	-	<b>56,438</b>	<b>702,450</b>
<b>RI Higher Education Assistance Authority</b>	11,059,662			
Personnel Adjustments			(11,445)	
Operating			11,445	
New England Higher Ed. Compact				
Blue Cross Settlement Adjustment			(1,640)	
<b>Total</b>	<b>11,059,662</b>	-	<b>(1,640)</b>	<b>11,058,022</b>
<b>RI Historical Preservation &amp; Heritage Commission</b>	1,074,360			
Personnel			10,909	
Blue Cross Settlement Adjustment			(3,238)	
Operating			(4,107)	
Eisenhower House Operating		20,502	(8,343)	
<b>Total</b>	<b>1,074,360</b>	<b>20,502</b>	<b>(4,778)</b>	<b>1,090,084</b>
<b>RI Public Telecommunications Authority</b>	1,196,530			
Reappropriation-Closed Captioning		16,500		
Reappropriation-Tower Lease Costs		39,338		
Salary & Fringe Benefits Increases			79,535	
Blue Cross Settlement Change			(2,081)	
Operating Increases-DTV Related			13,879	
Other Operating Adjustments			(16,350)	
Personnel Reductions			(56,956)	
Closed Captioning Adjustment			(25,000)	
<b>Total</b>	<b>1,196,530</b>	<b>55,838</b>	<b>(6,973)</b>	<b>1,245,395</b>
<b>Sub-Total Education</b>	<b>964,958,635</b>	<b>324,989</b>	<b>357,925</b>	<b>965,641,549</b>

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b><u>Public Safety</u></b>				
<b>Attorney General</b>				
Criminal	10,652,958			
Station Fire Consulting		89,000		
Station Fire Adjustment			(135,177)	
Personnel			(85,753)	
Purchased Services			2,232	
Operating			6,052	
	10,652,958	89,000	(212,646)	10,529,312
Civil	3,388,226			
Racial Profiling		14,890		
Civil Reappropriation		100,000		
Reduce .2 FTE			(15,047)	
Personnel			(62,926)	
Purchased Services			(56,450)	
Operating			(51,500)	
	3,388,226	114,890	(185,923)	3,317,193
Bureau of Criminal Identification	715,765			
BCI Maintenance Costs		3,000		
Personnel			(7,621)	
Purchased Services			(15,000)	
Operating			17,300	
	715,765	3,000	(5,321)	713,444
General	1,810,149			
General - Reappropriation		10,000		
Personnel			(113,955)	
Operating			(28,346)	
Basement Repairs			163,000	
	1,810,149	10,000	20,699	1,840,848
<b>Total</b>	<b>16,567,098</b>	<b>216,890</b>	<b>(383,191)</b>	<b>16,400,797</b>
<b>Corrections</b>				
Central Management	9,603,293			

## Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Inmate Accounts		416,052	1,061	
VOI/TIS Admin Match		6,822	(3,684)	
Payroll			29,193	
Blue Cross Settlement Adjustment			(19,934)	
Medical Benefits			19,667	
Purchased Services			18,421	
Operating				
Recruitment Class			141,502	
	9,603,293	422,874	186,226	10,212,393
Parole Board	999,141			
Payroll			(25,524)	
Medical Benefits			9,375	
Blue Cross Settlement Adjustment			(1,905)	
Purchased Services			600	
Operating			6,570	
Sex Offender Community Notification			72,356	
	999,141		61,472	1,060,613
Institutional Custody	117,627,236			
Transfer to Central Management		(422,874)		
Transfer to Rehabilitative Services		(19,194,662)		
Other Payroll			(4,547,933)	
Medical Benefits			148,951	
Blue Cross Settlement Adjustment			(219,529)	
SCAAP Offset			(614,869)	
Federal Detainee Revenue Decrease			629,360	
Population-Related Overtime			1,244,600	
Unbudgeted Overtime (including briefing time)			7,965,573	
RIBCO Retro			41,805	
Other Purchased Services			13,311	
Other Operating			47,319	
Population-Related Operating (Food)			(828,811)	
Population-Related Operating (Other)			69,218	
Video Arraignment			72,000	
Grants and Benefits			(23,738)	
Capital Repairs			(95,000)	
	Total	117,627,236	(19,617,536)	3,902,257
				101,911,957
Rehabilitative Services	11,847,743			
Transfer from Institutional Custody		19,194,662		
Other Payroll			281,405	
Blue Cross Settlement Adjustment			(47,257)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Medical Benefits			62,551	
Overtime			344,615	
Other Purchased Services			(13,332)	
Inpatient/Outpatient/Lab Services			760,564	
Medical Contract Services			89,880	
Physician Services			60,795	
Dental Services			79,325	
Psychiatric Services			121,522	
Laboratory Testing				
Operating			86,055	
	11,847,743	19,194,662	1,826,123	32,868,528
<b>Total</b>	<b>140,077,413</b>	<b>-</b>	<b>5,976,078</b>	<b>146,053,491</b>
<b>Judiciary</b>				
Supreme Court	23,887,094			
Reduce Civil Computer System			(273,034)	
Increase Court Technology Imp			273,034	
Reduce Operating			(298,964)	
Reduce Purchased Services			(50,500)	
Shift Payroll Savings to other Courts			(438,946)	
Shift Pensions Savings to other Courts			(244,957)	
Increase Indigent Defense Fund			125,000	
Increase Grants (retiree health)			(1,145)	
Credit Card 1.7% Fee Payments			24,000	
Blue Cross Settlement Adjustment			(24,825)	
Shift Justice Link to Supreme Court			434,040	
	23,887,094		(476,297)	23,410,797
Justice Link	434,040			
Computer Equipment			(434,040)	
	434,040		(434,040)	-
Superior Court	16,681,473			
Shift Payroll from Supreme			49,011	
Increase Judicial Pensions			120,498	
Juror Transportation Program			125,000	
Reduce Juror Fees			(125,000)	
Purchased Services			61,800	
Grants (retiree health)			5,100	
Blue Cross Settlement Adjustment			(29,815)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
Increase Operating			27,761	
	16,681,473		234,355	16,915,828
Family Court	12,621,565			
Shift Payroll from Supreme			193,367	
Increase Purchased Services			71,253	
Increase Operating			55,843	
Blue Cross Settlement Adjustment			(31,099)	
Reduce Judicial Pensions			(101,819)	
	12,621,565		187,545	12,809,110
District Court	7,923,671			
Shift Payroll from Supreme			151,305	
Increase Purchased Services			37,769	
Increase Operating			58,488	
Shift Pension Savings to Other Courts			(22,581)	
Blue Cross Settlement Adjustment			(14,988)	
Shift Grants from Supreme			75,000	
	7,923,671		284,993	8,208,664
Traffic Tribunal	6,219,918			
Shift Payroll from Supreme			45,263	
Decrease Purchased Services			(4,866)	
Increase Operating			64,215	
Increase Grants (retiree health)			89	
Blue Cross Settlement Adjustment			(13,249)	
Shift Pension Savings to Other Courts			(1,984)	
	6,219,918		89,468	6,309,386
<b>Total</b>	<b>67,767,761</b>	<b>-</b>	<b>(113,976)</b>	<b>67,653,785</b>
<b>Military Staff</b>				
National Guard	1,677,623			
Centralized Personnel Plan (CCP)			(68,428)	
Maintenance Employees			23,500	
Firefighters' Overtime			8,851	
Blue Cross Settlement Adjustment			(3,647)	
Other Payroll			(7,442)	
Firing Squads Transfer to Fed Funding			(64,487)	
Purchased Services			(500)	
Heating Oil Adjustment			23,366	
Insurance			(88)	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
	1,677,623		(88,875)	1,588,748
Emergency Management	1,119,097			
Payroll-Overtime			25,000	
Other Payroll			7,442	
Wide Area Incident Tracking System			(600,000)	
	1,119,097		(567,558)	551,539
<b>Total</b>	<b>2,796,720</b>	<b>-</b>	<b>(656,433)</b>	<b>2,140,287</b>
<b>E-911 Emergency Telephone System</b>				
E-911 Emergency Telephone System	4,365,704			
E-911 Telephone Service		61,038		
GIS Database Development		11,000	83,208	
Personnel Savings			(90,773)	
Blue Cross Settlement Adjustment Operating			(8,482)	
			(10,196)	
<b>Total</b>	<b>4,365,704</b>	<b>72,038</b>	<b>(26,243)</b>	<b>4,411,499</b>
<b>Fire Safety Code Commission</b>				
Fire Code Commission	235,469			
Personnel Adjustments Operating			(17,842)	
			17,842	
Blue Cross Settlement Adjustment			(424)	
<b>Total</b>	<b>235,469</b>	<b>-</b>	<b>(424)</b>	<b>235,045</b>
<b>State Fire Marshal</b>				
State Fire Marshal	1,739,123			
Burn Trailer				
Personnel Adjustments Operating and Equipment			(233,137)	
			203,137	
Overtime			30,000	
Blue Cross Settlement Adjustment			(2,653)	
<b>Total</b>	<b>1,739,123</b>	<b>-</b>	<b>(2,653)</b>	<b>1,736,470</b>
<b>Commission on Judicial Tenure and Discipline</b>				
Commission on Judicial Tenure and Discipline	113,455			
Legal and Stenographic Services		20,000	10,026	
Payroll Turnover Savings			(17,941)	
Blue Cross Settlement Adjustment Operating			(225)	
			3,037	

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b>Total</b>	<b>113,455</b>	<b>20,000</b>	<b>(5,103)</b>	<b>128,352</b>
<b>Rhode Island Justice Commission</b>				
Rhode Island Justice Commission	163,492			
Payroll			(790)	
Blue Cross Settlement Adjustment			(272)	
Other Operating			790	
<b>Total</b>	<b>163,492</b>	<b>-</b>	<b>(272)</b>	<b>163,220</b>
<b>Municipal Police Training Academy</b>				
Municipal Police Training Academy	356,387			
Personnel Adjustments				
Blue Cross Settlement Adjustment			(902)	
Operating Adjustments				
<b>Total</b>	<b>356,387</b>	<b>-</b>	<b>(902)</b>	<b>355,485</b>
<b>State Police</b>				
State Police	40,575,638			
Reappropriation		110,276		
Personnel Reduction			(914,536)	
Contract Services Increase			42,645	
Blue Cross Settlement Change			(49,644)	
Auto Maintenance			(10,728)	
Operating-Repairs			33,537	
Operating-Staff Education			23,295	
Pension Costs			214,606	
Vehicle Purchase-Master Lease			(162,350)	
<b>Total</b>	<b>40,575,638</b>	<b>110,276</b>	<b>(823,175)</b>	<b>39,862,739</b>
<b>Office Of Public Defender</b>				
Office Of Public Defender	6,567,522			
Unpaid FY 2003 Obligations		7,278		
Tax Escalator Adjustment			2,926	
Insurance			987	
Blue Cross Settlement Adjustment			(13,545)	
Vacancy Adjustment			(30,000)	
Court Improvement Federal Funds			(43,631)	
<b>Total</b>	<b>6,567,522</b>	<b>7,278</b>	<b>(83,263)</b>	<b>6,491,537</b>
<b>Sub-Total Public Safety</b>	<b>281,325,782</b>	<b>426,482</b>	<b>3,880,443</b>	<b>285,632,707</b>

# Changes to FY 2004 Enacted General Revenue Expenditures

	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b><u>Natural Resources</u></b>				
<b>Environmental Management</b>				
Policy and Administration	8,194,611			
Personnel Adjustments			(60,182)	
Blue Cross Settlement Adjustment			(10,570)	
Operating Adjustments			(10,100)	
Assignment of OLIS charges			53,100	
	8,194,611		(27,752)	8,166,859
Natural Resources	15,053,323			
Personnel Adjustments			303,466	
Blue Cross Settlement Adjustment			(27,910)	
Overtime			42,650	
Host Beach Community Revenue Share			(115,461)	
Operating			(86,664)	
	15,053,323		116,081	15,169,404
Environmental Protection	7,769,300			
Personnel Adjustments			(18,663)	
Operating			(11,000)	
Blue Cross Settlement Adjustment			(21,831)	
Reappropriation- Rose Hill Landfill		298,667	(298,667)	
	7,769,300	298,667	(350,161)	7,717,806
RIPDES	662,540			
	662,540			662,540
<b>Total</b>	<b>31,679,774</b>	<b>298,667</b>	<b>(261,832)</b>	<b>31,716,609</b>
<b>Coastal Resources Management Council</b>				
Database Management System		129,400	(27,980)	
Payroll Adjustments			25,420	
Blue Cross Settlement Adjustment			(3,021)	
Purchased Services Adjustments			(25,000)	
Agency Relocation			(30,000)	
Other Operating Adjustments			(845)	
<b>Total</b>	<b>1,421,021</b>	<b>129,400</b>	<b>(61,426)</b>	<b>1,488,995</b>

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## Changes to FY 2004 Enacted General Revenue Expenditures

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	FY2004 Enacted Appropriation	Reappropriation/ Appropriation Transfer	Supplemental Changes	FY 2004 Projected Expenditures
<b>State Water Resources Board</b>	940,992			
Security Services			300	
Operating			(300)	
Blue Cross Settlement Adjustment			(1,306)	
<b>Total</b>	<b>940,992</b>	<b>-</b>	<b>(1,306)</b>	<b>939,686</b>
<b>Sub-Total Environment</b>	<b>34,041,787</b>	<b>428,067</b>	<b>(324,564)</b>	<b>34,145,290</b>
<b>Statewide General Revenue Total</b>	<b>2,783,776,459</b>	<b>7,991,948</b>	<b>3,861,597</b>	<b>2,795,630,004</b>

## Changes to FY 2004 Enacted General Revenue Budget

	FY 2004 Enacted		FY 2004 Revised	Difference
<b>Surplus</b>				
Opening Surplus	\$ 35,708,894	\$	43,950,395	\$ 8,241,501
Reappropriated Surplus			7,991,948	7,991,948
<b>Subtotal</b>	<b>35,708,894</b>		<b>51,942,343</b>	<b>16,233,449</b>
<b>General Taxes</b>				
Revenue estimators' revision	2,125,785,533		2,125,785,533	-
	-		(3,055,533)	(3,055,533)
<b>Subtotal</b>	<b>2,125,785,533</b>		<b>2,122,730,000</b>	<b>(3,055,533)</b>
<b>Departmental Revenues</b>				
Revenue estimators' revision	287,037,601		287,037,601	-
			(37,601)	
Governor's changes to adopted estimates			11,164,360	11,164,360
<b>Subtotal</b>	<b>287,037,601</b>		<b>298,164,360</b>	<b>11,164,360</b>
<b>Other Sources</b>				
Gas Tax Transfers	6,608,000		6,608,000	-
Governor's changes to adopted estimates			472,000	472,000
Other Miscellaneous	71,341,582		71,341,582	-
Rev Estimators' revision-Miscellaneous	-		(304,355)	(304,355)
Lottery	301,770,293		301,770,293	-
Revenue Estimators' revision-Lottery	-		(18,670,293)	(18,670,293)
Governor's changes to adopted estimates			4,083,200	4,083,200
Unclaimed Property	12,900,000		12,900,000	-
Revenue Estimators' revision-Unclaimed	-		1,590,000	1,590,000
<b>Subtotal</b>	<b>392,619,875</b>		<b>379,790,427</b>	<b>(12,829,448)</b>
<b>Total Revenues</b>	<b>\$ 2,805,443,009</b>	<b>\$</b>	<b>2,800,684,787</b>	<b>\$ (4,720,621)</b>
<b>Budget Stabilization</b>	<b>(56,823,039)</b>		<b>(56,892,704)</b>	<b>(69,666)</b>
<b>Total Available</b>	<b>\$ 2,784,328,865</b>	<b>\$</b>	<b>2,795,734,426</b>	<b>\$ 11,443,163</b>
<b>Actual/Enacted Expenditures</b>				
Actual/Enacted Expenditures	\$ 2,783,776,459	\$	2,783,776,459	-
Reappropriations	-		7,991,948	7,991,948
Certain anticipated audit adjustments				-
Recommended changes to appropriations	-		3,861,597	3,861,597
<b>Total Expenditures</b>	<b>\$ 2,783,776,459</b>	<b>\$</b>	<b>2,795,630,004</b>	<b>\$ 11,853,545</b>
<b>Free Surplus</b>	<b>\$ 552,406</b>	<b>\$</b>	<b>104,422</b>	<b>\$ (410,382)</b>
<b>Reappropriations</b>	<b>-</b>		<b>-</b>	<b>-</b>
<b>Total Ending Balances</b>	<b>\$ 552,406</b>	<b>\$</b>	<b>104,422</b>	<b>\$ (410,382)</b>
<b>Budget Reserve and Cash</b>				
<b>Stabilization Account</b>	<b>\$ 85,234,557</b>	<b>\$</b>	<b>85,339,055</b>	<b>\$ 104,498</b>

## Changes to FY 2004 Enacted Transportation Expenditures

	FY2004 Enacted Appropriation	Carry Over From FY2003 Appropriations	Supplemental Changes	FY2004 Recommend
<b>Transportation ISTEA Fund Changes</b>				
<b>Central Management</b>	3,263,692			
Payroll			157,093	
Purchased Services			(9,000)	
Operating			24,750	
Capital Improvements			31,009	
	3,263,692	-	203,852	3,467,544
<b>Management &amp; Budget</b>	2,065,834			
Payroll			(138,747)	
Operating			6,400	
Capital Improvements			(16,526)	
	2,065,834	-	(148,873)	1,916,961
<b>Infrastructure-Maintenance</b>	39,117,378			
Payroll			(529,140)	
Purchased Services			(91,600)	
Operating			84,513	
Winter Maintenance Operations		333,666	458,735	
Winter Maintenance Overtime			120,000	
Capital Improvements		-	50,000	
	39,117,378	333,666	92,508	39,543,552
<b>Infrastructure-Engineering</b>	53,608,993			
Payroll			(165,881)	
Purchased Services			(25,000)	
Operating			(29,768)	
RIPTA Debt Service Savings			26,250	
RIPTA Gas Tax Allocation Decrease			(472,000)	
Capital Improvements			73,161	
	53,608,993	-	(593,238)	53,015,755
<b>Total Transportation</b>	<b>98,055,897</b>	<b>333,666</b>	<b>(445,751)</b>	<b>97,943,812</b>
<b>Gas tax budgeted outside DOT</b>	-			
DOT Debt service	31,784,914		(787,500) *	<b>30,997,414</b>
RIPTA Debt Service	431,190		(26,250)	<b>404,940</b>
<b>Gas tax budgeted in DOA-planning</b>	<b>32,216,104</b>	-	<b>(813,750)</b>	<b>31,402,354</b>

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## **Changes to FY 2004 Enacted Transportation Expenditures**

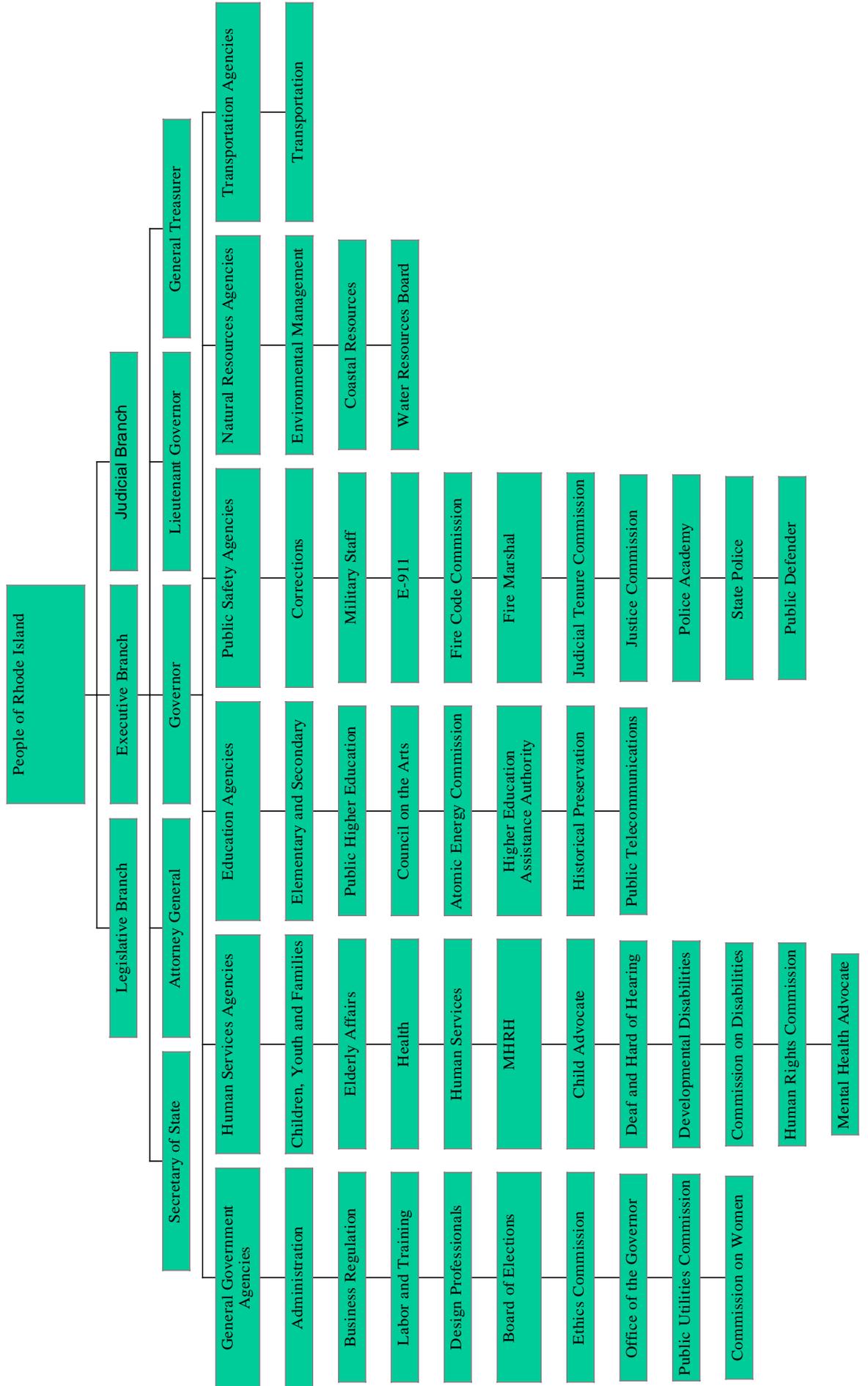
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	<b>FY2004 Enacted Appropriation</b>	<b>Carry Over From FY2003 Appropriations</b>	<b>Supplemental Changes</b>	<b>FY2004 Recommend</b>
<b>DEA- Elderly Transportation</b>	<b>4,720,000</b>		<b>-</b>	<b>4,720,000</b>
<b>Total Gas Tax for Transportation</b>	<b>134,992,001</b>	<b>333,666</b>	<b>(1,259,501)</b>	<b>134,066,166</b>

\* This savings will be reallocated to FY 2005 in order for the Department to meet necessary spending increases.

**Changes are reflective of gas tax funding only.**

# State of Rhode Island Organizational Chart



# **General Government**

Department of Administration

- Central Management
- Accounts and Control
- Budgeting
- Municipal Affairs
- Purchasing
- Auditing
- Human Resources
- Personnel Appeal Board
- Taxation
- Registry of Motor Vehicles
- Child Support Enforcement
- Central Services
- Office of Library and Information Services
- General
- Sheriffs
- Internal Service Programs
- Salary Adjustment Fund

Department of Business Regulation

- Central Management
- Banking Regulation
- Securities Regulation
- Commercial Licensing and Regulation
- Racing and Athletics
- Insurance Regulation
- Board of Accountancy

Department of Labor and Training

- Central Management
- Workforce Development Services
- Workforce Regulation and Safety
- Income Support
- Injured Workers Services
- Labor Relations Board

Legislature

- General Assembly
- Fiscal Advisory Staff to House Finance Committee
- Legislative Council
- Joint Committee on Legislative Services
- Office of the Auditor General

Office of the Lieutenant Governor

Secretary of State

- Administration
- Corporations
- State Archives
- Elections
- State Library
- Office of Civics and Public Information
- Internal Service Programs

Office of the General Treasurer

- General Treasury
- State Retirement System
- Unclaimed Property
- Rhode Island Refunding Bond Authority
- Crime Victim Compensation

Boards for Design Professionals

- Board of Elections
- Rhode Island Ethics Commission
- Office of the Governor
- Public Utilities Commission
- Rhode Island Commission on Women

## General Government Function Expenditures

	FY 2002 Audited	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditure by Object</b>				
Personnel	164,163,334	172,290,172	180,228,263	196,325,115
Other State Operations	72,395,164	76,376,997	76,069,576	71,494,944
Aid to Local Units of Government	179,872,285	185,777,109	199,853,513	200,210,263
Assistance, Grants, and Benefits	437,096,463	468,981,251	487,629,882	456,561,299
<b>Subtotal: Operating Expenditures</b>	<b>\$853,527,246</b>	<b>\$903,425,529</b>	<b>\$943,781,234</b>	<b>\$924,591,621</b>
Capital Improvements	7,387,733	1,660,367	7,963,624	8,695,000
Capital Debt Service	179,725,060	136,706,406	142,793,724	158,402,384
<b>Total Expenditures</b>	<b>\$1,040,640,039</b>	<b>\$1,041,792,302</b>	<b>\$1,094,538,582</b>	<b>\$1,091,689,005</b>
<b>Expenditures by Funds</b>				
General Revenue	444,947,101	429,566,578	457,053,044	478,125,251
Federal Funds	64,895,975	68,448,219	82,408,823	78,317,123
Restricted Receipts	43,227,297	52,293,040	60,727,857	65,677,304
Other Funds	487,569,666	491,484,465	494,348,858	469,569,327
<b>Total Expenditures</b>	<b>\$1,040,640,039</b>	<b>\$1,041,792,302</b>	<b>\$1,094,538,582</b>	<b>\$1,091,689,005</b>
<b>FTE Authorization</b>	<b>2,588.0</b>	<b>2,476.9</b>	<b>2,464.9</b>	<b>2,469.9</b>

# The Agency

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## Department of Administration

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### Agency Operations

The Department of Administration provides supportive services to all Rhode Island departments and agencies for effective coordination and direction of state programs within the framework of a changing administrative and fiscal environment. The department also provides policy direction for executive leadership in a variety of financial and administrative matters and is responsible for the statewide implementation of policy decisions affecting the organization and delivery of services administered and supported by the state.

The department is led by the Director of Administration and has fourteen programmatic functions. These include Central Management, Accounts and Control, Budgeting, Municipal Affairs, Purchasing, Auditing, Human Resources, Personnel Appeal Board, Taxation, Central Services, Library and Information Services, General Appropriations, Debt Service Payments, and Internal Services.

### Agency Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

### Statutory History

The Department of Administration was created in 1951 to consolidate central finance, purchasing and management functions of state government. R.I.G.L. 42-11 establishes and provides for the organization and functions of the Department of Administration.

# The Budget

## Department of Administration

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Central Management	2,077,105	2,145,813	2,679,840	4,100,708
Accounts and Control	7,729,549	8,833,876	4,474,780	4,004,758
Budgeting	2,048,933	2,037,681	2,375,650	2,349,275
Municipal Affairs	6,092,198	7,844,416	9,066,597	11,154,465
Purchasing	1,977,755	1,886,591	2,045,578	2,015,876
Auditing	1,513,214	1,636,157	1,674,254	1,690,061
Human Resources	6,849,748	7,017,118	7,226,065	7,019,631
Personnel Appeal Board	105,696	99,623	118,614	117,622
Taxation	19,399,140	19,272,876	20,480,883	20,633,733
Registry of Motor Vehicles	14,615,017	15,101,293	17,614,225	15,397,089
Child Support Enforcement	9,244,420	9,989,604	9,897,523	9,964,618
Central Services	27,468,773	30,105,176	35,491,514	31,830,530
Office of Library & Information Services	4,195,132	4,754,857	5,666,699	6,760,881
General	212,033,445	211,088,249	245,059,275	243,613,755
Debt Service Payments	188,221,634	137,423,800	142,416,116	158,024,776
Sheriffs	11,844,139	13,089,898	13,643,004	13,427,890
Internal Service Programs	[73,596,503]	[70,406,826]	[77,567,644]	[78,838,486]
Salary Adjustment Fund	-	-	-	10,635,641
<b>Total Expenditures</b>	<b>\$515,415,898</b>	<b>\$472,327,028</b>	<b>\$519,930,617</b>	<b>\$542,741,309</b>
<b>Expenditures By Object</b>				
Personnel	73,117,029	75,624,692	80,361,594	92,961,824
Other State Operations	41,507,612	40,821,760	38,050,939	34,167,542
Aid To Local Units Of Government	179,872,285	185,777,109	199,853,513	200,210,263
Assistance, Grants and Benefits	33,641,206	31,940,004	51,207,223	48,604,296
<b>Subtotal: Operating Expenditures</b>	<b>\$328,138,132</b>	<b>\$334,163,565</b>	<b>\$369,473,269</b>	<b>\$375,943,925</b>
Capital Improvements	7,552,706	1,457,057	7,663,624	8,395,000
Capital Debt Service	179,725,060	136,706,406	142,793,724	158,402,384
<b>Total Expenditures</b>	<b>\$515,415,898</b>	<b>\$472,327,028</b>	<b>\$519,930,617</b>	<b>\$542,741,309</b>
<b>Expenditures By Funds</b>				
General Revenue	383,413,506	365,404,946	393,612,428	416,714,431
Federal Funds	29,252,557	32,429,416	37,762,440	40,434,150
Restricted Receipts	6,295,231	9,624,326	10,623,906	11,167,747
Other Funds	96,454,604	64,868,340	77,931,843	74,424,981
<b>Total Expenditures</b>	<b>\$515,415,898</b>	<b>\$472,327,028</b>	<b>\$519,930,617</b>	<b>\$542,741,309</b>
<b>FTE Authorization</b>	<b>1,350.0</b>	<b>1,278.2</b>	<b>1,261.2</b>	<b>1,269.2</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	9.8%	9.1%	9.5%	9.7%
Females as a Percentage of the Workforce	49.6%	49.7%	50.0%	50.0%

# The Program

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## Department of Administration Central Management

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### Program Operations

Central Management is comprised of four major functions: the Director's Office, Central Business Office, Legal and Adjudication Services, and Judicial Nominating Commission.

The Director's Office oversees the overall operation of the department, and also provides administrative assistance to the Office of the Governor.

The Central Business Office provides financial management support in the areas of budgeting, financial management, accounting, and reporting to divisions within the department to ensure maximum use of state and federal resources. This unit also provides services to the Department of Administration's employees with personnel actions, payroll, employee orientation and other related employee relations functions.

Legal and Adjudication Services is responsible for the provision of legal advice and counsel to the Director of Administration and all program areas of operation within the department, as required by the Administrative Procedures Act.

The Judicial Nominating Commission was created by statute to recommend highly qualified candidates to the Governor to fill vacancies in the Rhode Island judiciary. The commission is required by statute to advertise for each judicial vacancy and to actively seek and encourage applications from qualified individuals who will reflect the diversity of the community they will serve.

### Program Objectives

To oversee the provision of statewide supportive services to all departments and agencies in conformance with legislative and policy mandates.

To ensure that programs of the department are efficiently organized and implemented.

### Statutory History

The central management and legal mandates of the Department of Administration are outlined in R.I.G.L. 42-11-2. The Judicial Nominating Commission was created by R.I.G.L. 8-16.1 which also outlines the criteria for the selection of qualified judicial nominees.

# The Budget

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## Department of Administration Central Management

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Director's Office	655,792	750,320	1,115,464	2,450,979
Financial Management	1,196,936	1,142,887	1,254,821	1,279,449
Legal and Adjudication Services	212,922	230,487	299,255	361,514
Judicial Nominating Committee	11,455	22,119	10,300	8,766
<b>Total Expenditures</b>	<b>\$2,077,105</b>	<b>\$2,145,813</b>	<b>\$2,679,840</b>	<b>\$4,100,708</b>
<b>Expenditures By Object</b>				
Personnel	1,976,366	1,991,829	2,471,399	3,936,752
Other State Operations	100,739	153,984	208,441	163,956
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,077,105</b>	<b>\$2,145,813</b>	<b>\$2,679,840</b>	<b>\$4,100,708</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,077,105</b>	<b>\$2,145,813</b>	<b>\$2,679,840</b>	<b>\$4,100,708</b>
<b>Expenditures By Funds</b>				
General Revenue	1,984,469	2,063,887	2,389,945	3,820,003
Federal Funds	92,636	81,926	289,895	280,705
Restricted Receipts	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,077,105</b>	<b>\$2,145,813</b>	<b>2,679,840</b>	<b>4,100,708</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Department of Administration Accounts and Control

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### Program Operations

The primary mission of the Office of Accounts and Control is to promote the financial integrity and accountability of state government through sound administrative and accounting controls and procedures.

The major activities of this office include the administration of a comprehensive accounting and recording system which classifies transactions of the departments and agencies in accordance with the budget plan; the maintenance of control accounts of assets for all departments and agencies; the operation of financial, accounting and cost systems for all departments and agencies; the preaudit of state receipts and expenditures; the approval of vouchers drawn on the General Treasurer; and the preparation of financial statements required by departments and agencies, the Governor or the General Assembly.

This office is also responsible for the preparation and/or coordination of several publications, including the Comprehensive Annual Financial Report, Condensed State Financial Report, State Payroll Manual, Procedural Handbook of the Department of Administration, and the Consolidated Statewide Cost Allocation Plan.

### Program Objectives

To design, implement, and maintain a statewide accounting system in order to ensure that state funds are spent according to legislative intent, leading to the promotion of the fiscal integrity of the state.

To provide management on a timely basis with basic data required to measure and evaluate productivity and accountability of state government in order to make and/or revise strategic or operating plans.

### Statutory History

R.I.G.L. 35-6 establishes the statutory basis for this program. Other legal references are provided in Titles 9, 10, 11, 12, 16, 20, 24, 28, 30, 35, 39, 43, 44, 45, and 46 of the Rhode Island General Laws.

# The Budget

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## Department of Administration Accounts and Control

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	3,298,680	2,587,631	2,576,333	2,450,431
Other State Operations	4,424,830	6,239,728	1,892,430	1,548,310
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	6,039	6,517	6,017	6,017
<b>Subtotal: Operating Expenditures</b>	<b>\$7,729,549</b>	<b>\$8,833,876</b>	<b>\$4,474,780</b>	<b>\$4,004,758</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,729,549</b>	<b>\$8,833,876</b>	<b>\$4,474,780</b>	<b>\$4,004,758</b>
<b>Expenditures By Funds</b>				
General Revenue	7,729,549	8,833,132	4,474,780	4,004,758
Federal Funds	-	744	-	-
<b>Total Expenditures</b>	<b>7,729,549</b>	<b>8,833,876</b>	<b>4,474,780</b>	<b>4,004,758</b>
<b>Program Measures</b>				
Percentage of Invoices Processed Within 30 Days	96.7%	95.0%	96.0%	96.0%
Number of Days after Fiscal Year End to Publication of CAFR	457	256	182	182
Average Number of Days to Payment	10.3	8.5	7.0	7.0
Number of Days to Fiscal Close	47	62	37	37

# The Program

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## Department of Administration Budgeting

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### Program Operations

The Budget Office provides staff advice to the Governor relating to the financial management of state government, including evaluation of necessary resources, analysis of state programs, priorities, and alternatives, and the optimum allocation of resources to meet policy and management goals.

The Budget Office performs four key functions, of which the first is the formulation, preparation, and execution of the state budget. Included in this function is the analysis of departmental requests for financing, incorporation of priorities, and presentation and testimony on the executive budget before the General Assembly. During the legislative session, the Budget Office also prepares fiscal notes on legislation with fiscal impacts upon request.

The Budget Office is responsible for economic analysis and revenue estimating, including participation in the Revenue and Caseload Estimating Conferences and reporting on actual versus estimated receipts. The Budget Office also performs capital development program analysis and develops financing plans for execution. This includes presentations to bond rating agencies, preparation of debt offering circulars, tracking of expenditures against authorizations and debt management.

The Strategic Planning subprogram is involved in all major interest areas using policy analysis and the planning process to prepare issue briefs and draft strategic plans that address current topics of special interest. Situations requiring new or modified policies, management, organization, and/or legislation, with a time frame for execution of not more than five years are emphasized. This subprogram is also responsible for the development of program performance measures in cooperation with the various departments and agencies.

### Program Objectives

To ensure that the performance of state programs and activities reflects accurately and effectively the policies of the Governor and the acts and appropriations established by the General Assembly; to ensure that the Governor and the General Assembly have the best possible information and analysis available in carrying out their respective constitutional duties; to use performance measures to strengthen program and financial management and accountability within departments and agencies.

### Statutory History

R.I.G.L. 35-3 establishes Budget Office responsibility for the executive budget, including the preparation and submission of the Governor's budget to the state legislature; the execution and management of the enacted budget plan throughout the fiscal year; and development of long-term financial programs, particularly relating to capital improvement programs. R.I.G.L. 35-16 requires the Budget Officer to participate in the Revenue Estimating Conference, which reviews and revises both the economic forecast and the estimated general revenues for the state. R.I.G.L. 22-12 requires that a fiscal note accompany bills and resolutions that may impact state or municipal revenues or expenditures.

# The Budget

## Department of Administration Budgeting

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Budget Office	1,741,447	1,707,932	2,029,446	2,129,280
Strategic Planning	307,486	329,749	346,204	219,995
<b>Total Expenditures</b>	<b>\$2,048,933</b>	<b>\$2,037,681</b>	<b>\$2,375,650</b>	<b>\$2,349,275</b>
<b>Expenditures By Object</b>				
Personnel	1,891,742	1,907,521	2,221,187	2,209,094
Other State Operations	157,191	130,160	154,463	140,181
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,048,933</b>	<b>\$2,037,681</b>	<b>\$2,375,650</b>	<b>\$2,349,275</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,048,933</b>	<b>\$2,037,681</b>	<b>\$2,375,650</b>	<b>\$2,349,275</b>
<b>Expenditures By Funds</b>				
General Revenue	2,048,933	2,037,681	2,375,650	2,349,275
<b>Total Expenditures</b>	<b>\$2,048,933</b>	<b>\$2,037,681</b>	<b>\$2,375,650</b>	<b>\$2,349,275</b>
<b>Program Measures</b>				
Budget Presentation Index	13	10	14	14
Bond Rating Index	11	11	11	11
Performance Measures Developed	76.1%	80.3%	82.1%	83.0%

# The Program

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## Department of Administration Municipal Affairs

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### Program Operations

Municipal Affairs responsibilities include the provision of technical support to municipalities and supervision of selected financial operations, distribution of state aid, and determination of community wealth for use in school aid formulas. Additionally, Municipal Affairs provides planning assistance in the areas of comprehensive planning, land use regulation, capital projects, and compliance with state law and the State Guide Plan.

### Program Objectives

To maintain and compute financial and equalized property value information for the benefit of municipalities and public policy decision-makers.

To encourage and assure compliance with state laws and policies relating to municipalities especially in the areas of public disclosure, tax levies, and financial reporting.

To encourage cooperation between municipalities and the state by distributing information and by providing technical assistance to municipalities.

To provide guidance to public policy decision-makers on the equitable distribution of state aid to municipalities.

### Statutory History

The Office of Municipal Affairs is established under R.I.G.L. 42-11-12.

# The Budget

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## Department of Administration Municipal Affairs

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,217,293	1,286,823	1,351,645	1,325,986
Other State Operations	141,647	116,522	179,535	152,416
Aid To Local Units Of Government	892	6,821	-	-
Assistance, Grants and Benefits	4,732,366	6,434,250	7,535,417	9,676,063
<b>Subtotal: Operating Expenditures</b>	<b>\$6,092,198</b>	<b>\$7,844,416</b>	<b>\$9,066,597</b>	<b>\$11,154,465</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,092,198</b>	<b>\$7,844,416</b>	<b>\$9,066,597</b>	<b>\$11,154,465</b>
 <b>Expenditures By Funds</b>				
General Revenue	1,174,592	1,218,702	1,250,536	1,188,426
Federal Funds	4,917,606	6,625,714	7,816,061	9,966,039
<b>Total Expenditures</b>	<b>\$6,092,198</b>	<b>\$7,844,416</b>	<b>\$9,066,597</b>	<b>\$11,154,465</b>
 <b>Program Measures</b>				
Percentage of Equalization Study Procedure Recommendations Implemented	65.0%	72.0%	77.0%	80.0%

# The Program

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## Department of Administration Purchasing

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### Program Operations

The Purchasing program is composed of five major functions: procurement, operational support services, standards and specifications development and management, and vendor information. Procurement solicits bids or requests for proposal for services and supplies as well as price negotiations, and carries out affirmative action programs. Operational support services includes purchase order production and record keeping, bid preparation and opening, requisition tracking, and vendor files. The standards and specifications staff work with agency representatives to develop statewide standard specifications for goods and services to be solicited. State surplus property disposes of goods determined to be of no further use to the state. The Vendor Information Program is an electronic system for registering potential bidders and providing bid and other information to vendors and the general public.

### Program Objectives

To obtain goods and services for public purposes in the most cost-effective manner possible and ensure that all procurements are made with care, integrity and a sense of responsibility to the taxpayers of Rhode Island. To assure that user agencies obtain goods and services in a quick and cost-effective manner, without sacrificing necessary quality and standards.

To establish and enforce rules, regulations, policies and procedures for the implementation of all laws and ethical standards relating to purchasing activities.

To improve, through ongoing evaluation, the effectiveness and efficiency of the procurement system.

To ensure public access to appropriate information by expanding the applications of the Rhode Island Vendor Information Program.

### Statutory History

The Purchasing program was established under R.I.G.L. 42-11-2. Operation of the state-purchasing program is set forth in R.I.G.L. 37-2. This section was established by public law in 1939 until its repeal in 1989, when House Bill 89-H-5000 Substitute A, as amended (known as the "Lamb Legislation"), an Act Relating to State Purchases, was enacted. This act established new language to institute legal mandates based on the Model Procurement Act published by the American Bar Association. The major thrust of the legislation was the creation of a framework for enhanced opportunities for competitive procurement and increased accountability for state officials through the centralization of purchasing functions for state agencies. R.I.G.L. 32-2.17 requires the institution of an electronic Vendor Information Program.

# The Budget

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## Department of Administration Purchasing

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,810,957	1,800,562	1,928,868	1,923,356
Other State Operations	162,769	81,755	112,436	88,246
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,029	4,274	4,274	4,274
<b>Subtotal: Operating Expenditures</b>	<b>\$1,977,755</b>	<b>\$1,886,591</b>	<b>\$2,045,578</b>	<b>\$2,015,876</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,977,755</b>	<b>\$1,886,591</b>	<b>\$2,045,578</b>	<b>\$2,015,876</b>
 <b>Expenditures By Funds</b>				
General Revenue	1,977,755	1,886,591	2,045,578	2,015,876
<b>Total Expenditures</b>	<b>\$1,977,755</b>	<b>\$1,886,591</b>	<b>\$2,045,578</b>	<b>\$2,015,876</b>
 <b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Department of Administration Auditing

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### Program Operations

The Bureau of Audits performs the auditing function for the executive branch of state government and provides the Director of Administration with an independent appraisal and evaluation of the effectiveness of financial and operational controls. The Bureau of Audits is led by a chief who supervises all activities. Daily activities are concentrated in four areas: audits of state departments and agencies, audits of human service providers, statutory auditing and accounting services for the Judicial Department, and management services.

The Bureau of Audits is required to audit the financial records and accounts of all state departments and agencies on a biennial basis and report all findings and recommendations relative to the financial affairs, the economy, and the efficiency of operations.

The bureau also audits contracts between the providers of human services and the Departments of Mental Health, Retardation and Hospitals, Human Services, and Children, Youth and Families.

The bureau audits the activities of the Judicial Department and the various Sheriff's Offices, and also conducts special nonrecurring audits and provides management services.

### Program Objectives

To evaluate and test the internal control systems of various state departments and agencies.

To report on the financial affairs, the economy, and the efficiency of programs operated by various state departments and agencies and private providers of human services.

To provide accounting and auditing services to state departments and agencies.

To ensure compliance with state laws and regulations.

### Statutory History

R.I.G.L. 35-7 establishes a Bureau of Audits to conduct all audits required by any department. This chapter specifies that biennial audits of the financial affairs, the economy and efficiency of programs, and the books and accounts of all state departments and agencies are required; authorizes audits of the Judicial Department and requires the bureau to furnish auditors and accountants to other state agencies.

# The Budget

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## Department of Administration Auditing

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,455,155	1,601,851	1,624,280	1,643,899
Other State Operations	56,762	32,898	48,774	44,962
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,297	1,408	1,200	1,200
<b>Subtotal: Operating Expenditures</b>	<b>\$1,513,214</b>	<b>\$1,636,157</b>	<b>\$1,674,254</b>	<b>\$1,690,061</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,513,214</b>	<b>\$1,636,157</b>	<b>\$1,674,254</b>	<b>\$1,690,061</b>
 <b>Expenditures By Funds</b>				
General Revenue	1,513,214	1,636,157	1,674,254	1,690,061
<b>Total Expenditures</b>	<b>\$1,513,214</b>	<b>\$1,636,157</b>	<b>\$1,674,254</b>	<b>\$1,690,061</b>
 <b>Program Measures</b>				
Audit Acceptance	95.0%	97.8%	95.0%	95.0%

# The Program

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## Department of Administration Human Resources

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### Program Operations

The Human Resources program is composed of four major functions.

Personnel Administration is charged with the recruitment, retention, and motivation of qualified employees within state government, as well as providing all citizens with a fair and reasonable opportunity for public service.

Labor Relations staff promote cooperative and harmonious relations between the State of Rhode Island as an employer and the bargaining agents who are certified to represent state employees pursuant to applicable state law.

Training and Development staff are responsible for the development and implementation of training programs for all state employees, and for the administration of the state in-service training incentive program. Particular responsibilities include new course development, technical assistance, course presentation, and in-service incentive credit maintenance.

The Minority Business Enterprise function is responsible for supporting Rhode Island laws and policies to ensure the fullest participation of minority business enterprises in state funded and directed construction programs and projects and state purchases of goods and services.

### Program Objectives

To promote and maintain effective programs, policies and procedures in support of the human resource needs of the citizens, elected officials, managers and employees of the state.

### Statutory History

Title 36, Chapters 3 and 4 of the Rhode Island General Laws establish the basic principles of the Merit System Law and establish a mechanism to provide training programs and incentive award training. Chapter 11 provides "The Chief Executive...to recognize an organization...as the collective bargaining agency for its employees."

# The Budget

## Department of Administration Human Resources

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Human Resources	36,105	742	66,265	137,106
Personnel Administration	4,922,841	5,040,414	5,310,688	5,054,972
Equal Opportunity/MBE	493,038	465,207	502,514	515,003
Labor Relations	915,183	994,028	962,007	974,919
Training and Development	482,581	516,727	384,591	337,631
<b>Total Expenditures</b>	<b>\$6,849,748</b>	<b>\$7,017,118</b>	<b>\$7,226,065</b>	<b>\$7,019,631</b>
<b>Expenditures By Object</b>				
Personnel	5,330,674	5,539,363	5,724,749	5,595,983
Other State Operations	412,939	369,258	392,862	316,184
Aid To Local Units Of Government	1,096,808	1,097,066	1,098,075	1,098,075
Assistance, Grants and Benefits	9,327	11,431	10,379	9,389
<b>Subtotal: Operating Expenditures</b>	<b>\$6,849,748</b>	<b>\$7,017,118</b>	<b>\$7,226,065</b>	<b>\$7,019,631</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,849,748</b>	<b>\$7,017,118</b>	<b>\$7,226,065</b>	<b>\$7,019,631</b>
<b>Expenditures By Funds</b>				
General Revenue	6,835,748	7,007,447	7,111,156	7,019,631
Federal Funds	14,000	8,929	104,463	-
Restricted Receipts	-	-	-	-
Other	-	742	10,446	-
<b>Total Expenditures</b>	<b>\$6,849,748</b>	<b>\$7,017,118</b>	<b>\$7,226,065</b>	<b>\$7,019,631</b>
<b>Program Measures</b>				
Percentage of Desk Audits Completed				
Within 60 Days	33.0%	35.0%	40.0%	50.0%
Percentage of Civil Service Examinations				
Completed Within 275 Days	89.0%	70.0%	95.0%	95.0%
Percentage of Classification Decisions				
Defended Successfully	93.0%	92.0%	95.0%	95.0%

# The Program

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## Department of Administration Personnel Appeal Board

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### Program Operations

The Personnel Appeal Board hears appeals: by any person with provisional, probationary, or permanent status in a position in the classified service aggrieved by an action of the Administrator of Adjudication for the Department of Administration on matters of personnel administration; by any person with provisional, probationary or permanent status in a position in the classified service who has been discharged, demoted, suspended or laid off by any appointing authority; by any person who holds the belief that he/she has been discriminated against because of his/her race, sex, age, physical handicap, or political or religious beliefs; by any person who, by the personnel policy of Rhode Island or by contractual agreement with Rhode Island, is vested with the right of appeal to the Personnel Appeal Board.

The Personnel Appeal Board consists of five qualified electors known to be in sympathy with the application of modern personnel practices, not more than three of whom shall be members of the same political party. The board shall elect one of its members chairperson.

The Personnel Appeal Board administers the Merit System Law governing appeals, maintenance of records, reviews, appeals from decisions rendered, and records of cases adjudicated prior to scheduling a public hearing. The board is authorized to require the attendance of witnesses by subpoena and acquire the production of books, papers and documents necessary at any hearing. Transcripts are available to all interested parties. Where permissible within the law, the board assists state personnel in the proper filings and processing of appeals.

### Program Objective

To provide an impartial hearing process for appellants whose complaints fall under the jurisdiction of the board.

To develop policies and procedures to ensure that the board's obligations to appellants and agencies are discharged, and that just decisions are rendered in accordance with the responsibility entrusted to the Personnel Appeal Board by law.

To develop a work calendar to ensure expeditious scheduling of hearings and rendering of judicious decisions.

### Statutory History

R.I.G.L. 36-3, sections 3 through 11 delineate the powers and duties of the Personnel Appeal Board.

# The Budget

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## Department of Administration Personnel Appeal Board

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	99,986	95,851	114,697	114,128
Other State Operations	5,710	3,772	3,917	3,494
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$105,696</b>	<b>\$99,623</b>	<b>\$118,614</b>	<b>\$117,622</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$105,696</b>	<b>\$99,623</b>	<b>\$118,614</b>	<b>\$117,622</b>
 <b>Expenditures By Funds</b>				
General Revenue	105,696	99,623	118,614	117,622
<b>Total Expenditures</b>	<b>\$105,696</b>	<b>\$99,623</b>	<b>\$118,614</b>	<b>\$117,622</b>
 <b>Program Measures</b>				
Percentage of State Employee Appeals Resolved				
Within 270 days	85.0%	90.2%	90.0%	90.0%

# The Program

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## Department of Administration Taxation

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### Program Operations

The Division of Taxation includes several subprograms encompassing many activities. Tax Processing performs all activities relating to the receipt of cash payments and the processing of tax returns. This unit registers taxpayers, records tax accounts, and develops and implements forms necessary to collect all the taxes administered. The Office of Assessment and Review assesses and collects taxes as prescribed by the Rhode Island General Laws. The office holds preliminary reviews of requests for administrative hearings. Field Audit services audits businesses, corporations and individuals for compliance with existing state tax laws and regulations for all of the taxes for which the Tax Administrator is responsible. Tax Compliance and Collection has responsibility for the compliance, collection, and enforcement actions to collect all overdue taxes administered by the Division of Taxation. Legal Services renders legal advice to the Tax Administrator by representing the Division of Taxation at formal administrative hearings and in court affecting the collection of taxes.

### Program Objectives

To assess and collect all taxes and revenues that the legislature places under the control of the Tax Administrator in the most efficient and cost-effective manner.

### Statutory History

R.I.G.L 44-1, entitled "State Tax Officials," established the Tax Administrator within the Department of Administration, whose powers and duties are enumerated therein under section 2.

# The Budget

## Department of Administration Taxation

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Tax Administrator's Office	1,179,731	532,001	765,550	794,513
Processing	6,941,863	6,250,236	6,974,080	6,111,652
Compliance and Collection	2,777,551	2,824,839	2,987,672	3,326,788
Field Audit	3,548,493	3,913,136	4,128,162	4,640,381
Assessment and Review	2,731,647	2,725,799	2,777,727	2,848,494
Legal	270,034	292,268	312,707	318,763
Employment Tax Collections	1,949,821	2,734,597	2,534,985	2,593,142
<b>Total Expenditures</b>	<b>\$19,399,140</b>	<b>\$19,272,876</b>	<b>\$20,480,883</b>	<b>\$20,633,733</b>
<b>Expenditures By Object</b>				
Personnel	15,162,172	15,220,482	15,979,158	17,187,548
Other State Operations	4,219,470	4,021,551	4,483,846	3,428,306
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	17,498	30,843	17,879	17,879
<b>Subtotal: Operating Expenditures</b>	<b>\$19,399,140</b>	<b>\$19,272,876</b>	<b>\$20,480,883</b>	<b>\$20,633,733</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$19,399,140</b>	<b>\$19,272,876</b>	<b>\$20,480,883</b>	<b>\$20,633,733</b>
<b>Expenditures By Funds</b>				
General Revenue	16,615,495	16,516,058	17,596,902	17,684,104
Federal Funds	327,686	977,613	932,010	1,027,275
Restricted Receipts	1,994,649	1,176,974	1,214,422	1,109,092
Other Funds	461,310	602,231	737,549	813,262
<b>Total Expenditures</b>	<b>\$19,399,140</b>	<b>\$19,272,876</b>	<b>\$20,480,883</b>	<b>\$20,633,733</b>
<b>Program Measures</b>				
Refunds Mailed Within 30 Days	98.2%	98.2%	98.2%	98.2%

# The Program

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## **Department of Administration Registry of Motor Vehicles**

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### **Program Operations**

The Registry of Motor Vehicles is responsible for administering and enforcing all laws pertaining to the operation and registration of all motor vehicles, the issuance of licenses, the enforcement of all laws relating to the issuance, suspension and revocation of motor vehicle registrations and licenses, inspection of motor vehicles and the study of motor vehicle accidents. The Vehicle Value Commission establishes the presumptive value of motor vehicles subject to excise tax for the use of municipalities in levying taxes.

### **Program Objectives**

To administer and enforce all laws pertaining to the operation and registration of motor vehicles. To collect all fees mandated by state statutes in the most efficient and timely manner, to bring an expanded, more efficient level of service to the public.

### **Statutory History**

R.I.G.L. 31-1 outlines the duties and responsibilities of the Registry of Motor Vehicles. R.I.G.L. 44-34 establishes the vehicle Value Commission.

# The Budget

## Department of Administration Registry of Motor Vehicles

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	9,521,880	9,567,977	10,966,458	10,805,364
Other State Operations	5,071,224	5,511,679	6,626,130	4,570,088
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	21,913	21,637	21,637	21,637
<b>Subtotal: Operating Expenditures</b>	<b>\$14,615,017</b>	<b>\$15,101,293</b>	<b>\$17,614,225</b>	<b>\$15,397,089</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$14,615,017</b>	<b>\$15,101,293</b>	<b>\$17,614,225</b>	<b>\$15,397,089</b>
<b>Expenditures By Funds</b>				
General Revenue	14,399,384	14,764,180	16,309,568	15,354,008
Federal Funds	146,172	277,819	1,290,299	28,826
Restricted Receipts	14,275	14,480	14,358	14,255
Other Funds	55,186	44,814	-	-
<b>Total Expenditures</b>	<b>\$14,615,017</b>	<b>\$15,101,293</b>	<b>\$17,614,225</b>	<b>\$15,397,089</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Program

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## Department of Administration Child Support Enforcement

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### Program Operations

Child Support Enforcement is a program within the Division of Taxation of the Rhode Island Department of Administration. This agency was established to help strengthen families through financial support and to reduce welfare dependence by ensuring that parents honor their obligation to support their offspring. The concern for the well being of children who live with only one parent and the desire to promote self-sufficiency for these single parent families prompted both the state and federal governments to establish Child Support Enforcement Programs nationwide.

### Program Objectives

Child Support Enforcement was established to help strengthen families through financial support and to reduce welfare dependency by ensuring that parents are responsible for supporting their children.

### Statutory History

R.I.G.L. 15-11.1 outlines the duties and responsibilities of the Child Support Enforcement Program.

# The Budget

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## Department of Administration Child Support Enforcement

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	5,815,609	7,561,608	7,594,345	7,694,874
Other State Operations	3,427,909	2,427,324	2,302,290	2,268,856
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	902	672	888	888
<b>Subtotal: Operating Expenditures</b>	<b>\$9,244,420</b>	<b>\$9,989,604</b>	<b>\$9,897,523</b>	<b>\$9,964,618</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,244,420</b>	<b>\$9,989,604</b>	<b>\$9,897,523</b>	<b>\$9,964,618</b>
<b>Expenditures By Funds</b>				
General Revenue	2,347,678	3,386,304	3,287,735	3,309,006
Federal Funds	6,896,742	6,603,300	6,609,788	6,655,612
Restricted Receipts	-	-	-	-
Other Funds	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,244,420</b>	<b>\$9,989,604</b>	<b>\$9,897,523</b>	<b>\$9,964,618</b>
<b>Program Measures</b>				
Current Child Support Collected as a Percentage of Current Child Support Owed	61.1%	62.0%	63.0%	64.0%

# The Program

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## Department of Administration Central Services

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### Program Operations

The Central Services Program provides Capitol Police security, maintenance, and operations services to nineteen buildings under the direct jurisdiction of the Department of Administration. The program is also responsible for building code and plan review, energy conservation and management, state surplus property, and property management. The Associate Director, as designee of the Director of Administration, chairs the State Properties Committee, which is responsible for all property leased to or by Rhode Island. The responsibility for building operations includes grounds maintenance, general maintenance, building cleaning, janitorial services, and maintenance and operation of boilers and mechanical equipment.

The Building Code Commission oversees approval of state projects, accessibility programs, building code standards, training programs, continuing education, and registration of building contractors.

The Rhode Island State Energy Office administers a variety of federal and state program grants which provide a broad spectrum of energy assistance, energy conservation, and weatherization services to end-users in all sectors.

### Program Objectives

To provide a clean, safe, healthy, secure, and pleasant work environment conducive to worker productivity.

To provide building code guidelines, and monitor for compliance all applicable structures within the private and public sector.

To provide security and protection to the State House, court facilities, and other state offices.

### Statutory History

R.I.G.L. 37-6 establishes the duties, authority, and responsibilities of the State Properties Committee.

R.I.G.L. 23-27.2, R.I.G.L. 23-27.3, and R.I.G.L. 5-65 establish the duties, authority, and responsibilities of the State Building Commissioner's Office.

R.I.G.L. 37-8, R.I.G.L. 42-11-2 and Executive Order 86-15 establish the duties, authority, and responsibilities of the property management and buildings and grounds functions.

R.I.G.L. 12-2.2-2 defines the powers and responsibilities of the Capitol Police.

# The Budget

## Department of Administration Central Services

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Associate Director's Office	715,942	734,699	633,416	635,300
Building and Grounds Maintenance	7,640,225	7,400,514	7,039,821	6,751,899
Building Code Commission	1,889,585	1,524,641	1,626,696	1,703,615
Capitol Police	2,473,309	2,705,984	3,084,178	2,931,635
Energy and Conservation	14,749,712	17,739,338	23,107,403	19,808,081
<b>Total Expenditures</b>	<b>\$27,468,773</b>	<b>\$30,105,176</b>	<b>\$35,491,514</b>	<b>\$31,830,530</b>
<b>Expenditures By Object</b>				
Personnel	9,352,109	9,371,039	9,882,629	9,634,057
Other State Operations	4,010,853	3,872,911	3,564,728	3,451,729
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	13,420,203	16,251,569	21,283,549	17,757,136
<b>Subtotal: Operating Expenditures</b>	<b>\$26,783,165</b>	<b>\$29,495,519</b>	<b>\$34,730,906</b>	<b>\$30,842,922</b>
Capital Improvements	198,000	122,049	273,000	500,000
Capital Debt Service	487,608	487,608	487,608	487,608
<b>Total Expenditures</b>	<b>\$27,468,773</b>	<b>\$30,105,176</b>	<b>\$35,491,514</b>	<b>\$31,830,530</b>
<b>Expenditures By Funds</b>				
General Revenue	12,958,055	13,817,427	16,732,081	12,984,601
Federal Funds	13,924,650	15,680,521	17,861,567	18,069,352
Restricted Receipts	442,914	561,683	897,866	776,577
Other Funds	143,154	45,545	-	-
<b>Total Expenditures</b>	<b>\$27,468,773</b>	<b>\$30,105,176</b>	<b>\$35,491,514</b>	<b>\$31,830,530</b>
<b>Program Measures</b>				
Motor Vehicle Claims	9.0	11.9	12.2	11.1

# The Program

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## Department of Administration Office of Library and Information Services

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### Program Operations

The Office of Library and Information Services is comprised of four subprograms under the jurisdiction of the Chief Information Officer.

Library Services coordinates inter-library cooperation, maintains and develops the Rhode Island Library Network, operates the Regional Library for the Blind and Physically Handicapped, and promotes overall library development through various grant-in-aid programs for public and institutional libraries.

Statewide Planning was established to prepare, adopt, and amend strategic plans for the physical, economic, and social development of the state and to recommend these to the Governor, the General Assembly, and all others concerned. The Statewide Planning subprogram includes the State Planning Council, which is comprised of elected officials, state and local government officials, public members, and federal officials in an advisory capacity. The council directs the actions of the Statewide Planning program in coordinating planning and development activities of governmental agencies and the private sector.

Central Mail services include the collection and delivery of all interoffice mail, processing envelopes for welfare checks, tax refunds, and zip code presorting of general mail for state agencies.

Information Technology is responsible for information policy development and implementation, operation and maintenance of the state information resource management system, and improving access to state government information for state departments and the general public.

### Program Objectives

To maintain and improve library and information services to state government and to the residents of the state.

To develop and implement a state government information policy, and coordinate information resources throughout state government.

To administer technical and financial assistance to and supervise selected activities of cities and towns in organization, planning, and management.

To prepare, maintain, and encourage implementation of strategic plans and the State Guide Plan.

### Statutory History

Title 29 Chapters 3 through 8 of the Rhode Island General Laws establish the statutory basis for the Office of Library and Information Services. R.I.G.L. 42-11 includes provisions relative to the state planning program. Functions are further prescribed in Titles 1, 16, 22, 23, 34, 37, 42, 44, 45 and 46.

# The Budget

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## Department of Administration Office of Library and Information Services

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Executive Director - OLIS	213,810	146,900	1,285,009	2,393,860
Library Services	1,799,254	1,848,111	1,885,094	1,892,437
Systems Planning	1,990,411	2,472,195	2,285,435	2,256,174
Central Mail Services	191,657	287,651	211,161	218,410
<b>Total Expenditures</b>	<b>\$4,195,132</b>	<b>\$4,754,857</b>	<b>\$5,666,699</b>	<b>\$6,760,881</b>
<b>Expenditures By Object</b>				
Personnel	3,696,840	4,058,657	4,491,059	4,720,440
Other State Operations	228,056	238,024	898,943	1,933,441
Aid To Local Units Of Government	24,836	8,644	32,822	20,000
Assistance, Grants and Benefits	245,400	449,532	243,875	87,000
<b>Subtotal: Operating Expenditures</b>	<b>\$4,195,132</b>	<b>\$4,754,857</b>	<b>\$5,666,699</b>	<b>\$6,760,881</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,195,132</b>	<b>\$4,754,857</b>	<b>\$5,666,699</b>	<b>\$6,760,881</b>
<b>Expenditures By Funds</b>				
General Revenue	2,429,461	2,528,557	3,400,566	4,453,301
Federal Funds	1,030,595	931,427	1,262,478	1,287,901
Restricted Receipts	4,963	2,780	5,000	5,000
Other Funds	730,113	1,292,093	998,655	1,014,679
<b>Total Expenditures</b>	<b>\$4,195,132</b>	<b>\$4,754,857</b>	<b>\$5,666,699</b>	<b>\$6,760,881</b>
<b>Program Measures</b>				
Percentage of Certification Reviews in Compliance	65.0%	85.0%	90.0%	90.0%
Percentage of Public Libraries Providing Internet Access	98.0%	100.0%	100.0%	100.0%
Percentage of State Agencies Providing Online Information	85.0%	97.0%	99.0%	100.0%

# The Program

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## Department of Administration General

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### Program Operations

The General program reflects funds appropriated to finance expenditures not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

Contingency funds reflect the amount made available to the executive branch through the legislative appropriation process to finance unforeseen unbudgeted expenditures at the discretion of the Governor.

The Property Tax Relief program reflects expenditures resulting from the property tax relief program for the elderly and disabled that was enacted in 1977. The purpose of the program is to provide relief, through a system of tax credits and refunds from appropriations in the general fund, to elderly and/or disabled persons who own or rent their homes. The statute provides that if the allowable credit exceeds a taxpayer's liability, then the amount not used to offset taxes will be treated as an overpayment. The funds appropriated reflect the obligation arising from these overpayments of personal income taxes.

Grants and benefits reflect grants made to certain organizations and funds provided to certain individuals in the form of retirement benefits, which are appropriated by the legislature. This includes grants made to the Rhode Island Economic Development Corporation.

Special legislative appropriations are initially the result of the passage of legislation which is not part of the annual appropriation act. Tort claims and court awards are paid pursuant to R.I.G.L. 9-31, which provides that "the general assembly may make such appropriations as it shall deem proper in payment of or settlement of claims against the state; provided, however, that there is hereby appropriated any money in the treasury not otherwise appropriated a sum sufficient to pay claims against the state settled pursuant to the provisions of the chapter and the state controller is hereby authorized and directed to draw his orders upon the general treasurer for the payment of said sum."

### Program Objectives

To maintain a statewide accounting for all expenditures which are not allocated to other state departments or agencies and not specific to any one program within the Department of Administration.

### Statutory History

The existence of "General" has no statutory basis, but rather reflects a combination of appropriations which fund various expenditures not allocated to other state agencies. The statutory provisions relating to the Property Tax Relief program are contained in R.I.G.L. 44-33. The authority for payment of tort claims was enacted in 1970 and is described in R.I.G.L. 9-31.

# The Budget

## Department of Administration General

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
General	1,576,545	1,793,019	3,986,250	2,555,983
Capital Projects	7,692,316	1,783,547	7,165,624	7,670,000
Grants and Other Payments	9,897,362	10,307,860	16,441,998	14,844,370
Economic Development	11,071,557	9,062,532	11,185,687	11,891,067
State Aid to Local Communities	178,209,933	184,536,455	198,022,616	198,392,188
Housing	3,585,732	3,604,836	8,257,100	8,260,147
<b>Total Expenditures</b>	<b>\$212,033,445</b>	<b>\$211,088,249</b>	<b>\$245,059,275</b>	<b>\$243,613,755</b>
<b>Expenditures By Object</b>				
Personnel	1,309,937	1,165,021	574,319	344,414
Other State Operations	9,260,086	15,501,619	16,299,941	15,269,673
Aid To Local Units Of Government	178,749,749	184,664,578	198,722,616	199,092,188
Assistance, Grants and Benefits	15,172,847	8,417,538	22,071,775	21,012,480
<b>Subtotal: Operating Expenditures</b>	<b>\$204,492,619</b>	<b>\$209,748,756</b>	<b>\$237,668,651</b>	<b>\$235,718,755</b>
Capital Improvements	7,354,706	1,335,008	7,390,624	7,895,000
Capital Debt Service	186,120	4,485	-	-
<b>Total Expenditures</b>	<b>\$212,033,445</b>	<b>\$211,088,249</b>	<b>\$245,059,275</b>	<b>\$243,613,755</b>
<b>Expenditures By Funds</b>				
General Revenue	203,408,806	208,917,264	236,618,301	234,967,772
Federal Funds	484,443	-	298,050	-
Restricted Receipts	932,323	387,438	1,127,300	1,125,983
Other Funds	7,207,873	1,783,547	7,015,624	7,520,000
<b>Total Expenditures</b>	<b>\$212,033,445</b>	<b>\$211,088,249</b>	<b>\$245,059,275</b>	<b>\$243,613,755</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Program

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## Department of Administration Debt Service Payments

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### Program Operations

The Debt Service Payments Program reflects funding for expenditures for both long- and short-term tax supported debt obligations of the state. This includes debt service on general obligation bonds as well as lease payments securing certificates of participation issued by the state. Moreover, this program encompasses leases or trust agreements securing revenue bonds issued by the Rhode Island Convention Center Authority, Rhode Island Refunding Bond Authority, Rhode Island Economic Development Corporation, or other obligations which are part of the state's net tax supported debt.

### Program Objectives

To maintain a statewide accounting of all general obligation debt service and other long term obligations.

### Statutory History

R.I.G.L. 35-3-25 requires that debt service be enumerated within a program within the Department of Administration.

# The Budget

## Department of Administration Debt Service Payments

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Sinking Fund	9,112,383	1,123,131	-	-
COPS - DLT Center General	1,994,027	2,060,802	2,005,288	2,004,981
COPS - Center General Furniture	373,828	358,392	342,302	326,213
COPS - Pastore Center Telecommunications	828,866	794,271	744,984	736,610
RIRBA Debt Service	19,367,999	19,658,637	19,219,447	13,565,544
General Obligation Debt Service	115,938,484	70,069,537	75,994,409	90,504,975
PHE - Auxillary Debt Service	4,956,003	5,120,909	4,983,453	4,983,453
COPS - Attorney General Facility	283,320	147,676	139,479	139,480
Other Debt Service	35,366,724	38,090,445	38,986,754	45,763,520
<b>Total Expenditures</b>	<b>\$188,221,634</b>	<b>\$137,423,800</b>	<b>\$142,416,116</b>	<b>\$158,024,776</b>
<b>Expenditures By Object</b>				
Personnel	-	118,082	-	-
Other State Operations	9,170,302	1,091,405	110,000	110,000
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$9,170,302</b>	<b>\$1,209,487</b>	<b>\$110,000</b>	<b>\$110,000</b>
Capital Improvements	-	-	-	-
Capital Debt Service	179,051,332	136,214,313	142,306,116	157,914,776
<b>Total Expenditures</b>	<b>\$188,221,634</b>	<b>\$137,423,800</b>	<b>\$142,416,116</b>	<b>\$158,024,776</b>
<b>Expenditures By Funds</b>				
General Revenue	96,040,532	67,602,038	64,583,758	86,410,948
Federal Funds	1,418,027	1,241,423	1,297,829	1,286,406
Restricted Receipts	2,906,107	7,480,971	7,364,960	7,786,896
Other Funds	87,856,968	61,099,368	69,169,569	62,540,526
<b>Total Expenditures</b>	<b>\$188,221,634</b>	<b>\$137,423,800</b>	<b>\$142,416,116</b>	<b>\$158,024,776</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Program

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## Department of Administration Sheriffs

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### Program Operations

The Sheriffs attend all sessions of the Supreme, Superior, Family and District Courts, and the Workers' Compensation Court as requested by the Chief Judge. They execute all writs of process, both civil and criminal; summon witnesses to appear in court; transport prisoners and defendants to court and to state institutions; collect fees for services performed as officers of the court; and perform all other duties assigned to them by law.

### Program Objectives

To maintain an effective court security program, writ processing, and defendant management system.

### Statutory History

R.I.G.L. 42-29 established the appointment of county sheriffs by the Governor in 1939. R.I.G.L. 42-29-1 through R.I.G.L. 42-29-17 includes duties, residency, deputies and bond requirements. R.I.G.L. 42-29-18 through 42-29-26 include powers, attendance, and writ requirements. The 1998 session of the General Assembly increased the agency's responsibilities by requiring the Sheriffs to provide security for the Workers' Compensation Court (R.I.G.L. 42-29-20.1) R.I.G.L. 9-29 sets the fee structure of writs for Sheriffs. R.I.G.L. 42-11-21 merged the Sheriffs of the Several Counties with the State Marshals, and transferred the newly created Division of Sheriffs to the Department of Administration.

# The Budget

## Department of Administration Sheriffs

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	11,177,629	11,750,395	12,860,468	12,739,857
Other State Operations	657,125	1,029,170	772,203	677,700
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	9,385	310,333	10,333	10,333
<b>Subtotal: Operating Expenditures</b>	<b>\$11,844,139</b>	<b>\$13,089,898</b>	<b>\$13,643,004</b>	<b>\$13,427,890</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$11,844,139</b>	<b>\$13,089,898</b>	<b>\$13,643,004</b>	<b>\$13,427,890</b>
<b>Expenditures By Funds</b>				
General Revenue	11,844,139	13,089,898	13,643,004	13,427,890
<b>Total Expenditures</b>	<b>\$11,844,139</b>	<b>\$13,089,898</b>	<b>\$13,643,004</b>	<b>\$13,427,890</b>
<b>Program Measures</b>				
Escapes and Escape Attempts - Escapes	-	1	-	-
Escapes and Escape Attempts - Attempts	-	1	-	-
Suicides and Suicide Attempts - Suicides	-	-	-	-
Suicide and Suicide Attempts - Attempts	2	1	-	-
Percentage of Writs Served Within Five Business Days of Request	68.5%	78.3%	80.0%	80.0%

# The Program

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## **Department of Administration**

### **Salary Adjustment Fund**

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#### **Program Objectives**

This program is created to record net funding which could finance a cost of living adjustment of two percent for all permanent employees, and a seven percent employee contribution to health insurance premiums. The estimated costs are not distributed across all agencies, but funds sufficient to finance the changes are recommended in this program within the Department of Administration. The increases in salaries and associated benefits, and the reductions in medical benefits are displayed discretely in the Personnel Supplement.

# The Budget

## Department of Administration Salary Adjustment Fund

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Salary Adjustment Fund	-	-	-	10,759,121
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,759,121</b>
<b>Expenditures By Object</b>				
Personnel	-	-	-	10,759,121
Other State Operations	-	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,759,121</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,759,121</b>
<b>Expenditures By Funds</b>				
General Revenue	-	-	-	5,917,149
Federal Funds	-	-	-	1,832,034
Restricted Receipts	-	-	-	349,944
Other Funds	-	-	-	2,536,514
Internal Service Fund	-	-	-	[123,480]
<b>Total Expenditures</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$10,635,641</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Department of Administration Internal Service Programs

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### Program Operations

Various services needed by state operated programs and activities are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system that allocates the cost of delivery of the goods or services. The operational expenses of these programs are shown for display purposes only, since the costs to state agencies are reflected in the budget of the user agency both on an actual and budget basis.

Internal service programs that are operated by the Department of Administration include telecommunications services, mail services, information technology services, utility services, energy conservation, automotive services (repair and replacement), and the state employees workers' compensation fund.

Information technology is responsible for operation and maintenance of the mainframe computer system, which supports all financial and personnel records for the state. In addition, special programs are developed, operated, and maintained on behalf of various state agencies. Services include programming, technical assistance, data entry, and report generation. The telecommunications office manages all voice grade telephone line installations, service changes and billing statewide, and provides direct services both internally to government and to the public. Central mail services provides for inter-agency mail delivery and processing of United States mail for most state agencies.

Statewide energy management serves all state agencies. This subprogram has initiated projects in more than 160 buildings all over state government and obtained over \$2 million in utility grants to implement these projects. Central utilities provides for the administration and fiscal management of electricity and gas services.

State fleet provides for the administration and fiscal management of the state-owned vehicles, and operates several fuel depots throughout the state.

State employees workers' compensation provides timely payment of workers' compensation benefits to state employees who are injured on the job. This unit reviews and processes the claims of state employees. Claims are supervised from the report of injury, through the payment of compensation and medical expenses, to the closing of cases as the claimants return to work.

### Program Objectives

To provide the most cost-effective delivery of goods and services to other state programs.

### Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency.

# The Budget

## Department of Administration Internal Service Programs

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
State Planning Rotary Fund	293,246	405,174	405,288	405,288
Workers' Compensation Fund	24,914,159	26,798,494	28,244,617	28,619,831
Central Utilities Fund	18,535,033	14,938,863	18,353,401	18,493,243
Energy Revolving Loan Fund	40,979	-	-	-
Information Processing Rotary	10,699,751	9,098,510	10,427,597	10,948,450
Central Mail Rotary	4,594,046	4,882,903	4,696,983	4,797,926
Telecommunications Fund	2,684,511	2,202,237	2,539,849	2,547,697
Automotive Fleet Rotary	11,834,778	12,080,645	12,878,609	12,881,271
Surplus Property	-	-	21,300	21,300
<b>Total Expenditures</b>	<b>\$73,596,503</b>	<b>\$70,406,826</b>	<b>77,567,644</b>	<b>78,715,006</b>
<b>Expenditures By Object</b>				
Personnel	31,920,649	32,611,817	35,098,481	36,144,062
Other State Operations	41,074,897	37,366,441	41,954,278	42,182,109
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	44,978	6,130	6,130	6,130
<b>Subtotal: Operating Expenditures</b>	<b>\$73,040,524</b>	<b>\$69,984,388</b>	<b>\$77,058,889</b>	<b>\$78,332,301</b>
Capital Improvements	-	-	100,000	95,000
Capital Debt Service	555,979	422,438	408,755	287,705
<b>Total Expenditures</b>	<b>\$73,596,503</b>	<b>\$70,406,826</b>	<b>\$77,567,644</b>	<b>\$78,715,006</b>
<b>Expenditures By Funds</b>				
Internal Service Funds	73,596,503	70,406,826	77,567,644	78,715,006
<b>Total Expenditures</b>	<b>\$73,596,503</b>	<b>\$70,406,826</b>	<b>\$77,567,644</b>	<b>\$78,715,006</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Agency

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## Department of Business Regulation

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### Agency Operations

The department's primary function is to implement state laws mandating the regulation and licensing of designated businesses, professions, occupations and other specified activities. The department is composed of five divisions and Central Management, which includes the budget, personnel, legal and computer operations. The respective divisions are: Banking, Securities, Commercial Licensing and Regulation, Racing and Athletics, and Insurance.

The Director of Business Regulation is appointed by the Governor and serves statutorily as the State Banking Commissioner, Commissioner of Insurance, Real Estate Administrator, and State Boxing Commissioner. The Board of Bank Incorporation hears appeals from decisions made by the Banking Division regarding applications for the chartering of financial institutions, new branches and locations and changes in the by-laws of certain regulated institutions. Other commissions housed within the department include the Real Estate Commission, Real Estate Appraisal Board, Rhode Island Board of Accountancy and the Racing and Athletics Hearing Board. The department issues approximately 100,000 licenses and conducts administrative hearings involving issuances, administrative penalties, suspensions and/or revocations.

### Agency Objectives

To assist, educate, and protect the public through the implementation and enforcement of state laws mandating regulation and licensing of designated businesses, professions, occupations, and other specific activities while recognizing the need to foster a sound business environment.

### Statutory History

The department was established by the Rhode Island General Assembly in 1939 and is organized under R.I.G.L. 42-14-1 et seq.

# The Budget

## Department of Business Regulation

	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures by Program</b>				
Central Management	1,518,088	1,546,703	1,689,315	1,686,137
Banking Regulation	1,339,491	1,572,406	1,668,392	1,687,281
Securities Regulation	642,850	724,418	794,140	806,336
Commercial Licensing and Regulation	1,012,099	1,078,023	1,278,087	1,306,764
Racing and Athletics	665,052	628,589	631,308	546,145
Insurance Regulation	3,533,243	3,850,041	4,343,894	4,054,863
Board of Accountancy	139,367	122,653	129,724	135,480
<b>Total Expenditures</b>	<b>\$8,850,190</b>	<b>\$9,522,833</b>	<b>\$10,534,860</b>	<b>\$10,223,006</b>
<b>Expenditures By Object</b>				
Personnel	7,677,322	8,267,863	8,940,035	9,002,437
Other State Operations	1,155,598	1,250,824	1,510,981	1,136,725
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	17,270	4,146	83,844	83,844
<b>Subtotal: Operating Expenditures</b>	<b>\$8,850,190</b>	<b>\$9,522,833</b>	<b>\$10,534,860</b>	<b>\$10,223,006</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$8,850,190</b>	<b>\$9,522,833</b>	<b>\$10,534,860</b>	<b>\$10,223,006</b>
<b>Expenditures By Funds</b>				
General Revenue	8,609,251	9,120,775	9,911,780	9,593,349
Restricted Receipts	240,939	402,058	623,080	629,657
<b>Total Expenditures</b>	<b>\$8,850,190</b>	<b>\$9,522,833</b>	<b>\$10,534,860</b>	<b>\$10,223,006</b>
<b>FTE Authorization</b>	<b>111.0</b>	<b>106.0</b>	<b>109.0</b>	<b>106.0</b>
<b>Agency Measures</b>				
Minorities as Percentage of Workforce	5.0%	5.0%	4.0%	5.0%
Females as Percentage of Workforce	50.0%	50.0%	53.0%	53.0%

# The Program

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## Department of Business Regulation Central Management

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### Program Operations

Central Management (Director's Office) is composed of budget, personnel, legal and computer operations. Specific functions include legal research, drafting and analysis of legislation, issuance of legal opinions related to the department's operations, conducting administrative and rate hearings, and providing legal services to the Director, Associate Directors, and advising the other commissions housed within the department. Central Management compiles, submits and monitors budgets of the respective divisions, approves vouchers and contracts, and provides all personnel and management services.

The Director issues show cause and cease and desist orders; renders decisions relative to the operations of financial institutions and insurance companies; has the authority to deny, suspend, or revoke licenses, approve or disapprove rates and acts as receiver in case of insolvency of certain regulated entities.

The Director or his/her designee may be a member of various occupational licensing boards and commissions assigned to the department by the legislature in order to assist in the administration and regulation of licensing programs. The Director is also a member of such diverse administrative bodies as the Board of Bank Incorporation and the Rhode Island Housing and Mortgage Finance Corporation.

Central Management is also responsible for monitoring legislation impacting the department and for the annual submission of its own legislative program.

### Program Objectives

To administer the functions for the department with regard to the licensing and regulation of designated businesses, occupations and professions through the enforcement of applicable state laws.

### Statutory History

R.I.G.L. 42-14-1 establishes the Director as head of the department. R.I.G.L. 42-14-2 enumerates the functions of the department regarding the regulation of assigned occupations, businesses, and professions.

# The Budget

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## Department of Business Regulation Central Management

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,248,422	1,335,589	1,422,017	1,462,732
Other State Operations	269,666	211,114	267,298	223,405
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,518,088</b>	<b>\$1,546,703</b>	<b>\$1,689,315</b>	<b>\$1,686,137</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,518,088</b>	<b>\$1,546,703</b>	<b>\$1,689,315</b>	<b>\$1,686,137</b>
 <b>Expenditures By Funds</b>				
General Revenue	1,518,088	1,546,703	1,689,315	1,686,137
<b>Total Expenditures</b>	<b>\$1,518,088</b>	<b>\$1,546,703</b>	<b>\$1,689,315</b>	<b>\$1,686,137</b>
 <b>Program Measures</b>				
	NA	NA	NA	NA

# The Program

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## Department of Business Regulation Banking Regulation

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### Program Operations

Banking Regulation provides regulatory oversight of state-chartered financial institutions, credit unions and licensees through financial examinations and reviews to determine compliance with state banking laws, financial solvency and safety and soundness operations.

Banking Regulation is responsible for regulating, monitoring and examining twenty-nine (29) state-chartered financial institutions and credit unions and approximately 1,300 licensees. The division accomplishes its program objectives through the process of licensing, chartering and examining financial institutions and licensees. The purposes of examinations are to determine financial solvency and compliance with Rhode Island banking laws and regulations for the protection of depositors and the public interest. The division reviews and conducts hearings on applications filed by financial institutions and credit unions for charters, branches and other pertinent financial institution and credit union business. Licensees include lenders, loan brokers, loan lenders, foreign exchange transaction, sale of check and electronic money transfers, check cashers, and debt poolers. Additionally, Banking Regulation enforces statutes relating to maximum interest charges and state usury laws and conducts administrative hearings when required. Additional responsibilities include investigating and resolving several hundred consumer complaints and inquiries each year.

### Program Objectives

To charter, license and ensure compliance with statutory requirements for the safe and sound operation of regulated institutions and licensees in order to protect the public interest.

### Statutory History

R.I.G.L. 19-1 to 19-14.2 charge the division with the regulation of Financial Institutions and Lenders, Small Loan Lenders, and Loan Broker Licensees. R.I.G.L. 6-26 to 6-27 relate to Interest, Usury and Truth in Lending. R.I.G.L. 34-23 to 34-27 relate to Mortgages. R.I.G.L. 19-14.3, 19-14.4, and 19-14.5 relate to Sale of Checks and Electronic Money Transfers, Check Cashing, and Foreign Exchange Transactions, respectively. R.I.G.L. 5-66 relates to Debt Pooling.

# The Budget

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## Department of Business Regulation Banking Regulation

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,168,706	1,401,069	1,489,127	1,524,407
Other State Operations	170,785	171,337	179,265	162,874
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,339,491</b>	<b>\$1,572,406</b>	<b>\$1,668,392</b>	<b>\$1,687,281</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,339,491</b>	<b>\$1,572,406</b>	<b>\$1,668,392</b>	<b>\$1,687,281</b>
<b>Expenditures By Funds</b>				
General Revenue	1,339,491	1,572,406	1,668,392	1,687,281
<b>Total Expenditures</b>	<b>\$1,339,491</b>	<b>\$1,572,406</b>	<b>\$1,668,392</b>	<b>\$1,687,281</b>
<b>Program Measures</b>				
Percentage of State-Chartered Institutions Examined in Substantial Compliance with the Banking Code				
	96.7%	97.3%	97.0%	97.0%
Percentage of Other Lending Licensees Examined in Substantial Compliance with the Banking Code				
	81.6%	86.4%	86.0%	87.0%

# The Program

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## Department of Business Regulation Securities Regulation

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### Program Operations

Securities Regulation is responsible for the registration of certain securities, the licensing and regulation of broker dealers, sales representatives, certain investment advisers and certain investment adviser representatives.

The division is also responsible for enforcing compliance with the state's Franchise Investment Act, the registration of charitable and fund-raising groups and the state's Real Estate Time-Share Act. In FY 2003, the division processed approximately 60,738 licenses and 8,679 registrations, conducted 25 on-site examinations of broker-dealers and investment advisers, and investigated 78 complaints, instituted 19 enforcement actions, pursuant to applicable state and federal laws and regulations.

### Program Objectives

To enforce compliance with the applicable provisions of state laws and regulations related to the securities industry, franchises, charities, fund-raisers, and time-shares.

### Statutory History

The division is charged with the administration and enforcement of the Rhode Island Uniform Securities Act ("RIUSA"), (R.I.G.L. 7-11); the Franchise Investment Act, (R.I.G.L. 19-28.1); the Charitable Solicitation Act, (R.I.G.L. 5-53.1); and the Real Estate Time-Share Act, (R.I.G.L. 34-41).

# The Budget

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## Department of Business Regulation Securities Regulation

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	526,957	615,013	677,701	692,712
Other State Operations	115,893	109,405	116,439	113,624
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$642,850</b>	<b>\$724,418</b>	<b>\$794,140</b>	<b>\$806,336</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$642,850</b>	<b>\$724,418</b>	<b>\$794,140</b>	<b>\$806,336</b>
<b>Expenditures By Funds</b>				
General Revenue	642,850	724,418	794,140	806,336
<b>Total Expenditures</b>	<b>\$642,850</b>	<b>\$724,418</b>	<b>\$794,140</b>	<b>\$806,336</b>
<b>Program Measures</b>				
Percentage of Investment Advisory Firms with a Place of Business in RI Examined in Substantial Compliance with the Securities Act	NA	100.0%	85.0%	90.0%

# The Program

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## Department of Business Regulation Commercial Licensing and Regulation

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### Program Operations

Commercial Licensing and Regulation is responsible for the licensing and regulation of real estate agents, brokers and appraisers, auto body & salvage re-builder shops, auto wrecking and salvage yards, travel agencies and travel agents, upholsterers, alarm system installers, auctioneers, liquor wholesalers, breweries, wineries, salespersons (representing wholesalers) and agents (representing manufacturers and distillers), Class G (boat/airline/railroads) license holders, line-cleaners, and mobile and manufactured homes and parks. The enforcement of unit pricing, motor fuel advertising and health club pre-opening laws are also activities of this program. Administrative hearings are held to consider revocations and suspensions of licenses, including appeals from the decisions of local licensing boards that issue retail liquor licenses. The division also provides administrative services for various boards and commissions involving licensing programs. They include the Real Estate Commission, Real Estate Appraisers Board and the Travel Commission.

The division is responsible for the regulation of licenses to insure compliance with statutory provisions of law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses, or the imposition of sanctions or penalties and conducting of related administrative hearings.

The program provides the general public with application and licensing information for various occupations, businesses and professions. It investigates complaints received from the general public in all areas of licensing. Hearings are also held when required in order to resolve complaints.

### Program Objective

To increase the efficiency and effectiveness of occupational licensing programs and inspections in order to safeguard the health, safety, and welfare of the general public.

### Statutory History

R.I.G.L. 5-58 relates to auctioneers; R.I.G.L. 5-20.5 relates to real estate; R.I.G.L. 5-20.7 relates to real estate appraisers; R.I.G.L. 5-38 relates to automobile body repair shops; R.I.G.L. 5-50 relates to pre-opening of health club sales campaigns; R.I.G.L. 5-52 relates to travel agencies; R.I.G.L. 5-57 relates to burglar and hold-up alarm businesses; R.I.G.L. 6-31 relates to unit pricing; R.I.G.L. 23-26 relate to bedding and upholstered furniture; R.I.G.L. 31-44 & 31-44.1 relate to mobile and manufactured homes; R.I.G.L. 42-14.2 relates to auto wrecking and salvage yards; R.I.G.L. 31-37 relates to advertising and sale of motor fuel at retail, R.I.G.L. 31-46-7 relates to auto body salvage re-builders' licenses; and R.I.G.L. 3-1 relates to alcoholic beverages.

# The Budget

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## Department of Business Regulation Commercial Licensing and Regulation

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	827,299	900,083	990,568	1,026,297
Other State Operations	183,358	176,376	206,029	198,977
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,442	1,564	81,490	81,490
<b>Subtotal: Operating Expenditures</b>	<b>\$1,012,099</b>	<b>\$1,078,023</b>	<b>\$1,278,087</b>	<b>\$1,306,764</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,012,099</b>	<b>\$1,078,023</b>	<b>\$1,278,087</b>	<b>\$1,306,764</b>
<b>Expenditures By Funds</b>				
General Revenue	1,002,349	1,066,673	1,178,087	1,206,764
Restricted Receipts	9,750	11,350	100,000	100,000
<b>Total Expenditures</b>	<b>\$1,012,099</b>	<b>\$1,078,023</b>	<b>\$1,278,087</b>	<b>\$1,306,764</b>
<b>Program Measures</b>				
Percentage of Real Estate Licensees in Substantial Compliance with Real Estate Code	98.6%	96.8%	97.0%	97.0%
Percentage of Auto Body Shops, Auto Wrecking Yards, and Auto Salvage Re-builders in Substantial Compliance with the Code	90.9%	94.1%	95.0%	95.0%
Percentage of Liquor Licensees in Substantial Compliance with the Code	87.2%	95.7%	96.0%	96.0%

# The Program

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## Department of Business Regulation Racing and Athletics

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### Program Operations

The Racing and Athletics division is responsible for supervising the enforcement of laws related to licensing and regulation of racing and athletics activities, including dog racing, jai alai, boxing, wrestling, kickboxing and simulcast wagering. It is also responsible for the accounting and collection of racing taxes and fees as specified by state law.

The division promulgates rules and regulations governing pari-mutuel wagering, boxing, wrestling and kickboxing; issues specified operating dates to licensees; monitors all wagering; monitors commissions for the state, licensees, and cities and towns; handles licensing, finger printing and photo badging of all personnel and licensees; ensures that security is furnished by licensees of pari-mutuel facilities, boxing, wrestling and kickboxing events; and, provides aid and assistance to the public, as requested, including forms, printing, photocopying, and rules and regulations.

The division also oversees simulcast wagering operations at Lincoln Park and Newport Grand facilities and conducts and participates in hearings, collects tax revenue and issues occupational licenses.

### Program Objectives

To enforce, adjust, amend, and interpret all rules and regulations governing pari-mutuel wagering sports and all professional boxing, wrestling and kickboxing events in the state.

### Statutory History

R.I.G.L. 41-1 established the Commission on Horse Racing and Athletics in 1956. In 1987, the general laws were amended abolishing the commission and creating The Division of Racing and Athletics within the Department of Business Regulation.

# The Budget

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## Department of Business Regulation Racing and Athletics

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	587,412	551,385	543,949	464,967
Other State Operations	77,352	76,186	86,495	80,314
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	288	1,018	864	864
<b>Subtotal: Operating Expenditures</b>	<b>\$665,052</b>	<b>\$628,589</b>	<b>\$631,308</b>	<b>\$546,145</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$665,052</b>	<b>\$628,589</b>	<b>\$631,308</b>	<b>\$546,145</b>
<b>Expenditures By Funds</b>				
General Revenue	665,052	628,589	631,308	546,145
<b>Total Expenditures</b>	<b>\$665,052</b>	<b>\$628,589</b>	<b>\$631,308</b>	<b>\$546,145</b>
<b>Program Measures</b>				
Percentage of Greyhounds Required to be Chemically Tested During the Race Year which are Actually Tested	95.5%	95.5%	95.5%	95.5%

# The Program

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## Department of Business Regulation Insurance Regulation

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### Program Operations

Insurance Regulation is responsible for conducting financial examinations of domestic insurance companies to ensure financial solvency and market conduct examinations of domestic or foreign insurance companies to ensure compliance with the insurance statutes and regulations. The program performs several licensing functions including, but not limited to, the licensing of companies, producers, adjusters and appraisers. The program also reviews rate and form filings for the Life, Accident and Health, and Property and Casualty lines of business for compliance with state statutes and regulations, and addresses consumer complaints for these lines of business. The program monitors and introduces legislation in order to maintain accreditation by the National Association of Insurance Commissioners, which it received in June of 1993. The division was once again accredited in December 1998.

### Program Objectives

To monitor effectively the financial condition and market conduct of insurance companies licensed to do business in the State of Rhode Island.

To monitor activities of all licensees such as producers, adjusters and appraisers.

To ensure consumer access to an equitable insurance market and respond to consumer inquiries and complaints.

To maintain accreditation by the National Association of Insurance Commissioners.

### Statutory History

All chapters of R.I.G.L. 27-1; R.I.G.L. 42-14; R.I.G.L. 28-29 through 38; and all Insurance Division regulations.

# The Budget

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## Department of Business Regulation Insurance Regulation

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	3,205,008	3,353,035	3,702,452	3,710,313
Other State Operations	312,695	495,442	639,952	343,060
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	15,540	1,564	1,490	1,490
<b>Subtotal: Operating Expenditures</b>	<b>\$3,533,243</b>	<b>\$3,850,041</b>	<b>\$4,343,894</b>	<b>\$4,054,863</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,533,243</b>	<b>\$3,850,041</b>	<b>\$4,343,894</b>	<b>\$4,054,863</b>
 <b>Expenditures By Funds</b>				
General Revenue	3,302,054	3,459,333	3,820,814	3,525,206
Restricted Receipts	231,189	390,708	523,080	529,657
<b>Total Expenditures</b>	<b>\$3,533,243</b>	<b>\$3,850,041</b>	<b>\$4,343,894</b>	<b>\$4,054,863</b>
 <b>Program Measures</b>				
Percentage of Domestic Insurance Companies in Substantial Compliance with the Insurance Code (Market Conduct Examinations)	89.0%	87.0%	86.0%	86.0%

# The Program

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## Department of Business Regulation Board of Accountancy

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### Program Operations

The Board of Accountancy is an autonomous board that is responsible for the administration of the licensing of certified public accountants, public accountants, partnerships, corporations, and sole proprietorships. It processes applications and fees, and issues license certificates and permits to regulated business, occupations and professions.

The board is responsible for governing the conduct of assigned licensees in order to comply with statutory provisions of the law and to promote the continued welfare of the general public. This includes recommending approval, denial, suspension or revocation of licenses or the imposition of such sanctions or penalties allowed by law.

Hearings are held when required in order to resolve complaints and to act upon the denial, suspension or revocation of licenses. The board investigates complaints from the general public in all areas of licensing addressed above.

Board personnel also provide the general public with application and licensing information for various occupations, businesses and professions and provide copies of licensing laws and regulations upon request.

### Program Objectives

The Board intends to continue to intensify its analysis of continuing education records, as well as firm compliance with peer reviews, to efficiently process license renewals and responses, and to commence and resolve disciplinary actions efficiently and promptly.

### Statutory History

R.I.G.L. 5-3.1 et seq. (1956) relates to the Board of Accountancy.

# The Budget

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## Department of Business Regulation Board of Accountancy

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	113,518	111,689	114,221	121,009
Other State Operations	25,849	10,964	15,503	14,471
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$139,367</b>	<b>\$122,653</b>	<b>\$129,724</b>	<b>\$135,480</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$139,367</b>	<b>\$122,653</b>	<b>\$129,724</b>	<b>\$135,480</b>
 <b>Expenditures By Funds</b>				
General Revenue	139,367	122,653	129,724	135,480
<b>Total Expenditures</b>	<b>\$139,367</b>	<b>\$122,653</b>	<b>\$129,724</b>	<b>\$135,480</b>
 <b>Program Measures</b>				
Percentage of CPA's and PA's who meet Continuing Professional Education Requirements in Accordance with R.I. General Law	98.0%	98.0%	98.0%	98.0%

# The Agency

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## Department of Labor and Training

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### Agency Operations

The Department of Labor and Training's primary responsibilities are to provide a comprehensive array of employment and training services to Rhode Islanders, and to administer the laws governing workforce regulation, safety and labor law enforcement. The Department consists of the following six programs: Central Management, Income Support, Workforce Development Services, Injured Workers Services, Workforce Regulation and Safety, and the Labor Relations Board.

The Central Management Program is responsible for the supervision, coordination and monitoring of all departmental functions to assure the efficient use of Federal and State resources. Organized through the Director's office, the Central Management Program provides leadership, management, strategic planning, and control of departmental activities.

The Income Support Program encompasses all functions and activities related to Unemployment Insurance, Temporary Disability Insurance, and the Police and Fire Relief Funds.

The Workforce Development Services Program consists of employment and training programs designed to help customers find gainful employment. It also includes the Human Resource Investment Council, whose mission is to improve the competitiveness of Rhode Island companies and help increase the skill base of the workforce.

The Injured Workers Services Program operates the State's Workers' Compensation System. The Donley Center provides rehabilitation services including evaluations, therapy and counseling for workers injured on the job. The Workers' Compensation Education unit provides information to workers and employers regarding Workers' Compensation laws and regulations.

The Workforce Regulation and Safety Program is responsible for enforcing laws relating to professional regulation, labor standards, occupational health and safety and certification of weights and measures.

The Labor Relations Board is responsible for making bargaining unit determinations for the public sector, oversees collective bargaining elections and investigates charges of unfair practices.

### Agency Objectives

To provide the public programs for workforce development, income support, and workforce regulation and safety that exceed customer expectations, lead to an improved economy, and enhance the quality of life for all residents.

### Statutory History

R.I.G.L. 42-16 created the department in 1996. R.I.G.L. 42-6 authorizes the appointment of the Director of Labor and Training.

# The Budget

## Department of Labor and Training

	FY 2002	FY 2003	FY 2004	FY 2005
	Actual	Actual	Revised	Recommended
<b>Expenditures by Program</b>				
Central Management	999,445	985,686	674,934	718,534
Workforce Development Services	30,122,041	30,470,872	33,723,507	35,432,877
Workforce Regulation and Safety	3,737,433	3,236,426	2,211,365	3,110,366
Income Support	399,787,661	437,270,086	429,315,043	397,982,663
Injured Workers Services	8,917,767	9,346,733	11,527,804	11,232,483
Labor Relations Board	354,174	374,134	409,263	342,154
<b>Total Expenditures</b>	<b>443,918,521</b>	<b>481,683,937</b>	<b>477,861,916</b>	<b>448,819,077</b>
<b>Expenditures By Object</b>				
Personnel	36,656,105	39,704,581	42,224,865	43,146,178
Other State Operations	13,051,721	9,906,015	9,987,006	9,557,009
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	394,375,668	432,073,341	425,650,045	396,115,920
<b>Subtotal: Operating Expenditures</b>	<b>\$444,083,494</b>	<b>\$481,683,937</b>	<b>\$477,861,916</b>	<b>\$448,819,107</b>
Capital Improvements	(164,973)	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$443,918,521</b>	<b>\$481,683,937</b>	<b>\$477,861,916</b>	<b>\$448,819,107</b>
<b>Expenditures By Funds</b>				
General Revenue	7,291,073	6,801,323	7,459,059	6,633,002
Federal Funds	33,591,666	35,584,044	36,366,062	28,735,191
Restricted Receipts	22,076,440	20,315,829	23,752,805	26,017,487
Temporary Disability Insurance Fund	150,857,529	158,809,881	162,574,046	168,309,848
Employment Security Fund	230,101,813	258,224,121	243,760,000	211,325,000
Other Funds	-	1,948,739	3,949,944	7,798,579
<b>Total Expenditures</b>	<b>\$443,918,521</b>	<b>\$481,683,937</b>	<b>\$477,861,916</b>	<b>\$448,819,107</b>
<b>FTE Authorization</b>	<b>558.0</b>	<b>538.7</b>	<b>536.7</b>	<b>536.7</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	9.3%	10.8%	10.8%	11.0%
Females as a Percentage of the Workforce	63.8%	64.9%	64.9%	63.5%

# The Program

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## Department of Labor and Training Central Management

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### Program Operations

The Central Management Program is responsible for the supervision, coordination and monitoring of all departmental functions to ensure the efficient use of federal and state resources. Organized through the Director's office, the Central Management Program provides leadership, management, strategic planning and control of departmental activities. An important aspect of the Central Management Program is intergovernmental relations. This liaison function is maintained through the continued sharing of information between the Department and the Governor's staff, other department directors and agency heads, US DOL representatives, State and Federal legislators, local employment and training officials and professional service organizations.

The Director's office provides strategic planning support for the Department in the development and implementation of new and revised programs and initiatives. Legal Services represents the Department in litigation matters, interprets law and regulations, and provides counsel to the Director and staff on complex legal issues relating to departmental operations.

In addition to the above, the Central Management Program provides an array of administrative services to the Department including personnel, purchasing, property management, financial management and information systems. The Personnel Office processes all personnel actions, maintains central personnel files and assists with the labor relations functions. The Purchasing and Property Management units provide centralized management of all purchasing functions in the Department, operates a central stock room and coordinates the maintenance and support of all facilities. The Financial Management Unit prepares the Department's budgets, performs appropriation control and cash management functions, and is responsible for all federal and state financial reporting requirements. The Information Services unit is focused on meeting the Department's information needs by providing the coordination, planning, technical evaluation and implementation of information systems.

### Program Objectives

To provide leadership, management and strategic planning in the development and implementation of a cost efficient and productive system of service delivery.

To provide competent legal representation and consultation to all departmental staff requiring such service.

To provide comprehensive financial management, staff development and management information services to all divisions within the department.

### Statutory History

Title 42 of the Rhode Island General Laws establishes the responsibilities of the Director.

# The Budget

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## Department of Labor and Training Central Management

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	880,621	886,243	555,734	608,873
Other State Operations	116,638	97,257	116,992	107,461
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,186	2,186	2,208	2,230
<b>Subtotal: Operating Expenditures</b>	<b>\$999,445</b>	<b>\$985,686</b>	<b>\$674,934</b>	<b>\$718,564</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$999,445</b>	<b>\$985,686</b>	<b>\$674,934</b>	<b>\$718,564</b>
<b>Expenditures By Funds</b>				
General Revenue	319,544	342,731	275,059	272,253
Restricted Receipts	679,901	642,955	399,875	446,311
<b>Total Expenditures</b>	<b>\$999,445</b>	<b>\$985,686</b>	<b>\$674,934</b>	<b>\$718,564</b>
<b>Program Measures</b>	NC	NC	NC	NC

# The Program

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## Department of Labor and Training Workforce Development Services

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### Program Operations

The Workforce Development Services program consists of several sub-programs that are designed to help individuals find meaningful work and assist them with basic readjustment and retraining.

The Employment Service sub-program provides our customers with a broad array of services, including employment counseling, occupational exploration, aptitude interest and performance testing, career guidance, job search workshops, resume writing seminars, and referrals to training programs.

When qualified for a specific position, the Veterans' sub-program provides these same services to veterans as it does to customers, except that these services are provided through a specialized veteran staff. Veterans are given priority on referrals for all job orders.

The Workforce Investment Act sub-program provides a variety of employment and training programs to prepare youth, unskilled adults, and dislocated workers for entry or re-entry into the labor force. We offer vocational planning, job search workshops, work readiness training, classroom training, and on-the-job training.

The Labor Market Information (LMI) sub-program operates as a clearing office for a wide variety of employment statistics and demographic information. The LMI unit collects, analyzes, and disseminates basic employment and unemployment data; employment projections by industry and occupation; industry staffing patterns; hourly wage rates; and the supply and demand of workers.

The Human Resource Investment Council (HRIC) establishes policies, goals and guidelines to coordinate employment and training related programs in Rhode Island, and supports efforts to link those activities with economic development strategies. Funding for the HRIC is provided from the Job Development Fund. This Fund is used to support research, demonstration, coordination and training activities that help develop a productive work force and competitive business environment.

### Program Objectives

To administer employment and training service programs to match job seekers with suitable job openings, and employers with suitable workers. To provide up-to-date labor market information to workers, employers and students to help individuals find jobs.

### Statutory History

The Federal Social Security Act of 1935 and the Wagner-Peyser Act created state employment service programs. The Workforce Investment Act of 1998 created state job development and training programs. R.I.G.L. 42-102 created the Rhode Island Human Resource Investment Council programs.

# The Budget

## Department of Labor and Training Workforce Development Services

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Employment Services	4,444,613	4,914,861	3,720,078	3,155,532
WIA & Other Training Programs	12,953,025	16,243,098	19,715,774	18,165,761
Labor Market Information	775,910	821,601	755,433	623,842
Human Resource Investment Council	11,361,059	7,922,941	8,914,736	12,960,602
Veteran Services	587,434	568,371	617,486	527,140
<b>Total Expenditures</b>	<b>\$30,122,041</b>	<b>\$30,470,872</b>	<b>\$33,723,507</b>	<b>\$35,432,877</b>
<b>Expenditures By Object</b>				
Personnel	10,865,136	12,331,891	12,796,466	15,821,680
Other State Operations	2,910,422	2,831,175	3,459,983	3,187,542
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	16,427,320	15,307,806	17,467,058	16,423,655
<b>Subtotal: Operating Expenditures</b>	<b>\$30,202,878</b>	<b>\$30,470,872</b>	<b>\$33,723,507</b>	<b>\$35,432,877</b>
Capital Improvements	(80,837)	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$30,122,041</b>	<b>\$30,470,872</b>	<b>\$33,723,507</b>	<b>\$35,432,877</b>
<b>Expenditures By Funds</b>				
General Revenue	-	-	1,400,000	-
Federal Funds	18,127,552	19,658,781	18,965,848	14,673,696
Restricted Receipts	11,994,489	8,863,352	9,461,894	12,960,602
Other Funds	-	1,948,739	3,895,765	7,798,579
<b>Total Expenditures</b>	<b>\$30,122,041</b>	<b>\$30,470,872</b>	<b>33,723,507</b>	<b>35,432,877</b>
<b>Program Measures</b>				
Adult Dislocated Worker Average Earnings Change	102.0%	105.0%	105.0%	105.0%
Adult Dislocated Worker Retention Rate	87.0%	92.0%	91.0%	92.0%

# The Program

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## Department of Labor and Training Workforce Regulation and Safety

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### Program Operations

Workforce Regulation and Safety is a regulatory division charged with enforcing and interpreting labor laws relating to professional regulation, labor standards, weights and measures, and occupational safety. This is accomplished through licensing, inspection programs, educational programs, workshops, seminars, and enforcement of the various labor laws.

The Labor Standards unit enforces labor laws. It provides for worker protection in the areas of wages and hours, including but not limited to payment and collection of wages, minimum wages, and overtime provisions. The division also enforces laws regarding work permits on Sundays and holidays, child labor, parental and family leave, and industrial homework.

The Occupational Safety unit safeguards public and private sectors workplace environments by enforcing laws relating to safety compliance, elevators, boilers, and hazardous substances.

The Trade Licensing unit licenses trade members, and monitors and enforces trade laws pertaining to electricians, hoisting engineers, pipefitters, refrigeration technicians, sprinkler fitters, plumbers, sheet metal workers and telecommunications technicians. Staff responsibilities include conducting on-site inspections in order to safeguard the health, safety, and welfare of the general public.

The Prevailing Wage unit is responsible for preventing unfair competition and worker exploitation. This is accomplished by enforcing prevailing wage rates for hours worked on public construction projects and ensuring that prevailing wages are paid in accordance with the laws.

The Mercantile unit (weights and measures) licenses companies involved in the delivery of #2 fuel oil; tests meters of the same; and calibrates the compartments of tank truck vehicles. The unit is responsible for consumer protection legislation relating to equity between buyers and sellers.

### Program Objectives

To impartially administer the labor laws designed to protect consumers, employees, and employers; to ensure the Rhode Island workplace is a safe, competitive, fair environment to work or conduct business.

### Statutory History

In 1939, R.I.G.L. 42-16 established the Department of Labor and defined its functions. The Department of Labor and the Department of Employment and Training were merged into the Department of Labor and Training effective August 6, 1996 by 96-H-8219 Sub A.

# The Budget

## Department of Labor and Training Workforce Regulation and Safety

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Labor Standards	770,523	756,183	764,152	482,919
Occupational Safety	1,569,163	821,822	580,387	378,412
Professional Regulations	1,397,747	1,658,421	866,826	2,249,035
<b>Total Expenditures</b>	<b>\$3,737,433</b>	<b>\$3,236,426</b>	<b>\$2,211,365</b>	<b>\$3,110,366</b>
<b>Expenditures By Object</b>				
Personnel	3,136,666	2,601,780	1,571,401	2,777,389
Other State Operations	300,403	334,221	339,513	325,499
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	300,364	300,425	300,451	7,478
<b>Subtotal: Operating Expenditures</b>	<b>\$3,737,433</b>	<b>\$3,236,426</b>	<b>\$2,211,365</b>	<b>\$3,110,366</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,737,433</b>	<b>\$3,236,426</b>	<b>\$2,211,365</b>	<b>\$3,110,366</b>
<b>Expenditures By Funds</b>				
General Revenue	3,737,433	3,236,426	2,211,365	3,110,366
<b>Total Expenditures</b>	<b>\$3,737,433</b>	<b>\$3,236,426</b>	<b>\$2,211,365</b>	<b>\$3,110,366</b>
<b>Program Measures</b>				
Percentage of Meters Distributing Home Heating Oil in Compliance When Tested	76.0%	78.0%	80.0%	80.0%
Percentage of Limited Work Permits Assigned for Investigation Which Were Denied	32.2%	30.7%	25.9%	25.9%
Percentage of Boilers and Pressure Vessels Compliant with Code upon Initial Inspection	98.0%	97.0%	97.0%	85.0%
Percentage of Elevators and Escalators Compliant with Applicable Codes	88.0%	85.0%	85.0%	87.0%

# The Program

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## Department of Labor and Training Income Support

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### Program Operations

The mission of the Income Support Program is to provide customers with income support in a timely, efficient and courteous manner. This mission is accomplished by providing accurate information; by interpreting and applying the law, policies, and regulations in a fair and consistent manner for all customers; and by maintaining confidentiality of all information. The income support programs provide Unemployment Insurance, Temporary Disability Insurance, and Police and Fire Relief Benefits.

Unemployment Insurance provides temporary financial aid to workers who have lost employment through no fault of their own. While claiming benefits an individual must be able to work, be available for work and be willing to accept suitable work when it is offered. Unemployment Insurance benefits are funded from Rhode Island employer contributions based upon their experience rating.

Temporary Disability Insurance pays weekly benefits to individuals who are unable to work due to non-work related illness or injury. The disability must be certified by a licensed physician and the disabled worker must meet a minimum earnings standard in order to qualify. The Temporary Disability Insurance program is financed entirely from employee contributions.

Police and Fire Relief provides financial compensation to police officers, firefighters, and crash rescue personnel or their families for death or disabling injuries. Tuition benefits are also provided for dependent children at Rhode Island state colleges.

### Program Objectives

To administer the income support programs in a timely, efficient, and courteous manner.

To comply with the regulations and guidelines established by the United States Department of Labor.

### Statutory History

Title 28 Chapters 39 through 44 of the Rhode Island General Laws include general provisions relating to Unemployment Insurance and Temporary Disability Insurance programs. R.I.G.L. 45-19 relates to Fire Funds.

# The Budget

## Department of Labor and Training Income Support

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Unemployment Insurance	246,050,210	275,612,173	263,577,625	226,764,586
Temporary Disability Insurance Fund	150,857,529	158,809,881	162,574,046	168,309,848
Fire and Police	2,879,922	2,848,032	3,163,372	2,908,229
<b>Total Expenditures</b>	<b>\$399,787,661</b>	<b>\$437,270,086</b>	<b>\$429,315,043</b>	<b>\$397,982,663</b>
<b>Expenditures By Object</b>				
Personnel	15,831,511	17,609,780	19,920,905	16,154,303
Other State Operations	9,028,875	5,967,176	4,982,135	5,108,151
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	375,011,411	413,693,130	404,412,003	376,720,209
<b>Subtotal: Operating Expenditures</b>	<b>\$399,871,797</b>	<b>\$437,270,086</b>	<b>\$429,315,043</b>	<b>\$397,982,663</b>
Capital Improvements	(84,136)	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$399,787,661</b>	<b>\$437,270,086</b>	<b>\$429,315,043</b>	<b>\$397,982,663</b>
<b>Expenditures By Funds</b>				
General Revenue	2,879,922	2,848,032	3,163,372	2,908,229
Federal Funds	15,464,114	15,925,263	17,400,214	14,061,495
Restricted Receipts	484,283	1,462,789	2,363,232	1,378,091
Temporary Disability Insurance Fund	150,857,529	158,809,881	162,574,046	168,309,848
Employment Security Fund	230,101,813	258,224,121	243,760,000	211,325,000
Other Funds	-	-	54,179	-
<b>Total Expenditures</b>	<b>\$399,787,661</b>	<b>\$437,270,086</b>	<b>\$429,315,043</b>	<b>\$397,982,663</b>
<b>Program Measures</b>				
Initial Unemployment Insurance Claims Promptly Paid	95.1%	94.7%	95.0%	95.1%
Initial Unemployment Insurance Claims Accurately Paid	90.1%	81.8%	93.0%	94.0%
Percentage of Wage Information Transferred to Other States on a Timely Basis	81.8%	80.7%	80.0%	80.0%
Percentage of Temporary Disability Claims Filed that are Either Authorized or Disallowed Within 21 Days of their Receipt	77.4%	79.4%	79.4%	79.4%
Percentage of Nonmonetary Determinations Receiving an Acceptable Grade for Completeness of Fact-Finding and Correctness	92.0%	92.5%	93.0%	93.0%

# The Program

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## Department of Labor and Training Injured Workers Services

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### Program Operations

The Injured Workers Services division monitors procedures and payments made by insurance carriers to employees unable to work because of injury sustained on the job. This division also assures that vocational and physical rehabilitation assistance, as well as educational seminars, is available to injured employees. The division is made up of the Workers' Compensation (administrative) unit, the Dr. John E. Donley Rehabilitation Center and the Workers' Compensation Education unit.

The Workers' Compensation (administrative) unit monitors all claim filings to ensure proper payment by the insurance carrier and maintains all records and statistical data. It operates a self-insurance program for larger companies who meet certain criteria.

The rehabilitation unit, housed at the Dr. John E. Donley Rehabilitation and Education Center and the URI satellite facility, provides broad-based rehabilitation programs for individuals within the Workers' Compensation system. Services include complete evaluation and treatment programs.

The Education unit provides services throughout Rhode Island pertaining to all aspects of workplace safety and Workers' Compensation (WC). These services include: establishing loss prevention programs, safety committee development, on-site employer and employee training, safety video lending library, Workers' Compensation filing procedures, and guidance with the Workers' Compensation Act.

### Program Objectives

To maintain a WC system that is fair to both employees and employers;

To maintain a WC system that emphasizes and rewards safety in the workplace;

To maintain a WC system that is cost-competitive and that insurance coverage for employers is available at a low cost;

To maintain a WC system that is free from fraud.

### Statutory History

Title 28, Chapters 29 through 38 of the General Laws of Rhode Island contain provisions relating to state and municipal employees, report of injuries, benefits, and other aspects of the WC system.

# The Budget

## Department of Labor and Training Injured Workers Services

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Workers' Compensation Compliance	5,154,939	5,482,808	6,749,186	6,565,312
Education and Rehabilitation	3,762,828	3,863,925	4,778,618	4,667,171
<b>Total Expenditures</b>	<b>\$8,917,767</b>	<b>\$9,346,733</b>	<b>\$11,527,804</b>	<b>\$11,232,483</b>
<b>Expenditures By Object</b>				
Personnel	5,614,087	5,936,092	7,008,522	7,475,910
Other State Operations	669,293	640,847	1,050,957	794,225
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,634,387	2,769,794	3,468,325	2,962,348
<b>Subtotal: Operating Expenditures</b>	<b>\$8,917,767</b>	<b>\$9,346,733</b>	<b>\$11,527,804</b>	<b>\$11,232,483</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$8,917,767</b>	<b>\$9,346,733</b>	<b>\$11,527,804</b>	<b>\$11,232,483</b>
<b>Expenditures By Funds</b>				
Restricted Receipts	8,917,767	9,346,733	11,527,804	11,232,483
<b>Total Expenditures</b>	<b>\$8,917,767</b>	<b>\$9,346,733</b>	<b>\$11,527,804</b>	<b>\$11,232,483</b>
<b>Program Measures</b>				
Return to Work Rate	93.0%	92.0%	92.0%	92.0%
Percentage of Prosecuted Workers' Compensation Fraud Cases Resulting in Guilty Verdicts or Nolo Contendre Pleas	91.0%	95.0%	93.0%	93.0%

# The Program

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## Department of Labor and Training Labor Relations Board

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### Program Operations

The Rhode Island State Labor Relations Act declares that it is the public policy of the State to encourage the practice and procedure of collective bargaining, and to protect employees in the exercise of full freedom of association, self organization and designation of representatives of their own choosing for the purposes of collective bargaining. It is in the public interest that an equality of bargaining power between the employer and the employees be established and maintained. To that end, the Rhode Island State Labor Relations Board is empowered to make bargaining unit determinations, settle controversies as to employee representation, and to prevent unfair labor practices, through informal hearing, investigation and the formal hearing process.

### Program Objectives

To provide for expeditious resolution of representation election petitions, requests for unit clarification, and charges of unfair labor practice, through hearings and investigations, in accordance with the provisions of the Rhode Island State Labor Relations Act and its amendments.

### Statutory History

The statutory basis for the Labor Relations Board is contained within R.I.G.L. 28-7, et seq.; R.I.G.L. 28-9.1 through 28-9.6; and R.I.G.L. 36-11.

# The Budget

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## Department of Labor and Training Labor Relations Board

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
Personnel	328,084	338,795	371,837	308,023
Other State Operations	26,090	35,339	37,426	34,131
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$354,174</b>	<b>\$374,134</b>	<b>\$409,263</b>	<b>\$342,154</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$354,174</b>	<b>\$374,134</b>	<b>\$409,263</b>	<b>\$342,154</b>
 <b>Expenditures By Funds</b>				
General Revenue	354,174	374,134	409,263	342,154
<b>Total Expenditures</b>	<b>\$354,174</b>	<b>\$374,134</b>	<b>\$409,263</b>	<b>\$342,154</b>
 <b>Program Measures</b>				
Percentage of Cases Resolved	68.0%	67.0%	70.0%	80.0%

# The Agency

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## Legislature

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### Agency Operations

The Rhode Island Legislature, the General Assembly, consists of two chambers. The Senate is composed of 38 members, with the Lieutenant Governor serving as president of the Senate. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for enactment of laws, the formation of state policy, and the evaluation of programs through the appropriation process. The Joint Committee on Legislative Affairs, Legislative Council, the Fiscal Advisory Staff, the Office of Auditor General, and Special Legislative Commissions assist the General Assembly in executing its constitutional role.

### Statutory History

The Legislature is one of the three departments of government authorized in the Rhode Island Constitution. Article VI establishes the powers of the Legislature, and Articles VII and VIII define the composition of the House of Representatives and the Senate.

# The Budget

## Legislature

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
General Assembly	6,146,156	7,181,205	5,639,548	5,460,101
Fiscal Advisory Staff To House Finance	939,643	1,204,707	1,244,725	1,282,225
Legislative Council	2,972,112	3,169,455	3,272,896	3,434,453
Joint Committee on Legislative Affairs	13,580,164	12,969,303	15,878,186	13,249,123
Office of the Auditor General	3,288,628	3,397,066	3,814,623	3,903,267
Special Legislative Commissions	2,365	7,392	26,500	34,876
<b>Total Expenditures</b>	<b>\$26,929,068</b>	<b>\$27,929,128</b>	<b>\$29,876,478</b>	<b>\$27,364,045</b>
<b>Expenditures By Object</b>				
Personnel	20,251,335	22,193,810	22,802,963	23,261,983
Other State Operations	3,638,765	4,013,985	3,409,678	3,093,512
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	3,038,968	1,721,333	3,663,837	1,008,550
<b>Subtotal: Operating Expenditures</b>	<b>\$26,929,068</b>	<b>\$27,929,128</b>	<b>\$29,876,478</b>	<b>\$27,364,045</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$26,929,068</b>	<b>\$27,929,128</b>	<b>\$29,876,478</b>	<b>\$27,364,045</b>
<b>Expenditures By Funds</b>				
General Revenue	26,037,482	26,672,594	28,992,175	26,414,680
Restricted Receipts	891,586	1,256,534	884,303	949,365
Other Funds	-	-	-	-
<b>Total Expenditures</b>	<b>\$26,929,068</b>	<b>\$27,929,128</b>	<b>29,876,478</b>	<b>27,364,045</b>
<b>FTE Authorization</b>	<b>280.0</b>	<b>280.0</b>	<b>280.0</b>	<b>280.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	NS	NS	NS	NS
Females as a Percentage of the Workforce	NS	NS	NS	NS

# The Program

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## **Legislature General Assembly**

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### **Program Operations**

The General Assembly consists of two chambers. The Senate is composed of 38 members, and the Lieutenant Governor serves as president of the Senate. The House of Representatives is composed of 75 members. The General Assembly meets annually and is responsible for the enactment of laws, the formation of basic state policy, and the evaluation of existing programs through the appropriation process. The Lieutenant Governor will no longer serve as the president of the Senate, and officers will be elected from members of the Senate.

### **Statutory History**

The legislative power of the state is vested in the Legislature as set forth in Article VI of the State Constitution, and the composition of the General Assembly is established in Articles VII and VIII of the State Constitution.

# The Budget

## Legislature General Assembly

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	4,022,569	4,386,957	3,773,632	3,687,501
Other State Operations	2,123,587	2,794,248	1,865,916	1,772,600
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$6,146,156</b>	<b>\$7,181,205</b>	<b>\$5,639,548</b>	<b>\$5,460,101</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,146,156</b>	<b>\$7,181,205</b>	<b>\$5,639,548</b>	<b>\$5,460,101</b>
 <b>Expenditures By Funds</b>				
General Revenue	6,146,156	7,181,205	5,639,548	5,460,101
<b>Total Expenditures</b>	<b>\$6,146,156</b>	<b>\$7,181,205</b>	<b>\$5,639,548</b>	<b>\$5,460,101</b>
 <b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## **Legislature Fiscal Advisory Staff to House Finance Committee**

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### **Program Operations**

The Fiscal Advisory Staff to the House Finance Committee and to the Legislature operates under the supervision of the House Finance Committee. The major function of the unit is to perform fiscal research and analysis for the Legislature, its committees, and individual legislators. Duties include examination of revenues, review of appropriations and expenditures, and analysis of the state's indebtedness and Capital Improvement Program. The House Fiscal Advisor serves as one of three principals on the Revenue Consensus Forecasting and the Medical and Public Assistance Caseload Estimating Conferences.

### **Statutory History**

The Fiscal Advisory Staff to the House Finance Committee was established as a separate agency in 1959. Statutory provisions for the Fiscal Advisory Staff to the House Finance Committee are contained in R.I.G.L. 22-6.

# The Budget

## Legislature Fiscal Advisory Staff to House Finance Committee

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	803,479	1,117,036	1,130,775	1,181,575
Other State Operations	135,415	86,368	113,950	99,300
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	749	1,303	-	1,350
<b>Subtotal: Operating Expenditures</b>	<b>\$939,643</b>	<b>\$1,204,707</b>	<b>\$1,244,725</b>	<b>\$1,282,225</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$939,643</b>	<b>\$1,204,707</b>	<b>\$1,244,725</b>	<b>\$1,282,225</b>
<b>Expenditures By Funds</b>				
General Revenue	939,643	1,204,707	1,244,725	1,282,225
<b>Total Expenditures</b>	<b>\$939,643</b>	<b>\$1,204,707</b>	<b>\$1,244,725</b>	<b>\$1,282,225</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## **Legislature Legislative Council**

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### **Program Operations**

The Legislative Council collects factual information and advises the General Assembly on bill drafting by assisting legislators in the preparation of technically correct bills for introduction in the General Assembly; conducts research on specific topics at the request of legislators, committees, commissions, legislative staff, and informational requests originating in other states; and, maintains library and reference materials on model legislation and files on bills introduced and passed.

### **Statutory History**

The Legislative Council was established as a separate agency in 1939. Statutory provisions for the Legislative Council are contained in R.I.G.L. 22-8.

# The Budget

## Legislature Legislative Council

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	2,887,432	3,098,477	3,193,191	3,368,023
Other State Operations	80,499	66,442	75,505	61,930
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,181	4,536	4,200	4,500
<b>Subtotal: Operating Expenditures</b>	<b>\$2,972,112</b>	<b>\$3,169,455</b>	<b>\$3,272,896</b>	<b>\$3,434,453</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,972,112</b>	<b>\$3,169,455</b>	<b>\$3,272,896</b>	<b>\$3,434,453</b>
<b>Expenditures By Funds</b>				
General Revenue	2,972,112	3,169,455	3,272,896	3,434,453
<b>Total Expenditures</b>	<b>\$2,972,112</b>	<b>\$3,169,455</b>	<b>\$3,272,896</b>	<b>\$3,434,453</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## **Legislature**

### **Joint Committee on Legislative Affairs**

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#### **Program Operations**

The Joint Committee on Legislative Services is responsible for all administrative matters affecting the operations of the General Assembly. The Joint Committee prepares legislative payrolls and the annual operating budget for the General Assembly, manages the house and senate appropriations to include all committees unless otherwise specified in law, procures all supplies, materials and technical services, and provides for all printing requirements. Also, the Joint Committee on Legislative Services coordinates the Legislative Data Services and the Telecommunications - Cable TV activities.

#### **Statutory History**

The Joint Committee on Legislative Services was established as a separate agency in 1960. Statutory provisions for the Joint Committee on Legislative Services are contained in R.I.G.L. 22-11.

# The Budget

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## Legislature Joint Committee on Legislative Affairs

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	9,662,069	10,533,123	11,285,742	11,539,360
Other State Operations	884,057	720,686	932,807	707,063
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	3,034,038	1,715,494	3,659,637	1,002,700
<b>Subtotal: Operating Expenditures</b>	<b>\$13,580,164</b>	<b>\$12,969,303</b>	<b>\$15,878,186</b>	<b>\$13,249,123</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$13,580,164</b>	<b>\$12,969,303</b>	<b>\$15,878,186</b>	<b>\$13,249,123</b>
<b>Expenditures By Funds</b>				
General Revenue	13,580,164	12,969,303	15,878,186	13,249,123
<b>Total Expenditures</b>	<b>\$13,580,164</b>	<b>\$12,969,303</b>	<b>\$15,878,186</b>	<b>\$13,249,123</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Legislature Office of the Auditor General

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### Program Operations

The Office of the Auditor General assists the General Assembly in reviewing compliance, efficiency, economy, and effectiveness of state programs. The Office of the Auditor General conducts financial and program audits, which encompasses the investigation of all matters relating to a review of program costs and the evaluation of program performance; completes the annual post-audit of the receipts and expenditures of the state; and, in accordance with the Single Audit Act of 1984, circular number A-128, issued by the United States Office of Management and Budget, and Chapter 41 of the Rhode Island General Laws, conducts annual audits of federally funded programs administered by the state.

### Statutory History

The Office of the Auditor General was established as a separate agency in 1973. Statutory provisions for the Office of the Auditor General are contained in R.I.G.L. 22-13.

# The Budget

## Legislature Office of the Auditor General

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	2,875,786	3,058,217	3,419,623	3,485,524
Other State Operations	412,842	338,849	395,000	417,743
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,288,628</b>	<b>\$3,397,066</b>	<b>\$3,814,623</b>	<b>\$3,903,267</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,288,628</b>	<b>\$3,397,066</b>	<b>\$3,814,623</b>	<b>\$3,903,267</b>
<b>Expenditures By Funds</b>				
General Revenue	2,397,042	2,140,532	2,930,320	2,953,902
Restricted Receipts	891,586	1,256,534	884,303	949,365
<b>Total Expenditures</b>	<b>\$3,288,628</b>	<b>\$3,397,066</b>	<b>\$3,814,623</b>	<b>\$3,903,267</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## **Legislature** **Special Legislative Commissions**

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### **Program Operations**

Special Legislative Commissions include boards and commissions established for the promotion of certain goals and objectives, and to address items of local, regional and national concern. Included in this category are: Commission on Uniform State Laws, Commission on Interstate Cooperation, Criminal Justice Commission, and Martin Luther King Commission.

### **Statutory History**

Special Legislative Commissions are generally established under the authority granted to the General Assembly in the Rhode Island State Constitution. Specific provisions for various commissions are also established within the Rhode Island General Laws.

# The Budget

## Legislature Special Legislative Commissions

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	2,365	7,392	26,500	34,876
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,365</b>	<b>\$7,392</b>	<b>\$26,500</b>	<b>\$34,876</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,365</b>	<b>\$7,392</b>	<b>\$26,500</b>	<b>\$34,876</b>
<b>Expenditures By Funds</b>				
General Revenue	2,365	7,392	26,500	34,876
<b>Total Expenditures</b>	<b>\$2,365</b>	<b>\$7,392</b>	<b>\$26,500</b>	<b>\$34,876</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Agency

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## Office of the Lieutenant Governor

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### Agency Operations

The Lieutenant Governor was established as one of the five general offices subject to voter election under the Constitution of Rhode Island. The Office of Lieutenant Governor is an organizational unit within the Executive Department. The Lieutenant Governor fulfills the executive responsibilities of the Governor upon his/her death, resignation, impeachment or inability to serve. The Lieutenant Governor appoints members of the general public to serve on committees established by the General Assembly. The Lieutenant Governor chairs and serves on various commissions and advisory boards as well. The office initiates legislation in various areas of public policy and has assumed advocacy and leadership roles in such areas as emergency management, veterans affairs, education, economic development, small business development, the environment, long-term health care and elderly affairs. The office also serves as a liaison between citizens and state agencies.

### Agency Objectives

To fulfill the constitutional and statutory functions of the law for the Office of Lieutenant Governor.

### Statutory History

Article IX, Sections 1 and 9 through 11 of the Constitution of Rhode Island specify the Lieutenant Governors' elected executive power, duties in the case of death, resignation, impeachment of the Governor, responsibility in the case of a vacancy of position, and compensation. Article IV, Sections 1 and 3 of the Constitution of Rhode Island address the quadrennial election process by the voters of Rhode Island and the transition responsibility for the Lieutenant Governor.

# The Budget

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## Office of the Lieutenant Governor

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Expenditures By Object</b>				
Personnel	680,650	719,616	780,364	805,213
Other State Operations	75,851	70,788	64,122	60,130
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$756,501</b>	<b>\$790,404</b>	<b>\$844,486</b>	<b>\$865,343</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$756,501</b>	<b>\$790,404</b>	<b>\$844,486</b>	<b>\$865,343</b>
 <b>Expenditures By Fund</b>				
General Revenue	756,501	790,404	844,486	865,343
<b>Total Expenditures</b>	<b>\$756,501</b>	<b>\$790,404</b>	<b>\$844,486</b>	<b>\$865,343</b>
 <b>FTE Authorization</b>				
	<b>10.0</b>	<b>10.0</b>	<b>10.0</b>	<b>10.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	10.0%	10.0%	10.0%	10.0%
Females as a Percentage of the Workforce	50.0%	50.0%	50.0%	50.0%
 <b>Program Measures</b>				
	NA	NA	NA	NA

# The Agency

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## Secretary of State

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### Agency Operations

The Secretary of State was established under the Rhode Island Constitution as one of the five general offices subject to voter election. As the custodian of state records, the Secretary of State has a vital role in providing the public with basic information about the workings of state government. The Office of the Secretary of State consists of six programs and one internal service fund.

### Agency Objectives

The objective of the Office of the Secretary of State is to provide the highest possible level of service to the public. To effectively administer all activities of the Secretary of State prescribed by the Rhode Island Constitution and state law. The department seeks to improve public access to government information by disseminating information as widely as possible and making information available electronically.

### Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Secretary of State and address areas concerning elections, legislative records, archives, and distribution and exchange of documents; Title 7 refers to the departments duties with regards to corporations; Title 17 details the departments responsibilities and activities in the area of elections; Title 22, Chapter 10 gives duties and powers to the Secretary of State to inform the public on lobbyists; Title 29 establishes the State Library and the Legislative reference Bureau; Title 29 Chapter 1 also refers to the distribution of documents; and Title 36, Chapter 1 assigns the Secretary of State with the power to keep and maintain information regarding Boards and Commissions.

# The Budget

## Secretary of State

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Administration	1,139,381	1,244,339	1,398,391	1,464,994
Corporations	1,585,927	1,736,880	1,583,550	1,541,570
State Archives	585,372	709,401	583,519	620,565
Elections	465,168	1,536,362	2,908,958	3,378,446
State Library	701,095	714,470	711,117	520,591
Office of Civics and Public Information	520,153	443,237	425,960	427,331
Internal Service Programs	[805,599]	[787,559]	[996,399]	[1,011,787]
<b>Total Expenditures</b>	<b>\$4,997,096</b>	<b>\$6,384,689</b>	<b>\$7,611,495</b>	<b>\$7,953,497</b>
<b>Expenditures By Object</b>				
Personnel	3,296,576	3,758,435	5,522,828	5,393,057
Other State Operations	1,125,075	2,096,888	1,655,879	2,334,405
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	575,445	529,366	432,788	226,035
<b>Subtotal: Operating Expenditures</b>	<b>\$4,997,096</b>	<b>\$6,384,689</b>	<b>\$7,611,495</b>	<b>\$7,953,497</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,997,096</b>	<b>\$6,384,689</b>	<b>\$7,611,495</b>	<b>\$7,953,497</b>
<b>Expenditures By Funds</b>				
General Revenue	4,718,796	5,941,549	4,616,881	4,794,814
Federal Funds	17,236	28,638	2,505,520	2,635,250
Restricted Receipts	261,064	414,502	489,094	523,433
<b>Total Expenditures</b>	<b>\$4,997,096</b>	<b>\$6,384,689</b>	<b>\$7,611,495</b>	<b>\$7,953,497</b>
<b>FTE Authorization</b>	<b>59.2</b>	<b>59.0</b>	<b>59.0</b>	<b>59.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	6.0%	6.0%	21.7%	21.7%
Females as a Percentage of the Workforce	55.0%	55.0%	62.3%	62.3%

# The Program

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## Secretary of State Administration

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### Program Operations

The Administration Program provides support functions for the Office of the Secretary of State. This program has six functions, with the responsibilities of each described below.

Personnel maintains personnel records and provides administrative support for payroll and personnel matters.

Finance monitors accounts payable and accounts receivable for the office and prepares the budget for the Office of the Secretary of State.

E-government and Information Technology develops and implements model e-government solutions to distribute information to the public and provides support for the department's computer systems.

Community Relations provides information to the public and coordinates outreach activities to increase public awareness of the mission and activities of the Office of the Secretary of State.

Communication communicates the operations and accomplishments of the Office of the Secretary of the State and serves as the liaison with the media.

Policy and Legislative Affairs researches, plans and develops innovative policy initiatives for the Office of the Secretary of State and develops legislation to support these policy initiatives.

### Program Objective

To provide administrative support for the efficient and secure operation of the Office of the Secretary of State.

### Statutory History

The Office of the Secretary of State was established by the Rhode Island Constitution as one of the five general offices subject to voter election. R.I.G.L. 42-8 established the Department of State under the Secretary of State. The Secretary of State is also responsible for the duties contained in R.I.G.L. 22-3-14 and 36-1-4.

# The Budget

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## Secretary of State Administration

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	976,525	1,117,795	1,315,495	1,384,758
Other State Operations	158,345	121,612	77,948	75,288
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,511	4,932	4,948	4,948
<b>Subtotal: Operating Expenditures</b>	<b>\$1,139,381</b>	<b>\$1,244,339</b>	<b>\$1,398,391</b>	<b>\$1,464,994</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,139,381</b>	<b>\$1,244,339</b>	<b>\$1,398,391</b>	<b>\$1,464,994</b>
<b>Expenditures By Funds</b>				
General Revenue	1,139,381	1,244,339	1,398,391	1,464,994
<b>Total Expenditures</b>	<b>\$1,139,381</b>	<b>\$1,244,339</b>	<b>\$1,398,391</b>	<b>\$1,464,994</b>
<b>Program Measures</b>	NC	NC	NC	NC

# The Program

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## Secretary of State Corporations

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### Program Operations

The Corporations Division administers all business-related responsibilities for the Office of the Secretary of State including registering of new businesses, filing of commercial liens, issuance of trademarks, commissioning notaries public and acceptance of summonses.

The Business Section is responsible for the examination, custody and maintenance of the legal documents filed by more than 55,000 business corporations, non-profit corporations, limited liability companies, limited partnerships and limited liability partnerships. The duty of the Secretary of State is to ensure uniform compliance with the statutes governing the creation of these entities, record the information required to be kept as a public record, and provide that information to the public.

The Uniform Commercial Code Section processes and tracks the liens placed on tangible property in the State of Rhode Island.

Notary/Trademark Section: This Section is responsible for registering all new notaries and for processing renewal applications of existing notaries upon commission expirations. This program is also responsible for registering trademarks, service marks and trade names in the State of Rhode Island.

Business Development Division: Under its First Stop program, the Division serves as a referral and information center for small business owners. The Business Fast Start program provides prospective business owners with an online Master Application for state licenses and permits.

### Program Objective

The Office of the Secretary of State is committed to making it easier to start and run businesses in our state. The Corporations program will be implementing technology solutions that automate current manual processes and which will make it easier for the public to access public records. These improvements will include: Uniform Commercial Code online search of existing files and filing of new records, enhanced corporations database access, e-filing of annual reports and the online Master Application for state licenses and permits.

### Statutory History

The functions of the Corporations program are outlined in Chapters 7-1-1 through 7-16-75, and 7-1.1-132 of the Rhode Island General Laws. The authority to enforce the Uniform Commercial Code is granted in Section 6A-9-402 of the Rhode Island General Laws.

# The Budget

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## Secretary of State Corporations

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,240,086	1,437,279	1,331,739	1,296,411
Other State Operations	345,841	299,601	251,811	245,159
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,585,927</b>	<b>\$1,736,880</b>	<b>\$1,583,550</b>	<b>\$1,541,570</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,585,927</b>	<b>\$1,736,880</b>	<b>\$1,583,550</b>	<b>\$1,541,570</b>
 <b>Expenditures By Funds</b>				
General Revenue	1,585,927	1,736,880	1,583,550	1,541,570
<b>Total Expenditures</b>	<b>\$1,585,927</b>	<b>\$1,736,880</b>	<b>\$1,583,550</b>	<b>\$1,541,570</b>
 <b>Program Measures</b>	NC	NC	NC	NC

# The Program

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## Secretary of State State Archives

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### Program Operations

The State Archives Program is charged with maintaining and preserving the permanent records of state government. The program operates and manages a public reading room for research and the inspection of public records. The State Archives holds the historical records of the colony and State of Rhode Island dating from 1638 to modern times.

The Public Records Administration is responsible for providing comprehensive records management services for state records. The program assists and advises state agencies in the effective management of records.

The State Archives also operates the local government records program, established in 1992 with federal grant funds. The program assists and advises municipalities on records management and archives administration. In 1993, the General Assembly passed legislation establishing the Historical Records Trust, a restricted receipt account, which now provides funding for this program.

Additionally, the Administrative Records program is part of the State Archives Division. Administrative Records receives the official filings of state agencies' rules and regulations under the Administrative Procedures Act.

### Program Objectives

The program supplies comprehensive archival and records management services for all public records in the state and provides advice and assistance on records management and on the preservation of historical records for state agencies and municipalities. Rules and regulations of state agencies are officially filed with Administrative Records and made accessible to the public online.

### Statutory History

The authority of the State Archives is granted from Rhode Island General Laws sections 42-8.1 and 38-3. Functions of the State Archives are also outlined in the Public Law 1989, Chapter 341, Public Law 1991, Chapter 263, and Public Law 1992, Chapter 252. Functions regarding Administrative Records are contained in R.I.G.L. 42-35.

# The Budget

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## Secretary of State State Archives

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	239,780	321,358	284,366	334,023
Other State Operations	205,592	294,543	259,153	246,542
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	140,000	93,500	40,000	40,000
<b>Subtotal: Operating Expenditures</b>	<b>\$585,372</b>	<b>\$709,401</b>	<b>\$583,519</b>	<b>\$620,565</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$585,372</b>	<b>\$709,401</b>	<b>\$583,519</b>	<b>\$620,565</b>
<b>Expenditures By Funds</b>				
General Revenue	307,072	296,713	94,425	97,132
Federal Grants	17,236	(1,814)	-	-
Restricted Receipts	261,064	414,502	489,094	523,433
<b>Total Expenditures</b>	<b>\$585,372</b>	<b>\$709,401</b>	<b>\$583,519</b>	<b>\$620,565</b>
<b>Program Measures</b>	NC	NC	NC	NC

# The Program

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## Secretary of State Elections

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### Program Operations

The Elections Division is responsible for the preparation, printing and distribution of all official, mail and sample ballots for all federal, statewide and municipal elections conducted in Rhode Island under R.I.G.L. Title 17. Additionally, the Elections Division prepares and provides Braille or tactile ballots, upon request, to Rhode Island voters who are blind or visually impaired.

The Elections Division has the responsibility for certifying all federal and state candidates for ballot placement, for tracking and determining the language of state questions appearing on the ballot, and for receiving and maintaining files from the local canvassing authorities for local questions for ballot placement. Additionally, the Elections Division prepares and distributes all necessary forms to federal and state candidates and local canvassing authorities.

Elections is also responsible for developing and maintaining the Central Voter Registry, which is a listing of the names of persons registered to vote, as mandated by the Help America Vote Act (HAVA). This database will be electronically linked to the 39 cities and towns as well as to the Division of Motor Vehicles.

This Division also provides assistance with the in-house development of guides for candidates, election officials, and voters. Guides are provided in English and Spanish, and upon request, in Braille.

Beginning in 2004, under HAVA mandates and state law, the Elections Division is charged with the responsibility of serving as the single state contact for all uniformed and overseas voters covered under the Uniformed and Overseas Citizens Absentee Voting Act (UOCAVA).

### Program Objective

To create and provide efficient, user friendly and technologically advanced election systems and to provide user-friendly, nondiscriminatory equal access to our electoral process for all voters.

To prepare and provide for the conduct of fair and accurate elections as required by statute to continue to meet the mandates of the National Voter Registration Act (NVRA) and state statutes with respect to voter registration and file maintenance.

To provide concise, accurate, and timely information to the voters of Rhode Island in the form of a ballot and voter information booklet with respect to referenda and constitutional amendments.

### Statutory History

The Elections Division operates under Title 17 of the Rhode Island General Laws and applicable federal laws such as the Help American Vote Act of 2002.

# The Budget

## Secretary of State Elections

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	238,441	275,075	1,934,521	1,712,430
Other State Operations	226,727	1,261,287	974,437	1,666,016
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$465,168</b>	<b>\$1,536,362</b>	<b>\$2,908,958</b>	<b>\$3,378,446</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$465,168</b>	<b>\$1,536,362</b>	<b>\$2,908,958</b>	<b>\$3,378,446</b>
<b>Expenditures By Funds</b>				
General Revenue	465,168	1,505,910	403,438	743,196
Federal Funds	-	30,452	2,505,520	2,635,250
<b>Total Expenditures</b>	<b>\$465,168</b>	<b>\$1,536,362</b>	<b>\$2,908,958</b>	<b>\$3,378,446</b>
<b>Program Measures</b>	NC	NC	NC	NC

# The Program

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## Secretary of State State Library

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### Program Operations

The State Library is responsible for providing reference and research services in the areas of law, legislation, rules and regulations, government reports, and Rhode Island history. This Division operates and maintains the Legislative Reference Bureau which provides information on state law and legislation. The State Library is also a Federal Government Publications Depository and serves as the State Publications Clearinghouse, providing copies of state documents to facilities throughout Rhode Island. The library is open to the public.

### Program Objectives

The program operates and maintains the Library facilities in the State House and makes available timely reference and research services to the public. The program is developing educational materials for schools and community groups on Rhode Island history and civic education in cooperation with other divisions at the Office of the Secretary of State.

### Statutory History

The State Library was established by a resolution of the General Assembly in 1852. Statutory authority is granted in R.I.G.L. 29-1. The authority to act as a clearinghouse for state publications is established in R.I.G.L. 29-7. The Federal Publications Depository system dates back to an Act of Congress passed in 1813.

# The Budget

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## Secretary of State State Library

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	217,049	218,429	268,677	277,924
Other State Operations	53,112	65,107	54,600	61,580
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	430,934	430,934	387,840	181,087
<b>Subtotal: Operating Expenditures</b>	<b>\$701,095</b>	<b>\$714,470</b>	<b>\$711,117</b>	<b>\$520,591</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$701,095</b>	<b>\$714,470</b>	<b>\$711,117</b>	<b>\$520,591</b>
<b>Expenditures By Funds</b>				
General Revenue	701,095	714,470	711,117	520,591
<b>Total Expenditures</b>	<b>\$701,095</b>	<b>\$714,470</b>	<b>\$711,117</b>	<b>\$520,591</b>
<b>Program Measures</b>	NC	NC	NC	NC

# The Program

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## Secretary of State Office of Civics and Public Information

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### Program Operations

The Civics and Public Information Division is a primary resource for the citizens of Rhode Island regarding the activities of state government. It gives the public access to the information they need to participate in government and hold government accountable. This information is published in a variety of formats and is available to the public free of charge. The material can also be accessed through the internet at <http://www.state.ri.us>. The Civics and Public Information Division is responsible for the activities listed below:

Rhode Island Government Owner's Manual: maintenance and distribution of contact information for the state general officers, legislators, congressional delegation, state departments and agencies, and boards and commissions.

BillTracker: maintenance of electronic database of all legislation introduced in the General Assembly, including title, sponsor information, description, committee assignment, committee and floor action.

LobbyTracker: Registration of lobbyists and maintenance of all lobbyist information.

Open Meetings: Operation and maintenance of an electronic database of all public meeting notices and minutes in the State of Rhode Island as required by the Open Meetings Law.

Boards and Commissions: Maintenance and dissemination of an electronic database of state boards and commissions, along with the meeting minutes of these bodies.

Consultant Reports: Maintenance of consultant information for all departments, commissions, boards, councils, and other agencies.

HAVA Voter Education: Educate Rhode Island voters about the electoral process and HAVA requirements as mandated by the Help America Vote Act of 2002.

### Program Objective

To increase civic participation, improve civic education and to provide the public with access to the information they need to participate in government and hold government accountable.

### Statutory History

Article IV, paragraph 4 of the Rhode Island State Constitution, and R.I.G.L. 42-8 establish the Office of the Secretary of State. These define the Secretary of State's role as the custodian of state records charged with the collection, retention, and dissemination of these records for the citizens of the State of Rhode Island.

# The Budget

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## Secretary of State Office of Civics and Public Information

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	384,695	388,499	388,030	387,511
Other State Operations	135,458	54,738	37,930	39,820
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$520,153</b>	<b>\$443,237</b>	<b>\$425,960</b>	<b>\$427,331</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$520,153</b>	<b>\$443,237</b>	<b>\$425,960</b>	<b>\$427,331</b>
 <b>Expenditures By Funds</b>				
General Revenue	520,153	443,237	425,960	427,331
<b>Total Expenditures</b>	<b>\$520,153</b>	<b>\$443,237</b>	<b>\$425,960</b>	<b>\$427,331</b>
 <b>Program Measures</b>	<b>NC</b>	<b>NC</b>	<b>NC</b>	<b>NC</b>

# The Program

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## Secretary of State Internal Service Programs

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### Program Operations

The internal service program operated by the Office of the Secretary of State is the Record Center. This program, a central storage agency for state government records, is available to all state agencies.

The Record Center provides centralized storage services needed by state operated programs and activities. The costs of these operations are borne by the user agencies through a charge system which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis.

### Program Objective

The program provides the most cost-effective delivery of goods and services to other state programs.

### Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotating funds in any state department or agency.

# The Budget

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## Secretary of State Internal Service Programs

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	190,761	188,926	290,761	304,149
Other State Operations	614,838	598,633	705,638	707,638
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$805,599</b>	<b>\$787,559</b>	<b>\$996,399</b>	<b>\$1,011,787</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$805,599</b>	<b>\$787,559</b>	<b>\$996,399</b>	<b>\$1,011,787</b>
 <b>Expenditures By Funds</b>				
Internal Service Funds	805,599	787,559	996,399	1,011,787
<b>Total Expenditures</b>	<b>\$805,599</b>	<b>\$787,559</b>	<b>\$996,399</b>	<b>\$1,011,787</b>
 <b>Program Measures</b>	NC	NC	NC	NC

# The Agency

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## Office of the General Treasurer

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### Agency Operations

The Office of the General Treasurer is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. The General Treasurer is the custodian of state funds, charged with the safe and prudent management of the State's finances. The General Treasurer serves on the State Investment Commission, the State Retirement Board, the Public Finance Management Board, the Rhode Island Housing and Mortgage Finance Corporation, the Rhode Island Refunding Bond Authority, the College Savings Plan Board, the Rhode Island State Board of Banking, and the Unclassified Pay Board.

Programs and sub-programs are defined to streamline the administration of various functions and resources. Under the control and guidance of the General Treasurer are the Employees' Retirement System, the administrative unit for participating public employee groups including state, teacher and municipal employees; the Unclaimed Property Program collects both tangible and intangible abandoned property for the purpose of returning the property to its rightful owner; the Investments Division and Finance provides investment and cash management services to state government and issues and manages the state's general obligation debt; the Business Office validates and distributes the State's imprest/benefit check payments, laser prints vendor and non-vendor checks, and reconciles the majority of the State accounts to the financial institutions and the State Controller's records; the Crime Victim Compensation Program compensates crime victims for financial losses suffered as a result of a violent crime; the Precious Metals Program licenses and inspects dealers who purchase for re-sale precious metals. Other areas under the Treasurer's responsibility include the care and management of the Abraham Touro Fund and the Childhood Disease fund.

### Agency Objectives

The Treasurer's Office will continue to focus its efforts and activities on enhancing the Office's services to the public.

Through restructuring and consolidation of job functions already in place, provide leadership and direction to enable Treasury to perform its functions in the most cost effective and efficient manner possible.

### Statutory History

Article IV of the Rhode Island Constitution and Title 42 Chapter 10 of the Rhode Island General Laws establishes the Office of the General Treasurer. Chapter 35 gives the guidelines of investment of state funds. The Employees' Retirement System is governed by Chapters 16, 21, 36 and 45 of the Rhode Island General Laws. The section that covers the Retirement System also covers the investing of the funds of the plan. Payments of funds by the Treasury Business Office are covered by 42-10 of the RIGL. Chapter 28 includes the Treasurer's responsibilities for the DET Program. Title 12 Chapter 25 of the RIGL governs the victims of Crime Victim Compensation Program.

# The Budget

## Office of the General Treasurer

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
General Treasury	3,034,901	3,133,841	3,044,013	3,049,406
State Retirement System	9,943,483	7,472,870	5,876,387	7,444,788
Unclaimed Property	8,256,152	14,772,232	17,496,915	17,701,000
Rhode Island Refunding Bond Authority	54,525	53,396	79,943	72,308
Crime Victim Compensation Program	5,830,051	2,469,735	7,028,815	10,970,305
<b>Total Expenditures</b>	<b>\$27,119,112</b>	<b>\$27,902,074</b>	<b>\$33,526,073</b>	<b>\$39,237,807</b>
<b>Expenditures By Object</b>				
Personnel	12,572,732	10,278,594	8,641,889	10,252,968
Other State Operations	9,107,098	15,576,048	18,302,267	18,472,413
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	5,439,282	2,047,432	6,581,917	10,512,426
<b>Subtotal: Operating Expenditures</b>	<b>\$27,119,112</b>	<b>\$27,902,074</b>	<b>\$33,526,073</b>	<b>\$39,237,807</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$27,119,112</b>	<b>\$27,902,074</b>	<b>\$33,526,073</b>	<b>\$39,237,807</b>
<b>Expenditures By Funds</b>				
General Revenue	5,079,346	3,451,764	3,639,234	5,037,957
Federal Funds	1,997,878	342,005	4,544,975	5,437,666
Restricted Receipts	9,886,168	16,474,921	19,208,839	21,051,265
Other Funds	10,155,720	7,633,384	6,133,025	7,710,919
<b>Total Expenditures</b>	<b>\$27,119,112</b>	<b>\$27,902,074</b>	<b>\$33,526,073</b>	<b>\$39,237,807</b>
<b>FTE Authorization</b>	<b>87.5</b>	<b>84.5</b>	<b>87.5</b>	<b>87.5</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	12.8%	14.6%	15.0%	15.0%
Females as a Percentage of the Workforce	61.6%	65.9%	65.0%	65.0%

# The Program

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## Office of the General Treasurer General Treasury

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### Program Operations

The General Treasury Program provides the administrative support to the entire agency to ensure its operational efficiency and fiscal integrity. It is comprised of these functional areas: Policy, Administration, Business Office, Precious Metals, and Investments.

The Administration division provides support to the entire office on all matters including personnel, budgeting, financial controls, and management information systems.

The Investment division manages the state's borrowing and investments, provides daily fiduciary services to all of state government so that funds are managed in a prudent manner, and maximizes the return on state investments. The division also monitors investment managers for compliance with state guidelines, acts as day to day interface between custodians, consultants, and fund managers on administrative matters and transfer of funds. The division also provides reports to the Investment Commission on investment performance, implements commission policy, and plays a role in debt issuance and debt management.

The Business Office validates and distributes imprest/benefit checks along with replacements of checks and the internal transfer of state funds for necessary payroll taxes and retirement contributions. This division is also responsible for the reconciliation of a majority of state bank accounts both to the bank statement balances and to the controller's records. Additionally, the division prints vendor and non-vendor checks and electronically transmits issues to the appropriate banking institutions. The Treasury - DET unit performs services on behalf of the Temporary Disability Insurance Program and the Unemployment Insurance Program. This section receives and records daily notification of the amount of Unemployment Insurance benefit funds and the amount of TDI benefit funds requisitioned by the Department of Labor and Training.

The Precious Metals Program licenses dealers who purchase with the intent to re-sell, items containing precious metals. Legislation requires all pawn shop dealers to report all their transactions to the Treasury.

### Program Objective

To continue to focus on improving our services to the public and to provide leadership and direction to enable Treasury to perform its functions more effectively and efficiently. Also, expand use of technology with more effective utilization of banking services; to improve the management of cash collection, investment and disbursement of systems.

### Statutory History

Rhode Island General Law Title 35 provides the investment guidelines for state funds. Payments of funds by the Business Office are governed by Rhode Island General Law 42-10. R.I.G.L. Title 28 includes the Treasurer's responsibilities for the DET Program. R.I.G.L. 6-11.1 governs the Precious Metals Unit.

# The Budget

## Office of the General Treasurer General Treasury

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Policy	711,348	724,778	721,566	741,938
Administrative Operations	189,618	120,197	49,093	47,746
Business Office	1,376,729	1,547,213	1,602,924	1,557,148
Treasury Miscellaneous	116,362	89,325	1,885	1,982
Investments	640,844	652,328	668,545	700,592
<b>Total Expenditures</b>	<b>\$3,034,901</b>	<b>\$3,133,841</b>	<b>\$3,044,013</b>	<b>\$3,049,406</b>
<b>Expenditures By Object</b>				
Personnel	2,323,703	2,495,506	2,448,473	2,458,360
Other State Operations	700,300	638,335	584,840	580,346
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	10,898	-	10,700	10,700
<b>Subtotal: Operating Expenditures</b>	<b>\$3,034,901</b>	<b>\$3,133,841</b>	<b>\$3,044,013</b>	<b>\$3,049,406</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,034,901</b>	<b>\$3,133,841</b>	<b>\$3,044,013</b>	<b>\$3,049,406</b>
<b>Expenditures By Funds</b>				
General Revenue	2,626,598	2,719,206	2,528,529	2,512,566
Federal Funds	185,168	254,121	248,846	260,709
Restricted Receipts	10,898	-	10,000	10,000
Temporary Disability Insurance Fund	212,237	160,514	256,638	266,131
<b>Total Expenditures</b>	<b>\$3,034,901</b>	<b>\$3,133,841</b>	<b>\$3,044,013</b>	<b>\$3,049,406</b>
<b>Program Measures</b>				
Short Term Investment Return	0.21%	0.16%	0.20%	0.30%
Business Days Required to Issue Replacement Check	8	7	7	7

# The Program

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## Office of the General Treasurer State Retirement System

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### Program Operations

The Employees' Retirement System of Rhode Island provides retirement, disability, survivor, and death benefits to the eligible state employees, and public school teachers. Participating municipal employees belong to the Municipal Employees' Retirement System. The system also administers the Judicial Retirement Plan, the State Police Retirement Plan and the Teacher Survivor Benefit program for those teachers who are not covered by Social Security.

The system is divided into three main areas: Member Services, Finance and Administration. The Member services department is responsible for the coordinated delivery of service to the membership. It counsels members on a daily basis, provides outreach counseling from October to May at various sites across the state, and is responsible for group benefit presentations.

The Finance Department is responsible for the accounting of the system's assets, and is responsible for member bookkeeping. It calculates all retirement allowances and purchase requests and maintains the system's retiree pension payroll. The retiree unit within the Finance department handles all retiree inquiries. The Data Processing unit within that same division tracks all participant information and prepares yearly information for periodic actuarial valuations.

The Administration unit includes the Executive director, Assistant Executive Director and The Communications unit. It is responsible for all relations with the system's 15 member retirement board. It also oversees the delivery of communication to the system's active and retired membership and all other interested parties.

### Program Objectives

In addition to statutory mandates, the major program objectives of ERSRI are the continued delivery of services to the active and retired membership. The system is also in the preliminary stages of implementing a line of business automation project.

The investment objective for the State Pension Fund is to meet or exceed an annual rate of return of 8.25 percent.

### Statutory History

The Employees' Retirement System of Rhode Island is governed by Titles 16, 36 and 45 of the Rhode Island General Laws.

# The Budget

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## Office of the General Treasurer State Retirement System

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	9,206,790	6,745,863	5,005,942	6,577,076
Other State Operations	732,368	722,315	865,945	863,212
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,325	4,692	4,500	4,500
<b>Subtotal: Operating Expenditures</b>	<b>\$9,943,483</b>	<b>\$7,472,870</b>	<b>\$5,876,387</b>	<b>\$7,444,788</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,943,483</b>	<b>\$7,472,870</b>	<b>\$5,876,387</b>	<b>\$7,444,788</b>
 <b>Expenditures By Funds</b>				
State Retirement Fund	9,943,483	7,472,870	5,876,387	7,444,788
<b>Total Expenditures</b>	<b>\$9,943,483</b>	<b>\$7,472,870</b>	<b>\$5,876,387</b>	<b>\$7,444,788</b>
 <b>Program Measures</b>				
Return on Pension Portfolio	8.30%	3.87%	8.25%	8.25%

# The Program

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## Office of the General Treasurer Unclaimed Property

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### Program Operations

The Unclaimed Property Program is responsible for the collection of abandoned property and acts as custodian for both tangible and intangible property, with the mission of returning this property to its rightful owners. This division maintains data on all escheat properties by the State since the inception of the program. This program monitors compliance with the law via an internal review of reports filed, and an audit program that audits financial institutions, corporations, businesses, insurance companies, and municipalities.

### Program Objectives

This program will continue its efforts to ensure holder compliance with the law, resulting in more property being received by the State and being returned to their rightful owners.

This unit will also continue to implement an aggressive outreach program by “searching high and low to give Rhode Islanders their money back”. The State Unclaimed Money List is effectively included in the new RI Treasury Internet Home Page.

Our Internet address is: <http://www.state.ri.us/treas/treas.htm>

### Statutory History

Title 33 Chapter 21 and 21.1 of the General Laws govern the Unclaimed Property Program and were most recently updated during the 1992-93 legislative sessions.

# The Budget

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## Office of the General Treasurer Unclaimed Property

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	645,750	629,242	757,260	775,259
Other State Operations	7,610,402	14,142,990	16,739,655	16,925,741
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$8,256,152</b>	<b>\$14,772,232</b>	<b>\$17,496,915</b>	<b>\$17,701,000</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$8,256,152</b>	<b>\$14,772,232</b>	<b>\$17,496,915</b>	<b>\$17,701,000</b>
 <b>Expenditures By Funds</b>				
Restricted Receipts	8,256,152	14,772,232	17,496,915	17,701,000
<b>Total Expenditures</b>	<b>\$8,256,152</b>	<b>\$14,772,232</b>	<b>\$17,496,915</b>	<b>\$17,701,000</b>
 <b>Program Measures</b>				
Claims Paid	61.0%	58.0%	63.0%	65.0%
Timeliness of Payments	25	23	21	18

# The Program

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## Office of the General Treasurer Rhode Island Refunding Bond Authority

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### Program Operations

The Rhode Island Refunding Bond Authority (RIRBA) was created in 1987 as a public corporation, having a distinct legal existence from the State. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. RIRBA is authorized to issue bonds, secured solely by its revenues, derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, subject to annual appropriation.

In 1988, the State entered into a loan and trust agreement with the Rhode Island Refunding Bond Authority. As a result of this agreement, RIRBA issued \$20,640,000 of bonds for the advance refunding of \$18,640,000 of state general obligation bonds. In 1993, RIRBA issued \$161,880,000 of bonds, the proceeds of which were used to effect the complete or partial refunding of six of the Rhode Island Public Building Authority's (RIPBA) revenue bonds.

On July 1, 1997, the Rhode Island Public Buildings Authority was merged into RIRBA. Prior to the merger, RIPBA issued debt to finance the acquisition and construction of certain facilities to be leased by state agencies. Debt services payments are secured by the lease payments made by the State, subject to annual appropriations.

In June 1998, the Rhode Island Refunding Bond Authority refunded portions of four series of RIPBA bonds by issuing the 1998 Series A, State Public Project Revenue Bonds in the amount of \$39,875,000. The payment of such a loan by the State is subject to and dependent upon annual appropriations being made by the General Assembly. As of June 30, 2001, three bonds in the amount of \$133,105,000 were outstanding.

In April 2003, the Authority issued \$67,625,000 State Public Projects Revenue Bonds, Series 2003 A to refund the outstanding principal of State Public Projects Revenue Bonds, 1993 Series A. The Authority completed the advance refunding to reduce its total debt service payments by \$2,811,239 and to obtain an economic gain (difference between the present values of the old and new debt service payments) of \$2,862,523.

Final redemption of one of the Authority's bond issues was accomplished during fiscal 2003. All amounts have been redeemed for the original Refunding Bond Authority's 1988 Series A. Bonds.

### Program Objectives

To ensure prompt payment of outstanding debts of the Rhode Island Refunding Bond Authority.

### Statutory History

Title 35 Chapter 8.1 of the Rhode Island General Laws created the Authority.

# The Budget

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## Office of the General Treasurer Rhode Island Refunding Bond Authority

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	41,335	36,327	33,953	34,430
Other State Operations	13,190	17,069	45,990	37,878
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$54,525</b>	<b>\$53,396</b>	<b>\$79,943</b>	<b>\$72,308</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$54,525</b>	<b>\$53,396</b>	<b>\$79,943</b>	<b>\$72,308</b>
<b>Expenditures By Funds</b>				
General Revenue	54,525	53,396	79,943	72,308
<b>Total Expenditures</b>	<b>\$54,525</b>	<b>\$53,396</b>	<b>\$79,943</b>	<b>\$72,308</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Office of the General Treasurer Crime Victim Compensation

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### Program Operations

The federal Criminal Injuries Compensation Act of 1996, amended in 1999, enables the State of Rhode Island, through the Office of the General Treasurer, to compensate innocent victims of violent crimes for certain expenses directly attributable to the crime. The process includes, but not limited to, the filing of claim forms, verification of medical bills and other documentation supporting the claim, and proof of dependency or lost wages where necessary. The program's staff reviews each application, gathers information relating to eligibility, and investigates the documentation offered in support of the application. The Program Administrator makes an eligibility determination and awards or denies compensation under the applicable statute.

### Program Objectives

The program instituted a pay-as-you go system under the 1999 amendment to the Act, which has shortened claim processing times. The debt reduction plan, targeting the accumulated unfunded liability of unpaid claims, is expected to conclude with the final general fund appropriation of FY 2004.

### Statutory History

Title 12 Chapter 25 of the Rhode Island General Laws governs the victims of Violent Crimes Compensation Program, the most recent amendments going into effect on September 1, 1999.

# The Budget

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## Office of the General Treasurer Crime Victim Compensation

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	355,154	371,656	396,261	407,843
Other State Operations	50,838	55,339	65,837	65,236
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	5,424,059	2,042,740	6,566,717	10,497,226
<b>Subtotal: Operating Expenditures</b>	<b>\$5,830,051</b>	<b>\$2,469,735</b>	<b>\$7,028,815</b>	<b>\$10,970,305</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,830,051</b>	<b>\$2,469,735</b>	<b>\$7,028,815</b>	<b>\$10,970,305</b>
 <b>Expenditures By Funds</b>				
General Revenue	2,398,223	679,162	1,030,762	2,453,083
Federal Funds	1,812,710	87,884	4,296,129	5,176,957
Restricted Receipts	1,619,118	1,702,689	1,701,924	3,340,265
<b>Total Expenditures</b>	<b>\$5,830,051</b>	<b>\$2,469,735</b>	<b>\$7,028,815</b>	<b>\$10,970,305</b>
 <b>Program Measures</b>				
Number of Business Days Required to Process and Pay Claims	180	185	155	140

# The Agency

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## **Boards for Design Professionals**

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### **Agency Operations**

In the 1998 enacted budget, the Boards for Design Professionals was created as a consolidated program consisting of the Board of Registration for Professional Engineers, the Board of Registration for Professional Land Surveyors, the Board of Examiners of Landscape Architects and the Board of Examination and Registration of Architects.

Each board is responsible for: examining and registering qualified candidates; issuing license renewals when appropriate; proctoring exams when appropriate; maintaining records of all applicants and licensees; implementing rules and regulations; and hearing and acting upon complaints.

### **Agency Objectives**

To examine, license, register and act upon complaints brought before the Boards for professional engineers, land surveyors, landscape architects and architects for the purpose of safeguarding the health, safety, and welfare of the public.

### **Statutory History**

The Boards of Land Surveyors and Engineers were established by Title 5, Chapter 8 of the Rhode Island General Laws in 1938. In 1990, Chapter 8 was amended and Chapter 8.1 was enacted, creating two Boards – the Board of Engineers and the Board of Land Surveyors, both within the Department of Business Regulation. In 1991, the law was further amended to allow the Boards to become autonomous.

The Board of Architects was established in by Chapter 23-27 of the Rhode Island Public Laws in 1936. This law was repealed in its entirety in 1977, and was replaced by Title 5, Chapter 1 of the Rhode Island General Laws.

The Board of Landscape Architects was established by Title 5, Chapter 51 of the Rhode Island General Laws 1975.

# The Budget

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## Boards for Design Professionals

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	269,145	345,173	317,900	321,578
Other State Operations	78,720	92,074	90,471	92,351
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$347,865</b>	<b>\$437,247</b>	<b>\$408,371</b>	<b>\$413,929</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$347,865</b>	<b>\$437,247</b>	<b>\$408,371</b>	<b>\$413,929</b>
<b>Expenditures By Funds</b>				
General Revenue	347,865	437,247	408,371	413,929
<b>Total Expenditures</b>	<b>\$347,865</b>	<b>\$437,247</b>	<b>\$408,371</b>	<b>\$413,929</b>
<b>FTE Authorization</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
<b>Program Measures</b>				
Ratio of Cases Successfully Resolved to Cases Filed	0.77	0.80	0.75	0.75

# The Agency

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## Board of Elections

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### Agency Operations

The Board of Elections supervises all elections and state and local referenda. The board supervises the maintenance, preparation and delivery of electronic optical-scan voting equipment, election return forms and other supplies used at each polling place. The board instructs and certifies all election officials assigned to polls and prepares and distributes pollworker guides and related materials. The board also appoints, trains and assigns bi-partisan pairs of supervisors for the mail ballot program for institutionally confined persons. The board certifies the results of all elections under its jurisdiction, including tabulating machine and mail ballot votes cast, conducting recounts, certifying results to the Secretary of State, issuing certificates of election to candidates and providing an official tabulation of returns.

The board oversees voter registration and public education activities and provides all registration materials used in the state. The board trains and supervises all individuals who serve as statewide voter registration agents. The agency conducts voter registration drives throughout the state and at each institution of higher education in Rhode Island. It oversees and coordinates the registration of voters at other state agencies and departments as part of the National Voter Registration Act. The board carries out the mandates of the Rhode Island Campaign Contributions and Expenditures Reporting Act (the “Act”). The agency oversees and monitors the campaign finance activities of candidates, political action committees and state vendors. The board’s responsibilities also include the development, printing and distribution of forms required for candidate, committee and vendor reports as well as the publication of manuals describing and explaining the requirements set forth in the statutes. The board reviews and makes available for inspection all reports filed, prepares summaries and an annual report, and is authorized to investigate suspected violations of the Act. The agency oversees and administers the public financing of campaigns program for each of the state’s five general offices.

### Agency Objectives

To protect the integrity of the electoral process and to effectively and efficiently administer the provisions of United States and Rhode Island election laws.

### Statutory History

Chapter 825 of the Public Laws of 1901 created the “State Returning Board”, which was replaced by Chapter 1040 of the Public Laws of 1941 which created the “Board of Elections” as a partisan board. Chapter 312 of the Public Laws of 1979 amended the law to establish the Board of Elections as a non-partisan board. R.I.G.L. 17-7 sets forth its duties and powers. R.I.G.L. 17-25 sets out the mandates of the Rhode Island Campaign Contributions and Expenditure Reporting Act (public financing of campaigns). R.I.G.L. 42-6 provides for the registration of voters at other state agencies. R.I.G.L. 17-27 provides for reporting requirements and monitoring of political contributions by state vendors. R.I.G.L. 17-19 provides for the use of an optical scan precinct count voting system in state elections.

# The Budget

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## Board of Elections

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,201,886	1,298,150	1,222,658	1,466,052
Other State Operations	1,246,366	1,144,546	1,342,999	1,137,739
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	5,622	663,728	6,387	6,387
<b>Subtotal: Operating Expenditures</b>	<b>\$2,453,874</b>	<b>\$3,106,424</b>	<b>\$2,572,044</b>	<b>\$2,610,178</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,453,874</b>	<b>\$3,106,424</b>	<b>\$2,572,044</b>	<b>\$2,610,178</b>
<b>Expenditures By Funds</b>				
General Revenue	2,453,874	3,106,424	1,412,294	1,608,350
Federal Funds	-	-	1,159,750	1,001,828
<b>Total Expenditures</b>	<b>\$2,453,874</b>	<b>\$3,106,424</b>	<b>\$2,572,044</b>	<b>\$2,610,178</b>
<b>FTE Authorization</b>	<b>22.3</b>	<b>15.0</b>	<b>15.0</b>	<b>15.0</b>
<b>Agency Measures</b>				
Minorities as Percentage of the Workforce	10.5%	7.0%	14.0%	14.0%
Females as Percentage of the Workforce	47.0%	50.0%	50.0%	50.0%
<b>Program Measures</b>				
Percentage of Campaign Finance Reports Completed and Filed on Time as Required by State Law	59.0%	68.0%	75.0%	80.0%

# The Agency

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## Rhode Island Ethics Commission

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### Agency Operations

The Rhode Island Ethics Commission came into existence on July 25, 1987, when its members were appointed pursuant to Title 36, Chapter 14 of the Rhode Island General Laws. The operations of the Ethics Commission include formulating policies and regulations relating to the conduct of public officials and employees; investigating and adjudicating complaints alleging violations of the Code of Ethics; maintaining files on more than 6,000 Financial Disclosure Statements per year; issuing advisory opinions to public officials and employees at their request regarding the application of the Code of Ethics to a specific situation, and educating public officials, employees and members of the public as to the requirements of the Code of Ethics and the functions of the Commission.

The staff of the Commission is headed by an Executive Director/Chief Prosecutor, who is hired by the Commission. The Commission's operations are funded solely with state general revenues.

### Agency Objectives

Ensure that public officials and employees adhere to the highest standards of ethical conduct, respect the public trust, avoid the appearance of impropriety, and not use their position for private gain or advantage.

Respond efficiently and thoroughly to public inquiries regarding requirements of the Code of Ethics for public officials and employees.

Thoroughly investigate complaints alleging violations of the provisions of the Code of Ethics in a timely manner.

Expand the use of technology in all aspects of the Commission's operations and ensuring greater public access to information and more effective use of investigative and informational resources.

Provide educational and informational seminars, programs and publications for public officials and employees and the public-at-large regarding codes of conduct for public servants and the workings of the Ethics Commission.

### Statutory History

Title 36 Chapter 14 of the Rhode Island General Laws establishes the Ethics Commission, sets forth the legislative provisions of the Code of Ethics and defines the Commission's advisory, investigative and adjudicative powers.

# The Budget

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## Rhode Island Ethics Commission

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	708,448	729,206	761,887	789,697
Other State Operations	165,149	146,418	184,953	160,631
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$873,597</b>	<b>\$875,624</b>	<b>\$946,840</b>	<b>\$950,328</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$873,597</b>	<b>\$875,624</b>	<b>\$946,840</b>	<b>\$950,328</b>
<b>Expenditures By Funds</b>				
General Revenue	873,597	875,624	946,840	950,328
<b>Total Expenditures</b>	<b>\$873,597</b>	<b>\$875,624</b>	<b>\$946,840</b>	<b>\$950,328</b>
<b>FTE Authorization</b>	<b>10.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	10.0%	10.5%	-	-
Females as a Percentage of the Workforce	50.0%	52.6%	55.5%	55.5%
<b>Program Measures</b>				
Percentage of Investigations Completed Within 180 Days of Filing	87.9%	100.0%	90.0%	90.0%
The Percentage of Advisory Opinion Requests Responded to Within 30 Days of Receipt	NA	63.9%	75.0%	90.0%

# The Agency

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## Office of the Governor

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### Agency Operations

The Office of the Governor is established under the Rhode Island Constitution as one of the five general offices subject to election by the voters.

The Governor's Office is responsible for the enactment of reform and change in state government for the betterment of the citizens of the State of Rhode Island. In accomplishing this task, the Governor's Office coordinates the services of a staff of professionals to include the Policy Office, Executive Counsel Office, Legislative Affairs Office, Media Office, Constituent Affairs Office, Community Relations Office, Washington Office and the Business Office.

### Agency Objectives

To fulfill all responsibilities and duties in accordance with the Rhode Island Constitution and Law.

To initiate reform and change in both government and economy for the betterment of all Rhode Island citizens.

### Statutory History

Each Administration creates its own Office of the Governor for the purpose of governing the State of Rhode Island. The first Governor's Office of the colony of Rhode Island was authorized by the Charter of 1663, with Benedict Arnold as its first Governor. Executive Order 91-27, signed August 2, 1991, reorganized the Executive Department to include nine divisions. The Governor's Office is one of the divisions within the Executive Department. Under Article IX, Section 1 of the Constitution of the State of Rhode Island, the chief executive power of the state rests upon the elected Governor of the state. Article IX, Section 2, enumerates Executive Power such that the Governor shall take care that the laws of the state be faithfully executed; Article IX, Section 3, that the Governor shall be the captain general and commander in chief of the military and naval forces of the state; Article IX, Section 4, that the Governor shall have the power to grant reprieves; Article IX, Section 5, that the Governor has the power to fill vacancies not otherwise provided by law; Article IX, Section 13, that the Governor may grant pardons; Article IX, Section 14, that the Governor has the power to approve or veto legislation; and Article IX, Section 15, that the Governor shall have prepared and presented to the General Assembly an annual state budget.

# The Budget

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## Office of the Governor

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Expenditures By Object</b>				
Personnel	4,065,651	5,635,855	3,804,963	3,908,180
Other State Operations	459,899	542,655	649,351	437,095
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$4,525,550</b>	<b>\$6,178,510</b>	<b>\$4,454,314</b>	<b>\$4,345,275</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,525,550</b>	<b>\$6,178,510</b>	<b>\$4,454,314</b>	<b>\$4,345,275</b>
 <b>Expenditures By Funds</b>				
General Revenue	4,525,550	6,178,510	4,454,341	4,345,275
Federal Funds	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,525,550</b>	<b>\$6,178,510</b>	<b>\$4,454,341</b>	<b>\$4,345,275</b>
 <b>FTE Authorization</b>				
	<b>50.0</b>	<b>47.5</b>	<b>47.5</b>	<b>47.5</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	6.0%	6.0%	17.0%	16.0%
Females as a Percentage of the Workforce	60.0%	62.0%	59.0%	66.0%
 <b>Program Measures</b>				
	NA	NA	NA	NA

# The Agency

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## Public Utilities Commission

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### Agency Operations

The Public Utilities Commission comprises two distinct regulatory bodies: a three-member Commission (commission) and the Division of Public Utilities and Carriers (Division). Two additional members shall be appointed to the Commission in January 2004, in accordance with section 39-1-4 of the General Laws.

The Public Utilities Commission serves as a quasi-judicial tribunal with jurisdiction, powers, and duties to implement and enforce the standards of conduct under §39-1-27.6 and to hold hearings and investigations involving the rates, tariffs, tolls, and charges, and the sufficiency and reasonableness of facilities and accommodations of railroad, ferry boats, gas, electric distribution, water, pipeline public utilities, telephone, telegraph, and the location of railroad depots and stations, and the control of grade crossings, the revocation, suspension or alteration of certificates issued pursuant to §39-19-4, appeals under §39-1-30, petitions under §39-1-31, and proceedings under §39-1-32. Through participation in the Energy Facility Siting Board, the Commission's chair also exercises jurisdiction over the siting of major energy facilities, pursuant to Chapter 42-98.

The Division exercises the jurisdiction, supervision, powers and duties not specifically assigned to the Commission, including the execution of all laws relating to public utilities and carriers and all regulations and orders of the Commission governing the conduct and charges of public utilities. The Division has exclusive jurisdiction over the rates, tariffs, tolls and charges, testing of metering devices and the sufficiency, and reasonableness of facilities and accommodations of common carriers of property and passengers over the State's public roadways, pursuant to chapters 39-12, 39-13, 39-14 and 47-16-1. Additionally, the Division supervises and regulates Community Antenna Television Systems (CATV) in Rhode Island; certifies all public utilities; and has independent regulatory authority over the transactions between public utilities and affiliates, and all public utility equity and debt issuances.

### Agency Objectives

To provide fair regulation of public utilities, CATV, common carriers, and major energy facilities; ensure just and reasonable rates; ensure sufficient utility infrastructure to promote economic development; and cooperate with other states and federal government agencies to coordinate efforts to meet the other previously-stated objectives.

### Statutory History

The regulation of public utilities in Rhode Island dates back to the Rhode Island Railroad Commission, which was established in 1839. The Commission was later abolished by an act of the legislature that created the more comprehensive Public Utility Commission in 1912. From 1981 to 1996, the duties of administrator of the division and chairperson of the commission were combined in a single position. The Utility Restructuring Act of 1996 later divided these duties into two separate positions: Chairman of the Commission and Administrator, Division of Public Utilities and Carriers.

# The Budget

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## Public Utilities Commission

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	3,551,536	3,624,753	4,796,228	4,945,784
Other State Operations	761,700	696,038	804,937	833,917
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,778	1,901	1,841	1,841
<b>Subtotal: Operating Expenditures</b>	<b>\$4,315,014</b>	<b>\$4,322,692</b>	<b>\$5,603,006</b>	<b>\$5,781,542</b>
Capital Improvements	-	203,310	300,000	300,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,315,014</b>	<b>\$4,526,002</b>	<b>\$5,903,006</b>	<b>\$6,081,542</b>
 <b>Expenditures By Funds</b>				
General Revenue	702,507	657,016	687,100	670,154
Federal Funds	36,638	64,116	70,076	73,038
Restricted Receipts	3,575,869	3,804,870	5,145,830	5,338,350
<b>Total Expenditures</b>	<b>\$4,315,014</b>	<b>\$4,526,002</b>	<b>\$5,903,006</b>	<b>\$6,081,542</b>
 <b>FTE Authorization</b>				
	<b>44.0</b>	<b>43.0</b>	<b>45.0</b>	<b>45.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	11.6%	11.6%	11.6%	11.1%
Females as a Percentage of the Workforce	41.8%	39.5%	39.5%	37.7%
 <b>Program Measures</b>				
Percentage of Consumer Services Offered that Meet Completion Schedules	90.0%	91.0%	91.0%	91.0%
Percentage of Motor Carrier Applications for Which Formal Written Reports have been Completed within 60 Business Days of Filing	97.0%	94.0%	92.0%	92.0%

# The Agency

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## Rhode Island Commission on Women

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### Agency Operations

The Rhode Island Commission on Women is a state agency established by the General Assembly to improve opportunities for women and girls to achieve equity in all areas of life. The commission studies and makes recommendations for improvements, including legislative, regulatory, and administrative actions and issues related to equal rights for women. In carrying out its responsibilities, the Commission works in cooperation with other governmental and private organizations to promote equity in areas including, but not limited to: education, health, economic development, employment, legal rights, political participation and the quality of individual and family.

The Commission consists of 26 members, including 3 each from the House and Senate, 12 public members appointed by the Governor, and 8 members appointed by the State agency directors. State agency participation may vary from year to year, depending on identification of priorities by the Commissioners. The Commission carries out its responsibilities through standing and ad hoc committees, the membership of which consists of appointed commissioners and numerous volunteers dedicated to the rights of women and girls.

### Agency Objectives

To advise and submit recommendations to the Governor and the General Assembly on issues relating to women and girls;

To gather and disseminate information to women and girls and/or the general public on issues relating to women and girls;

To develop and/or support programs or services for women and girls consistent with the purpose of the Commission;

To collaborate with concerned organizations, groups and state departments and agencies on issues of common concern;

To educate and encourage women to exercise the right to vote. To encourage candidacy for public office and promote the appointment of qualified women to boards, commissions and governmental positions at all levels;

To advise legislators by evaluating, monitoring and proposing Federal and State legislation promoting equity for women and girls.

### Statutory History

In 1970 the General Assembly established a nonpartisan organization designated the Permanent Advisory Commission on Women in Rhode Island. After 22 years of status as an advisory commission, in 1992 the General Assembly amended the General Laws of Rhode Island (Title 42, Chapter 119) to restructure the membership of the Commission and to change its status from an advisory body to an agency of Rhode Island Government charged with policy and action responsibilities.

# The Budget

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## Rhode Island Commission on Women

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	114,919	109,444	50,089	70,164
Other State Operations	21,610	18,958	15,993	11,475
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,224	-	2,000	2,000
<b>Subtotal: Operating Expenditures</b>	<b>\$137,753</b>	<b>\$128,402</b>	<b>\$68,082</b>	<b>\$83,639</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$137,753</b>	<b>\$128,402</b>	<b>\$68,082</b>	<b>\$83,639</b>
 <b>Expenditures By Funds</b>				
General Revenue	137,753	128,402	68,082	83,639
<b>Total Expenditures</b>	<b>\$137,753</b>	<b>\$128,402</b>	<b>\$68,082</b>	<b>\$83,639</b>
 <b>FTE Authorization</b>				
	<b>2.0</b>	<b>2.0</b>	<b>1.0</b>	<b>1.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
 <b>Program Measures</b>				
Annual Increase of Community Outreach Work Products as a Percentage of Baseline Year	583.0%	358.0%	125.0%	130.0%
Contacts Made to the RI Comm on Women's Website as a Percentage of Baseline Year	100.0%	126.0%	110.0%	115.0%

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# **Human Services**

Department of Children, Youth and Families  
Central Management  
Children's Behavioral Health Services  
Juvenile Correctional Services  
Child Welfare

Department of Elderly Affairs

Department of Health  
Central Management  
State Medical Examiner  
Family Health  
Health Services Regulation  
Environmental Health  
Health Laboratories  
Disease Prevention and Control

Department of Human Services  
Central Management  
Individual and Family Support  
Veterans' Affairs  
Health Care Quality, Financing and Purchasing

Department of Mental Health, Retardation, & Hospitals  
Central Management  
Hospitals and Community System Support  
Services for the Developmentally Disabled  
Integrated Mental Health Services  
Hospitals and Community Rehabilitative Services  
Substance Abuse  
Internal Service Programs

Office of the Child Advocate  
Commission on the Deaf and Hard of Hearing  
Rhode Island Developmental Disabilities Council  
Governor's Commission on Disabilities  
Commission for Human Rights  
Office of the Mental Health Advocate

## Human Services Function Expenditures

	FY 2002 Audited	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditure by Object</b>				
Personnel	330,795,509	362,220,609	386,213,394	388,589,604
Other State Operations	92,259,848	100,476,311	98,029,795	93,723,023
Aid to Local Units of Government	-	-	-	-
Assistance, Grants, and Benefits	1,689,971,151	1,775,582,735	1,926,613,516	1,980,598,102
<b>Subtotal: Operating Expenditures</b>	<b>\$2,113,026,508</b>	<b>\$2,238,279,655</b>	<b>\$2,410,856,705</b>	<b>\$2,462,910,729</b>
Capital Improvements	3,451,749	1,367,471	6,478,875	7,390,660
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,116,478,257</b>	<b>\$2,239,647,126</b>	<b>\$2,417,335,580</b>	<b>\$2,470,301,389</b>
<b>Expenditures by Funds</b>				
General Revenue	1,023,330,531	1,027,913,545	1,053,157,414	1,117,137,238
Federal Funds	1,074,414,644	1,190,612,600	1,339,276,529	1,324,963,361
Restricted Receipts	12,341,783	14,600,065	18,147,000	17,387,151
Other Funds	6,391,299	6,520,916	6,754,637	10,813,639
<b>Total Expenditures</b>	<b>\$2,116,478,257</b>	<b>\$2,239,647,126</b>	<b>\$2,417,335,580</b>	<b>\$2,470,301,389</b>
<b>FTE Authorization</b>	<b>4,729.4</b>	<b>4,595.4</b>	<b>4,521.7</b>	<b>4,507.1</b>

# The Agency

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## Department of Children, Youth and Families

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### Agency Operations

The Department of Children, Youth and Families is that agency of the state charged with primary responsibility to mobilize the human, physical and financial resources available to plan, develop, implement, and evaluate a comprehensive and integrated statewide program of services designed to ensure the opportunity for children to reach their full potential.

It is the department's philosophy that parents have the primary responsibility for meeting the needs of their children. When parents are unable to do so, all services to the child and family are premised upon the safety and best interest of the child and the promotion of community-based responsibility/involvement in servicing its own children/families. When departmental support is necessary and appropriate, services are provided in the least intrusive, least restrictive manner possible.

The department addresses its responsibilities through its Child Welfare, Children's Behavioral Health and Education, Juvenile Correctional Services, and Higher Education Incentive Grant programs, which are guided and assisted by a leadership support program identified as Central Management.

The Child Welfare program consists of child protective investigations, community-based services, and residential services delivered to dependent, neglected or abused children or children at risk of abuse or neglect.

The Children's Behavioral Health and Education program designs, implements and monitors a continuum of therapeutic services to seriously emotionally disturbed children and youth.

The Juvenile Correctional Services program provides services to youngsters who have been adjudicated as wayward or delinquent by the Family Court and determined to be in need of placement at the Training School for Youth, placement in a less structured community residential setting, or supervision in the community while residing at home.

The Higher Education Incentive Grant is designed to encourage youth to pursue educational goals beyond high school and to provide added incentive to achieve a high school diploma.

### Statutory History

In 1979 the General Assembly enacted Title 42 Chapter 72 of the Rhode Island General Laws. This legislation created the Department for Children and Their Families effective January 1, 1980. The enabling legislation transferred to the Department certain functions from the Departments of Human Services, Corrections, Mental Health, Retardation and Hospitals, and Community Affairs. In 1991 the General Assembly amended Title 42 Chapter 72 to read "Department of Children, Youth and Families."

# The Budget

## Department of Children, Youth and Families

	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Program</b>				
Central Management	11,897,037	12,818,181	11,758,538	11,468,979
Children's Behavioral Health Services	42,206,930	45,364,627	48,551,018	46,049,167
Juvenile Correctional Services	27,968,960	30,056,903	31,155,128	30,966,941
Child Welfare	145,042,941	147,179,461	153,977,454	154,981,895
Higher Education Incentive Grants	195,436	208,291	200,000	200,000
<b>Total Expenditures</b>	<b>\$227,311,304</b>	<b>\$235,627,463</b>	<b>\$245,642,138</b>	<b>\$243,666,982</b>
<b>Expenditures By Object</b>				
Personnel	69,980,636	72,193,996	73,624,903	73,654,773
Other State Operations	9,505,015	9,578,520	8,406,828	7,931,071
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	147,742,452	153,699,879	162,690,769	161,901,478
<b>Subtotal: Operating Expenditures</b>	<b>\$227,228,103</b>	<b>\$235,472,395</b>	<b>\$244,722,500</b>	<b>\$243,487,322</b>
Capital Improvements	83,201	155,068	919,638	179,660
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$227,311,304</b>	<b>\$235,627,463</b>	<b>\$245,642,138</b>	<b>\$243,666,982</b>
<b>Expenditures By Funds</b>				
General Revenue	137,678,216	141,104,522	140,840,694	143,880,648
Federal Funds	88,177,105	91,894,382	102,476,820	98,087,733
Restricted Receipts	1,253,356	2,494,922	2,267,033	1,518,941
Other Funds	202,627	133,637	57,591	179,660
<b>Total Expenditures</b>	<b>\$227,311,304</b>	<b>\$235,627,463</b>	<b>\$245,642,138</b>	<b>\$243,666,982</b>
<b>FTE Authorization</b>	<b>868.9</b>	<b>853.8</b>	<b>849.8</b>	<b>851.8</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	12.9%	12.6%	13.0%	13.0%
Females as a Percentage of the Workforce	61.6%	63.2%	63.0%	63.0%

# The Program

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## Department of Children, Youth and Families Central Management

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### Program Operations

The Central Management program within the Department of Children, Youth and Families consists of a variety of subprograms including executive functions and legal and administrative services.

The executive functions include the provision of administrative and operational direction, planning, management and evaluation of overall departmental operations.

Administrative services include financial management, personnel, staff development and training, management information systems, and licensing and regulation. A centralized on-line database system, Statewide Automated Child Welfare Information System (SACWIS), supports all investigatory and case management functions of the Department as well as other critical administrative functions.

Legal services includes the representation of the department in all Family Court proceedings relating to child abuse and neglect, commitment trials and termination of parental rights trials, as well as civil litigation in other state and federal courts, and administrative and labor tribunals. This service also provides on-going legal consultation to the Director, administrators and line staff involved in Family Court proceedings.

### Program Objectives

Provide leadership and direction in the development and implementation of an efficient and productive system of service delivery to Rhode Island's children and families.

Provide consistent legal representation and consultation to all departmental staff involved in court proceedings.

Provide comprehensive, integrated management services to assure fiscal integrity and accurate data collection and interpretation.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families.

# The Budget

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## Department of Children, Youth and Families Central Management

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Support Services	4,511,048	5,231,398	4,925,500	5,015,467
Office of Budget	2,057,875	1,786,767	1,832,623	1,808,873
Information Systems	4,783,901	4,558,190	4,078,033	3,941,694
Office of the Director	544,213	1,241,826	922,382	702,945
<b>Total Expenditures</b>	<b>\$11,897,037</b>	<b>\$12,818,181</b>	<b>\$11,758,538</b>	<b>\$11,468,979</b>
<b>Expenditures By Object</b>				
Personnel	9,382,871	9,745,447	9,607,789	9,695,530
Other State Operations	2,510,425	2,558,186	1,907,922	1,706,864
Assistance, Grants and Benefits	3,741	514,548	242,827	66,585
<b>Subtotal: Operating Expenditures</b>	<b>\$11,897,037</b>	<b>\$12,818,181</b>	<b>\$11,758,538</b>	<b>\$11,468,979</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$11,897,037</b>	<b>\$12,818,181</b>	<b>\$11,758,538</b>	<b>\$11,468,979</b>
<b>Expenditures By Funds</b>				
General Revenue	7,849,440	8,171,160	7,812,686	7,689,153
Federal Funds	4,047,597	4,647,021	3,945,852	3,779,826
<b>Total Expenditures</b>	<b>\$11,897,037</b>	<b>\$12,818,181</b>	<b>\$11,758,538</b>	<b>\$11,468,979</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Department of Children, Youth and Families Children's Behavioral Health Services

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### Program Operations

The Children's Behavioral Health and Education Services program is primarily responsible for the design, implementation and monitoring of a continuum of therapeutic services to seriously emotionally disturbed children, youth and families. Children placed in the custody of the department due to abuse, neglect or dependency, as well as children in the custody of their parents or legal guardians are eligible for these services. Most of these services, with the exception of psychiatric hospitalization, are provided by non-profit agencies and delivered in community-based settings. Entry into these service levels has generally been authorized through the eight community mental health centers. Coordination and local planning is facilitated through the Child and Adolescent Services System Project/CASSP which sponsors a Local Coordinating Council (LCC) representing all youth serving agencies within each region of the state. Each LCC supports a number of planning teams which coordinate children's behavioral health services and provide funding for non-traditional services on a case-by-case basis. Children's intensive services provide a comprehensive array of community-based mental health services designed to reduce the need for inpatient care and to support severely emotionally disturbed children and their families in community settings.

Project HOPE, an extension of the CASSP/LCC system of care, is a federally-funded statewide initiative for youth who have serious emotional disturbances and are transitioning from the Rhode Island Training School for Youth (RITS) back to their own communities. A full range of project services including mental health services, case management, vocational training, family service coordinating and wrap-around are available in the four catchment areas of Providence, Pawtucket/Central Falls, northern Rhode Island and Kent County

In addition, the division is partnering with Contracts and Program Development and the community mental health centers, in convening Care Management Teams. These teams, composed of DCYF staff, community partners and parents, utilize the continuum of resources from community-based to residential treatment services in planning for the least-restrictive appropriate level of care of children with serious treatment needs.

### Program Objectives

Design and implement a comprehensive array of therapeutic services to meet the needs of seriously emotionally disturbed children and youth. Expand and enhance the capacity of local communities and regions to meet these needs within their geographic areas. Provide services in the least restrictive environment utilizing inpatient psychiatric care and residential treatment only until a child can return to a community-based service.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Law defines the functions of the Department of Children, Youth and Families; Title 40.1 Chapter 5 describes the provisions relating to mental health laws. The act creating the LCC subprogram was 98-H-8122, Substitute A.

# The Budget

## Department of Children, Youth and Families Children's Behavioral Health Services

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Children's Mental Health	27,231,169	26,753,237	30,055,785	33,548,318
Psychiatric Services	13,374,614	17,214,813	16,904,807	10,758,383
Local Coordinating Council	20,000	20,000	-	-
CBH Educational Services	1,581,147	1,376,577	1,590,426	1,742,466
<b>Total Expenditures</b>	<b>\$42,206,930</b>	<b>\$45,364,627</b>	<b>\$48,551,018</b>	<b>\$46,049,167</b>
<b>Expenditures By Object</b>				
Personnel	2,346,431	2,400,257	3,751,069	3,631,073
Other State Operations	277,716	216,109	226,919	222,774
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	39,510,768	42,666,913	44,540,439	42,115,660
<b>Subtotal: Operating Expenditures</b>	<b>\$42,134,915</b>	<b>\$45,283,279</b>	<b>\$48,518,427</b>	<b>\$45,969,507</b>
Capital Improvements	72,015	81,348	32,591	79,660
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$42,206,930</b>	<b>\$45,364,627</b>	<b>\$48,551,018</b>	<b>\$46,049,167</b>
<b>Expenditures By Funds</b>				
General Revenue	20,863,043	23,195,222	21,579,452	21,866,852
Federal Funds	21,271,872	22,088,057	26,938,975	24,102,655
Other	72,015	81,348	32,591	79,660
<b>Total Expenditures</b>	<b>\$42,206,930</b>	<b>\$45,364,627</b>	<b>\$48,551,018</b>	<b>\$46,049,167</b>

### Program Measures

Percentage of Children Admitted into a Psychiatric Hospital who Remain for 21 Days or less	84.5%	81.5%	90.0%	90.0%
Percentage of Children/Youth Readmitted into a Psychiatric Hospital within 60 Days of Discharge	18.0%	12.9%	14.0%	14.0%

# The Program

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## Department of Children, Youth and Families Juvenile Correctional Services

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### Program Operations

The Juvenile Correctional Services program within the Department consists of three subprograms providing varying levels of service to wayward and delinquent youngsters.

Institutional programming is conducted at the Rhode Island Training School for Youth. The facility provides a secure, structured residential program for adjudicated delinquents and those awaiting trial on serious offenses. Residents undergo an assessment at intake into the facility and are programmed accordingly. Services include case management, education, recreation and a selection of contracted or purchased services (e.g. medical, drug and alcohol counseling, violent offender/sex offender therapy).

Community-based programming (Probation and Parole) includes both residential and non-residential services. Non-residential services are provided directly by departmental staff as well as through purchase of services or contracts. Community-based residential services are available for adjudicated youngsters who require residential care but not a setting as secure and structured as the Training School. These services are provided via contractual arrangements.

Educational services are provided to all residents of the Rhode Island Training School, both detained and adjudicated. Residents are grouped by academic levels and/or individual education plan specifications. The services adhere to all Rhode Island Department of Education regulations.

### Program Objectives

Provide secure and structured residential programming for adjudicated juvenile offenders and those awaiting trial.

Increase the use of community-based programming thereby limiting the need for long-term placement in the Training School.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families; Title 42 Chapter 56 provides for the Training School for Youth; Title 14 Chapter 1 relates to the power of the court to order disposition of a juvenile.

# The Budget

## Department of Children, Youth and Families Juvenile Correctional Services

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Institutional Services	14,172,120	15,987,682	16,853,273	16,813,779
Juvenile Probation & Parole	9,953,487	10,337,206	10,251,361	10,265,568
RITS - Education Program	3,843,353	3,732,015	4,050,494	3,887,594
<b>Total Expenditures</b>	<b>\$27,968,960</b>	<b>\$30,056,903</b>	<b>\$31,155,128</b>	<b>\$30,966,941</b>
<b>Expenditures By Object</b>				
Personnel	19,685,394	21,833,117	21,681,474	21,833,181
Other State Operations	1,859,952	1,950,238	1,778,530	1,636,851
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	6,423,614	6,199,828	6,808,077	7,396,909
<b>Subtotal: Operating Expenditures</b>	<b>\$27,968,960</b>	<b>\$29,983,183</b>	<b>\$30,268,081</b>	<b>\$30,866,941</b>
Capital Improvements	-	73,720	887,047	100,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$27,968,960</b>	<b>\$30,056,903</b>	<b>\$31,155,128</b>	<b>\$30,966,941</b>
<b>Expenditures By Funds</b>				
General Revenue	24,778,674	25,915,474	27,395,589	28,553,943
Federal Funds	3,059,674	3,090,296	2,865,992	2,306,498
Restricted Receipts	-	998,844	868,547	6,500
Other Funds	130,612	52,289	25,000	100,000
<b>Total Expenditures</b>	<b>\$27,968,960</b>	<b>\$30,056,903</b>	<b>\$31,155,128</b>	<b>\$30,966,941</b>
<b>Program Measures</b>				
Percentage of Adjudicated and Detained Training School Youth Passing the General Education Development Test				
	90.3%	91.0%	88.0%	88.0%
Percentage of Adjudicated Training School Youth Admitted during the Fiscal Year Previously Released within the Prior 12 months				
	37.0%	39.0%	37.0%	37.0%

# The Program

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## Department of Children, Youth and Families Child Welfare

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### Program Operations

The Child Welfare program within the Department consists of several major subprograms including Child Protective Services, Family Services, Community-Based Services and Residential Services.

Child Protective Services is responsible for receiving and investigating allegations of child abuse/neglect throughout Rhode Island. This division operates 24 hours a day, 7 days a week. When allegations of abuse or neglect are substantiated, the investigator must determine what actions are necessary in order to assure the safety and well being of the child. Sometimes it is necessary to remove a child from the home, but much more frequently services are provided to the child and family in order to bring the family to a higher level of functioning. Sometimes these services are provided by Department social workers who operate out of one of four regional offices and sometimes by private, non-profit community agencies.

When a child requires out of home care, every effort is made to place him/her with relatives or others known to him/her. When a child requires a level of care beyond foster care, there is an array of services available from community-based group homes to intensive residential treatment.

The Department of Children, Youth and Families also funds prevention and early intervention programs to address the issues and problems which lead to child abuse/neglect and to provide services so that family functioning does not deteriorate to a point where DCYF intervention becomes necessary.

### Program Objectives

Protect children through the timely investigation of child abuse and neglect complaints.

Maintain the family unit when possible through the provision of services which improve family functioning.

Aggressively pursue permanency through the provision of reunification and adoption services for children who have to be removed from their home.

### Statutory History

Title 42 Chapter 72 of the Rhode Island General Laws defines the functions of the Department of Children, Youth and Families; Title 40 Chapter 11 relates to abused and neglected children; Title 14 Chapter 1 relates to proceedings in Family Court.

# The Budget

## Department of Children, Youth and Families Child Welfare

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Protective Services	16,060,731	16,678,964	16,511,017	16,480,371
Family Services	13,171,078	13,671,616	12,403,684	13,781,712
Community Services	8,663,639	8,295,725	8,578,919	8,428,853
Prevention Services	570,043	723,263	446,461	406,124
Board & Care	81,243,552	81,813,652	87,610,863	88,075,745
Foster Care	25,333,898	25,996,241	28,426,510	27,809,090
<b>Total Expenditures</b>	<b>\$145,042,941</b>	<b>\$147,179,461</b>	<b>\$153,977,454</b>	<b>\$154,981,895</b>
<b>Expenditures By Object</b>				
Personnel	38,565,940	38,215,175	38,584,571	38,494,989
Other State Operations	4,856,922	4,853,987	4,493,457	4,364,582
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	101,608,893	104,110,299	110,899,426	112,122,324
<b>Subtotal: Operating Expenditures</b>	<b>\$145,031,755</b>	<b>\$147,179,461</b>	<b>\$153,977,454</b>	<b>\$154,981,895</b>
Capital Improvements	11,186	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$145,042,941</b>	<b>\$147,179,461</b>	<b>\$153,977,454</b>	<b>\$154,981,895</b>
<b>Expenditures By Funds</b>				
General Revenue	83,991,623	83,614,375	83,852,967	85,570,700
Federal Funds	59,797,962	62,069,008	68,726,001	67,898,754
Restricted Receipts	1,253,356	1,496,078	1,398,486	1,512,441
Other Funds	-	-	-	-
<b>Total Expenditures</b>	<b>\$145,042,941</b>	<b>\$147,179,461</b>	<b>\$153,977,454</b>	<b>\$154,981,895</b>
<b>Program Measures</b>				
Percentage of Children in Foster Care for Less than 12 Months who have Experienced Two or Fewer Placements	78.8%	77.9%	79.0%	80.0%
Percentage of Children Experiencing a Recurrence of Abuse and/or Neglect	11.0%	10.2%	10.0%	9.7%
Percentage of Children Reunified with Parents or Caretaker within 12 Months	68.8%	66.2%	66.5%	66.9%
Percentage of Children Re-entering Foster Care with 12 months of a Previous Placement	20.9%	19.2%	18.2%	17.5%
Percentage of Children Adopted within Twenty-Months of Removal from Home	43.8%	45.0%	46.0%	46.8%

# The Program

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## **Department of Children, Youth and Families Higher Education Incentive Grants**

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### **Program Operations**

The Department of Children, Youth and Families is responsible for assisting older youth under its care and custody to become self-sufficient and be prepared for transition to independence.

One important means of assisting youth in becoming independent is to encourage education to the highest degree possible. These Higher Education Incentive Grants have as their purpose to provide added incentive to achieve a high school diploma and to encourage youth to pursue educational goals beyond high school.

### **Program Objectives**

The main objective is to encourage and assist more youngsters in departmental care to consider and perceive higher education as a viable option, and to allow them the same opportunity that are afforded youth from more stable and financially capable families.

### **Statutory History**

Chapter 511, PL 99-S 282, Substitute A amended Section 1, Title 42 and provides funds to supplement financial aid to youth who are in the Department's custody and who have been accepted at URI, CCRI or RIC, to allow these students to pay essential educational costs without loans.

# The Budget

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## Department of Children, Youth and Families Higher Education Incentive Grants

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	-	-	-	-
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	195,436	208,291	200,000	200,000
<b>Subtotal: Operating Expenditures</b>	<b>\$195,436</b>	<b>\$208,291</b>	<b>\$200,000</b>	<b>\$200,000</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$195,436</b>	<b>\$208,291</b>	<b>\$200,000</b>	<b>\$200,000</b>
<b>Expenditures By Funds</b>				
General Revenue	195,436	208,291	200,000	200,000
<b>Total Expenditures</b>	<b>\$195,436</b>	<b>\$208,291</b>	<b>\$200,000</b>	<b>\$200,000</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Agency

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## Department of Elderly Affairs

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### Agency Operations

Elderly Affairs is the designated state agency on aging for Rhode Island. As such, it is responsible for the development and implementation of a comprehensive, coordinated system of community-based care for citizens sixty years of age and older. The department is headed by a director who is appointed by the Governor. Departmental responsibilities include; developing and implementing a State Plan on Aging under the Federal Older Americans Act (OAA); serving as the state's Single Planning and Service Agency on Aging under the OAA; advocating for the rights of older individuals; operating services designed to assist seniors to remain independent in the community; and funding an array of community-based services for the elderly. The Elderly Affairs has statutory responsibility to license the state's nineteen day care centers. The primary focus of the Elderly Affairs' programs is to preserve the independence, dignity and capacity for choice to seniors.

Programs such as pharmaceutical assistance, heating assistance, health insurance counseling, home and community care, assistance with care management, respite, and the Customer Information Center are designed to help seniors and their families choose those options which ensure a senior's health and well-being. Each year, these programs provide counseling and assistance to over 50,000 older Rhode Islanders. The nutrition program serves over 1,258,646 meals to approximately 24,000 persons yearly through the congregate and home delivered meals program. The transportation program provides paratransit services to over 12,000 elderly and handicapped riders. This network of services includes a strong and vital community-based system of senior centers, adult day centers, and senior meal sites. Rhode Island offers a full range of housing options in addition to home ownership and rental. Subsidized housing, assisted living and residential care centers, and retirement communities offer a wide spectrum of choices to fit the needs of seniors. The Housing Program administers the Elderly Housing Security Program, and provides housing planning and advocacy. Persons fifty-five and older are assisted with job training and placement services as well as volunteer program opportunities. The Grants Management Program coordinates the efforts and activities of the State Aging Network through the allocation and monitoring of federal and state funds.

### Agency Objectives

To continue to develop and implement a comprehensive coordinated system while expanding the options for community based care for older Rhode Islanders. To strengthen programs and services that enable older Rhode Islanders to remain independent and healthy. To develop and implement the State Plan on Aging under the Older Americans Act (OAA).

### Statutory History

The Department of Elderly Affairs was created in 1977. R.I.G.L. 42-66 established and provides for the organization and functions of the department.

# The Budget

## Department of Elderly Affairs

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	4,061,672	4,274,306	4,267,460	4,422,049
Other State Operations	669,953	582,320	614,898	536,957
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	33,965,633	37,737,006	44,487,987	41,391,567
<b>Subtotal: Operating Expenditures</b>	<b>\$38,697,258</b>	<b>\$42,593,632</b>	<b>\$49,370,345</b>	<b>\$46,350,573</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$38,697,258</b>	<b>\$42,593,632</b>	<b>\$49,370,345</b>	<b>\$46,350,573</b>
<b>Expenditures By Funds</b>				
General Revenue	25,363,463	27,296,583	29,534,792	29,154,609
Federal Funds	8,839,258	10,341,332	15,105,553	12,395,964
Other Funds	4,494,537	4,955,717	4,730,000	4,800,000
<b>Total Expenditures</b>	<b>\$38,697,258</b>	<b>\$42,593,632</b>	<b>\$49,370,345</b>	<b>\$46,350,573</b>
<b>FTE Authorization</b>	<b>60.6</b>	<b>52.6</b>	<b>52.6</b>	<b>52.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	6.6%	11.0%	11.0%	11.0%
Females as a Percentage of the Workforce	84.3%	84.9%	84.9%	84.9%
<b>Program Measures</b>				
Percentage of Elderly Abuse Involving the Same Victim	33.3%	35.8%	33.3%	33.3%
Percentage of Senior Community Service Employment Program Trainees Placed in Jobs	25.0%	37.5%	37.5%	37.5%
Percentage of Existing Adult Day Care Facilities Licenses	100.0%	100.0%	100.0%	100.0%
Percentage of Senior Centers Accredited	31.1%	33.3%	35.6%	35.6%
Percentage of Case Management Agencies Certified	NA	66.7%	83.3%	100.0%

# The Agency

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## Department of Health

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### Agency Operations

“Safe and Healthy Lives in Safe and Healthy Communities”. All the programs and services of the Rhode Island Department of Health contribute to this one objective. It organizes and prioritizes the department’s response to threats to public health and measures its accomplishments.

The Department of Health embraces multi-faceted and broad-ranging public health responsibilities at the state and local level. The vision of the Department of Health is that “All people in Rhode Island will have the opportunity to live a safe and healthy life in a safe and healthy community.” Its mission is “to prevent disease and to protect and promote the health and safety of the people of Rhode Island.” To reach its objectives the department employs services and policies based on the science of public health epidemiology.

The Department of Health includes seven programs: Central Management, Office of the State Medical Examiner, Family Health, Health Services Regulation, Environmental Health, Health Laboratories, and Disease Prevention and Control.

### Program Objectives

The Rhode Island Department of Health consists of eight “core functions”, including:

Assure safe food and water supply; respond to emergencies

Control infectious and communicable disease

Assure positive pregnancy outcomes

Monitor the health of the population and maintain a knowledge base for public health

Promote health and control chronic disease, injury, and disabilities

Assure health care quality and minimum standards, competency of health facilities, and professional licensees

Assist high-risk populations to needed health services

Develop insights through research and lead the development of health policy and planning

### Statutory History

The Department of Health was established by Section 23-1-1 of the General Laws of Rhode Island. This section states that “the Department of Health shall take cognizance of the interests of life and health among the peoples of the state; shall make investigations into the causes of disease, the prevalence of epidemics and endemics among the people, the sources of mortality, the effect of localities, employments and all other conditions, ascertain the causes and the best means for the prevention and control of diseases or conditions detrimental to the public health, and adopt proper and expedient measures to prevent and control such diseases and conditions in the state. It shall publish and circulate, from time to time, such information as the director may deem to be important and useful for diffusion among people of the state, and shall investigate, and give advice in relation to such subjects relating to public health as may be referred to it by the general assembly or by the Governor when the general assembly is not in session, or when requested by any city or town. The department shall adopt and promulgate such rules and regulations as it deems necessary, not inconsistent with law, to carry out the purposes of this section.”

# The Budget

## Department of Health

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Central Management	7,297,391	9,603,822	10,962,670	10,510,860
State Medical Examiner	1,517,939	1,697,360	1,929,126	1,983,285
Family Health	41,801,209	47,115,369	53,623,756	51,553,969
Health Services Regulation	7,473,149	7,721,024	10,139,522	10,003,912
Environmental Health	8,298,954	8,135,884	11,515,731	9,939,413
Health Laboratories	6,750,406	7,499,294	8,486,073	8,302,118
Disease Prevention and Control	17,150,722	20,319,781	23,544,199	22,357,256
<b>Total Expenditures</b>	<b>\$90,289,770</b>	<b>\$102,092,534</b>	<b>\$120,201,077</b>	<b>\$114,650,813</b>
<b>Expenditures By Object</b>				
Personnel	38,767,056	43,520,075	50,873,449	50,512,225
Other State Operations	14,044,399	22,800,137	25,950,988	23,884,679
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	37,477,575	35,772,322	43,376,640	40,253,909
<b>Subtotal: Operating Expenditures</b>	<b>\$90,289,030</b>	<b>\$102,092,534</b>	<b>\$120,201,077</b>	<b>\$114,650,813</b>
Capital Improvements	740	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$90,289,770</b>	<b>\$102,092,534</b>	<b>\$120,201,077</b>	<b>\$114,650,813</b>
<b>Expenditures By Funds</b>				
General Revenue	34,028,273	34,446,598	34,085,909	31,267,460
Federal Funds	49,253,249	59,027,502	74,472,263	71,766,291
Restricted Receipts	6,802,105	8,577,588	11,536,819	11,518,083
Other Funds	206,143	40,846	106,086	98,979
<b>Total Expenditures</b>	<b>\$90,289,770</b>	<b>\$102,092,534</b>	<b>\$120,201,077</b>	<b>\$114,650,813</b>
<b>FTE Authorization</b>	<b>477.1</b>	<b>506.9</b>	<b>502.9</b>	<b>502.9</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	10.6%	10.6%	11.0%	12.0%
Females as a Percentage of the Workforce	67.2%	66.3%	67.0%	68.0%

# The Program

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## Department of Health – Central Management

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### Program Operations

Central Management includes three sub-programs: Executive Functions, Management Services, and Health Information and Communication. The Executive Function, headed by the Director of Health, provides overall direction for the department and coordinates operations across program lines to carry out statutory mandates. The director and senior management establish critical policy issues intended to guide the development of public health policies, programs, and services including: assuring that the quality and access to health care services is maintained in the face of rapid change; developing and maintaining the knowledge base of public health and use information to improve health; enhancing community capacity and structure so that communities will improve their health; eliminating disparities in health among populations; developing support for public health.

Management Services administers Budget and Finance, Personnel, Information Systems, and the Office of Vital Records. Budget and Finance prepares and executes the departmental budget and performs allocation control and cash management of all accounts. Budget and Finance is also responsible for purchasing and support services, which manages all purchasing and facility maintenance functions in the department. The Personnel Office implements all personnel actions and labor relations functions. Information Systems plans, evaluates, implements and manages data processing and electronic communications systems.

Health Information and Communication consists of five units: Health Statistics, Performance Measurement and Reporting, Communications, Minority Health, and Worksite Wellness. Health Statistics collects, analyzes, and reports administrative, survey, and special study data. Performance Measurement and Reporting collects, analyzes and publicly reports data reflecting the health care quality of licensed health care facilities and certified health plans. Communications disseminates health data and information to the public via the mass media including the Department of Health website. Minority Health provides staff support to the Minority Health Advisory Council and develops Community-Based Minority Health Promotion Centers. Worksite Wellness promotes worksite wellness in the Department of Health and in the community. In addition, Health Information and Communication is responsible for: the establishment of Year 2010 Health Objectives, and the monitoring of Hospital Community Benefits.

### Program Objectives

Prevent disease; protect and promote the health and safety of the people of Rhode Island; maintain an effective and efficient system for management of the department; maintain a knowledge base for public health policy, planning and program development; and inform and educate the public concerning the health of the state population.

### Statutory History

General Authority is provided in Title 23 Chapter 1 of the Rhode Island General Laws.

# The Budget

## Department of Health Central Management

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Executive Functions	628,244	758,076	244,746	357,372
Management Services	4,923,735	6,561,247	7,742,552	7,100,963
Health Policy and Planning	1,745,412	2,284,499	2,975,372	3,052,525
<b>Total Expenditures</b>	<b>\$7,297,391</b>	<b>\$9,603,822</b>	<b>\$10,962,670</b>	<b>\$10,510,860</b>
<b>Expenditures By Object</b>				
Personnel	6,203,642	7,113,782	7,202,575	7,286,710
Other State Operations	582,876	1,990,342	2,633,408	2,127,463
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	510,873	499,698	1,126,687	1,096,687
<b>Subtotal: Operating Expenditures</b>	<b>\$7,297,391</b>	<b>\$9,603,822</b>	<b>\$10,962,670</b>	<b>\$10,510,860</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,297,391</b>	<b>\$9,603,822</b>	<b>\$10,962,670</b>	<b>\$10,510,860</b>
<b>Expenditures By Funds</b>				
General Revenue	2,986,048	2,749,110	2,210,184	2,376,158
Federal Funds	2,024,934	4,231,991	5,832,627	5,209,806
Restricted Receipts	2,122,174	2,596,073	2,919,859	2,924,896
Other Funds	164,235	26,648	-	-
<b>Total Expenditures</b>	<b>\$7,297,391</b>	<b>\$9,603,822</b>	<b>\$10,962,670</b>	<b>\$10,510,860</b>
<b>Program Measures</b>	-	-	-	-

# The Program

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## Department of Health – State Medical Examiner

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### Program Operations

The Office of the State Medical Examiner investigates causes of death that involves injury, is sudden, unexpected, and unexplained; or causes of death that may, in any way, endanger the public health and safety. Investigations cover all known or suspected homicides, suicides, accidents, sudden infant deaths, drug related deaths, and medically unattended deaths. Investigation techniques include scene investigation, study of medical and police records, autopsy, body inspection, bodily fluid investigation and other tests as deemed necessary. The Medical Examiners Office keeps complete records on all cases and provides expert testimony on criminal cases for the state law enforcement agencies and the courts. The Office of the State Medical Examiner is required by statute to approve all cremations performed in Rhode Island.

Other functions of the office include: research in forensic pathology; education and training of resident and fellow physicians; training law enforcement personnel in techniques of homicide investigations, and disseminating public information about causes of death in the state.

### Program Objectives

Investigate and determine the manner and circumstance of death and to properly and accurately certify the cause of death in unnatural cases.

Promote safety and reduce untimely deaths through research and education.

Maintain a knowledge base for public health and use information to improve health status.

Control infectious and communicable disease

Reduce disparities in health status among sub-populations.

Assure that the quality of health care services is maintained in the face of rapid change.

### Statutory History

The Office of the State Medical Examiners is authorized under Title 23 Chapter 4 of the Rhode Island General Laws.

# The Budget

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## Department of Health State Medical Examiner

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,381,029	1,553,937	1,705,498	1,761,304
Other State Operations	136,910	143,423	220,188	221,981
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	3,440	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,517,939</b>	<b>\$1,697,360</b>	<b>\$1,929,126</b>	<b>\$1,983,285</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,517,939</b>	<b>\$1,697,360</b>	<b>\$1,929,126</b>	<b>\$1,983,285</b>
<b>Expenditures By Funds</b>				
General Revenue	1,517,939	1,697,360	1,807,517	1,826,750
Federal Funds	-	-	121,609	156,535
<b>Total Expenditures</b>	<b>\$1,517,939</b>	<b>\$1,697,360</b>	<b>\$1,929,126</b>	<b>\$1,983,285</b>
<b>Program Measures</b>	-	-	-	-

# The Program

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## Department of Health – Family Health

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### Program Operations

Family Health assesses and addresses the health and developmental needs of children and their families by developing comprehensive family-centered systems of care. Functions include development, coordination, and evaluation of children's and women's health programs within the Department of Health. The Maternal and Child Health subprogram includes the Children's Preventive Services and Adolescent and Young Adult Health Services, including Newborn Screening, Lead Poisoning Prevention, Childhood Immunization, Family Planning, Home Visiting Risk Response, and Men 2B Role Model Support programs. The Children with Special Health Needs subprogram offers family-centered, community-based services for children with, or at risk of, chronic health conditions and disabilities, including the Early Intervention, the Hearing Assessment, the Child Development Center, and the Disability and Health programs. The Women, Infants, and Children (WIC) subprogram provides supplemental nutritious foods and nutrition education for infants, young children, and pregnant women who are at medical or nutritional risk. Expert data, evaluation, and communications capacity, as well as a statewide public health tracking system through KIDSNET support all Family Health programs.

### Program Objectives

- Assure the positive outcome of pregnancies. Promote optimal child development.
- Prevent death, disease, and disability among children.
- Encourage life-long patterns of healthy behavior.
- Support effective parenting, in present and future generations.
- Improve access to and quality of health care for children and families at high risk.
- Promote a humane, effective and efficient health services for children with chronic disease and/or developmental disabilities.
- Maintain a knowledge base for public health - particularly for health risks and needs among mothers and children in Rhode Island.
- Eliminate disparities in health among population groups.
- Define the role of community in our mission and implement strategies to enhance community and individual participation in improving health status.
- Invest in safe and healthy communities through family-neighborhood and school health partnerships

### Statutory History

Authorization for programs within Family Health is contained in Title 23 Chapter 13, Title 40.1 Chapter 22, and Title 42 Chapter 12.3 of the Rhode Island General Laws; the Rhode Island Childhood Lead Program is mandated by Title 23 Chapter 24.6; the authorization for the WIC Program is under Rhode Island General Law Title 23, Chapter 13-17 and the PL 102-518; and the authorization for the Immunization Program and the Central Registry of Traumatic Head and Spinal Cord Injuries under Disabilities Prevention Program is in RIGL Title 23, Chapter 1.

# The Budget

## Department of Health Family Health

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Office of the Medical Director	3,334,064	4,100,733	5,097,630	5,311,694
Women, Infants and Children	22,350,813	24,273,112	27,892,880	27,776,392
Children's Preventive Health Services	1,349,419	1,733,049	2,511,089	2,572,332
Family, Youth and School Success	2,051,627	2,610,802	2,422,609	2,456,235
Families Raising Children w/ Special Needs	12,715,286	14,397,673	15,699,548	13,437,316
<b>Total Expenditures</b>	<b>\$41,801,209</b>	<b>\$47,115,369</b>	<b>\$53,623,756</b>	<b>\$51,553,969</b>
<b>Expenditures By Object</b>				
Personnel	7,013,209	8,043,882	9,217,250	9,402,477
Other State Operations	4,273,149	11,398,083	11,068,889	11,086,428
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	30,514,851	27,673,404	33,337,617	31,065,064
<b>Subtotal: Operating Expenditures</b>	<b>\$41,801,209</b>	<b>\$47,115,369</b>	<b>\$53,623,756</b>	<b>\$51,553,969</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$41,801,209</b>	<b>\$47,115,369</b>	<b>\$53,623,756</b>	<b>\$51,553,969</b>
<b>Expenditures By Funds</b>				
General Revenue	9,345,493	9,335,730	9,039,037	6,937,049
Federal Funds	29,372,284	34,024,899	38,869,433	38,893,911
Restricted Receipts	3,083,432	3,754,740	5,715,286	5,723,009
<b>Total Expenditures</b>	<b>\$41,801,209</b>	<b>\$47,115,369</b>	<b>\$53,623,756</b>	<b>\$51,553,969</b>
<b>Program Measures</b>				
Number of Infant Deaths per 1,000 Live Births in Rhode Island	6.9	6.5	6.2	5.9
Percent of Rhode Island Children Receiving Early Intervention	6.0%	6.7%	6.7%	6.7%
Number of Births per 1,000 Teens Aged 15-17	20.0	19.0	18.5	18.0
Percent of Children with Blood Lead Levels Greater than 10 ug/dl	7.4%	6.5%	5.6%	4.7%

# The Program

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## Department of Health – Health Services Regulation

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### Program Operations

The Division of Health Services Regulation licenses, certifies, and enforces regulations relating to health care professionals, health care facilities, managed care organizations and health systems development. Also, complaints from any source are investigated and, if substantiated, appropriate compliance action is initiated. The division is organized by responsibilities for licensure, oversight, and regulatory functions by category of licensure.

The Office of Facilities Regulation is responsible for ensuring that all federally-certified and state-licensed health care facilities or providers meet the applicable conditions and regulations of the law. That compliance is formally recognized through the licensure and certification process, which involves survey visits and other inspections for continuing compliance.

The Office of Health Professionals Regulation oversees the licensure, certification, registration, and discipline of more than 70,000 individuals in sixty-five health occupations and 2,600 facilities. The office also oversees the administrative and regulatory functions of thirty-five licensing boards comprising 325 members representing the various professions and consumers.

The Office of Managed Care Regulation is responsible for ensuring that all certified Health Maintenance Organizations, Utilization Review Agencies, and Health Plans meet the conditions and regulations of the applicable laws. Survey data is reported, compiled, and analyzed as required. Staff conducts on-site surveys and inspections and administers certification processes.

The Office of Health Systems Development administers the state's "certificate of need" program designed to prevent unnecessary duplication of expensive medical services and equipment; and reviews proposed hospital conversions, proposed changes in ownership of existing health care facilities, and requests for initial health facility licensure.

### Program Objectives

Assure minimum standards and competency of health facilities, managed care organizations, and professional licensees.

Promote high quality health care services through licensed health care facilities, licensed health care professionals, certified managed care organizations, and health plans.

Assure the appropriate use of drugs and other controlled substances through enforcement, compliance, and regulatory activities.

Direct and coordinate the department's health services regulatory activities.

Assure that the quality of health care services is maintained in the face of rapid change.

Inform and educate the public of licensee information and nursing home quality information through the departmental web site.

### Statutory History

Authorization for programs within Health Services Regulation is contained in Titles 5, 21, 23, 31 and 42 of the Rhode Island General Laws.

# The Budget

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## Department of Health Health Services Regulation

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Medical Licensure and Discipline	759,807	775,588	678,717	702,582
Professional Regulation	2,625,992	2,555,953	2,603,899	2,562,850
Facilities Regulations	3,633,209	3,770,342	3,700,334	3,714,221
Managed Care	454,141	619,141	3,156,572	3,024,259
<b>Total Expenditures</b>	<b>\$7,473,149</b>	<b>\$7,721,024</b>	<b>\$10,139,522</b>	<b>\$10,003,912</b>
<b>Expenditures By Object</b>				
Personnel	6,431,024	6,627,845	8,769,884	8,946,124
Other State Operations	927,399	987,468	1,266,478	954,628
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	114,726	105,711	103,160	103,160
<b>Subtotal: Operating Expenditures</b>	<b>\$7,473,149</b>	<b>\$7,721,024</b>	<b>\$10,139,522</b>	<b>\$10,003,912</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,473,149</b>	<b>\$7,721,024</b>	<b>\$10,139,522</b>	<b>\$10,003,912</b>
<b>Expenditures By Funds</b>				
General Revenue	4,666,458	4,529,503	4,542,352	4,618,305
Federal Funds	2,352,700	2,811,317	5,207,024	5,003,371
Restricted Receipts	453,991	380,204	390,146	382,236
<b>Total Expenditures</b>	<b>\$7,473,149</b>	<b>\$7,721,024</b>	<b>\$10,139,522</b>	<b>\$10,003,912</b>
<b>Program Measures</b>	-	-	-	-

# The Program

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## Department of Health – Environmental Health

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### Program Operations

The Environmental Health program protects and promotes the public's health in the areas of drinking water quality, food protection, occupational and radiological health, and environmental lead.

The Environmental Health Risk Assessment subprogram provides technical support to environmental and occupational health programs. This office conducts risk assessments; provides expert consultation on environmental hazards; responds to complaints about environmental tobacco smoke; conducts surveillance of chemical spills and releases; responds to calls from the public concerning environmental health issues; and provides emergency response planning for the Department of Health.

The Drinking Water Quality subprogram protects public health by assuring that public drinking water supplies comply with the standards of the Safe Drinking Water Act. It reviews and approves projects for financial assistance and plans for public water system infrastructure maintenance and repair.

The Food Protection subprogram protects and promotes health and prevents disease by assuring the safety and quality of the food supply from harvest to consumer. Food Protection regulates over 8,500 food businesses; responds to more than 1,100 complaints annually; investigates the causes of food-borne outbreaks; and administers the food manager certification program. It is also responsible for assuring water quality at Rhode Island's public bathing beaches.

The Occupational and Radiological Health subprogram protects and promotes the health of Rhode Islanders through programs regarding the control of health and safety hazards at the worksite, environmental lead hazards, and radiation hazards. The office provides free safety and health consultation services to employers, licenses and inspects x-ray equipment and administers a licensing and inspection program for by-product radioactive materials. In addition, it protects Rhode Island's children from lead hazards by enforcing regulations to ensure these hazards are abated.

### Program Objectives

Identify, prevent, and remediate environmental and occupational health hazards to protect the public's health.

Develop and maintain the knowledge base for public health and use it to improve health status.

Define the role of community development in our mission and implement strategies to enhance community and individual participation in improving health status.

Reduce disparities in health status among sub-populations

### Statutory History

Authorization for the Environmental Health program is contained in Title 2, Title 21, Title 23, Title 28, and Title 46 of the Rhode Island General Laws.

# The Budget

## Department of Health Environmental Health

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Drinking Water Quality	4,053,224	3,513,957	6,144,823	4,631,199
Food Protection	2,040,142	2,335,467	2,585,586	2,520,509
Occupational and Radiological Health	1,164,872	1,414,400	1,598,793	1,614,457
Environmental Health Risk Assessment	1,040,716	872,060	1,186,529	1,173,248
<b>Total Expenditures</b>	<b>\$8,298,954</b>	<b>\$8,135,884</b>	<b>\$11,515,731</b>	<b>\$9,939,413</b>
<b>Expenditures By Object</b>				
Personnel	6,231,740	6,644,414	8,825,194	8,043,799
Other State Operations	1,803,194	1,257,134	2,424,266	1,653,219
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	263,280	234,336	266,271	242,395
<b>Subtotal: Operating Expenditures</b>	<b>\$8,298,214</b>	<b>\$8,135,884</b>	<b>\$11,515,731</b>	<b>\$9,939,413</b>
Capital Improvements	740	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$8,298,954</b>	<b>\$8,135,884</b>	<b>\$11,515,731</b>	<b>\$9,939,413</b>
<b>Expenditures By Funds</b>				
General Revenue	4,155,472	4,126,485	4,374,243	4,429,906
Federal Funds	3,042,145	2,859,378	5,678,568	4,070,386
Restricted Receipts	1,101,337	1,150,021	1,462,920	1,439,121
<b>Total Expenditures</b>	<b>\$8,298,954</b>	<b>\$8,135,884</b>	<b>\$11,515,731</b>	<b>\$9,939,413</b>
<b>Program Measures</b>				
Population Served by Public Water Systems	99.8%	91.2%	91.2%	85.0%
Number of Food Borne Illnesses per 100k pop.	41.5	41.2	40.0	38.0
Percent of the Following Tested for Radon:				
Schools	95.0%	96.0%	97.0%	99.0%
City and Town Buildings	93.0%	95.0%	97.0%	99.0%
State Agency Buildings	98.0%	98.0%	99.0%	99.0%
Day Care Centers	85.0%	90.0%	95.0%	99.0%
Home Day Care	14.0%	25.0%	60.0%	85.0%

# The Program

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## Department of Health – Health Laboratories

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### Program Operations

The Health Laboratories monitor and protect public health and safety through modern scientific laboratory services. These services include: surveillance testing for early detection of diseases such as tuberculosis, rabies, West Nile Virus, HIV and other sexually transmitted diseases, Lyme Disease, pertussis, rubella, measles, and other emerging diseases as well as pathogens which may represent a bioterrorism threat; surveillance and testing for lead poisoning; analysis of food products, drinking and waste water, soil and air for the presence of potentially toxic environmental contaminants; analysis of evidence obtained during the investigation of crimes such as homicide, sexual assault, drug trafficking, and drunk driving. Staff from the Health Laboratories present expert testimony in court; and respond to public health emergencies such as man-made and natural disasters and epidemics.

The Health Laboratories analyze approximately 250,000 specimens each year. In addition to the Department of Health, the laboratory serves the Attorney General, the Department of Environmental Management, state and municipal law enforcement agencies, hospitals, private laboratories, and health care professionals. Health Laboratories offer public services to individuals, primarily on a fee-for-service basis.

### Program Objectives

- Protect the public health and safety through the application of modern scientific methods.
- Assure that the quality of health care services is maintained in the face of rapid change.
- Develop and maintain the knowledge base for public health and use it to improve health status.
- Define the role of community development in our mission and implement strategies to enhance community and individual participation in improving health status.
- Reduce disparities in health status among sub-populations.
- Develop support for public health.

### Statutory History

Authorization for the Laboratories program is contained in Title 23 Chapters 1, 11 and 13; Title 31 Chapter 27; and Title 41 chapter 3.1 of the Rhode Island General Laws.

# The Budget

## Department of Health Health Laboratories

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Administrative and Support Services	1,628,296	1,477,845	1,976,842	1,925,017
Forensic Sciences	1,707,807	1,791,397	1,825,776	1,747,182
Environmental Sciences	1,818,980	2,475,545	3,125,724	3,058,571
Biological Sciences	1,595,323	1,754,507	1,557,731	1,571,348
<b>Total Expenditures</b>	<b>\$6,750,406</b>	<b>\$7,499,294</b>	<b>\$8,486,073</b>	<b>\$8,302,118</b>
<b>Expenditures By Object</b>				
Personnel	4,953,685	5,541,672	6,240,565	6,259,950
Other State Operations	1,794,524	1,952,646	2,240,158	2,037,818
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	2,197	4,976	5,350	4,350
<b>Subtotal: Operating Expenditures</b>	<b>\$6,750,406</b>	<b>\$7,499,294</b>	<b>\$8,486,073</b>	<b>\$8,302,118</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,750,406</b>	<b>\$7,499,294</b>	<b>\$8,486,073</b>	<b>\$8,302,118</b>
<b>Expenditures By Funds</b>				
General Revenue	5,853,765	6,038,101	6,166,907	6,008,168
Federal Funds	896,641	1,461,193	2,319,166	2,293,950
<b>Total Expenditures</b>	<b>\$6,750,406</b>	<b>\$7,499,294</b>	<b>\$8,486,073</b>	<b>\$8,302,118</b>

### Program Measures

Number of Water Samples Per 1,000 That  
Need to be Re-collected Due to Quality Control  
Problems

10	10	10	10
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Percentage of Proficiency Test Results

Found Acceptable:

Drinking Water	94.0%	95.5%	96.0%	96.0%
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Waste and Non-potable Water	92.0%	92.6%	94.0%	94.0%
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Percentage of Human Specimen Test Results

Found Acceptable:	98.2%	98.2%	98.4%	98.6%
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# The Program

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## Department of Health – Disease Prevention and Control

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### Program Operations

Disease Prevention and Control promotes and protects community and individual health through the detection, surveillance, control, and eradication of infectious, communicable, and vector-borne diseases, and through the surveillance and control of chronic diseases.

The Communicable Diseases subprogram conducts surveillance, outbreak control, follow-up, case investigations, and public education regarding infectious and reportable diseases. Lyme disease, Hepatitis A and B, rabies, hantavirus, and mosquito-borne disease currently threaten public health. The Tuberculosis (TB) Control Program assures TB clinical services, provides outreach and daily, directly-observed therapy to active cases, and conducts surveillance activities. The AIDS/STD Program monitors the epidemics of HIV infection, AIDS and STDs, and targets prevention strategies to high-risk groups.

The Chronic Disease Prevention and Health Promotion subprogram promotes healthy lifestyles; to reduce smoking, poor nutrition, sedentary lifestyle, and injury causing behaviors; and to decrease the incidence, complications, and mortality due to the leading chronic diseases.

The Primary Care subprogram works with health care professionals, institutions and other state and voluntary agencies to improve the availability, accessibility and quality of primary care. Programs focus on prevention and early detection of disease and on maintenance of health.

### Program Objectives

Improve access to timely, high quality, cost-effective primary health care for all Rhode Islanders.

Detect, control and eradicate communicable diseases; including AIDS and sexually transmitted diseases.

Educate the public about the control of infectious and communicable diseases.

Monitor chronic diseases and educate the public about how to adopt healthy lifestyles.

Develop standards and monitor the provision of appropriate, quality, primary care.

Assure that the quality of health care services (particularly primary care) is maintained in the face of rapid change.

Develop and maintain the knowledge base for public health and use it to improve health status.

Define the role of community development in our mission and implement strategies to enhance community and individual participation in improving health status.

Reduce disparities in health status among sub-populations

Develop support for public health

### Statutory History

The programs and duties of Disease Prevention and Control are defined in Title 23 Chapters 1,3,6,12,14.1,20,20.6,20.7,20.9, 23 and 24; Title 5 Chapter 31.1; and Title 15 Chapter 1 to 3.

# The Budget

## Department of Health Disease Prevention and Control

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
No Sub-Program	-	49,768	93,590	95,965
Primary Care	999,708	1,083,834	1,250,422	1,219,059
Oral Health	127,477	39,614	-	-
Chronic Disease Prevention	6,921,181	8,375,397	9,960,129	8,729,948
Communicable Diseases	9,102,356	10,771,168	12,240,058	12,312,284
<b>Total Expenditures</b>	<b>\$17,150,722</b>	<b>\$20,319,781</b>	<b>\$23,544,199</b>	<b>\$22,357,256</b>
<b>Expenditures By Object</b>				
Personnel	6,552,727	7,994,543	8,912,483	8,811,861
Other State Operations	4,526,347	5,071,041	6,097,601	5,803,142
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	6,071,648	7,254,197	8,534,115	7,742,253
<b>Subtotal: Operating Expenditures</b>	<b>\$17,150,722</b>	<b>\$20,319,781</b>	<b>\$23,544,199</b>	<b>\$22,357,256</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$17,150,722</b>	<b>\$20,319,781</b>	<b>\$23,544,199</b>	<b>\$22,357,256</b>
<b>Expenditures By Funds</b>				
General Revenue	5,503,098	5,970,309	5,945,669	5,071,124
Federal Funds	11,564,545	13,638,724	16,443,836	16,138,332
Restricted Receipts	41,171	696,550	1,048,608	1,048,821
Other	41,908	14,198	106,086	98,979
<b>Total Expenditures</b>	<b>\$17,150,722</b>	<b>\$20,319,781</b>	<b>\$23,544,199</b>	<b>\$22,357,256</b>
<b>Program Measures</b>				
Percentage of RI Adults Age 20+ who Smoke	23.0%	22.4%	21.5%	21.5%
Percentage of Active Tuberculosis Cases Completing Therapy	91.9%	90.2%	90.0%	90.0%
Percentage of Women Age 40+ Receiving Annual Mammograms	51.9%	51.0%	68.0%	68.0%

# The Agency

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## Department of Human Services

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### Agency Operations

The Department of Human Services (DHS) operates various programs in support of the agency goal of assisting those persons in Rhode Island in need. These programs and services extend well beyond the vital financial support services historically provided to poor and low income individuals and families, and include redesigned and innovative programs which provide quality and accessible health care, child care, supportive services and options to working parents, individuals and families. These programs are all designed: to help families become strong, productive, healthy and independent; to help adults achieve their maximum potential; to ensure that children are safe, healthy, ready to learn, and able to reach their maximum potential; to honor and care for our state's veterans; and, to assist elderly and disabled persons in order to enhance their quality of life and sustain their independence. The department operates on a population based structure for its program policy and service delivery, reflecting the department's philosophy of clients' needs being the focus of the organization.

Major state and federal reforms have provided unprecedented flexibility in how the state may utilize funds to accomplish its goals. Rhode Island's Family Independence Program (FIP) has allowed DHS to design and implement a variety of services to assist those families transitioning from cash assistance to employment. These critical "work supports" are provided as a means of easing the transition into the labor force, and include vital child care assistance and health care benefits. These work supports are seen as a crucial element of the Family Independence Program (FIP) program, which replaced the former Aid to Families with Dependent Children (AFDC) program, and which imposes a 60 month lifetime limit for adults receiving cash assistance.

The department continues to be one of the largest purchasers of health care benefits in the state, providing health coverage, including managed care, fee-for-service care, long term care, and community-based care services to approximately 180,000 Rhode Islanders.

The department also provides services to veterans through the Veterans' Affairs program, which serves Rhode Island's 96,000 veterans and their families. An additional priority of DHS is to provide assistance to persons with disabilities seeking to achieve economic independence and integration with society, through its Office of Rehabilitation Services.

### Agency Objectives

To provide a full continuum of high quality and accessible programs and services to those Rhode Island families, children, adults, individuals with disabilities, veterans, and the elderly in need of assistance.

### Statutory History

Title 40 Chapter 1 and Title 42 Chapter 12 of the Rhode Island General Laws established the Department of Human Services within the executive branch of state government.

# The Budget

## Department of Human Services

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Central Management	11,945,623	12,308,590	12,969,036	12,536,634
Individual and Family Support	66,076,279	68,235,414	73,539,080	75,409,966
Veterans' Affairs	22,192,488	21,489,695	26,187,475	23,997,087
Health Care Quality, Financing & Purchasing	53,469,166	59,787,449	65,116,007	65,315,434
Medical Benefits	927,852,357	1,000,625,161	1,101,082,313	1,169,054,695
Supplemental Security Income Program	27,949,551	27,156,848	27,063,780	28,301,982
Family Independence Program	157,559,740	156,901,131	161,473,205	147,576,590
State Funded Programs	69,929,764	71,373,123	75,096,500	76,690,483
<b>Total Expenditures</b>	<b>\$1,336,974,968</b>	<b>\$1,417,877,411</b>	<b>\$1,542,527,396</b>	<b>\$1,598,882,871</b>
<b>Expenditures By Object</b>				
Personnel	92,953,092	99,255,006	106,986,799	109,509,084
Other State Operations	22,594,081	23,913,447	25,462,217	25,201,820
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	1,219,135,633	1,294,062,335	1,406,380,103	1,462,310,967
<b>Subtotal: Operating Expenditures</b>	<b>\$1,334,682,806</b>	<b>\$1,417,230,788</b>	<b>\$1,538,829,119</b>	<b>\$1,597,021,871</b>
Capital Improvements	2,292,162	646,623	3,698,277	1,861,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,336,974,968</b>	<b>\$1,417,877,411</b>	<b>\$1,542,527,396</b>	<b>\$1,598,882,871</b>
<b>Expenditures By Funds</b>				
General Revenue	603,152,771	602,799,235	631,158,773	685,070,023
Federal Funds	729,611,752	811,463,633	907,161,878	909,187,887
Restricted Receipts	4,209,593	3,474,543	4,206,745	4,239,961
Other Funds	852	140,000	-	385,000
<b>Total Expenditures</b>	<b>\$1,336,974,968</b>	<b>\$1,417,877,411</b>	<b>\$1,542,527,396</b>	<b>\$1,598,882,871</b>
<b>FTE Authorization</b>	<b>1,139.9</b>	<b>1,069.6</b>	<b>1,058.6</b>	<b>1,064.6</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	10.0%	11.0%	13.0%	13.0%
Females as a Percentage of the Workforce	74.0%	74.0%	74.0%	74.0%

# The Program

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## Department of Human Services Central Management

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### Program Operations

Central Management supervises, coordinates, and monitors all departmental functions: to assure efficient and effective use of state and federal resources for the purpose of providing services to poor, disabled, or aged individuals and families; to assist them in reaching their highest potential for self-sufficiency; and, to support the achievement of the department's mission. Central Management, organized through the office of the director, provides leadership, management, strategic planning, direction, and control of departmental activities. A principal function is intergovernmental liaison with the Governor's staff, other department directors and agency heads, federal government representatives, state and federal legislators, local welfare directors, and national and local human service professionals and organizations. The establishment and maintenance of community relations with consumers and service providers, and the provision of information to the general public, are core responsibilities of this program area. Additionally, all field operation activities are direct functions of Central Management, although the associated costs are budgeted in two programs, Individual and Family Support, and Health Care Quality, Financing and Purchasing. Central Management is responsible for the Electronic Benefits Transfer system, which electronically distributes cash assistance and food stamp benefits to clients, and has replaced paper transactions.

Within Central Management, the Office of Policy and Strategic Planning provides planning support for the department in the development and implementation of revised programs and initiatives. The Office of Legal Services represents the department in litigation, and provides counsel to the director and staff on legal issues relating to departmental operations. The Employee Relations Unit is responsible for the recruitment of staff, with emphasis on opportunities for minority employment. The Operations Management Unit develops and maintains departmental information systems, performs quality control for various programs, and operates the central mail room. Other centralized functions include support for financial management, information systems, collections and fraud investigations, and contract management.

### Program Objective

To provide leadership, management, strategic planning, and central support for the department.

### Statutory History

Title 40 Chapter 1 of the Rhode Island General Laws establishes that all functions, services, and duties of the Department of Human Services will be organized by the director of the department.

# The Budget

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## Department of Human Services Central Management

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	3,360,911	3,588,701	3,610,847	3,756,116
Other State Operations	378,462	419,036	453,340	408,644
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	8,200,820	8,293,928	8,904,849	8,371,874
<b>Subtotal: Operating Expenditures</b>	<b>\$11,940,193</b>	<b>\$12,301,665</b>	<b>\$12,969,036</b>	<b>\$12,536,634</b>
Capital Improvements	5,430	6,925	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$11,945,623</b>	<b>\$12,308,590</b>	<b>\$12,969,036</b>	<b>\$12,536,634</b>
 <b>Expenditures By Funds</b>				
General Revenue	5,998,274	5,958,011	6,255,795	5,765,863
Federal Funds	3,730,555	3,982,663	4,317,776	4,320,771
Restricted Receipts	2,216,794	2,367,916	2,395,465	2,450,000
<b>Total Expenditures</b>	<b>\$11,945,623</b>	<b>\$12,308,590</b>	<b>\$12,969,036</b>	<b>\$12,536,634</b>
 <b>Program Measures</b>				
Percentage of Homeless Families Placed in Permanent Housing Which Do Not Return for Services	92.0%	97.0%	90.0%	90.0%

# The Program

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## Department of Human Services Individual and Family Support

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### Program Operations

The Individual and Family Support Program (IFS) has the primary responsibility within the department to implement state and federal welfare reform changes and the state's early care and education programs. The Individual and Family Support program provides policy and program development and management, including monitoring and evaluation, systems development, and the processing and payment functions related to social services for populations served by the department. The Individual and Family Support Program is responsible for ensuring that the services affecting all populations are provided in accordance with state and federal mandates.

The Individual and Family Support Program has the responsibility for the operational planning, direction, coordination and implementation of programs such as the Family Independence Program (FIP), Child Care Development Fund, and the Head Start Collaboration grant. Funding for the Title XX Block Grant, the Stewart B. McKinney Program, the refugee assistance program, and special funding for victims of domestic violence, the homeless, and the elderly are also within the IFS program. Funding for the administration of the General Public Assistance Program, Food Stamp Program, and Supplemental Security Income is recorded in the IFS Program.

The Individual and Family Support Program also contains comprehensive case management programs for teen mothers and their children and provides child care services for both FIP clients and low income families. Serving Rhode Island's disabled population is the Office of Rehabilitation Services (ORS) and Services for the Blind and Visually Impaired (SBVI). ORS continues to implement new technologies in its service delivery systems, which works in partnership with consumers to achieve meaningful employment outcomes.

### Program Objective

To provide assistance and supports to clients so that they may transition to self-sufficiency.

### Statutory History

Title 40 Chapter 5.1 of the Rhode Island General Laws establishes the Family Independence Program. The Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (PRWORA) replaces Title IV-A of the Federal Social Security Act. Title 42 Chapter 12 of the Rhode Island General Laws established the Vocational Rehabilitation Program; Title 40 Chapter 9 established the Services to the Blind and Visually Impaired Program; Title 40 Chapter 19 authorized the Adolescent Pregnancy and Parenting Program; and Title 40 Chapter 6.2 authorized the Child Care Services Program. Article 11 of the FY 1999 Appropriations Act establishes the Starting Right Initiative in Title 40, Chapters 5.1 and 6.2, Title 42, Chapters 12 and 72.1.

# The Budget

## Department of Human Services Individual and Family Support

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	36,500,629	37,307,849	40,165,668	41,685,530
Other State Operations	14,690,089	15,531,146	16,445,909	16,382,356
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	14,690,601	15,256,419	16,922,503	16,952,080
<b>Subtotal: Operating Expenditures</b>	<b>\$65,881,319</b>	<b>\$68,095,414</b>	<b>\$73,534,080</b>	<b>\$75,019,966</b>
Capital Improvements	194,960	140,000	5,000	390,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$66,076,279</b>	<b>\$68,235,414</b>	<b>\$73,539,080</b>	<b>\$75,409,966</b>
<b>Expenditures By Funds</b>				
General Revenue	20,051,436	20,095,326	20,095,136	21,297,116
Federal Funds	45,978,003	47,956,182	53,359,283	53,649,189
Restricted Receipts	45,988	43,906	84,661	78,661
Other Funds	852	140,000	-	385,000
<b>Total Expenditures</b>	<b>\$66,076,279</b>	<b>\$68,235,414</b>	<b>\$73,539,080</b>	<b>\$75,409,966</b>
<b>Program Measures</b>				
Persons with Individualized Plan for Employment Achieving an Employment Outcome	80.0%	78.0%	78.0%	78.0%
Accuracy of Disability Determination Adjudications - Office of Rehabilitation Services	94.4%	93.9%	94.0%	94.0%

# The Program

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## Department of Human Services Veterans' Affairs

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### Program Operations

The Veterans' Affairs Program serves eligible Rhode Island Veterans, their surviving spouses, and dependents. Benefits include a comprehensive program of social, medical and rehabilitative services. The Veterans' Affairs Program is comprised of the Rhode Island Veterans' Home, the Rhode Island Veterans' Affairs Office, and Rhode Island Veterans' Memorial Cemetery.

Veterans' Affairs is confronting a growing challenge as a result of a rapidly aging veteran's population. Rhode Island has a population of approximately 96,000 veterans. Although the total number of war service veterans is declining, the growth in the proportion of aging (World War II and Korean) veterans is escalating. Of the 76,000 War Veterans, approximately 23,000 are World War II Veterans, with an average age above 71.

The purpose of the Veterans' Home is to provide quality nursing and domiciliary care to the veteran. Social, medical, nursing, and rehabilitative services for eligible Rhode Island Veterans, their survivors, and/or dependents, are available to improve their physical, emotional, and economic well-being. The Rhode Island Veterans' Home has an operational bed capacity of 339 beds (260 nursing and 79 domiciliary/sheltered care beds). Within the 339 bed complement is a 36-bed unit for veterans who suffer from dementia type illnesses. The Veterans' Home admits war service veterans who have been honorably discharged and have resided in the state at least two years prior to admission and/or were inducted into the military service from the state. Residential care is available to eligible veterans who require a sheltered care environment. The Veterans' Transitional Supportive Program (VTSP) is a program operated in concert with the federal Veterans Administration. VTSP offers a multitude of psychological/social counseling, substance abuse treatment, and contract work therapy opportunities provided on a short term basis to assist veterans with reintegration to their communities.

### Program Objective

To continue to improve the physical, emotional, and economic well-being of Rhode Island veterans.

### Statutory History

Title 30 Chapters 17, 24, 25, and 29 and Title 30 Chapter 25 of the Rhode Island General Laws established the Division of Veterans Affairs.

# The Budget

## Department of Human Services Veterans' Affairs

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	16,891,655	17,697,586	18,915,707	18,952,966
Other State Operations	2,967,241	3,244,571	3,559,234	3,553,864
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	241,820	47,840	19,257	19,257
<b>Subtotal: Operating Expenditures</b>	<b>\$20,100,716</b>	<b>\$20,989,997</b>	<b>\$22,494,198</b>	<b>\$22,526,087</b>
Capital Improvements	2,091,772	499,698	3,693,277	1,471,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$22,192,488</b>	<b>\$21,489,695</b>	<b>\$26,187,475</b>	<b>\$23,997,087</b>
<b>Expenditures By Funds</b>				
General Revenue	14,173,079	15,396,528	16,427,341	16,733,678
Federal Funds	6,364,636	5,248,117	8,910,935	6,088,109
Restricted Receipts	1,654,773	845,050	849,199	1,175,300
<b>Total Expenditures</b>	<b>\$22,192,488</b>	<b>\$21,489,695</b>	<b>\$26,187,475</b>	<b>\$23,997,087</b>
<b>Program Measures</b>				
Veterans' Home Compliance with Health Department Survey Standards				
	99.0%	99.0%	100.0%	100.0%
Persons Completing the Veteran Transitional Supportive Program Securing Housing by the End of Six Months				
	62.5%	79.0%	65.0%	65.0%

# The Program

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## Department of Human Services Health Care Quality, Financing and Purchasing

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### Program Operations

The objectives of the Health Care Quality, Financing and Purchasing (HCQFP) Program are: to assure the availability of high quality health care services to consumers; to assure the efficiency and economy of services delivered to program recipients by monitoring providers of services; to coordinate service-delivery efforts with other state departments and agencies; to purchase medically necessary services covered by the Medicaid State Plan; and, to administer programs in a manner consistent with federal and state laws and regulations. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly.

DHS is the Single State Agency authorized by the Federal Centers for Medicare and Medicaid Services to administer the Medicaid program in Rhode Island. In this role, DHS supervises disbursements to a number of state agencies, as well as local school districts, for administrative and program activities in support of the Medicaid program.

The HCQFP Program operates a claims processing system, secures financial recoveries from third parties for claims liability, and conducts utilization review of inpatient and outpatient hospital services.

To encourage the utilization of community based services rather than institutional programs, HCQFP administers home and community based waivers – both directly and through interagency agreements with the Departments of Elderly Affairs and Mental Health, Retardation and Hospitals.

HCQFP also administers a demonstration waiver to provide health services to families through a managed care delivery system, RItE Care, and is responsible for administration of a Section 1115 SCHIP waiver amendment to the current managed care program in order to implement the provisions of Health Reform RI 2000. This assures that the program serves either persons without access to affordable employer sponsored insurance, or maintains persons in employer-based insurance, if more cost-effective. HCQFP also processes the payments to the local education authorities for Medicaid reimbursement for administrative costs.

### Program Objective

To purchase health care services for consumers at a reasonable cost, while assuring quality and access.

To administer the Medical Assistance Benefits Program activities in a manner consistent with federal and state laws and regulations.

### Statutory History

Title XIX of the Federal Social Security Act was enacted by Congress under the provisions of Public Law 89-97. Title 40 Chapter 8 of the Rhode Island General Laws established the Rhode Island Medical Assistance Program. Title 40 Chapter 16 of the Rhode Island General Laws authorizes the Health Centers and Visiting Nurse Grant Program. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorized the Department of Human Services to establish and administer the RItE Care program and health care for certain child care providers.

# The Budget

## Department of Human Services Health Care Quality, Financing and Purchasing

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	36,043,444	40,597,065	44,294,577	45,114,472
Other State Operations	4,643,546	4,718,664	5,003,734	4,856,956
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	12,782,176	14,471,720	15,817,696	15,344,006
<b>Subtotal: Operating Expenditures</b>	<b>\$53,469,166</b>	<b>\$59,787,449</b>	<b>\$65,116,007</b>	<b>\$65,315,434</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$53,469,166</b>	<b>\$59,787,449</b>	<b>\$65,116,007</b>	<b>\$65,315,434</b>

<b>Expenditures By Funds</b>				
General Revenue	21,904,699	22,865,694	24,771,148	25,523,961
Federal Funds	31,279,221	36,708,506	39,482,439	39,270,473
Restricted Receipts	285,246	213,249	862,420	521,000
<b>Total Expenditures</b>	<b>\$53,469,166</b>	<b>\$59,787,449</b>	<b>\$65,116,007</b>	<b>\$65,315,434</b>

### Program Measures

#### Length of Stay

##### Average Length of Stay for:

Pneumonia	7.9	6.3	5.8	5.8
Angina Pectoris	2.9	2.2	2.6	2.3
Alcohol Dependency	4.1	4.5	4.3	4.3
Chest Pain	3.3	4.2	3.0	3.0
Congestive Heart Failure	6.4	7.0	6.2	6.0
Depressive Disease	7.0	7.5	6.8	6.2
Chronic Airway Obstructive Disease	5.7	8.2	5.4	5.4
Abdominal Pain	4.9	4.8	4.8	4.8
Acute Pancreatitis	5.9	9.6	5.0	5.0
Recurrent Depression	7.8	9.9	7.5	7.5

# The Program

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## Department of Human Services Medical Benefits

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### Program Operations

The Medical Benefits Program assures quality and access to necessary medical services for approximately 180,000 consumers through the purchase of health care at a reasonable cost, primarily funded by Medicaid. These services are provided to three population groups: families and children, individuals with disabilities, and the elderly. Medicaid is a federal and state matching entitlement program administered by states to provide medical benefits. The federal share of reimbursement, which is based on a state's per capita personal income, is 56.03% for federal fiscal year 2004 and 55.38% for federal fiscal year 2005. A temporary bonus rate of 2.95% is added to the earned rate in state fiscal year 2004 only, pursuant to the federal tax relief act of 2003.

DHS, in accordance with the federally-approved State Plan and a multitude of state and federal laws, specifies the scope of covered services, establishes fees for services and reimbursement rates for hospital and nursing facility services, adjudicates and pays claims for medical facility services, and adjudicates and pays claims for medical services submitted by providers. The Medical Benefits Program covers a broad scope of medical services provided to eligible individuals by service providers licensed by the Department of Health or other appropriate state or federal accrediting authority, and enrolled as service providers by the Medical Benefits Program.

The Medical Benefits Program provides health insurance to FIP families, children through age 18 with family incomes not in excess of 250% of the federal poverty limit, and other low income families. Health care is provided to children with special needs under SSI or the Early Periodic Screening Diagnosis and Treatment (EPSDT) program. Acute and long-term care services are provided to adults with disabilities and the elderly. There are four home and community based waiver programs administered directly by DHS or through the Departments of Elderly Affairs (DEA) and Mental Health, Retardation and Hospitals. DHS, in cooperation with DEA and the Rhode Island Housing Mortgage and Financing Corporation (RIHMFC), is working to develop waivers for assisted living programs. A Section 1115 SCHIP waiver provides that families without access to employer based insurance will have health insurance coverage, or be able to maintain their employer-sponsored insurance benefits, if more cost-effective.

### Program Objective

To assure the availability and accessibility of high quality health care services to program recipients.

### Statutory History

Title XIX of the Social Security Act was enacted by Congress under the provision of Public Law 89-97 on July 30, 1965. The Rhode Island Medical Assistance Program was implemented under Title 40 Chapter 8 of the Rhode Island General Laws. Title 42 Chapter 12.3 and Title 40-6.2 of the Rhode Island General Laws authorized the Department of Human Services to establish and administer the Rite Care program and health care for certain child care providers.

# The Budget

## Department of Human Services Medical Benefits

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Hospitals	200,646,403	202,171,438	228,434,440	232,846,738
Nursing Facilities	255,145,489	260,799,967	290,000,000	302,999,780
Managed Care	257,339,670	282,448,866	324,076,667	367,914,081
Other Services	183,434,718	220,063,204	219,071,206	221,294,096
Special Education	31,286,077	35,141,686	39,500,000	44,000,000
<b>Total Expenditures</b>	<b>\$927,852,357</b>	<b>\$1,000,625,161</b>	<b>\$1,101,082,313</b>	<b>\$1,169,054,695</b>
<b>Expenditures By Object</b>				
Personnel	103,728	-	-	-
Other State Operations	41	-	-	-
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	927,748,588	1,000,625,161	1,101,082,313	1,169,054,695
<b>Subtotal: Operating Expenditures</b>	<b>\$927,852,357</b>	<b>\$1,000,625,161</b>	<b>\$1,101,082,313</b>	<b>\$1,169,054,695</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$927,852,357</b>	<b>\$1,000,625,161</b>	<b>\$1,101,082,313</b>	<b>\$1,169,054,695</b>
<b>Expenditures By Funds</b>				
General Revenue	437,951,041	441,432,502	459,886,329	520,172,254
Federal Funds	489,894,524	559,188,237	641,180,984	648,867,441
Restricted Receipts	6,792	4,422	15,000	15,000
<b>Total Expenditures</b>	<b>\$927,852,357</b>	<b>\$1,000,625,161</b>	<b>\$1,101,082,313</b>	<b>\$1,169,054,695</b>

### Program Measures

Neonatal Intensive Care Unit Admissions Per One Thousand Live Births	95.4	92.5	95.4	95.4
Number of Physician's Office Visits per Rite Care Enrollee	5.8	5.7	6.0	6.0
Number of Emergency Room Visits per 1,000 Rite Care Enrollees	380	400	350	350
Number of Hospital Days per 1,000 Rite Care Enrollees	425	400	400	400

# The Program

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## Department of Human Services Supplemental Security Income Program

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### Program Operations

The Supplemental Security Income (SSI) Program provides a floor of income for aged, blind and disabled persons who have little or no income or other resources. The basic federal SSI cash assistance grant, annually adjusted for inflation, is funded in full by the federal government. Because the federal payment leaves many recipients below the federal poverty level, certain states have chosen to provide a supplement to the federal benefit. This supplementary payment is funded with state dollars. Persons eligible for SSI are also eligible, under specified criteria, for in-state moving expenses, and for needs resulting from an emergency of a catastrophic nature.

Since the inception of SSI in 1974, the program caseload has grown each year. This reflects an increase in the aging population, new and emerging disabilities, less stringent disability requirements, and increases in allowable resource limits. Because of recent federal law changes, eligibility has become more restrictive for certain categories of children, non-citizens, and persons with drug and alcohol disabilities.

### Program Objective

To provide financial aid to individuals who are aged, blind, or disabled and who do not have sufficient resources to maintain a reasonable standard of health and well-being.

### Statutory History

Title XVI of the Federal Social Security Act in 1974 created a federally administered Supplemental Security Income Program. This program replaced the assistance program previously administered by the state, which provided aid to aged, blind, and disabled Rhode Islanders. Title 40 Chapter 6 of the Rhode Island General Laws established the Supplemental Security Income Program.

# The Budget

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## Department of Human Services Supplemental Security Income Program

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	-	-	-	-
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	27,949,551	27,156,848	27,063,780	28,301,982
<b>Subtotal: Operating Expenditures</b>	<b>\$27,949,551</b>	<b>\$27,156,848</b>	<b>\$27,063,780</b>	<b>\$28,301,982</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$27,949,551</b>	<b>\$27,156,848</b>	<b>\$27,063,780</b>	<b>\$28,301,982</b>
 <b>Expenditures By Funds</b>				
General Revenue	27,949,551	27,156,848	27,063,780	28,301,982
<b>Total Expenditures</b>	<b>\$27,949,551</b>	<b>\$27,156,848</b>	<b>\$27,063,780</b>	<b>\$28,301,982</b>
 <b>Program Measures</b>				
	NS	NS	NS	NS

# The Program

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## Department of Human Services Family Independence Program

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### Program Operations

The Family Independence Program (FIP) provides support, including child care, health care, and cash payments to needy children and their families, along with a strong emphasis on requiring families who receive cash assistance to prepare for, and achieve, employment. Long-term assistance has been replaced with a transitional and time-limited support system. Replacing the Aid to Families with Dependent Children (AFDC) program, FIP provides for greater flexibility to the state in eligibility criteria, client responsibility, and types of benefits and services provided. TANF (Temporary Assistance for Needy Families) is the corresponding federal block grant, which is a major revenue source for FIP.

The emphasis in FIP is to achieve gainful employment and self-sufficiency, with the ultimate outcome of strong, healthy families. FIP promotes work as the source of family income and has a lifetime limit of sixty months for the receipt of cash assistance for adults. Child care, health care, and other supportive services are an entitlement to those families pursuing economic independence. Enhanced financial incentives in the form of income allowances encourage families to increase earned income. FIP beneficiaries may participate in education and training during the first twenty-four months of their employment, followed by a requirement to engage in paid or unpaid work.

Under FIP, child care and health care are considered an essential component of the long-range plan to move clients from dependence to independence. An effective, seamless system of child care and health care for both cash assistance recipients and low income working families is a critical program element in the total mix of services which are necessary to foster independence.

### Program Objectives

To provide assistance to clients to aid the transition to self-sufficiency.

### Statutory History

The Aid to Families with Dependent Children (AFDC) Program, which was initiated in the 1930s as Title IV of the Social Security Act, is replaced by the Family Independence Program (FIP) in Title I of PRWORA. Child care funding is provided under Title VI of PRWORA. Title 40 Chapter 5.1 enacted the Rhode Island Family Independence Act (RI FIA).

# The Budget

## Department of Human Services Family Independence Program

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
TANF/Family Independence Program	88,511,012	81,530,177	79,039,100	70,619,232
Child Care	69,048,728	75,370,954	82,434,105	76,957,358
<b>Total Expenditures</b>	<b>\$157,559,740</b>	<b>\$156,901,131</b>	<b>\$161,473,205</b>	<b>\$147,576,590</b>
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	(85,298)	-	-	-
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	157,645,038	156,901,131	161,473,205	147,576,590
<b>Subtotal: Operating Expenditures</b>	<b>\$157,559,740</b>	<b>\$156,901,131</b>	<b>\$161,473,205</b>	<b>\$147,576,590</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$157,559,740</b>	<b>\$156,901,131</b>	<b>\$161,473,205</b>	<b>\$147,576,590</b>
<b>Expenditures By Funds</b>				
General Revenue	68,822,955	65,559,379	73,647,744	64,069,686
Federal Funds	88,736,785	91,341,752	87,825,461	83,506,904
<b>Total Expenditures</b>	<b>\$157,559,740</b>	<b>\$156,901,131</b>	<b>\$161,473,205</b>	<b>\$147,576,590</b>
<b>Program Measures</b>				
Family Independence Program Families with Earned Income	23.0%	22.0%	23.0%	23.0%
Job Retention Rate For Family Independence Program Families No Longer Receiving Cash Assistance	75.0%	66.0%	67.0%	67.0%

# The Program

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## Department of Human Services State Funded Programs

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### Program Operations

The program “State Funded Programs” is comprised of assistance programs with discrete appropriation lines described below.

The General Public Assistance (GPA) Program is designed to meet the health care needs of incapacitated and unemployable persons who are suffering from an illness, injury, or medical condition and do not qualify for other public assistance programs. The program provides for limited medical assistance, consisting of physician services and a limited formulary (list) of prescription medications. The program also funds burials for indigent persons. Limited cash assistance is available from two special contingency funds. Under state welfare reform statutes, two parent families who had received services from GPA are now eligible under FIP.

The State Food Stamp Program was initiated in the FY 1998 Budget in order to respond to the termination of federal food stamp benefits for certain categories of legal immigrants who were in Rhode Island as of August 22, 1996. Federal eligibility for legal immigrants was restored in April, 2003, and the program was sunset by act of the Legislature.

### Program Objectives

To provide for: the medical needs for ill or disabled individuals who do not qualify for other federal programs, limited emergency cash assistance for individuals who experience extreme financial hardship, and, interim cash assistance for totally disabled individuals who are accepted for Title XIX Medical Assistance pending eligibility application for federal Social Security benefits.

### Statutory History

Title 40 Chapter 6 of the Rhode Island General Laws (RIGL) established the General Public Assistance Program. RIGL 40-6-8(d) establishes the State Food Stamp program. RIGL 40-6-27.1 established the State SSI program. RIGL 40.5.1-45 authorizes the Weatherization program.

# The Budget

## Department of Human Services State Funded Programs

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Food Stamps - Benefits	63,702,742	67,072,322	72,050,000	73,437,500
General Public Assistance	2,769,825	2,960,487	3,046,500	3,252,983
State Food Stamps	1,786,574	1,340,314	-	-
Weatherization	1,670,623	-	-	-
<b>Total Expenditures</b>	<b>\$69,929,764</b>	<b>\$71,373,123</b>	<b>\$75,096,500</b>	<b>\$76,690,483</b>
<b>Expenditures By Object</b>				
Personnel	52,725	63,805	-	-
Other State Operations	-	30	-	-
Aid To Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	69,877,039	71,309,288	75,096,500	76,690,483
<b>Subtotal: Operating Expenditures</b>	<b>\$69,929,764</b>	<b>\$71,373,123</b>	<b>\$75,096,500</b>	<b>\$76,690,483</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$69,929,764</b>	<b>\$71,373,123</b>	<b>\$75,096,500</b>	<b>\$76,690,483</b>
<b>Expenditures By Funds</b>				
General Revenue	6,301,736	4,334,947	3,011,500	3,205,483
Federal Funds	63,628,028	67,038,176	72,085,000	73,485,000
<b>Total Expenditures</b>	<b>\$69,929,764</b>	<b>\$71,373,123</b>	<b>\$75,096,500</b>	<b>\$76,690,483</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Agency

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## Department of Mental Health, Retardation and Hospitals

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### Agency Operations

It is the mission of the Department of Mental Health, Retardation and Hospitals to fulfill its statutory relationships to fund, plan, design, develop, administer and coordinate a system of services for citizens of Rhode Island with specific disabilities (i.e. mental illness, physical illness, developmental disability) and citizens of Rhode Island with substance abuse or addiction problems; and, to accomplish this mission within its legislated, annual budget. This mission is carried out through a system of contractual, community-based service delivery with the exceptions of direct services provided through the Eleanor Slater Hospital and Rhode Island Community Living and Supports (RICLAS).

In the last fiscal year, over 400 licensed MHRH programs delivered services to approximately 46,000 consumers within three priority populations: Developmental Disabilities, Behavioral Healthcare (Mental Illness and Substance Abuse) and Hospital level of care for chronic illness. The bulk of these services are offered through contracted and MHRH-licensed programs. MHRH currently has contract or leases with 165 private/non-profit hospitals, programs and agencies. Direct services to MHRH consumers are offered through the Eleanor Slater Hospital (bed capacity 628) a JCAHO-accredited hospital and through RICLAS within the Developmental Disabilities program for approximately 313 consumers. Typical MHRH programs and services include; individualized treatment and recovery plans, housing, vocational programs, inpatient and outpatient treatment for mental health and substance abuse, inpatient psychiatric forensic services, hospital level care for physical illness and prevention based substance abuse services.

MHRH is responsible for the maintenance of support and utility infrastructures for the Pastore Center that houses several state departments and their services (DHS, DLT, DOC, DCYF) along with MHRH buildings including the Eleanor Slater Hospital.

### Statutory History

R.I.G.L. 42-12.1-1 et.seq., provides for the organization and functions of the Department. The department's primary statutory functions are identified in R.I. General Laws 40.1-1-1 et.seq. as Mental Health, Mental Retardation and Developmental Disabilities, Curative Services, Forensic Services, and Substance Abuse services. A number of other functions are also assigned by statute.

# The Budget

## Department of Mental Health, Retardation and Hospitals

	FY 2002 Actual	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Central Management	1,685,584	2,057,630	2,098,728	2,244,163
Hosp. & Community System Support	25,094,420	22,629,996	24,531,493	23,923,707
Svcs. for the Developmentally Disabled	199,771,876	213,251,671	223,212,160	231,770,044
Integrated Mental Health Services	61,653,422	67,507,333	71,722,089	74,069,871
Hosp. & Community Rehab. Services	107,262,550	106,762,660	105,863,759	102,111,451
Substance Abuse	24,485,851	25,670,954	28,017,879	28,428,214
Internal Service Programs	[9,753,109]	[11,328,510]	[11,530,678]	[11,552,330]
<b>Total Expenditures</b>	<b>\$419,953,703</b>	<b>\$437,880,244</b>	<b>\$455,446,108</b>	<b>\$462,547,450</b>
<b>Expenditures By Object</b>				
Personnel	122,511,348	140,226,815	147,684,391	147,681,478
Other State Operations	44,951,120	43,120,738	37,064,666	35,706,205
Aid to Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	251,415,589	253,966,911	269,336,091	274,309,767
<b>Subtotal: Operating Expenditures</b>	<b>\$418,878,057</b>	<b>\$437,314,464</b>	<b>\$454,085,148</b>	<b>\$457,697,450</b>
Capital Improvements	1,075,646	565,780	1,360,960	4,850,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$419,953,703</b>	<b>\$437,880,244</b>	<b>\$455,446,108</b>	<b>\$462,547,450</b>
<b>Expenditures By Funds</b>				
General Revenue	221,123,456	219,909,933	214,893,245	225,141,155
Federal Funds	197,281,194	216,675,898	239,116,903	232,481,295
Restricted Receipts	61,913	43,760	75,000	75,000
Other Funds	1,487,140	1,250,716	1,360,960	4,850,000
<b>Total Expenditures</b>	<b>\$419,953,703</b>	<b>\$437,880,307</b>	<b>\$455,446,108</b>	<b>\$462,547,450</b>
<b>FTE Authorization</b>	<b>2,138.0</b>	<b>2,067.7</b>	<b>2,021.7</b>	<b>1,999.7</b>
<b>Agency Measures</b>				
Minorities as a Percentage of Workforce	14.7%	14.7%	14.7%	14.7%
Females as a Percentage of Workforce	64.2%	64.2%	64.2%	64.4%

# The Program

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## Department of Mental Health, Retardation and Hospitals Central Management

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### Program Operations

The Director provides leadership, overall policy direction, resource management, and guidance for the Department in pursuit of its mission. To facilitate this, the Department is centrally managed by the Office of the Director in conjunction with the Office of Operations and the Divisions of Behavioral Health Care Services, Developmental Disabilities, and Hospitals.

The Office of the Director performs the functions of departmental administration, legislative affairs, constituent affairs, policy administration, hospital governance, strategic planning, and promotion of the department's mission through public education and community forums. The Office of the Director supports the entire department by providing: coordination and management of initiatives and projects that cut across all departmental program and operational units, information and systems technology management, emergency management, performance improvement, quality assurance, funds development, and planning and overseeing of construction and renovation of buildings which support departmental functions on the Pastore Center grounds.

### Program Objectives

Provide leadership, policy direction and management guidance to assure the department's mission meets the needs of Rhode Island citizens with disabilities and those with substance abuse or addiction problems.

Expand public awareness and knowledge of the mission of the department through community forums and through advocacy, emphasizing consumer choice, consumer relations and family involvement.

Identify priority population's trends and service needs so that new and emerging needs together with established programs share existing, budgeted resources.

Redesign critical and often cross-cutting functions so that they become more responsive, efficient and effective.

Oversee the \$28.0 million overhaul and upgrade of the Pastore Center's Central Power Plant.

### Statutory History

The department's primary statutory functions are identified in R.I. General Laws 40.1-1-1 *et seq.* as Mental Health, Mental Retardation and Developmental Disabilities, Curative Services, Forensic Services, and Substance Abuse services.

# The Budget

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## Department of Mental Health, Retardation and Hospitals Central Management

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,545,673	1,920,569	1,995,730	2,146,990
Other State Operations	112,011	109,161	75,098	69,273
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	27,900	27,900	27,900	27,900
<b>Subtotal: Operating Expenditures</b>	<b>\$1,685,584</b>	<b>\$2,057,630</b>	<b>\$2,098,728</b>	<b>\$2,244,163</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,685,584</b>	<b>\$2,057,630</b>	<b>\$2,098,728</b>	<b>\$2,244,163</b>
 <b>Expenditures By Funds</b>				
General Revenue	1,685,584	2,057,693	2,098,728	2,244,163
<b>Total Expenditures</b>	<b>\$1,685,584</b>	<b>\$2,057,693</b>	<b>\$2,098,728</b>	<b>\$2,244,163</b>
 <b>Program Measures</b>				
Percentage of Surveys Conducted Within Two Year Licensure Period	100.0%	100.0%	100.0%	100.0%

# The Program

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## **Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support**

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### **Program Operations**

The Office of Operations (Hospitals and Community System Support Program) provides operational support functions to the hospital and the community patient care systems.

Financial Management provides the administrative and financial support to the entire department to ensure its operational efficiency and fiscal integrity. The major functional areas include: Budget Development/Program Analysis; Business Services; Central Switchboard; Accounting and Financial Control; Federal Grants; Contract Management; Telecommunications/Building Maintenance; Central Laundry; Payroll/Personnel; Revenue Collection; Computer Operations; Billing and Accounts Receivable; Patient Resources and Benefits; and Hospital Cost/Rate Setting/Revenue Forecasting.

Facilities and Maintenance oversees all MHRH capital budgets and related construction. This includes renovations and repairs; in the community, at the John O. Pastore Center Complex, the Eleanor Slater Hospital, its Zambarano Unit, State Operated Developmental Disabilities Programs, and Substance Abuse programs.

Human Resource Management includes the administration and development of programmatic services within the following functional areas: Employee Relations, Labor Relations, Human Resource Development, Equal Opportunity, Training and Development, Workers' Compensation and Workers' Disability Management.

### **Program Objectives**

Maintain numerous operational support functions to both the hospital and community patient care systems to include: Financial Management, Facilities and Maintenance, and Human Resource Management.

### **Statutory History**

Rhode Island General Laws Title 40.1 includes provisions relating to Hospitals and Community System Support.

# The Budget

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## Department of Mental Health, Retardation and Hospitals Hospitals and Community System Support

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Human Resources Management	1,778,906	1,888,704	1,808,086	1,901,665
Facilities & Maintenance	19,793,746	17,615,961	19,518,920	18,280,799
Financial Management	3,521,768	3,125,331	3,204,487	3,741,243
<b>Total Expenditures</b>	<b>\$25,094,420</b>	<b>\$22,629,996</b>	<b>\$24,531,493</b>	<b>\$23,923,707</b>
<b>Expenditures By Object</b>				
Personnel	10,124,980	10,147,977	11,292,928	11,176,981
Other State Operations	13,911,089	12,020,808	11,919,465	11,012,631
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	18,942	20,153	19,100	19,095
<b>Subtotal: Operating Expenditures</b>	<b>\$24,055,011</b>	<b>\$22,188,938</b>	<b>\$23,231,493</b>	<b>\$22,208,707</b>
Capital Improvements	1,039,409	441,058	1,300,000	1,715,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$25,094,420</b>	<b>\$22,629,996</b>	<b>\$24,531,493</b>	<b>\$23,923,707</b>
<b>Expenditures By Funds</b>				
General Revenue	23,722,980	21,515,619	23,231,493	22,208,707
Other Funds	1,371,440	1,114,377	1,300,000	1,715,000
<b>Total Expenditures</b>	<b>\$25,094,420</b>	<b>\$22,629,996</b>	<b>\$24,531,493</b>	<b>\$23,923,707</b>
<b>Program Measures</b>				
Percentage of Days With No Interruption or				
Loss of Service from the Utility Systems	97.0%	98.0%	99.0%	99.0%

# The Program

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## **Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled**

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### **Program Operations**

The Division of Developmental Disabilities funds a statewide network of community supports for adults with developmental disabilities. These services are both privately-operated and publicly-operated. The Division is responsible for planning, providing, and administering supports for adults with developmental disabilities, by safeguarding them from abuse, neglect and mistreatment, by ensuring equitable access to, and allocation of available resources and by enhancing the quality of supports so that people can move toward personal futures of inclusion and participation in community life, like every citizen.

The Division has developed a Strategic Plan, CHOICES, which includes restructuring the financing of supports and services for adults with developmental disabilities. Individuals with disabilities and their families are interested in being more directly involved in the decision-making and direction of the services and supports they receive. A more flexible and responsive approach to services is driving change in the system.

The Division provides community support services through a publicly operated program, Rhode Island Community Living and Supports (RICLAS). RICLAS supports approximately 313 people in various settings throughout Rhode Island.

### **Program Objectives**

CHOICES, incorporates the following goals and objectives: providing more opportunities for individuals with developmental disabilities and their families to have more control over the supports and services purchased on their behalf; providing access to information that enables them to make informed decisions as to the supports and services that are available; assisting providers in implementing new, innovative, and flexible supports and services that address the individual needs of a person; ensuring quality services which protect the rights of individuals with developmental disabilities; providing the appropriate structure within the division to respond to the changing needs of individuals and their families; providing a safe environment which assists individuals in achieving their fullest potential, and which allows meaningful participation in the community; and, providing a competent, caring, stable workforce to provide needed supports and services for individuals with developmental disabilities.

### **Statutory History**

Titles 40.1 and 43.1 of the Rhode Island General Laws includes provisions relating to Developmental Disabilities.

# The Budget

## Department of Mental Health, Retardation and Hospitals Services for the Developmentally Disabled

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Private Community D.D. Services	158,564,282	171,176,742	180,729,553	188,296,321
State Operated Res & Comm Svcs	41,207,594	42,074,929	42,482,607	43,473,723
<b>Total Expenditures</b>	<b>\$199,771,876</b>	<b>\$213,251,671</b>	<b>\$223,212,160</b>	<b>\$231,770,044</b>
<b>Expenditures By Object</b>				
Personnel	38,001,639	38,553,756	43,835,546	43,682,094
Other State Operations	8,718,126	7,797,885	5,407,008	5,581,997
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	153,052,111	166,900,030	173,969,606	179,770,953
<b>Subtotal: Operating Expenditures</b>	<b>\$199,771,876</b>	<b>\$213,251,671</b>	<b>\$223,212,160</b>	<b>\$229,035,044</b>
Capital Improvements	-	-	-	2,735,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$199,771,876</b>	<b>\$213,251,671</b>	<b>\$223,212,160</b>	<b>\$231,770,044</b>
<b>Expenditures By Funds</b>				
General Revenue	97,178,933	96,174,763	94,633,098	102,063,777
Federal Funds	102,592,943	117,076,908	128,579,062	126,971,267
Other	-	-	-	2,735,000
<b>Total Expenditures</b>	<b>\$199,771,876</b>	<b>\$213,251,671</b>	<b>\$223,212,160</b>	<b>\$231,770,044</b>
<b>Program Measures</b>				
Service Satisfaction - Parents and Friends for Alternative Living	89.0%	81.0%	90.0%	90.0%
Percentage of Disabled who Understand their Basic Human Rights	78.0%	90.0%	90.0%	90.0%
Percentage of Disabled who know what to do if they are a Victim of Abuse	85.0%	88.0%	90.0%	90.0%
Percentage of the Disabled who have had an Annual Physical Exam	88.0%	91.4%	96.0%	100.0%
Percentage of the Disabled who have Received Dental Services within Six Months	63.0%	73.4%	77.0%	81.0%

# The Program

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## **Department of Mental Health, Retardation and Hospitals Integrated Mental Health Services**

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### **Program Operations**

Within the Behavioral Health Care Services arena, Integrated Mental Health Services (IMHS) is responsible for planning, coordinating, and administering a comprehensive and integrated statewide system of mental health services. The service system which accomplishes this purpose is best described as a managed care system. It provides leadership, guidance, and oversight of mental health programs in the state through a series of administrative procedures including global budgets, performance contracting, and continuous quality assessment and improvement programs. It is assisted by a monitoring program that involves professional accrediting organizations, staff, families, and consumers of mental health services. State law requires the Division of Mental Health to propose, review and/or approve, as appropriate, proposals, policies, or plans involving insurance or managed care systems for mental health services in Rhode Island.

IMHS is a program with components as follows: A Clinical Advisory Committee on Mental Health; a Unit for Prevention of Mental Illness; the Mental Health System Development Unit; the Mental Health Clinical Programs Unit; the Mental Health Managed Care Monitoring and Decision Support Systems Unit; the Mental Health Operation and Contract Management Unit; and the Mental Health Program Planning and Evaluation Unit.

### **Program Objectives**

Improve the quality of life of those people in Rhode Island with mental disabilities by identifying mental health needs and encouraging the provision of a range of services to meet them.

Provide services in a manner which builds on individual strengths, enhances personal dignity, supports independence in living, and promotes recovery.

### **Statutory History**

Title 40, Chapters 3 and Chapter 5.4, Title 36 Chapter and Title 40.3 and Title 40.1 of the Rhode Island General Laws and the Federal Budget Reconciliation Act of 1982 all contain provisions relating to mental health services.

# The Budget

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## Department of Mental Health, Retardation and Hospitals Integrated Mental Health Services

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,478,594	1,414,324	1,653,917	1,693,303
Other State Operations	424,075	367,195	385,884	3,781,945
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	59,750,753	65,725,814	69,682,288	68,594,623
<b>Subtotal: Operating Expenditures</b>	<b>\$61,653,422</b>	<b>\$67,507,333</b>	<b>\$71,722,089</b>	<b>\$74,069,871</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$61,653,422</b>	<b>\$67,507,333</b>	<b>\$71,722,089</b>	<b>\$74,069,871</b>
<b>Expenditures By Funds</b>				
General Revenue	32,112,568	34,574,400	34,197,945	38,676,137
Federal Funds	29,540,854	32,932,933	37,524,144	35,393,734
<b>Total Expenditures</b>	<b>\$61,653,422</b>	<b>\$67,507,333</b>	<b>\$71,722,089</b>	<b>\$74,069,871</b>
<b>Program Measures</b>				
System Quality: Client Ability to Control Life	78.0%	79.9%	80.0%	82.0%
Percentage of People Served who are Somewhat Satisfied with their Housing	81.4%	80.3%	88.0%	88.0%

# The Program

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## Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

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### Program Operations

The Division of Hospitals and Community Rehabilitative Services provides long-term hospital care services that are licensed by the Department of Health (DOH) and are accredited by the Joint Commission on Accreditation of Healthcare Organizations (JCAHO). The Eleanor Slater Hospital is a member of the Hospital Association of Rhode Island (HARI).

On February 25, 1994, the Institute of Mental Health (IMH), Zambarano Hospital (ZH), and former General Hospital were reorganized into a single unified hospital system. This new entity, the Eleanor Slater Hospital (EHS), provides for a single licensed hospital. The Eleanor Slater Hospital's licensed bed capacity is 628, including 105 acute care beds, 128 psychiatric beds, and 395 long-term care beds. The consolidation of the three hospitals has resulted not only in a new organizational structure, but has provided for significant streamlining of administrative and clinical programs.

The Eleanor Slater Hospital is comprised of two sites, the Cranston site, with 428 beds, and the Zambarano Unit site in Burrillville, with 200 beds. The Zambarano Hospital unit is a long-term care hospital that is fully integrated into the mainstream of the new hospital system. Zambarano is an important provider of long-term and specialty care services and is vital to the total continuum of health care in Rhode Island. Funding levels and full-time equivalent (FTE) position authorization dictate actual bed utilization and census.

### Program Objectives

Plan, coordinate, and manage programs and services associated with the Eleanor Slater Hospital, the Dr. U.E. Zambarano Memorial Unit, and the Central Pharmacy Services Unit.

Ensure that all associated programs and services meet Joint Commission on Accreditation of Healthcare Organizations (JCAHO), Centers for Medicaid and Medicare Services (CMS), and third party standards to achieve full accreditation status and to maximize reimbursement.

Develop a continuum of residential options for the Developmentally Disabled head trauma, psychogeriatric, and adult psychiatric clients.

### Statutory History

Title 40, Chapter 3 of the Rhode Island General Laws and the Public Laws of 1969, Chapter 134, Section 6a, includes provisions relating to the General Hospital; Title 40.1, Chapter 3 includes provisions relative to Zambarano; Titles 40.1, 5.19, 21.28, 21.30 and 21.31 include provisions relative to the Central Pharmacy.

# The Budget

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## Department of Mental Health, Retardation and Hospitals Hospitals and Community Rehabilitative Services

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Eleanor Slater Hospital	72,631,044	69,216,634	67,452,010	66,410,870
Zambarano Hospital	25,425,067	27,844,145	29,680,856	30,485,096
Central Pharmacy Services	9,206,439	9,701,881	8,730,893	5,215,485
<b>Total Expenditures</b>	<b>\$107,262,550</b>	<b>\$106,762,660</b>	<b>\$105,863,759</b>	<b>\$102,111,451</b>
<b>Expenditures By Object</b>				
Personnel	69,512,294	86,067,123	86,709,356	86,722,007
Other State Operations	17,687,156	18,687,003	18,938,428	14,933,969
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	20,060,400	1,950,340	176,869	155,475
<b>Subtotal: Operating Expenditures</b>	<b>\$107,259,850</b>	<b>\$106,704,466</b>	<b>\$105,824,653</b>	<b>\$101,811,451</b>
Capital Improvements	2,700	58,194	39,106	300,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$107,262,550</b>	<b>\$106,762,660</b>	<b>\$105,863,759</b>	<b>\$102,111,451</b>
<b>Expenditures By Funds</b>				
General Revenue	51,633,939	51,211,410	46,281,100	45,928,383
Federal Funds	55,625,911	55,493,056	59,543,553	55,883,068
Other Funds	2,700	58,194	39,106	300,000
<b>Total Expenditures</b>	<b>\$107,262,550</b>	<b>\$106,762,660</b>	<b>\$105,863,759</b>	<b>\$102,111,451</b>
<b>Program Measures</b>				
Medication Error Incidents Per 10,000 Orders Filled	2.6	3.1	3.1	3.1
Pressure Ulcers as a Percent of the Total Patient Population	N/A	1.0%	1.0%	1.0%
Patient Falls per 1,000 Patient Days	2.6	2.6	2.6	2.6

# The Program

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## Department of Mental Health, Retardation and Hospitals Substance Abuse

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### Program Operations

The Division of Substance Abuse is responsible for planning, coordinating, and administering a comprehensive, statewide system of substance abuse treatment and prevention activities through contracts with community-based providers.

Specific responsibilities of the Division of Substance Abuse include: developing comprehensive statewide policies, plans and programs; assessing treatment and prevention needs and capacity; and evaluating and monitoring state grants and contracts. The division also provides technical assistance and guidance to programs, chemical dependency professionals, and the general public. The division is involved in researching and recommending alternative funding and service delivery strategies to enhance system efficiency and effectiveness.

Substance Abuse includes: a Prevention Unit which plans and provides technical assistance, contract oversight, program development and evaluation of primary prevention and intervention services; a Treatment Unit which is responsible for the provision, availability and monitoring of treatment services; a Planning Unit which conducts research, administers the agency's request for proposal process for treatment related initiatives, and coordinates the development and implementation of the Substance Abuse Block Grant; the Treatment Accountability for Safer Communities Unit which provides case management and intervention services to clients referred primarily from the court system; and, the Administration Unit which coordinates all Division activities, administers the MIS activity and the Client Information System, and performs all financial activities to include agency budgets, claims processing and reimbursement functions.

The Planning and Financial functions which existed with Integrated Mental health and Substance Abuse are in the process of being merged into single Division-wide units.

### Program Objective

In collaboration with the Governor's Office and the Children's Cabinet, implement the State Incentive Grant, the objective of which is the creation of a comprehensive and coordinated statewide prevention system.

Re-procure narcotic treatment services with the goal of insuring clinically appropriate, cost effective, geographically distributed services.

Develop levels of care in residential services, and establish intensive outpatient services.

### Statutory History

Title 40 Chapter 1 of the Rhode Island General Laws establishes the Division of Substance Abuse within the Department of Mental Health, Retardation and Hospitals.

# The Budget

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## Department of Mental Health, Retardation and Hospitals Substance Abuse

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,848,168	2,123,066	2,196,914	2,260,103
Other State Operations	4,098,663	4,138,686	338,783	326,390
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	18,505,483	19,342,674	25,460,328	25,741,721
<b>Subtotal: Operating Expenditures</b>	<b>\$24,452,314</b>	<b>\$25,604,426</b>	<b>\$27,996,025</b>	<b>\$28,328,214</b>
Capital Improvements	33,537	66,528	21,854	100,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$24,485,851</b>	<b>\$25,670,954</b>	<b>\$28,017,879</b>	<b>\$28,428,214</b>

<b>Expenditures By Funds</b>				
General Revenue	14,789,452	14,376,048	14,450,881	14,019,988
Federal Funds	9,521,486	11,173,001	13,470,144	14,233,226
Restricted Receipts	61,913	43,760	75,000	75,000
Other Funds	113,000	78,145	21,854	100,000
<b>Total Expenditures</b>	<b>\$24,485,851</b>	<b>\$25,670,954</b>	<b>\$28,017,879</b>	<b>\$28,428,214</b>

### Program Measures

Surveyed Tobacco Outlets Selling Tobacco Products to Youth Under 18	24.0%	10.3%	20.0%	20.0%
Surveyed Sites Refusing to Sell Alcohol to Youth Under 21	23.8%	18.1%	16.3%	14.7%

# The Program

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## **Department of Mental Health, Retardation and Hospitals Internal Service Programs**

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### **Program Operations**

The Internal Service Programs provide services required by state agencies which can be provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency, both on an actual and budget basis.

Internal Service Programs which are operated by the Department of Mental Health, Retardation and Hospitals include the Central Pharmacy and the Laundry. The Central Pharmacy provides services to the Eleanor Slater Hospital including the Zambarano Unit, the Department of Corrections, and the Rhode Island Veterans' Home.

### **Program Objective**

To provide the most cost-effective delivery of goods and services to other state programs.

### **Statutory History**

The Director of the Department of Administration is authorized by Title 35 Chapter 5 of the Rhode Island General Laws to establish a system of rotary or rotating funds in any state department or agency.

# The Budget

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## Department of Mental Health, Retardation and Hospitals Internal Service Programs

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,047,081	1,074,573	1,376,263	1,398,572
Other State Operations	8,689,123	10,236,183	10,137,438	10,137,438
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,641	3,128	3,000	3,000
<b>Subtotal: Operating Expenditures</b>	<b>\$9,737,845</b>	<b>\$11,313,884</b>	<b>\$11,516,701</b>	<b>\$11,539,010</b>
Capital Improvements	-	-	-	-
Capital Debt Service	15,264	14,626	13,977	13,320
<b>Total Expenditures</b>	<b>\$9,753,109</b>	<b>\$11,328,510</b>	<b>\$11,530,678</b>	<b>\$11,552,330</b>
 <b>Expenditures By Funds</b>				
Internal Service Funds	9,753,109	11,380,654	11,468,126	11,468,126
<b>Total Expenditures</b>	<b>\$9,753,109</b>	<b>\$11,328,510</b>	<b>\$11,530,678</b>	<b>\$11,552,330</b>
 <b>Program Measures</b>	-	-	-	-

# The Agency

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## Office of the Child Advocate

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### Agency Operations

To fulfill a statutory mandate to protect the legal, civil and special rights of all children and youth involved in DCYF care and to improve their conditions and circumstances through monitoring, compliance, and advocacy.

The office is staffed with 5.8 authorized FTE's. We are responsible for oversight of DCYF care for 8100 children and yearly monitoring site visits to monitor their care at 117 group homes and residential programs in this state. We provide direct legal representation for at least 38 children voluntarily placed in state care.

The office also reviews and monitors systematic and individual issues related to 250 residents at the Rhode Island Training School. We provide direct oversight and on-site visits on a weekly basis.

### Agency Objectives

To monitor the Department of Children, Youth and Families to ensure that it offers children in its care adequate protection and quality services, while affording these children respect for their individual rights and dignity. This includes public education, legislative advocacy, investigations, and litigation.

To review and make recommendations regarding the Department of Children, Youth and Families procedures; to investigate institutional abuse allegations and child fatalities; to provide information and referral on matters relating to children; participate in voluntary admissions procedures; to monitor child placements; to conduct annual site visits at residential/group care programs; and, to review the Department of Children, Youth and Families' compliance with day-care licensing laws.

### Statutory History

In 1980, the General Assembly created the Office of the Child Advocate. Its powers and duties are codified in R.I.G.L. 42-73. The Child Advocate possesses a statutory right of access, including subpoena power, to all providers, the Family Court, Department of Children, Youth and Families, and law enforcement records. There is also a right of physical access to all child-care programs and children in care.

In 1992, the Child Advocate's responsibilities with respect to children in state care were statutorily expanded to include review of child fatality cases and representation of child victims of crime pursuant to the Criminal Injuries Compensation Act.

# The Budget

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## Office of the Child Advocate

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	620,879	697,795	466,582	475,502
Other State Operations	120,889	108,422	93,307	79,838
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	93,619	74,033	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$835,387</b>	<b>\$880,250</b>	<b>\$559,889</b>	<b>\$555,340</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$835,387</b>	<b>\$880,250</b>	<b>\$559,889</b>	<b>\$555,340</b>
 <b>Expenditures By Funds</b>				
General Revenue	491,878	514,005	478,889	501,168
Federal Funds	343,509	366,245	63,000	54,172
Restricted Receipts	-	-	18,000	-
<b>Total Expenditures</b>	<b>\$835,387</b>	<b>\$880,250</b>	<b>\$559,889</b>	<b>\$555,340</b>
 <b>FTE Authorization</b>				
	<b>13.0</b>	<b>12.5</b>	<b>5.8</b>	<b>5.8</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	12.5%	12.5%	12.5%	0.0%
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
 <b>Program Measures</b>				
Percentage of Facilities that are Inspected and Compliant with Standards of Care	90.0%	79.4%	80.0%	80.0%

# The Agency

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## **Commission on the Deaf and Hard of Hearing**

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### **Agency Operations**

The Commission on the Deaf and Hard of Hearing advocates and coordinates the promotion of an environment in which deaf and hard of hearing persons in Rhode Island are afforded equal opportunity in all aspects of their lives. The commission develops policy and recommends appropriate programs and legislation to enhance cooperation and coordination among agencies and organizations now serving, or having the potential to serve, the deaf and hard of hearing. The commission also provides direct services in its operation of the Sign Language Interpreter Referral Service, and general information and referrals. The commission is composed of thirteen members, of whom nine are deaf and hard of hearing consumers.

### **Agency Objectives**

To promote greater accessibility to services for the deaf and hard of hearing by developing awareness programs.

To conduct an ongoing needs assessment to identify and prioritize the needs of the deaf and hard of hearing populations in Rhode Island.

To provide centralized sign language interpreter referral services, including Emergency Referrals.

To advocate for the enactment of legislation that will promote accessibility of services.

To develop a statewide coordinating council to implement the comprehensive statewide strategic plan for children who are deaf or have hearing loss.

To oversee state agency compliance with the Americans with Disabilities Act regulations related to deaf and hard of hearing access issues through monitoring, training, teletypewriters, and interpreters.

To work with federal, state, and local organizations and agencies to improve the quality of life for deaf and hard of hearing persons in Rhode Island. To coordinate sign language and equipment interpreter services between agencies and organizations with the goal of centralizing services.

### **Statutory History**

R.I.G.L. 23-1.8 includes provisions relating to the Commission on the Deaf and Hard of Hearing. The current commission results from the 1992 restructure of the former Commission on the Deaf and Hearing Impaired, originally established in 1977.

# The Budget

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## Commission on the Deaf and Hard of Hearing

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	151,605	191,816	243,678	255,868
Other State Operations	13,536	23,518	25,505	16,330
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$165,141</b>	<b>\$215,334</b>	<b>\$269,183</b>	<b>\$272,198</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$165,141</b>	<b>\$215,334</b>	<b>\$269,183</b>	<b>\$272,198</b>
 <b>Expenditures By Funds</b>				
General Revenue	165,141	215,334	269,183	272,198
<b>Total Expenditures</b>	<b>\$165,141</b>	<b>\$215,334</b>	<b>\$269,183</b>	<b>\$272,198</b>
 <b>FTE Authorization</b>	 <b>2.0</b>	 <b>3.0</b>	 <b>3.0</b>	 <b>3.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	50.0%	50.0%	50.0%
 <b>Program Measures</b>				
Percentage of Interpreter Requests Filled with at Least 72 Hours Notice	75.0%	81.0%	80.0%	85.0%
Percentage of Information Requests Responded to with Relevant Information or Referral	97.0%	76.0%	90.0%	95.0%
Percentage of Legislation Affecting Deaf and Hard of Hearing Citizens Favorably Disposed	55.0%	50.0%	60.0%	60.0%

# The Agency

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## **Rhode Island Developmental Disabilities Council**

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### **Agency Operations**

The Rhode Island Developmental Disabilities Council is the official planning and advisory body created to promote and increase opportunities and alternatives for persons with developmental disabilities and their families. The overall purpose of the council is to advocate on behalf of persons with developmental disabilities so that they have access to a comprehensive, coordinated system that offers a variety of services. Through a comprehensive planning process, the Council develops a five-year state plan with annual goals and objectives. The activities of the Council are designed to improve the quality of life of individuals, thus enabling them to become more independent, productive, and integrated into community life. The Council is made up of twenty-four voting members who are appointed by the Governor and serve three-year terms. The majority of the members are individuals with developmental disabilities, their relatives or guardians. Other members include representatives of state and private service agencies. Each state and territory has a Developmental Disabilities Council. Councils are fully funded each year by a grant from the federal government, Administration on Developmental Disabilities, U.S. Department of Health and Human Services.

### **Agency Objectives**

Develop and initiate methods to identify needs and to analyze the effectiveness and efficiency of the service system for persons with developmental disabilities and their families; and develop policy and/or program design recommendations based on needs assessment information and service system analyses/evaluation.

Develop and implement public education activities that illustrate and promote valued social roles for persons with developmental disabilities; and provide human rights training, employment training, leadership training, and educational opportunities for persons with disabilities and their families that they will become effective advocates in influencing public policy at the State and national level.

Promote paid work opportunities with appropriate support within community businesses and organizations to reduce reliance on segregated vocational options; and develop opportunities for inclusion and interdependent relationships between people with disabilities and their neighbors, co-workers and schoolmates to reduce social isolation.

### **Statutory History**

Title 40.1, Chapter 1 of the Rhode Island General Laws charges the Rhode Island Developmental Disabilities Council with sole responsibility for developing a plan which addresses the care, treatment, diagnosis, rehabilitation, training or related services for individuals with developmental disabilities. The plan is now or may hereafter be required as a condition to eligibility for benefits pursuant to the provisions of an act entitled “Developmental Disabilities Assistance and Bill of Rights Act” (42 U.S.C. section 6000 et seq.).

# The Budget

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## Rhode Island Developmental Disabilities Council

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	233,374	191,925	203,883	208,047
Other State Operations	32,248	35,548	34,380	35,050
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	140,650	270,249	320,522	327,414
<b>Subtotal: Operating Expenditures</b>	<b>\$406,272</b>	<b>\$497,722</b>	<b>\$558,785</b>	<b>\$570,511</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$406,272</b>	<b>\$497,722</b>	<b>\$558,785</b>	<b>\$570,511</b>
 <b>Expenditures By Funds</b>				
Federal Funds	406,272	497,722	558,785	570,511
<b>Total Expenditures</b>	<b>\$406,272</b>	<b>\$497,722</b>	<b>\$558,785</b>	<b>\$570,511</b>
 <b>FTE Authorization</b>				
	<b>3.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
 <b>Program Measures</b>				
Cumulative Percentage Increase in the Number of Persons or Organizations Receiving Council Newsletter from the FY 2002 Level	38.4%	51.4%	64.9%	76.9%

# The Agency

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## Governor's Commission on Disabilities

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### Agency Operations

The Commission advocates for the concerns of people with disabilities; expands economic opportunities for businesses owned by or employing people with disabilities; raises the awareness of the rights of individuals with disabilities amongst people with disabilities and their families, employers, businesses, government officials, and service providers; and ensures access to government by people with disabilities.

The Commission is responsible for coordinating compliance by state agencies with federal and state disability rights laws; approving or modifying state and local government agency's Open Meeting Accessibility for persons with disabilities transition plans; assisting local boards of canvassers to ensure accessible polling places locations; approving or rejecting requests to waive the state building code's accessibility for people with disabilities provisions at facilities to be leased by state agencies; investigating disability discrimination complaints involving physical barriers at public or private facilities, and ordering corrective action; assisting small, disadvantaged businesses, owned and controlled by persons with disabilities, or where seventy-five percent (75%) of the employees are persons with disabilities, or non-profit rehabilitation facilities with state funded and state-directed public construction contracts and state contracts for goods and services; and recommending improvements for enhancing enforcement of disability parking laws.

In addition to the Commission's staff, the Commission operates with several college fellows and volunteers who produce the weekly cable TV program "ABLE TOO". It airs Sunday afternoons at 2:30 and Friday evenings at 8 on RI's Interconnect Channel C.

The Mary Brennan Fellowship Program for college students with disabilities provides career experiences for students: editing the LEGISLATIVE LETTER, a weekly newsletter, that reports on General Assembly activity affecting people with disabilities; developing and updating the Commission's website [www.gcd.state.ri.us](http://www.gcd.state.ri.us); and providing information regarding rights and services.

### Agency Objectives

The Commission's objective is to ensure that all people with disabilities are afforded the opportunities to exercise all the rights and responsibilities accorded to citizens of this state and each person with a disability is able to reach his/her maximum potential in independence, human development, productivity and self-sufficiency.

### Statutory History

The Commission's responsibilities are defined in Chapter 42-51 and Titles; 42-87; 37-8-15 & 15.1; 42-46-13(f); 23-6-22; 32-2.2; 30-15-6; 28-5.1-9; 31-28-7.3; 42-102(e) and 17-9.1-31; the Rhode Island Constitution Article 1 Section 2; Governor's Executive Orders 01-1, 97-6, and 96-14; and federal disability rights laws/regulations Section 504 of the Rehabilitation Act of 1973 as amended, and the Americans with Disabilities Act.

# The Budget

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## Governor's Commission on Disabilities

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	310,384	503,042	559,198	575,392
Other State Operations	18,152	21,116	92,304	70,847
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	21,404	103,000
<b>Subtotal: Operating Expenditures</b>	<b>\$328,536</b>	<b>\$524,158</b>	<b>\$672,906</b>	<b>\$749,239</b>
Capital Improvements	-	-	500,000	500,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$328,536</b>	<b>\$524,158</b>	<b>\$1,172,906</b>	<b>\$1,249,239</b>
<b>Expenditures By Funds</b>				
General Revenue	303,540	513,501	541,217	533,865
Federal Funds	10,180	1,405	88,286	180,208
Restricted Receipts	14,816	9,252	43,403	35,166
Other	-	-	500,000	500,000
<b>Total Expenditures</b>	<b>\$328,536</b>	<b>\$524,158</b>	<b>\$1,172,906</b>	<b>\$1,249,239</b>
<b>FTE Authorization</b>	<b>4.6</b>	<b>6.6</b>	<b>6.6</b>	<b>6.6</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	65.2%	45.4%	45.4%	45.4%
Females as a Percentage of the Workforce	43.5%	24.2%	24.2%	24.2%
<b>Program Measures</b>				
Percentage of State Legislation Affecting Persons with Disabilities that is Favorably Disposed	39.8%	47.4%	50.0%	50.0%
Percentage of State-Owned or Leased Buildings which are Accessible to Persons with Disabilities	66.0%	67.1%	70.0%	75.0%

# The Agency

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## Commission for Human Rights

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### Agency Operations

The Rhode Island Commission for Human Rights (“Commission”) enforces Rhode Island anti-discrimination laws in the areas of employment, housing, public accommodations, credit, and delivery of services. The employment and public accommodations statutes prohibit discrimination based on race, color, sex, disability, ancestral origin, religion, age, sexual orientation and gender identity/expression. The housing and credit statutes also prohibit discrimination based on marital status, familial status, status as a victim of domestic abuse, and association with members of a protected class. The delivery of services statute prohibits discrimination on the basis of disability.

The Commission’s major program activities include outreach and education, intake, investigation, conciliation and administrative hearings. Staff members perform outreach and education activities voluntarily and frequently, after normal working hours. Intake involves the receipt and evaluation of inquiries. If the allegations present a *prima facie* case of discrimination, a formal charge of discrimination is prepared and forwarded to the respondent. Investigators conduct an impartial analysis of evidence obtained from both parties, compare all elements of the case and attempt to negotiate a resolution. Where resolution is not achieved, investigators make a recommendation on the merits of the charge to a Preliminary Investigating Commissioner (“PIC”). The PIC then makes a formal ruling as to whether there is “Probable Cause”. Upon a “Probable Cause” ruling, the Commission attempts to conciliate the matter. The parties have the opportunity to elect that the matter be heard in Superior Court. Where conciliation is unsuccessful, and the parties have elected to proceed at the Commission, an administrative hearing is conducted. At the administrative hearing, evidence is admitted and sworn testimony is heard before a Commissioner; a court stenographer also is present. The Commission renders a formal decision and order following an administrative hearing.

### Agency Objective

To enforce anti-discrimination laws as mandated by state and federal laws.

### Statutory History

The Commission was created and empowered in 1949 by Title 28, Chapter 5 of the General Laws of Rhode Island (the Fair Employment Practices Act). The Commission has been given statutory responsibility to enforce the following laws: R.I.G.L. § 28-5-1 et seq., R.I.G.L. § 34-37-1 et seq. (Fair Housing Practices Act), R.I.G.L. § 11-24-1 et seq. (Hotels and Public Places), R.I.G.L. §§ 23-6-22 and 23-6-23 (Prevention and Suppression of Contagious Diseases); R.I.G.L. § 42-87-1 et seq. (Civil Rights of People with Disabilities); and R.I.G.L. § 40-9.1-1 et seq. (Equal Rights of Blind and Deaf Persons to Public Facilities). In addition to state laws, the Commission assists the federal government in enforcing the following federal laws; Title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act, the Americans with Disabilities Act and Title VIII of the Federal Fair Housing Law, as amended.

# The Budget

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## Commission for Human Rights

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	929,143	869,378	994,057	973,217
Other State Operations	296,057	281,694	276,629	250,527
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,225,200</b>	<b>\$1,151,072</b>	<b>\$1,270,686</b>	<b>\$1,223,744</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,225,200</b>	<b>\$1,151,072</b>	<b>\$1,270,686</b>	<b>\$1,223,744</b>
<b>Expenditures By Funds</b>				
General Revenue	733,075	806,591	1,037,645	984,444
Federal Funds	492,125	344,481	233,041	239,300
<b>Total Expenditures</b>	<b>\$1,225,200</b>	<b>\$1,151,072</b>	<b>\$1,270,686</b>	<b>\$1,223,744</b>
<b>FTE Authorization</b>	<b>17.0</b>	<b>17.0</b>	<b>15.0</b>	<b>15.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	47.0%	46.0%	43.0%	43.0%
Females as a Percentage of the Workforce	71.0%	75.0%	71.0%	71.0%
<b>Program Measures</b>				
Average Number of Business Days from Receipt of Intake Questionnaire to Official Charge	60.0	60.0	55.0	55.0

# The Agency

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## Office of the Mental Health Advocate

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### Agency Operations

The Office of the Mental Health Advocate is directed by statute to insure the legal, civil, and special rights of people with mental illness in Rhode Island. This includes providing legal representation at involuntary commitment proceedings, monitoring procedures and policies at in-patient treatment facilities and community-based mental health programs, proposing and evaluating legislation, and litigating civil rights and treatment rights disputes. The Office also provides counsel to clients of the mental health system who bring complaints involving mental health issues and other issues vital to maintaining quality of life, such as housing and protection from creditors. The Office is also charged to protect the treatment rights of criminally insane persons and prison inmates in the forensic hospital and to provide legal representation for indigent persons receiving in-patient substance abuse treatment.

In 1982, the Office was charged by statute to monitor the care of and protect the civil rights of residents of the twenty-nine licensed mental health group homes in the state.

In 2002, the federal government enacted new confidentiality legislation, the Healthcare Information Privacy Protection Act (HiPPA). This office is responsible to protect the rights of patients under that new statute and is responsible to ensure that licensed mental health treatment providers comply with the law.

### Agency Objectives

**Involuntary Commitment** - To protect the liberty interests and treatment rights of individuals subjected to involuntary commitment and to the involuntary administration of medication in psychiatric facilities, including penal inmates.

**Direct Representation and Referral of clients of the Mental Health System** - To protect the legal rights of clients of the mental health system by means of direct legal representation and/or referral to appropriate resources.

**Advocacy for Improvements in the Mental Health System** – To monitor and evaluate the quality of services available to clients of the mental health system, and to investigate incidents. To address shortcomings and gaps in the services and programs provided by the mental health system.

**Elimination of Stigma Associated With Mental Illness** – To address stigmatizing legal inequities and social barriers that impact upon the lives of individuals with mental illness by means of legislative advocacy, litigation, education, support for the mental health consumer movement, and by outreach to the public at large.

### Statutory History

R.I. General Laws, Sections 40.1-5-13, 40.1-5-22 and 24 (Public Laws 1974, Ch. 119) and R.I. General Laws, Section 40.1-24.5-12, Public Laws 1982, Ch. 363.

# The Budget

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## Office of the Mental Health Advocate

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	276,320	296,455	308,994	321,969
Other State Operations	14,398	10,788	8,073	9,699
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$290,718</b>	<b>\$307,243</b>	<b>\$317,067</b>	<b>\$331,668</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$290,718</b>	<b>\$307,243</b>	<b>\$317,067</b>	<b>\$331,668</b>
 <b>Expenditures By Funds</b>				
General Revenue	290,718	307,243	317,067	331,668
<b>Total Expenditures</b>	<b>\$290,718</b>	<b>\$307,243</b>	<b>\$317,067</b>	<b>\$331,668</b>
 <b>FTE Authorization</b>				
	<b>4.3</b>	<b>3.7</b>	<b>3.7</b>	<b>3.7</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	75.0%	75.0%	75.0%	75.0%
 <b>Program Measures</b>				
Percentage of Treatment Rights Cases Favorably Disposed				
	75.0%	62.1%	61.2%	61.2%
Percentage of Involuntary Petitions Filed that are Withdrawn or Dismissed				
	21.1%	24.8%	21.4%	21.4%
Percentage of Confidentiality and Medical Records Cases Favorably Disposed				
	64.0%	82.3%	80.1%	80.1%

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# **Education**

Department of Elementary and Secondary Education  
Administration of the Comprehensive Education Strategy  
Davies Career and Technical School  
Rhode Island School for the Deaf  
Metropolitan Career and Technical School  
Education Aid  
Central Falls  
School Construction Aid  
Teacher Retirement

Public Higher Education  
Board of Governors/Office of Higher Education  
University of Rhode Island  
Rhode Island College  
Community College of Rhode Island

Rhode Island Council on the Arts  
Rhode Island Atomic Energy Commission  
Rhode Island Higher Education Assistance Authority  
Rhode Island Historical Preservation and Heritage Commission  
Rhode Island Public Telecommunications  
Authority-WSBE/Channel 36

## Education Function Expenditures

	FY 2002 Audited	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditure by Object</b>				
Personnel	356,748,384	379,431,634	403,600,664	414,241,907
Other State Operations	128,003,096	137,039,348	145,711,257	155,012,410
Aid to Local Units of Government	764,645,211	819,943,764	902,341,089	912,382,095
Assistance, Grants, and Benefits	110,930,882	120,123,813	134,367,530	139,500,789
<b>Subtotal: Operating Expenditures</b>	<b>\$1,360,327,573</b>	<b>\$1,456,538,559</b>	<b>\$1,586,020,540</b>	<b>\$1,621,137,201</b>
Capital Improvements	18,849,532	13,991,603	22,699,302	14,081,037
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,379,177,105</b>	<b>\$1,470,530,162</b>	<b>\$1,608,719,842</b>	<b>\$1,635,218,238</b>
<b>Expenditures by Funds</b>				
General Revenue	892,914,814	922,317,479	965,641,549	978,194,310
Federal Funds	116,170,682	136,731,797	203,747,272	199,643,134
Restricted Receipts	1,348,483	1,222,476	2,046,514	3,336,824
Other Funds	368,743,126	410,258,410	437,284,507	454,043,970
<b>Total Expenditures</b>	<b>\$1,379,177,105</b>	<b>\$1,470,530,162</b>	<b>\$1,608,719,842</b>	<b>\$1,635,218,238</b>
<b>FTE Authorization</b>	<b>4,035.9</b>	<b>3,915.9</b>	<b>3,899.6</b>	<b>3,965.0</b>
<b>FTE Exempt From Cap Authorization</b>	<b>335.4</b>	<b>321.8</b>	<b>355.5</b>	<b>361.8</b>
<b>FTE Total</b>	<b>4,371.3</b>	<b>4,237.7</b>	<b>4,255.1</b>	<b>4,326.8</b>

# The Agency

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## Department of Elementary and Secondary Education

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### Agency Operations

The Commissioner heads the RI Department of Education (RIDE). He makes certain that RIDE pursues its mission: to lead and support schools and communities in ensuring that all students achieve at the high levels needed to lead fulfilling and productive lives, to compete in academic and employment settings, and to contribute to society. This mission statement has been endorsed by the Governor's Comprehensive Education Strategy, and given legal and fiscal force through the Governor and Legislature's passage of The Rhode Island Student Investment Initiative (also known as Article 31) contained in the FY 1998 Budget Act and subsequent budget articles.

### Agency Objectives

In order to make its mission more specific and measurable, the agency has set four major objectives:

By 2005, all schools and districts will meet their annual measurable objectives on the state assessments in both English language arts and mathematics.

By 2005, the state's graduation rate will be 75.3 percent.

By 2005, all middle schools and high schools will have literacy programs in place to support students who have not attained proficiency in literacy.

By 2009, all Rhode Island educators will have been recertified through the I-Plan process.

### Statutory History

Title 16, Chapter 1 of the Rhode Island General Laws establishes and provides for the organization and functions of the Department.

# The Budget

## Department of Elementary and Secondary Education

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Admin. of Comprehensive Educ. Strategy	121,721,978	138,600,951	204,497,623	200,534,923
Davies Career and Technical School	10,930,514	11,239,730	13,076,652	12,727,204
Rhode Island School for the Deaf	6,895,484	6,120,742	7,604,504	6,440,172
Metropolitan Career and Technical School	2,155,000	3,982,350	5,750,000	7,839,970
Education Aid	576,881,685	600,789,428	618,847,344	618,032,680
Central Falls School District	33,265,962	34,430,272	35,635,332	35,991,685
Housing Aid	33,222,193	38,232,357	40,634,116	44,737,193
Teachers' Retirement	31,264,197	38,072,353	46,212,606	52,583,171
<b>Total Expenditures</b>	<b>\$816,337,013</b>	<b>\$871,468,183</b>	<b>\$972,258,177</b>	<b>\$978,886,998</b>
<b>Expenditures By Object</b>				
Personnel	34,146,273	33,276,348	44,705,888	42,807,574
Other State Operations	11,372,597	11,272,737	14,141,029	16,157,454
Aid To Local Units Of Government	764,645,211	819,943,764	902,341,089	912,382,095
Assistance, Grants and Benefits	5,772,404	6,904,371	8,993,044	7,429,467
<b>Subtotal: Operating Expenditures</b>	<b>\$815,936,485</b>	<b>\$871,397,220</b>	<b>\$970,181,050</b>	<b>\$978,776,590</b>
Capital Improvements	400,528	70,963	2,077,127	110,408
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$816,337,013</b>	<b>\$871,468,183</b>	<b>\$972,258,177</b>	<b>\$978,886,998</b>
<b>Expenditures By Funds</b>				
General Revenue	707,302,342	742,377,641	777,183,510	788,702,547
Federal Funds	107,749,220	127,804,162	191,424,918	187,188,704
Restricted Receipts	874,511	1,214,292	1,572,622	2,885,339
Other Funds	410,940	72,088	2,077,127	110,408
<b>Total Expenditures</b>	<b>\$816,337,013</b>	<b>\$871,468,183</b>	<b>\$972,258,177</b>	<b>\$978,886,998</b>
<b>FTE Authorization</b>	<b>345.2</b>	<b>328.7</b>	<b>326.7</b>	<b>321.1</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	10.3%	8.7%	8.7%	10.0%
Females as a Percentage of the Workforce	65.5%	74.6%	74.6%	74.6%

# The Program

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## Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

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### Program Operations

Through its Administration of the Comprehensive Education Strategy (ACES), RIDE provides leadership and support for the entire elementary-education system in Rhode Island and it ensures that the mission established by the Board of Regents, the Governor, and the Legislature is implemented. RIDE provides this leadership and support through its eight offices: Assessment & Accountability, Finance, Instruction, Middle & High School Reform and Adult Education, Network & Information Systems, School Improvement & Support Services, Special Populations, and Teacher Certification. These offices work in consort to advocate for a coherent public policy on education, enhance local capacity to improve teaching and learning, sustain an effective accountability system, and build innovative partnerships that create positive change.

### Program Objectives

Increase the ability of schools to use information as part of the school-improvement planning process.

Ensure that all schools and districts meet all annual measurable objectives in both English language arts and mathematics.

Improve the attendance rates, the high-school graduation rate, and the rate of participation on state assessments so as to meet all annual measurable objectives.

Help schools and districts to develop personal literacy plans for all students who have not attained proficiency in literacy appropriate to their grade level.

Implement the State Improvement Plan for children with disabilities and their families.

In consort with other New England states, develop grade-level expectations for core subjects, and develop appropriate assessments to measure student proficiency.

Develop a universal student identifier for all public-school students in the state, and work with schools and districts to improve the quality and timeliness of all data collection.

Increase professional development and other learning opportunities for all educators.

### Statutory History

Title 16 of the Rhode Island General Laws establishes and provides for the organization and functions of the Department. Title 16, Chapters 7 through 47 of the Rhode Island General Laws refers to education aid.

# The Budget

## Department of Elementary and Secondary Education Administration of the Comprehensive Education Strategy

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Commissioner's Office	2,114,740	2,879,946	1,775,626	1,732,062
Finance	3,432,072	2,895,134	3,009,517	3,176,226
Network and Information System	2,368,619	1,129,272	1,405,079	1,289,532
School Improvement & Support Svcs.	63,943,010	66,831,102	99,478,746	104,258,643
Teacher Certification	2,242,502	2,249,288	2,423,954	2,998,138
Assessment & Accountability	120,167	3,670,751	9,080,239	8,511,157
Instruction	15,029,748	18,203,415	28,427,266	27,957,640
Special Populations	24,529,421	29,085,485	44,192,045	38,816,278
Middle/High School Reform	7,941,699	11,656,558	14,705,151	11,795,247
<b>Total Expenditures</b>	<b>\$121,721,978</b>	<b>\$138,600,951</b>	<b>\$204,497,623</b>	<b>\$200,534,923</b>
<b>Expenditures By Object</b>				
Personnel	18,264,255	18,201,726	27,719,969	25,797,323
Other State Operations	8,904,798	9,373,972	11,849,677	12,362,161
Aid To Local Units Of Government	89,014,950	104,613,516	155,553,304	155,155,014
Assistance, Grants and Benefits	5,143,031	6,348,988	8,240,938	7,220,425
<b>Subtotal: Operating Expenditures</b>	<b>\$121,327,034</b>	<b>\$138,538,202</b>	<b>\$203,363,888</b>	<b>\$200,534,923</b>
Capital Improvements	394,944	62,749	1,133,735	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$121,721,978</b>	<b>\$138,600,951</b>	<b>\$204,497,623</b>	<b>\$200,534,923</b>
<b>Expenditures By Funds</b>				
General Revenue	15,517,762	14,178,773	14,612,286	14,972,435
Federal Funds	104,951,195	123,248,386	187,277,129	184,670,149
Restricted Receipts	853,277	1,109,918	1,474,473	892,339
Other Funds	399,744	63,874	1,133,735	-
<b>Total Expenditures</b>	<b>\$121,721,978</b>	<b>\$138,600,951</b>	<b>\$204,497,623</b>	<b>\$200,534,923</b>

### Program Measures

Percent of High School Parents Reporting that the  
School Engages Parents on School Committees  
such as Curriculum, Budget & School Improvement

30.0%	36.0%	38.0%	40.0%
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High School Students Reporting that they  
Experience Integrated & Interdisciplinary Instruction

2.4	2.5	2.5	2.6
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# The Program

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## Department of Elementary and Secondary Education Davies Career and Technical School

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### Program Operations

The program is designed to operate the Davies Career and Technical School. With respect to career and technical education, the Davies Career and Technical School provides a high school education program in occupational areas for youths in grades 9 through 12. The school provides integrated academic and vocational curricula, up-to-date technology programs to meet the varying needs of all students, with strong links to business, industry, postsecondary education, and the community. Davies operates as a local education agency and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner and the Board of Regents, the Board of Trustees has the powers and duties of school committees.

### Program Objectives

Improve student performance by providing students with the career preparation they need to compete and succeed in today's world and the world of the future, and by decreasing the number of students who drop out of school prior to graduation.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the varying needs of students.

Establish strong partnerships with business, industry, postsecondary education and the community in order to enhance students' postsecondary success by providing real world work experience.

### Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

# The Budget

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## Department of Elementary and Secondary Education Davies Career and Technical School

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	9,121,278	9,563,726	10,449,469	10,951,565
Other State Operations	1,375,973	1,540,891	1,948,531	1,556,387
Aid To Local Units Of Government	427,497	121,586	210,960	210,960
Assistance, Grants and Benefits	5,766	5,313	8,292	8,292
<b>Subtotal: Operating Expenditures</b>	<b>10,930,514</b>	<b>11,231,516</b>	<b>12,617,252</b>	<b>12,727,204</b>
Capital Improvements	-	8,214	459,400	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>10,930,514</b>	<b>11,239,730</b>	<b>13,076,652</b>	<b>12,727,204</b>
<b>Expenditures By Funds</b>				
General Revenue	9,841,782	10,263,283	11,150,280	11,552,365
Federal Funds	1,067,498	863,859	1,368,823	1,149,839
Restricted Receipts	21,234	104,374	98,149	25,000
Other Funds	-	8,214	459,400	-
<b>Total Expenditures</b>	<b>\$10,930,514</b>	<b>\$11,239,730</b>	<b>\$13,076,652</b>	<b>\$12,727,204</b>
<b>Program Measures</b>				
Percentage of Davies Students Who Drop-Out	9.0%	4.8%	5.0%	5.0%

# The Program

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## **Department of Elementary and Secondary Education Rhode Island School for the Deaf**

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### **Program Operations**

The program is designed to operate the Rhode Island School for the Deaf. The primary purpose of the school is to make the benefits of public education accessible to the hearing- and/or speech-impaired children of Rhode Island. The Board of Regents, which is responsible for the educational and internal affairs of the school, appoints the Board of Trustees. Services through the school are open to children who are hearing- and/or or speech-impaired and require special services. Children are eligible for services from birth to age 21.

### **Program Objectives**

Improve learning outcomes for hearing and/or speech-impaired students, including those with additional disabilities, by providing diagnostic and educational services for students in attendance at the Rhode Island School for the Deaf, and through a Hearing/Screening Center, for students in public and private schools, and support services to families.

### **Statutory History**

Title 16, Chapter 24, Sections 1-11 of the Rhode Island General Laws refers to the Rhode Island School for the Deaf.

# The Budget

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## Department of Elementary and Secondary Education Rhode Island School for the Deaf

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	6,091,983	5,415,131	6,536,450	6,058,686
Other State Operations	374,310	355,541	340,248	270,328
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	423,607	350,070	243,814	750
<b>Subtotal: Operating Expenditures</b>	<b>6,889,900</b>	<b>6,120,742</b>	<b>7,120,512</b>	<b>6,329,764</b>
Capital Improvements	5,584	-	483,992	110,408
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>6,895,484</b>	<b>6,120,742</b>	<b>7,604,504</b>	<b>6,440,172</b>
<b>Expenditures By Funds</b>				
General Revenue	5,800,837	5,186,255	5,842,046	5,539,792
Federal Funds	1,083,451	934,487	1,278,466	789,972
Other Funds	11,196	-	483,992	110,408
<b>Total Expenditures</b>	<b>\$6,895,484</b>	<b>\$6,120,742</b>	<b>\$7,604,504</b>	<b>\$6,440,172</b>
<b>Program Measures</b>				
Percentage of Students Achieving the Standard in Writing in Grade 3	0.0%	10.0%	12.5%	NA

# The Program

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## Department of Elementary and Secondary Education Metropolitan Career and Technical School

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### Program Operations

The Metropolitan Regional Career and Technical Center (the “Met”) is now in its fifth year of operation. The school provides students in grades 9-12 with comprehensive educational support to explore career opportunities in a real world setting, and prepares them for either continued education or immediate employment upon graduation. The Metropolitan Regional Career and Technical Center operates as a local education agency and is governed by a Board of Trustees. With the exception of those powers and duties reserved by the Director, the Commissioner and the Board of Regents, the Board of Trustees has the powers and duties of school committees.

### Program Objectives

Improve student performance by providing students with the work and community-based opportunities required for career and college preparation that will equip them to compete and succeed in today’s world and the world of the future, and by decreasing the number of students who drop out of school prior to graduation.

Improve student performance by providing integrated academic and vocational curricula and up-to-date technology programs to meet the individual needs of each student in the school.

Establish strong partnerships with families, business, industry, postsecondary education and the community in order to enhance students’ postsecondary success by providing real world work experience.

### Statutory History

Title 16, Chapter 45, Sections 1-9 of the Rhode Island General Laws refers to vocational education.

# The Budget

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## Department of Elementary and Secondary Education Metropolitan Career and Technical School

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	FY 2002 Actual	FY 2003* Actual	FY 2004* Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	2,155,000	3,982,350	5,750,000	7,839,970
Aid To Local Units Of Government	2,155,000	3,982,350	5,750,000	7,839,970
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>2,155,000</b>	<b>3,982,350</b>	<b>5,750,000</b>	<b>7,839,970</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>2,155,000</b>	<b>3,982,350</b>	<b>5,750,000</b>	<b>7,839,970</b>
<b>Expenditures By Funds</b>				
General Revenue	2,155,000	3,982,350	5,750,000	7,839,970
<b>Total Expenditures</b>	<b>\$2,155,000</b>	<b>\$3,982,350</b>	<b>\$5,750,000</b>	<b>\$7,839,970</b>
<b>Program Measures</b>				
Percentage of Metropolitan School Students Who Drop-Out	1.0%	1.0%	1.0%	1.0%

\*Financial data for FY 2003 and FY 2004 is included in the Education Aid program and displayed as a subprogram.  
Performance measures are reflected here to avoid duplication.

# The Program

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## Department of Elementary and Secondary Education Education Aid

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### Program Operations

The program proposes a system of education aid that is based on adequacy of support of equity of distribution based on student need and a community's ability to support education. Actual operation of the system is conducted in accord with enacted legal requirements.

Education aid programs include general aid, Charter School Aid, Targeted Aid, and On-Site Visits/Accountability. Beginning in FY 1989, a small percentage of operations aid was targeted to literacy programs and activities. In FY 1998, several investment funds were created to distribute education aid in addition to the programs listed above. These funds are for student equity, instructional equity, technology, early childhood education, language assistance programs and professional development. In FY 2001, these investment funds were expanded to include vocational equity, textbook reimbursements, all day kindergarten, progressive support and intervention at the Hasbro Children's Hospital. In FY 2002, a new fund was added to reimburse those districts education children in group home placements.

### Program Objectives

State aid will be linked via school district strategic planning efforts to activities that increase student performance in reading, language arts and mathematics.

School districts with low performing schools will work with the Department of Education to use state aid in conjunction with local and federal resources to increase student and school performance.

### Statutory History

Title 16 Chapter 1 of the Rhode Island General Laws establishes and provides for the organization and functions of the Department.

# The Budget

## Department of Elementary and Secondary Education Education Aid

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	668,757	95,765	-	-
Other State Operations	105,526	2,333	2,573	1,968,578
Aid To Local Units Of Government	575,907,402	600,491,330	618,344,771	615,864,102
Assistance, Grants and Benefits	200,000	200,000	500,000	200,000
<b>Subtotal: Operating Expenditures</b>	<b>\$576,881,685</b>	<b>\$600,789,428</b>	<b>\$618,847,344</b>	<b>\$ 618,032,680</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$576,881,685</b>	<b>\$600,789,428</b>	<b>\$618,847,344</b>	<b>\$618,032,680</b>
<b>Expenditures By Funds</b>				
General Revenue	576,234,609	598,031,998	617,346,844	615,485,936
Federal Funds	647,076	2,757,430	1,500,500	578,744
Restricted Receipts	-	-	-	1,968,000
<b>Total Expenditures</b>	<b>\$576,881,685</b>	<b>\$600,789,428</b>	<b>\$618,847,344</b>	<b>\$618,032,680</b>
<b>Program Measures</b>				
Average Index Proficiency Score for English Language Arts - Middle Level	77.9	78.2	79.1	79.3
Average Index Proficiency Score for Mathematics - Middle Level	63.1	68.3	69.1	69.6
Average Index Proficiency Score for English Language Arts - High School Level	74.8	75.2	78.3	76.9
Average Index Proficiency Score for Mathematics - High School Level	61.0	62.3	69.2	70.5
Percentage of RI High School Students who Graduate from the 12th Grade	71.4%	71.4%	75.3%	75.3%
Average Annual Attendance Rate for Elementary Schools	93.8%	94.8%	95.0%	95.5%
Average Annual Attendance Rate for Middle Schools	93.1%	93.3%	94.0%	94.5%

# The Program

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## Department of Elementary and Secondary Education Central Falls School District

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### Program Operations

The Central Falls School District provides a comprehensive educational program for students in grades pre-K through 12. The system, which is fully funded by the state, operates under the governance of a Board of Trustees appointed by the Board of Regents. A very high percentage of students are eligible for free lunch; there is broad language, cultural, and racial diversity; a large number of students are eligible for English-as-a-second-language services. The district's mobility rate is very high and the expenditure for general instruction is significantly lower than the state average.

### Program Objectives

Improve student performance by providing a comprehensive education program for students in grades pre-K-12 in the Central Falls School District and by decreasing the number of students who drop out of school prior to graduation.

Improve student performance by promoting standards-based curriculum and setting high expectations for each individual student and every kind of learner.

Provide teachers with ongoing professional development opportunities geared to school improvement.

Continue to engage families and to promote community linkage with a focus on their children's learning.

Collaborate with the Children's Cabinet to expand early childhood care and education programs and services.

### Statutory History

Title 16, Chapter 16, Section 11 of the Rhode Island General Laws refers to the powers of the Department after taking over town schools.

# The Budget

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## Department of Elementary and Secondary Education Central Falls School District

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	FY 2002 Actual	FY 2003* Actual	FY 2004* Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	-	-	-	-
Aid To Local Units Of Government	33,265,962	34,430,272	35,635,332	35,991,685
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$ 33,265,962</b>	<b>\$ 34,430,272</b>	<b>\$ 35,635,332</b>	<b>\$ 35,991,685</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$ 33,265,962</b>	<b>\$ 34,430,272</b>	<b>\$ 35,635,332</b>	<b>\$ 35,991,685</b>
 <b>Expenditures By Funds</b>				
General Revenue	33,265,962	34,430,272	35,635,332	35,991,685
<b>Total Expenditures</b>	<b>\$33,265,962</b>	<b>\$34,430,272</b>	<b>\$35,635,332</b>	<b>\$35,991,685</b>

### Program Measures

Percentage of Central Falls Students Who Drop-Out	33.0%	32.0%	31.0%	30.8%
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\*Financial data for FY 2003 and FY 2004 is included in the Education Aid program and displayed as a subprogram.  
Performance measures are reflected here to avoid duplication.

# The Program

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## Department of Elementary and Secondary Education Housing Aid

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### Program Operations

The Department calculates and disburses funds for school housing aid. This aid is provided in order to guarantee adequate school facilities for all public school children in the state, and to prevent the cost of school repair and construction from interfering with the effective operation of the schools. The program proposes support of school district building and infrastructure needs based on reimbursement of successfully completed locally funded capital projects. The reimbursement is linked to the wealth of the districts, with poorer communities receiving a higher reimbursement than wealthy districts. The minimum reimbursement is 30 percent, with bonuses for regional school districts, and for projects involving asbestos abatement, handicapped accessibility, and energy conservation work. For the first time a bonus will also be offered to school districts that incurred eligible capital costs for its vocational schools. Capital repairs, renovations, and new construction are all covered by this program.

### Program Objectives

The state will successfully support the capital needs of school districts in an equitable fashion.

### Statutory History

Title 16, Chapter 7, Sections 35 through 47 established and describes the operation of the school housing aid reimbursement program.

# The Budget

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## Department of Elementary and Secondary Education Housing Aid

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	-	-	-	-
Aid To Local Units Of Government	33,222,193	38,232,357	40,634,116	44,737,193
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$33,222,193</b>	<b>\$38,232,357</b>	<b>\$40,634,116</b>	<b>\$44,737,193</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$33,222,193</b>	<b>\$38,232,357</b>	<b>\$40,634,116</b>	<b>\$44,737,193</b>
<b>Expenditures By Funds</b>				
General Revenue	33,222,193	38,232,357	40,634,116	44,737,193
<b>Total Expenditures</b>	<b>\$33,222,193</b>	<b>\$38,232,357</b>	<b>\$40,634,116</b>	<b>\$44,737,193</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Program

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## Department of Elementary and Secondary Education Teachers' Retirement

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### Program Operations

Membership in the state retirement system for teachers began on July 1, 1949. All persons who became teachers on or after that date became members of the state retirement system as a condition of their employment. Funds for the state's contribution to teachers' retirement are appropriated to the Department of Elementary and Secondary Education for transfer to the state retirement fund. The state pays 40 percent of the total employer's (i.e. district's) share of the retirement contribution due each year. The employer's share is determined annually, based on actuarial reports produced by the State Employees' Retirement System.

### Program Objectives

Fund the state's contribution to the state retirement system for teachers.

### Statutory History

Title 16, Chapter 13 of the Rhode Island General Laws refers to the teachers' retirement program.

# The Budget

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## Department of Elementary and Secondary Education Teachers' Retirement

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	-	-	-	-
Other State Operations	611,990	-	-	-
Aid To Local Units Of Government	30,652,207	38,072,353	46,212,606	52,583,171
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>31,264,197</b>	<b>38,072,353</b>	<b>46,212,606</b>	<b>52,583,171</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$31,264,197</b>	<b>\$38,072,353</b>	<b>\$46,212,606</b>	<b>\$52,583,171</b>
<b>Expenditures By Funds</b>				
General Revenue	31,264,197	38,072,353	46,212,606	52,583,171
<b>Total Expenditures</b>	<b>\$31,264,197</b>	<b>\$38,072,353</b>	<b>\$46,212,606</b>	<b>\$52,583,171</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Agency

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## Public Higher Education

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### Agency Operations

The Rhode Island Board of Governors for Higher Education has as its mission the promotion and operation of an excellent, efficient, affordable system of higher education from the community college through the graduate and professional school levels. The primary purposes of the System are to provide access to higher education and to enrich the intellectual, economic, social and cultural life of the community. The System consists of three major institutions located at eight different campuses across the state of Rhode Island.

The Board consists of twelve public members appointed by the Governor; the Chair of the Board of Regents for Elementary/Secondary Education; and Chairs of the Finance Committees of the House and the Senate. The Board is staffed by the Office of Higher Education under the direction of the Commissioner who also serves as Chief Executive Officer of the board.

The Office of Higher Education is the administrative and research arm of the Board of Governors. The Office is organized into four units: Academic and Student Affairs, External Affairs, Legal and Labor Relations, and Finance and Management. Its principal responsibilities include: the preparation of a public higher education budget and capital development program and the development of policies in the pursuit of the primary goal of improving overall educational attainment in the state through a commitment to excellence, opportunity and access, diversity and responsiveness, coordination, and accountability in public higher education.

### Statutory History

In 1981, The Rhode Island Legislature reorganized the governance of education in Rhode Island, in effect dividing the Board of Regents, then responsible for education from kindergarten through the graduate level, into two governing units. The Board of Regents, which retained responsibility for Elementary/Secondary Education, and the Board of Governors, which was given responsibility for higher education. The Legislature held that the Board of Governors not be a Department of State government, but rather an independent public corporation which acts in concert and cooperation with the executive and legislative branches in the best interest of public higher education. This legal independence applies not only to matters of educational policy, but also to fiscal and budgetary matters, personnel administration and property ownership and control.

# The Budget

## Public Higher Education

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Board of Governors/OHE	8,453,821	8,375,562	9,066,764	8,382,199
University of Rhode Island	357,177,293	386,070,057	395,409,071	412,140,032
Rhode Island College	95,999,958	98,865,464	109,999,933	108,822,640
Community College of Rhode Island	81,891,516	85,115,787	87,522,399	94,236,417
<b>Total Expenditures</b>	<b>\$543,522,588</b>	<b>\$578,426,870</b>	<b>\$601,998,167</b>	<b>\$623,581,288</b>
<b>Expenditures By Object</b>				
Personnel	315,077,173	338,050,244	348,737,426	360,697,876
Other State Operations	114,338,025	123,362,924	127,733,631	134,639,298
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	95,676,926	103,093,062	107,600,536	115,688,485
<b>Subtotal: Operating Expenditures</b>	<b>\$525,092,124</b>	<b>\$564,506,230</b>	<b>\$584,071,593</b>	<b>\$611,025,659</b>
Capital Improvements	18,430,464	13,920,640	17,926,574	12,555,629
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$543,522,588</b>	<b>\$578,426,870</b>	<b>\$601,998,167</b>	<b>\$623,581,288</b>
<b>Expenditures By Funds</b>				
General Revenue	174,472,786	169,439,718	172,061,647	174,530,556
Federal Funds	2,550,660	2,829,215	3,021,372	2,807,354
Restricted Receipts	-	-	-	44,685
Other Funds	366,499,142	406,157,937	426,915,148	446,198,693
<b>Total Expenditures</b>	<b>\$543,522,588</b>	<b>\$578,426,870</b>	<b>\$601,998,167</b>	<b>\$623,581,288</b>
<b>FTE Authorization</b>	<b>3,589.9</b>	<b>3,487.4</b>	<b>3,472.1</b>	<b>3,542.7</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	10.2%	11.0%	19.0%	19.0%
Females as a Percentage of the Workforce	54.0%	56.7%	73.4%	73.4%

# The Program

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## **Public Higher Education Board of Governors/Office of Higher Education**

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### **Program Operations**

The Board of Governors for Higher Education was established by the General Assembly in 1981.

### **Program Objective**

To ensure that public higher education remains economically and geographically accessible to all qualified residents in Rhode Island; deliver public higher education programs on a cost-effective basis; remain coordinated in program offerings, responsive in services and accountable in operations and to pursue the major goal of improving educational attainment of Rhode Islanders as outlined below:

Improve the preparation of Rhode Island residents to succeed in higher education by working with our K-12 partners to create a more seamless PK-16/20 system.

Improve participation and graduation rates in higher education by improving access for under-represented populations, increasing enrollment, supporting student persistence to graduation, and keeping the system affordable.

Produce a more competitive workforce through emphasis on quality education by ensuring academic quality, expanding opportunities for graduate education, linking our work closely with the business community, and promoting lifelong learning opportunities.

Promote economic development and social well being through education, research, public service and use of technology. Higher education will increasingly emphasize using research to create new industries and will enhance social service, community partnerships and service learning.

### **Statutory History**

The Board of Governors is established under the Rhode Island General Laws 16-59 and is successor to the authority previously exercised over public higher education by the Board of Regents for Education until 1981. At that time, the Board of Regents was abolished and its authority vested in three new entities: The Board of Governors for Higher Education; the Board of Regents for Elementary/Secondary Education as designated in R.I.G.L. 26-60; and the Rhode Island Public Telecommunications Authority as designated in Rhode Island General Laws 16-61.

# The Budget

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## Public Higher Education Board of Governors/Office of Higher Education

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	2,012,959	1,988,661	2,046,328	1,835,040
Other State Operations	1,756,725	1,803,821	1,837,864	1,791,698
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	4,684,137	4,583,080	5,182,572	4,755,461
<b>Subtotal: Operating Expenditures</b>	<b>\$8,453,821</b>	<b>\$8,375,562</b>	<b>\$9,066,764</b>	<b>\$8,382,199</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$8,453,821</b>	<b>\$8,375,562</b>	<b>\$9,066,764</b>	<b>\$8,382,199</b>
<b>Expenditures By Funds</b>				
General Revenue	5,903,161	5,546,347	6,045,392	5,574,845
Federal Funds	2,550,660	2,829,215	3,021,372	2,807,354
<b>Total Expenditures</b>	<b>\$8,453,821</b>	<b>\$8,375,562</b>	<b>\$9,066,764</b>	<b>\$8,382,199</b>
<b>Program Measures</b>	NC	NC	NC	NC

# The Program

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## **Public Higher Education University of Rhode Island**

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### **Program Operations**

The University of Rhode Island is the principal public research and graduate institution in the State of Rhode Island with responsibilities for expanding knowledge, for transmitting it, and for fostering its application. Its status as a land grant, sea grant, and urban grant institution highlights its traditions of natural resource, marine, and urban related research. The University is committed to providing strong undergraduate programs to promote students' ethical development and capabilities as critical and independent thinkers. To meet student and societal needs, it offers undergraduate professional education programs in a wide range of disciplines. Graduate programs provide rigorous advanced study and research opportunities for personal and professional development. With undergraduate and graduate programs in the liberal arts and sciences and focus programs in the areas of marine and environmental studies; health; children, families, and communities; and enterprise and advanced technology, the University strives to meet the rapidly changing needs of the State, the country, and the world.

### **Program Objective**

Fulfill the education mission of the University by providing traditional and non-traditional opportunities for education at the undergraduate and graduate levels, conduct research, and support other scholarly activities.

### **Statutory History**

Rhode Island General Laws 16-31 and 32 relate to the University of Rhode Island.

# The Budget

## Public Higher Education University of Rhode Island

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	189,185,150	206,491,442	211,153,848	218,007,048
Other State Operations	81,689,711	88,858,701	94,572,128	97,503,224
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	72,426,451	79,298,199	82,363,434	89,482,689
<b>Subtotal: Operating Expenditures</b>	<b>\$343,301,312</b>	<b>\$374,648,342</b>	<b>\$388,089,410</b>	<b>\$404,992,961</b>
Capital Improvements	13,875,981	11,421,715	7,319,661	7,147,071
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$357,177,293</b>	<b>\$386,070,057</b>	<b>\$395,409,071</b>	<b>\$412,140,032</b>
<b>Expenditures By Funds</b>				
General Revenue	84,243,483	81,930,301	82,847,840	81,929,378
Other Funds	272,933,810	304,139,756	312,561,231	330,210,654
<b>Total Expenditures</b>	<b>\$357,177,293</b>	<b>\$386,070,057</b>	<b>\$395,409,071</b>	<b>\$412,140,032</b>
<b>Program Measures</b>				
Full-Time Faculty to Student Ratio	1:20	1:20	1:20	1:20
Percentage Change in Tuition and Mandatory Fees	4.5%	8.7%	5.7%	11.5%
Minority Enrollment - African Americans	3.6%	3.9%	3.9%	3.9%
Minority Enrollment - Hispanics	3.5%	3.8%	3.8%	3.7%
Minority Enrollment - Native Americans	0.4%	0.4%	0.4%	0.3%
Minority Enrollment - Asians	3.1%	3.0%	3.0%	3.0%
Percentage Nursing Students Passing State Licensing Exams	81.7%	76.2%	92.2%	92.2%

# The Program

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## **Public Higher Education Rhode Island College**

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### **Program Operations**

Rhode Island College is the State's comprehensive public institution of higher education. Its primary mission is to make its academic programs available to any qualified resident of Rhode Island who can benefit from its educational services. The College offers programs of study in that array of disciplines traditionally considered as the liberal arts, including humanities, social sciences, sciences, and fine arts, and a broad array of professional offerings in the health and helping professions, education, management, and technology. Selected curricula are offered at the graduate level within the liberal arts and in applied and practitioner oriented fields within the service sector. Liberal education refers to learning opportunities designed to ensure that students have a breadth of educational experiences, which foster the development of insights and multiple perspectives on ideas, issues, and events as well as an appreciation of the interconnectedness of fields of knowledge, and the value dimensions of the human condition.

### **Program Objective**

The College is dedicated to making a high quality education accessible to a diverse group of students. Through its educational social and cultural programs and activities, the College seeks to contribute to the professional mobility and advancement of its students and alumni, to the economic development of the State of Rhode Island, and to the enrichment of the larger community.

### **Statutory History**

Rhode Island General Laws 16-31, 33 relate to Rhode Island College.

# The Budget

## Public Higher Education Rhode Island College

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	67,217,438	70,016,988	73,332,018	75,118,134
Other State Operations	16,790,127	17,476,456	16,873,784	19,135,485
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	9,683,475	9,844,389	11,126,952	11,754,463
<b>Subtotal: Operating Expenditures</b>	<b>\$93,691,040</b>	<b>\$97,337,833</b>	<b>\$101,332,754</b>	<b>\$106,008,082</b>
Capital Improvements	2,308,918	1,527,631	8,667,179	2,814,558
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$95,999,958</b>	<b>\$98,865,464</b>	<b>\$109,999,933</b>	<b>\$108,822,640</b>
<b>Expenditures By Funds</b>				
General Revenue	43,582,992	42,361,669	42,920,876	43,901,200
Other Funds	52,416,966	56,503,795	67,079,057	64,921,440
<b>Total Expenditures</b>	<b>\$95,999,958</b>	<b>\$98,865,464</b>	<b>\$109,999,933</b>	<b>\$108,822,640</b>
<b>Program Measures</b>				
Full-Time Faculty to Student Ratio	1:21	1:21	1:21	1:21
Percentage Change in Tuition and Mandatory Fees	4.4%	6.8%	6.2%	9.7%
Minority Enrollment - African Americans	3.5%	3.7%	3.7%	3.7%
Minority Enrollment - Hispanics	4.1%	4.1%	4.1%	4.2%
Minority Enrollment - Native Americans	0.3%	0.3%	0.3%	0.4%
Minority Enrollment - Asians	2.0%	2.0%	2.0%	2.0%
Percentage Nursing Students Passing State Licensing Exams	72.9%	93.1%	95.2%	95.2%

# The Program

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## **Public Higher Education Community College of Rhode Island**

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### **Program Operations**

The Community College of Rhode Island is the largest public, two-year degree granting college in New England. The Community College provides a variety of vocational, technical and academic programs at campuses in Warwick, Lincoln and Providence.

As a community based college, the Community College is also committed to providing a wide range of programs, workshops and seminars to benefit area students, businesses and governmental agencies. In every sense, the Community College of Rhode Island strives to meet the educational needs of the commuters and the people of the state.

### **Program Objective**

Fulfill the education mission of the Community College by providing academic transfer and career-oriented training of the highest caliber giving special attention to the quality of offerings and effectiveness of instruction and offering students support services necessary to achieve their educational goals.

### **Statutory History**

Rhode Island General Laws 16-31, 33.1, and 44 relate to the Community College of Rhode Island.

# The Budget

## Public Higher Education Community College of Rhode Island

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	56,661,626	59,553,153	62,205,232	65,737,654
Other State Operations	14,101,462	15,223,946	14,449,855	16,208,891
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	8,882,863	9,367,394	8,927,578	9,695,872
<b>Subtotal: Operating Expenditures</b>	<b>\$79,645,951</b>	<b>\$84,144,493</b>	<b>\$85,582,665</b>	<b>\$91,642,417</b>
Capital Improvements	2,245,565	971,294	1,939,734	2,594,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$81,891,516</b>	<b>\$85,115,787</b>	<b>\$87,522,399</b>	<b>\$94,236,417</b>
<b>Expenditures By Funds</b>				
General Revenue	40,743,150	39,601,401	40,247,539	43,125,133
Restricted Receipts	-	-	-	44,685
Other Funds	41,148,366	45,514,386	47,274,860	51,066,599
<b>Total Expenditures</b>	<b>\$81,891,516</b>	<b>\$85,115,787</b>	<b>\$87,522,399</b>	<b>\$94,236,417</b>
<b>Program Measures</b>				
Full-Time Faculty to Student Ratio	1:33	1:32	1:32	1:32
Percentage Change in Tuition and Mandatory Fees	2.7%	8.6%	5.3%	12.0%
Minority Enrollment - African Americans	5.8%	6.4%	6.4%	6.4%
Minority Enrollment - Hispanics	8.1%	8.5%	8.5%	8.9%
Minority Enrollment - Native Americans	0.5%	0.6%	0.6%	0.5%
Minority Enrollment - Asians	2.3%	2.3%	2.3%	2.3%
Percentage Nursing Students Passing State Licensing Exams (RN)	91.7%	87.9%	87.8%	87.8%
Percentage Nursing Students Passing State Licensing Exams (LPN)	88.0%	84.0%	87.5%	87.5%

# The Agency

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## Rhode Island Council on the Arts

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### Agency Operations

The Rhode Island State Council on the Arts was established in 1967. It provides grants, technical assistance and support to arts organizations, schools, community centers, social service organizations, and local governments to bring the arts into the lives of Rhode Islanders. For effective delivery of services to the field, the agency's activities are guided by three core functions: grant-making, partnerships, and facilitation.

Funding within the agency's various grant categories allows the council to address its primary function by awarding grants to non-profit organizations and providing arts programming to support non-profit organizations, schools and individual artists.

The second core function refers to developing strategic partnerships with major institutions and entities from the public and private sectors in order to broaden support for the arts. On-going, formal partnerships that increase resources to Rhode Island are: The National Endowment for the Arts, the New England Foundation for the Arts, the Rhode Island Foundation, the Alliance for Arts Education, Business Volunteers for the Arts, and the Rhode Island State Department of Elementary and Secondary Education.

The third core function of the council includes providing technical assistance and information services. These services include management assistance to individual artists and arts organizations, workshops and general dissemination of information through a wide range of publications.

The Art for Public Facilities Program allows the council to acquire works of art for state buildings regularly accessible to the general public in order to expand the public experience of art. The council coordinates the process for commissioning art in public facilities in compliance with the "Allocation for Art for Public Facilities" Act.

### Agency Objectives

To ensure that the arts in Rhode Island communities continue to grow and play an increasing role in the welfare and educational experience of Rhode Islanders.

### Statutory History

R.I.G.L. 42-75 defines the role of the council.

# The Budget

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## Rhode Island Council on the Arts

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Operating Support	927,824	900,194	1,273,131	1,336,038
Grants	1,270,423	1,312,194	1,838,272	1,516,526
<b>Total Expenditures</b>	<b>2,198,247</b>	<b>2,212,388</b>	<b>3,111,403</b>	<b>2,852,564</b>
<b>Expenditures By Object</b>				
Personnel	403,368	404,940	686,179	679,681
Other State Operations	88,119	79,552	88,773	90,159
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,706,760	1,727,896	2,336,451	2,082,724
<b>Subtotal: Operating Expenditures</b>	<b>\$2,198,247</b>	<b>\$2,212,388</b>	<b>\$3,111,403</b>	<b>\$2,852,564</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,198,247</b>	<b>\$2,212,388</b>	<b>\$3,111,403</b>	<b>\$2,852,564</b>
<b>Expenditures By Funds</b>				
General Revenue	1,638,620	1,679,661	2,300,441	1,972,064
Federal Funds	519,827	575,300	610,962	680,500
Restricted Receipts	39,800	(42,573)	200,000	200,000
<b>Total Expenditures</b>	<b>\$2,198,247</b>	<b>\$2,212,388</b>	<b>\$3,111,403</b>	<b>\$2,852,564</b>
<b>FTE Authorization</b>	<b>6.0</b>	<b>6.0</b>	<b>7.0</b>	<b>7.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	83.3%	83.3%	71.4%	71.4%
<b>Program Measures</b>				
Percentage of Individuals Benefiting by Council-Assisted Programs	154.5%	107.4%	120.0%	115.0%
Number of Artists Participating in Council-Assisted Programs	28,066	14,122	13,500	13,750

# The Agency

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## **Rhode Island Atomic Energy Commission**

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### **Agency Operations**

The Rhode Island Nuclear Science Center (RINSC) is used for medical, biological, environmental, and materials research, education and commercial activities. In addition, the staff runs the radiation safety program for the University of Rhode Island and provides technical and radiological support to the Rhode Island Health Department. The Director serves on the State Radiation Advisory Commission and has taken over responsibility for low-level radioactive waste disposal activities.

The Center's state-of-the-art analytic laboratories and equipment are currently being used for by Microinorganics Inc. for several environmental monitoring programs sponsored by the Department of Environmental Management, the Narragansett Bay Commission and other agencies. The facility recently completed a multi-year, three million dollar reactor upgrade program that has been financed through Department of Energy Grants. In 1993, the reactor was converted to a new low enriched uranium fuel system that has greatly reduced security requirements and associated costs while providing a significant improvement in performance. Subsequent grants have resulted in the addition of required mechanical and electronic equipment necessary to substantially increase reactor capability. These improvements will permit the RINSC to compete successfully for production of medical isotopes and will provide the necessary neutron flux to conduct Neutron Capture Therapy that is a promising new method of curing brain cancer and skin cancer. Engineering, design and fabrication work is currently in progress for the construction of a cancer treatment facility and researchers at Brown University and RINSC have received a grant to develop new compounds for use at this facility. This multi-year grant is a collaborative effort with the Massachusetts Institute of Technology (MIT) to develop a successful treatment for one of the most deadly forms of brain cancer.

A new building for the production of sensors for weapons of mass destruction is under construction and is being financed by SubChem Systems Inc. RI Consultants has constructed a laboratory in the facility for proof of concept work in the area of radiopharmaceutical applications to prevent clogging of arteries after angioplasty and for new targets for accelerators to produce specialized radio-isotopes. BioPAL Incorporated is making extensive use of the reactor to conduct analysis of medical samples for a variety of treatment and research purposes, including eliminating the dose to patients during diagnostic treatments. RINSC is located at the University of Rhode Island, Bay Campus, in Narragansett. The Center contains a state-of-the-art nuclear counting system, laboratories, a mass spectrometer, a class 100 clean room and facilities for handling and storage of radioactive material. The Rhode Island Nuclear Science Center has operated on a daily basis without incident since 1962.

### **Agency Objectives**

To operate and maintain the facilities at the RINSC to support projects in all areas and to actively seek commercial projects; and to provide assistance to other state agencies in their radiation and emergency response programs.

### **Statutory History**

R.I.G.L. 42-27 establishes the commission for matters relating to nuclear power.

# The Budget

## Rhode Island Atomic Energy Commission

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	670,338	742,984	774,515	805,344
Other State Operations	100,599	61,863	305,268	403,869
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	100,000	-
<b>Subtotal: Operating Expenditures</b>	<b>\$770,937</b>	<b>\$804,847</b>	<b>\$1,179,783</b>	<b>\$1,209,213</b>
Capital Improvements	18,540	-	-	55,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$789,477</b>	<b>\$804,847</b>	<b>\$1,179,783</b>	<b>\$1,264,213</b>
<b>Expenditures By Funds</b>				
General Revenue	631,147	664,107	702,450	727,045
Federal Funds	8,881	(2,558)	325,000	325,000
Other Funds	149,449	143,298	152,333	212,168
<b>Total Expenditures</b>	<b>\$789,477</b>	<b>\$804,847</b>	<b>\$1,179,783</b>	<b>\$1,264,213</b>
<b>FTE Authorization</b>	<b>8.6</b>	<b>8.6</b>	<b>8.6</b>	<b>8.6</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	12.5%	25.0%	25.0%	33.3%
<b>Program Measures</b>				
Actual Megawatt Research Hours Spent as a Percentage of Megawatt Research Hour Goal of 2,000	25.0%	50.0%	15.0%	20.0%
Pneumatic Irradiations Provided Annually	123.0%	100.0%	90.0%	95.0%

# The Agency

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## Rhode Island Higher Education Assistance Authority

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### Agency Operations

The Higher Education Assistance Authority administers three major programs. The Scholarship and Grant Program includes the State Grant Program, the scholar recognition program, the campus-based work opportunity program, a student intern program, and a student community service program. The Loan Program includes the Federal Family Education Loan program (FFELP), and the Health Professions Contract program. The *CollegeBondfund*, a “qualified state tuition program” under section 529 of the IRS code, encourages qualified students, parents, and others responsible for paying the cost of higher education to plan/save for college by investing contributions that will grow tax free each year until applied toward college costs.

The Authority is governed by an eleven (11) member Board of Directors, five (5) of whom are appointed by the Governor for staggered terms; two (2) who represent the finance committees of the House and Senate (one each), two (2) representing the Board of Governors for Higher Education, the State General Treasurer or his/her designee, and the chairperson of the Rhode Island Independent Higher Education Association or his/her designee. The Board of Directors elects its own chairperson and other officers and appoints an Executive Director to head the authority. The authority receives its funding from the general fund and from several non-state sources, including the federal government. State general revenues fund the scholarship, grant, and student employment programs, as well as the administrative costs for these programs. A small amount of federal funds (LEAP/SLEAP) is also received for direct grants for students. Revenues from program operations and cost reimbursements from the federal government fund the entire Loan Program, and proceeds from program operations fund all of the expenses associated with the College Savings Program.

### Agency Objectives

The Higher Education Assistance Authority was created to provide a system of financial assistance programs, consisting of the Scholarship and Grant Program, Loan Guarantees, College Savings Program, and other aids, which promote opportunity for post-secondary education by providing financial assistance to qualified students, or parents, and others responsible for paying the cost of education, who are restricted from participating in post secondary education because of insufficient financial resources, to help them meet their educational/career goals beyond the high school level.

### Statutory History

The Higher Education Assistance Authority was created in 1977 to administer the grant, scholarship, and loan programs for the State of Rhode Island, replacing an existing loan entity and assuming some functions of the Department of Education. R.I.G.L. 16-56 and 57 establish and provide for the organization and functions of the Authority.

# The Budget

## Rhode Island Higher Education Assistance Authority

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Scholarship and Grants	7,225,885	6,253,261	11,434,294	10,331,861
Loans	4,491,168	4,759,721	6,910,320	7,672,558
Tuition Savings	1,162,902	3,367,485	5,336,777	5,413,273
<b>Total Expenditures</b>	<b>\$12,879,955</b>	<b>\$14,380,467</b>	<b>\$23,681,391</b>	<b>\$23,417,692</b>
<b>Expenditures By Object</b>				
Personnel	3,919,303	4,388,779	5,819,589	6,255,359
Other State Operations	1,488,122	1,884,950	2,827,205	3,143,569
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	7,472,530	8,106,738	15,034,597	14,018,764
<b>Subtotal: Operating Expenditures</b>	<b>\$12,879,955</b>	<b>\$14,380,467</b>	<b>\$23,681,391</b>	<b>\$23,417,692</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$12,879,955</b>	<b>\$14,380,467</b>	<b>\$23,681,391</b>	<b>\$23,417,692</b>
<b>Expenditures By Funds</b>				
General Revenue	6,934,216	6,024,022	11,058,022	9,955,589
Federal Funds	4,782,837	4,988,960	7,286,592	8,048,830
Restricted Receipts	-	-	-	-
Other Funds	1,162,902	3,367,485	5,336,777	5,413,273
<b>Total Expenditures</b>	<b>\$12,879,955</b>	<b>\$14,380,467</b>	<b>\$23,681,391</b>	<b>\$23,417,692</b>
<b>FTE Authorization</b>	<b>46.6</b>	<b>45.6</b>	<b>45.6</b>	<b>46.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	2.2%	4.5%	6.5%	6.5%
Females as a Percentage of the Workforce	71.1%	73.3%	71.7%	71.7%
<b>Program Measures</b>				
Percentage of Eligible Students Receiving Grants	51.0%	51.0%	51.0%	51.0%
Average Grant Award	\$586	\$587	\$1,219	\$1,100
State Grant as a Percentage of Unmet Need Prior to State Grants	5.6%	5.4%	11.0%	9.8%

# The Agency

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## Historical Preservation and Heritage Commission

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### Agency Operations

The Rhode Island Historical Preservation and Heritage Commission is the state office for historic preservation and for sponsorship of state heritage activities. It is Rhode Island's only statewide preservation program to identify and protect historic buildings, districts, and archeological sites. The Commission is responsible for developing a state historical preservation plan, and for survey and planning activities which provide for in-depth studies of each city and town to identify, evaluate, and record sites, structures, districts, and objects of historical, architectural, cultural, or archeological significance to the state.

The Commission has sponsored and/or coordinated: heritage festivals, heritage seminars, conferences, and symposia, and publishes scholarly and popular works relating to the social, political, and cultural development of the state. It also coordinates programs with other private or public groups or agencies that will meet the cultural needs of all Rhode Island's citizens. The Commission also organizes the operation of the Eisenhower House at Fort Adams State Park, in Newport.

The Commission develops and implements programs for the preservation of historic resources. Federal and state projects are reviewed by the commission to assess their effect on specific cultural resources. The Commission administers programs of financial assistance which include grants, loans, and tax credits for restoration projects. A wide range of information and technical assistance is provided to citizens who own historic properties or are interested in preserving the heritage of their neighborhood and community. The Commission certifies municipal historic district zoning programs which meet minimum standards and annually administers federal funds to these "certified local governments."

### Agency Objectives

Identify and protect historic and prehistoric sites, buildings, and districts throughout the State of Rhode Island and commemorate the history and culture of the state; promote and preserve the state's ethnic and cultural traditions; and provide a better understanding of the various ethnic cultures which comprise the population.

### Statutory History

Title 42, Chapter 45 of the Rhode Island General Laws established the Rhode Island Historical Preservation Commission and defined the duties of the Executive Director and Commission members. Executive Order Number 10, issued July 1, 1977 initially created the Heritage Commission. In 1981, the General Assembly enacted Title 42, Chapter 79 of the Rhode Island establishing the Commission as a permanent state agency. In the 1994 legislative session the General Assembly repealed Title 42, Chapter 79 and amended Title 42, Chapter 45 to add Heritage Commission responsibilities creating the Rhode Island Historical Preservation & Heritage Commission.

# The Budget

## Historical Preservation and Heritage Commission

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,059,992	1,144,097	1,237,274	1,283,035
Other State Operations	408,570	170,307	346,627	303,189
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	302,262	291,746	302,902	281,349
<b>Subtotal: Operating Expenditures</b>	<b>\$1,770,824</b>	<b>\$1,606,150</b>	<b>\$1,886,803</b>	<b>\$1,867,573</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,770,824</b>	<b>\$1,606,150</b>	<b>\$1,886,803</b>	<b>\$1,867,573</b>
<b>Expenditures By Funds</b>				
General Revenue	777,395	1,018,675	1,090,084	1,068,027
Federal Funds	559,257	536,718	522,827	592,746
Restricted Receipts	434,172	50,757	273,892	206,800
Other Funds	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,770,824</b>	<b>\$1,606,150</b>	<b>\$1,886,803</b>	<b>\$1,867,573</b>
<b>FTE Authorization</b>	<b>17.6</b>	<b>17.6</b>	<b>17.6</b>	<b>17.6</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	11.4%	11.4%	11.4%	11.4%
Females as a Percentage of the Workforce	71.6%	71.6%	71.6%	71.6%
<b>Program Measures</b>				
Cumulative Percentage of the Estimated 2,500 Historic Properties Nominated to the National Registry Annually	49.6%	61.6%	70.0%	80.0%
Public Attendance at Heritage Program Assisted Events Stated as a Percentage of the Base Year	123.4%	131.2%	130.0%	130.0%
Percentage of Projects Reviewed Within Fifteen Business Days of Review Request	71.3%	78.0%	80.0%	80.0%
Percentage of Completed Tax Credit Applications Reviewed Within Thirty Business Days from Time of Submission	97.7%	81.0%	90.0%	90.0%

# The Agency

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## **Rhode Island Public Telecommunications Authority WSBE-TV/Channel 36**

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### **Agency Operations**

The Rhode Island Public Telecommunications Authority is the owner and license holder for WSBE-TV/Channel 36, Rhode Island's public television station. WSBE-TV broadcasts 111 hours per week and is headed by the President and Chief Executive Officer who is responsible for the operation of all activities, including private fundraising, which partially supports the Authority's budget.

WSBE-TV provides life-long learning opportunities to all Rhode Islanders, regardless of their ability to pay, through its programming and outreach services. Approximately 98,000 K-12 school children are served by 4 ½ hours daily of in-school instructional programming that enhances and augments classroom learning. Other educational programming includes science and nature, documentaries, performing arts, history and music. A wide range of how-to programs enable adult viewers to develop skills in computer, painting, automotive repair, sewing, cooking, home improvement and other practical topics. The mandate to provide training in telecommunications is fulfilled through the internship programs in the production and technical areas. There are currently seven student interns employed at WSBE-TV.

WSBE-TV also provides "Learning Link," an interactive computer-based telecommunications system connecting schools and libraries across the state, providing forums, national and international gateways, databases and Internet access, serving students and teachers in Rhode Island. WSBE-TV has launched a program with one hundred fifty K-12 schools around the state to bring inter-active educational video into the classrooms via the Internet. This program is a full-fledged educational offering and is underwritten with private funding.

WSBE-TV is helping to ensure that children are prepared to learn when they enter school, through pre-school program services and related outreach programs. Outreach programs include literacy, AIDS education, domestic violence and other topics of community concern.

Public affairs programs are aired to increase awareness of community problems and issues, and to help our citizens learn about and cope with an increasingly complex world.

### **Agency Objectives**

Educate, inform, enlighten and entertain. Provide educational services to all citizens regardless of their ability to pay for services.

### **Statutory History**

Title 16 Chapter 21 of the Rhode Island General Laws established the Rhode Island Public Telecommunications Authority and defines the duties of its President and Chief Executive Officer.

# The Budget

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## Rhode Island Public Telecommunications Authority WSBE - TV/Channel 36

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,471,937	1,424,242	1,639,793	1,713,038
Other State Operations	207,064	207,015	268,724	274,872
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$1,679,001</b>	<b>\$1,631,257</b>	<b>\$1,908,517</b>	<b>\$1,987,910</b>
Capital Improvements	-	-	2,695,601	1,360,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,679,001</b>	<b>\$1,631,257</b>	<b>\$4,604,118</b>	<b>\$3,347,910</b>
<b>Expenditures By Funds</b>				
General Revenue	1,158,308	1,113,655	1,245,395	1,238,482
Federal Funds	-	-	555,601	-
Restricted Receipts	-	-	-	-
Other Funds	520,693	517,602	2,803,122	2,109,428
<b>Total Expenditures</b>	<b>\$1,679,001</b>	<b>\$1,631,257</b>	<b>\$4,604,118</b>	<b>\$3,347,910</b>
<b>FTE Authorization</b>	<b>22.0</b>	<b>22.0</b>	<b>22.0</b>	<b>22.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	13.6%	21.1%	19.0%	19.0%
Females as a Percentage of the Workforce	36.4%	36.8%	38.1%	38.1%
<b>Program Measures</b>	NS	NS	NS	NS

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# **Public Safety**

Attorney General  
Criminal  
Civil  
Bureau of Criminal Identification  
General

Department of Corrections  
Central Management  
Parole Board  
Institutional Custody  
Rehabilitative Services  
Internal Service Programs

Judicial Department  
Supreme Court  
Superior Court  
Family Court  
District Court  
Traffic Tribunal  
Workers' Compensation Court

Military Staff  
National Guard  
Emergency Management

E-911 Emergency Telephone System  
Fire Safety Code Board of Appeal and Review  
Rhode Island State Fire Marshal  
Commission on Judicial Tenure and Discipline  
Rhode Island Justice Commission  
Municipal Police Training Academy  
State Police  
Office of the Public Defender

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## Public Safety Function Expenditures

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	FY 2002 Audited	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditure by Object</b>				
Personnel	231,815,840	244,013,920	261,852,299	266,951,953
Other State Operations	38,223,860	35,295,924	41,470,146	37,303,496
Aid to Local Units of Government	-	-	-	-
Assistance, Grants, and Benefits	22,649,753	25,370,331	64,505,438	32,324,625
<b>Subtotal: Operating Expenditures</b>	<b>\$292,689,453</b>	<b>\$304,680,175</b>	<b>\$367,827,883</b>	<b>\$336,580,074</b>
Capital Improvements	8,325,338	1,462,782	12,150,912	12,198,445
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$301,014,791</b>	<b>\$306,142,957</b>	<b>\$379,978,795</b>	<b>\$348,778,519</b>
<b>Expenditures by Funds</b>				
General Revenue	255,749,044	267,743,036	285,632,707	290,123,208
Federal Funds	22,475,881	25,238,199	74,531,956	38,876,813
Restricted Receipts	12,158,049	9,868,227	11,356,998	12,072,758
Other Funds	10,631,817	3,293,495	8,457,134	7,705,740
<b>Total Expenditures</b>	<b>\$301,014,791</b>	<b>\$306,142,957</b>	<b>\$379,978,795</b>	<b>\$348,778,519</b>
<b>FTE Authorization</b>	<b>3,014.2</b>	<b>3,005.4</b>	<b>2,983.8</b>	<b>3,065.8</b>

# The Agency

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## Attorney General

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### Agency Operations

The Attorney General is established under the Rhode Island Constitution as one of the five general officers subject to election by the voters. As the state's central legal agency, the Department of the Attorney General is responsible for the prosecution of all felony criminal cases, misdemeanor appeals, and misdemeanor cases brought by state law enforcement action in the various district courts.

The Attorney General initiates legal action to protect the interests of the citizens of Rhode Island regarding compliance with the Open Meetings Act and the Access to Public Records Act, and protection of the public from deceptive business practices and anti-trust violations. Additionally, the Attorney General provides legal advice to state officers and represents all agencies, departments, and commissions in litigation. The State Bureau of Criminal Identification, the central repository for all criminal records in the state, is operated and maintained by the Department of the Attorney General.

The Department of the Attorney General is divided into four programs: Criminal, Civil, Bureau of Criminal Identification, and General.

### Agency Objectives

To represent the state with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases, and appellate matters within its jurisdiction.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers, and taxpayers.

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies seven days a week, twenty-four hours a day.

### Statutory History

Article IV, paragraph 4 of 9 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

## Attorney General

	FY 2002	FY 2003	FY 2004	FY 2005
	Actual	Actual	Revised	Recommended
<b>Expenditures by Program</b>				
Criminal	10,525,955	11,423,692	12,676,087	12,735,664
Civil	3,670,735	3,818,000	3,843,008	3,807,988
Bureau of Criminal Identification	682,028	831,123	1,048,444	1,065,296
General	1,506,359	1,623,410	1,840,848	1,666,836
<b>Total Expenditures</b>	<b>\$16,385,077</b>	<b>\$17,696,225</b>	<b>\$19,408,387</b>	<b>\$19,275,784</b>
<b>Expenditures By Object</b>				
Personnel	14,986,229	16,021,253	17,409,191	17,562,035
Other State Operations	1,398,099	1,672,806	1,630,813	1,510,733
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	749	1,018	205,383	203,016
<b>Subtotal: Operating Expenditures</b>	<b>\$16,385,077</b>	<b>\$17,695,077</b>	<b>\$19,245,387</b>	<b>\$19,275,784</b>
Capital Improvements	-	1,148	163,000	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$16,385,077</b>	<b>\$17,696,225</b>	<b>\$19,408,387</b>	<b>\$19,275,784</b>
<b>Expenditures By Funds</b>				
General Revenue	14,673,107	15,471,318	16,400,797	16,452,441
Federal Funds	1,174,112	1,649,252	2,299,240	2,106,318
Restricted Receipts	537,858	575,655	708,350	717,025
<b>Total Expenditures</b>	<b>\$16,385,077</b>	<b>\$17,696,225</b>	<b>\$19,408,387</b>	<b>\$19,275,784</b>
<b>FTE Authorization</b>	<b>229.0</b>	<b>227.9</b>	<b>228.5</b>	<b>228.5</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	12.7%	14.1%	14.1%	14.1%
Females as a Percentage of the Workforce	58.3%	55.5%	56.5%	57.0%

# The Program

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## Attorney General Criminal

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### Program Operations

The Criminal Program, with offices in Providence, Kent, Washington, and Newport counties, is responsible for the prosecution of felony cases and misdemeanor appeals from the district courts. The narcotics and organized crime prosecution unit is composed of legal and support staff. This unit prosecutes all narcotics and organized crime cases. The forfeiture unit handles all asset forfeiture cases. The information-charging unit prepares information for all felony cases filed in Providence County, with the exception of Grand Jury indictments. The victim/witness unit administers a comprehensive assistance program for victims and state witnesses; this unit's existence is mandated by the Victim's Bill of Rights. The diversion unit provides alternatives to court prosecution for selected first time, non-violent felony offenders. The juvenile unit is responsible for prosecuting all juveniles charged with felonies by local police and state agencies, and all adults charged with criminal child abuse. The appellate unit represents the state in all criminal appeals before the Rhode Island Supreme Court and post-conviction cases filed in federal court. The Medicaid fraud control unit prosecutes fraud and abuse by providers of Medicaid services to Rhode Island and the welfare fraud unit investigates and prosecutes all cases of welfare fraud.

### Program Objectives

To represent the state with regard to the investigation, prosecution, and trial of all felony matters, misdemeanor cases brought by state law enforcement, and appellate matters within its jurisdiction.

### Statutory History

Article IV, paragraph 4 of 9 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extradition; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

## Attorney General Criminal

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	9,699,485	10,409,562	11,395,550	11,500,993
Other State Operations	826,470	1,014,130	1,075,944	1,032,445
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	204,593	202,226
<b>Subtotal: Operating Expenditures</b>	<b>\$10,525,955</b>	<b>\$11,423,692</b>	<b>\$12,676,087</b>	<b>\$12,735,664</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$10,525,955</b>	<b>\$11,423,692</b>	<b>\$12,676,087</b>	<b>\$12,735,664</b>
<b>Expenditures By Funds</b>				
General Revenue	9,276,646	9,792,331	10,529,312	10,795,247
Federal Funds	1,092,886	1,469,648	1,891,613	1,679,781
Restricted Receipts	156,423	161,713	255,162	260,636
<b>Total Expenditures</b>	<b>\$10,525,955</b>	<b>\$11,423,692</b>	<b>\$12,676,087</b>	<b>\$12,735,664</b>
<b>Program Measures</b>				
Percentages of Cases Dismissed	7.4%	8.8%	7.0%	6.0%

# The Program

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## Attorney General Civil

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### Program Operations

The Civil Program is comprised of six separate units, each with very different responsibilities. The general litigation unit handles most lawsuits brought against the state and its employees. There are approximately 1,500 active cases, ranging from small claims to issues involving millions of dollars and constitutional claims. Additionally, this unit acts as the legal advisor for eleven boards and commissions, state departments, and agencies. The regulatory unit represents the interests of consumers before the Federal Energy Regulatory Commission, the Rhode Island Public Utilities Commission, and the Rhode Island Insurance Commissioner. The charitable trusts unit maintains records relating to the registration of charitable trusts in Rhode Island. The environmental advocacy unit enforces civil and criminal environmental laws of the state and acts as an environmental advocate on public policy issues. The Attorney General's Office provides specialized legal counsel to the Department of Human Services. The consumer protection unit monitors activity in the marketplace and represents the consumer's interest where patterns of fraud or deception have been identified. The unit investigates allegation of improper business operation and initiates settlements and enforcement actions designed to provide restitution, if required. Attempts are made to resolve consumer complaints through a mediation process.

### Program Objectives

To provide cost effective legal representation to the state, its agencies, boards and commissions.

To provide public protection on behalf of the people of Rhode Island as citizens, consumers and taxpayers.

### Statutory History

Article IV, paragraph 4 of 9 of the Rhode Island Constitution and R.I.G.L. 41-9 establish the office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-47 relates to weapons.

# The Budget

## Attorney General Civil

	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	3,272,688	3,435,820	3,517,154	3,493,394
Other State Operations	398,047	381,032	325,854	314,594
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,670,735</b>	<b>\$3,816,852</b>	<b>\$3,843,008</b>	<b>\$3,807,988</b>
Capital Improvements	-	1,148	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,670,735</b>	<b>\$3,818,000</b>	<b>\$3,843,008</b>	<b>\$3,807,988</b>
<b>Expenditures By Funds</b>				
General Revenue	3,289,297	3,343,191	3,317,193	3,275,162
Federal Funds	3	60,867	72,627	76,437
Restricted Receipts	381,435	413,942	453,188	456,389
<b>Total Expenditures</b>	<b>\$3,670,735</b>	<b>\$3,818,000</b>	<b>\$3,843,008</b>	<b>\$3,807,988</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## **Attorney General Bureau of Criminal Identification**

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### **Program Operations**

The Bureau of Criminal Identification (BCI) is a repository and central clearinghouse for information on individuals who have been arrested or convicted of crimes in Rhode Island. This information includes criminal history records, fingerprints, and warrant information. The unit operates twenty-four hours a day, seven days a week. This unit receives and processes requests for records from law enforcement agencies across the country. Additionally, BCI issues and maintains pistol permits, issues security guard licenses, and conducts background checks.

### **Program Objectives**

To provide criminal history information and descriptive data on demand to federal and local law enforcement agencies, twenty-four hours a day, seven days a week.

### **Statutory History**

Section 12-1-4 of the Rhode Island General Laws establishes the Bureau of Criminal Identification within the Department of the Attorney General. The duties of this unit are further outlined in Sections 12-1-5 through 12-1-12.

# The Budget

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## Attorney General Bureau of Criminal Identification

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	616,458	695,347	918,788	991,216
Other State Operations	65,570	135,776	129,656	74,080
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$682,028</b>	<b>\$831,123</b>	<b>\$1,048,444</b>	<b>\$1,065,296</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$682,028</b>	<b>\$831,123</b>	<b>\$1,048,444</b>	<b>\$1,065,296</b>
<b>Expenditures By Funds</b>				
General Revenue	600,805	712,386	713,444	715,196
Federal Funds	81,223	118,737	335,000	350,100
<b>Total Expenditures</b>	<b>\$682,028</b>	<b>\$831,123</b>	<b>\$1,048,444</b>	<b>\$1,065,296</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Attorney General General

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### Program Operations

The General Program provides administrative support to ensure that the department operates efficiently. This program consists of six units.

The executive unit consists of the Attorney General, the Deputy Attorney General and support staff. The function of the executive unit is to coordinate the efforts of all parts of the department.

The fiscal unit is responsible for all financial operations of the department. This includes the preparation of the departmental budget, monitoring and controlling expenditures, and processing all financial paperwork.

The personnel unit processes all paperwork regarding hiring, transfers, promotions, and terminations, and monitors payroll records submitted to Accounts and Control. This unit must ensure that all state and federal laws and regulations are upheld, including equal employment opportunities and affirmative action requirements.

The operations unit is responsible for purchasing, maintenance and repair of the department's buildings and automobiles, and monitoring the department's telephone and mail systems.

The Management Information System (MIS) unit administers and operates the department's computer systems. The system consists of five central processing units, multiple application servers, over 220 desktop computers, and thirty printers. The Attorney General's computer system is linked to the court's computer system and the Rhode Island Law Enforcement Telecommunications System.

The public information/legislation unit handles all communication with the media, submits legislation on the department's behalf, and coordinates the department's education, and community outreach efforts.

### Program Objectives

To provide the infrastructure necessary for the efficient operation of the department.

### Statutory History

Article IV, paragraph 4 of 9 of the Rhode Island Constitution and R.I.G.L. 42-9 establish the Office of the Attorney General. R.I.G.L. 12-9 relates to extraditions; R.I.G.L. 9-31 relates to governmental tort liability; R.I.G.L. 12-12 relates to indictments and information; R.I.G.L. 12-1 relates to criminal identification; and R.I.G.L. 11-57 relates to weapons.

# The Budget

## Attorney General General

	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	1,397,598	1,480,524	1,577,699	1,576,432
Other State Operations	108,012	141,868	99,359	89,614
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	749	1,018	790	790
<b>Subtotal: Operating Expenditures</b>	<b>\$1,506,359</b>	<b>\$1,623,410</b>	<b>\$1,677,848</b>	<b>\$1,666,836</b>
Capital Improvements	-	-	163,000	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,506,359</b>	<b>\$1,623,410</b>	<b>\$1,840,848</b>	<b>\$1,666,836</b>
<b>Expenditures By Funds</b>				
General Revenue	1,506,359	1,623,410	1,840,848	1,666,836
<b>Total Expenditures</b>	<b>\$1,506,359</b>	<b>\$1,623,410</b>	<b>\$1,840,848</b>	<b>\$1,666,836</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Agency

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## Department of Corrections

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### Agency Operations

The Rhode Island Department of Corrections contributes to the protection of society by providing appropriate safe, secure, and humane control of offenders, while actively assisting and encouraging offenders to become productive and law abiding members of the community.

Additionally, the Rhode Island State Parole Board is budgeted as a unit of the department, but has independent programmatic decision making authority.

Collectively known as the Adult Correctional Institutions (ACI), there are eight separate occupied facilities, which have a total current capacity of 3,922 beds. In FY 2003, the average institutionalized population was 3,638. The department also provides supervision of offenders in the community (home confinement, probation, and parole). By the end of FY 2003, the number of probation and parole cases serviced totaled 26,710. The average number of offenders on home confinement was 204. Other major functions performed by the Department of Corrections include serving other state, municipal, and non-profit agencies through the Central Distribution Center, performance of all grounds maintenance activities at the Pastore Center and other public grounds, and operation of Correctional Industries, which provides work opportunities for inmates and services to governmental agencies and non-profit organizations ranging from printing to modular workstation furniture construction and installation.

### Agency Objectives

To operate a full and balanced correctional program which is consistent with public safety, reflects sound and progressive correctional policy, and is sensitive to the need for fiscal responsibility in the use of public resources.

### Statutory History

R.I.G.L. 42-56 established Corrections as a department in 1972 and defines the department's role as both custodial and rehabilitative. Section 4 was amended in 1991 to reflect an internal reorganization. Sections 20.2 and 20.3 were amended in 1989 to authorize community confinement programs. The Parole Board is authorized under R.I.G.L. 13-8, and probation and parole services are authorized under R.I.G.L. 12-18. Parole supervision responsibilities were formally added in 1938 in R.I.G.L. 13-8-17. R.I.G.L. 42-26-13 establishes the Criminal Justice Oversight Committee to maintain several facilities within their respective population capacities. Victim Services is authorized under R.I.G.L. 12-28-3. Women's Transitional Housing is authorized under R.I.G.L. 42-56.20.5

# The Budget

## Department of Corrections

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Central Management	9,231,477	9,173,756	10,508,006	10,375,260
Parole Board	861,159	917,586	1,060,613	1,062,505
Institutional Custody	104,488,787	101,819,450	114,471,739	114,232,224
Rehabilitative Services	27,760,764	30,849,463	35,416,043	36,512,997
Internal Service Program	[11,821,830]	[12,470,037]	[12,925,866]	[13,046,493]
<b>Total Expenditures</b>	<b>\$142,342,187</b>	<b>\$142,760,255</b>	<b>\$161,456,401</b>	<b>\$162,182,986</b>
<b>Expenditures By Object</b>				
Personnel	119,975,239	126,892,534	133,928,851	134,914,140
Other State Operations	16,892,210	13,205,712	16,189,800	16,254,130
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,428,213	1,303,215	1,306,354	1,126,354
<b>Subtotal: Operating Expenditures</b>	<b>\$138,295,662</b>	<b>\$141,401,461</b>	<b>\$151,425,005</b>	<b>\$152,294,624</b>
Capital Improvements	4,046,525	1,358,794	10,031,396	9,888,362
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$142,342,187</b>	<b>\$142,760,255</b>	<b>\$161,456,401</b>	<b>\$162,182,986</b>
<b>Expenditures By Funds</b>				
General Revenue	128,169,352	136,041,022	146,053,491	146,860,150
Federal Funds	4,850,061	3,126,899	8,333,884	9,627,911
Restricted Receipts	5,367,901	2,456,374	2,108,140	1,916,250
Other Funds	3,954,873	1,135,960	4,960,886	3,778,675
<b>Total Expenditures</b>	<b>\$142,342,187</b>	<b>\$142,760,255</b>	<b>\$161,456,401</b>	<b>\$162,182,986</b>
<b>FTE Authorization</b>	<b>1,550.6</b>	<b>1,538.0</b>	<b>1,492.0</b>	<b>1,539.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	11.7%	11.9%	12.0%	12.1%
Females as a Percentage of the Workforce	22.6%	22.8%	23.0%	23.2%

# The Program

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## Department of Corrections Central Management

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### Program Operations

The Central Management program includes the Offices of Director, Legal Services, Internal Affairs, and Administration. Central Management is responsible for the overall direction and resource management of the Department of Corrections. The Office of Director provides leadership, executive level decision making, and policy direction, and is responsible for media affairs and public relations. The Office of Legal Services provides legal representation and consultation for the Director, administrators, and other employees of the department in a variety of cases. The Office of Internal Affairs monitors departmental activities to ensure the system functions with integrity and legality.

Administration includes all resource management functions of the department. Financial Resources provides central budgeting, procurement, accounting, inventory management activities, and management of inmate accounts to hold personal funds received by an inmate in trust. Human Resources handles all labor relations, personnel, payroll, and training and staff development functions, including recruitment and screening activities relating to the correctional officer pre-service training program. Management Information Services supervises departmental record keeping functions, oversees the continued development and maintenance of a comprehensive, computerized data collection and retrieval system, and serves as the department's liaison to the statewide Justice Link Program. Planning and Research conducts program development, research, and evaluation, and the Policy Unit is responsible for the development of internal policies and the analysis of policy issues. Logistical and materials management is the responsibility of the Physical Resources Unit within Central Management.

### Program Objectives

To establish the overall direction of departmental policy, and work with the other branches of government and criminal justice agencies in identifying and implementing a sound and progressive correctional policy for Rhode Island; set and administer standards that will qualify the department for accreditation by the American Correctional Association and other relevant review bodies; develop and coordinate the most expeditious and efficient management controls over information and resource support activities to correctional programs.

### Statutory History

R.I.G.L. 42-56 establishes the position of Director of the Department of Corrections, and broadly defines the responsibilities of the director (R.I.G.L. 42-56-10). Section 4 identifies the specific functions of the Administration Division. R.I.G.L. 12-19-23.1 and 23.2 authorizes the use of intermediate punishments as a sentencing option. R.I.G.L. 42-56-39 requires the attachment of a prison impact statement to legislative bills.

# The Budget

## Department of Corrections Central Management

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Subprogram</b>				
Executive	1,328,935	1,403,873	1,506,106	1,548,957
Administration	7,902,542	7,769,883	9,001,900	8,826,303
<b>Total Expenditures</b>	<b>\$9,231,477</b>	<b>\$9,173,756</b>	<b>\$10,508,006</b>	<b>\$10,375,260</b>
<b>Expenditures By Object</b>				
Personnel	7,606,923	7,993,809	8,945,353	9,091,211
Other State Operations	1,624,456	1,179,497	1,539,196	1,260,592
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	98	450	23,457	23,457
<b>Subtotal: Operating Expenditures</b>	<b>\$9,231,477</b>	<b>\$9,173,756</b>	<b>\$10,508,006</b>	<b>\$10,375,260</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$9,231,477</b>	<b>\$9,173,756</b>	<b>\$10,508,006</b>	<b>\$10,375,260</b>
<b>Expenditures By Funds</b>				
General Revenue	9,043,688	9,145,468	10,212,393	10,375,260
Federal Funds	187,789	28,288	295,613	-
<b>Total Expenditures</b>	<b>\$9,231,477</b>	<b>\$9,173,756</b>	<b>\$10,508,006</b>	<b>\$10,375,260</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Department of Corrections Parole Board

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### Program Operations

Except for those cases prohibited by statute, the Parole Board evaluates and authorizes the conditional early release of inmates who have proven by their behavior and actions while incarcerated that they are capable of returning to the community as law-abiding citizens to serve the remainder of their sentence. Eligibility for release, which is determined by the Department of Corrections, occurs when at least one third of the sentence has been served. The offender, for the remainder of the term of sentence, is subject to such terms and conditions as the board may prescribe. In FY 2003, the Board granted parole in 593 cases and denied parole in 936 cases.

The Parole Board consists of a full-time chair who serves a two-year term and six members who serve part time. While the Director of Corrections has general fiduciary responsibility for the board, the Parole Board is autonomous on parole decisions.

The Parole Board, through assigned support staff, conducts pre-parole investigations, compiles relevant information from the Adult Correctional Institutions, the Attorney General, and other sources, and maintains records of all parole board hearings and decisions. By statutory requirement, the Parole Board Chair is now responsible for administering a sex-offender community notification program.

### Program Objectives

To release to parole those incarcerated offenders for whom a community setting is a more appropriate and productive means of completing their sentence.

### Statutory History

R.I.G.L. 13-8 establishes a Parole Board within the Department of Corrections and sets forth the duties and functions. Section 1 was amended in 1993 to provide a full-time chair, expand the Board's membership, and authorize the use of subcommittees. Section 23 mandates that both the state psychiatrist and psychological services provide findings and recommendations to the Parole Board. Legislation established the Parole Board in 1915. R.I.G.L. 11-37-15 creates a sex offender review board and requires that the Parole Board notify local enforcement agencies of the release or parole of certain sex offenders. R.I.G.L. 42-20-13 authorizes the Parole Board to use good behavior earned by inmates as a tool to reduce over-crowding as authorized by the Governor.

# The Budget

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## Department of Corrections Parole Board

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	761,485	818,542	946,490	960,158
Other State Operations	99,674	99,044	114,123	102,347
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$861,159</b>	<b>\$917,586</b>	<b>\$1,060,613</b>	<b>\$1,062,505</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$861,159</b>	<b>\$917,586</b>	<b>\$1,060,613</b>	<b>\$1,062,505</b>
<b>Expenditures By Funds</b>				
General Revenue	861,159	917,586	1,060,613	1,062,505
<b>Total Expenditures</b>	<b>\$861,159</b>	<b>\$917,586</b>	<b>\$1,060,613</b>	<b>\$1,062,505</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Program

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## Department of Corrections Institutional Custody

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### Program Operations

The Institutional Corrections Program coordinates the day-to-day operations of the Adult Correctional Institutions (ACI). The ACI functions both as a prison system for offenders sentenced to incarceration and as a statewide jail facility for individuals awaiting trial or arraignment, and includes eight institutions providing the various security levels for both men and women. Each facility is managed by a warden and deputy wardens. Correctional Officer Captains, Correctional Officer Lieutenants, and Correctional Officers provide around the clock supervision of inmates. Facility administrators are responsible for security, as well as for the day-to-day program activities such as education, employment, counseling, and health care.

The program also includes a number of support units. Food Services oversee all food distribution and preparation. The Facilities and Maintenance Unit provides maintenance and repairs to departmental buildings. The Special Investigations and Securities Units oversee security methods and procedures, neutralize disturbances and assist with other contingencies (Tactical Team), and investigate breaches of inmate security. The Classification Unit determines the appropriate custody level and services for inmates, and provides case management services. Inmate Accounts serves as custodian of inmate funds, and the Inmate Commissary sells toiletries, non-prescription health care items, postage, and snack items to inmates. The Records and Identification Unit was moved into this program in FY 2001 from Central Management because the unit serves to support the institutions. Correctional Industries offers vocational training and meaningful work opportunities for inmates within the institutions. The furlough program allows for supervised short-term release from incarceration. Various other program services (including health care) have been transferred to Rehabilitative Services.

### Program Objectives

To maintain secure and humane custody and control of the inmate population to ensure the safety of staff, inmates, and the general public.

To maintain a high level of readiness in the facilities in order to respond to disturbances and emergencies.

### Statutory History

The declaration of policy in R.I.G.L. 42-56 establishes both the institutional custody and rehabilitative activities administered under this program. The State Marshals program was transferred to the Department of Administration in 2001. Authorization for inmate furloughs was established in 1976 under R.I.G.L. 42-56-18.

# The Budget

## Department of Corrections Institutional Custody

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Institutions	85,624,236	85,700,398	96,163,702	96,010,300
Support Operations	18,864,551	16,119,052	18,308,037	18,221,924
<b>Total Expenditures</b>	<b>\$104,488,787</b>	<b>\$101,819,450</b>	<b>\$114,471,739</b>	<b>\$114,232,224</b>
<b>Expenditures By Object</b>				
Personnel	88,538,331	91,793,820	94,191,779	93,681,848
Other State Operations	10,693,382	7,573,137	9,154,811	9,568,261
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,210,549	1,093,699	1,093,753	1,093,753
<b>Subtotal: Operating Expenditures</b>	<b>\$100,442,262</b>	<b>\$100,460,656</b>	<b>\$104,440,343</b>	<b>\$104,343,862</b>
Capital Improvements	4,046,525	1,358,794	10,031,396	9,888,362
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$104,488,787</b>	<b>\$101,819,450</b>	<b>\$114,471,739</b>	<b>\$114,232,224</b>
<b>Expenditures By Funds</b>				
General Revenue	91,364,749	95,935,960	101,911,957	101,300,587
Federal Funds	3,801,264	2,291,156	5,490,756	7,236,712
Restricted Receipts	5,367,901	2,456,374	2,108,140	1,916,250
Other Funds	3,954,873	1,135,960	4,960,886	3,778,675
<b>Total Expenditures</b>	<b>\$104,488,787</b>	<b>\$101,819,450</b>	<b>\$114,471,739</b>	<b>\$114,232,224</b>

### Program Measures

Escapes, Attempted Escapes and Acts of Absconding per 1,000 Inmates in the Average Daily Population	1.5	3.4	1.5	1.5
Prison Assaults Per 1,000 Inmates in the Average Daily Population	22.7	29.4	22.7	22.7

# The Program

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## Department of Corrections Rehabilitative Services

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### Program Operations

The Rehabilitative Services Program affords inmates the opportunity to participate in essential rehabilitative services in both their institutions and in the community at large. Like Institutional Custody, this program carries the dual mandate of promoting the rehabilitation of offenders while providing for the protection of the public. The program has three components:

**Institutional Programs:** Program services include educational and vocational training, health care, counseling, drug treatment, sex-offender treatment, health education, conflict management training, and general reading and law libraries for use by prisoners.

**Transitional Services:** Programs focus on preparation of offenders for release into the community and support for the offender once released, including discharge planning and case management services. Program services include a Transitional Housing program for women as well as a victim notification program. Work has also begun on developing and constructing a Community Reintegration Center at the Pastore Center. This will be a 200-bed facility for sentenced males who are within six months of release, but who have not qualified for lower custody status or parole.

**Community Corrections:** The Probation and Parole Unit provides supervision and services for those offenders who are under the jurisdiction of the court or Parole Board and are subject to certain requirements as a condition for remaining in the community, including special low-ratio supervision and service programs for domestic violence and sex offenders. The Home Confinement Unit supervises through electronic monitoring and other means non-violent offenders sentenced, classified, or paroled to community confinement, a structured alternative to institutional incarceration. Also included is a Risk Assessment Unit.

### Program Objective

To provide a sufficient array of correctional services and programs that encourages and assists offenders in modifying their behavior to become productive, law-abiding citizens.

To provide sentencing options for selected inmates who pose a manageable risk to the community.

To provide the courts with accurate and comprehensive information to aid them in effective decision-making.

### Statutory History

R.I.G.L. 42-56-1(2) and 10(11) establish the necessity of rehabilitative programs. Community Confinement was established in 1989 under R.I.G.L. 42-56-20.2. Work Release was authorized in 1976 under R.I.G.L. 42-57-21. Victim Services is authorized under R.I.G.L. 12-28-3.

# The Budget

## Department of Corrections Rehabilitative Services

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Institutional Programs	17,963,655	19,771,479	21,922,858	22,314,884
Transitional Services	547,705	703,097	2,249,645	2,352,840
Community Corrections	9,249,404	10,374,887	11,243,540	11,845,273
<b>Total Expenditures</b>	<b>\$27,760,764</b>	<b>\$30,849,463</b>	<b>\$35,416,043</b>	<b>\$36,512,997</b>
<b>Expenditures By Object</b>				
Personnel	23,068,500	26,286,363	29,845,229	31,180,923
Other State Operations	4,474,698	4,354,034	5,381,670	5,322,930
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	217,566	209,066	189,144	9,144
<b>Subtotal: Operating Expenditures</b>	<b>\$27,760,764</b>	<b>\$30,849,463</b>	<b>\$35,416,043</b>	<b>\$36,512,997</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$27,760,764</b>	<b>\$30,849,463</b>	<b>\$35,416,043</b>	<b>\$36,512,997</b>
<b>Expenditures By Funds</b>				
General Revenue	26,899,756	30,042,008	32,868,528	34,121,798
Federal Funds	861,008	807,455	2,547,515	2,391,199
<b>Total Expenditures</b>	<b>\$27,760,764</b>	<b>\$30,849,463</b>	<b>\$35,416,043</b>	<b>\$36,512,997</b>
<b>Program Measures</b>				
Percentage of Closed Cases Successfully Completing Terms of Home Confinement	65.0%	67.5%	68.2%	69.1%

# The Program

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## Department of Corrections Internal Service Programs

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### Program Operations

There are various services required by state-operated programs and activities, which are provided on a centralized basis. The costs of these operations are borne by the user agencies through a charge system, which allocates the costs of delivery of the goods or services. The operations of these programs are shown for display purposes only, since the costs are reflected in the budget of the user agency both on an actual and budget basis. Internal Service Programs operated by the Department of Corrections include the Central Distribution Center, Correctional Industries, and Pastore Center Telephone Operations.

The Central Distribution Center purchases and inventories food and a variety of cleaning, household, and office supplies for distribution to state institutions, other state and local governments, and non-profit agencies. Correctional Industries provides goods and services to other state, municipal, and non-profit entities to include printing, furniture restoration, license plate production, auto maintenance, clothing and linen production, grounds keeping, janitorial, office moving, and a variety of other services. Pastore Services Telephone Operations operates and maintains the Central Telephone system for all agencies at the Pastore Government Center, as well as memoranda of agreement with the Emergency Management Agency, Vocational Rehabilitation, Zambarano Hospital, and other state agencies on administrative and maintenance functions of certain Avaya switches. .

### Program Objective

To provide the most cost-effective delivery of goods and services to other state programs.

### Statutory History

The Director of Administration is authorized by R.I.G.L. 35-5 to establish a system of rotary or rotating funds in any state department or agency. R.I.G.L. 35-5-8 and 9 identify services and procedures for the State General Store. R.I.G.L. 13-7-1, the State Use Law, allows prison made goods and services to be sold to state agencies, municipalities and non-profit organizations.

# The Budget

## Department of Corrections Internal Service Programs

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	2,308,876	2,333,965	3,034,987	3,169,853
Other State Operations	9,239,170	9,643,157	9,381,664	9,396,657
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	197,440	207,153	207,153	207,153
<b>Subtotal: Operating Expenditures</b>	<b>\$11,745,486</b>	<b>\$12,184,275</b>	<b>\$12,623,804</b>	<b>\$12,773,663</b>
Capital Improvements	-	-	30,300	30,300
Capital Debt Service	76,344	285,762	271,762	242,530
<b>Total Expenditures</b>	<b>\$11,821,830</b>	<b>\$12,470,037</b>	<b>\$12,925,866</b>	<b>\$13,046,493</b>
<b>Expenditures By Funds</b>				
Internal Service Funds	11,821,830	12,470,037	12,925,866	13,046,493
<b>Total Expenditures</b>	<b>\$11,821,830</b>	<b>\$12,470,037</b>	<b>\$12,925,866</b>	<b>\$13,046,493</b>
<b>Program Measures</b>	NS	NS	NS	NS

# The Agency

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## Judicial Department

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### Agency Operations

Rhode Island has a unified court system composed of six statewide courts. The Supreme Court is the court of review, the Superior Court is the general trial court, and the Family, District, Traffic Tribunal, and Workers' Compensation Courts are trial courts of special jurisdiction.

The entire system in Rhode Island is state-funded with the exception of probate courts, which are the responsibility of cities and towns, and the municipal courts, which are local courts of limited jurisdiction. The Chief Justice of the Supreme Court is the executive head of the state court system and has authority over the judicial budget. The Chief Justice appoints the State Court Administrator and an administrative staff to handle budgetary and general administrative functions. Each court has responsibility over its own operations and has a chief judge who appoints an administrator to handle internal court management.

### Statutory History

The Judicial is one of the three departments of government defined in the Rhode Island Constitution. The powers and jurisdictions of the six courts are identified in the Rhode Island General Laws as follows: Title 8 Chapter 1, Supreme Court; Title 8 Chapter 2, Superior Court; Title 8 Chapter 8, District Court; Title 8 Chapter 10, Family Court; Title 8 Chapter 8.2, Traffic Tribunal; and Title 28 Chapter 30, Workers' Compensation Court.

# The Budget

## Judicial Department

	FY 2002	FY 2003	FY 2004	FY 2005
	Actual	Actual	Revised	Recommended
<b>Expenditures by Program</b>				
Supreme Court	25,572,973	22,528,171	25,650,207	25,582,816
Superior Court	14,786,789	16,130,012	17,091,028	17,464,894
Family Court	13,179,338	14,484,375	16,527,350	16,112,291
District Court	6,947,321	7,790,339	8,208,664	8,282,694
Traffic Tribunal	5,453,330	5,875,007	6,309,386	6,421,967
Workers' Compensation Court	4,875,611	5,555,220	6,644,104	6,124,172
Justice Link	1,917,455	910,187	- (1)	-
<b>Total Expenditures</b>	<b>\$72,732,817</b>	<b>\$73,273,311</b>	<b>\$80,430,739</b>	<b>\$79,988,834</b>
<b>Expenditures By Object</b>				
Personnel	53,655,563	57,572,438	63,203,046	63,640,722
Other State Operations	11,482,869	10,716,298	11,275,457	9,586,118
Aid to Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	4,600,463	4,910,360	5,477,284	5,703,161
<b>Subtotal: Operating Expenditures</b>	<b>\$69,738,895</b>	<b>\$73,199,096</b>	<b>\$79,955,787</b>	<b>\$78,930,001</b>
Capital Improvements	2,993,922	74,215	474,952	1,058,833
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$72,732,817</b>	<b>\$73,273,311</b>	<b>\$80,430,739</b>	<b>\$79,988,834</b>
<b>Expenditures By Funds</b>				
General Revenue	60,688,095	63,812,515	67,653,785	68,186,641
Federal Funds	2,550,317	2,869,173	4,627,118	3,578,972
Restricted Receipts	5,851,785	6,517,408	7,683,717	7,173,221
Other Funds	3,642,620	74,215	466,119	1,050,000
<b>Total Expenditures</b>	<b>\$72,732,817</b>	<b>\$73,273,311</b>	<b>\$80,430,739</b>	<b>\$79,988,834</b>
<b>FTE Authorization</b>	<b>707.0</b>	<b>721.7</b>	<b>734.5</b>	<b>734.5</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	7.9%	7.7%	7.7%	7.7%
Females as a Percentage of the Workforce	65.5%	66.6%	66.6%	66.6%

(1) Beginning with the FY 2004 revised budget, the Governor recommends merging the Justice Link Program into the Supreme Court Program.

# The Program

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## Judicial Department Supreme Court

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### Program Operations

The Supreme Court program includes the budget for the Court itself, the Administrative Office of State Courts, and the Law Library.

The Court portion of the budget covers the justices, their secretarial and legal staff, the law clerk program, and the Supreme Court Clerk's Office. Through this portion of the budget the Supreme Court fulfills its role as the final court of review for the state courts. The Court also renders advisory opinions to the legislative and executive branches of government; issues writs of habeas corpus, mandamus, certiorari and certain other prerogative writs; and regulates the admission and discipline of members of the Rhode Island Bar.

Administrative services include all budget, personnel and purchasing functions; computer services; facility management, which includes the maintenance of courthouse facilities; programming for judicial education; the collection of court-ordered restitution, fines, fees and costs; and research and statistical analysis.

The Law Library is the only complete, public legal reference service in Rhode Island, and it operates under the Supreme Court. The Library has over 110,000 volumes and also provides access to computer aided legal research.

Other major activities of the Supreme Court include domestic violence prevention, defense of indigents, victim rights information, and the warrant squad.

### Program Objectives

Provide timely review of all decisions appealed from the state courts.

Provide overall administrative direction to the state courts.

Regulate the admission of attorneys to the Rhode Island Bar.

### Statutory History

The Supreme Court is the only constitutionally established court and is identified in Article X of the Rhode Island State Constitution. The organization, jurisdiction and powers of the Supreme Court are described in Title 8 Chapter 1 of the Rhode Island General Laws, and the administrative authority of the court is established by Title 8 Chapter 15. Other statutes relating to the Supreme Court include Title 8 Chapter 3 through 7 of the Rhode Island General Laws.

# The Budget

## Judicial Department Supreme Court

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised <sup>(1)</sup>	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Supreme Court Operations	23,828,296	20,487,161	23,400,207	23,332,816
Defense of Indigents	1,744,677	2,041,010	2,250,000	2,250,000
<b>Total Expenditures</b>	<b>\$25,572,973</b>	<b>\$22,528,171</b>	<b>25,650,207</b>	<b>25,582,816</b>
<b>Expenditures By Object</b>				
Personnel	14,064,784	14,204,237	16,369,443	16,716,417
Other State Operations	7,343,403	7,003,321	7,370,266	6,352,890
Aid to Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	1,170,864	1,246,398	1,444,379	1,463,509
<b>Subtotal: Operating Expenditures</b>	<b>\$22,579,051</b>	<b>\$22,453,956</b>	<b>\$25,184,088</b>	<b>\$24,532,816</b>
Capital Improvements	2,993,922	74,215	466,119	1,050,000
Capital Debt Service				
<b>Total Expenditures</b>	<b>\$25,572,973</b>	<b>\$22,528,171</b>	<b>\$25,650,207</b>	<b>\$25,582,816</b>
<b>Expenditures By Funds</b>				
General Revenue	21,002,714	21,534,063	23,410,797	23,247,842
Federal Funds	158,852	154,589	881,778	384,025
Restricted Receipts	768,787	765,304	891,513	900,949
Other Funds	3,642,620	74,215	466,119	1,050,000
<b>Total Expenditures</b>	<b>\$25,572,973</b>	<b>\$22,528,171</b>	<b>\$25,650,207</b>	<b>\$25,582,816</b>

### Program Measures

Percentage of Appeal Cases Annually Disposed of Within 300 Days	43.0%	59.0%	60.0%	65.0%
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<sup>(1)</sup> Beginning with the FY 2004 revised budget, the Governor recommends merging the Justice Link Program into the Supreme Court Program.

# The Program

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## Judicial Department Superior Court

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### Program Operations

The program budget for Superior Court includes the operation of the Court itself, administrative services, and the operation of the clerks' offices.

Superior Court has four locations: Providence, Kent, Washington, and Newport Counties. It has jurisdiction over all felony cases and misdemeanor cases which have been appealed or waived from the District Court. The Court also hears all civil cases where the amount at issue exceeds \$5,000, equity cases wherein injunctive relief is sought, civil cases that are appealed from the District Court, and all probate and zoning appeals. The administrative office of the Court handles all case scheduling, supervises the court secretaries and stenographers, and provides other administrative services.

Each court location has a clerk's office which is responsible for maintaining the records of the court. This includes creating files for each case, filing all papers that are received, updating the record in each case to reflect what has happened in court and the papers that have been filed, and maintaining and marking all exhibits of evidence.

Other major programs include jury selection, administration of witness fees and administration of jurors' fees and expenses. In FY 1995, a Gun Court was established to adjudicate offenses where guns are involved.

### Program Objectives

Provide timely and fair adjudication of all cases within the jurisdiction of the Superior Court.

Maintain a complete and accurate record for all cases that are filed with the Superior Court.

Provide a written transcript of any proceeding or appeal.

### Statutory History

The Superior Court is a statutorily authorized court. The jurisdiction and sessions of the court, and the role and responsibilities of the clerks, secretaries and stenographers of the court are outlined in Title 8 Chapter 2 through 7 of the Rhode Island General Laws.

# The Budget

## Judicial Department Superior Court

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Superior Court Operations	13,683,570	14,853,002	15,612,539	15,853,251
Jury Operations	1,103,219	1,277,010	1,478,489	1,611,643
<b>Total Expenditures</b>	<b>\$14,786,789</b>	<b>\$16,130,012</b>	<b>\$17,091,028</b>	<b>\$17,464,894</b>
<b>Expenditures By Object</b>				
Personnel	12,369,377	13,338,444	14,325,922	14,521,111
Other State Operations	961,979	1,181,478	1,113,901	1,248,973
Aid to Local Units of Government	-	-	-	-
Assistance, Grants and Benefits	1,455,433	1,610,090	1,651,205	1,694,810
<b>Subtotal: Operating Expenditures</b>	<b>\$14,786,789</b>	<b>\$16,130,012</b>	<b>\$17,091,028</b>	<b>\$17,464,894</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$14,786,789</b>	<b>\$16,130,012</b>	<b>\$17,091,028</b>	<b>\$17,464,894</b>
<b>Expenditures By Funds</b>				
General Revenue	14,786,220	16,121,254	16,915,828	17,376,894
Federal Funds	569	8,758	175,200	88,000
<b>Total Expenditures</b>	<b>\$14,786,789</b>	<b>\$16,130,012</b>	<b>\$17,091,028</b>	<b>\$17,464,894</b>
<b>Program Measures</b>				
Percentage of Felony Cases Annually Disposed of Within 180 Days	69.0%	70.0%	72.5%	75.0%
Percentage of Misdemeanor Appeal Cases Annually Disposed of Within 90 Days of Arraignment	38.0%	53.0%	55.0%	60.0%
Percentage of Civil Cases Disposed of Within Two Years of Assignment	42.0%	45.0%	45.0%	47.0%

# The Program

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## Judicial Department Family Court

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### Program Operations

The program budget for Family Court includes the operation of the Court itself, the administrative office of the Court, the clerks' offices, and the operation of several ancillary programs, including juvenile intake services, the Family Counseling Unit, the Collections Unit and the Court Appointed Special Advocate Unit.

The Family Court handles all criminal cases involving juveniles; juvenile status offenses, such as truancy and disobedience; all cases where parents have been charged with neglect, abandonment or abuse of their children; and other miscellaneous cases involving children, such as child marriages and paternity issues. The Court also hears all divorces and issues stemming from a divorce, such as orders for child support and temporary allowances.

The Court has offices in Providence, Kent, Newport and Washington Counties. There are clerks' offices in each of these locations, and the office staff is responsible for preparing all court calendars and maintaining records of the court. All papers are filed with the clerk's office, and this office sets up a file for each case and maintains an up-to-date record of what has happened in court and the papers that have been filed.

Because of the special nature of the Family Court, the Court provides a variety of services to families. For example, the Family Counseling Unit provides alcohol counseling and supervises visitation, in addition to providing investigative and mediation services to the court. Likewise, the Collections Unit handles the collection and disbursement of child support payments. In addition, the Court administers the payment of witness fees and expenses.

### Program Objectives

Adjudicate the cases within the jurisdiction of the Family Court in a timely and fair manner.

Provide counseling and other assistance to families involved in litigation before the court.

### Statutory History

The Family Court is authorized by statute. The composition and jurisdiction of the Family Court is identified in Title 8 Chapter 10 of the Rhode Island General Laws.

# The Budget

## Judicial Department Family Court

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	12,198,553	13,491,362	15,496,224	15,123,103
Other State Operations	509,322	510,432	540,085	486,683
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	471,463	482,581	491,041	502,505
<b>Subtotal: Operating Expenditures</b>	<b>\$13,179,338</b>	<b>\$14,484,375</b>	<b>\$16,527,350</b>	<b>\$16,112,291</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$13,179,338</b>	<b>\$14,484,375</b>	<b>\$16,527,350</b>	<b>\$16,112,291</b>

<b>Expenditures By Funds</b>				
General Revenue	10,772,947	11,795,863	12,809,110	12,857,244
Federal Funds	2,274,351	2,556,721	3,570,140	3,106,947
Restricted Receipts	132,040	131,791	148,100	148,100
<b>Total Expenditures</b>	<b>\$13,179,338</b>	<b>\$14,484,375</b>	<b>\$16,527,350</b>	<b>\$16,112,291</b>

### Program Measures

Percentage of Wayward/Delinquent Cases Suitable for Non-Judicial Processing Diverted or Referred to Court Within 45 Days	56.0%	64.0%	65.0%	65.0%
Percentage of Wayward/Delinquent Cases Requiring Court Involvement Adjudicated Within 180 Days	44.0%	56.0%	60.0%	65.0%
Percentage of D/N/A Cases Adjudicated Within 180 Days of Filing	59.7%	60.0%	62.0%	65.0%
Percentage of Domestic Cases Disposed of Within 365 Days	97.0%	97.0%	97.0%	97.0%
Percentage of Juvenile Termination of Parental Rights Cases Adjudicated Within 180 Days	70.9%	62.0%	65.0%	70.0%

# The Program

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## Judicial Department District Court

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### Program Operations

The District Court consists of a chief judge and associate judges. The court is also served by two magistrates. The program budget for the District Court includes the cost of operation for the Court itself and the operation of the clerk's offices. The District Court handles all misdemeanor cases, all civil cases where the amount in dispute is under \$5,000, trespass and ejectment cases between landlords and tenants, and all small claims, which includes certain civil cases where the amount in dispute is under \$1,500. The District Court also holds hearings on commitments under the mental health and drug abuse laws and handles certain agency appeals. The Court has four locations, in Providence, Kent, Newport and Washington Counties, with a clerk's office at each location. The clerks' offices maintain all the records for the Court. As with the other courts, all papers are filed in the clerk's office. The office creates a file for every case and maintains an up-to-date record on what has happened in the case. In addition, the Court administers the payment of witness fees and expenses.

### Program Objectives

Adjudicate the cases within the jurisdiction of the District Court in a timely and fair manner.

Maintain a complete and accurate record for every case.

### Statutory History

The District Court is a statutorily authorized court. The powers and jurisdiction of the Court are defined in Title 8 Chapter 8 of the Rhode Island General Laws.

# The Budget

## Judicial Department District Court

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	5,881,854	6,698,820	7,063,452	7,165,039
Other State Operations	260,459	294,079	267,109	245,934
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	805,008	797,440	878,103	871,721
<b>Subtotal: Operating Expenditures</b>	<b>\$6,947,321</b>	<b>\$7,790,339</b>	<b>\$8,208,664</b>	<b>\$8,282,694</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$6,947,321</b>	<b>\$7,790,339</b>	<b>\$8,208,664</b>	<b>\$8,282,694</b>
<b>Expenditures By Funds</b>				
General Revenue	6,760,849	7,676,141	8,208,664	8,282,694
Federal Funds	111,125	49,105	-	-
Restricted Receipts	75,347	65,093	-	-
<b>Total Expenditures</b>	<b>\$6,947,321</b>	<b>\$7,790,339</b>	<b>\$8,208,664</b>	<b>\$8,282,694</b>
<b>Program Measures</b>				
Percentage of Misdemeanor Cases Disposed of Within 60 Days	88.0%	89.0%	90.0%	92.0%

# The Program

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## Judicial Department Traffic Tribunal

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### Program Operations

The Traffic Tribunal was created effective July 1, 1999. It is the successor to the Administrative Adjudication Court. It consists of associate judges and magistrates. It is supervised by the chief judge of the district court.

The Traffic Tribunal hears and determines license suspensions, violations of the Departments of Transportation and Environmental Management, vehicle regulations of the Board of Regents for Higher Education, and violations of state statutes relating to motor vehicles and littering. The Traffic Tribunal does not hear those offenses committed in places within the exclusive jurisdiction of the United States, motor vehicle offenses such as driving so as to endanger resulting in death, and driving while under the influence of liquor or drugs, which state law specifies will be heard under the jurisdiction of another court.

### Program Objectives

Adjudicate the cases within the jurisdiction of the Traffic Tribunal in a timely and fair manner.

Maintain a complete and accurate record for every case.

### Statutory History

The powers and jurisdiction of the Traffic Tribunal are defined in Title 8 Chapter 8.2 of the Rhode Island General Laws. The 1999 session of the General Assembly passed S-0932 entitled the Rhode Island Traffic Safety and Accountability Act of 1999. This act abolished the Administrative Adjudication Court effective July 1, 1999 and created the Traffic Tribunal.

# The Budget

## Judicial Department Traffic Tribunal

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	4,396,665	4,858,632	5,182,764	5,269,198
Other State Operations	772,092	723,186	827,104	844,704
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	284,573	293,189	299,518	308,065
<b>Subtotal: Operating Expenditures</b>	<b>\$5,453,330</b>	<b>\$5,875,007</b>	<b>\$6,309,386</b>	<b>\$6,421,967</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,453,330</b>	<b>\$5,875,007</b>	<b>\$6,309,386</b>	<b>\$6,421,967</b>
<b>Expenditures By Funds</b>				
General Revenue	5,453,330	5,875,007	6,309,386	6,421,967
Federal Funds	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,453,330</b>	<b>\$5,875,007</b>	<b>\$6,309,386</b>	<b>\$6,421,967</b>
<b>Program Measures</b>				
Percentage of Summonses Disposed of within 60 Days	N/A	90.0%	92.0%	95.0%

# The Program

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## Judicial Department Workers' Compensation Court

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### Program Operations

The Workers' Compensation Court is a specialized court within the unified court system. It has jurisdiction statewide and has the same authority and power to subpoena and to cite and punish for civil contempt as exists in the Superior Court. It hears and decides all controversies as they pertain to Workers' Compensation in an efficient, effective, and economical manner. Presently, it has nine judges and one chief judge as well as support staff which includes administrators, reporters, investigators, and clericals. It is funded from the Workers' Compensation Administrative Fund.

Upon the filing of a petition for benefits arising out of a work-related injury case, a case file is set up and notices are sent to all parties. Before proceeding to a hearing, a judge conducts a pretrial conference, with a view of expediting the case and reducing the issues in dispute to a minimum. At this stage the judge must enter a pretrial order as to the right of the employee to receive benefits (approximately 70 percent of all cases are settled at this point).

Those cases not settled at pretrial are assigned to the same judge for trial. At the trial, the judge will hear all questions. The judge will then decide the merits of the controversy pursuant to the law and a fair preponderance of the evidence. From there a decision is rendered and a decree is entered. At this stage either party may appeal to the Appellate Division. The Appellate Division consists of three judges, none of whom was the Trial Judge.

The Appellate Division judges review the transcript and the record of the case along with the appellants reasons for appeal and such other briefs and memoranda of law as they may desire. The Appellate Division will then decide the matter and a final decree of the Appellate Division will be entered. If either party is aggrieved by a final decree, they may petition the Supreme Court for a writ of certiorari. Upon petition, the Supreme Court may review any decree of the Workers' Compensation Court.

### Program Objectives

Hear all disputes regarding workers' compensation claims.

Decide all controversies efficiently, effectively, and economically.

### Statutory History

Title 28, Chapters 29 through 38 of the Rhode Island General Laws include provisions relating to the Workers' Compensation Court.

# The Budget

## Judicial Department Workers' Compensation Court

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	4,210,129	4,580,866	4,765,241	4,845,854
Other State Operations	252,360	493,692	1,156,992	406,934
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	413,122	480,662	713,038	862,551
<b>Subtotal: Operating Expenditures</b>	<b>\$4,875,611</b>	<b>\$5,555,220</b>	<b>\$6,635,271</b>	<b>\$6,115,339</b>
Capital Improvements	-	-	8,833	8,833
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,875,611</b>	<b>\$5,555,220</b>	<b>\$6,644,104</b>	<b>\$6,124,172</b>
<b>Expenditures By Funds</b>				
Restricted Receipts	4,875,611	5,555,220	6,644,104	6,124,172
<b>Total Expenditures</b>	<b>\$4,875,611</b>	<b>\$5,555,220</b>	<b>\$6,644,104</b>	<b>\$6,124,172</b>
<b>Program Measures</b>				
Percentage of Workers' Compensation Cases that are Disposed of at Pretrial Within 90 Days	91.0%	90.0%	92.0%	92.0%
Percentage of Workers' Compensation Cases that are Disposed of at Trial Within 270 Days	72.5%	74.0%	75.0%	77.5%

# The Agency

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## Military Staff

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### Agency Operations

The mission of the Executive - Military Staff is: to prepare for immediate mobilization in the event of war or national emergency; to maintain peace, order, and public safety in the state in time of man-made or natural disaster or, when otherwise directed by the Governor; and to participate in the development and establishment of local, regional, and nationwide initiatives and programs.

The Agency is directed by the Adjutant General through appointment by the Governor. The Adjutant General is nominated as a Major General by the President, and confirmed by the United States Senate. The National Guard program is financed with approximately twenty-five percent general revenue and state capital funds and seventy-five percent federal funds.

In FY 1997, the Rhode Island Emergency Management Agency merged with the Military Staff. Merging the two entities placed similar operations under one command, providing more efficient command and control in the event of an emergency and eliminating duplication of effort. The Emergency Management program is funded with ninety-seven percent federal funds and three percent state (general and restricted) revenue

### Agency Objectives

To maintain both the Rhode Island National Guard and the Rhode Island Emergency Management Agency as organizations capable of responding to statewide civil emergencies or natural catastrophes, as well as supporting the defense of the nation and national security interests.

To enable the state to assist local governments in disaster response and recovery operations.

To increase public awareness of natural hazards, risks, and actions to minimize loss of life or property.

### Statutory History

R.I.G.L. Title 30, Chapters 1-14, and 28-30 and the Military Code prescribe the Military Staff's duties and functions. R.I.G.L. 30-15 created the Emergency Management function.

# The Budget

## Military Staff

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
National Guard	7,961,524	7,760,489	10,980,762	10,981,703
Emergency Management	3,009,685	5,227,652	42,489,315	7,967,513
<b>Total Expenditures</b>	<b>\$10,971,209</b>	<b>\$12,988,141</b>	<b>\$53,470,077</b>	<b>\$18,949,216</b>
<b>Expenditures By Object</b>				
Personnel	6,578,397	6,848,917	8,579,427	8,762,426
Other State Operations	2,768,946	3,939,695	4,965,720	3,299,825
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	854,790	2,189,505	38,693,366	5,835,715
<b>Subtotal: Operating Expenditures</b>	<b>\$10,202,133</b>	<b>\$12,978,117</b>	<b>\$52,238,513</b>	<b>\$17,897,966</b>
Capital Improvements	769,076	10,024	1,231,564	1,051,250
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$10,971,209</b>	<b>\$12,988,141</b>	<b>\$53,470,077</b>	<b>\$18,949,216</b>
<b>Expenditures By Funds</b>				
General Revenue	2,460,657	2,190,828	2,140,287	2,135,898
Federal Funds	8,001,637	10,626,043	50,216,458	15,828,911
Restricted Receipts	112,257	114,010	176,768	228,157
Other	396,658	57,260	936,564	756,250
<b>Total Expenditures</b>	<b>\$10,971,209</b>	<b>\$12,988,141</b>	<b>\$53,470,077</b>	<b>\$18,949,216</b>
<b>FTE Authorization</b>	<b>94.0</b>	<b>90.0</b>	<b>97.0</b>	<b>97.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	6.7%	7.0%	7.0%	7.0%
Females as a Percentage of the Workforce	19.1%	19.0%	19.0%	19.0%

# The Program

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## Military Staff National Guard

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### Program Operations

In his capacity as Commanding General and Chief of Staff to the Governor, Captain-General and Commander-In-Chief, the Adjutant General directs the establishment, operation, organization and maintenance of the military forces of the state, composed of reserve units of the United States Army and Air Force, the Rhode Island National Guard Reserve (State Security Brigade) and the State Militia. Sub-programs include Administration (Adjutant General, fiscal support, military funerals, educational benefits), Military Property (utilities, janitorial, maintenance, supply purchases, military vehicle repairs), Army Guard (operations, maintenance, and personnel support) and Air Guard (operations, maintenance, personnel support, Quonset firefighters and security guards).

State funds are utilized primarily for employees' salaries, and maintenance and repair of facilities and buildings. Federal funds are utilized for drill and training pay for all Rhode Island Army and Air Guard personnel, supplies, equipment, and construction projects. The Rhode Island National Guard is authorized in excess of 4,200 members (2,500 in the Army National Guard, the rest in the Air National Guard). National Guard installations located in Rhode Island include fourteen armories, three air bases, two training sites, and ten support buildings and shops including one army aviation support facility (AASF), four organizational maintenance shops (OMS) and one combined support maintenance shop (CSMS). Federal equipment housed and secured at these facilities is valued in excess of \$500 million dollars. The estimated annual economic impact on the state attributed to National Guard programs exceeds \$100 million dollars.

### Program Objectives

To train and prepare members of the Army and Air National Guard, and the Rhode Island Militia. To support active forces in the defense of our nation and its national security interests.

To provide peacetime responses to state emergencies as ordered by the Governor. To actively participate in counter-drug efforts and to initiate drug demand reduction programs within our communities.

### Statutory History

The Executive Military Staff was established in 1856 and is governed by the statutory authority of the Military Code of Rhode Island and other laws relating to the military as enacted by the provisions of the R.I.G.L. 30-1-14 and 28-30 and the Military Code.

# The Budget

## Military Staff National Guard

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Adjutant-General	1,904,309	2,243,229	3,128,023	3,138,605
State Military Prop Officer	1,313,080	561,042	2,427,586	2,319,863
Federal Army	1,538,134	1,641,984	1,367,601	1,377,645
Federal Air	3,206,001	3,314,234	4,057,552	4,145,590
<b>Total Expenditures</b>	<b>\$7,961,524</b>	<b>\$7,760,489</b>	<b>\$10,980,762</b>	<b>\$10,981,703</b>
<b>Expenditures By Object</b>				
Personnel	5,120,515	5,493,963	6,924,421	7,084,492
Other State Operations	1,961,120	2,145,513	2,713,777	2,736,961
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	110,813	110,989	111,000	109,000
<b>Subtotal : Operating Expenditures</b>	<b>\$7,192,448</b>	<b>\$7,750,465</b>	<b>\$9,749,198</b>	<b>\$9,930,453</b>
Capital Improvements	769,076	10,024	1,231,564	1,051,250
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$7,961,524</b>	<b>\$7,760,489</b>	<b>\$10,980,762</b>	<b>\$10,981,703</b>
<b>Expenditures By Funds</b>				
General Revenue	2,016,446	1,654,618	1,588,748	1,591,741
Federal Funds	5,548,420	6,048,611	8,445,450	8,593,712
Restricted Receipts	-	-	10,000	40,000
Other Funds	396,658	57,260	936,564	756,250
<b>Total Expenditures</b>	<b>\$7,961,524</b>	<b>\$7,760,489</b>	<b>\$10,980,762</b>	<b>\$10,981,703</b>
<b>Program Measures</b>				
Percentage of National Guard Facilities Compliant with Code	23.0%	24.0%	24.0%	26.0%
Percentage of Army National Guard Facilities that Meet or Exceed Army Standards	0.0%	14.3%	33.3%	40.0%
Percentage of Authorized Strength (Air National)	94.2%	91.2%	93.0%	93.5%
Percentage of Authorized Strength (Army National)	83.0%	85.0%	83.0%	85.0%

# The Program

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## Military Staff Emergency Management

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### Program Operations

The Emergency Management program is responsible for the planning and implementation of all hazard preparedness programs at the state level and for providing appropriate support to community hazard response activity. The Emergency Management staff works in conjunction with the Federal Emergency Management Agency (FEMA) and annually receives federal funds under the Emergency Management Planning Grant (EMPG). The program channels federal matching funds to nineteen communities in the state to support similar programs at the local level.

Emergency Management personnel maintain the State Emergency Operating Center complete with extensive telecommunications systems, and an automatic-start power plant which provides emergency electric power to the Operating Center at the Command Readiness Center, 645 New London Avenue, Cranston, RI. The radiological and communications sections remain in the sub basement of the State House. Emergency Management staff operate a radio system, which expedites telephone calls made from disaster locations to all points, including FEMA. The staff also maintains radio communications with all political subdivisions in the state using the Civil Defense State Radio System in cooperation with the Rhode Island National Guard. RIEMA also has developed an emergency radio communications system that allows all hospitals, RI DOH and EMA to be on a private radio system. Emergency Management staff sustains the Emergency Alert System through periodic plan updates and system tests.

A comprehensive State Emergency Operations Plan is maintained to respond to all types of disasters and includes a Strategic Plan, a capability Assessment, continuity assurance of state and local government, Local Community Emergency Operations Plans, a Resource Inventory, training, and mock disaster exercises.

### Program Objectives

To maintain a high state of readiness for any disaster or major emergency through the State Emergency Operations Center.

To sustain qualified personnel ready to respond to emergencies and to assist local governments in disaster response and recovery operations.

To increase public awareness of natural hazard risks and actions that can be taken to prevent or minimize loss of life and property.

### Statutory History

In 1973, the Rhode Island Defense Civil Preparedness Agency was created by R.I.G.L. 30-15, to replace the former Civil Defense Agency. Executive Order No. 83-5 (and a 2000 legislative amendment) changed the official name to the Rhode Island Emergency Management Agency.

# The Budget

## Military Staff Emergency Management

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,457,882	1,354,954	1,655,006	1,677,934
Other State Operations	807,826	1,794,182	2,251,943	562,864
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	743,977	2,078,516	38,582,366	5,726,715
<b>Subtotal: Operating Expenditures</b>	<b>\$3,009,685</b>	<b>\$5,227,652</b>	<b>\$42,489,315</b>	<b>\$7,967,513</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,009,685</b>	<b>\$5,227,652</b>	<b>\$42,489,315</b>	<b>\$7,967,513</b>
<b>Expenditures By Funds</b>				
General Revenue	444,211	536,210	551,539	544,157
Federal Funds	2,453,217	4,577,432	41,771,008	7,235,199
Restricted Receipts	112,257	114,010	166,768	188,157
Other	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,009,685</b>	<b>\$5,227,652</b>	<b>\$42,489,315</b>	<b>\$7,967,513</b>
<b>Program Measures</b>				
Percentage of CDSTARS Remote Stations Responding	61.0%	63.0%	61.0%	61.0%

# The Agency

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## E-911 Emergency Telephone System

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### Agency Operations

The agency operates a twenty-four hour, statewide public safety answering point (PSAP), which receives wireline and wireless 9-1-1 calls, and then transfers them to the appropriate public safety response agency in the caller's area, whether it be police, fire, or rescue. The agency's operations are funded by an appropriation from the General Fund. A portion of the total General Fund receipts is derived from a \$1.00 per month surcharge on the subscriber's telephone service. The same surcharge amount applies to wireline and wireless telephone subscribers.

The agency is equipped with sophisticated telephone answering equipment, computers, and software, which are operated by trained 9-1-1 telecommunicators. When a telecommunicator receives a 9-1-1 call from a wireline telephone caller, the equipment displays the caller's street address, telephone number, and map displaying the location of the call. The agency is acquiring additional location capabilities using geographic information system (GIS) mapping and other data being collected on a community-by-community basis, as funding becomes available. This latter information will be particularly important in locating wireless 9-1-1 callers. Until the GIS data development project is completed, however, the agency must rely on voice communication with the wireless caller, supplemented by some limited location information provided by the wireless carriers under Federal Communications Commission requirements. Approximately fifty percent (50%) of the 516,000 calls received by the agency in calendar year 2002 were from wireless phones.

### Agency Objectives

To maintain a statewide emergency telephone system providing prompt transfers of emergency 9-1-1 calls to the appropriate public safety and rescue agencies, utilizing call location technology.

### Statutory History

In 1984, the 9-1-1 Uniform Emergency Telephone System was established under Title 39, Chapter 21. The Rhode Island Uniform Emergency Telephone System Authority initially managed the System. In 1996, the System became an agency within the Executive Department. The agency was originally funded by a surcharge on wireline telephone subscribers, set at \$0.42 per month by the Public Utilities Commission. The surcharge was subsequently changed to \$0.47 by the General Assembly. All proceeds of the wireline surcharge were originally placed in a restricted receipt account for the exclusive use of the System. In 1997, the monthly subscriber surcharge was applied to wireless telephone subscribers, with the proceeds directed to the General Fund. In 2000, both wireline and wireless surcharge proceeds were directed to the General Fund, from which the agency's annual operating expenses have been funded from general revenue appropriations. In July 2002, the wireline and wireless surcharges were raised to \$1.00 per month. Statutory references for the agency are Title 39, Chapters 21 and 21.1.

# The Budget

## E-911 Emergency Telephone System

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	3,340,631	3,465,057	3,601,893	4,483,225
Other State Operations	552,588	470,333	809,606	988,198
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$3,893,219</b>	<b>\$3,935,390</b>	<b>\$4,411,499</b>	<b>\$5,471,423</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$3,893,219</b>	<b>\$3,935,390</b>	<b>\$4,411,499</b>	<b>\$5,471,423</b>
<b>Expenditures By Funds</b>				
General Revenue	3,893,219	3,935,390	4,411,499	3,821,407
Restricted Receipts	-	-	-	1,650,016
<b>Total Expenditures</b>	<b>3,893,219</b>	<b>3,935,390</b>	<b>4,411,499</b>	<b>5,471,423</b>
<b>FTE Authorization</b>	<b>48.6</b>	<b>47.6</b>	<b>50.6</b>	<b>50.6</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	20.8%	20.8%	22.9%	22.9%
Females as a Percentage of the Workforce	41.6%	43.8%	43.8%	43.8%
<b>Program Measures</b>				
Average Number of Seconds Required to Answer and Transfer Incoming Wireless Calls to Secondary Public Service Answering Points	58	55	48	48

# The Agency

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## Fire Safety Code Board of Appeal and Review

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### Agency Operations

The Fire Safety Code Board of Appeal & Review and the Joint Committee on the Rehabilitation Code for Existing Buildings and Structures are charged with the development and administrative review of comprehensive construction and safety codes covering the State of Rhode Island. Both Boards provide interpretations of, and hear all variance requests, under their respective codes.

The State Fire Code, developed and administered by the Board, covers specialized fire protection requirements for hazardous materials and chemicals, flammable and combustible liquids, liquefied petroleum gases, liquefied natural gases, fireworks, model rocketry, heating appliances, spray application of flammable and combustible liquids, welding, use of torches, dust explosion prevention, industrial ovens and furnaces, mechanical refrigeration, combustible fibers and airport refueling operations. In addition, the Fire Safety Code outlines the fire safety requirements of all assembly, educational, day-care, health-care, residential board and care, regulatory health-care, detention, correctional, hotel, dormitory, apartment, rooming house, mercantile, business, industrial, storage and airport occupancies in the State of Rhode Island. Finally, the Fire Code addresses electrical safety, smoking, outdoor fires, fire lanes, commercial cooking equipment, combustible waste, tar kettles, Christmas trees, blasting, and explosives.

The State Rehabilitation Code is developed and administered by the Joint Committee. The Rehabilitation Code sets specialized standards, under both the building and fire code, for the repair, renovation, alteration, and reconstruction within, along with additions to and changes of use within, existing buildings and structures. It is anticipated that ninety percent (90%) of all building permits issued in the state will eventually be issued under the Rehabilitation Code. Likewise, all appeals and requests for interpretation or variances under the Rehabilitation Code will be heard and determined by the Joint Board.

### Agency Objectives

To develop and maintain a comprehensive fire safety code to protect the citizens of Rhode Island; to review and approve all state-of-the-art fire protection systems installed in Rhode Island; and to review the overall fire safety of each facility to come before the Board and to grant relief in cases of practical difficulty. To develop and maintain a comprehensive rehabilitation building and fire code to encourage the repair, renovation, alteration, and reconstruction of existing buildings in a cost efficient manner while assuring public safety.

### Statutory History

The Fire Safety Code Commission was established in 1966 by Title 23, Chapter 28.3 of the Rhode Island General Laws. The statute was amended in 1975 to rename the commission as the Fire Safety Code Board of Appeal and Review. The Joint Committee on the Rehabilitation Code for Existing Buildings and Structures was established in 2000 by Title 23, Chapter 29.1 of the Rhode Island General Laws.

# The Budget

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## Fire Safety Code Board of Appeal and Review

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Expenditures By Object</b>				
Personnel	193,976	222,169	206,570	223,205
Other State Operations	16,542	17,936	28,475	18,133
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$210,518</b>	<b>\$240,105</b>	<b>\$235,045</b>	<b>\$241,338</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$210,518</b>	<b>\$240,105</b>	<b>\$235,045</b>	<b>\$241,338</b>
 <b>Expenditures By Funds</b>				
General Revenue	210,518	240,105	235,045	241,338
<b>Total Expenditures</b>	<b>\$210,518</b>	<b>\$240,105</b>	<b>\$235,045</b>	<b>\$241,338</b>
 <b>FTE Authorization</b>				
	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>	<b>3.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	33.0%	33.0%	-	-
Females as a Percentage of the Workforce	66.7%	66.7%	66.7%	66.7%
 <b>Program Measures</b>				
Variance Decisions Made Publicly Accessible on Board's Website Annually	NA	260	624	822

# The Agency

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## Rhode Island State Fire Marshal

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### Agency Operations

The State Fire Marshal Division is responsible for enforcing and performing the duties required by the Fire Safety Code and all other provisions of the general and public laws as they relate to fires, fire prevention, fire protection, fire inspection and fire investigation. The division enforces all laws regarding the keeping, storage, use, manufacturing, sale, handling, transportation, or other disposition of explosives and inflammable materials as well as conducting and supervising fire safety inspections of all buildings regulated by the code, and certifying whether or not buildings satisfy these requirements.

The division is further divided into units specified in law: The Bomb Disposal Unit assists local police and fire departments in the proper handling and disposition of all hazardous materials suspected to be of explosive or incendiary nature. This unit also conducts training programs of local fire departments.

The Investigation Unit conducts the investigation of suspicious or attempted fires to determine the cause, origin and circumstances where property has been damaged or destroyed. The unit also investigates fires where a fatality has occurred as so far as it is possible to determine the cause. Local government officials must report such fires immediately so as not to delay the start of the investigation.

The Enforcement Unit is responsible for the criminal prosecution of any person(s) in violation of the State Fire Safety Code and any general and public laws as they relate to fires, fire prevention, fire inspection, and fire investigations.

The Fire Education and Training Unit is responsible for implementing the Fire Academy, by providing education and training to all fire departments in the State, public and private. The Fire Education and Training Coordinating Board develops the training program and the cost is reimbursed by the participating community.

The Plan Review Unit is responsible for reviewing architectural drawings of proposed construction plans for compliance with the Fire Safety Code. All fees collected for reviewing the plans are deposited as general revenue for the State.

The Inspection Unit is responsible for conducting fire safety inspections of all buildings regulated by the Fire Safety Code.

### Agency Objectives

To reduce the number of fire losses in Rhode Island through prosecution of arson related crimes and through the investigation of suspicious fire; to enforce state, federal, and other laws relative to fires, explosives and public safety; to develop public awareness programs related to arson, explosives, juvenile firesetters, and fire prevention; and, to develop uniformity in firefighting techniques through courses presented by the State Fire Marshal and Training Academy.

### Statutory History

R.I.G.L.23-28.2 establishes the Rhode Island State Fire Marshal and defines its duties.

# The Budget

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## Rhode Island State Fire Marshal

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	1,226,277	1,121,694	1,520,647	1,938,516
Other State Operations	297,710	374,432	576,156	375,234
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	82,592	1,564	1,564	1,564
<b>Subtotal: Operating Expenditures</b>	<b>\$1,606,579</b>	<b>\$1,497,690</b>	<b>\$2,098,367</b>	<b>\$2,315,314</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,606,579</b>	<b>\$1,497,690</b>	<b>\$2,098,367</b>	<b>\$2,315,314</b>
<b>Expenditures By Funds</b>				
General Revenue	1,471,137	1,346,179	1,736,470	1,977,834
Federal Funds	135,442	151,511	341,897	317,480
Other Funds	-	-	20,000	20,000
<b>Total Expenditures</b>	<b>\$1,606,579</b>	<b>\$1,497,690</b>	<b>\$2,098,367</b>	<b>\$2,315,314</b>
<b>FTE Authorization</b>	<b>21.5</b>	<b>21.0</b>	<b>27.0</b>	<b>32.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	4.8%	1.0%
Females as a Percentage of the Workforce	19.0%	19.0%	19.0%	26.0%
<b>Program Measures</b>				
Fire Determination Rate	91.5%	92.4%	94.0%	95.0%

# The Agency

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## Commission on Judicial Tenure and Discipline

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### Agency Operations

The Commission on Judicial Tenure and Discipline is responsible for conducting investigations of judges suspected of or charged with misconduct. The commission, upon receiving from any person a verified statement, not unfounded or frivolous, alleging facts indicating that a Justice of the Supreme Court, the Superior Court, the Family Court, the District Court, the Workers' Compensation Court, the Traffic Tribunal, Probate, Municipal and Housing Court judges or magistrates and judicial officers appointed pursuant to Rhode Island General Laws is guilty of a serious violation of the Canons of Judicial Ethics, or of willful and persistent failure to perform his/her duties, or disabling substance abuse, or conduct that brings the judicial office into serious disrepute, or that such a judge has a physical or mental disability that seriously interferes and will continue to interfere with the performance of his/her duties, shall make a preliminary investigation to determine whether formal proceedings shall be instituted and a hearing held.

When formal proceedings are ordered, the justice is given formal notice of the charges and of the time for a public hearing. The justice is required to appear at the hearing, may be represented by counsel, offer evidence and otherwise participate in said hearing. Recommendation concerning the disciplining of any justice shall be made in a report to the Chief Justice of the Supreme Court. The Supreme Court is required to grant a review if requested by said justice. After hearing, the Supreme Court may affirm, modify or reject the Commission's recommendation(s).

### Agency Objectives

Ensure the integrity of the Rhode Island Court system.

Investigate reasonable allegations of wrongdoing by Rhode Island judges in a timely manner.

Recommend, when appropriate, sanctions to be imposed against Rhode Island judges.

### Statutory History

The Commission on Judicial Tenure and Discipline was established in 1974 under Rhode Island Public Laws 1972, Ch. 136, Section 1 for the purpose of conducting investigations upon verified complaint or upon its own initiative of the conduct of judges of the Supreme, Superior, Family, District, and Workers' Compensation Court. Subsequent enactments in P.L.1983, Ch. 26 and P.L. 1990, Ch. 332, Article 1, Sec. 13 and P.L. 1991, Ch. 132, Sec. 2 as well as P.L. 1991, Ch. 205, Sec. 2 and P.L. 1996, Ch. 312 enlarged the jurisdiction to include the new courts of Workers' Compensation Court, the Traffic Tribunal as well as all judges of Probate, Municipal and Housing Courts in any city or town in the State of Rhode Island.

# The Budget

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## Commission on Judicial Tenure and Discipline

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	95,865	106,703	119,377	97,147
Other State Operations	5,899	4,989	8,975	5,695
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$101,764</b>	<b>\$111,692</b>	<b>\$128,352</b>	<b>\$102,842</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$101,764</b>	<b>\$111,692</b>	<b>\$128,352</b>	<b>\$102,842</b>
 <b>Expenditures By Funds</b>				
General Revenue	101,764	111,692	128,352	102,842
<b>Total Expenditures</b>	<b>\$101,764</b>	<b>\$111,692</b>	<b>\$128,352</b>	<b>\$102,842</b>
 <b>FTE Authorization</b>				
	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>	<b>1.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	100.0%	100.0%	100.0%	100.0%
 <b>Program Measures</b>				
Percentage of Verified Complaints Disposed of Within 90 Days of Docketing	94.0%	100.0%	95.0%	95.0%

# The Agency

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## Rhode Island Justice Commission

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### Agency Operations

The Rhode Island Justice Commission (RIJC) develops comprehensive planning and programming for improving the state criminal justice system's overall response to crime issues. The RIJC was established in 1969 to improve the effectiveness and efficiency of system-wide criminal justice responses to the problem of crime. The commission pursues a variety of activities authorized by statute and executive designation. The support of these efforts is primarily obtained through the following federal grants: The Byrne Memorial Drug Control and Systems Improvement Formula Grant; the Juvenile Justice Formula, Block and other related grants; the Victims of Crime Act Victims Assistance Formula Grant; the S.T.O.P. Violence Against Women Act Formula Grant and other related grants; the Local Law Enforcement Block Grant Program; the Residential Substance Abuse Treatment for State Prisoners Grant; State Identifications Systems Formula Grant; the National Criminal Histories Improvement Grant Program; the Statistical Analysis Center grant; the National Forensic Sciences Improvement Act Program; Project Safe Neighborhoods, and other appropriate discretionary grant programs. In addition, the RIJC administers the state Neighborhood Crime Prevention Act Grant Program.

The agency's primary responsibility is to plan and apply for, receive and administer federal grant programs. The RIJC typically administers over two hundred grants/sub-grants annually totaling approximately \$10.0 million dollars.

### Agency Objectives

To coordinate and implement a statewide integrated computerized Criminal Justice Information System, called the Justice Link Public Safety Network.

To continue comprehensive/specialized planning and grantsmanship efforts in support of the justice/criminal justice systems present and future.

To ensure that projects receiving funding use such funds consistent with federal and state grant administration regulations; and to initiate and support programs designed to impact crime and/or improve the criminal and juvenile justice system.

To continue to produce studies/reports describing the status of particular crime problems; and to perform detailed monitoring, evaluation, and analysis.

### Statutory History

In 1969, Title 42, Chapter 62 of the Rhode Island General Laws established the Rhode Island Justice Commission as a state criminal justice agency. The RIJC's legal re-authorization can be found in the state's General Laws, specifically Chapter 26, Sections 42-46.1. through 42-26-13 through-42-26-17.

# The Budget

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## Rhode Island Justice Commission

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Expenditures By Object</b>				
Personnel	602,982	584,777	715,095	854,984
Other State Operations	113,377	83,136	140,950	137,603
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	3,771,943	4,163,642	5,300,535	5,033,500
<b>Subtotal: Operating Expenditures</b>	<b>\$4,488,302</b>	<b>\$4,831,555</b>	<b>\$6,156,580</b>	<b>\$6,026,087</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,488,302</b>	<b>\$4,831,555</b>	<b>\$6,156,580</b>	<b>\$6,026,087</b>
 <b>Expenditures By Funds</b>				
General Revenue	177,298	165,173	163,220	248,367
Federal Funds	4,262,082	4,654,786	5,903,360	5,687,720
Restricted Receipts	48,922	11,596	90,000	90,000
<b>Total Expenditures</b>	<b>\$4,488,302</b>	<b>\$4,831,555</b>	<b>\$6,156,580</b>	<b>\$6,026,087</b>
 <b>FTE Authorization</b>				
	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	75.0%	75.0%	75.0%	75.0%
 <b>Program Measures</b>				
Percentage of Municipal Police Departments with the Records Management Software which is Interfaced with Justice Link	0.0%	5.0%	34.0%	100.0%
Percentage of Discretionary Grant Applicants Provided an Office Response within 60 Days of Completed Application Date	NA	64.0%	75.0%	100.0%
Percentage of Noncompetitive Formula Grant Applicants Provided an Official Response Within Five Days of Completed Applications	NA	100.0%	85.0%	95.0%

# The Agency

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## **Municipal Police Training Academy**

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### **Agency Operations**

The Rhode Island Municipal Police Training Academy provides basic training to all new municipal police officers in Rhode Island with the exception of the Providence Police and the Rhode Island State Police. Additionally, new officers from the Rhode Island Department of Environmental Management-Enforcement Division, University of Rhode Island, Brown University, Airport Police and others attend the Police Academy.

The Police Academy's training program includes instruction in criminal, constitutional, juvenile and motor vehicle code law; police patrol operations; human relations; criminalistics; police ethics; physical fitness training; use of force training; emergency vehicle operator's course training (EVOC); community policing; firearms training and special operations training. New training techniques include use of a Skid Car platform and a Range 2000 Firearms System.

In addition to providing basic police training, the Police Academy also conducts extensive police in-service and specialized training programs in many areas. Some of these subject areas include: First Line Supervision; Field Training Officers Course; Drug Investigation; Community/Problem Oriented Policing Programs; Advanced Firearms Instructor Training and High Threat Tactical Encounters Training. New in-service programs include Automated External Defibrillators Training and Drug Recognition and Standard Field Sobriety Testing.

The basic police recruit training operations are funded totally from the State General Fund. Most in-service training is funded with various federal grants awarded through the State's Justice Commission and the Governor's Office on Highway Safety. Classroom instruction for the police recruits is conducted at the Community College of Rhode Island, Flanagan Campus, Lincoln, RI. The Rhode Island Municipal Police Training Academy also provides basic in-service and specialized police training at various locations throughout the State. All training operations are designed, coordinated and monitored by staff.

### **Agency Objectives**

Evaluate and screen police officer candidates to ascertain required minimum qualifications.

Provide required instruction to all Police Academy recruits to ensure capability to perform all necessary police tasks.

Provide in-service and specialized training courses.

### **Statutory History**

In 1969, Title 42 Chapter 28.2 of the Rhode Island General Laws established the Rhode Island Municipal Police Training Academy and assigned it the responsibility of training and certifying all municipal police officers except Providence. The Academy also has the responsibility, by law, to train police officers from various state agencies.

# The Budget

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## Municipal Police Training Academy

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	328,616	309,795	378,307	354,947
Other State Operations	90,254	50,363	50,050	46,380
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$418,870</b>	<b>\$360,158</b>	<b>\$428,357</b>	<b>\$401,327</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$418,870</b>	<b>\$360,158</b>	<b>\$428,357</b>	<b>\$401,327</b>
<b>Expenditures By Funds</b>				
General Revenue	359,824	341,623	355,485	361,327
Federal Funds	59,046	18,535	72,872	40,000
<b>Total Expenditures</b>	<b>\$418,870</b>	<b>\$360,158</b>	<b>\$428,357</b>	<b>\$401,327</b>
<b>FTE Authorization</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>	<b>4.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	25.0%	25.0%	25.0%	25.0%
<b>Program Measures</b>				
Cumulative Grade Point Average for Recruit Classes	91.7%	92.4%	93.3%	93.3%

# The Agency

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## State Police

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### Agency Operations

The Rhode Island State Police ensure citizens an increased level of safety and security through a professional uniformed and investigative law enforcement agency having statewide jurisdiction. The agency is organized in three divisions: the Patrol division, the Detective division, and Central Management.

The Patrol division prevents crime and investigates criminal and noncriminal activities. The division establishes highway safety through enforcement of motor vehicle laws with emphasis on hazardous moving violations, i.g., drunk driving and speeding.

The Detective division is the primary investigative unit. It assists the Attorney General's Office in investigating organized crime and white collar crime, and provides support to police agencies throughout the state. It is composed of several bureaus: the Area Detectives, the Intelligence Bureau, the Narcotics Bureau, the Auto Theft Unit, the Bureau of Criminal Identification, a Financial Crimes Unit, and a Major Crimes Unit.

The Central Management division consists of the command officers, the Inspection division, the Training Academy, Planning and Research, the Business/Supply Office, and a Communications section. The Communications and Technology unit supports all users of its local and wide area networks, microwave and radio systems, and electronic surveillance and communications equipment located in the agency's fleet. The State Police retirement costs are entirely funded through the annual general fund appropriation, including widow and disability pensions, cost of living adjustments and health insurance benefits.

### Agency Objectives

To recruit and train new troopers who are diverse in race, culture, and ethnicity; to operate a full-time highway enforcement program to combat motor vehicle accidents and highway fatalities; to provide the most efficient investigative and support services to other state agencies and municipal law enforcement agencies to combat complex and serious crimes; to develop and maintain a cyber crime specialized unit; to continually upgrade radio and microwave communication and investigative equipment to state of the art level; to maintain national accreditation; and to increase public awareness of State Police mission.

### Statutory History

R.I.G.L. 42-28 established the State Police in 1925. Sections 1 through 20 include organization, membership, duty, salary, equipment, and legal requirements; Sections 21 through 33 include retirement, training and appropriation requirements; and Sections 34 through 46 include special units, public accident reports and special crime systems.

# The Budget

## State Police

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Support	5,454,008	3,510,086	4,030,486	4,483,488
Detectives	7,415,402	6,895,324	7,077,855	6,970,298
Patrol	16,791,091	15,948,771	17,008,832	18,161,621
Pension	11,909,788	12,802,773	13,522,952	14,423,315
Communications and Technology	347,238	3,029,655	3,094,676	2,643,041
<b>Total Expenditures</b>	<b>\$41,917,527</b>	<b>\$42,186,609</b>	<b>\$44,734,801</b>	<b>\$46,681,763</b>
<b>Expenditures By Object</b>				
Personnel	25,463,432	25,113,035	25,724,267	27,534,333
Other State Operations	4,027,277	4,253,946	5,239,582	4,526,115
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	11,911,003	12,801,027	13,520,952	14,421,315
<b>Subtotal: Operating Expenditures</b>	<b>\$41,401,712</b>	<b>\$42,168,008</b>	<b>\$44,484,801</b>	<b>\$46,481,763</b>
Capital Improvements	515,815	18,601	250,000	200,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$41,917,527</b>	<b>\$42,186,609</b>	<b>\$44,734,801</b>	<b>\$46,681,763</b>
<b>Expenditures By Funds</b>				
General Revenue	37,999,881	38,198,641	39,862,739	43,012,525
Federal Funds	1,040,654	1,768,724	2,208,474	1,270,334
Restricted Receipts	239,326	193,184	590,023	298,089
Other Funds	2,637,666	2,026,060	2,073,565	2,100,815
<b>Total Expenditures</b>	<b>\$41,917,527</b>	<b>\$42,186,609</b>	<b>\$44,734,801</b>	<b>\$46,681,763</b>
<b>FTE Authorization</b>	<b>267.0</b>	<b>259.0</b>	<b>251.0</b>	<b>281.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	6.1%	5.6%	5.6%	7.1%
Females as a Percentage of the Workforce	14.9%	14.4%	14.4%	14.3%
<b>Program Measures</b>				
Persons Ejected from Vehicles	52	54	53	53
Safety Violations Found for Every One Hundred Vehicles Inspected	24.5	25.1	24.5	24.0
Overweight Violations per One Hundred Vehicles Weighed	1.1	1.6	1.5	1.4

# The Agency

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## Office of the Public Defender

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### Agency Operations

The Office of the Public Defender is statutorily mandated to represent indigent adults and juveniles who are charged with criminal offenses or who are in jeopardy of losing custody of their children to the State. Referrals come from the Supreme, Superior, District, and Family Courts. All who are financially eligible are assigned to a staff attorney to provide representation in the appropriate court.

The office carries out but a single program: representation of indigents. Within this program are activities associated with adult and juvenile criminal matters and with termination of parental rights and dependency and neglect petitions filed by DCYF. The office offers appellate representation in the Rhode Island Supreme Court in those areas in which it offers trial level representation. Assisting the attorneys who deliver the primary service of the office is a support staff of social workers, investigators, interpretive and information technology staff, and clericals.

The Public Defender is appointed by the Governor with the advice and consent of the Senate for a six year term.

### Agency Objectives

To provide high quality representation to all indigents in criminal and parental rights litigation, fulfilling the governmental obligation to provide effective assistance of counsel and to endeavor to secure fundamental fairness and due process to the indigent citizens of Rhode Island.

### Statutory History

The Office of the Public Defender was created in 1941 by Chapter 1007 of the Rhode Island Public Laws. It was one of the earliest, and perhaps even the first, statewide Public Defender agencies in the nation. Title 12 Chapter 15 of the Rhode Island General Laws defines the organization and functions of the agency and mandates it to represent those who are without financial resources to retain private counsel. Title 14 Chapter 1 describes the referral process by the Family Court, and Title 40 Chapter 11 authorizes referral of dependency, neglect and termination of parental rights cases to the Office by the Family Court.

# The Budget

## Office of the Public Defender

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	5,368,633	5,755,548	6,465,628	6,586,273
Other State Operations	578,089	506,278	554,562	555,332
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	-	-	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$5,946,722</b>	<b>\$6,261,826</b>	<b>\$7,020,190</b>	<b>\$7,141,605</b>
Capital Improvements	-	-	-	-
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$5,946,722</b>	<b>\$6,261,826</b>	<b>\$7,020,190</b>	<b>\$7,141,605</b>
<b>Expenditures By Funds</b>				
General Revenue	5,544,192	5,888,550	6,491,537	6,722,438
Federal Funds	402,530	373,276	528,653	419,167
<b>Total Expenditures</b>	<b>\$5,946,722</b>	<b>\$6,261,826</b>	<b>\$7,020,190</b>	<b>\$7,141,605</b>
<b>FTE Authorization</b>	<b>79.5</b>	<b>83.2</b>	<b>86.2</b>	<b>86.2</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	12.0%	12.0%	15.0%	17.0%
Females as a Percentage of the Workforce	58.8%	60.0%	60.0%	60.0%
<b>Program Measures</b>				
Percentage by which Attorney Caseload Exceeds National Standards - Felonies	31.4%	71.5%	65.0%	65.0%
Percentage by which Attorney Caseload Exceeds National Standards - Misdemeanors	150.0%	167.0%	151.0%	151.0%
Average Percentage of the Continuing Legal Education Requirement Fulfilled with Public Defender Sponsored Courses (All Attorneys)	55.0%	45.0%	80.0%	80.0%

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# **Natural Resources**

Department of Environmental Management  
Bureau of Policy and Administration  
Bureau of Natural Resources  
Bureau of Environmental Protection

Coastal Resources Management Council  
State Water Resources Board

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## Natural Resources Function Expenditures

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	FY 2002 Audited	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditure by Object</b>				
Personnel	46,076,283	46,156,090	50,320,608	50,879,996
Other State Operations	8,674,628	10,039,540	10,101,123	10,497,388
Aid to Local Units of Government	-	-	-	-
Assistance, Grants, and Benefits	1,857,938	2,715,631	7,371,322	5,088,338
<b>Subtotal: Operating Expenditures</b>	<b>\$56,608,849</b>	<b>\$58,911,261</b>	<b>\$67,793,053</b>	<b>\$66,465,722</b>
Capital Improvements	6,461,508	6,997,913	16,072,003	13,959,082
Capital Debt Service	-	1,550	-	-
<b>Total Expenditures</b>	<b>\$63,070,357</b>	<b>\$65,910,724</b>	<b>\$83,865,056</b>	<b>\$80,424,804</b>
<b>Expenditures by Funds</b>				
General Revenue	34,713,158	34,488,081	34,145,290	35,384,403
Federal Funds	16,531,643	16,529,250	29,034,864	27,145,017
Restricted Receipts	7,862,699	13,156,454	15,845,877	12,756,145
Other Funds	3,962,857	1,736,939	4,839,025	5,139,239
<b>Total Expenditures</b>	<b>\$63,070,357</b>	<b>\$65,910,724</b>	<b>\$83,865,056</b>	<b>\$80,424,804</b>
<b>FTE Authorization</b>	<b>624.5</b>	<b>586.7</b>	<b>576.7</b>	<b>576.7</b>

# The Agency

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## Department of Environmental Management

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### Agency Operations

The mission of the Department of Environmental Management is to enhance the quality of life for this and future generations by: protecting, managing, and restoring the natural resources of the state; enhancing outdoor recreation opportunities; protecting public health; preventing environmental degradation; guiding the utilization of the state's natural resources to provide for sustainable economic opportunity while sustaining the natural environment; and motivating the citizens of the state to practice an environmental ethic based upon an understanding of their environment, their own dependence on it, and the ways in which their actions affect it.

### Agency Objectives

To ensure Rhode Islanders have equal access to environmental benefits. To prevent any segment of Rhode Island's population from bearing a disproportionate share of environmental risks and pollution.

To educate residents and corporate entities to practice an environmental ethic based upon an understanding of their environment, and how their interactions affect it.

To ensure air, water, and land resources are restored and maintained to protect public health and ecological integrity.

To take necessary actions to preserve and enhance resources of the Narragansett Bay and coastal environments.

To practice careful stewardship of Rhode Island's finite water, air, land, agriculture, forest resources, and to ensure that Rhode Island's rich aquatic resources are maintained for the future.

To ensure all residents and visitors will have the opportunity to enjoy a diverse mix of well-maintained, scenic and accessible facilities and outdoor recreation opportunities.

To educate citizens so all Rhode Islanders prevent pollution and minimize waste at the source.

To ensure natural habitats are managed in such a way that the biodiversity of species is maintained.

To promote economic opportunity that preserves the state's resources and maintains Rhode Island's high quality of life for a sound economy.

To revitalize, protect and restore urban areas for reuse while conserving ecologically sensitive urban areas.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department of Environmental Management

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Bureau of Policy and Administration	14,116,634	12,343,952	16,622,433	16,271,095
Bureau of Natural Resources	28,011,266	26,429,606	34,671,410	33,066,970
Bureau of Environmental Protection	17,024,876	18,594,019	20,863,805	23,433,023
<b>Total Expenditures</b>	<b>\$59,152,776</b>	<b>\$57,367,577</b>	<b>\$72,157,648</b>	<b>\$72,771,088</b>
<b>Expenditures By Object</b>				
Personnel	42,925,103	42,870,215	46,019,174	47,250,050
Other State Operations	8,059,160	9,229,547	9,397,190	9,344,472
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,796,036	2,493,653	6,990,510	4,536,826
<b>Subtotal: Operating Expenditures</b>	<b>\$52,780,299</b>	<b>\$54,593,415</b>	<b>\$62,406,874</b>	<b>\$61,131,348</b>
Capital Improvements	6,372,477	2,772,612	9,750,774	11,639,740
Capital Debt Service	-	1,550	-	-
<b>Total Expenditures</b>	<b>\$59,152,776</b>	<b>\$57,367,577</b>	<b>\$72,157,648</b>	<b>\$72,771,088</b>
<b>Expenditures By Funds</b>				
General Revenue	32,484,833	32,005,256	31,716,609	32,695,029
Federal Funds	15,365,640	14,710,059	26,336,366	24,500,017
Restricted Receipts	7,768,695	9,206,936	9,651,573	10,928,878
Other Funds	3,533,608	1,445,326	4,453,100	4,647,164
<b>Total Expenditures</b>	<b>\$59,152,776</b>	<b>\$57,367,577</b>	<b>\$72,157,648</b>	<b>\$72,771,088</b>
<b>FTE Authorization</b>	<b>585.5</b>	<b>549.7</b>	<b>539.7</b>	<b>538.7</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	6.3%	6.5%	6.0%	5.8%
Females as a Percentage of the Workforce	34.5%	34.7%	34.0%	33.5%

# The Program

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## Department of Environmental Management Bureau of Policy and Administration

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### Program Operations

The Bureau of Policy and Administration is composed of the Office of the Director, the Office of Human Resources, the Office of Management Services, and the Office of Program Development and the Office of Management Information Systems.

The Office of the Director includes the following offices: Legal Services, which represents the department in hearings and enforcement; Administrative Adjudication, which hears appeals of department permitting, regulatory, and enforcement decisions; and Criminal Investigation, which investigates and helps prosecute solid, medical, and hazardous waste violations.

Human Resources provides administrative human resources support for DEM's 539.7 full-time employees (FY 2004 authorized) and approximately 475 seasonal positions. Functions include payroll, personnel administration, labor relations, equal employment opportunity, minority recruitment/internship program, and training.

Management Services is responsible for managing the financial, budget, licensing and business support services for the department. This involves maintaining approximately two hundred separate accounts for the department's programs, as well as the issuance of 38,000 boat registrations and all hunting and fishing licenses.

Program Development is divided into two sections, each with distinct planning functions. The Strategic Planning and Policy Section focuses on DEM-wide policy and strategic planning analysis, as well as the watershed approach and sustainable development practices. The Planning and Development Section is responsible for land acquisitions (state parks and facilities) and the engineering/design work associated with improvements to state parks and other facilities. It also administers various local matching grant programs for outdoor recreation, open space preservation and greenways/trails grants.

The Management Information Systems (MIS) Office provides departmentwide computer/technology services including the environmental permit database, e-government services, a help desk, and administering major federal grants for information reporting.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department of Environmental Management Bureau of Policy and Administration

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Administration	4,289,235	4,483,388	4,465,620	4,514,305
Environmental Coordination	1,428,982	964,882	1,406,949	1,052,825
Management Services	1,727,167	2,981,452	2,587,849	2,791,155
Legal Services	569,443	375,232	358,109	458,115
Employee Relations/Human Resources	660,793	575,898	576,509	568,326
Planning and Development	4,330,400	1,776,892	6,015,220	5,652,839
Administrative Adjudication	829,162	898,842	941,812	963,165
Permit Streamlining	281,452	287,366	270,365	270,365
<b>Total Expenditures</b>	<b>\$14,116,634</b>	<b>\$12,343,952</b>	<b>\$16,622,433</b>	<b>\$16,271,095</b>
<b>Expenditures By Object</b>				
Personnel	7,765,785	7,943,842	7,936,322	7,885,598
Other State Operations	3,304,407	3,504,708	3,413,015	3,436,043
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	417,767	253,856	1,823,096	1,749,454
<b>Subtotal: Operating Expenditures</b>	<b>\$11,487,959</b>	<b>\$11,702,406</b>	<b>\$13,172,433</b>	<b>\$13,071,095</b>
Capital Improvements	2,628,675	641,546	3,450,000	3,200,000
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$14,116,634</b>	<b>\$12,343,952</b>	<b>\$16,622,433</b>	<b>\$16,271,095</b>
<b>Expenditures By Funds</b>				
General Revenue	8,334,662	8,165,085	8,166,859	8,264,846
Federal Funds	1,834,663	230,578	3,101,533	2,791,206
Restricted Receipts	2,702,132	3,271,423	3,089,023	2,767,879
Other Funds	1,245,177	676,866	2,265,018	2,447,164
<b>Total Expenditures</b>	<b>\$14,116,634</b>	<b>\$12,343,952</b>	<b>\$16,622,433</b>	<b>\$16,271,095</b>
<b>Program Measures</b>				
Land Protection and Acquisition	48.8%	61.0%	69.0%	75.0%

# The Program

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## Department of Environmental Management Bureau of Natural Resources

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### Program Operations

The Bureau of Natural Resources is administered by the Associate Director for Natural Resources Management and is organized into seven units.

The Fish and Wildlife unit manages the state's marine, freshwater, and upland resources to achieve a sustained yield for commercial fishermen, recreational fishermen and hunters; enhance non-consumptive uses of wildlife by all Rhode Islanders; and to protect the state's rare, threatened, and endangered species.

The Agriculture and Marketing unit includes animal health, mosquito abatement, pesticide regulation, marketing and promotion, and nursery stock disease inspection.

The Enforcement unit is a 24-hour patrol on the state's land and waters, protecting Rhode Island's multi-million dollar commercial fish and shellfish industries; enforcing state laws governing the recreational take of fish and wildlife; enforcing leisure boating laws, and; protecting public health through response to environmental emergencies.

The Parks and Recreation unit manages the state park system, consisting of eight major state parks, seven state beaches, a golf course, the East Bay and Blackstone Bike Paths, and other secondary parks, historic sites and monuments totaling approximately 14,000 acres.

The Forest Environment unit manages 45,000 acres of state-owned rural forests including the coordination of a statewide forest fire protection plan, forest fire protection on state lands, assistance to rural volunteer fire departments, an urban forestry grant program, and forest and wildlife management plans for private landowners.

The Coastal Resources unit develops, manages and maintains commercial fishing piers in Galilee, Newport, Jerusalem, Narragansett, and waterfront property in Providence.

The Narragansett Bay Estuarine Research Reserve consists of portions on four islands in Narragansett Bay and the waters around them. It supports academic research in estuarine ecology, weather and water quality and provides recreational opportunities funded by the national Oceanographic and Atmospheric Administration.

### Program Objective

The objectives of this bureau are to provide careful stewardship of the state's finite water, air, land, agricultural, forest resources, and the conservation of the state's rich aquatic resources; and provide people with a diverse mix of well-maintained, scenic, and accessible facilities and outdoor recreational opportunities.

### Statutory History

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department of Environmental Management Bureau of Natural Resources

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Fish, Wildlife & Estuarine Resources	8,512,619	6,625,989	11,532,137	10,284,260
Agriculture	2,185,061	2,086,560	2,342,981	2,346,190
Enforcement	3,434,065	3,510,308	3,774,793	3,946,275
Natural Resources Administration	1,145,324	1,292,461	1,971,726	1,875,363
Parks and Recreation	8,492,110	8,471,577	9,875,749	9,815,176
Forest Environment	2,546,309	3,209,247	3,611,126	3,190,155
Coastal Resources	1,695,778	1,233,464	1,562,898	1,609,551
<b>Total Expenditures</b>	<b>\$28,011,266</b>	<b>\$26,429,606</b>	<b>\$34,671,410</b>	<b>\$33,066,970</b>
<b>Expenditures By Object</b>				
Personnel	19,415,410	18,222,500	20,268,052	20,380,749
Other State Operations	3,765,392	4,938,527	4,861,550	4,795,443
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,086,662	1,271,211	3,241,034	1,832,372
<b>Subtotal: Operating Expenditures</b>	<b>\$24,267,464</b>	<b>\$24,432,238</b>	<b>\$28,370,636</b>	<b>\$27,008,564</b>
Capital Improvements	3,743,802	1,997,368	6,300,774	6,058,406
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$28,011,266</b>	<b>\$26,429,606</b>	<b>\$34,671,410</b>	<b>\$33,066,970</b>
<b>Expenditures By Funds</b>				
General Revenue	15,325,758	14,934,241	15,169,404	15,417,355
Federal Funds	7,796,803	8,051,961	14,057,054	11,793,867
Restricted Receipts	2,600,274	2,674,944	3,256,870	3,655,748
Other Funds	2,288,431	768,460	2,188,082	2,200,000
<b>Total Expenditures</b>	<b>\$28,011,266</b>	<b>\$26,429,606</b>	<b>\$34,671,410</b>	<b>\$33,066,970</b>
<b>Program Measures</b>				
Quahog Biomass in Metric Tons as a Percentage of Biomass Required for Stock to be Self-Sustaining	65.7%	70.0%	77.0%	85.0%
Percentage of Rhode Island Farms Certified in Good Agricultural Practice	NA	0.03%	0.05%	0.07%
Percentage of RI Communities on Designated Levels in the Urban Forestry Program: Percentage of RI Communities on at least;				
Project Level	100.0%	100.0%	100.0%	100.0%
Formative Level	75.0%	80.0%	80.0%	80.0%
Developmental Level	50.0%	50.0%	52.5%	55.0%
Sustained Level	17.5%	20.0%	22.5%	25.0%

# The Program

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## **Department of Environmental Management Bureau of Environmental Protection**

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### **Program Operations**

The Bureau of Environmental Protection is composed of the department's environmental regulatory and assistance programs. Water Resources includes the federally delegated Rhode Island Pollutant Discharge Elimination System, Underground Injection Control, Groundwater & Surface Water Quality Certification, Individual Septic Disposal Systems and Freshwater Wetlands. Other programs include Water Quality Restoration Studies (Total Maximum Daily Loading, or TMDL), Shellfishing Area Water Quality Monitoring and the Wastewater Treatment Facility and Sludge Management programs.

The Office of Compliance and Inspection responds to citizen complaints and monitors regulatory compliance with regulations in Air Resources, Waste Management, and Water Resources. It prioritizes the most serious transgressions and coordinates appropriate enforcement actions. The Office of Customer and Technical Assistance assists the public in determining required permits and coordinates review of multiple applications and permits if required. The Office assists businesses in their efforts to prevent pollution and gauge the effectiveness of regulations through its Environmental Results program.

The Office of Air Resources is responsible for the protection and improvement of the air resources of the state through monitoring and regulating the emission of air pollutants from stationary and mobile sources. The Office of Waste Management includes the Site Remediation Program to address hazardous materials in the environment; Brownfield initiatives; Superfund and Department of Defense site programs; the Waste Facility Management program (for hazardous, medical, and solid waste transportation and disposal, including state and municipal landfills); and the Underground Storage Tank Program.

The Bureau also manages the day-to-day operation of the Emergency Response Program, which prepares for potential crises from such incidents as oil spills, hazardous material incidents, terrorism, and natural disasters.

### **Program Objective**

The objectives of this bureau are to ensure that the quality of Rhode Island's air, water, and land resources are restored and maintained, primarily through regulating activities that impact the environment. Programs are designed to effectively regulate activities to protect public health, to prevent further degradation and ensure that the state's environmental resources retain their ecological integrity, and to support the restoration of the environment where it has been adversely impacted by past activities. Further objectives of the bureau are to develop and maintain a bureau-wide ethic of customer assistance, and to emphasize the minimization and prevention of pollution at the source wherever possible.

### **Statutory History**

R.I.G.L. 42-17.1 establishes the Department of Environmental Management.

# The Budget

## Department of Environmental Management Bureau of Environmental Protection

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Subprogram</b>				
Water Resources	6,570,669	7,390,343	7,211,979	7,899,012
Environmental Protection Administration	106,160	94,893	135,175	148,901
Compliance & Inspection	2,276,186	2,168,586	2,159,927	2,269,724
Technical & Customer Assistance	893,558	797,003	772,923	500,290
RIPDES	-	-	842,540	865,682
Air Resources	3,630,453	3,604,494	4,073,083	3,530,364
Waste Management	3,251,802	2,852,334	4,084,010	5,195,150
Environmental Response	296,048	1,686,366	1,584,168	3,023,900
<b>Total Expenditures</b>	<b>\$17,024,876</b>	<b>\$18,594,019</b>	<b>\$20,863,805</b>	<b>\$23,433,023</b>
<b>Expenditures By Object</b>				
Personnel	15,743,908	16,703,873	17,814,800	18,983,703
Other State Operations	989,361	786,312	1,122,625	1,112,986
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	291,607	968,586	1,926,380	955,000
<b>Subtotal: Operating Expenditures</b>	<b>\$17,024,876</b>	<b>\$18,458,771</b>	<b>\$20,863,805</b>	<b>\$21,051,689</b>
Capital Improvements	-	133,698	-	2,381,334
Capital Debt Service	-	1,550	-	-
<b>Total Expenditures</b>	<b>\$17,024,876</b>	<b>\$18,594,019</b>	<b>\$20,863,805</b>	<b>\$23,433,023</b>
<b>Expenditures By Funds</b>				
General Revenue	8,824,413	8,905,930	8,380,346	9,012,828
Federal Funds	5,734,174	6,427,520	9,177,779	9,914,944
Restricted Receipts	2,466,289	3,260,569	3,305,680	4,505,251
<b>Total Expenditures</b>	<b>\$17,024,876</b>	<b>\$18,594,019</b>	<b>\$20,863,805</b>	<b>\$23,433,023</b>
<b>Program Measures</b>				
Percentage of Sites Suspected or Identified as Contaminated that are Cleaned Up Annually	61.0%	46.0%	42.5%	42.5%
Percentage of Operating Permit Programs that are Inspected Annually	60.0%	57.0%	70.0%	70.0%
Percentage of Complaints Received that are Investigated	98.0%	92.0%	90.0%	90.0%
Percentage of Emission Caps that are Inspected Annually	27.0%	40.0%	25.0%	25.0%
Average Number of Days Required to Process Wetlands Permits from Receipt of Application to Final Decision Date	74	93	74	65

# The Agency

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## Coastal Resources Management Council

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### Agency Operations

Rhode Island law mandates the Coastal Resources Management Council to preserve, protect, develop and, where possible, restore the coastal resources of the state. The council is administered by sixteen appointed representatives from the public, state, and local governments. It is staffed by professional engineers, biologists, environmental scientists, and marine resource specialists. The public is given regular opportunities at public hearings to comment on how the coastal resources of the state should be managed. The council carries out its permitting, enforcement and planning functions primarily through its management programs. Coastal Resources Management Plans are considered to be the environmental management “blueprint” for coastal zone management in the state, and are drafted to meet federal mandates. Special Area Management Plans address specific issues associated with designated management areas. Municipal Harbor Management Plans address issues at the municipal level regarding the management of activities occurring in, or on, the waters of a town. The Coastal Resources Management Council is closely involved with the National Oceanic and Atmospheric Administration of the U.S. Department of Commerce in a series of initiatives to improve the management of the state’s coastal resources. New legislation in 1996 authorized the CRMC as the lead agency (including permitting and planning activities) for dredging and aquaculture, as well as transferring certain freshwater wetlands and permitting responsibility from the Department of Environmental Management to the council. Legislation in 2001 established the Coastal Habitat Restoration Program to include program development and specific projects.

### Agency Objectives

To preserve, protect, develop and, where possible, restore the coastal resources of the state. Maintain a balance between conservation and development and between conflicting private and public interests that will provide the greatest long-term benefits.

To protect and preserve valuable natural and cultural features such as historic sites, barrier beaches, coastal ponds, wetlands, and fishing grounds that are subject to development and misuse.

To protect and promote public access to the shore and provide high quality recreational opportunities to all who come to the Rhode Island shore. Provide suitable waterfront sites for industries and business needing direct coastal access.

To direct new development away from sensitive areas and into already developed areas.

To establish a working partnership among the public and local, state, and federal governments.

### Statutory History

R.I.G.L. 46-23 establishes the duties and functions of the council. Federally, 16 U.S.C. 1451 (Coastal Zone Management Act) establishes the authority to develop management programs.

# The Budget

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## Coastal Resources Management Council

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,953,664	2,493,829	3,378,785	2,676,389
Other State Operations	357,076	602,268	479,808	927,562
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	11,442	1,564	1,400	1,400
<b>Subtotal: Operating Expenditures</b>	<b>\$2,322,182</b>	<b>\$3,097,661</b>	<b>\$3,859,993</b>	<b>\$3,605,351</b>
Capital Improvements	-	3,497,963	5,382,304	932,267
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$2,322,182</b>	<b>\$6,595,624</b>	<b>\$9,242,297</b>	<b>\$4,537,618</b>
 <b>Expenditures By Funds</b>				
State General Revenue	1,156,179	1,450,970	1,488,995	1,460,351
Federal Grants	1,166,003	1,646,691	2,370,998	2,145,000
Restricted Receipts	-	3,352,963	5,210,304	932,267
Other	-	145,000	172,000	-
<b>Total Expenditures</b>	<b>\$2,322,182</b>	<b>\$6,595,624</b>	<b>\$9,242,297</b>	<b>\$4,537,618</b>
 <b>FTE Authorization</b>				
	<b>30.0</b>	<b>28.0</b>	<b>28.0</b>	<b>29.0</b>
 <b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	42.8%	39.3%	39.3%	39.3%
 <b>Program Measures</b>				
Cumulative Percentage of Shoreline Miles with Designated Right-of-Way Sites	51.4%	52.1%	52.8%	53.6%

# The Agency

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## State Water Resources Board

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### Agency Operations

The Rhode Island Water Resources Board is a water supply development and regulatory agency, which promotes the planning, development, and conservation of water supplies to ensure that sufficient water resources are available for present and future generations of Rhode Islanders. The Board accomplishes this broad program responsibility through the 39 municipal water supply systems located around the state. The Board oversees the planning, coordination, development and allocation of both surface and ground water supplies by the municipal systems to ensure the availability and quality of water. The Board may additionally acquire sites, dams, water rights, rights of way, easements and other property for reservoirs, ground water wells, well sites, pumping stations and filtration plants for the treatment and distribution of water as well as construction of water systems.

The Board is directed by a General Manager who is appointed by the Board to carry out its policy and direction. The Board is comprised of thirteen members. Six members represent the public and are appointed by the Governor, of which two are affiliated with the public water supply systems; one with the agriculture council; one is a member from the House of Representatives who is appointed by the Speaker; one member is from the Senate who is appointed by the Majority Leader of the Senate; the five remaining members are the Director of the Department of Environmental Management, the Director of the Economic Development Corporation, the Director of the Department of Health, the Director of the Department of Administration and the Chairman of the Joint Committee on Water Resources.

### Agency Objectives

Promote the planning, development, allocation and conservation of the State's water resources.

### Statutory History

The Rhode Island Water Resources Board was established in 1967. Chapter 15 of Title 46 of the Rhode Island General Laws authorizes the organization and functions of the Board.

# The Budget

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## State Water Resources Board

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	1,197,516	792,046	922,649	953,557
Other State Operations	258,392	207,725	224,125	225,354
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	50,460	220,414	379,412	550,112
<b>Subtotal: Operating Expenditures</b>	<b>\$1,506,368</b>	<b>\$1,220,185</b>	<b>\$1,526,186</b>	<b>\$1,729,023</b>
Capital Improvements	89,031	727,338	938,925	1,387,075
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,595,399</b>	<b>\$1,947,523</b>	<b>\$2,465,111</b>	<b>\$3,116,098</b>
<b>Expenditures By Funds</b>				
General Revenue	1,072,146	1,031,855	939,686	1,229,023
Federal Grants	-	172,500	327,500	500,000
Restricted Receipts	94,004	596,555	984,000	895,000
Other Funds	429,249	146,613	213,925	492,075
<b>Total Expenditures</b>	<b>\$1,595,399</b>	<b>\$1,947,523</b>	<b>\$2,465,111</b>	<b>\$3,116,098</b>
<b>FTE Authorization</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>	<b>9.0</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	-	-	-	-
Females as a Percentage of the Workforce	55.5%	55.5%	55.6%	62.5%
<b>Performance Measures</b>				
Number of Houses Remaining at the Big River Management Area	46	44	41	39
Emergency Water Connections Established per Year	4	4	4	4
Cummulative Percentage of Draft Water Studies Received	16.6%	33.3%	66.6%	83.3%

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# **Transportation**

Department of Transportation  
Central Management  
Management and Budget  
Infrastructure (Maintenance)  
Infrastructure (Engineering)

## Transportation Function Expenditures

	FY 2002 Audited	FY 2003 Unaudited	FY 2004 Revised	FY 2005 Recommended
<b>Expenditure by Object</b>				
Personnel	97,738,126	95,107,122	92,211,138	92,769,061
Other State Operations	22,823,321	29,624,395	23,198,350	23,057,639
Aid to Local Units of Government	831,779	-	-	-
Assistance, Grants, and Benefits	62,415,224	32,060,554	53,090,269	50,480,630
<b>Subtotal: Operating Expenditures</b>	<b>183,808,450</b>	<b>156,792,071</b>	<b>168,499,757</b>	<b>166,307,330</b>
Capital Improvements	105,667,681	118,012,433	141,603,031	102,028,654
Capital Debt Service	-	-	9,440,000	51,409,363
<b>Total Expenditures</b>	<b>289,476,131</b>	<b>274,804,504</b>	<b>319,542,788</b>	<b>319,745,347</b>
 <b>Expenditures by Funds</b>				
General Revenue	-	-	-	-
Federal Funds	170,939,525	149,907,602	197,679,597	207,421,454
Restricted Receipts	15,800,030	23,114,599	18,268,814	12,181,209
Other Funds	102,736,576	101,782,303	103,594,377	100,142,684
<b>Total Expenditures</b>	<b>289,476,131</b>	<b>274,804,504</b>	<b>319,542,788</b>	<b>319,745,347</b>
 <b>FTE Authorization</b>	 <b>864.3</b>	 <b>820.7</b>	 <b>812.7</b>	 <b>812.7</b>

# The Agency

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## Department of Transportation

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### Agency Operations

The Department of Transportation, under the leadership of a director appointed by the Governor, is responsible for the maintenance and construction of a quality infrastructure which reflects the transportation needs of the citizens of the state. These tasks include supervision of all planning, design, construction and upgrading activities associated with the implementation of the Transportation Improvement Program (TIP). The department operates within three major functional components: Central Management, Management and Budget, and Infrastructure.

Prior to FY 1994 funding was provided through general revenue, dedicated receipts and federal aid. In FY 1994 the State of Rhode Island established an Intermodal Surface Transportation Fund (ISTF) to finance all of the department's personnel, operating, and capital improvement expenditures, as well as highway debt service, RIPTA operating funds and elderly transportation services. State funding for these operations is provided by earmarking 28.0 cents of the state gasoline tax in FY 2005.

The Department of Transportation is responsible for the maintenance of over 1,300 miles of highways and over 775 bridges, and the inspection of all bridges, both municipal and state, that are greater than 20 feet in length. Maintenance is funded by the Rhode Island Transportation Fund. Road and bridge capital improvements are financed by federal funds (with an average share of eighty percent), and the remaining share is financed by state bond issues. The Infrastructure program is funded by the Federal Highway Administration under the authority of the Intermodal Surface Transportation Efficiency Act of 1991.

### Agency Objectives

To maintain and provide a safe, efficient, environmentally, aesthetically and culturally sensitive intermodal transportation network that offers a variety of convenient, cost effective mobility opportunities for people and the movement of goods supporting economic development and improved quality of life.

### Statutory History

In 1970, the department assumed the responsibilities of the Department of Public Works, Registry of Motor Vehicles, and Council on Highway Safety. The director also sits on the boards of the Rhode Island Turnpike and Bridge Authority (responsible for the operations of the Mount Hope and Newport Bridges) and the Rhode Island Public Transit Authority (the statewide bus system). R.I.G.L. 42-13 establishes the organization and functions of the department. R.I.G.L. 31-36-20 established the Intermodal Service Transportation Fund (Rhode Island Transportation Fund). Article 21 of P.L. 1994, Chapter 70 transferred the Registry of Motor Vehicles to the Department of Administration.

# The Budget

## Department of Transportation

	FY 2002	FY 2003	FY 2004	FY 2005
	Actual	Actual	Revised	Recommended
<b>Expenditures by Program</b>				
Central Management	4,290,487	7,360,513	12,687,849	12,507,646
Management and Budget	1,658,553	1,109,822	1,916,961	1,945,792
Infrastructure - Engineering	248,842,103	222,202,925	265,333,861	265,801,565
Infrastructure - Maintenance	34,684,988	44,131,244	39,604,117	39,490,344
<b>Total Expenditures</b>	<b>\$289,476,131</b>	<b>\$274,804,504</b>	<b>\$319,542,788</b>	<b>\$319,745,347</b>
<b>Expenditures By Object</b>				
Personnel	97,738,126	95,107,122	92,211,138	92,769,061
Other State Operations	22,823,321	29,624,395	23,198,350	23,057,639
Aid To Local Units Of Government	831,779	-	-	-
Assistance, Grants and Benefits	62,415,224	32,060,554	53,090,269	50,480,630
<b>Subtotal: Operating Expenditures</b>	<b>\$183,808,450</b>	<b>\$156,792,071</b>	<b>\$168,499,757</b>	<b>\$166,307,330</b>
Capital Improvements	105,667,681	118,012,433	141,603,031	102,028,654
Capital Debt Service	-	-	9,440,000	51,409,363
<b>Total Expenditures</b>	<b>\$289,476,131</b>	<b>\$274,804,504</b>	<b>\$319,542,788</b>	<b>\$319,745,347</b>
<b>Expenditures By Funds</b>				
Federal Funds	170,939,525	149,907,602	197,679,597	207,421,454
Restricted Receipts	15,800,030	23,114,599	18,268,814	12,181,209
Other	22,549,632	20,043,316	5,650,565	5,060,565
Gas Tax	80,186,944	81,738,987	97,943,812	95,082,119
<b>Total Expenditures</b>	<b>\$289,476,131</b>	<b>\$274,804,504</b>	<b>\$319,542,788</b>	<b>\$319,745,347</b>
<b>FTE Authorization</b>	<b>864.3</b>	<b>820.7</b>	<b>812.7</b>	<b>812.7</b>
<b>Agency Measures</b>				
Minorities as a Percentage of the Workforce	9.0%	9.0%	8.9%	9.2%
Females as a Percentage of the Workforce	20.0%	20.0%	20.2%	21.0%

# The Program

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## Department of Transportation Central Management

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### Program Operations

The program consists of eight functional units:

The Office of the Director is responsible for providing vision, leadership and policy development for the Department of Transportation. These responsibilities help to ensure that the state's transportation services to the public are safe, efficient, environmentally prudent, and consumer oriented. The Legal Office is responsible for providing advice and legal representation for the department. It works with program administrators to establish policies, procedures and regulations that enable them to carry out the mandates and program objectives in a manner that is lawful, cost-effective, and equitable. The Human Resources Office is responsible for employee relations, labor relations, human resource development, the affirmative action program, staff development and training programs, and the processing of documentation pertaining to personnel actions. The Governor's Office on Highway Safety coordinates programs with local, state and federal agencies to reduce highway deaths and injuries, and to promote public awareness of the correlation between highway safety and alcohol and substance abuse through the development and distribution of educational information. Public Affairs assists the department by developing informational materials for active transportation projects. Informational brochures are prepared and public workshops are undertaken whenever necessary to better communicate the effects of various transportation related projects. Property and Right of Way acquires real estate necessary for highway and other construction projects through appraisals, titles, leases, easements and property relocation assistance. Internal Audit is responsible for internal controls and departmental audits

### Program Objective

To maintain a system of centralized program services, which promotes optimum utilization of departmental resources consistent with all federal, state and departmental policies, objectives, and procedures.

### Statutory History

R.I.G.L. 42-13 governs the Department of Transportation.

# The Budget

## Department of Transportation Central Management

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	3,168,094	3,412,849	4,224,569	4,319,366
Other State Operations	127,265	3,253,086	1,453,323	1,700,323
Aid To Local Units Of Government	364,135	-	-	-
Assistance, Grants and Benefits	550,538	577,120	6,895,450	6,373,450
<b>Subtotal: Operating Expenditures</b>	<b>\$4,210,032</b>	<b>\$7,243,055</b>	<b>\$12,573,342</b>	<b>\$12,393,139</b>
Capital Improvements	80,455	117,458	114,507	114,507
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$4,290,487</b>	<b>\$7,360,513</b>	<b>\$12,687,849</b>	<b>\$12,507,646</b>
<b>Expenditures By Funds</b>				
Federal Funds	1,518,673	4,138,421	9,220,305	8,962,162
Gas Tax	2,771,814	3,222,092	3,467,544	3,545,484
<b>Total Expenditures</b>	<b>\$4,290,487</b>	<b>\$7,360,513</b>	<b>\$12,687,849</b>	<b>\$12,507,646</b>
<b>Program Measures</b>				
Number of Vehicle Accident Fatalities per 100,000 Persons in the State's Population	8.4	10.2	7.5	7.0
Vehicle Crash Injuries per 100,000 Persons in the State's Population	575.4	575.4	476.4	476.4

# The Program

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## Department of Transportation Management and Budget

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### Program Operations

The Management and Budget program consists of six functional units:

Contract Administration develops and monitors all preliminary engineering and construction contracts, and negotiates consultant engineering fees and staff hours as they relate to engineering projects.

Management Information Services provides data processing services (systems analysis, programming and computer operations) to all areas of the department to include such systems as progress payments, federal reimbursements and office automation functions.

Financial Management is responsible for the preparation and processing of all fiscal materials. This includes the development of the department's operating budget as well as the processing of all federally financed program documents.

Facilities Management is responsible for the development, supervision and maintenance of a comprehensive management program for the upkeep and safety of the vehicle fleet used by the department. Additionally, the unit is responsible for the coordination of the purchase and disposal of vehicles as required, and to function in a technical capacity regarding vehicle maintenance.

The Civil Rights Office is responsible for ensuring that mandated goals are achieved and that the practices and principles of affirmative action and equal employment opportunity are carried out.

External Audit performs routine and special audits as required in such areas as consultant fringe benefits, overhead rates, and final payments.

### Program Objective

To provide the necessary support staff and systems needed to ensure efficient program services.

### Statutory History

R.I.G.L. 42-13 governs the Department of Transportation which outlines its responsibilities and organization. Rhode Island General Laws Title 37 Chapters 5, 12, 12.1, 13 and 13.1 define state rules on contractors.

# The Budget

## Department of Transportation Management and Budget

	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Expenditures By Object</b>				
Personnel	1,764,103	1,777,277	1,856,258	1,900,131
Other State Operations	618,213	542,017	842,290	842,290
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	1,442	1,564	-	-
<b>Subtotal: Operating Expenditures</b>	<b>\$2,383,758</b>	<b>\$2,320,858</b>	<b>\$2,698,548</b>	<b>\$2,742,421</b>
Capital Improvements	(725,205)	(1,211,036)	(781,587)	(796,629)
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$1,658,553</b>	<b>\$1,109,822</b>	<b>\$1,916,961</b>	<b>\$1,945,792</b>
<b>Expenditures By Funds</b>				
Gas Tax	1,658,553	1,109,822	1,916,961	1,945,792
<b>Total Expenditures</b>	<b>\$1,658,553</b>	<b>\$1,109,822</b>	<b>\$1,916,961</b>	<b>\$1,945,792</b>
<b>Program Measures</b>	NA	NA	NA	NA

# The Program

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## Department of Transportation Infrastructure Engineering

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### Program Operations

Engineering consists of Design, which is responsible for maintaining a continuing comprehensive transportation program, as well as environmental assessments and other preliminary engineering required for receipt of federal funds. Research and Technology is responsible for product research and technological advances. Construction is responsible for overseeing the statewide highway reconstruction and bridge rehabilitation/replacement program totaling over \$100 million annually. Materials is responsible for testing and verification that construction materials are in compliance with established standards. Intermodal Transportation Planning is engaged in the promotion of mass transit systems and the development of intermodalism as a primary focus to offer affordable transportation to the citizens and businesses of the state. This division operates independently as a primary planning organization. Strategic Planning coordinates the scheduling of project implementation with the availability of federal funds to provide fiscal policy in overseeing the financing of the transportation construction program. Other sections include Survey and Final Review.

### Program Objectives

To develop Rhode Island's roads and bridges into an advanced, state-of-the-art ground transportation system. Maintain and improve public safety, convenience, mobility, and service.

To promote a balanced Intermodal Transportation System through the use of buses, cars and van pooling, light/freight/commuter rail, and water transportation.

To develop an Intelligent Vehicle Highway Reporting System to provide a more efficient highway program that will conform with the requirements of the Clean Air Act.

### Statutory History

R.I.G.L. 42-13 establishes the Maintenance and Public Works division and defines its role. R.I.G.L. 24-8 defines the duties of the department regarding the construction and maintenance of state roads. Title 37 Chapters 6.1, 6.2, and 7 defines state rules on land acquisition and property management.

# The Budget

## Department of Transportation Infrastructure Engineering

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	78,447,362	74,293,475	70,022,682	70,168,002
Other State Operations	5,140,689	2,151,196	1,336,642	1,336,642
Aid To Local Units Of Government	467,644	-	-	-
Assistance, Grants and Benefits	61,797,259	31,401,254	46,095,060	44,007,421
<b>Subtotal: Operating Expenditures</b>	<b>\$145,852,954</b>	<b>\$107,845,925</b>	<b>\$117,454,384</b>	<b>\$115,512,065</b>
Capital Improvements	102,989,149	114,356,869	138,439,477	98,880,137
Capital Debt Service	-	-	9,440,000	51,409,363
<b>Total Expenditures</b>	<b>\$248,842,103</b>	<b>\$222,202,794</b>	<b>\$265,333,861</b>	<b>\$265,801,565</b>
<b>Expenditures By Funds</b>				
Federal Funds	169,420,852	145,769,050	188,459,292	198,459,292
Restricted Receipts	15,800,030	23,114,599	18,268,814	12,181,209
Other	22,549,632	18,485,506	5,590,000	5,000,000
Gas Tax	41,071,589	34,833,639	53,015,755	50,161,064
<b>Total Expenditures</b>	<b>\$248,842,103</b>	<b>\$222,202,794</b>	<b>\$265,333,861</b>	<b>\$265,801,565</b>
<b>Program Measures</b>				
Cumulative Percentage Reduction of Work Site Injuries	16.0%	18.0%	18.0%	20.0%
Total Suspended Solids removed in Pounds Annually	15,850	20,000	25,100	28,000
Linear fee of State Sidewalk Retrofitted to Conform to Americans with Disabilities Act Regulations	98,813	81,255	110,000	110,000

# The Program

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## **Department of Transportation Infrastructure Maintenance**

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### **Program Operations**

The Division of Maintenance is responsible for the routine maintenance of approximately 1,100 miles of state highways, 834 bridges, along with associated roadsides and highway appurtenances. The Maintenance Division is comprised of several units that address the various aspects of the highway system. The units are Administration, Bridge, Business Office, Employees Relations, Engineering, Field Operations, Safety Barriers, Traffic Maintenance, Claims Section, Environmental Section, and Roadside Section.

### **Program Objectives**

The objective of the Division of Maintenance is to provide for the safe, comfortable, aesthetically pleasing, and efficient movement of people and commerce along the state's highway system. Our objective is met by providing a well-maintained system of highways with their associated pavements, drainage systems, roadsides, bridges, and traffic related appurtenances.

### **Statutory History**

R.I.G.L. 42-13 establishes the Maintenance and Public Works division and defines their roles. R.I.G.L. 24-8 defines the duties of the department regarding the maintenance of state roads. R.I.G.L. 24-9 establishes an emergency storm account and authorizes the director to take action necessary to respond to emergency situations.

# The Budget

## Department of Transportation Infrastructure Maintenance

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures By Object</b>				
Personnel	14,358,567	15,623,521	16,107,629	16,381,562
Other State Operations	16,937,154	23,677,965	19,566,095	19,178,384
Aid To Local Units Of Government	-	-	-	-
Assistance, Grants and Benefits	65,985	80,616	99,759	99,759
<b>Subtotal: Operating Expenditures</b>	<b>\$31,361,706</b>	<b>\$39,382,102</b>	<b>\$35,773,483</b>	<b>\$35,659,705</b>
Capital Improvements	3,323,282	4,749,142	3,830,634	3,830,639
Capital Debt Service	-	-	-	-
<b>Total Expenditures</b>	<b>\$34,684,988</b>	<b>\$44,131,244</b>	<b>\$39,604,117</b>	<b>\$39,490,344</b>
<b>Expenditures By Funds</b>				
Other	-	636,350	60,565	60,565
Gas Tax	34,684,988	43,494,894	39,543,552	39,429,779
<b>Total Expenditures</b>	<b>\$34,684,988</b>	<b>\$44,131,244</b>	<b>\$39,604,117</b>	<b>\$39,490,344</b>
<b>Program Measures</b>				
Percentage of State Roadways and Sidewalks Swept Annually	70.0%	70.0%	75.0%	80.0%
Percentage of State Roadway Miles Whose Pavment is Rated as Good or Excellent	73.0%	76.0%	79.0%	81.0%
Number of Rhode Island Bridges Listed as Structurally Deficient	199	202	195	190

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# **Special Reports**



## State Aid to Local Governments

State Aid to Local Governments, excluding School Aid, is recommended at \$215.8 million for FY 2005. This includes \$1.1 million for the Municipal Police and Fire Incentive Pay program, and represents a \$0.4 million increase from the FY 2004-revised funding level of \$215.4 million. Direct formula aid is distributed through General Revenue Sharing, Payment in Lieu of Tax Exempt Property, Distressed Communities Relief, Public Service Corporation Tax, Motor Vehicle Excise Tax Phase-Out, and Library Aid programs. The General Revenue Sharing (\$51.4 million) and the Motor Vehicle Excise Tax Phase-out (\$105.0 million) programs represent approximately seventy-two percent of total aid payments in FY 2005.

The following information provides a historical perspective on state aid to local governments. Tables showing formula aid by community for FY 2001 through FY 2005 are provided at the end of the narrative section.

### State Aid to Cities and Towns – General Revenue Sharing (RIGL 45-13-1)

This is the major unrestricted state aid program to municipalities. Beginning in FY 1994, one percent of total state tax revenues from the second prior fiscal year was earmarked as state aid to cities and towns. The distribution method, established in Section 45-13-1 of the Rhode Island General Laws, is modeled after the former federal General Revenue Sharing model and is based on per capita income and local tax burden for public purposes, excluding taxes allocated to education expenses.

For each county, city or town, tax effort is divided by per capita income squared [ $R = (\text{tax effort}) / (\text{income} \times \text{income})$ ]. The amount allocated to a county is based on the ratio of the value of R for the county to the total value of R for all five counties. The amount allocated for all cities/towns in a county is done proportionally to the total tax effort of the cities/towns in the county. Then, the amount distributed to cities/towns is based on the ratio of each city/town to the sum of all values of R for all cities/towns in the county.

During the January 1998 session of the General Assembly, Section 45-13-1 was amended to increase the percentage of general revenues distributed to cities and towns from one percent to 4.7 percent by FY 2009. This increase was intended to offset the loss in revenues to each city and town due to the phase-out of the wholesale and retail inventory tax over the same time period. During the 2002 session of the General Assembly, the annual increases were delayed by one fiscal year, resulting in the FY 2003 percentage remaining at the FY 2002 level of 2.4 percent.

The FY 2005 Budget proposes to level fund the General Revenue Sharing program in FY 2005 at the same dollar level as FY 2004 and to amend Section 45-13-1 to provide that funding for this program in FY 2005 will be set equal to the amount appropriated. In addition, the FY 2005 Budget proposes to delay the annual increases in the percentage of general revenue distributed each fiscal year by one year beginning in FY 2006. The proposed new schedule is shown below:

Fiscal Year	Percent of Reference Year Revenues
FY 1998	1.0%
FY 1999	1.3%
FY 2000	1.7%
FY 2001	2.0%
FY 2002	2.4%
FY 2003	2.4%
FY 2004	2.7%

FY 2005	Funding as appropriated
FY 2006	3.0%
FY 2007	3.4%
FY 2008	3.7%
FY 2009	4.0%
FY 2010	4.4%
FY 2011	4.7%

**Payment - in - Lieu of Tax Exempt Property (RIGL 45-13-51).** This program distributes funds to municipalities based upon qualifying tax exempt property. As originally designed, the program applied to property owned by "any private nonprofit institution of higher education or any nonprofit hospital facility."

During the January 1988 Session of the General Assembly, the list of eligible facilities was expanded to include "any state owned or operated hospital, veterans' residential facility or correctional facility occupied by more than 100 residents."

During the January 1997 Session of the General Assembly, the legislation was amended to change the amount of aid due to eligible communities from twenty-five percent of the property tax amount to twenty-seven percent.

During the January 2001 Session of the General Assembly, the legislation was further amended to remove language that permitted the distribution of a prorated share of the property tax payments due to each community if the total appropriation authorized in the annual appropriations act was insufficient to fully fund the program. During the January 2002 Session of the General Assembly, the language permitting payments to be prorated when appropriations are insufficient to fully fund the property tax due municipalities was reinstated.

The FY 2005 Budget level funds the PILOT program at the same dollar level as enacted in FY 2004, which will require payments to eligible communities to be ratably reduced in accordance with current law. Payments will be approximately ninety-three percent of what would be required if this program were fully funded in FY 2005.

**Distressed Communities Relief Program.** The Distressed Community Relief program provides assistance to the Rhode Island communities that have the highest property tax burdens relative to the wealth of taxpayers (RIGL 45-13-12). During the January 1990 Session of the General Assembly, legislation was passed creating the distressed communities relief fund. It was intended to provide assistance to the Rhode Island communities with the highest property tax burdens relative to the wealth of the taxpayers. The four indices used to determine eligibility are: percent of tax levy to full value of property, per capita income, percent of personal income to full value of property, and per capita full value of property. Any community falling into the lowest fifteen percent (15%) of at least three of the four indices is eligible for assistance.

During the January 1995 Session of the General Assembly, Section 44-13-12(d) was amended to appropriate funds directly as general revenue appropriations; this adjustment was accomplished through the conversion of state restricted receipt accounts to general revenue appropriations. However, the amount of funding dedicated to this program is still determined by two funding sources. First, five million dollars (\$5.0 million) from video lottery terminal receipts is dedicated to this fund, two million dollars (\$2.0

million) of which comes from the operators of the two facilities at which these terminals are located. Second, one-third of the state's share of the real estate conveyance tax is dedicated to this fund (\$0.30 of the \$0.90 received by the state).

The FY 2005 Budget proposes to level fund the Distressed Communities Relief Program at the same funding level as enacted in FY 2004. The FY 2005 Appropriations Act includes an article establishing that the amount to be distributed under this program in FY 2005 shall equal the amount appropriated and not the amounts collected from the Real Estate Conveyance Tax or revenue from the video lottery terminals.

**Public Service Corporation Tax (RIGL 44-13-13).** The tangible personal property of telegraph, cable, and telecommunications corporations used exclusively in conducting business for the corporation is exempt from local taxation, but is subject to taxation by the state. Tangible personal property includes lines, cables, ducts, pipes, machines and machinery and equipment. Funds collected from this tax are distributed to the municipalities on the basis of the ratio of the population of the municipality to the population of the state as a whole. These funds are collected and distributed by the state, but are not included in the annual appropriations act.

During the January 1985 Session of the General Assembly, Chapter 44-13 was amended to delete references made specifically to "telephone" corporations and to insert "telecommunications" in its place. The word "utility" was also replaced with "corporation" throughout the chapter.

The actual amounts collected from this tax are not known until near the start of each fiscal year. For presentation purposes, the same revenue amounts as received and disbursed in the prior fiscal year are displayed as the projected disbursements for the budget year. Actual receipts and disbursements may vary.

**Motor Vehicle Excise Tax Phase-Out** - During the January 1998 session, the General Assembly, under Article 28 of the FY 1999 Appropriations Act, enacted the phase out of the local excise tax on motor vehicles and trailers. Under the original legislation, motor vehicle taxes would have been phased out over a seven-year period through the application of progressively larger tax exemption amounts, beginning with a value of \$1,500 in local fiscal year 2000 and culminating in a full value exemption by local fiscal year 2006. Subsequent amendments have resulted in a lengthening of the phase out to span an eight-year period. The loss in local tax revenues, due to the application of the exemption, was to be reimbursed by the state one year in advance of the actual revenue loss by local communities. Thus, the state expended \$22.3 million in fiscal year 1999 to fund local fiscal year 2000 estimated revenue losses. For state fiscal years 2000 and 2001, the sums of \$47.3 and \$76.6 million respectively, were expended consistent with years two and three of the enacted legislation.

During the 2002 session of the General Assembly, the Motor Vehicle Phase-Out legislation was significantly modified. For state fiscal year 2002, the legislation provided that an exemption of \$4,500 would be paid to cities and towns in an advance of the actual revenue loss. Prior law had originally provided for an exemption value of \$5,000. In addition, for fiscal years 2003 and beyond, the legislation provided that the exemption will be reimbursed in the same year that the communities lose these revenues. This resulted in freezing the reimbursements for the CPI and tax roll growth components in fiscal year 2003. In addition, the legislation provided for a continuation of the \$4,500 exemption in future years, with the full phase out of the tax subject to annual review and appropriation by the General Assembly. Finally, the legislation provided for more frequent periodic payments by the state to cities, towns and fire districts. It is estimated that net payments by the state for fiscal years 2002 and 2003 will total \$99.6 and \$100.2 million, respectively.

In addition to the reimbursement on the loss of tax revenue due to the exemption, the original legislation also provided that reimbursement be made on the assumed increase in local tax rates, which were frozen to December 1996 levels. This tax rate component has been computed based upon the increase in the December CPI each year. Since the first year reimbursement was predicated upon the tax rolls as of December 1998, a two-year cumulative CPI adjustment was required. In state fiscal years 2000, 2001, and 2002, the cumulative CPI adjustment reflected increments equal to a single year. Legislation enacted as part of the FY 2004 Budget froze the CPI rate component in fiscal year 2004 and beyond. The FY 2005 Appropriations Act includes an article that would amend the Motor Vehicle and Trailer Excise Tax Elimination Act of 1998, by providing for state reimbursement of lost excise tax revenues to cities and towns based upon the *prior* local fiscal year. The change in reimbursement from a concurrent to a prior local fiscal year basis would begin in state fiscal 2005. Appropriations for FY 2005 and FY 2005 are estimated to equal \$105.0 million.

**Municipal Police - Incentive Pay (RIGL 42-28.1).** Section 42-28.1-1 of the Rhode Island General Laws established a Municipal Police Incentive Pay Program. The purpose of this program is to provide financial compensation to members of the state, city, town police departments, the Division of Drug Control of the Department of Health, Sheriffs and Deputy Sheriffs, members of the Rhode Island Marshals' unit, Rhode Island Capitol Police and the State Fire Marshal and Deputy Fire Marshals who have earned college credits in the field of police work. Payments are made by the state directly to the municipalities, which, in turn, make payments to the participants in the program. The department for which they work makes payments to eligible state employees.

This program was funded at 100 percent of the incentive payable to participants through FY 1991. Since FY 1992, the incentive payable to participants has been prorated at the following percentages:

<u>Fiscal Year</u>	<u>Percentage</u>
1992	64.0
1993	47.9
1994	22.7
1995	29.3
1996	16.7
1997	19.4
1998	16.6
1999	17.9
2000	19.3
2001	20.9
2002	23.2
2003	23.3
2004	23.0

The percentage of the total requirement that FY 2005 funding will cover will not be known until the cities and towns submit the names of qualified recipients and the incentive amounts in September 2004.

**Municipal Firefighters - Incentive Pay (RIGL 42-28.4).** Section 42-28.4-1 of the Rhode Island General Laws established a Municipal Firefighters Incentive Pay Program. The purpose of this program is to provide financial compensation to members of the municipal fire departments and fire districts, the Cumberland rescue department and emergency service technicians of the Town of Lincoln who have furthered their education at the college level. Payments are made by the state directly to the municipalities, which, in turn, make payments to the participants in the program.

This program was funded at 100 percent of the incentive payable to participants through FY 1991. Since FY 1992, the incentive payable to participants has been prorated at the following percentages:

<u>Fiscal Year</u>	<u>Percentage</u>
1992	65.0
1993	48.8
1994	24.3
1995	32.3
1996	18.6
1997	16.7
1998	20.2
1999	22.0
2000	24.8
2001	27.8
2002	31.1
2003	30.9
2004	32.3

The percentage of the total requirement that FY 2005 funding will cover will not be known until the cities and towns submit the names of qualified recipients and the incentive amounts in September 2004.

**Toll Reimbursement - Jamestown/Newport.** During the January 1985 Session of the General Assembly, section 24-12-26 of the chapter regarding the Rhode Island Turnpike and Bridge Authority was amended to include language providing toll reimbursement to Jamestown police, fire and rescue personnel who are required to pay the Newport Bridge toll in the line of duty.

**State Mandates (RIGL 45-13-9).** During the January 1987 Session of the General Assembly, section 45-13-9, entitled "Reimbursement to cities and towns for the cost of state mandates," was amended to provide funding for mandates in the budget of the department or agency if the cost of the mandate is a result of the rules and regulations of the department or agency. Funding for state mandates has not been provided since FY 1992.

**Property Valuation Reimbursement (RIGL 44-5-11.6).** The Rhode Island General Laws requires each municipality in the state to update property valuations using statistical techniques every third and sixth year after a full revaluation. Reimbursement for the first of these updates is to be reimbursed by the state at 100 percent of the costs at a rate not to exceed \$20 per parcel. Reimbursements decline to a maximum of \$16 per parcel for the second update and \$12 per parcel for the third and all future updates. Distressed communities are eligible for a maximum of 80 percent reimbursement for all updates. The legislation also establishes a schedule by which each community is required to perform a full revaluation or an update.

## Summary of Formula Aid to Cities and Towns

	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Municipal Police Incentive Pay	665,500	732,050	732,050	732,050	732,050
Municipal Fire Incentive Pay	332,750	366,025	366,025	366,025	366,025
Public Service Corporation Tax	13,715,535	16,702,587	18,020,830	16,325,260	16,325,260
PILOT	17,614,802	18,134,070	18,151,500	21,716,117	21,716,117
<b>Total Miscellaneous Aid</b>	<b>32,328,587</b>	<b>35,934,732</b>	<b>37,270,405</b>	<b>39,139,452</b>	<b>39,139,452</b>
General Revenue Sharing	33,496,050	43,621,430	48,287,932	51,438,532	51,438,532
<b>Total State Aid to Cities and Towns</b>	<b>33,496,050</b>	<b>43,621,430</b>	<b>48,287,932</b>	<b>51,438,532</b>	<b>51,438,532</b>
Dist. Comm. - General Appropriation	7,293,310	7,638,236	7,466,667	7,533,333	7,533,333
<b>Total Distressed Communities Aid</b>	<b>7,293,310</b>	<b>7,638,236</b>	<b>7,466,667</b>	<b>7,533,333</b>	<b>7,533,333</b>
Motor Vehicle Tax Phase-out Program <sup>1</sup>	76,604,052	99,466,928	100,206,571	104,987,142	104,987,142
<b>Total Motor Vehicle Tax Phase-out Prog.</b>	<b>76,604,052</b>	<b>99,466,928</b>	<b>100,206,571</b>	<b>104,987,142</b>	<b>104,987,142</b>
<b>Subtotal Formula Aid - All Sources</b>	<b>149,721,999</b>	<b>186,661,326</b>	<b>193,231,575</b>	<b>203,098,459</b>	<b>203,098,459</b>
<b>Percent Change from prior year</b>	<b>31.42%</b>	<b>24.67%</b>	<b>3.52%</b>	<b>5.11%</b>	<b>0.00%</b>
Resource Sharing & Library Aid <sup>2</sup>	5,965,750	6,287,439	6,632,744	7,586,860	7,867,415
Library Construction Aid	2,016,071	2,047,004	2,161,500	2,156,852	2,621,329
<b>Total Library Aid</b>	<b>7,981,821</b>	<b>8,334,443</b>	<b>8,794,244</b>	<b>9,743,712</b>	<b>10,488,744</b>
Property Revaluation Program	1,389,245	1,014,826	1,322,166	2,603,780	2,228,320
<b>Total Other Aid</b>	<b>1,389,245</b>	<b>1,014,826</b>	<b>1,322,166</b>	<b>2,603,780</b>	<b>2,228,320</b>
<b>Total Aid</b>	<b>159,093,065</b>	<b>196,010,595</b>	<b>203,347,985</b>	<b>215,445,951</b>	<b>215,815,523</b>
<b>Percent Change from prior year</b>	<b>31.27%</b>	<b>23.20%</b>	<b>3.74%</b>	<b>5.95%</b>	<b>0.17%</b>

<sup>1</sup> Amounts for the Motor Vehicle Excise Tax represent final payments due each community based upon the exemption amounts in effect for the given fiscal year. Actual cash payments may have occurred over multiple fiscal years.

<sup>2</sup> Resource Sharing and Library Aid for state institutions is included in these totals.

## Fiscal Year 2001 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	Public Service Corporation Tax	State Library Aid	Motor Vehicle Excise Tax Reimbursement	FY 2001 Total State Aid
Barrington	176,164	65,589	-	216,627	204,445	1,602,825	2,265,650
Bristol	606,181	431,055	-	295,575	75,665	813,039	2,221,515
Burrillville	454,979	70,682	-	221,835	60,946	1,427,236	2,235,678
Central Falls	853,659	18,708	189,445	241,066	63,470	812,327	2,178,675
Charlestown	199,870	-	-	88,543	39,288	279,688	607,389
Coventry	627,743	-	-	424,848	131,074	1,551,183	2,734,848
Cranston	2,302,804	2,412,465	-	1,039,602	414,917	7,057,571	13,227,359
Cumberland	594,906	1,624	-	396,897	147,213	1,446,442	2,587,082
East Greenwich	109,330	2,414	-	162,173	65,961	770,344	1,110,222
East Providence	1,532,607	58,921	-	688,603	346,721	3,643,986	6,270,838
Exeter	111,384	-	-	74,642	7,949	508,106	702,081
Foster	148,512	254	-	58,992	37,500	424,906	670,164
Glocester	249,598	-	-	126,116	57,839	617,801	1,051,354
Hopkinton	229,764	-	-	93,941	40,759	409,150	773,614
Jamestown	118,315	4	-	68,327	43,226	237,093	466,965
Johnston	1,182,485	-	-	362,781	96,043	2,918,016	4,559,325
Lincoln	478,822	-	-	246,642	115,307	1,580,094	2,420,865
Little Compton	56,209	-	-	45,638	22,962	154,598	279,407
Middletown	512,309	-	-	265,983	99,575	652,927	1,530,794
Narragansett	451,779	-	-	204,818	76,630	699,962	1,433,189
Newport	1,061,386	526,943	-	385,812	199,829	1,085,349	3,259,319
New Shoreham	40,464	-	-	11,427	39,863	45,772	137,526
North Kingstown	493,802	5,468	-	325,112	180,866	1,560,009	2,565,257
North Providence	1,182,759	124,644	-	438,612	145,257	2,810,936	4,702,208
North Smithfield	319,388	49,652	-	143,475	48,674	1,100,278	1,661,467
Pawtucket	2,633,815	475,323	1,343,724	992,912	289,425	5,821,667	11,556,866
Portsmouth	345,261	-	-	230,405	83,479	889,862	1,549,007
Providence	7,779,494	11,845,126	4,305,554	2,196,861	649,496	13,763,586	40,540,117
Richmond	111,711	417	-	73,138	24,792	398,350	608,408
Scituate	227,591	-	-	133,894	64,244	783,894	1,209,623
Smithfield	835,823	438,858	-	261,923	146,092	1,921,718	3,604,414
South Kingstown	626,143	124,154	-	336,661	117,948	1,124,271	2,329,177
Tiverton	331,187	-	-	195,619	58,697	715,605	1,301,108
Warren	280,052	-	-	155,612	43,788	618,303	1,097,755
Warwick	2,928,527	676,711	-	1,167,632	427,740	7,965,605	13,166,215
Westerly	331,264	131,997	-	295,301	83,061	1,588,568	2,430,191
West Greenwich	94,075	-	-	47,729	21,264	274,799	437,867
West Warwick	863,562	-	714,696	400,041	138,456	1,786,217	3,902,972
Woonsocket	2,012,328	153,794	739,891	599,719	175,177	2,955,309	6,636,219
<b>Subtotal</b>	<b>33,496,050</b>	<b>17,614,802</b>	<b>7,293,310</b>	<b>13,715,535</b>	<b>5,085,640</b>	<b>74,817,389</b>	<b>152,022,725</b>
Statewide Reference Library Resource Grant (Providence)					880,110		880,110
Library Construction Reimbursement					2,016,071		2,016,071
Motor Vehicle Excise Tax Reimbursement - Fire Districts						1,786,663	1,786,663
<b>Total</b>	<b>33,496,050</b>	<b>17,614,802</b>	<b>7,293,310</b>	<b>13,715,535</b>	<b>7,981,821</b>	<b>76,604,052</b>	<b>156,705,569</b>

## Fiscal Year 2002 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	Public Service Corporation Tax	State Library Aid	Motor Vehicle Excise Tax Reimbursement	FY 2002 Total State Aid
Barrington	231,566	65,820	-	267,973	237,475	2,132,408	2,935,241
Bristol	731,026	436,394	-	357,993	75,665	1,066,390	2,667,468
Burrillville	625,237	73,350	-	251,673	60,946	1,864,694	2,875,899
Central Falls	1,111,708	18,708	194,235	301,575	63,470	1,093,393	2,783,089
Charlestown	288,905	-	-	125,215	39,288	354,624	808,032
Coventry	839,881	-	-	536,423	131,074	2,025,721	3,533,099
Cranston	2,662,372	2,412,467	-	1,262,972	443,433	9,218,514	15,999,758
Cumberland	728,088	1,783	-	507,298	165,237	1,938,303	3,340,709
East Greenwich	135,703	2,603	-	206,297	70,894	1,012,572	1,428,070
East Providence	1,951,335	57,643	-	775,733	385,914	4,730,057	7,900,682
Exeter	109,759	-	-	96,313	8,495	674,106	888,673
Foster	177,660	246	-	68,097	37,500	546,246	829,749
Glocester	380,457	-	-	158,499	57,839	818,359	1,415,154
Hopkinton	297,181	-	-	124,849	40,759	562,864	1,025,653
Jamestown	156,214	4	-	89,574	49,972	305,815	601,579
Johnston	1,673,015	-	-	449,223	96,043	3,691,284	5,909,565
Lincoln	754,923	-	-	332,962	123,404	2,074,788	3,286,077
Little Compton	78,664	-	-	57,246	22,962	203,840	362,712
Middletown	663,651	-	-	276,178	106,997	789,207	1,836,033
Narragansett	633,147	-	-	260,675	79,475	917,679	1,890,976
Newport	1,411,682	532,584	-	421,819	225,716	1,409,508	4,001,309
New Shoreham	52,695	-	-	16,092	44,317	61,778	174,882
North Kingstown	722,666	4,466	-	419,445	198,407	2,180,209	3,525,193
North Providence	1,651,907	124,644	-	516,396	146,798	3,624,952	6,064,697
North Smithfield	435,971	59,275	-	169,174	48,674	1,439,569	2,152,663
Pawtucket	3,542,240	271,309	1,400,691	1,162,420	289,425	7,573,162	14,239,248
Portsmouth	435,826	-	-	273,230	85,219	1,126,290	1,920,565
Providence	10,131,124	12,440,263	4,573,459	2,766,209	666,407	18,063,629	48,641,091
Richmond	135,409	427	-	115,066	24,792	546,406	822,100
Scituate	300,960	-	-	164,490	64,244	1,100,355	1,630,049
Smithfield	987,476	438,670	-	328,421	162,396	2,494,437	4,411,400
South Kingstown	841,666	128,041	-	444,858	125,838	1,489,266	3,029,670
Tiverton	433,378	-	-	243,134	58,697	962,480	1,697,689
Warren	376,255	-	-	180,996	43,788	800,409	1,401,448
Warwick	3,651,953	765,020	-	1,367,156	495,872	10,129,733	16,409,734
Westerly	428,315	127,115	-	365,911	83,061	2,102,452	3,106,853
West Greenwich	126,631	-	-	81,018	21,264	369,045	597,958
West Warwick	1,168,310	-	759,131	471,306	147,234	2,320,264	4,866,245
Woonsocket	2,556,473	173,241	710,721	688,676	175,177	3,909,078	8,213,366
<b>Subtotal</b>	<b>43,621,430</b>	<b>18,134,070</b>	<b>7,638,236</b>	<b>16,702,587</b>	<b>5,404,167</b>	<b>97,723,887</b>	<b>189,224,376</b>
Statewide Reference Library Resource Grant (Providence)					880,110		880,110
Library Construction Reimbursement					2,047,004		2,047,004
Motor Vehicle Excise Tax Reimbursement - Fire Districts						1,847,174	1,847,174
<b>Total</b>	<b>43,621,430</b>	<b>18,134,070</b>	<b>7,638,236</b>	<b>16,702,587</b>	<b>8,331,281</b>	<b>99,571,061</b>	<b>193,998,664</b>

## Fiscal Year 2003 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	Public Service Corporation Tax	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2003 Total State Aid
Barrington	271,167	63,524	-	289,123	270,988	2,132,408	3,027,210
Bristol	788,525	432,996	-	386,247	75,665	1,066,390	2,749,823
Burrillville	641,803	70,742	-	271,537	60,946	1,864,694	2,909,722
Central Falls	1,264,206	18,416	183,012	325,376	63,470	1,093,393	2,947,873
Charlestown	313,286	-	-	135,098	39,288	354,624	842,296
Coventry	904,192	-	-	578,760	132,799	2,025,721	3,641,472
Cranston	2,898,349	2,275,093	-	1,362,651	464,044	9,218,514	16,218,651
Cumberland	1,219,559	503	-	547,336	180,986	1,938,303	3,886,687
East Greenwich	164,680	4,222	-	222,579	75,049	1,012,572	1,479,102
East Providence	2,153,817	55,581	-	836,957	412,886	5,912,571	9,371,812
Exeter	107,586	-	-	103,915	8,495	674,106	894,102
Foster	209,098	242	-	73,471	37,500	546,246	866,557
Glocester	374,502	-	-	171,008	57,839	818,359	1,421,708
Hopkinton	271,211	-	-	134,703	40,759	562,864	1,009,537
Jamestown	175,602	5	-	96,643	56,930	305,815	634,995
Johnston	1,883,151	-	-	484,678	96,043	3,691,284	6,155,156
Lincoln	624,460	-	-	359,241	145,437	2,074,788	3,203,926
Little Compton	92,609	-	-	61,764	22,962	203,840	381,175
Middletown	711,419	-	-	297,975	116,629	789,207	1,915,230
Narragansett	637,219	-	-	281,249	85,273	917,679	1,921,420
Newport	1,646,310	638,104	-	455,111	255,226	1,409,508	4,404,259
New Shoreham	67,458	-	-	17,362	49,149	61,778	195,747
North Kingstown	784,034	5,908	-	452,550	211,939	2,180,209	3,634,640
North Providence	1,711,536	73,072	695,002	557,152	149,374	3,624,952	6,811,088
North Smithfield	540,909	40,331	-	182,526	48,674	1,439,569	2,252,009
Pawtucket	3,881,609	253,247	1,200,787	1,254,164	301,692	7,573,162	14,464,661
Portsmouth	552,310	-	-	294,795	87,802	1,126,290	2,061,197
Providence	11,595,992	12,688,288	4,089,324	2,984,531	694,985	18,063,629	50,116,749
Richmond	157,746	408	-	124,148	24,792	546,406	853,500
Scituate	305,408	-	-	177,472	64,244	1,100,355	1,647,479
Smithfield	1,295,242	389,575	-	354,342	172,606	2,494,437	4,706,202
South Kingstown	885,686	106,574	-	479,968	141,977	1,489,266	3,103,471
Tiverton	484,765	-	-	262,323	58,697	962,480	1,768,265
Warren	414,108	-	-	195,281	43,788	800,409	1,453,586
Warwick	3,647,836	744,159	-	1,475,058	528,066	10,129,733	16,524,852
Westerly	538,736	131,305	-	394,790	87,384	2,102,452	3,254,667
West Greenwich	141,115	-	-	87,412	21,264	369,045	618,836
West Warwick	1,158,461	-	656,813	508,504	157,481	2,320,264	4,801,523
Woonsocket	2,772,230	159,207	641,728	743,030	175,257	3,909,078	8,400,530
<b>Subtotal</b>	<b>48,287,932</b>	<b>18,151,500</b>	<b>7,466,666</b>	<b>18,020,830</b>	<b>5,718,385</b>	<b>98,906,401</b>	<b>196,551,713</b>
Statewide Reference Library Resource Grant (Providence)					880,110		880,110
Library Construction Reimbursement					2,161,500		2,161,500
Motor Vehicle Excise Tax Reimbursement - Fire Districts						1,875,837	1,875,837
Motor Vehicle Excise Tax Reimbursement - FY 2002 Net Payable Reconciliation						(575,667)	(575,667)
<b>Total</b>	<b>48,287,932</b>	<b>18,151,500</b>	<b>7,466,666</b>	<b>18,020,830</b>	<b>8,759,995</b>	<b>100,206,571</b>	<b>200,893,493</b>

## Fiscal Year 2004 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	Public Service Corporation Tax	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2004 Total State Aid
Barrington	231,191	71,029	-	261,919	276,378	2,197,524	3,038,041
Bristol	805,463	421,492	-	349,905	75,665	1,118,422	2,770,947
Burrillville	610,930	76,977	-	245,988	61,881	2,053,956	3,049,732
Central Falls	1,346,691	20,649	182,474	294,762	63,470	1,208,411	3,116,457
Charlestown	346,452	-	-	122,387	39,137	374,379	882,355
Coventry	925,367	-	-	524,305	138,258	2,147,241	3,735,171
Cranston	3,293,868	2,611,611	-	1,234,440	479,014	9,485,112	17,104,045
Cumberland	1,067,249	81	-	495,838	183,570	2,048,308	3,795,046
East Greenwich	170,999	4,592	-	201,637	77,310	1,041,805	1,496,343
East Providence	2,200,038	63,139	-	758,208	430,627	4,994,050	8,446,062
Exeter	86,974	-	-	94,138	8,495	718,053	907,660
Foster	231,403	266	-	66,558	37,500	578,603	914,330
Glocester	442,690	-	-	154,918	57,839	868,250	1,523,697
Hopkinton	190,356	-	-	122,028	40,759	597,217	950,360
Jamestown	181,533	5	-	87,550	56,930	317,721	643,739
Johnston	2,006,020	-	-	439,075	117,925	4,114,297	6,677,317
Lincoln	577,113	-	-	325,440	151,390	2,195,453	3,249,396
Little Compton	89,499	-	-	55,953	22,962	214,723	383,137
Middletown	826,214	-	-	269,939	118,971	881,663	2,096,787
Narragansett	681,586	-	-	254,787	91,730	957,099	1,985,202
Newport	1,778,150	450,882	-	412,290	291,129	1,455,950	4,388,401
New Shoreham	71,860	-	-	15,728	67,411	65,343	220,342
North Kingstown	821,676	8,265	-	409,969	214,401	2,179,062	3,633,373
North Providence	1,897,449	385,144	-	504,730	155,319	3,941,255	6,883,897
North Smithfield	618,281	43,886	-	165,352	50,928	1,501,993	2,380,440
Pawtucket	4,490,377	311,780	1,324,945	1,136,160	309,373	8,006,234	15,578,869
Portsmouth	553,213	10,206	-	267,058	92,657	1,180,727	2,103,861
Providence	12,352,585	15,427,635	4,624,560	2,703,718	1,392,690	18,908,768	55,409,956
Richmond	162,490	426	-	112,467	24,792	578,451	878,626
Scituate	320,753	-	-	160,774	72,783	1,155,251	1,709,561
Smithfield	1,268,058	514,316	-	321,002	192,547	2,641,772	4,937,695
South Kingstown	928,824	123,224	-	434,808	148,885	1,578,608	3,214,349
Tiverton	523,660	-	-	237,641	59,477	1,022,440	1,843,218
Warren	416,220	-	-	176,907	43,788	854,507	1,491,422
Warwick	4,034,001	845,581	-	1,336,271	553,600	10,654,567	17,424,020
Westerly	447,184	149,941	-	357,645	98,381	2,238,068	3,291,219
West Greenwich	144,375	-	-	79,188	21,264	395,962	640,789
West Warwick	1,246,456	-	730,173	460,659	162,328	2,432,650	5,032,266
Woonsocket	3,051,285	174,990	671,181	673,119	190,936	4,207,412	8,968,923
<b>Subtotal</b>	<b>51,438,532</b>	<b>21,716,117</b>	<b>7,533,333</b>	<b>16,325,261</b>	<b>6,672,500</b>	<b>103,111,305</b>	<b>206,797,048</b>
Statewide Reference Library Resource Grant (Providence)					880,110		880,110
Library Construction Reimbursement					2,156,852		2,156,852
Motor Vehicle Excise Tax Reimbursement - Fire Districts						1,875,837	1,875,837
<b>Total</b>	<b>51,438,532</b>	<b>21,716,117</b>	<b>7,533,333</b>	<b>16,325,261</b>	<b>9,709,462</b>	<b>104,987,142</b>	<b>211,709,847</b>

## Fiscal Year 2005 State Aid to Cities and Towns

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	Public Service Corporation Tax	State Library Aid	Motor Vehicle Excise Tax Reimbursement Value of Exemption	FY 2005 Total State Aid
Barrington	229,817	45,778	-	261,919	291,805	2,197,524	3,026,844
Bristol	810,006	402,085	-	349,905	69,440	1,118,422	2,749,859
Burrillville	594,614	75,065	-	245,988	65,836	2,053,956	3,035,459
Central Falls	1,346,691	19,221	177,470	294,762	62,193	1,208,411	3,108,747
Charlestown	365,131	-	-	122,387	41,382	374,379	903,278
Coventry	900,360	-	-	524,305	147,975	2,147,241	3,719,881
Cranston	3,138,652	3,222,639	-	1,234,440	482,604	9,485,112	17,563,447
Cumberland	1,146,432	78	-	495,838	211,424	2,048,308	3,902,081
East Greenwich	185,721	6,924	-	201,637	81,773	1,041,805	1,517,859
East Providence	2,232,666	61,984	-	758,208	460,448	4,994,050	8,507,357
Exeter	84,052	-	-	94,138	8,495	718,053	904,739
Foster	248,097	243	-	66,558	34,756	578,603	928,257
Glocester	467,723	-	-	154,918	57,482	868,250	1,548,373
Hopkinton	180,761	-	-	122,028	35,700	597,217	935,706
Jamestown	158,970	5	-	87,550	62,279	317,721	626,525
Johnston	2,006,020	-	-	439,075	121,700	4,114,297	6,681,092
Lincoln	795,933	-	-	325,440	158,808	2,195,453	3,475,633
Little Compton	87,359	-	-	55,953	23,524	214,723	381,558
Middletown	826,723	-	-	269,939	129,464	881,663	2,107,789
Narragansett	689,792	-	-	254,787	99,601	957,099	2,001,279
Newport	1,695,772	488,585	-	412,290	325,323	1,455,950	4,377,920
New Shoreham	71,860	-	-	15,728	76,634	65,343	229,565
North Kingstown	791,242	7,935	-	409,969	224,789	2,179,062	3,612,998
North Providence	1,912,251	378,192	-	504,730	162,852	3,941,255	6,899,279
North Smithfield	685,564	42,268	-	165,352	51,913	1,501,993	2,447,090
Pawtucket	4,491,808	266,642	1,274,051	1,136,160	340,048	8,006,234	15,514,943
Portsmouth	537,235	9,700	-	267,058	99,762	1,180,727	2,094,482
Providence	12,352,585	14,887,456	4,698,515	2,703,718	1,383,492	18,908,768	54,934,534
Richmond	143,044	414	-	112,467	22,069	578,451	856,445
Scituate	365,419	-	-	160,774	79,690	1,155,251	1,761,134
Smithfield	1,321,182	520,583	-	321,002	208,202	2,641,772	5,012,742
South Kingstown	804,870	120,068	-	434,808	156,530	1,578,608	3,094,884
Tiverton	462,488	-	-	237,641	66,270	1,022,440	1,788,839
Warren	378,106	-	-	176,907	40,858	854,507	1,450,378
Warwick	3,972,974	817,374	-	1,336,271	575,279	10,654,567	17,356,465
Westerly	565,465	174,069	-	357,645	99,118	2,238,068	3,434,365
West Greenwich	158,847	-	-	79,188	20,301	395,962	654,298
West Warwick	1,254,258	-	716,871	460,659	174,696	2,432,650	5,039,134
Woonsocket	2,978,043	168,808	666,426	673,119	198,538	4,207,412	8,892,346
<b>Subtotal</b>	<b>51,438,532</b>	<b>21,716,117</b>	<b>7,533,333</b>	<b>16,325,261</b>	<b>6,953,054</b>	<b>103,111,305</b>	<b>207,077,602</b>
Statewide Reference Library Resource Grant (Providence)					880,110		880,110
Library Construction Reimbursement					2,621,329		2,621,329
Motor Vehicle Excise Tax Reimbursement - Fire Districts						1,875,837	1,875,837
<b>Total</b>	<b>51,438,532</b>	<b>21,716,117</b>	<b>7,533,333</b>	<b>16,325,261</b>	<b>10,454,493</b>	<b>104,987,142</b>	<b>212,454,878</b>

## Changes in Formula Aid - FY 2005 vs. FY 2004

City or Town	General Revenue Sharing	Payment In Lieu of Tax Exempt Property	Distressed Community Relief Fund	Public Service Corporation Tax	State Library Aid	Motor Vehicle Excise Tax Reimbursement	Total Difference
Barrington	(1,374)	(25,251)	-	-	15,427	-	(11,197)
Bristol	4,543	(19,407)	-	-	(6,225)	-	(21,088)
Burrillville	(16,316)	(1,912)	-	-	3,955	-	(14,273)
Central Falls	(0)	(1,428)	(5,004)	-	(1,277)	-	(7,709)
Charlestown	18,679	-	-	-	2,245	-	20,924
Coventry	(25,007)	-	-	-	9,717	-	(15,290)
Cranston	(155,216)	611,028	-	-	3,590	-	459,402
Cumberland	79,183	(3)	-	-	27,854	-	107,034
East Greenwich	14,722	2,332	-	-	4,463	-	21,516
East Providence	32,628	(1,155)	-	-	29,821	-	61,294
Exeter	(2,922)	-	-	-	-	-	(2,922)
Foster	16,694	(23)	-	-	(2,744)	-	13,928
Glocester	25,033	-	-	-	(357)	-	24,676
Hopkinton	(9,595)	-	-	-	(5,059)	-	(14,654)
Jamestown	(22,563)	0	-	-	5,349	-	(17,214)
Johnston	(0)	-	-	-	3,775	-	3,775
Lincoln	218,820	-	-	-	7,418	-	226,238
Little Compton	(2,140)	-	-	-	562	-	(1,579)
Middletown	509	-	-	-	10,493	-	11,002
Narragansett	8,206	-	-	-	7,871	-	16,077
Newport	(82,378)	37,703	-	-	34,194	-	(10,481)
New Shoreham	(0)	-	-	-	9,223	-	9,223
North Kingstown	(30,434)	(330)	-	-	10,388	-	(20,376)
North Providence	14,802	(6,952)	-	-	7,533	-	15,382
North Smithfield	67,283	(1,618)	-	-	985	-	66,650
Pawtucket	1,431	(45,138)	(50,894)	-	30,675	-	(63,926)
Portsmouth	(15,978)	(506)	-	-	7,105	-	(9,379)
Providence	0	(540,179)	73,955	-	(9,198)	-	(475,422)
Richmond	(19,446)	(12)	-	-	(2,723)	-	(22,181)
Scituate	44,666	-	-	-	6,907	-	51,573
Smithfield	53,124	6,267	-	-	15,655	-	75,047
South Kingstown	(123,954)	(3,156)	-	-	7,645	-	(119,465)
Tiverton	(61,172)	-	-	-	6,793	-	(54,380)
Warren	(38,114)	-	-	-	(2,930)	-	(41,044)
Warwick	(61,027)	(28,207)	-	-	21,679	-	(67,555)
Westerly	118,281	24,128	-	-	737	-	143,146
West Greenwich	14,472	-	-	-	(963)	-	13,509
West Warwick	7,802	-	(13,302)	-	12,368	-	6,868
Woonsocket	(73,242)	(6,182)	(4,755)	-	7,602	-	(76,577)
<b>Subtotal</b>	-	-	-	-	<b>280,554</b>	-	<b>280,554</b>
Statewide Reference Library Resource Grant (Providence)					-	-	-
Library Construction Reimbursement					464,477	-	464,477
Motor Vehicle Excise Tax Reimbursement - Fire Districts					-	-	-
<b>Total</b>	-	-	-	-	<b>745,031</b>	-	<b>745,031</b>

## General Revenue Sharing

City or Town	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Barrington	176,164	231,566	268,331	231,191	229,817
Bristol	606,181	731,026	827,790	805,463	810,006
Burrillville	454,979	625,237	640,876	610,930	594,614
Central Falls	853,659	1,111,708	1,264,206	1,346,691	1,346,691
Charlestown	199,870	288,905	312,885	346,452	365,131
Coventry	627,743	839,881	903,738	925,367	900,360
Cranston	2,302,804	2,662,372	2,893,969	3,293,868	3,138,652
Cumberland	594,906	728,088	1,217,798	1,067,249	1,146,432
East Greenwich	109,330	135,703	164,597	170,999	185,721
East Providence	1,532,607	1,951,335	2,150,562	2,200,038	2,232,666
Exeter	111,384	109,759	120,162	86,974	84,052
Foster	148,512	177,660	208,796	231,403	248,097
Glocester	249,598	380,457	373,961	442,690	467,723
Hopkinton	229,764	297,181	270,864	190,356	180,761
Jamestown	118,315	156,214	175,456	181,533	158,970
Johnston	1,182,485	1,673,015	1,883,151	2,006,020	2,006,020
Lincoln	478,822	754,923	623,559	577,113	795,933
Little Compton	56,209	78,664	92,532	89,499	87,359
Middletown	512,309	663,651	710,829	826,214	826,723
Narragansett	451,779	633,147	636,402	681,586	689,792
Newport	1,061,386	1,411,682	1,644,944	1,778,150	1,695,772
New Shoreham	40,464	52,695	67,458	71,860	71,860
North Kingstown	493,802	722,666	783,030	821,676	791,242
North Providence	1,182,759	1,651,907	1,709,065	1,897,449	1,912,251
North Smithfield	319,388	435,971	540,128	618,281	685,564
Pawtucket	2,633,815	3,542,240	3,875,743	4,490,377	4,491,808
Portsmouth	345,261	435,826	551,852	553,213	537,235
Providence	7,779,494	10,131,124	11,595,992	12,352,585	12,352,585
Richmond	111,711	135,409	157,544	162,490	143,044
Scituate	227,591	300,960	304,967	320,753	365,419
Smithfield	835,823	987,476	1,293,372	1,268,058	1,321,182
South Kingstown	626,143	841,666	884,551	928,824	804,870
Tiverton	331,187	433,378	484,363	523,660	462,488
Warren	280,052	376,255	409,778	416,220	378,106
Warwick	2,928,527	3,651,953	3,644,809	4,034,001	3,972,974
Westerly	331,264	428,315	538,046	447,184	565,465
West Greenwich	94,075	126,631	141,044	144,375	158,847
West Warwick	863,562	1,168,310	1,152,739	1,246,456	1,254,258
Woonsocket	2,012,328	2,556,473	2,768,041	3,051,285	2,978,043
<b>Total</b>	<b>33,496,050</b>	<b>43,621,430</b>	<b>48,287,932</b>	<b>51,438,532</b>	<b>51,438,532</b>

## Payment In Lieu of Tax Exempt Property

City or Town	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Barrington	65,589	65,820	63,524	71,029	45,778
Bristol	431,055	436,394	432,996	421,492	402,085
Burrillville	70,682	73,350	70,742	76,977	75,065
Central Falls	18,708	18,708	18,416	20,649	19,221
Charlestown	-	-	-	-	-
Coventry	-	-	-	-	-
Cranston	2,412,465	2,412,467	2,275,093	2,611,611	3,222,639
Cumberland	1,624	1,783	503	81	78
East Greenwich	2,414	2,603	4,222	4,592	6,924
East Providence	58,921	57,643	55,581	63,139	61,984
Exeter	-	-	-	-	-
Foster	254	246	242	266	243
Glocester	-	-	-	-	-
Hopkinton	-	-	-	-	-
Jamestown	4	4	5	5	5
Johnston	-	-	-	-	-
Lincoln	-	-	-	-	-
Little Compton	-	-	-	-	-
Middletown	-	-	-	-	-
Narragansett	-	-	-	-	-
Newport	526,943	532,584	638,104	450,882	488,585
New Shoreham	-	-	-	-	-
North Kingstown	5,468	4,466	5,908	8,265	7,935
North Providence	124,644	124,644	73,072	385,144	378,192
North Smithfield	49,652	59,275	40,331	43,886	42,268
Pawtucket	475,323	271,309	253,247	311,780	266,642
Portsmouth	-	-	-	10,206	9,700
Providence	11,845,126	12,440,263	12,688,288	15,427,635	14,887,456
Richmond	417	427	408	426	414
Scituate	-	-	-	-	-
Smithfield	438,858	438,670	389,575	514,316	520,583
South Kingstown	124,154	128,041	106,574	123,224	120,068
Tiverton	-	-	-	-	-
Warren	-	-	-	-	-
Warwick	676,711	765,020	744,159	845,581	817,374
Westerly	131,997	127,115	131,305	149,941	174,069
West Greenwich	-	-	-	-	-
West Warwick	-	-	-	-	-
Woonsocket	153,794	173,241	159,207	174,990	168,808
<b>Total</b>	<b>17,614,802</b>	<b>18,134,070</b>	<b>18,151,500</b>	<b>21,716,117</b>	<b>21,716,117</b>

## Public Service Corporation Tax

City or Town	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Barrington	216,627	267,973	289,123	261,919	261,919
Bristol	295,575	357,993	386,247	349,905	349,905
Burrillville	221,835	251,673	271,537	245,988	245,988
Central Falls	241,066	301,575	325,376	294,762	294,762
Charlestown	88,543	125,215	135,098	122,387	122,387
Coventry	424,848	536,423	578,760	524,305	524,305
Cranston	1,039,602	1,262,972	1,362,651	1,234,440	1,234,440
Cumberland	396,897	507,298	547,336	495,838	495,838
East Greenwich	162,173	206,297	222,579	201,637	201,637
East Providence	688,603	775,733	836,957	758,208	758,208
Exeter	74,642	96,313	103,915	94,138	94,138
Foster	58,992	68,097	73,471	66,558	66,558
Glocester	126,116	158,499	171,008	154,918	154,918
Hopkinton	93,941	124,849	134,703	122,028	122,028
Jamestown	68,327	89,574	96,643	87,550	87,550
Johnston	362,781	449,223	484,678	439,075	439,075
Lincoln	246,642	332,962	359,241	325,440	325,440
Little Compton	45,638	57,246	61,764	55,953	55,953
Middletown	265,983	276,178	297,975	269,939	269,939
Narragansett	204,818	260,675	281,249	254,787	254,787
Newport	385,812	421,819	455,111	412,290	412,290
New Shoreham	11,427	16,092	17,362	15,728	15,728
North Kingstown	325,112	419,445	452,550	409,969	409,969
North Providence	438,612	516,396	557,152	504,730	504,730
North Smithfield	143,475	169,174	182,526	165,352	165,352
Pawtucket	992,912	1,162,420	1,254,164	1,136,160	1,136,160
Portsmouth	230,405	273,230	294,795	267,058	267,058
Providence	2,196,861	2,766,209	2,984,531	2,703,718	2,703,718
Richmond	73,138	115,066	124,148	112,467	112,467
Scituate	133,894	164,490	177,472	160,774	160,774
Smithfield	261,923	328,421	354,342	321,002	321,002
South Kingstown	336,661	444,858	479,968	434,808	434,808
Tiverton	195,619	243,134	262,323	237,641	237,641
Warren	155,612	180,996	195,281	176,907	176,907
Warwick	1,167,632	1,367,156	1,475,058	1,336,271	1,336,271
Westerly	295,301	365,911	394,790	357,645	357,645
West Greenwich	47,729	81,018	87,412	79,188	79,188
West Warwick	400,041	471,306	508,504	460,659	460,659
Woonsocket	599,719	688,676	743,030	673,119	673,119
<b>Total</b>	<b>13,715,535</b>	<b>16,702,587</b>	<b>18,020,830</b>	<b>16,325,261</b>	<b>16,325,261</b>

## Distressed Communities Relief Fund

City or Town	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Barrington	-	-	-	-	-
Bristol	-	-	-	-	-
Burrillville	-	-	-	-	-
Central Falls	189,445	194,235	183,012	182,474	177,470
Charlestown	-	-	-	-	-
Coventry	-	-	-	-	-
Cranston	-	-	-	-	-
Cumberland	-	-	-	-	-
East Greenwich	-	-	-	-	-
East Providence	-	-	-	-	-
Exeter	-	-	-	-	-
Foster	-	-	-	-	-
Glocester	-	-	-	-	-
Hopkinton	-	-	-	-	-
Jamestown	-	-	-	-	-
Johnston	-	-	-	-	-
Lincoln	-	-	-	-	-
Little Compton	-	-	-	-	-
Middletown	-	-	-	-	-
Narragansett	-	-	-	-	-
Newport	-	-	-	-	-
New Shoreham	-	-	-	-	-
North Kingstown	-	-	-	-	-
North Providence	-	-	695,002	-	-
North Smithfield	-	-	-	-	-
Pawtucket	1,343,724	1,400,691	1,200,787	1,324,945	1,274,051
Portsmouth	-	-	-	-	-
Providence	4,305,554	4,573,459	4,089,324	4,624,560	4,698,515
Richmond	-	-	-	-	-
Scituate	-	-	-	-	-
Smithfield	-	-	-	-	-
South Kingstown	-	-	-	-	-
Tiverton	-	-	-	-	-
Warren	-	-	-	-	-
Warwick	-	-	-	-	-
Westerly	-	-	-	-	-
West Greenwich	-	-	-	-	-
West Warwick	714,696	759,131	656,813	730,173	716,871
Woonsocket	739,891	710,721	641,728	671,181	666,426
<b>Total</b>	<b>7,293,310</b>	<b>7,638,236</b>	<b>7,466,666</b>	<b>7,533,333</b>	<b>7,533,333</b>

# Library Aid

City or Town	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Barrington	204,445	237,475	270,988	276,378	291,805
Bristol	75,665	75,665	75,665	75,665	69,440
Burrillville	60,946	60,946	60,946	61,881	65,836
Central Falls	63,470	63,470	63,470	63,470	62,193
Charlestown	39,288	39,288	39,288	39,137	41,382
Coventry	131,074	131,074	132,799	138,258	147,975
Cranston	414,917	443,433	464,044	479,014	482,604
Cumberland	147,213	165,237	180,986	183,570	211,424
East Greenwich	65,961	70,894	75,049	77,310	81,773
East Providence	346,721	385,914	412,886	430,627	460,448
Exeter	7,949	8,495	8,495	8,495	8,495
Foster	37,500	37,500	37,500	37,500	34,756
Glocester	57,839	57,839	57,839	57,839	57,482
Hopkinton	40,759	40,759	40,759	40,759	35,700
Jamestown	43,226	49,972	56,930	56,930	62,279
Johnston	96,043	96,043	96,043	117,925	121,700
Lincoln	115,307	123,404	145,437	151,390	158,808
Little Compton	22,962	22,962	22,962	22,962	23,524
Middletown	99,575	106,997	116,629	118,971	129,464
Narragansett	76,630	79,475	85,273	91,730	99,601
Newport	199,829	225,716	255,226	291,129	325,323
New Shoreham	39,863	44,317	49,149	67,411	76,634
North Kingstown	180,866	198,407	211,939	214,401	224,789
North Providence	145,257	146,798	149,374	155,319	162,852
North Smithfield	48,674	48,674	48,674	50,928	51,913
Pawtucket	289,425	289,425	301,692	309,373	340,048
Portsmouth	83,479	85,219	87,802	92,657	99,762
Providence	1,529,607	1,546,518	1,575,096	2,272,800	2,263,602
Richmond	24,792	24,792	24,792	24,792	22,069
Scituate	64,244	64,244	64,244	72,783	79,690
Smithfield	146,092	162,396	172,606	192,547	208,202
South Kingstown	117,948	125,838	141,977	148,885	156,530
Tiverton	58,697	58,697	58,697	59,477	66,270
Warren	43,788	43,788	43,788	43,788	40,858
Warwick	427,740	495,872	528,066	553,600	575,279
Westerly	83,061	83,061	87,384	98,381	99,118
West Greenwich	21,264	21,264	21,264	21,264	20,301
West Warwick	138,456	147,234	157,481	162,328	174,696
Woonsocket	175,177	175,177	175,257	190,936	198,538
<b>Subtotal</b>	<b>5,965,750</b>	<b>6,284,277</b>	<b>6,598,495</b>	<b>7,552,610</b>	<b>7,833,165</b>
Library Construction Aid F	2,016,071	2,047,004	2,161,500	2,156,852	2,621,329
<b>Total</b>	<b>7,981,821</b>	<b>8,331,281</b>	<b>8,759,995</b>	<b>9,709,462</b>	<b>10,454,494</b>

<sup>1</sup> A portion of Library Aid is disbursed directly to local libraries (including private libraries), not to the City or Town.

<sup>2</sup> Library Aid to Providence displayed on this table includes funding for the Statewide Reference Library.

## Motor Vehicle Excise Tax Reimbursement

City or Town	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Barrington	1,602,825	2,132,408	2,132,408	2,197,524	2,197,524
Bristol	813,039	1,066,390	1,066,390	1,118,422	1,118,422
Burrillville	1,427,236	1,864,694	1,864,694	2,053,956	2,053,956
Central Falls	812,327	1,093,393	1,093,393	1,208,411	1,208,411
Charlestown	279,688	354,624	354,624	374,379	374,379
Coventry	1,551,183	2,025,721	2,025,721	2,147,241	2,147,241
Cranston	7,057,571	9,218,514	9,218,514	9,485,112	9,485,112
Cumberland	1,446,442	1,938,303	1,938,303	2,048,308	2,048,308
East Greenwich	770,344	1,012,572	1,012,572	1,041,805	1,041,805
East Providence	3,643,986	4,730,057	5,912,571	4,994,050	4,994,050
Exeter	508,106	674,106	674,106	718,053	718,053
Foster	424,906	546,246	546,246	578,603	578,603
Glocester	617,801	818,359	818,359	868,250	868,250
Hopkinton	409,150	562,864	562,864	597,217	597,217
Jamestown	237,093	305,815	305,815	317,721	317,721
Johnston	2,918,016	3,691,284	3,691,284	4,114,297	4,114,297
Lincoln	1,580,094	2,074,788	2,074,788	2,195,453	2,195,453
Little Compton	154,598	203,840	203,840	214,723	214,723
Middletown	652,927	789,207	789,207	881,663	881,663
Narragansett	699,962	917,679	917,679	957,099	957,099
Newport	1,085,349	1,409,508	1,409,508	1,455,950	1,455,950
New Shoreham	45,772	61,778	61,778	65,343	65,343
North Kingstown	1,560,009	2,180,209	2,180,209	2,179,062	2,179,062
North Providence	2,810,936	3,624,952	3,624,952	3,941,255	3,941,255
North Smithfield	1,100,278	1,439,569	1,439,569	1,501,993	1,501,993
Pawtucket	5,821,667	7,573,162	7,573,162	8,006,234	8,006,234
Portsmouth	889,862	1,126,290	1,126,290	1,180,727	1,180,727
Providence	13,763,586	18,063,629	18,063,629	18,908,768	18,908,768
Richmond	398,350	546,406	546,406	578,451	578,451
Scituate	783,894	1,100,355	1,100,355	1,155,251	1,155,251
Smithfield	1,921,718	2,494,437	2,494,437	2,641,772	2,641,772
South Kingstown	1,124,271	1,489,266	1,489,266	1,578,608	1,578,608
Tiverton	715,605	962,480	962,480	1,022,440	1,022,440
Warren	618,303	800,409	800,409	854,507	854,507
Warwick	7,965,605	10,129,733	10,129,733	10,654,567	10,654,567
Westerly	1,588,568	2,102,452	2,102,452	2,238,068	2,238,068
West Greenwich	274,799	369,045	369,045	395,962	395,962
West Warwick	1,786,217	2,320,264	2,320,264	2,432,650	2,432,650
Woonsocket	2,955,309	3,909,078	3,909,078	4,207,412	4,207,412
<b>Subtotal</b>	<b>74,817,389</b>	<b>97,723,887</b>	<b>98,906,401</b>	<b>103,111,305</b>	<b>103,111,305</b>
Fire Districts	1,786,663	1,847,174	1,875,837	1,875,837	1,875,837
FY 2002 Net Payable Recor	-	-	(575,667)	-	-
<b>Total</b>	<b>76,604,052</b>	<b>99,571,061</b>	<b>100,206,571</b>	<b>104,987,142</b>	<b>104,987,142</b>

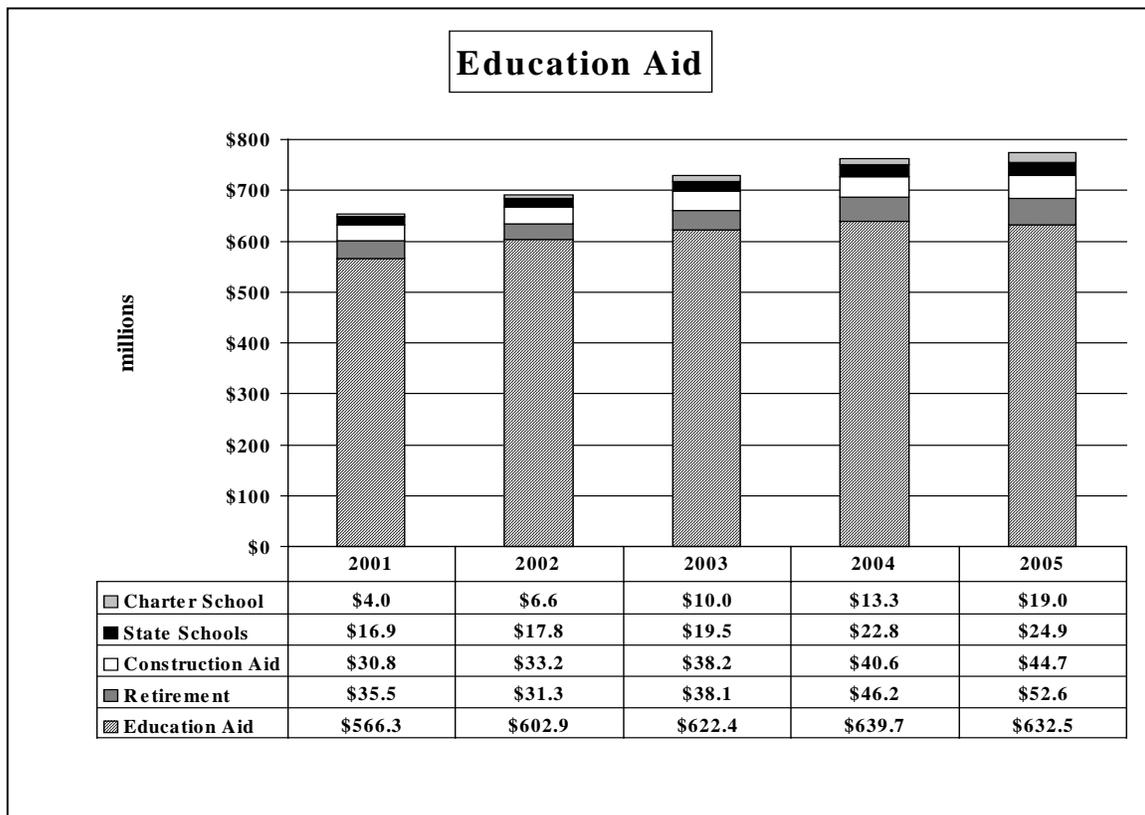
## Total Formula Aid to Cities and Towns

City or Town	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Barrington	2,265,650	2,935,241	3,024,374	3,038,041	3,026,844
Bristol	2,221,515	2,667,468	2,789,088	2,770,947	2,749,859
Burrillville	2,235,678	2,875,899	2,908,795	3,049,732	3,035,459
Central Falls	2,178,675	2,783,089	2,947,873	3,116,457	3,108,747
Charlestown	607,389	808,032	841,895	882,355	903,278
Coventry	2,734,848	3,533,099	3,641,018	3,735,171	3,719,881
Cranston	13,227,359	15,999,758	16,214,271	17,104,045	17,563,447
Cumberland	2,587,082	3,340,709	3,884,926	3,795,046	3,902,081
East Greenwich	1,110,222	1,428,070	1,479,019	1,496,343	1,517,859
East Providence	6,270,838	7,900,682	9,368,557	8,446,062	8,507,357
Exeter	702,081	888,673	906,678	907,660	904,739
Foster	670,164	829,749	866,255	914,330	928,257
Glocester	1,051,354	1,415,154	1,421,167	1,523,697	1,548,373
Hopkinton	773,614	1,025,653	1,009,190	950,360	935,706
Jamestown	466,965	601,579	634,849	643,739	626,525
Johnston	4,559,325	5,909,565	6,155,156	6,677,317	6,681,092
Lincoln	2,420,865	3,286,077	3,203,025	3,249,396	3,475,633
Little Compton	279,407	362,712	381,098	383,137	381,558
Middletown	1,530,794	1,836,033	1,914,640	2,096,787	2,107,789
Narragansett	1,433,189	1,890,976	1,920,603	1,985,202	2,001,279
Newport	3,259,319	4,001,309	4,402,893	4,388,401	4,377,920
New Shoreham	137,526	174,882	195,747	220,342	229,565
North Kingstown	2,565,257	3,525,193	3,633,636	3,633,373	3,612,998
North Providence	4,702,208	6,064,697	6,808,617	6,883,897	6,899,279
North Smithfield	1,661,467	2,152,663	2,251,228	2,380,440	2,447,090
Pawtucket	11,556,866	14,239,248	14,458,795	15,578,869	15,514,943
Portsmouth	1,549,007	1,920,565	2,060,739	2,103,861	2,094,482
Providence	41,420,228	49,521,202	50,996,860	56,290,066	55,814,644
Richmond	608,408	822,100	853,298	878,626	856,445
Scituate	1,209,623	1,630,049	1,647,038	1,709,561	1,761,134
Smithfield	3,604,414	4,411,400	4,704,332	4,937,695	5,012,742
South Kingstown	2,329,177	3,029,670	3,102,336	3,214,349	3,094,884
Tiverton	1,301,108	1,697,689	1,767,863	1,843,218	1,788,839
Warren	1,097,755	1,401,448	1,449,256	1,491,422	1,450,378
Warwick	13,166,215	16,409,734	16,521,825	17,424,020	17,356,465
Westerly	2,430,191	3,106,853	3,253,977	3,291,219	3,434,365
West Greenwich	437,867	597,958	618,765	640,789	654,298
West Warwick	3,902,972	4,866,245	4,795,801	5,032,266	5,039,134
Woonsocket	6,636,218	8,213,366	8,396,341	8,968,923	8,892,346
Fire Districts	1,786,663	1,847,174	1,875,837	1,875,837	1,875,837
Library Construction	2,016,071	2,047,004	2,161,500	2,156,852	2,621,329
MV - Payable Reconciliat	-	-	(575,667)	-	-
<b>Total</b>	<b>\$156,705,570</b>	<b>\$193,998,664</b>	<b>\$200,893,491</b>	<b>\$211,709,846</b>	<b>\$212,454,877</b>

## Education Aid to Local Governments

The Governor's recommendation for education aid to local governments totals \$773.7 million in FY 2005. This includes totals for both the School for the Deaf and for Davies Career and Technical School. The recommendation represents a \$11.1 million increase, or 1.4 percent, in state support relative to the revised FY 2004 budget. The recommendation proposes an addition of \$5.7 million or 42.7% in charter school aid, an increase in Teacher Retirement obligations of approximately \$6.4 million, an increase in school construction aid of \$4.1 million, and an increase in the Metropolitan Career and Technical School of \$2.1 million. An increase of \$0.4 million for the Davies School is also reflected in the education aid increase. FY 2005 local education aid maintains the categories and distributions from the final FY 2004 entitlements. Progressive Support and Intervention is increased by \$1,000,000 to reflect the Governor's commitment to target improvements in urban schools. Also, Group Home financing is increased by \$600,000. The Charter School increase reflects updated per pupil values and increased enrollment. One new charter schools is incorporated into the recommendation.

The graph below displays total school aid from FY 2000 to FY 2005, adjusted for both the School for the Deaf and for Davies. The General Aid category includes Operations Aid, Literacy Set-Aside, the Student Investment Initiatives and Central Falls. Other categories include: State Contributions for Teacher Retirement, School Construction Aid (Housing Aid), State Schools, and Charter Schools.



This report contains information and historical data providing a brief description of the state's education aid distribution categories for FY 2000 through FY 2004, and the Governor's FY 2005 education aid recommendation.

## Rhode Island Education Aid Formulas

**School Construction Aid (Housing Aid):** The School Housing Foundation Aid Program, or aid for educational capital expenses, reimburses local expenditures using a one-year reference. The Commissioner and the Board of Regents review each local community's request for reimbursement through the program. The program reimburses a community for eligible construction expenditures after the project is complete. The reimbursement rate is based on the cost of the project over the life of the bonds issued for the project.

It should be noted that although the reimbursement reference for completed projects is one year, there is a two-year reference for formula factors. For example, FY 2005 allocations were based on 2003 wealth and enrollment levels. The construction aid share ratio calculation is based on a district's income adjusted property wealth compared to aggregate state property wealth. The average state housing aid share ratio is thirty-eight percent, with a minimum of thirty percent. A four percent bonus is awarded when a minimum of seventy-five percent of a project's cost is for energy conservation, asbestos removal, or handicapped access. Regional districts receive a two percent bonus for each regionalized grade for new construction projects, and an additional four percent bonus for renovation projects. The current calculation also includes a debt service adjustment for heavily burdened districts.

Reimbursement is based on total expended project cost, plus related bond interest cost, not on the amount of the original bond issuance. For example, if a community issued \$6.5 million for ten years for a capital improvement to a school, but only spent \$6.0 million, the department would reimburse the community for the State's appropriate share of the \$6.0 million spent on the completed project, plus the bond interest payments over a ten year period. This statute was amended to include the use of lease revenue bonds, financial leases, capital reserve funding, and similar financial instruments to finance school construction. Communities used this amendment to receive project reimbursements commencing in FY 1999.

The FY 2004 appropriation act amended the housing aid statute to require that all future school construction debt be issued through the Rhode Island Health and Education Building Corporation. Thus far, no such new debt has been issued and no appropriations to reimburse such debt are required for FY 2005.

**State Contributions for Teacher Retirement:** RIGL §16-16-22 requires the state to make contributions to the teacher retirement system in Rhode Island. The state shall contribute a percentage of the employer's share, with the municipalities contributing the balance. The state's share has varied over the years based upon the total actuarially determined rate of payroll, but since FY 1993 it has been fixed at forty percent, with the municipalities contributing sixty percent. Each district receives the same percentage, regardless of wealth.

The Governor and the General Assembly deferred the state's contributions to Teacher Retirement in both FY 1991 and FY 1992. Most municipalities also deferred the local portion of teacher retirement contributions for this period. The state deferrals, valued at \$22.4 million in FY 1991 and \$22.2 million in FY 1992, will be financed over twenty years, as will the local deferral. The annual calculation applied to the state contribution to Teacher Retirement includes an adjustment to accommodate the deferral liability. Five municipalities, including Burrillville, East Greenwich, Little Compton, New Shoreham and North Smithfield, did not participate in these deferrals. Because they have no deferral liability, these districts contribute a smaller percentage of teachers' salaries.

**Contribution Rates for Teachers' Retirement Fund**

	<b>Actuarial Contribution Rate of Payroll</b>	<b>Employer Share</b>			<b>Teacher Share</b>
		<b>Local (60%)*</b>	<b>State (40%)*</b>	<b>Sub Total</b>	
1999	21.02	6.62	4.90	11.52	9.5
2000	24.14	8.43	6.21	14.64	9.5
2001	21.51	6.86	5.15	12.01	9.5
2002	19.45	5.73	4.22	9.95	9.5
2003	21.47	6.93	5.04	11.97	9.5
2004	23.22	7.99	5.73	13.72	9.5
2005 proposed	24.34	8.66	6.18	14.84	9.5

\* Adjusted for deferral liability for certain non participating communities

The following table displays the state contributions to Teacher Retirement since FY 1999. The State Retirement Board uses the districts' retirement contribution data to calculate the state obligation each month, and contributions are accrued to the appropriate fiscal period.

**State Contribution for Teacher Retirement**

<b><u>Fiscal Year</u></b>	<b><u>State Share</u></b>
1999 Actual	\$30,202,943
2000 Actual	\$40,719,407
2001 Actual	\$35,365,234
2002 Actual	\$30,652,207
2003 Unaudited	\$38,072,353
2004 Revised	\$46,212,606
2005 Recommended	\$52,583,171

The FY 2005 budget recommendation reflects full state appropriations for the actuarial determined contribution rate and includes an assumed growth in teacher payrolls of 5.5%.

**Categories of Aid Since FY 1997**

The FY 1998 Appropriation Act contained six new categories for education aid to local school districts. This began the new distribution methodology for education aid. The FY 1999 Appropriations Act established two more such categories, the FY 2000 Act added one more, the FY 2001 Act provided for four more, and the FY 2002 Act added two more. These are described below.

**Core Instructional Equity:** Recognizing the need to address the inequities in resource distribution among the state's school districts, the General Assembly created the Core Instructional Equity Fund. Appropriations in this fund use population, equalized weighted assessed valuations, the most recent local tax data, the core instructional per pupil cost, and the most recent resident average daily membership to calculate the gap between a district's per pupil core instructional cost and the state median per pupil instructional cost. FY 2005 finances appropriations totaling \$448.6 million.

**Early Childhood Investment Fund:** Resources distributed from this fund are targeted to improvement of student performance in the early grades. The distribution is based on each district's proportionate average daily membership in kindergarten through third grade relative to the statewide average for the same grades in the same year. These amounts are used in coordination with the literacy set-aside funds and may be used for early childhood pilot programs such as the Child Opportunity Zones. Three percent of these funds are to be set aside for literacy purposes. These funds are used for programs to close student performance gaps, as specified in each district's required strategic plan. FY 2005 finances appropriations totaling \$6.8 million.

**Professional Development Investment Fund:** This fund was created to encourage the continued development of the skills of Rhode Island teachers. Distribution is based on pupil-teacher ratios. School districts receiving these funds may replace up to ten percent of the amount spent in the prior fiscal year for professional development. The purpose of the fund is to close student performance gaps as specified in each district's required strategic plan. Fiscal year 2005 finances appropriations totaling \$3.4 million, including \$120,000 in Professional Development funds expended directly by the Department of Elementary and Secondary Education for statewide development activities.

**Student Equity Investment Fund:** This fund was established to target resources for improvement of fourth grade performances in mathematics, reading, and writing. The funds are distributed based on each district's proportionate share students eligible for federally subsidized meals, as compared to the statewide number. Three percent of these funds are to be set aside for literacy purposes. These funds are to be used for programs to close student performance gaps as specified in each district's required strategic plan. FY 2005 finances appropriations totaling \$63.8 million.

**Student Language Assistance Investment Fund:** This fund was established to target resources to assist students requiring additional language services. The funds are to be distributed based on each district's proportion of limited proficiency students as compared to the statewide number. These funds are to be used for programs to close student performance gaps, as specified in each district's required strategic plan. FY 2005 finances appropriations totaling \$31.7 million.

**Student Technology Investment Fund:** Rhode Island's Comprehensive Education Strategy calls for support for all schools in educational technology. These resources may be used for curriculum development, in-service professional development, and infrastructure requirements. The funds are distributed based on each district's proportion of its average daily membership compared to the state total average daily membership. Districts may use these funds to replace up to ten percent of its technology related expenditures of the previous year. These funds are to be used for programs to close student performance gaps, as specified in each district's required strategic plan. FY 2005 finances appropriations totaling \$3.4 million.

**Targeted School Aid:** This fund targets aid to those school districts with a tax effort index below 1.0, and a free and subsidized lunch count in grades kindergarten through third greater than forty percent. The distribution of aid is based on the ratio of the average daily membership of a district to the total average daily membership of all districts eligible for aid from the fund. Expenditures from the fund will be consistent with the district's strategic plan. FY 1999 was the first year targeted school aid was used as a category to distribute education aid to school districts. FY 2005 finances appropriations totaling \$20.0 million.

**On-Site Visits:** As part of the education initiative embodied in RIGL §16-7.1-2, each school district was mandated to develop a strategic plan. Each strategic plan must indicate the manner in which self-studies will be completed at the school level, in accordance with guidelines established by the Commissioner of

the Department of Elementary and Secondary Education. This fund provides resources to assist districts with comprehensive on-site reviews on a scheduled basis. The schools to be reviewed for each year are determined by the Commissioner. Appropriations of \$407,935 are provided in FY 2005 to continue the review process.

**Charter School Fund:** This fund was added in the 1999 legislative session and is designed specifically to provide funds to state charter schools. Nine charter schools are currently in operation, four of which operate in the Providence school district. FY 2005 finances appropriations totaling \$19.0 million.

**Full Day Kindergarten:** This fund was established during the 2000 legislative session. It requires that funds are provided to school districts that have implemented full day kindergarten programs. Such districts would receive funds for October 1 pupil counts of \$1,500 per pupil if the district has a tax effort index of below 0.6, \$1,000 if the district has a tax effort index below 1.0, or \$500 if a district has a tax effort index of 1.0 or above. The FY 2005 budget includes appropriations of \$4.7 million.

**Progressive Support and Intervention:** The Legislature, in enacting RIGL §16-7.1, charged the Board of Regents with the responsibility for adopting progressive support and intervention strategies for those schools and school districts that continue to fall short of performance goals. Funds for technical assistance, policy support, resource oversight and supportive partnerships to aid such districts were included in the 2000 legislative session. The FY 2005 budget includes \$2.1 million for this purpose including a new urban high school initiative of \$1.0 million and \$0.6 million specifically targeted for Hope High School.

**Vocational Equity:** This fund was also created in the 2000 legislative session. It provides a funding mechanism for those school districts that support career and technical schools within their system. The FY 2001 budget appropriated \$1.7 million for this purpose. This allocation was determined using \$500 per student enrolled in the prior year in the local career and technical schools. The FY 2005 allocation continues this financing at a reduced level of \$1.5 million.

**Textbook Expansion:** In its 2000 session, the Legislature appropriated \$320,000 to expand coverage of reimbursable categories of textbooks for students that attend non-public schools. These funds are reflected as education aid. The FY 2005 budget reflects \$325,000 for textbook reimbursement.

**Hasbro Children's Hospital:** Reimbursement to Hasbro Children's Hospital for expenditures for teachers providing schooling to students in the hospital has been provided in previous budgets, but not included in the education aid category. The FY 2005 budget appropriates \$100,000 for this purpose, and categorizes this disbursement as education aid.

**Group Home Funds:** The FY 2002 budget contained \$7.3 million to establish reimbursement for children placed in group homes by the Department of Children, Youth and Families. This fund would provide \$15,000 per bed for those communities with children in group homes. FY 2005 provides \$8.9 million for such purposes.

### **Funding Patterns for FY 2001 through FY 2005**

**FY 2001** The FY 2001 budget expanded the Student Investment categories by establishing categories for Full Day Kindergarten, Progressive Support and Intervention, Vocational Equity, Textbook Expansion and Hasbro Children's Hospital. In addition funds were provided for a match of a Gates Foundation grant to the communities of Providence and Coventry. These new categories, plus Education Aid, Housing Aid and Teacher Retirement, totaled \$636.6 million. This represented a \$52.3 million increase over FY 2000. This

included an increase in Housing Aid of \$5.3 million and a decrease in Teacher Retirement of \$4.8 million. Recognizing these adjustments, the net increase in funds directed to LEA's was \$51.8 million.

The budget provided for minimum increases in Education Aid per community of five percent. Burrillville, and the four ring districts of East Providence, North Providence, Johnston and Cranston received a minimum increase of 7.5 percent. No community received an increase of more than 13.5 percent.

**FY 2002** The FY 2002 budget included \$674.0 million in education aid. This represented an increase of \$37.4 million, or 5.9 percent above FY 2001 expenditures. Of the total increase, \$26.2 million occurred in general operations aid, and \$2.5 million in Charter Schools. A new investment fund was also established for Group Home financing that totaled \$7.7 million.

Education Aid totals included decreased Teachers' Retirement costs. These costs totaled \$31.3 million, or approximately \$4.3 million lower than the FY 2001 level. The FY 2002 totals reflected increased costs for the School Construction program. These costs totaled \$33.2 million, an increase from the FY 2001 level of \$2.4 million.

**FY 2003** The budget recommended \$730.3 million in education aid for FY 2003. This total also reflects financing for the state schools, the School for the Deaf, the Davies Career and Technical School and the Metropolitan Career and Technical School. When FY 2002 is restated to reflect these schools, the FY 2003 increase is \$36.0 million. Approximately half the increase, \$18.2 million, occurred in the General Aid category. The remaining major increases occurred in Charter School Aid, \$3.4 million; Targeted Aid, \$2.0 million; Teacher Retirement, \$7.9 million; and School Construction Aid, \$5.0 million.

**FY 2004** The budget included \$762.6 million in education aid for FY 2004. This total includes financing for the state schools, the School for the Deaf, the Davies Career and Technical School and the Metropolitan Career and Technical School. When compared to the revised FY 2003 appropriation, including the state schools, education aid increased by \$32.3 million. This included an increase of \$15.0 in direct education aid to districts over the FY 2003 level. Of the total Aid increase, \$2.4 million occurred in School Construction and \$8.1 million in Teacher Retirement. Major increases also occurred in Charter School Aid, \$3.4 million; Metropolitan School, \$1.8 million; Davies School, \$0.7 million; and Progressive Support and Intervention (Hope High School), \$0.6 million.

**FY 2005** The Governor proposes \$773.7 million in education aid for FY 2005. The major increases are for Charter School funding , \$5.7 million, the Met School, \$2.1 million, Progressive Intervention, \$1.0 million, and Group Home aid, \$0.6 million. School Construction aid increases \$4.1 million and teacher retirement contributions by \$6.4 million. All forms of distributed aid are level funded at the FY 2004 level. Aid distributed directly to school districts declines by \$7.9 million.

### **Central Falls School District**

The Central Falls School District became fully state-funded in FY 1992, following a determination that the city was no longer able to support its schools. The State takeover of the district is formalized through an administrative plan that requires the city to maintain and expand the school facilities, and mandates state responsibility for all other education funding. As a result, state support for Central Fall has expanded from \$10.3 million in FY 1992 to \$36.0 million in FY 2005. Central Falls continues to demonstrate resourcefulness in acquiring materials, furniture and assistance from other school districts and private organizations.

Both enrollment trends and cost per pupil continue to be significant variables in the district's need for increased funds. The district experiences difficulty in anticipating its enrollment. This is due to a highly mobile population that frequently exits and re-enters its school system within and between years. A significant portion of the school population also requires additional services due to special needs. The cost of providing educational and support services to special needs students is greater than the average student cost. The Central Falls School District has the highest percentage of students in the state with special needs (twenty-three percent), and is currently below average in expenditures per pupil for special needs.

The FY 2005 budget for the Central Falls School District totals \$36.0 million. Financing for the district for FY 2005 increases 1% over the FY 2004 level.

**Special Education.** Beginning in FY 2001, at the initiative of the Governor, claiming of federal reimbursements by local districts for special education was enhanced significantly by expanding the types of eligible services and student eligibility criteria, and by initiating reimbursements, for administrative costs associated with operating special education programs. This reimbursement enhancement will improve the financing of these services at all schools, and particularly at Central Falls.

## Education Aid by Category of Aid

Category of Education Aid	FY 2001	FY 2002	FY 2003	FY 2004	FY 2005
Operations Aid	\$404,139,657	\$430,355,024	\$448,521,683	\$457,980,419	\$448,563,775
Capital Construction	30,775,773	33,222,193	38,232,357	40,634,116	44,737,193
Teacher Retirement	35,536,235	31,264,197	38,072,353	46,212,606	52,583,171
Regionalization Bonus	-	-	-	-	-
Student Technology	3,397,692	3,397,692	3,397,691	3,397,692	3,397,692
Core Instruction Equity	30,000,000	30,000,000	30,247,253	-	-
Student Equity *	63,708,586	63,708,587	63,799,999	63,800,000	63,800,000
Early Childhood *	6,457,821	6,457,821	6,800,000	6,800,000	6,800,000
Student Language Assistance	5,098,527	5,098,525	7,000,000	31,715,459	31,715,459
Professional Development	3,869,753	3,804,791	3,445,503	3,445,502	3,445,502
Targeted Aid	8,000,001	8,000,000	10,000,000	20,000,000	20,000,000
On-Site Visits	621,757	694,313	407,002	407,935	407,935
Charter School Fund	4,019,252	6,561,719	9,954,070	13,340,965	19,035,573
Full Day Kindergarten	2,406,000	3,105,000	4,038,500	4,428,500	4,650,000
Progressive Support & Intervent	4,571,840	4,621,507	471,986	1,112,500	2,100,000
Vocational Equity	1,689,500	1,637,000	1,391,500	1,535,000	1,535,000
Textbook Expansion	80,253	372,630	221,811	325,000	325,000
School Breakfast	-	-	-	647,872	700,000
Hasbro Children's Hospital	100,000	100,000	100,000	100,000	100,000
Group Home Funding	-	7,656,000	8,235,000	8,310,000	8,910,000
Gates Foundation Match	664,000	664,000	-	-	-
Metropolitan School	1,980,000	2,155,000	3,982,350	5,750,000	7,839,970
Central Falls School District	31,495,887	33,265,962	34,430,272	35,635,332	35,991,685
School for the Deaf	5,021,968	5,800,837	5,186,255	5,842,046	5,539,792
Davies School	9,945,286	9,841,782	10,263,283	11,150,280	11,552,365
<b>Total</b>	<b>\$653,579,788</b>	<b>\$691,784,580</b>	<b>\$728,198,868</b>	<b>\$762,571,224</b>	<b>\$773,730,112</b>

\* The Student Equity Fund and the Early Childhood Fund both have required set asides for Literacy of three percent.

## Education Aid to Local Units of Government

	FY 2001	FY 2002	FY 2003
<b>Local Education Authorities</b>			
Barrington	\$2,168,873	\$2,294,840	\$2,352,211
Burrillville	11,593,651	12,458,207	12,851,288
Central Falls	31,496,700	33,271,624	34,445,541
Charlestown	1,705,909	1,776,443	1,820,855
Coventry	17,491,176	18,103,867	18,556,464
Cranston	29,062,257	31,098,284	31,875,741
Cumberland	11,417,319	11,816,925	12,112,348
East Greenwich	1,533,092	1,642,030	1,765,450
East Providence	22,271,132	23,830,537	24,579,320
Foster	1,215,376	1,257,914	1,289,362
Glocester	2,774,666	2,871,779	2,943,574
Hopkinton	5,468,492	5,659,889	5,801,386
Jamestown	415,535	453,231	478,137
Johnston	8,963,511	9,607,836	9,962,918
Lincoln	6,443,726	6,669,256	6,835,988
Little Compton	288,426	312,417	320,227
Middletown	8,770,837	9,194,316	9,699,260
Narragansett	1,505,383	1,616,920	1,657,343
Newport	9,569,026	10,065,853	10,870,512
New Shoreham	67,076	79,523	86,319
North Kingstown	10,478,908	10,851,375	11,122,659
North Providence	11,064,498	11,937,013	12,235,439
North Smithfield	4,068,328	4,258,219	4,462,302
Pawtucket	52,978,940	56,785,846	60,024,535
Portsmouth	5,348,784	5,535,991	5,711,351
Providence	151,980,243	165,275,305	174,934,589
Richmond	5,400,935	5,589,968	5,729,717
Scituate	2,957,624	3,068,641	3,145,357
Smithfield	4,759,338	4,986,915	5,215,581
South Kingstown	8,892,982	9,219,644	9,598,923
Tiverton	5,144,427	5,324,482	5,457,594
Warwick	32,347,660	33,502,797	34,340,367
Westerly	5,691,315	5,994,381	6,146,521
West Warwick	16,432,161	17,658,912	18,643,537
Woonsocket	38,728,073	41,551,539	43,059,505
Bristol/Warren	17,764,213	18,393,960	18,853,809
Exeter/W Greenwich	6,369,693	6,862,455	7,092,069
Chariho District	327,760	341,021	352,610
Foster/Glocester	4,998,829	5,173,788	5,303,133
Met School	1,980,000	2,155,000	3,982,350
Davies	9,945,286	9,841,782	10,263,283
School for the Deaf	5,021,968	5,800,837	5,186,255
<b>LEA Subtotal</b>	<b>\$576,904,128</b>	<b>\$614,191,562</b>	<b>\$641,165,728</b>

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## Education Aid to Local Units of Government

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	FY 2001	FY 2002	FY 2003
<b>LEA Subtotal</b>	<b>\$576,904,128</b>	<b>\$614,191,562</b>	<b>\$641,165,728</b>
<b>Non-Distributed Aid</b>			
Teacher Retirement	35,536,235	31,264,197	38,072,353
Capital Construction	30,775,773	33,222,193	38,232,357
On-Site Visits	621,757	694,313	407,002
Prog Support & Intervention	4,571,840	4,621,507	471,986
Professional Developmen	544,251	479,290	120,000
Textbook Expansion	80,253	372,630	221,811
Hasbro Children's Hospital	100,000	100,000	100,000
School Breakfast	-	-	-
Direct Aid-Charter Schools	3,781,551	6,174,888	9,407,631
Gates Foundation Match	664,000	664,000	-
<b>Non-Distributed Subtotal</b>	<b>76,675,660</b>	<b>77,593,018</b>	<b>87,033,140</b>
<b>Total Aid</b>	<b>\$653,579,788</b>	<b>\$691,784,580</b>	<b>\$728,198,868</b>

## Education Aid to Local Units of Government - Restated

	FY 2004 Partial Distribution	FY 2004 Full Distribution	FY 2005 Partial Distribution	FY 2005 Full Distribution
<b>Local Education Authorities</b>				
Barrington	\$2,393,375	\$2,459,468	\$2,361,153	\$2,463,137
Burrillville	13,076,186	13,140,565	12,791,683	12,923,711
Central Falls	35,670,888	38,151,961	36,083,421	39,485,753
Charlestown	1,852,720	1,879,157	1,800,698	1,800,698
Coventry	18,881,202	18,907,639	18,581,020	18,619,264
Cranston	32,907,994	33,593,657	32,516,677	33,620,555
Cumberland	12,594,809	12,681,973	12,343,140	12,525,049
East Greenwich	1,796,345	1,796,345	1,781,958	1,781,958
East Providence	25,009,458	25,097,573	24,673,704	24,794,973
Foster	1,311,926	1,329,900	1,286,371	1,311,867
Glocester	2,995,087	2,995,087	2,935,813	2,935,813
Hopkinton	5,902,911	5,902,911	5,802,086	5,802,086
Jamestown	486,504	486,504	485,031	492,894
Johnston	10,137,270	10,200,117	10,029,699	10,124,001
Lincoln	6,955,618	7,641,430	6,903,831	7,689,394
Little Compton	325,831	325,831	336,498	336,498
Middletown	9,916,122	9,929,341	9,690,507	9,716,003
Narragansett	1,709,968	1,723,187	1,696,013	1,758,883
Newport	11,060,746	11,100,402	10,840,637	10,904,377
New Shoreham	93,128	93,128	91,068	91,068
North Kingstown	11,317,305	11,368,102	11,207,646	11,309,709
North Providence	12,449,559	13,397,669	12,316,405	13,321,830
North Smithfield	4,540,392	4,582,918	4,470,713	4,581,267
Pawtucket	61,074,964	70,164,393	60,664,962	70,650,211
Portsmouth	5,811,300	5,812,725	5,764,109	5,767,621
Providence	181,224,594	195,044,789	178,213,794	196,074,218
Richmond	5,829,987	5,829,987	5,708,510	5,708,510
Scituate	3,200,400	3,226,837	3,144,887	3,183,131
Smithfield	5,306,854	5,611,348	5,249,951	5,615,095
South Kingstown	9,766,904	9,943,983	9,552,741	9,838,236
Tiverton	5,553,102	5,579,539	5,432,986	5,471,230
Warwick	34,941,323	35,133,477	34,649,017	34,930,510
Westerly	6,284,205	6,301,722	6,288,379	6,317,225
West Warwick	19,275,597	19,275,597	19,040,741	19,040,741
Woonsocket	43,813,046	44,134,554	43,227,880	44,082,007
Bristol/Warren	19,183,751	19,183,751	18,967,189	19,005,433
Exeter/W Greenwich	7,216,180	7,235,990	7,114,328	7,154,108
Chariho District	360,305	509,654	362,903	584,638
Foster/Glocester	5,395,937	5,395,937	5,298,914	5,303,318
Met School	5,750,000	-	7,839,970	-
Davies	11,150,280	-	11,552,365	-
<b>LEA Subtotal</b>	<b>\$654,524,074</b>	<b>\$667,169,149</b>	<b>\$649,099,403</b>	<b>\$667,117,021</b>

## Education Aid to Local Units of Government - Restated

	<b>FY 2004</b>	<b>FY 2004</b>	<b>FY 2005</b>	<b>FY 2005</b>
	Partial Distribution	Full Distribution	Partial Distribution	Full Distribution
<b>LEA Subtotal</b>	<b>\$654,524,074</b>	<b>\$667,169,149</b>	<b>\$649,099,403</b>	<b>\$667,117,021</b>
<b>Non-Distributed Aid</b>				
Teacher Retirement	46,212,606	46,212,606	52,583,171	52,583,171
Capital Construction	40,634,116	40,634,116	44,737,193	44,737,193
On-Site Visits	407,935	407,935	407,935	407,935
Prog Support & Interventio	1,112,500	1,112,500	2,100,000	2,100,000
Professional Developmen	120,000	120,000	120,000	120,000
Textbook Expansion	325,000	325,000	325,000	325,000
Hasbro Children's Hospital	100,000	100,000	100,000	100,000
School Breakfast	647,872	647,872	700,000	700,000
School for the Deaf	5,842,046	5,842,046	5,539,792	5,539,792
Direct Aid-Charter Schools	12,645,075	-	18,017,618	-
Gates Foundation Match	-	-	-	-
<b>Non-Distributed Subtotal</b>	<b>108,047,150</b>	<b>95,402,075</b>	<b>124,630,709</b>	<b>106,613,091</b>
<b>Total Aid</b>	<b>\$762,571,224</b>	<b>\$762,571,224</b>	<b>\$773,730,112</b>	<b>\$773,730,112</b>

## Education Aid - Full Distribution - 2004

District	2004 Base Aid	Charter School	Met School	Davies School	2004 Final Aid
Barrington	\$2,393,375	-	\$66,093	-	\$2,459,468
Burrillville	13,076,186	37,942	26,437	-	13,140,565
Central Falls	35,670,888	916,385	13,219	1,551,469	38,151,961
Charlestown	1,852,720	-	26,437	-	1,879,157
Coventry	18,881,202	-	26,437	-	18,907,639
Cranston	32,907,994	486,104	185,059	14,500	33,593,657
Cumberland	12,594,809	46,227	26,437	14,500	12,681,973
East Greenwich	1,796,345	-	-	0	1,796,345
East Providence	25,009,458	20,741	52,874	14,500	25,097,573
Foster	1,311,926	4,755	13,219	0	1,329,900
Glocester	2,995,087	-	-	0	2,995,087
Hopkinton	5,902,911	-	-	-	5,902,911
Jamestown	486,504	-	-	-	486,504
Johnson	10,137,270	9,973	52,874	-	10,200,117
Lincoln	6,955,618	6,888	26,437	652,487	7,641,430
Little Compton	325,831	-	-	-	325,831
Middletown	9,916,122	-	13,219	-	9,929,341
Narragansett	1,709,968	-	13,219	-	1,723,187
Newport	11,060,746	-	39,656	-	11,100,402
New Shoreham	93,128	-	-	-	93,128
North Kingstown	11,317,305	37,578	13,219	-	11,368,102
North Providence	12,449,559	9,472	39,656	898,982	13,397,669
North Smithfield	4,540,392	14,807	13,219	14,500	4,582,918
Pawtucket	61,074,964	1,302,675	145,404	7,641,350	70,164,393
Portsmouth	5,811,300	1,425	-	-	5,812,725
Providence	181,224,594	9,111,901	4,679,295	28,999	195,044,789
Richmond	5,829,987	-	-	-	5,829,987
Scituate	3,200,400	-	26,437	-	3,226,837
Smithfield	5,306,854	-	-	304,494	5,611,348
South Kingstown	9,766,904	163,860	13,219	-	9,943,983
Tiverton	5,553,102	-	26,437	-	5,579,539
Warwick	34,941,323	33,532	158,622	-	35,133,477
Westerly	6,284,205	4,298	13,219	-	6,301,722
West Warwick	19,275,597	-	-	-	19,275,597
Woonsocket	43,813,046	293,789	13,219	14,500	44,134,554
Bristol Warren	19,183,751	-	-	-	19,183,751
Exeter-W. Greenwi	7,216,180	19,810	-	-	7,235,990
Chariho	360,305	122,912	26,437	-	509,654
Foster-Glocester	5,395,937	-	-	-	5,395,937
<b>TOTAL</b>	<b>\$637,623,793</b>	<b>\$12,645,075</b>	<b>\$5,750,000</b>	<b>11,150,280</b>	<b>\$667,169,149</b>

## Education Aid - Full Distribution - 2005

	2005 Base Aid	Charter School	Met School	Davies School	2005 Final Aid
Barrington	\$2,361,153	-	\$101,984	-	\$2,463,137
Burrillville	12,791,683	93,784	38,244	-	12,923,711
Central Falls	36,083,421	1,769,421	25,496	1,607,415	39,485,753
Charlestown	1,800,698	-	-	-	1,800,698
Coventry	18,581,020	-	38,244	-	18,619,264
Cranston	32,516,677	808,399	280,456	15,023	33,620,555
Cumberland	12,343,140	128,642	38,244	15,023	12,525,049
East Greenwich	1,781,958	-	-	-	1,781,958
East Providence	24,673,704	29,758	76,488	15,023	24,794,973
Foster	1,286,371	-	25,496	-	1,311,867
Glocester	2,935,813	-	-	-	2,935,813
Hopkinton	5,802,086	-	-	-	5,802,086
Jamestown	485,031	7,863	-	-	492,894
Johnson	10,029,699	17,814	76,488	-	10,124,001
Lincoln	6,903,831	71,303	38,244	676,016	7,689,394
Little Compton	336,498	-	-	-	336,498
Middletown	9,690,507	-	25,496	-	9,716,003
Narragansett	1,696,013	37,374	25,496	-	1,758,883
Newport	10,840,637	-	63,740	-	10,904,377
New Shoreham	91,068	-	-	-	91,068
North Kingstown	11,207,646	76,567	25,496	-	11,309,709
North Providence	12,316,405	10,285	63,740	931,400	13,321,830
North Smithfield	4,470,713	70,035	25,496	15,023	4,581,267
Pawtucket	60,664,962	1,851,637	216,716	7,916,896	70,650,211
Portsmouth	5,764,109	3,512	-	-	5,767,621
Providence	178,213,794	11,596,656	6,233,722	30,046	196,074,218
Richmond	5,708,510	-	-	-	5,708,510
Scituate	3,144,887	-	38,244	-	3,183,131
Smithfield	5,249,951	49,665	-	315,479	5,615,095
South Kingstown	9,552,741	272,747	12,748	-	9,838,236
Tiverton	5,432,986	-	38,244	-	5,471,230
Warwick	34,649,017	52,029	229,464	-	34,930,510
Westerly	6,288,379	16,098	12,748	-	6,317,225
West Warwick	19,040,741	-	-	-	19,040,741
Woonsocket	43,227,880	826,356	12,748	15,023	44,082,007
Bristol Warren	18,967,189	-	38,244	-	19,005,433
Exeter-W. Greenwich	7,114,328	39,780	-	-	7,154,108
Chariho	362,903	183,491	38,244	-	584,638
Foster-Glocester	5,298,914	4,404	-	-	5,303,318
<b>TOTAL</b>	<b>\$ 629,707,068</b>	<b>\$ 18,017,618</b>	<b>\$ 7,839,970</b>	<b>\$ 11,552,365</b>	<b>\$ 667,117,021</b>

# Charter School Distribution by Community - 2004

2004	Beacon Woonsocket	Blackstone Pawtucket	Compass S. Kingstown	Cuffee Providence	Highlander Providence	International Pawtucket	Kingston Hill S. Kingstown	NE Laborers Cranston	Textron Providence	Times 2 Providence	Total
BARRINGTON	-	-	-	-	-	-	-	-	-	-	-
BURRILLVILLE	\$33,200	-	-	-	\$4,743	-	-	-	-	-	\$37,942
CHARLESTOWN	-	-	-	-	-	-	-	-	-	-	-
COVENTRY	-	-	-	-	-	-	-	-	-	-	-
CRANSTON	-	-	-	-	\$41,666	-	\$4,630	\$439,808	-	-	\$486,104
CUMBERLAND	\$42,733	-	-	-	\$3,493	-	-	-	-	-	\$46,227
EAST GREENWICH	-	-	-	-	-	-	-	-	-	-	-
EAST PROVIDENCE	-	-	-	-	\$20,741	-	-	-	-	-	\$20,741
FOSTER	\$4,755	-	-	-	-	-	-	-	-	-	\$4,755
GLOCESTER	-	-	-	-	-	-	-	-	-	-	-
HOPKINTON	-	-	-	-	-	-	-	-	-	-	-
JAMESTOWN	-	-	-	-	-	-	-	-	-	-	-
JOHNSTON	-	-	-	-	\$9,973	-	-	-	-	-	\$9,973
LINCOLN	\$3,444	-	-	-	\$3,444	-	-	-	-	-	\$6,888
LITTLE COMPTON	-	-	-	-	-	-	-	-	-	-	-
MIDDLETOWN	-	-	-	-	-	-	-	-	-	-	-
NARRAGANSETT	-	-	-	-	-	-	-	-	-	-	-
NEWPORT	-	-	-	-	-	-	-	-	-	-	-
NEW SHOREHAM	-	-	-	-	-	-	-	-	-	-	-
NORTH KINGSTOWN	-	-	\$37,578	-	-	-	-	-	-	-	\$37,578
NORTH PROVIDENCE	\$9,472	-	-	-	-	-	-	-	-	-	\$9,472
NORTH SMITHFIELD	\$11,845	-	-	-	\$2,961	-	-	-	-	-	\$14,807
PAWTUCKET	\$20,787	\$644,408	-	-	\$55,433	\$582,046	-	-	-	-	\$1,302,675
PORTSMOUTH	-	-	-	-	\$1,425	-	-	-	-	-	\$1,425
PROVIDENCE	\$8,858	-	-	\$2,009,005	\$783,595	\$475,159	-	\$1,850,619	\$3,984,665	-	\$9,111,901
RICHMOND	-	-	-	-	-	-	-	-	-	-	-
SCITUATE	-	-	-	-	-	-	-	-	-	-	-
SMITHFIELD	-	-	-	-	-	-	-	-	-	-	-
SOUTH KINGSTOWN	-	-	\$62,653	-	-	-	\$101,208	-	-	-	\$163,860
TIVERTON	-	-	-	-	-	-	-	-	-	-	-
WARWICK	-	-	\$8,383	-	\$25,149	-	-	-	-	-	\$33,532
WESTERLY	-	-	\$4,298	-	-	-	-	-	-	-	\$4,298
WEST WARWICK	-	-	-	-	-	-	-	-	-	-	-
WOONSOCKET	\$293,789	-	-	-	-	-	-	-	-	-	\$293,789
BRISTOL/WARREN	-	-	-	-	-	-	-	-	-	-	-
EXETER-W. GREEN	-	-	\$13,206	-	\$6,603	-	-	-	-	-	\$19,810
CHARIHO	-	-	\$100,271	-	-	-	\$22,642	-	-	-	\$122,912
FOSTER-GLOCESTER	-	-	-	-	-	-	-	-	-	-	-
CENTRAL FALLS	-	\$430,701	-	-	\$27,492	\$458,193	-	-	-	-	\$916,385
<b>TOTAL</b>	<b>\$428,884</b>	<b>\$1,075,109</b>	<b>\$226,389</b>	<b>\$2,009,005</b>	<b>\$986,719</b>	<b>\$1,515,398</b>	<b>\$128,479</b>	<b>\$439,808</b>	<b>\$1,850,619</b>	<b>\$3,984,665</b>	<b>\$12,645,075</b>

# Charter School Distribution by Community - 2005

2005	Beacon Woonsocket	Blackstone Pawtucket	Compass S. Kingstown	Cuffee Providence	Highlander Providence	International Pawtucket	Kingston Hill S. Kingstown	NE Laborers Cranston	Learning Comm. Central Falls	Textron Providence	Times 2 Providence	Total
BARRINGTON	-	-	-	-	-	-	-	-	-	-	-	-
BURRILLVILLE	\$88,787	-	-	-	\$4,998	-	-	-	-	-	-	\$93,784
CHARLESTOWN	-	-	-	-	-	-	-	-	-	-	-	-
COVENTRY	-	-	-	-	-	-	-	-	-	-	-	-
CRANSTON	\$123,037	-	-	-	\$67,449	-	\$5,856	\$735,093	-	-	-	\$808,399
CUMBERLAND	-	-	-	-	\$5,606	-	-	-	-	-	-	\$128,642
E GREENWICH	-	-	-	-	-	-	-	-	-	-	-	-
E PROVIDENCE	-	-	-	-	\$29,758	-	-	-	-	-	-	\$29,758
FOSTER	-	-	-	-	-	-	-	-	-	-	-	-
GLOCESTER	-	-	-	-	-	-	-	-	-	-	-	-
HOPKINTON	-	-	-	-	-	-	-	-	-	-	-	-
JAMESTOWN	-	-	\$7,863	-	-	-	-	-	-	-	-	\$7,863
JOHNSTON	-	-	-	-	\$17,814	-	-	-	-	-	-	\$17,814
LINCOLN	\$65,719	-	-	-	\$5,584	-	-	-	-	-	-	\$71,303
LT COMPTON	-	-	-	-	-	-	-	-	-	-	-	-
MIDDLETOWN	-	-	-	-	-	-	-	-	-	-	-	-
NARRAGANSETT	-	-	\$13,479	-	-	-	\$23,895	-	-	-	-	\$37,374
NEWPORT	-	-	-	-	-	-	-	-	-	-	-	-
NEW SHOREHAM	-	-	-	-	-	-	-	-	-	-	-	-
N KINGSTOWN	-	-	\$76,567	-	-	-	-	-	-	-	-	\$76,567
N PROVIDENCE	\$10,285	-	-	-	-	-	-	-	-	-	-	\$10,285
N SMITHFIELD	\$62,848	-	-	-	\$7,187	-	-	-	-	-	-	\$70,035
PAWTUCKET	\$22,088	\$684,726	-	-	\$76,409	\$803,358	-	-	\$265,055	-	-	\$1,851,637
PORTSMOUTH	-	-	-	-	\$3,512	-	-	-	-	-	-	\$3,512
PROVIDENCE	\$8,736	-	-	\$2,620,705	\$1,077,928	\$621,200	-	-	\$628,969	\$1,974,264	\$4,664,854	\$11,596,656
RICHMOND	-	-	-	-	-	-	-	-	-	-	-	-
SCITUATE	-	-	-	-	-	-	-	-	-	-	-	-
SMITHFIELD	\$49,665	-	-	-	-	-	-	-	-	-	-	\$49,665
S KINGSTOWN	-	-	\$106,646	-	-	-	\$166,101	-	-	-	-	\$272,747
TIVERTON	-	-	-	-	-	-	-	-	-	-	-	-
WARWICK	-	-	\$11,288	-	\$40,741	-	-	-	-	-	-	\$52,029
WESTERLY	-	-	\$16,098	-	-	-	-	-	-	-	-	\$16,098
W WARWICK	-	-	-	-	-	-	-	-	-	-	-	-
WOONSOCKET	\$826,356	-	-	-	-	-	-	-	-	-	-	\$826,356
BRISTOL WARREN	-	-	-	-	-	-	-	-	-	-	-	-
EX-W. GREEN	-	-	\$28,419	-	\$11,361	-	-	-	-	-	-	\$39,780
CHARIHO	-	-	\$146,063	-	-	-	\$37,428	-	-	-	-	\$183,491
FOS-GLOC	\$4,404	-	-	-	-	-	-	-	-	-	-	\$4,404
CENTRAL FALLS	-	\$453,502	-	-	\$36,728	\$584,463	-	-	\$694,727	-	-	\$1,769,421
<b>TOTAL</b>	<b>\$1,261,924</b>	<b>\$1,138,229</b>	<b>\$406,422</b>	<b>\$2,620,705</b>	<b>\$1,385,074</b>	<b>\$2,009,021</b>	<b>\$233,280</b>	<b>\$735,093</b>	<b>\$1,588,752</b>	<b>\$1,974,264</b>	<b>\$4,664,854</b>	<b>\$18,017,618</b>



# **Quasi-Public Agencies**



Rhode Island Airport Corporation  
Capital Center Commission  
Rhode Island Clean Water Finance Agency  
Rhode Island Convention Center Authority  
Rhode Island Depositors Economic Protection Corporation  
Rhode Island Economic Development Corporation  
Rhode Island Health and Educational Building Corporation  
Rhode Island Housing and Mortgage Finance Corporation  
Housing Resources Commission  
Rhode Island Industrial Facilities Corporation  
Rhode Island Industrial-Recreational Building Authority  
Rhode Island Lottery  
Narragansett Bay Commission  
Rhode Island Public Transit Authority  
Rhode Island Refunding Bond Authority  
Rhode Island Resource Recovery Corporation  
Rhode Island Student Loan Authority  
Rhode Island Turnpike and Bridge Authority  
Rhode Island Underground Storage Tank Financial Responsibility  
Fund Review Board  
Rhode Island Water Resources Board Corporate

# The Agency

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## Rhode Island Airport Corporation

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### Agency Operations

The Rhode Island Airport Corporation (“Corporation”) was created by the Rhode Island Economic Development Corporation (formerly the Port Authority) on December 9, 1992, as a subsidiary public corporation, government agency, and public instrumentality, having a distinct legal existence from the state and the authority, and having many of the same powers and purposes of the authority. The Corporation is empowered to undertake the planning, development, management, acquisition, ownership, operation, repair, construction, renovation, sale, lease or other disposition of any airport facility, including the T.F. Green State Airport in Warwick, as well as the outlying North Central, Quonset, Westerly, Newport, and Block Island Airports.

The powers of the Corporation are vested in its Board of Directors consisting of seven members, one member appointed by the Mayor of the City of Warwick and serving at his pleasure, the remaining six appointed by the Governor for four-year terms. The Corporation does not have the power to issue bonds or notes or borrow money without the approval of the Rhode Island Economic Development Corporation.

The Corporation leases the airports from the State of Rhode Island, through the Department of Transportation (DOT), the operator of the airport system. The state and DOT have assigned all rights to airport revenues, the proceeds of the state general obligation bonds issued for airport projects, federal grant agreements, insurance proceeds, all contracts including concession agreements with vendors and airlines, and all licenses and permits. The Corporation has agreed to reimburse the state for general obligation debt service after July 1, 1993, to the extent that money is available from its funds. In the event of insufficient funds, the unpaid debt shall accrue and be payable in the following year.

The Corporation was established as a subsidiary of the Rhode Island Port Authority for the purpose of assuming operating responsibility for the airports and undertaking capital improvements. The Corporation is intended to provide more flexibility in the provision of state match funds for all airport related projects by utilizing revenue bonds backed by revenue from parking, car rental, and other concessionaires, including landing fees paid by airlines, as well as a passenger facility charge that the federal government has empowered local airports to collect in order to finance capital improvements.

The Corporation is entitled to receive funds from the Federal Aviation Administration (FAA) which provides funds most frequently on a 75/25 (federal/state) matching basis to improve the state’s airport system and finance equipment purchases, runway reconstruction, and other airfield improvements. The grants are used to support a program of specific runway, airfield, signage, and other improvements under the general title of the Airport Improvement Program.

# The Agency

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## **Rhode Island Airport Corporation**

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The Corporation does not receive any state appropriations from the State of Rhode Island for the operation and maintenance and capital program relating to T.F. Green and the five general aviation airports. All of the operating and maintenance expenses, as well as operating capital programs and projects, are funded directly by revenues derived at T.F. Green Airport. The only “state” funds utilized by the Corporation are general obligation bonds which were issued by the State of Rhode Island for airport related projects. The debt service on these general obligation bonds is the responsibility of the Corporation and the Corporation is current with respect to that responsibility.

# The Budget

## Rhode Island Airport Corporation

	FY 2002 Actual	FY 2003 Actual	FY 2004 Budget	FY 2005 (a) Recommended
<b>Revenue: (T.F. Green)</b>				
Landing Fees	5,807,788	7,098,461	7,952,400	8,191,000
Fuel Flowage Fees	730,550	701,364	743,000	765,300
Tiedown & Hanger Fees	848,975	997,474	969,000	998,100
Aircraft Registration	18,441	20,138	20,000	20,600
Concessions	2,486,942	2,397,390	2,447,100	2,520,500
Miscellaneous Revenues	409,287	760,089	372,050	383,200
Utilities Reimbursement	302,004	323,502	330,000	339,900
Airline Equipment Charge	574,344	572,952	573,660	574,000
Terminal Rent-Airlines	5,480,348	6,425,915	6,408,000	6,600,200
Terminal Rent-Non Airlines	367,047	581,863	773,600	796,800
Automobile Parking	13,994,478	13,225,244	13,979,400	14,398,800
Rental Car Parking	6,687,197	6,222,214	6,474,700	6,668,900
Off Airport Courtesy Fees	679,967	720,897	758,160	780,900
Bad Debt Expenses	(433,094)	(240,800)	385,542	(100,000)
Audit & Finance Charge	9,008	43,954	227,350	
Federal Grants - FAA	480,913	241,000	120,500	120,500
Airport Support Fund - Revenue A65		666,637		
<b>Total Revenue</b>	<b>\$38,444,195</b>	<b>\$40,758,294</b>	<b>\$42,534,462</b>	<b>\$43,058,700</b>
<b>Personnel Expenses: (T.F. Green)</b>				
Payroll	6,992,430	8,676,888	9,757,200	10,245,100
Payroll - Overtime	534,563	748,797	396,292	416,100
Snow Removal Overtime	42,336	280,822	143,920	151,100
Overtime-Holiday	185,709	304,003	366,885	385,200
Workers' Comp Leave	1,065	6,343	-	-
Unemployment Compensation	11,266	4,613	-	-
Employee Retirement	393,865	477,769	634,224	665,900
FICA Tax	605,388	767,268	831,130	872,700
Long Term Disability & Life Insurance	100,224	115,890	136,596	143,400
Workers' Comp Insurance	187,631	357,600	476,640	500,500
Health Insurance	1,064,330	1,381,863	1,671,846	1,839,000
Employee Relocation	8,624	5,450	-	-
Miscellaneous Employee Benefits	217,634	277,104	200,000	210,000
Temporary Employee Help \ Outsource		23,280		
<b>Total Personnel Expenses</b>	<b>\$10,345,065</b>	<b>\$13,427,690</b>	<b>\$14,614,733</b>	<b>\$15,429,000</b>
<b>Total Expenses - Operating</b>	<b>9,087,350</b>	<b>8,341,867</b>	<b>9,230,298</b>	<b>9,507,200</b>
<b>Total Expenditures</b>	<b>\$19,432,415</b>	<b>\$21,769,557</b>	<b>\$23,845,031</b>	<b>\$24,936,200</b>
<b>Net Income from Operations</b>	<b>\$19,011,780</b>	<b>\$18,988,737</b>	<b>\$18,689,431</b>	<b>\$18,122,500</b>

# The Budget

## Rhode Island Airport Corporation

	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005 (a)</b>
	<b>Actual</b>	<b>Actual</b>	<b>Budget</b>	<b>Recommended</b>
Outlying Airports				
<b>Revenues</b>	1093802	1251898	1,802,797	1856900
Payroll Expenses	(902,993)	(1,062,910)	(1,148,973)	(1,206,400)
Operating Expenses	(514,556)	(756,563)	(761,676)	(784,500)
Airport Management Fee	(65,000)	(65,000)	(65,000)	(65,000)
Net Gain (Loss) Outlying Airport	(388,747)	(632,575)	(172,852)	(199,000)
Depreciation & Amortization	14,095,755	14,268,382	14,560,000	15,288,000
Net Income(Loss) After Depreciation and Amortization	4,527,278	4,087,780	3,956,579	2,635,500
Other Income & Expenses				
Interest Income	1,175,535	1,438,276	805,000	829,200
Interest Expense	(268,846)	(413,423)	(235,000)	(242,100)
Interest Expense - All Bonds	(11,041,406)	(10,628,071)	(12,333,121)	(12,703,100)
Gain (Loss) on Sale of Assets	6,886	-	-	-
Miscellaneous Income	8,303	26,386	20,000	20,600
Insurance Proceeds	385,131	2,467	-	-
<b>Airport Support Fund - Revenue (b)</b>	<b>\$711,064</b>		<b>\$0</b>	<b>\$0</b>
Airport Support Fund - Expenses (b)	-462881	0	0	0
<b>Bad Debt Expense</b>	<b>-91000</b>	<b>38,317</b>	<b>0</b>	<b>0</b>
Interest Income - CFC	13,924	36,829	35,000	36,100
Customer Facility Charge (CFC)	5,050,790	4,789,109	5,120,000	5,273,600
Interest Income - PFC	270,759	193,065	200,000	206,000
Passenger Facility Charge	7,419,458	7,171,740	7,450,000	7,673,500
Federal Grants - FAA	8,244,873	11,247,945	17,573,360	18,100,600
Miscellaneous Grants & Contributions	2,578,772	141,527	-	-
Noise Mitigation Program (See note b)	(5,748,151)	(6,762,892)	(2,818,720)	(2,903,300)
Land Acquisition Program (See note b)	(6,335,606)	(4,536,711)	(4,529,525)	(4,665,400)
Total Non-Operating Income & Exp	1,917,605	2,744,564	11,286,994	11,625,700
Income from Continuing Operations	6,444,883	6,832,344	15,243,573	14,261,200
Loss Discontinued Business Airport Supp	(193,019)	-	-	-
<b>Net Income</b>	<b>\$6,251,864</b>	<b>\$6,832,344</b>	<b>\$15,243,573</b>	<b>\$14,261,200</b>

(a) The information presented for FY 2005 has not been reviewed nor approved by the Rhode Island Airport Corporation Board of Directors and is subject to change pending review of the Corporation's Board of Directors.

(b) Starting in FY 2003 the Airport Support Fund Revenue and expenses is recorded as operating revenue and expenses.

# The Agency

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## Capital Center Commission

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### Agency Operations

The Capital Center Commission is a public corporation and agency of the State of Rhode Island that was created by state enabling legislation in 1981, and is a public body of the City of Providence by City Council Ordinance enacted in 1982. Legislatively, it is responsible for the adoption, implementation, and administration of the public and private development within Capital Center through its Design and Development Regulations, which, in part, are more restrictive than the local zoning ordinance. The commission's Internal Operating Procedures establish an application and design review process, which is legislated as not to exceed ninety days, but, which in practice, has been kept to within forty-five days. The goal is to assure compliance with the regulations and at the same time assure the developer of an early decision on its application for development.

The Capital Center Commission, a seventeen member board created by state legislation, is funded primarily by the State of Rhode Island through the Economic Development Corporation, and by the City of Providence with each providing \$50,000 grants yearly.

One of the largest and most ambitious economic ventures of the State of Rhode Island and its capital city - Providence - is Capital Center, a seventy-seven acre northerly expansion of downtown Providence to the State Capitol. Through the cooperative and continuing efforts of public and private ownerships and interests, an infusion of over \$100 million of public and private funds has been committed for the planning and construction of public infrastructure improvements within the area. The public improvements, completed in mid 1994, include: new rights-of-way and utility lines, expansion of public open space, river walks along restaurants and retail uses, an amphitheater, and pedestrian bridges created, in part, by the relocation of two rivers.

Private development is controlled by Design and Development Regulations mandating, in part: use, height, building coverage, parking and service. Pedestrian and traffic circulation controls are also established to assure the movement of people and automobiles through Capital Center and to and from the downtown area. Forty-eight of the seventy-seven acres, representing twenty development sites, are designated for private development by public and private ownerships. By FYs 2000 - 2010, Capital Center is expected to provide: over one million square feet of retail space, 2-2.5 million square feet of office space, 623 hotel rooms and guest suites, 500 residential units, 10,000 structured parking spaces, 10,000 permanent jobs, and over \$1.0 billion in private development.

### Statutory History

Public Laws of 1981, Section 2, Chapter 332, as amended, establishes the Capital Commission as a special development district, as governed by Title 45, Section 24.4 of the Rhode Island General Laws.

# The Budget

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## Capital Center Commission

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Operating Revenues</b>				
Grants: State	50,000	50,000	50,000	50,000
City	50,000	50,000	50,000	50,000
Management Fees	950	44,200	-	-
<b>Total Operating Revenues</b>	<b>\$100,950</b>	<b>\$144,200</b>	<b>\$100,000</b>	<b>\$100,000</b>
<b>Expenditures</b>				
Salaries	77,065	72,086	74,969	77,968
Fringes	16,416	14,493	24,838	25,832
Rent	14,533	9,228	15,900	16,536
Telephone	1,020	735	1,400	1,456
Print/Supplies	2,899	3,998	2,890	3,006
Postage	1,352	2,562	1,400	1,456
Meetings	1,758	9,592	3,000	3,120
Miscellaneous	1,074	1,114	1,840	1,914
Consultants	1,488	8,824	10,000	10,400
Legal & Audit Fees	5,290	6,187	11,500	11,960
<b>Total</b>	<b>\$122,895</b>	<b>\$128,819</b>	<b>\$147,737</b>	<b>\$153,648</b>
Less Non Operating Revenue				
Interest Income	559	328	-	-
<b>Net Income(Loss)</b>	<b>(\$21,386)</b>	<b>\$15,709</b>	<b>(\$47,737)</b>	<b>(\$53,648)</b>

Note: Budget numbers for FY 2005 are preliminary and have not been approved or reviewed by the Capital Center Commission.

# The Agency

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## Rhode Island Clean Water Finance Agency

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### Agency Operations

The Rhode Island Clean Water Finance Agency (the “Agency”) established in 1990, is an independent, public corporation having a distinct legal existence from the state. The purpose of the Agency is to provide low cost loans to Rhode Island cities, towns, sewer commissions, wastewater management districts and water suppliers to finance capital improvements to wastewater and drinking water infrastructure. The Agency operates three revolving loan funds. Two of the funds provide subsidized loans to finance wastewater infrastructure projects and are collectively referred to as the Clean Water State Revolving Fund (CWSRF). A third fund provides subsidized loans to finance drinking water infrastructure projects and is called the Drinking Water State Revolving Fund (DWSRF).

The CWSRF is jointly administered by the Agency and the Department of Environmental Management (DEM). DEM is responsible for the environmental and regulatory components of the CWSRF while the Agency is responsible for the financial components. The CWSRF is capitalized by the grants from the U.S. Environmental Protection Agency (EPA) with a match of 20 percent by the State of Rhode Island. The Agency reimburses DEM for its administrative expenses.

The DWSRF is jointly administered by the Agency and the Department of Health (DOH). DOH is responsible for the water quality and regulatory components of the DWSRF while the Agency is responsible for the financial components. Funding for the DWSRF comes from capitalization grants from the federal and state governments, and through the issuance of tax-exempt Agency revenue bonds or direct loans. (The State of Rhode Island does not have any obligation for repayment of Agency revenue bonds.) DOH is reimbursed for administrative expenses via an administrative set-aside component of the capitalization grant.

### Agency Objectives

The objective of the Agency is to provide low-cost loans to finance capital improvements to the wastewater and the drinking water infrastructure in Rhode Island.

### Statutory History

Chapter 12.2 of Title 46 established the CWSRF. Chapter 238 as amended by Chapter 303 and Chapter 434 authorized the state to issue General Obligation Bonds up to \$34 million for Capitalization Grants to the CWSRF. Chapter 12.8 of Title 46 established the DWSRF.

# The Budget

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## Rhode Island Clean Water Finance Agency

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended <sup>(2)</sup></b>
<b>Revenue</b>				
Interest and Investment Income	13,960,222	16,136,538	19,840,041	22,220,846
Operating Grant Income	1,319,161	1,449,815	1,450,000	1,508,000
Loan Service Fees <sup>1</sup>	1,007,158	1,506,690	1,743,176	1,952,357
Other Revenue	51,166	51,692	248,993	258,953
<b>Total Revenues</b>	<b>\$16,337,707</b>	<b>\$19,144,735</b>	<b>\$23,282,210</b>	<b>\$25,940,156</b>
<b>Operating Expenses</b>				
Interest and Finance Expenses	9,558,667	12,390,635	16,338,683	18,299,325
Administrative Expenses	750,389	749,066	763,236	793,765
Consulting Fees - DEM	244,275	230,334	250,000	250,000
Consulting Fees - DOH	78,551	82,990	90,000	95,000
DOH Set-Aside Programs	996,335	1,136,491	1,110,000	725,000
<b>Total Operating Expenses</b>	<b>\$11,628,217</b>	<b>\$14,589,516</b>	<b>\$18,551,919</b>	<b>\$20,163,090</b>
<b>Other Revenues (Expenses)</b>				
Capitalization Grants	81,878,577	27,246,160	25,606,869	26,887,212
Gain (Loss) Sale of Assets	-	-	-	-
<b>Excess Revenues over Expenses</b>	<b>\$86,588,067</b>	<b>\$31,801,379</b>	<b>\$30,337,160</b>	<b>\$32,664,278</b>

# The Agency

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## **Rhode Island Convention Center Authority**

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### **Agency Operations**

The Rhode Island Convention Center Authority was created in 1987 by the Rhode Island General Assembly as a public corporation, instrumentality and agency of the state, having a distinct legal existence from the state and not constituting a department of state government.

The authority was created for the purpose of constructing, managing and operating a facility to house conventions, trade shows, exhibitions, displays, meetings, banquets, and other events, as well as facilities related thereto such as parking lots and garages, connection walkways, hotels and office buildings, including any retail facilities which are incidental to and located within any of the foregoing, and to acquire, by purchase or otherwise, land therefor. The authority is authorized to lease the convention center and the related facilities to the state and to issue its bonds and notes for any of its corporate purposes. The authority manages the convention center and the related facilities pursuant to the terms of a sublease agreement, dated as of November 1, 1991, as amended, by and between the state, as sublessor and the authority, as sublessee (the "Sublease").

The convention center site consists of approximately 7.75 acres, which the authority has acquired, in downtown Providence. West Exchange Street divides the site; the northern portion of the site consists of approximately 3.65 acres and the southern portion consists of approximately 4.1 acres. A portion of the convention center complex was constructed over West Exchange Street. In June 1991, the authority commenced construction on Phase I of the project, which essentially consists of the convention center complex and garage facilities. The convention center complex and garage facilities opened officially on December 2, 1993. The hotel facilities were opened on December 1, 1994. The dome building office space has been fully leased. The authority has entered into management contracts for the operation of the Convention Center, Parking Garages, and the Westin Hotel.

### **Agency Objectives**

Manage and operate convention center and hotel complex.

# The Budget

## Rhode Island Convention Center Authority

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Resources</b>				
Opening Cash Balances	650,372	330,946	\$3,723,312	-
Operations	41,367,043	40,682,341	42,066,465	43,371,631
Investment Income and Swap Savings	3,617	416,459	100,000	54,927
Westin Hotel Room Tax	262,288	204,004	275,000	275,000
Net Inter-Company Transfers	2,769,644	1,638,111	-	-
<b>Total Resources</b>	<b>\$45,052,964</b>	<b>\$43,271,861</b>	<b>\$46,164,777</b>	<b>\$43,701,558</b>
<b>Expenditures</b>				
Convention Center Authority	3,374,103	2,740,165	3,266,600	3,573,100
Convention Center Management	7,609,825	5,355,937	5,582,478	5,861,935
Concessions and Catering	2,074,027	1,575,012	2,303,761	2,396,995
Parking Garages	1,320,156	1,079,275	1,312,994	1,381,174
Hotel	23,583,937	21,060,732	19,793,196	20,551,785
<b>Subtotal Operations</b>	<b>\$37,962,048</b>	<b>\$31,811,121</b>	<b>\$32,259,029</b>	<b>\$33,764,989</b>
Debt Service	19,081,690	21,055,991	22,077,578	23,538,899
Interest Rate Swap Fees	-	244,194	252,158	247,992
Starwood Loan Debt Service/Repayment	1,003,585	1,066,882	-	-
Renewal and Replacement Fund - Hotel	-	921,664	1,127,779	1,164,842
Renewal and Replacement Fund - Center	3,067,937	3,067,937	3,067,937	3,067,937
<b>Grant Total Expenditures</b>	<b>\$61,115,260</b>	<b>\$58,167,789</b>	<b>\$58,784,481</b>	<b>\$61,784,659</b>
Gross Debt Service	19,164,740	21,055,991	22,077,578	23,538,899
Less: Excess Debt Service Rental Payment				
Accrued to Prior Year	2,235,108	1,659,328	4,427,774	-
Less: Excess Debt Service Rental Payment	536,890	900,000	5,030,100	5,455,798
General Revenue Appropriation	16,969,022	15,850,794	17,047,478	18,083,101
<b>Final Cash Balances</b>	<b>\$330,946</b>	<b>\$3,723,312</b>	<b>\$0</b>	<b>\$0</b>

The information presented above is based upon cash flow data provided by the authority and has not been approved by the authority's Board of Directors.

# The Agency

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## **Rhode Island Depositors Economic Protection Corporation**

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### **Agency Operations**

The Rhode Island Depositors Economic Protection Corporation (“DEPCO”) is a public corporation and instrumentality of the State of Rhode Island having a distinct legal existence from the state and not constituting a department of state government. The corporation was created by the Rhode Island Depositors Economic Protection Act, R.I.G.L. 42-116 amended by Chapters 9 and 88 of the 1992 Public Laws of Rhode Island (the “Act”), for the purpose of protecting depositors of certain credit unions and other financial institutions in the state whose deposits were previously insured by the Rhode Island Share and Deposit Indemnity Corporation (“RISDIC”), a private deposit insurance fund which entered conservatorship on December 31, 1990.

The act authorizes the corporation to acquire assets and assume depositor liabilities of the “Eligible Institutions”. Under the act, an eligible institution is (i) any credit union, loan and investment company, bank and trust company or other depository institution, the accounts of which were insured by RISDIC as of December 30, 1990 and for which a conservator or receiver has been appointed subsequent to January 1, 1991 and (ii) Heritage Loan and Investment Company (“Heritage”), an institution for which a receiver was appointed and most of the depositors of which were paid through the RISDIC deposit insurance prior to January 1, 1991; however, Heritage was added as an eligible institution as a result of a 1992 amendment to the act. The act also authorizes the corporation to provide financial support to eligible institutions in the acquisition of federal deposit insurance with respect to deposit liabilities, or to any institutions that facilitates the acquisition of such federal deposit insurance.

### **Agency Objectives**

On December 20, 2002 the DEPCO Board of Directors determined that the Corporation has substantially fulfilled its purpose, paid, canceled or defeased all bonds and provided for all remaining liabilities. Therefore, in keeping with its statutory mandate, the Board dissolved the Corporation effective January 3, 2003 and authorized the transfer of all remaining assets to the State. The Certificate of Dissolution was issued by the Secretary of State of the State of Rhode Island and Providence Plantations on April 30, 2003.

### **Statutory History**

R.I.G.L. 42-116 as amended by Chapters 9 and 88 of the 1992 Public Laws of Rhode Island outline the responsibilities of the Rhode Island Depositors Economic Protection Corporation.

# The Budget

## Rhode Island Depositors Economic Protection Corporation

	FY 2002 Actual	FY 2003 Actual	FY 2004 Recommended	FY 2005 Recommended
<b>Interest Income</b>				
Loans	-	-	-	-
Other	270,590	14,175	-	-
<b>Total</b>	<b>\$270,590</b>	<b>14,175</b>	-	-
<b>Interest Expense</b>				
Bonds	-	-	-	-
<b>Total</b>	-	-	-	-
<b>Net Interest Revenue</b>	270,590	14,175	-	-
<b>Non-interest Income</b>				
Loan & Other Fees	74,227	22,614	-	-
Sales Tax Revenue	-	-	-	-
Gain (Loss) on Assets	337,071	318	-	-
Net Settlements	3,470,750	248,059	-	-
<b>Total Non-Int. Inc.</b>	<b>\$3,882,048</b>	<b>\$270,991</b>	-	-
<b>Total Income</b>	<b>\$4,152,638</b>	<b>\$285,166</b>	-	-
<b>Non-interest Expense</b>				
Personnel Costs	441,508	88,331	-	-
Professional & Consulting	28,337	-	-	-
Temporary Help	54	2,655	-	-
Insurance	51,608	46,700	-	-
Legal Fees	62,961	17,538	-	-
Audit Fees	-	-	-	-
Equipment & D.P.	252	-	-	-
Trustee Fees	(606)	11	-	-
Postage/Freight	477	168	-	-
Shredding/Litigation Copying Costs	92,912	2,500	-	-
Telephone	8,644	3,643	-	-
Supplies	3,099	883	-	-
Miscellaneous	29,205	5,264	-	-
<b>Total Administration</b>	<b>\$718,451</b>	<b>\$167,693</b>	-	-
Asset Related Expenses	316,634	75,269	-	-
Asset Management Expense	-	6,615	-	-
<b>Total Non-Int. Exp.</b>	<b>\$1,035,085</b>	<b>\$249,577</b>	-	-
<b>Income (Loss) from Operations</b>	<b>3,117,553</b>	<b>35,589</b>	-	-
Gain (Loss) on Bond Defeases/Cancelled	10,000	-	-	-
Fair Value Adjustment	3,586,224	643,371	-	-
Remittance to State General Fund	(17,500,000) *	(1,300,000) *	-	-
Net Collections After Transfer	-	600,562 **	-	-
Assets Transferred to State	(9,138)	(8,619,330)	-	-
<b>Net Income (Loss)</b>	<b>(\$10,795,361)</b>	<b>(\$8,639,808)</b>	-	-

All values are stated in thousands. May not add due to rounding.

On December 20, 2002 the DEPCO Board of Directors dissolved the Corporation effective January 3, 2003. All remaining assets were transferred to the State on or before January 3, 2003.

\* Pursuant to Article 3, Sales and Use Tax of the State Budget for Fiscal Year 2001 as amended FY 2002.

\*\* Represents net collections from DEPCO assets after transferred to the State.

# The Agency

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## **Rhode Island Economic Development Corporation**

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### **Agency Operations**

The Rhode Island Economic Development Corporation consolidates all economic development activities of the State of Rhode Island into one entity to enhance service delivery, performance, and accountability.

The Rhode Island Economic Development Corporation's Board of Directors consists of twelve (12) members and is chaired by the Governor. Seven (7) members are appointed by the Governor and four (4) members are appointed by the legislature. The board oversees the implementation of all state-level economic development programs. The Economic Development Corporation oversees the development and administration of the Quonset Point/Davisville Industrial Park in North Kingstown.

### **Agency Objectives**

The Rhode Island Economic Development Corporation works in partnership with the business community to enhance Rhode Island's business climate; provides direct assistance to Rhode Island businesses to retain and add jobs; identifies and works to attract new businesses and investment to Rhode Island; and serves as an advocate for economic development in Rhode Island.

The Rhode Island Economic Development Corporation's business development effort will be streamlined and refocused to incorporate both geographic and industry specialization for each account executive. These individual specialties will be grouped into teams as needed to respond to specific business development opportunities to provide the maximum level of expertise. Account executives will be responsible for both recruitment and retention within their portfolio. Each will be held accountable to performance metrics criteria in both areas.

### **Statutory History**

The Corporation was created in 1995 by Title 42, Chapters 43 and 64, of the Rhode Island General Laws, replacing the former Department of Economic Development and the Rhode Island Port Authority.

# The Budget

## Rhode Island Economic Development Corporation

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Estimated Opening Balance Revenues:</b>	<b>\$30,000</b>	<b>\$78,375</b>	<b>\$61,774</b>	<b>\$87,516</b>
<b>Resources</b>				
State Appropriation	7,821,557	6,462,532	6,885,687	6,091,067
Grants	225,000	225,000	225,000	100,000
Subtotal	<b>\$8,046,557</b>	<b>\$6,687,532</b>	<b>\$7,110,687</b>	<b>\$6,191,067</b>
<b>Revenue from Federal Government</b>				
Grants (Procurement)	125,000	347,000	347,000	387,000
Subtotal	<b>\$125,000</b>	<b>\$347,000</b>	<b>\$347,000</b>	<b>\$387,000</b>
<b>Revenues from Operations</b>				
Bond Fees/Other Income	285,000	305,000	305,000	325,000
Financings	158,000	450,000	450,000	450,000
RI Partnership for Science & Technology	250,000	325,000	325,000	325,000
RI Industrial Facilities Corp.	-	250,000	250,000	250,000
Land Sales	110,000	110,000	-	-
Rental Income	4,042,079	3,865,625	4,365,625	4,487,450
Pier Income	217,000	180,000	330,000	500,000
Utility Sales	1,470,634	1,785,000	1,785,000	1,693,000
Other Income	585,000	436,250	436,250	490,000
Subtotal	<b>\$7,117,713</b>	<b>\$7,706,875</b>	<b>\$8,246,875</b>	<b>\$8,520,450</b>
<b>Total Resources</b>	<b>\$15,319,270</b>	<b>\$14,819,782</b>	<b>\$15,766,336</b>	<b>\$15,186,033</b>
<b>Expenditures</b>				
Personnel Expenses	7,211,145	7,341,663	7,665,595	7,425,794
Operating Expenses	6,330,000	5,800,620	5,913,306	6,322,343
Grants	1,469,750	1,485,725	1,469,919	520,380
Office of City and Town Development	-	-	500,000	500,000
Capital	230,000	130,000	130,000	330,000
<b>Total Expenditures</b>	<b>\$15,240,895</b>	<b>\$14,758,008</b>	<b>\$15,678,820</b>	<b>\$15,098,517</b>
<b>Closing Balance</b>	<b>\$78,375</b>	<b>\$61,774</b>	<b>\$87,516</b>	<b>87,516</b>

# The Agency

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## **Rhode Island Health and Educational Building Corporation**

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### **Agency Operations**

The Rhode Island Health and Educational Building Corporation is a non-business corporation and agency of the state that provides bond and lease financing to non-profit health and educational institutions in the state. Since its inception in 1966, the corporation has assisted with over \$1 billion in financing for such institutions without obligating the state's credit.

Under the direction of a five member board of directors, appointed by the Governor, the corporation has assisted hospitals, nursing homes, mental health centers, health care providers, day care centers, visiting nurses associations, and colleges and universities in obtaining low-cost financing from the public bond market.

The corporation receives no state appropriations for its operations.

### **Agency Objectives**

To ensure that adequate financing is available for the education institutions and health care providers in the state to meet the needs of the citizens of Rhode Island.

### **Statutory History**

The Rhode Island Health and Educational Building Corporation was created by the General Assembly in 1967 with its duties and powers defined by R.I.G.L. 45-38 (as amended).

# The Budget

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## Rhode Island Health and Educational Building Corporation

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Projected</b>	<b>FY 2005 Proposed</b>
<b>Expenditure by Object</b>				
Personnel	251,001	263,359	281,000	295,000
Other Operating Expenditures	225,855	291,781	365,500	455,500
Financing Services	234,077	251,451	475,000	475,000
Grants	262,500	220,000	270,000	270,000
<b>Total Expenditures</b>	<b>\$973,433</b>	<b>\$1,026,591</b>	<b>\$1,391,500</b>	<b>\$1,495,500</b>
<b>Expenditures by Fund</b>				
Personnel	251,001	263,359	281,000	295,000
Other Operating Expenditures	225,855	291,781	365,500	455,500
Financing Services	234,077	251,451	475,000	475,000
Grants	262,500	220,000	270,000	270,000
<b>Total Expenditures</b>	<b>\$973,433</b>	<b>\$1,026,591</b>	<b>\$1,391,500</b>	<b>\$1,495,500</b>

The information presented above was provided by the entity, and in most cases, the data provided for FY 2005 has not been approved by the board, authority, or corporation.

# The Agency

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## **Rhode Island Housing and Mortgage Finance Corporation**

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### **Agency Operations**

Rhode Island Housing and Mortgage Finance Corporation (the “Corporation”) is a public instrumentality of the State established in 1973 by an Act of the Rhode Island General Assembly. A seven-member board of commissioners governs the Corporation. The Corporation was created to provide and improve housing to persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless. The Corporation also has the power to acquire and operate housing projects on an individual or partnership basis in order to meet the housing demands of the State and to create subsidiaries for the purpose of the development and preservation of affordable housing for low and moderate-income families.

The Corporation also provides services for the federal Department of Housing and Urban Development as a contract administrator.

The Corporation has the power to issue negotiable notes and bonds to achieve its corporate purpose. The notes and bonds do not constitute a debt of the State of Rhode Island and the state is not liable for the repayment of such obligations.

### **Agency Objectives**

To provide, improve and expand housing and housing-related services to, and address issues of sanitary, safe and decent housing for, persons of low and moderate income, as well as to encourage the investment of private capital for the construction, rehabilitation, operation, retention, and maintenance of residential housing and health care facilities, to provide construction and mortgage loans, and to make grants in regard to housing needs including shelters for the homeless.

### **Statutory History**

The Rhode Island Housing and Mortgage Finance Corporation is authorized and empowered under Title 42, Chapter 55 of the Rhode Island General Laws.

# The Budget

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## Rhode Island Housing and Mortgage Finance Corporation

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Expenditure Report</b>				
Personnel Services	9,207,342	9,745,320	10,352,797	10,766,909
Other Administrative Expenses	2,919,428	2,669,928	3,455,514	3,593,735
Programmatic Expenses	6,369,143	6,394,467	7,585,000	7,964,250
Provision for Loan Loss	1,646,926	179,865	2,000,000	2,000,000
Arbitrage Rebate	1,460,279	1,241,798	1,200,000	1,300,000
Amortization and Depreciation	1,337,955	1,474,629	1,558,000	1,600,000
<b>Total</b>	<b>\$22,941,073</b>	<b>\$21,706,007</b>	<b>\$26,151,311</b>	<b>\$27,224,894</b>

The information for FY 2005 has not been reviewed nor approved by the Rhode Island Housing and Mortgage Finance Corporation and is subject to change pending review of the Corporation.

# The Agency

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## Housing Resources Commission

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### Agency Operations

The Rhode Island Housing Resources Commission was established in 1998 with responsibility for establishing housing policy for the State of Rhode Island and to plan and coordinate housing activities among agencies. Funding was provided to establish a lead hazard reduction program in conjunction with Rhode Island Housing and Mortgage Finance Corporation and to create new housing opportunities for homeless and low-wage families. The commission represents a wide spectrum of community organizations as well as state departments with housing interests.

The commission also will establish, implement, and monitor state performance measures and guidelines for housing programs, and administer programs pertaining to housing resources, services, and community development. Programs may include lead abatement; services for the homeless; rental assistance; community development; outreach, education, and technical assistance; assistance to homeowners; assistance to non-profits; and tax credits for such purposes.

The commission consists of the Office of Planning and Policy; the Office of Housing Program Performance and Evaluation; the Office of Homeless Services; the Office of Homeownership; and the Office of Community Development, Programs and Technical Assistance.

### Agency Objectives

To develop and promulgate state policies and plans for housing and performance measures for housing programs established pursuant to state law; to coordinate activities among state agencies and political subdivisions pertaining to housing; to promote the stability and quality of life in communities and neighborhoods; to provide opportunities for safe, sanitary, decent, adequate, and affordable housing in Rhode Island; to encourage public-private partnerships that foster the development, maintenance, and improvement of housing conditions, especially for low and moderate income people; to foster and support non-profit organizations; to encourage and support partnerships between institutions of higher education and neighborhoods.

### Statutory History

R.I.G.L. 42-128 established the Housing Resources Commission as of July 1, 1998. The Commission was appointed by the Governor and initiated a lead hazard reduction program in the fall of 1998.

# The Budget

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## Housing Resources Commission

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Expenditure by Object</b>				
Administrative Expenses	431,839	315,492	381,416	368,734
Assistance, Grants, Benefits	3,153,893	3,289,344	2,875,684	2,891,413
Capital (Affordable Housing)	5,000,000	5,000,000	5,000,000	5,000,000
<b>Total Operating Expenses</b>	<b>\$8,585,732</b>	<b>\$8,604,836</b>	<b>\$8,257,100</b>	<b>\$8,260,147</b>
<b>Expenditure by Funds</b>				
State General Revenues	3,585,732	3,604,836	8,257,100	8,260,147
Loan Proceeds	5,000,000	5,000,000	-	-
<b>Total Expenditures</b>	<b>\$8,585,732</b>	<b>\$8,604,836</b>	<b>\$8,257,100</b>	<b>\$8,260,147</b>

# The Agency

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## **Rhode Island Industrial Facilities Corporation**

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### **Agency Operations**

The Rhode Island Industrial Facilities Corporation is a public body corporate of the State of Rhode Island in accordance with Section 45-37 of the General Laws. The corporation is utilized to foster economic growth within the State of Rhode Island.

The purpose of the Rhode Island Industrial Facilities Corporation is to act as the issuer of tax-exempt and taxable revenue bonds, which are sold to private or public investors, on the behalf of Rhode Island companies seeking financing. The role of the Rhode Island Industrial Facilities Corporation is to act as a conduit in a company's efforts to obtain financing from sources other than the corporation. The Rhode Island Industrial Facilities Corporation is a title holder to the property and does not have financial exposure in connection with the bonds.

### **Agency Objectives**

The corporation's objective is to encourage economic growth within the state by further developing industrial and recreational facilities.

### **Statutory History**

The Rhode Island Industrial Facilities Corporation was created in 1956 by Chapter 45-37.1 of the Rhode Island General Laws.

# The Budget

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## Rhode Island Industrial Facilities Corporation

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Receipts</b>				
Bond Fees	177,697	125,769	200,000	180,000
Interest	7,618	6,872	15,000	10,000
<b>Total</b>	<b>\$185,315</b>	<b>\$132,641</b>	<b>\$215,000</b>	<b>\$190,000</b>
<b>Expenses</b>				
Insurance	23,211	22,405	30,000	30,000
Professional Fees	7,000	306	15,000	15,000
Office	-	3,300	2,000	2,000
Bad Debt (Recovery)	2,398	1,000	5,000	3,000
<b>Total</b>	<b>\$32,609</b>	<b>\$27,011</b>	<b>\$52,000</b>	<b>\$50,000</b>
<b>Net Gain/(Loss)</b>	<b>\$152,706</b>	<b>\$105,630</b>	<b>\$163,000</b>	<b>\$140,000</b>

The information was provided by the entity, and in most cases the data provided for FY 2005 has not been approved by the board, authority, or corporation members.

# The Agency

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## **Rhode Island Industrial-Recreational Building Authority**

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### **Agency Operations**

The Rhode Island Industrial-Recreational Building Authority was created for the purpose of nurturing economic growth within the State of Rhode Island by insuring mortgage payments on industrial or recreational projects approved by the authority. The Rhode Island Industrial-Recreational Building Authority, as required by statute, maintains a first security position in all projects. The total amount of mortgage insurance issued cannot exceed \$80,000,000 in the aggregate.

The State of Rhode Island, according to R.I.G.L. 42-34-15, is responsible for providing any additional resources that may be required to allow the mortgage insurance fund to meet its obligations.

### **Agency Objectives**

The authority promotes economic growth in the state by providing insurance for qualifying mortgages of industrial and recreational facilities.

### **Statutory History**

The Rhode Island Industrial-Recreational Building Authority was created in 1958 by R.I.G.L. 42-34.

# The Budget

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## Rhode Island Industrial - Recreational Building Authority

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Receipts:</b>				
Premiums	159,552	118,875	180,000	130,000
Interest	72,922	58,000	60,000	60,000
Rent	82,680	86,829	80,000	90,000
Other	500	750	5,000	5,000
<b>Total Receipts</b>	<b>\$315,654</b>	<b>\$264,454</b>	<b>\$325,000</b>	<b>\$285,000</b>
<b>Expenses:</b>				
Legal	60,000	72,657	70,000	75,000
Insurance	9,133	7,952	10,000	10,000
Other	20,415	17,828	30,000	30,000
<b>Total Expenses</b>	<b>\$89,548</b>	<b>\$98,437</b>	<b>\$110,000</b>	<b>\$115,000</b>
<b>Operating Income (Loss)</b>	<b>\$226,106</b>	<b>\$166,017</b>	<b>\$215,000</b>	<b>\$170,000</b>
<b>Est. Loss-Default</b>	<b>\$72,402</b>	<b>-</b>	<b>\$100,000</b>	<b>\$100,000</b>
<b>Net</b>	<b>\$153,704</b>	<b>\$166,017</b>	<b>\$115,000</b>	<b>\$70,000</b>

The information was provided by the entity, and in most cases the data provided for FY 2005 has not been approved by the board, authority, or corporation members.

# The Agency

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## Rhode Island Lottery

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### Agency Operations

The Rhode Island Lottery (The Lottery) was created under the General Laws of the State of Rhode Island in 1974 to establish and operate lottery games for the purpose of generating revenues for the state's general fund. It is governed by the nine-member Rhode Island Lottery Commission. The Lottery conducts its operations as an enterprise fund within the State of Rhode Island and is included in the State Comprehensive Annual Financial Report.

The Rhode Island Lottery sells tickets for on-line games which include: a Daily Numbers Game; a Cash Lotto Game; and a game with continuous prize drawings (Keno). The Lottery also sells instant tickets. All tickets are sold through licensed lottery retailers. In addition to operating its own games, the Lottery participates in Powerball, which is operated by the Multi-State Lottery Association (MUSL). The Lottery sells Powerball tickets, collects all revenues, and remits prize funds to MUSL net of low tier prize awards. Powerball jackpot prize awards are payable in installments and are disbursed by the Lottery from funds provided by MUSL.

R.I.G.L. 42-61 stipulates that The Lottery must award prizes in an amount not less than 45 percent nor more than 65 percent of the total revenue accruing from the sale of lottery tickets. For Keno, however, prize awards shall not be less than forty-five percent (45%) nor more than seventy-two percent (72%) of the total revenue accruing from the sale of Keno tickets. In addition, The Lottery is required to transfer its net income to the state's general fund in an amount not less than 25 percent of total revenue from the sale of lottery tickets, provided further, that the revenue returned to the general fund from Keno, shall not be calculated as part of the twenty-five percent (25%) mandate required by this section. The amount transferred into the general revenue fund from Keno shall equal no less than fifteen percent (15%) of the total Keno revenue received. Transfers are made on a monthly basis in an amount equal to estimated net income.

R.I.G.L. 42-61.2 additionally authorized The Lottery to conduct video lottery games at Lincoln Park and Newport Grand Jai Alai. Video lottery terminals located at the facilities are electronically linked to a central computer facility at Lottery Headquarters. The net terminal income generated at these facilities is divided among the licensed video lottery retailers, technology providers, host municipalities, Lincoln Park's dog kennel owners, and the State General Fund. The State General Fund's share of net terminal income is to be no less than 52 percent in FY 2003 and no less than 53 percent in FY 2004.

### Statutory History

R.I.G.L. 42-20 provides the general authority for the Rhode Island Lottery Commission.

# The Budget

## Rhode Island Lottery

	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Revenue</b>				
Lottery Sales				
Instant Ticket Sales	78,034,555	75,789,417	77,142,000	78,685,000
Daily Numbers	28,994,464	29,649,150	29,926,000	30,230,000
EZ Win/Money Roll/Wild Money	3,489,663	3,677,479	3,622,000	3,695,000
Powerball	46,295,253	44,289,173	43,849,000	46,465,000
Keno	78,837,210	85,589,259	91,829,000	101,012,000
Video Lottery (a)	935,208,511	1,051,411,493	1,270,529,000	1,419,011,000
<b>Total Gross Revenue</b>	<b>\$1,170,859,656</b>	<b>\$1,290,405,971</b>	<b>\$1,516,897,000</b>	<b>\$1,679,098,000</b>
less: Commissions - Lottery Sales	25,760,434	25,569,071	30,186,306	31,932,942
Commissions - Video Lottery	132,116,020	145,455,378	149,757,253	166,549,321
<b>Total Commission</b>	<b>\$157,876,454</b>	<b>\$171,024,449</b>	<b>\$179,943,559</b>	<b>\$198,482,263</b>
<b>Net Revenue</b>	<b>\$1,012,983,202</b>	<b>\$1,119,381,522</b>	<b>\$1,336,953,441</b>	<b>\$1,480,615,737</b>
<b>Expenses</b>				
Prize Awards - Lottery Sales				
Instant Tickets	51,110,228	49,553,288	50,377,583	51,145,250
Daily Numbers	15,115,247	14,666,545	15,286,201	15,115,000
EZ Win/Money Roll/Wild Money	1,829,568	2,019,179	2,004,089	2,034,098
Powerball	23,154,522	22,148,935	21,931,516	23,232,500
Keno	51,201,531	55,768,854	59,765,986	65,657,800
Prize Awards - Video Lottery	654,171,206	736,609,881	890,170,733	994,301,008
Cost of Tickets	1,300,380	1,149,999	1,311,414	1,337,645
Advertising and Promotion	1,411,592	1,466,628	1,800,000	1,890,000
Operating Expenses	4,635,550	4,634,772	5,231,624	5,329,000
<b>Total Expenses</b>	<b>\$803,929,824</b>	<b>\$888,018,081</b>	<b>\$1,047,879,146</b>	<b>\$1,160,042,301</b>
<b>Operating Income</b>	<b>\$209,053,378</b>	<b>\$231,363,441</b>	<b>\$289,074,295</b>	<b>\$320,573,436</b>
<b>Other Income</b>				
Pull Tab Sales (net)	238,905	194,303	250,000	250,000
Investment Earnings	456,858	343,608	429,317	476,231
Unclaimed prize recoveries	3,043,175	4,460,932	2,650,000	2,650,000
Miscellaneous	1,277,365	409,082	180,000	180,000
<b>Total Other Income</b>	<b>\$5,016,303</b>	<b>\$5,407,925</b>	<b>\$3,509,317</b>	<b>\$3,556,231</b>
<b>Net Income</b>	<b>\$214,069,681</b>	<b>\$236,771,366</b>	<b>\$292,583,612</b>	<b>\$324,129,667</b>

# The Agency

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## **Narragansett Bay Commission**

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### **Agency Operations**

The Narragansett Bay Commission was created by the Rhode Island General Assembly in 1980. Charged with the acquisition and operation of the Field's Point Wastewater Treatment Facility in Providence and portions of the metropolitan Providence wastewater collection system, the Narragansett Bay Commission's fundamental purpose is to improve and preserve the environmental integrity of Narragansett Bay and its tributaries. Through legislation signed into law by the Governor, the commission assumed ownership of the Bucklin Point Wastewater Treatment Facility in East Providence on January 1, 1992.

The commission owns and operates Rhode Island's two largest wastewater treatment facilities, 89 miles of sewer interceptors, 84 combined sewer overflows, 32 tide gates and 8 jump stations, and provides wastewater collection and treatment services to over 360,000 persons and 8,000 industrial and commercial customers in 10 communities throughout Rhode Island. These communities include Providence, North Providence, Johnston, Pawtucket, Central Falls, Cumberland, Lincoln and portions of Cranston, Smithfield and East Providence.

The commission has a \$276 million five-year capital improvement budget for fiscal years 2005-2009. The commission is governed by a 23-member Board and is organized into four divisions: the Executive, Administration and Finance, Operations and Engineering, and Planning, Policy and Regulation divisions. In addition, through the Clean Water Act, which sets guidelines for water-quality improvements, the commission has been charged with the responsibility to reduce the amount of combined sewer overflows to local waterways within its service area.

### **Agency Objectives**

The Narragansett Bay Commission's primary objective is to ensure that the Field's Point and Bucklin Point Wastewater Treatment Facilities are in compliance with state and federal guidelines, thereby safeguarding the health and safety of the citizens of Rhode Island and protecting their environment.

### **Statutory History**

R.I.G.L. 46-25 relates to the Narragansett Bay Commission: R.I.G.L. 46-25.1 relates to the merger of the Blackstone Valley District Commission and the Narragansett Bay Water Quality Management District Commission, and R.I.G.L. 46-25.2 relates to future acquisitions of wastewater treatment facilities.

# The Budget

## Narragansett Bay Commission

	FY 2002 Actual	FY 2003 Actual	FY 2004 Budget	FY 2005 Projected *
<b>Expenditures by Object</b>				
Personnel	12,951,849	13,881,720	15,670,170	16,140,275
Operating Supplies & Expenses	8,332,550	8,070,418	9,228,789	9,505,653
Special Services	1,992,443	1,926,336	1,995,901	2,055,778
<b>Subtotal Operating Expenditures</b>	<b>\$23,276,842</b>	<b>\$23,878,474</b>	<b>\$26,894,860</b>	<b>\$27,701,706</b>
Capital Outlays	578,602	679,813	1,177,100	1,212,413
Debt Service	7,193,094	9,901,959	14,345,131	21,393,007
Replacement Reserve	422,845	502,527	800,200	824,206
Landfill Reserve	-	-	-	-
<b>Total Expenditures</b>	<b>\$31,471,383</b>	<b>\$34,962,773</b>	<b>\$43,217,291</b>	<b>\$51,131,332</b>
<b>Expenditures by Funds</b>				
<b>NBC User Fees/Misc Revenues</b>				
Personnel	12,951,849	13,881,720	15,670,170	16,140,275
Operating Supplies & Expenses	8,332,550	8,070,418	9,228,789	9,505,653
Special Services	1,992,443	1,926,336	1,995,901	2,055,778
Capital Outlays	578,602	679,813	1,177,100	1,212,413
Debt Service	7,193,094	9,901,959	14,345,131	21,393,007
Replacement Reserve	422,845	502,527	800,200	824,206
Landfill Reserve	-	-	-	-
<b>Total Expenditures</b>	<b>\$31,471,383</b>	<b>\$34,962,773</b>	<b>\$43,217,291</b>	<b>\$51,131,332</b>

\* The information presented for FY 2005 has not been reviewed nor approved by the Narragansett Bay Commission and is subject to change pending review by the Commission.

### Sources:

FY 2002 Actuals taken from the audited financial statements.

FY 2003 Actuals taken from the audited financial statements.

FY 2004 taken from NBC's approved budget.

# The Agency

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## **Rhode Island Public Transit Authority**

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### **Agency Operations**

The Rhode Island Public Transit Authority (RIPTA) has primary responsibility for directing statewide fixed-route bus service and Americans with Disabilities Act paratransit service operations. RIPTA is managed under the direction of a seven-member policy Board of Directors. In addition to these core transit services, RIPTA operations also include program development and implementation of the statewide carpool program and development and implementation of a high-speed ferry demonstration project from Providence to Newport. RIPTA is committed to protecting the environment and providing safe, reliable, quality transit service that is responsive to customer needs with particular emphasis on Rhode Island's families, children, transit dependent populations, elderly and disabled residents. RIPTA has a fleet of 254 buses, which are operated and maintained by 736 employees. The authority's main facility is located in the City of Providence with a satellite operation on Aquidneck Island. The state's paratransit operation includes 107 vans, which are currently operated by 3 carriers. In FY 2003, more than 18.7 million passengers were carried on RIPTA's fixed-route bus service and additional 740,031 passengers were transported on the state's coordinated paratransit service.

### **Agency Objectives**

As the statewide public transit organization, RIPTA has a primary role to expand access and mobility opportunities for Rhode Islanders by undertaking actions and supportive strategies, directly and in collaboration with others, to provide a full range of options to the single-occupant automobile. These key mobility strategies include: transit design and service strategies which help improve the livability of communities and act as a stimulus for neighborhood renewal; technological advancements which increase travel options and convenience; and collaborative land use strategies and economic development initiatives that foster transit ridership and pedestrian movement through transit-friendly land use and development.

### **Statutory History**

The authority was created as a body corporate and politic in 1964 by R.I.G.L. 39-18-2 to acquire, purchase, hold, use and dispose of any property, real, personal or mixed, tangible or intangible, or any interest therein necessary or desirable for carrying out the purposes of the authority.

# The Budget

## Rhode Island Public Transit Authority

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Revenue</b>				
Passenger Revenue	12,954,339	13,492,329	16,900,670	21,875,353
Special Revenue	419,626	458,760	363,610	396,850
Other Revenue	1,318,396	2,268,171	3,079,395	3,831,837
State Subsidy - Gasoline Tax (A)	29,031,275	29,349,455	31,859,500	30,000,000
Department of Elderly Affairs	1,049,791	1,213,000	1,228,800	1,292,000
Federal Subsidy	11,203,865	11,586,080	10,967,432	9,848,432
Prior Year Carry Over	-	-	511,324	\$558,177
	<b>\$55,977,292</b>	<b>\$58,367,795</b>	<b>64,910,731</b>	<b>67,802,649</b>
<b>Expenses (B)</b>				
Salaries and Wages	28,294,819	29,966,529	31,013,939	32,306,329
Employee Benefits	10,078,340	12,607,836	15,201,035	15,930,332
Special Services	2,020,514	1,842,814	1,122,828	1,189,492
Operating Expenses	15,647,801	15,186,137	17,014,752	18,376,496
<b>Total Expenses:</b>	<b>\$56,041,474</b>	<b>\$59,603,316</b>	<b>\$64,352,554</b>	<b>\$67,802,649</b>
<b>Surplus/(Deficit):</b>	<b>(\$64,182)</b>	<b>(\$1,235,521)</b>	<b>\$558,177</b>	<b>\$0</b>

Data presented for FY 2005 is preliminary. Federal revenue projections for Jobs Access funding total \$1.4 million, which is based on potential appropriation levels. This figure is subject to revision from final federal authorizations. If actual appropriations are less for Jobs Access grants, revisions will be required which may increase the projected deficit for FY 2005.

(A) In FY 2004, the gasoline tax allocation to RIPTA increased from 6.25 cents to 6.85 cents of the total 30 cents per gallon tax. This is reduced back to 6.25 cents effective May 1, 2004.

(B) FY 2004 expenditure figures reflect savings in debt service costs through G.O. bond debt defeasance resulting from the State's tobacco securitization deal as well as debt refinancing.

# The Program

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## **Rhode Island Refunding Bond Authority**

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### **Program Operations**

The Rhode Island Refunding Bond Authority was created in 1987 as a public corporation, having a distinct legal existence from the state and not constituting a department of state government. It was created for the purpose of providing a means to advance refund two series of general obligation bonds (1984 and 1985) of the State of Rhode Island. The authority is authorized to issue bonds and notes secured solely by revenues derived from payments pursuant to a loan and trust agreement with the State of Rhode Island, which are subject to annual appropriation. In 1988, the state entered into a loan and trust agreement with the Rhode Island Refunding Bond Authority, which issued \$20,640,000 of bonds for the advance refunding of \$18,640,000 of the state's general obligation bonds.

The payment of such loans by the state is subject to and dependent upon annual appropriations being made by the General Assembly. As of June 30, 2003, bonds in the amount of \$100,705,000 were outstanding.

On July 1, 1997, the Rhode Island Public Buildings Authority was merged into the authority. Prior to the merger, the Public Buildings Authority issued debt to finance the acquisition and construction of certain facilities to be leased by state agencies. Debt service payments are secured by the lease payments made by the state, subject to annual appropriations. In June 1998, the Refunding Bond Authority refunded portions of four series of Public Building Authority Bonds with the issuance of the 1998 Series A State Public Project Revenue bonds in the amount of \$39,875,000.

### **Program Objectives**

To ensure prompt payment of outstanding debts of the authority.

### **Statutory History**

R.I.G.L. 35-8.1 created the authority.

# The Budget

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## **Rhode Island Refunding Bond Authority**

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The budget of the Refunding Bond Authority is reflected in the operating budget of the General Treasurer.

# The Agency

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## **Rhode Island Resource Recovery Corporation**

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### **Agency Operations**

The Rhode Island Resource Recovery Corporation operates an integrated statewide system of solid waste management facilities and programs to provide environmentally sound and economically reasonable source reduction, recycling and disposal services. The goal of the corporation's solid waste management system is to minimize the amount of waste generated and landfilled and maximize waste reduction and recycling.

The materials recycling facility (MRF), in concert with grants to municipalities, supports a broad municipal recycling program. Metals, plastics, glass containers, paper cartons, juice boxes, as well as numerous types of paper and cardboard are delivered to the MRF, processed, and sold on the open market to be remanufactured.

The waste reduction program includes a number of initiatives including (but not limited to): teaching materials and activities; school presentations; two videos and a CD – ROM; education on leaf and yard debris composting; information on reducing unwanted mail, excess packaging and plastic bag use; assistance to schools interested in recycling; and an internet-based reuse program.

The corporation has developed and implemented an integrated solid waste management system in the most environmentally sensitive and economical manner possible. The landfill is currently the foundation of the integrated system. All operations are conducted utilizing state-of-the-art technology, including double lining of the landfill and an integrated methane recovery system. The corporation operates a construction/demolition (C&D) debris processing facility, which converts certain types of C&D debris from solid waste to alternate cover material, thereby conserving valuable landfill capacity. In August 2001, the corporation took over the ECO-DEPOT program, previously administered by the R.I. Department of Environmental Management, to handle and dispose of household hazardous waste.

### **Agency Objectives**

The corporation's primary objectives are to develop cost-effective waste reduction systems, divert waste from the landfill, and provide cost-effective disposal alternatives. The corporation encourages backyard composting and sells subsidized composting bins to Rhode Island residents. The corporation's "Maximum Recycling Program," has successfully increased recyclables diversion at reduced cost. The "Maximum Recycling Program" has been implemented in 37 of 39 municipalities throughout the state, providing the opportunity of these municipalities to reduce their waste disposal costs. Licensure of additional landfill capacity is being pursued to maintain disposal capacity as the current facility is depleted.

### **Statutory History**

R.I.G.L. 23-19 defines the programs that are required of the corporation.

# The Budget

## Rhode Island Resource Recovery Corporation

	FY 2002 Audited	FY 2003 Audited	FY 2004 Revised	FY 2005 Recommended <sup>(1)</sup>
<b>Revenues:</b>	<b>\$53,028,356</b>	<b>\$61,754,529</b>	<b>\$60,357,025</b>	<b>59,895,610</b>
<b>Expenses:</b>				
Cost of Operations	17,260,823	22,790,253	26,838,918	21,238,224
General and Administrative	4,233,993	3,136,566	3,621,127	3,075,253
Host Community Costs	3,438,455	3,424,598	3,550,000	3,550,000
Superfund Cleanup Costs and Post Closure				
Care Costs	8,544,523	15,144,964	5,060,039	7,923,369
Cost of Recycling and Recycling Grants	4,878,026	4,259,602	5,798,870	5,098,008
Interest Expense	389,174	965,326	947,236	859,957
(Gain) Loss on Abandonment & Sales of Property	(109,141)	316,285	(157,878)	(335,996)
Depreciation, Depletion & Amortization	11,368,651	16,360,579	16,148,553	16,890,633
<b>Total Expenses</b>	<b>\$50,004,504</b>	<b>\$66,398,173</b>	<b>\$61,806,865</b>	<b>\$58,299,448</b>
<b>Income (Loss) from Operations</b>	<b>\$3,023,852</b>	<b>(\$4,643,644)</b>	<b>(\$1,449,840)</b>	<b>\$1,596,162</b>
Transfers (to) from State of Rhode Island	(3,000,000)	(6,000,000)	-	(4,300,000) <sup>(2)</sup>
<b>Net Income (Loss) for the Year</b>	<b>\$23,852</b>	<b>(\$10,643,644)</b>	<b>(\$1,449,840)</b>	<b>(\$2,703,838)</b>
<b>Assets:</b>				
Cash and Specified Investments	20,973,099	16,621,651	5,623,806	3,383,878
Accounts Receivable, Net	5,869,783	6,822,828	6,822,828	6,822,828
Property, Plant and Equipment, Net	88,124,496	91,190,527	94,819,491	96,095,760
Assets Held in/for Trust	38,568,706	43,804,133	43,214,430	43,753,522
Other Assets	3,346,148	3,269,819	3,442,414	3,465,008
<b>Total Assets</b>	<b>\$156,882,232</b>	<b>\$161,708,958</b>	<b>\$153,922,969</b>	<b>\$153,520,996</b>
<b>Liabilities:</b>				
Bonds/Notes Payable	\$19,539,497	\$18,970,291	\$18,280,291	21,470,291
Superfund Cleanup, Closure & Post Closure				
Care Liabilities	49,095,909	60,136,373	57,573,708	56,457,573
Accounts Payable	5,819,834	6,869,680	6,869,680	6,869,680
Accrued Interest	451,660	324,235	164,580	27,580
Other Liabilities	1,243,074	5,319,763	2,395,935	2,760,935
<b>Total Liabilities</b>	<b>\$76,149,974</b>	<b>\$91,620,342</b>	<b>\$85,284,194</b>	<b>\$87,586,059</b>
<b>Retained Earnings</b>	<b>\$80,732,258</b>	<b>\$70,088,616</b>	<b>\$68,638,775</b>	<b>\$65,934,937</b>
<b>Total Liabilities and Retained Earnings</b>	<b>\$156,882,232</b>	<b>\$161,708,958</b>	<b>\$153,922,969</b>	<b>\$153,520,996</b>

(1) The FY 2005 projection has not been reviewed and/or approved by the Corporation's Board of Commissioners and the final budget numbers may be significantly different than the preliminary numbers reflected herein.

(2) The FY 2005 projection reflects an anticipated transfer to the State of RI General Fund of \$4.3 million. The FY 2005 projection also reflects the issuance of bonds totaling \$3,900,000, including principal and interest, to finance the initial phase of an industrial park development.

# The Agency

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## **Rhode Island Student Loan Authority**

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### **Agency Operations**

The authority, a public corporation, governmental agency, and public instrumentality of the state, was established pursuant to an act of the Legislature in May, 1981 for the purpose of providing a comprehensive system of financial aid for needy students. The authority originates and acquires over \$130,000,000 of federally guaranteed loans pursuant to the provisions of the Higher Education Act. In May 1992, the authority's enabling legislation was amended to permit it to finance the origination and acquisitions of non-federal guaranteed student loans under its Rhode Island Family Education Loan Program. In February 2001 RISLA started the CollegeBound Loan Program.

As of December 31, 2003, the authority holds \$742,134,478 in Federal Family Education Loans serving 77,352 student loan borrowers. Rhode Island Family Education Loans and CollegeBound Loans are held by the authority with a principal of \$130,297,832 and have served 13,158 student loan borrowers. Under its enabling legislation, the authority may issue bonds to further its corporate purpose. The bonds are not an obligation of the State of Rhode Island and are solely an obligation of the authority. As of December 31, 2003, the authority has \$881,225,000 in bonds outstanding.

### **Agency Objectives**

Under the Higher Education Act, the authority provides Federal Family Education Loans consisting of subsidized and unsubsidized Stafford Loans, Parent Loans and Consolidated Loans. The authority has reduced the cost during the past two years of student Stafford Loan borrowing by paying 1% of the loan origination fee charged by the U.S. Department of Education, and will pay the entire 3% fee for the 2004-2005 academic year. The authority paid the 1% guarantee fee charged by the Rhode Island Higher Education Assistance Authority. Since 1994, the authority has also reduced the cost of borrowing to parent PLUS borrowers by reducing the interest rate by 1% allowed by the Department of Education, saving Rhode Island parents approximately \$2.4 million in interest payments over the life of loans.

The authority also offers a Rhode Island Family Education Loan Program for qualified Rhode Island residents and the College Bound Loan that was one of the first in the country to offer instant online credit decisions and downloadable promissory notes. In 1998, the authority started a free College Planning Center open daily in Warwick.

### **Statutory History**

The authority was created in 1981 by Title 16 Chapter 62 of the Rhode Island General Laws. It is governed by a seven-member board of directors, five of which are appointed by the Governor for staggered terms and two who represent the chairpersons of the finance committees of the House and Senate.

# The Budget

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## Rhode Island Student Loan Authority

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Program</b>				
Federal Family Education Loan	35,766,431	28,773,061	28,322,211	29,281,910
Rhode Island Family Education Loan	3,023,303	4,387,819	5,573,556	5,573,556
College Planning Center	192,751	218,034	225,614	225,614
<b>Total Expenditures</b>	<b>\$38,982,485</b>	<b>\$33,378,914</b>	<b>\$34,121,381</b>	<b>\$35,081,080</b>
<b>Expenditures by Category</b>				
Interest	27,845,431	18,619,879	19,336,073	20,021,351
Loan Servicing	4,459,475	5,454,949	6,104,643	6,268,066
Loan Origination Expenses	2,853,111	3,565,538	3,873,169	3,976,799
Provision for Risk Share	1,558,636	2,913,245	1,748,367	1,748,368
Bond Amortization	359,081	613,134	207,659	215,026
Personnel	1,652,064	1,911,928	2,529,877	2,529,877
Depreciation	254,687	300,241	321,593	321,593
<b>Total Expenditures</b>	<b>\$38,982,485</b>	<b>\$33,378,914</b>	<b>\$34,121,381</b>	<b>\$35,081,080</b>
<b>Expenditures by Funds</b>				
Bond Indentures	38,982,485	33,378,914	34,121,381	35,081,080
<b>Total Expenditures</b>	<b>\$38,982,485</b>	<b>\$33,378,914</b>	<b>\$34,121,381</b>	<b>\$35,081,080</b>

# The Agency

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## **Rhode Island Turnpike and Bridge Authority**

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### **Agency Operations**

The Rhode Island Turnpike and Bridge Authority was created in 1954 by the Rhode Island General Assembly as a body corporate and politic with powers to construct, acquire, maintain, and operate bridge projects as defined by law. The authority was responsible for the construction of the Claiborne Pell Bridge (formerly the Newport Bridge) which was opened for traffic on June 28, 1969. The Turnpike and Bridge Authority is responsible for the operation and maintenance of the Pell Bridge between Newport and Jamestown and the Mount Hope Bridge between Portsmouth and Bristol.

### **Agency Objectives**

To facilitate vehicular traffic over waters of the Mount Hope Bay and the East Passage of the Narragansett Bay by operation and maintenance of its two suspension bridges - the Claiborne Pell Bridge built in 1969 and the Mount Hope Bridge built in 1929. The structures are integral to the travel in the coastal area of Rhode Island and its neighboring states. On July 15, 1997 Refunding Revenue Bonds were issued in the amount of \$42,985,000 due to mature on December 1, 2017. At June 30, 2003 \$35,650,000 remains to be paid plus semi-annual interest. The toll for the Mount Hope Bridge has been eliminated and the upkeep remains the responsibility of the Authority from proceeds of toll revenues levied on the Claiborne Pell Bridge and interest earning on investments. The bond covenants provide for non-system projects to the extent that revenues in excess of the obligation of the system allow.

### **Statutory History**

Title 24 Chapter 12 of the General Laws of Rhode Island established the organization and function of the Rhode Island Turnpike and Bridge Authority. In January 1997, the legislature passed article 36 amending the law adding certain provisions in section 24-12-9.

# The Budget

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## Rhode Island Turnpike and Bridge Authority

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Revenue</b>				
Tolls	11,757,686	11,683,353	12,100,000	12,300,000
Interest on Investments	2,421,941	2,339,115	1,628,000	1,600,000
Other	23,763	18,592	11,000	11,000
<b>Total Revenue</b>	<b>\$14,203,390</b>	<b>\$14,041,060</b>	<b>\$13,739,000</b>	<b>\$13,911,000</b>
<b>Expenses</b>				
<b>Current Operating</b>				
Wages - Contingencies	1,461,229	1,913,809	2,035,000	2,200,000
Operating Maintenance & Supplies	476,704	695,123	598,000	625,000
Utilities	76,729	79,055	86,000	86,000
Insurance	647,664	751,508	780,000	920,000
Professional	282,190	306,293	220,000	250,000
<b>Debt Service and Reserves</b>				
Bond Interest	1,922,152	1,855,583	1,790,000	1,380,000
Bond Principal	1,563,000	1,590,000	1,730,000	1,950,000
Renewal/Replacement Fund	6,200,000	6,822,000	6,500,000	6,500,000
General Fund	700,000	-	-	-
<b>Total Expenses and Funding</b>	<b>\$13,329,668</b>	<b>\$14,013,371</b>	<b>\$13,739,000</b>	<b>\$13,911,000</b>
<b>Net</b>	<b>\$873,722</b>	<b>\$27,689</b>	<b>-</b>	<b>-</b>

The information presented above was provided by the entity and in most cases the data provided for FYE 2005 has not been approved by the board, authority, or bond holders.

The Renewal and Replacement Fund is used to pay for maintenance and capital improvements of the Authority's two bridges and support system properties. Amounts in the renewal and Replacement Fund have been pledged to the bondholders.

# The Agency

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## **Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board**

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### **Agency Operations**

The Rhode Island Underground Storage Tank (UST) Financial Responsibility Fund Review Board was created by the Rhode Island General Assembly in 1994. The UST Review Board provides an effective mechanism for UST owners, including city, town and state facilities, to comply with the financial responsibility requirements established by the US Environmental Protection Agency. The board also helps to insure that environmental and public health impacts of underground storage tank leaks are addressed in an effective and timely manner.

The board is comprised of thirteen members who are responsible for: overseeing the administration and implementation of the fund; reviewing submissions and claims received from eligible parties; and approving, modifying or denying claims to eligible parties. The board is currently staffed with four full-time employees.

Funding for the UST Financial Responsibility Fund Review Board is derived from a one cent (\$0.01) per gallon surcharge on motor fuel sold to owners and/or operators of underground storage tanks, and application fees.

Disbursements of funds are made on a quarterly basis. To date, the Board has disbursed over \$35 million to eligible applicants.

### **Agency Objectives**

The objective of the Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board is to facilitate the clean-up of leaking underground storage tanks or underground storage tank systems to protect drinking water supplies and the public health.

### **Statutory History**

R.I.G.L. 46-12.9 establishes the Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board. In 2001, the statute was amended to limit the eligibility of government entities to participate in the program. Now, any city, town, or state facility must be in compliance with the Department of Environmental Management's regulations; they must pay the deductible of twenty thousand dollars of eligible costs; and they must pay the special motor fuels tax. Also, to be eligible, releases must be from tanks that are required by the U.S. EPA to have financial responsibility coverage. In 2002, legislation was passed to make the UST Financial Responsibility Board a quasi-public entity.

# The Budget

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## Rhode Island Underground Storage Tank Financial Responsibility Fund Review Board

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	<b>FY 2002</b>	<b>FY 2003</b>	<b>FY 2004</b>	<b>FY 2005</b>
	<b>Actual</b>	<b>Actual</b>	<b>Revised</b>	<b>Recommended</b>
<b>Expenditures by Object</b>				
Personnel	224,796	296,128	320,000	324,000
Operating Supplies and Expenses	74,572	164,362	45,000	94,000
Special Services	363,862	154,807	121,500	75,000
<b>Subtotal: Operating Expenses</b>	<b>663,230</b>	<b>\$615,297</b>	<b>\$486,500</b>	<b>\$493,000</b>
Non-recurring Capital Outlays	-	-	\$62,000	-
Capital Outlays	-	-	-	10,000
UST Remediation	4,388,067	3,845,775	3,771,826	4,000,000
<b>Total Expenditures</b>	<b>5,051,297</b>	<b>\$4,461,072</b>	<b>\$4,320,326</b>	<b>\$4,503,000</b>

<sup>1</sup>The information presented for FY 2005 has not been reviewed nor approved by the Governing Board and is subject to change pending review of the Governing Board.

# The Agency

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## **Rhode Island Water Resources Board Corporate**

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### **Agency Operations**

The Rhode Island Water Resources Board Corporate (RIWRB Corporate) was established by the General Assembly in 1970 as an agency with a distinct legal existence from the state. The RIWRB Corporate establishes water supply facilities and leases them to the cities, towns, districts and other municipal, quasi-municipal, private corporations and water supply companies. The RIWRB Corporate may contract for use of the facilities of such persons, or sell to those persons, the water derived from, carried by, or processed in the facilities. The RIWRB Corporate administers the Public Drinking Water Protection Program, which protects the quality and safety of public drinking water supplies. The RIWRB Corporate operates two funds: the Providence Project Fund has financed water quality/quantity improvement projects for the Providence Water Supply System. The Water Quality Protection Fund backs revenue bonds, the proceeds from which are disbursed to fund contributors for the purposes of protecting water supplies and associated watersheds, wells and wellheads.

### **Board Corporate Objective**

Protect the quality and safety of the public drinking water supply by providing revenue bonding for the acquisition of properties surrounding watersheds and water supply facilities for the state and municipal water suppliers.

### **Statutory History**

The Rhode Island Water Resources Board Corporate was established under R.I.G.L. 46-15.1 in 1970.

# The Budget

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## Rhode Island Water Resources Board Corporate

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	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Expenditures by Object</b>				
Personnel	23,541	66,093	57,693	69,514
Other State Operating	4,774	6,700	8,419	9,050
Assistance, Grants and Benefits	155,893	-	1,820,000 *	2,400,000 *
<b>Subtotal: Operating Expenditure</b>	<b>\$184,208</b>	<b>\$72,793</b>	<b>\$1,886,112</b>	<b>\$2,478,564</b>
Capital Projects Debt Service	1,038,575	1,319,890	1,089,844	1,087,156
<b>Total Expenditures</b>	<b>\$1,222,783</b>	<b>\$1,392,683</b>	<b>\$2,975,956</b>	<b>\$3,565,720</b>
<b>Expenditures by Funds</b>				
Personnel	23,541	66,093	57,693	69,514
Other Operating Expenses	4,774	6,700	8,419	9,050
Assistance, Grants and Benefits	155,893	-	1,820,000	2,400,000
Capital Debt Service	1,038,575	1,319,890	1,089,844	1,087,156
<b>Subtotal: Water Quality Protection Charge</b>	<b>\$1,222,783</b>	<b>\$1,392,683</b>	<b>\$2,975,956</b>	<b>\$3,565,720</b>
<b>Other Funds</b>				
Capital Debt Service-Prov Project	1,067,790	1,074,714	1,074,558	1,071,794
<b>Subtotal: Water Quality</b>	<b>\$1,067,790</b>	<b>\$1,074,714</b>	<b>\$1,074,558</b>	<b>\$1,071,794</b>
<b>Total Expenditures</b>	<b>\$1,222,783</b>	<b>\$1,392,683</b>	<b>\$2,975,956</b>	<b>\$3,565,720</b>

\* Phase III of Watershed Protection Program



# **Component Units**



Rhode Island Children's Crusade for Higher Education  
Rhode Island Economic Policy Council

# The Agency

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## **Rhode Island Children's Crusade for Higher Education**

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### **Agency Operations**

The Rhode Island Children's Crusade for Higher Education offers a range of age-appropriate supports for students as they progress from grades 3-12. Through its direct services and grant-making programs, it partners with over 60 schools and community agencies to serve crusaders – with special emphasis on youth from the seven Rhode Island cities and towns with the greatest numbers of low-income families. Currently, there are approximately 14,000 crusaders in grades 3-12. The Crusade has a \$9.6 million scholarship fund as well as pledges of donated scholarships from 61 colleges, universities and trade schools. The Crusade is supported by an annual allocation from the state of Rhode Island and by federal and private grants and gifts from individuals.

### **Agency Objectives**

The Rhode Island Children's Crusade for Higher Education is an early intervention program seeking to reduce the dropout rate among low-income students and to encourage them to continue on to higher education. Children enroll in the third grade by making a pledge to work hard in school, to avoid alcohol, drugs, early parenthood and trouble with the law. Crusaders who graduate high school, earn admission to higher education and financially qualify will receive scholarship support.

### **Statutory History**

The Crusade was founded as an independent 501(c)(3) organization in November of 1989 by the Board of Governors for Higher Education as a long-range strategy to increase high school graduation and college going rates for low-income students. It is governed by a 19-member Board of Directors. Legislation enacted in 1990 (see R.I.G.L. 16-70-2) established a mechanism for state support of the Crusade as a line item in the Office of Higher Education's budget.

# The Budget

## Rhode Island Children's Crusade for Higher Education

	FY 2002 Actual	FY 2003 Actual	FY 2004 Revised	FY 2005 Recommended
<b>Carry Forward Funds</b>	473,755	259,630	131,241	17,205
<b>Scholarship Fund/Cash &amp; Pledges</b>	588,576	1,575,169	2,747,375	3,737,881
<b>Support &amp; Revenue</b>				
State Appropriation/BOG Support	1,752,440	1,725,997	1,738,945	1,565,049
Private Donations/Miscellaneous Grants	273,152	135,758	363,150	384,939
Special Events	-	45,052	130,000	137,800
Public/Private In-Kind Contributions	944,304	1,495,362	805,520	853,851
Federal Grant Funds	2,255,235	2,686,668	2,184,320	2,249,850
Carnegie Foundation Grant	547,135	1,430,736	1,983,614	2,102,631
Investment Income	32,035	45,487	40,000	42,400
Prior Year Grant Adjustments	1,997	10,305	-	-
<b>Subtotal</b>	<b>\$5,806,298</b>	<b>\$7,575,365</b>	<b>\$7,245,549</b>	<b>\$7,336,520</b>
<b>Total Resources</b>	<b>\$6,868,629</b>	<b>\$9,410,164</b>	<b>\$10,124,165</b>	<b>\$11,091,606</b>
<b>Expenses</b>				
Personnel Cost	2,659,168	3,019,076	2,677,649	2,757,978
Special Services	556,005	179,816	232,404	232,404
Special Events	-	38,096	72,500	76,850
Program Support Services	2,047,843	3,651,340	3,651,552	3,700,498
Operating Expenses	697,407	670,759	625,480	485,995
Cost of Scholarships	588,576	1,614,836	2,747,375	3,737,881
<b>Total Expenses</b>	<b>\$6,548,999</b>	<b>\$9,173,923</b>	<b>\$10,006,960</b>	<b>\$10,991,606</b>
Transfer to Scholarship Fund	60,000	105,000	100,000	100,000
<b>Closing Fund Balance</b>	<b>\$259,630</b>	<b>\$131,241</b>	17,205	<b>\$0</b>

The information presented for FY 2005 is preliminary and has not been reviewed nor approved by the Rhode Island Children's Crusade Board of Directors and is subject to change.

As of June 30, 2003, the fair market value of the scholarship fund is \$9,599,514, the net value of the donated scholarships is \$12,400,000. The estimated cost of scholarships for children enrolled on June 30, 2003 is \$25,600,000.

# The Agency

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## **Rhode Island Economic Policy Council**

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### **Agency Operations**

The Rhode Island Economic Policy Council is a non-profit corporation founded in March 1995 by an Executive Order of the Governor and incorporated under the laws of Rhode Island in January 1996. The Council was re-established and expanded by Executive Orders 98-2 and 03-06. The Council is composed of twenty-five members from business, labor, higher education and government, including the Governor and leaders of the Rhode Island General Assembly. The private sector and the State of Rhode Island equally fund the Council.

### **Agency Objectives**

The Rhode Island Economic Policy Council provides objective, in-depth analysis of the strengths, weaknesses, opportunities and threats facing the Rhode Island economy; develops creative strategies and recommends policies to address them; launches initiatives to implement the strategies; mobilizes resources and identifies an implementing agency to ensure the initiatives succeed; and advises the Governor, Administration and General Assembly on policy matters related to economic development.

The Council also serves as a score-keeper of the performance of the programs of the Rhode Island Economic Development Corporation.

In the past, the Council administered the Slater Technology Fund. In October 2003, the Slater Technology Fund was spun off from the Policy Council as the Slater Board, Inc., a non-profit corporation under the direction of the Rhode Island Economic Development Corporation.

# The Budget

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## Rhode Island Economic Policy Council

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	<b>FY 2002 Actual</b>	<b>FY 2003 Actual</b>	<b>FY 2004 Revised</b>	<b>FY 2005 Recommended</b>
<b>Opening Balance:</b>	\$1,604,347	\$403,229	\$4,973	\$4,973
<b>Revenues</b>				
State Operating Transfers	250,000	175,000	300,000	300,000
Slater Centers of Excellence	3,000,000	2,500,000	1,000,000	-
Private Contributions	286,045	199,670	300,000	300,000
Interest Earnings	17,344	6,192	2,000	-
Other Support	92,934	-	-	-
Subtotal	<b>\$3,646,323</b>	<b>\$2,880,862</b>	<b>\$1,602,000</b>	<b>\$600,000</b>
<b>Total Resources</b>	<b>\$5,250,670</b>	<b>\$3,284,091</b>	<b>\$1,606,973</b>	<b>\$604,973</b>
<b>Expenditures</b>				
Personnel Expenses	348,135	406,537	300,000	270,000
Operating Expenses	207,352	158,541	178,000	169,000
Consulting Expenses	547,279	167,928	159,000	146,000
Grants	3,642,832	2,521,095	950,000	-
Capital Outlay	101,843	25,017	15,000	15,000
<b>Total Expenditures</b>	<b>4,847,441</b>	<b>3,279,118</b>	<b>1,602,000</b>	<b>600,000</b>
<b>Closing Balance</b>	<b>\$403,229</b>	<b>4,973</b>	<b>4,973</b>	<b>4,973</b>

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# **Definition of Categories of Expenditures**



## Definition of Categories of Expenditures

Generally, the amounts reflected in the categories of expenditures in the *FY 2005 Executive Summary* and *FY 2005 The Budget* were derived by identifying amounts spent or budgeted in certain budget object codes. However, there may be instances when an expenditure item should be classified in a different category of expenditure due to the definitions described below. The RISAIL headings below reflect the natural accounts associated with the Rhode Island State Automated Information Link system. (RISAIL) for each budget object code.

**State Operations** includes personnel and operating.

**Personnel** includes expenditures for salaries and wages, fringe benefits, consultant services, and workers' compensation costs. *The personnel category includes all payments for individuals who perform services for the state.*

<u>BOC/RISAIL</u>			<u>BOC/RISAIL</u>		
205	611500	Classified Holiday	274	619900	Payroll Accrual
210	611100	Classified Regular	275	639980	Intergovernmental Contractual
214	611600	Correctional Officers Briefing			Transfers to Colleges/Universities
215	611200	Classified Overtime	276	621200	Judges - Retirement Costs
216	619800	Payment of Unused Accrued Leave	277	621100	Police - Retirement Costs
217	619200	Cash Bonus for Health	278	621400	Metropolitan Life Insurance
		Maintenance Organization	279	621500	Higher Education Retirement
		Participation (HMO)			Annuities
218	619300	Family Medical Waiver Bonus	280	621000	Employee Retirement
219	611100	Payment of Unused Accrued	281	621700	Social Security FICA
		Deferred Leave	282	628500	Unemployment Compensation
220	611300	Classified Limited	283	628100	Assessed Fringe Benefit
225	611400	Classified Limited Overtime	284	622100	Group Life Insurance
230	613100	Unclassified Regular	285	621600	Federal Retirement
235	613200	Unclassified Overtime	286	621300	TIAA Payments
240	613300	Unclassified Limited	287	629100	Disability Insurance TIAA
245	613400	Unclassified Limited Overtime	288	619100	Special Contractual Stipends
250	615100	Nonclassified Permanent	289	628800	Employer Cost Group TDI Higher
251	615700	Nonclassified Limited			Education
252	615800	Graduate Assistantships	293	629400	LIUNA
255	615800	Nonclassified Part-time	294	628300	Employers Cost - Ret Health Ins
258	615200	Nonclassified Overtime	295	622100	Medical Insurance
259	619400	Retirement Incentive Bonus	296	622110	Blue Cross Settlement
261	631110	Medical Services	297	622200	Dental Insurance
262	631610	Architect/Engineering Service	298	622300	Vision Insurance
263	631710	Lecture Education Art Service	570	638140	WC Weekly Payment
264	633110	Building/Ground Service	571	638150	WC Dependent Payment
265	632810	Security Fire Protection Services	572	638160	WC Postmax Payment
266	631210	Legal Services	573	638110	WC Special Injury Payment
267	631310	Management/Audit Services	574	638930	WC Practitioners Charge
268	631990	Clerical Services	575	638210	WC Facility Charge
269	631630	Other Services	576	638220	WC Equipment Charge
270	617100	WC Regular Case	577	638920	WC Attorney/Witness
271	621800	FICA on Severance Pay	578	638120	WC Lump Sum Settlements
273	617200	WC-Assault Case	579	638230	WC Alternative Care

**Operating** expenses include non-personnel expenditures for operations of state government including maintenance and non-fixed equipment (capital outlay). One exception is the treatment of medicine and drug expenditures for the pharmaceutical assistance program, which are reflected as grants and benefits.

**BOC/RISAIL**

301 N/A Fiscal Fitness Savings  
 310 631710 Sponsored Project Admin  
 320 639320 Telephone-Cellular/Mobile  
 321 639520 Postage  
 322 633320 Telephone/Telegraph  
 323 634410 Office Expenses  
 324 639210 Dues/Subscriptions  
 325 639510 Freight/Cartage  
 326 639610 Insurance  
 327 636130 Centrex Telephone  
 328 636440 Record Center Charges  
 330 639450 Print Shop Expenditures -DOE  
 331 639460 Printing/Binding  
 332 639410 Advertising  
 333 639430 Outdoor Advertising – Lottery Fund  
 334 639480 Lottery-Agent Material  
 336 639420 Lottery-Public Relations Radio & Television  
 341 639130 Mileage Allowance  
 342 639160 Out of State Travel  
 343 639140 Other Travel Cost  
 345 639110 Travel & Trans. - State Wards  
 351 633420 Automotive Maintenance  
 352 641120 Rent State Cars  
 353 641140 Rent State Trucks  
 361 633120 Repairs to Buildings  
 362 633230 Repairs-Highways  
 363 633310 Repairs-Other  
 368 633140 Repairs - Conservation (CUF)  
 369 633150 Repairs - Lighting Narr Electric  
 371 641110 Automotive Equipment  
 374 663900 Educational and Recreational Equip  
 376 641510 Household Furniture and Equipment  
 377 641410 Medical Surgical and Lab Equipment  
 378 641310 Office Furniture and Equipment  
 379 641990 Other Equipment Replacements  
 381 637140 Rental-Property  
 382 637120 Rental-Equipment  
 383 637110 Rental-Clothing  
 385 637130 Rental-State Property  
 389 637220 Master Lease  
 390 634910 Food Purchases  
 401 634510 Fuel-Oil #1 – Kerosene

**BOC/RISAIL**

402 634520 Fuel-Oil #2 - Home  
 403 634530 Fuel-Oil #4  
 404 634540 Fuel-Oil #6  
 406 634560 Fuel-Gas (Heating)  
 407 634620 Steam Heat  
 409 636210 Central Electricity  
 410 634610 Electricity  
 411 634630 Water  
 412 634640 Sewer  
 420 634820 Clothing  
 421 634830 Safety Equipment  
 422 634840 Inmate Clothes  
 423 634810 Officers' Clothes  
 430 634870 Patients' Linen  
 431 634710 Agricultural Supplies  
 432 634940 Education & Recreation Supplies  
 433 634720 Household Supplies  
 434 634120 Medical/Surgical/Lab Supplies  
 435 634970 Military Supplies  
 436 634210 Highway/Landscaping Supplies  
 437 634980 Building/Machinery Supplies  
 438 636110 Central Information Technology Services  
 439 634110 Medicine & Drugs  
 440 634330 Management Information Service  
 441 631750 Staff Education  
 442 634310 Computer Supplies  
 443 639720 Expenses of Bonds and Notes  
 444 639730 Food Stamp Transaction Cost  
 445 634320 Computer Software  
 446 634340 Information Systems (Health Dept.)  
 451 636420 Central Laundry Service  
 453 639740 Bankcard Purchase  
 454 638910 Workers Comp Admin Expenses  
 455 634960 Miscellaneous Operating Expenses  
 457 636310 Inventory Purchases/Rotary  
 458 634960 Purchase of Services – Statewide Planning  
 459 639750 Audits Of Federal Programs By Auditor General  
 649 641250 Computer Software – Larger Installations  
 650 641260 Component Parts  
 651 641110 Automotive Equipment  
 652 663100 Building/Plant Equipment

**BOC/RISAIL**

653	641160	Construction Equipment
654	634950	Education/Recreation Equipment
655	641170	Farm Equipment/Livestock
656	641510	Household Equipment
657	641410	Medical/Laboratory Equipment
658	641310	Office Equipment
659	641320	Other Equipment
660	641210	Computer Equipment
797	667100	Lease Payment Purchases
798	N/A	Excess Employer Cost
884	639990	Expenditure Offset for Interest Earnings

**BOC/RISAIL**

889	639920	Provider Assessment - MHRH
890	639930	Interfund Transfer/Provider Assessments
892	639820	Late Payment Interest Charge
893	639950	Refund Other Non-Expense
894	639960	Interfund Transfer
895	639830	Interest Earnings
896	639970	Transfer Indirect Cost Recovery
897	639620	Insurance Programs - Premiums
899	639910	Other

**Aid to Local Units of Government** includes aid and payments to local governmental entities, which are generally in the form of grants. This includes grant payments made by the Department of Library Services to local libraries, and grants payments made by the Department of Elementary and Secondary Education to local school departments and Police and Fire incentive benefits payments made by the Department of Administration. Local governmental entities include all local subdivisions with governing and taxing authority.

**BOC/RISAIL**

472	655310	Teachers Pension
880	652100	Intergovernmental Grants, Payments & Transfers

**Grants and Benefits** include all grants and benefits to individuals or organizations without taxing authority, either direct or through reimbursements to cities and towns for public assistance, and grants to other entities, such as private non-profit providers. Appropriated pension benefits to certain former state employees are also included. As described above, this category includes expenditures in the medicaid program for services and supplies, and the pharmaceutical assistance to the elderly program, while excluding workers' compensation, which is classified as personnel. Also included in this category are payments for the Supplemental Security Income program in the Department of Human Services.

**BOC/RISAIL**

471	655110	Retirement Payments
473	655910	Other Pensions
475	655220	Police/Fire Pensions
476	655120	Cost Of Living Adjustment
477	655130	Early Retirement - Annual Bonus
487	622800	Retirees' Medical Insurance
490	622700	Retirees' Vision Care
495	655170	Health Insurance – Retirees
566	659100	Public Campaign Financing
567	658100	MMIS Medicaid Payments-Taxable

**BOC/RISAIL**

568	658200	MMIS Medicaid Payments - Non-Taxable
569	638130	Injured Workers' Incentive Benefits
580	653100	Public Assistance Medical
581	653200	Public Assistance Subsistence
582	652990	Education Grants
583	659910	Non-Taxable Claims, Settlements
584	659930	Support Dependent
585	659920	Payment of Claims
588	651100	Support of Certain Organizations

**BOC/RISAIL**

589	659990	Other – Grants
590	659990	Grantee - Administration
591	652910	Grantee Services (Health)
592	659990	Sub Grantee Administration
599	659950	Non State SDA Advances (DLT)

**Capital** includes capital debt service and capital improvements.

**Capital debt service** includes the payments on long term obligations, general obligation bonds, Rhode Island Public Buildings Authority lease payments and Certificates of Participation for the Intake Service Center and Attorney General Administration Building. This also includes the transfer of the dedicated portion of the sales tax to the Depositor's Economic Protection Corporation used for the payment of debt service, and the amount appropriated to the Convention Center Authority and the Rhode Island Port Authority for the Shepard's Building. This category also includes short term interest on tax anticipation notes.

**BOC/RISAIL**

384	637150	Rental-PBA
387	637210	Convention Center Lease Payments
791	672110	Interest Serial Bonds
792	671110	Redemption of Bonds

**BOC/RISAIL**

794	671190	Non G.O. Debt Service Payments
796	671160	Interest Short Term Borrowing
798	N/A	Debt Principal – Higher Education
799	N/A	Debt Interest – Higher Education

**Capital improvements** reflect only structural improvements or acquisition of fixed equipment for buildings and roads.

**BOC/RISAIL**

661	661120	Building/Other Structures
662	661130	Highway Construction
663	661310	Improvements Non-Buildings
664	665100	Land
669	661190	Other/Deferred Maintenance

# **Glossary of Budget Terms**



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## Glossary of Budget Terms

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**Actual Expenditures:** Amounts certified by the Division of Accounts and Control that have been spent in past fiscal periods. Actual expenditures are distinguished from budgeted amounts for incomplete fiscal periods which are planned or projected expenditures. Actual expenditures for the prior fiscal year are based upon the State Controller's preliminary closing and do not reflect any post-audit adjustments.

**Appropriation:** An act of the General Assembly authorizing expenditures of designated amounts of public funds for specific state budget programs within a state fiscal year. In recent appropriation acts, appropriations are equated with line items within the act.

**Federal Funds:** Amounts collected and made available to the state usually in the form of categorical or block grants and entitlements.

**Fiscal Note:** An estimate of the fiscal impact of legislative bills either drafted, introduced, or at a later stage of consideration.

**Fiscal Year (FY):** A twelve-month state accounting period, which varies from a calendar year and federal fiscal year. The fiscal year for Rhode Island State government begins on July 1 and ends on June 30. The federal fiscal year begins on October 1 and ends on September 30.

**Five-Year Forecast:** Financial projections of anticipated revenues and expenditures, including detail of principal revenue sources and expenditures by major program areas over five fiscal years. Such projections are required by state law to be included in the Governor's annual budget submitted to the General Assembly.

**FTE Authorization:** The number of full-time equivalent positions allowed to each agency and department as set forth in the Appropriation Act or the Supplemental Appropriation Act passed by the General Assembly. Departments and agencies are expected to keep within these FTE caps. Should an adjustment to the full time equivalent positions authorized for a department or agency be required for an urgent reason, a change in the FTE cap may be authorized with the approval of the Governor, the Speaker of the House and Senate Majority Leader.

**Full-Time Equivalent Positions (FTE):** A personnel measurement of the workforce, which constitutes a common denominator between full and part-time employment. To illustrate, an employee working full-time is counted as 1.0 full time equivalent position while an employee working half-time would be counted as a 0.5 full time equivalent position. If a state agency hired these two employees only, the total number of full-time equivalent positions for that agency would be 1.5. For a technical description of the part-time positions included in the full-time equivalent position count, see the glossary in the Personnel Supplement.

**Fund Balance:** See general fund free surplus.

**General Fund:** The operating fund of the State, to which all revenues not specifically directed by statute to another fund, is deposited. This includes state general revenue, federal, restricted, and other funds, which support state operations.

**General Fund Free Surplus:** The undesignated fund balance, which is available for appropriation and expenditures in subsequent fiscal years.

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## Glossary of Budget Terms

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**General Revenues:** State tax and departmental receipts which are credited for discretionary appropriation as distinguished from receipts collected for restricted purposes and federal grants.

**Internal Service Program:** A program that aggregates certain services needed by state operated programs and activities, which are provided on a centralized basis. The costs of these services are borne by the user agencies through a charge system, which allocates the cost of delivery of the goods or services. The operations of this program are shown in the state budget for display purposes only, since the costs are reflected in the budgets of the user agencies both on an actual and budget basis.

**Medical Assistance and Public Assistance Caseload Estimating Conference:** Similar to the Revenue Estimating Conference, it adopts consensus welfare and medical assistance caseload estimates. It consists of the Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor who meet in May and November of each year.

**Modified Accrual:** The basis upon which the Rhode Island state budget is prepared wherein the obligations of the state are classified as expenditures if the goods and/or services are expected to be received during the fiscal year, regardless of whether a cash payment is expected to be made by the state by June 30<sup>th</sup> of that year. Revenues are estimated by the members of the Revenue Estimating Conference on the same basis as the financial statements are prepared, which is also a modified accrual basis.

**Operating Deficit:** The amount by which the state's current revenues from general revenue sources is less than its expenditures for a fiscal year. The operating deficit calculation excludes any beginning year surplus.

**Operating Surplus:** The amount by which the state's current revenues from general revenue sources exceed its expenditures for a fiscal year. The operating surplus calculation excludes any beginning year surplus which may be available to fund expenditures.

**Other Funds:** Resources that are expended in the budget, which are not considered general revenues, restricted receipts, or federal grants are identified as "Other Funds". This includes, for example tuition and fees at the University and Colleges and the dedicated gas tax in the ISTEAFund.

**Program Measure:** A management tool that measures empirically the outcome of a budget program's efforts to achieve an objective. The outcome is measured against a standard established by each agency and department and should indicate not merely what a program does but how well it does it.

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## Glossary of Budget Terms

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**Purchased Services:** Contracts with non-state entities providing services for state agencies consistent with their objectives. The contract could be established between a state agency and a private person, firm or non-profit agency or it could be established with another governmental agency such as a federal agency.

**Reappropriation:** The authority of the Governor in accordance with Section 35-3-15 of the General Laws, to reappropriate funds for the same purpose which are unexpended at the close of the fiscal year. All changes are reflected in the supplemental appropriations act.

**Restricted Receipts:** State receipts which are collected to be expended for purposes confined to those specified in the General Laws of the State.

**Revenue Estimating Conference:** A three-person forecasting committee whose objective is to reach consensus on state revenue projections that are used as a basis for the state budget. The

Committee consists of the State Budget Officer, the House Fiscal Advisor and the Senate Fiscal Advisor. This Committee is required, by law, to meet in November and May of each year.

**Quasi-Public Agency:** An agency, the legal basis for which is established in state law but which nevertheless, enjoys a degree of independence from state government in its governance and policy making.

**Subprogram:** Two or more integral components of a budget program that can be separately analyzed to get a better understanding of the program.

**Supplemental Appropriation:** An act of the General Assembly appropriating additional funds beyond the amounts allocated in the original appropriation act to state agencies that are expected to incur a deficiency. In practice, supplemental requests are expected to identify any proposed decreases to the original amounts appropriated as well as proposed increases. (See 35-3-8. of the Rhode General Laws). Supplemental appropriations provide additional budget authority beyond the original estimates for budget programs (including new programs authorized after the date of the original appropriation act) where the need for funds is too urgent to be postponed until enactment of the appropriation bill for the next fiscal year.

**Technical Appendix:** A budget document that provides detailed information in support of the data reflected in *The Budget* and the *Executive Summary*. The allocations for each appropriation account are listed for a convenient reference. Detailed descriptions of the program measures used by the various agencies and departments are also provided.

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# **State Profile**



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## State Profile

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Rhode Island, America's smallest state, with a land area of 1,045 square miles, provides its citizens with an estimable quality of life. Despite the fact that Rhode Island has been urbanized since the 1820s, nearly sixty percent of the state is woodland and open space. Recreational, cultural and leisure activities are plentiful. Attractions include the Newport Mansions, Benefit Street's Mile of History, Slater Mill, the International Tennis Hall of Fame, the State Capitol, the Blithewold Mansion, Gardens and Arboretum, the Museum of Art at the Rhode Island School of Design, Block Island and some of the finest beaches in New England. The state features more than 400 miles of coastline, hence its nickname is "The Ocean State". Narragansett Bay is the state's most valuable natural resource, providing the state with a native source of seafood, passage for ships and barges, swimming and boating activities and thousands of jobs in fishing, shipbuilding and other maritime endeavors. Rhode Island ranked twelfth in the Camelot Index of *State Policy Reports* in 2003, which correlates factors related to the economy, health, crime, education, social stability, and state management.

Rhode Island boasts twelve institutions of higher learning, including some of the most prestigious schools in the nation. Rhode Island is rich in history. Founded by Roger Williams in 1636, seeking freedom of religious worship, it was the first colony to declare its independence from Great Britain some two months before the other twelve colonies. It was the last of the original colonies to ratify the United States Constitution, demanding that the Bill of Rights, which guarantees individual liberties, be adopted.

Rhode Island is the 43<sup>rd</sup> most populous state, with approximately 1.05 million residents, and is the second most densely populated state, with 1,003 persons per square mile. In the 2000 Census, Rhode Island ranked first among the states in the number of college students per capita, fifth in the percentage of persons over age 85, sixth in the percentage of persons over age 65, and tenth in the percentage of foreign born residents. Other sources reveal Rhode Island to have the highest percentage of children with disabilities, second in the percentage of persons receiving Temporary Assistance for Needy Families cash assistance, and third in the percentage of children in foster care. The Kaiser Commission on Medicaid and the Uninsured ranks Rhode Island third lowest among the states for uninsured rates for children, and sixth lowest for uninsured adults.

Once known as the "Jewelry Capital of the World", the state's economic base is now much more diversified. As of November 2003, 43.1 percent of total employment in Rhode Island was in the service sector, with just over 92,000 persons employed in education and health services, 14.9 percent of total employment was in wholesale/retail trade, with just over 54,900 people employed in retail trade, 12.5 percent of total employment was in manufacturing, with nearly 39,400 people employed in durables manufacturing, 14.3 percent of total employment was in government, with just nearly 55,500 employed at the state and local level, and 6.5 percent of total employment, or 31,300 workers, was in finance, insurance and real estate.

Rhode Island exports goods and services worldwide, with 33.4 percent going to Canada, 7.0 percent shipped to Singapore and 6.7 percent exported to Mexico. Rhode Island's chief commodity exports in 2002 were computer and electrical products at 18.4 percent of total exports, waste and scrap at 12.9 percent of total exports, and miscellaneous manufacturing at 12.7 percent of total exports. Rhode Island is home to several corporate headquarters, including those of Fortune 500 companies CVS Pharmacy in Woonsocket,

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## State Profile

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industrial conglomerate Textron in Providence, toy maker Hasbro in Pawtucket, and lottery equipment provider GTECH in West Greenwich.

Rhode Island is governed by its Constitution, most recently amended in 1986. Under the State Constitution, the powers of government are divided into three branches: legislative, executive and judicial. The legislative power of the government is vested in the General Assembly, which consists of a 38 member Senate and a 75 member House of Representatives. All members of the General Assembly are elected biennially from senatorial and representative districts established by general law on the basis of population. The General Assembly meets annually beginning on the first Tuesday in January.

The chief executive power of the State is vested in the Governor and, by succession, the Lieutenant Governor. Each are elected for four year terms. The Governor is primarily responsible for the faithful execution of laws enacted by the General Assembly, and for the administration of State government. The Governor is granted the power to veto any act adopted by the General Assembly, which can be overridden by a 3/5 vote of both houses of the General Assembly. The Governor does not have the power of line-item veto. The State Constitution also provides for the election of the Lieutenant Governor, Attorney General, Secretary of State, and General Treasurer.

The judicial power of the State is vested in the Supreme Court and such lower courts as are established by the General Assembly. The Supreme Court, appointed by the Governor and confirmed by the Senate and the House of Representatives, has advisory and final appellate jurisdiction upon all questions of law and equity. The General Assembly has also established a Superior Court, a Family Court, a District Court and certain municipal courts in various cities and towns in the State.

Rhode Island is divided into 39 cities and towns, ranging in size from 1.3 to 64.8 square miles. There is no county governmental structure. Local executive power is generally placed in a mayor or administrator/manager, and legislative power is vested in a city or town council. Municipalities have the right of self government in all local matters by adopting a "home rule" charter. Municipalities, however, have the power to levy, assess and collect taxes, or borrow money only as specifically authorized by the General Assembly. Local governments rely principally upon general real and tangible personal property taxes and automobile excise taxes for the provision of revenue.

In addition to municipal governments, there is a federally recognized tribe of Native Americans, the Narragansett Indians, that maintains control of an 1800-acre land trust in the Town of Charlestown. The state is also served by numerous special districts that provide water, wastewater treatment or fire protection services. These districts often have their own taxing and/or assessment powers. There are no federal land holdings in Rhode Island of any jurisdictional significance outside of U.S. Navy installations.